ERNIE-Tiny : A Progressive Distillation Framework for Pretrained Transformer Compression

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Abstract

 Pretrained language models (PLMs) such as BERT adopt a training paradigm that first pre- trains the model in general data and then fine- tunes the model on task-specific data, and have recently achieved great success. How- ever, PLMs are notorious for their enormous parameters and hard to be deployed on real-life applications. Knowledge distillation has been prevailing to address this problem by transfer-*b* **ring knowledge from a large teacher to a much** smaller student over a set of data. We argue that the selection of three key components, namely teacher, training data, and learning objective, is crucial to the effectiveness of distillation. We, therefore, propose a four-stage progressive distillation framework ERNIE-Tiny to com- press PLM, which varies the three components gradually from general level to task-specific level. Specifically, the first stage, General Dis-**tillation**, performs distillation with guidance from pretrained teacher, general data, and la- tent distillation loss. Then, General-Enhanced Distillation changes teacher model from pre- trained teacher to finetuned teacher. After that, 025 Task-Adaptive Distillation shifts training data from general data to task-specific data. In the end, Task-Specific Distillation adds two ad- ditional losses, namely Soft-Label and Hard- Label loss onto the last stage. Empirical results demonstrate the effectiveness of our framework and generalization gain brought by ERNIE- Tiny. In particular, experiments show that a 4-layer ERNIE-Tiny maintains over 98.0% per-034 formance of its 12-layer teacher $BERT_{Base}$ on GLUE benchmark, surpassing state-of-the-art **(SOTA)** by 1.0% GLUE score with the same amount of parameters. Moreover, ERNIE-Tiny achieves a new compression SOTA on five Chi-039 nese NLP tasks, outperforming BERT_{Base} by 0.4% accuracy with 7.5x fewer parameters and 9.4x faster inference speed.

⁰⁴² 1 Introduction

043 Transformer-based pretrained language models **044** [\(](#page-9-1)PLMs) [\(Devlin et al.,](#page-9-0) [2019;](#page-9-0) [Liu et al.,](#page-10-0) [2019;](#page-10-0) [Lan](#page-9-1)

Figure 1: GLUE score of different distillation methods. Performance of the teacher, $BERT_{base}$, is shown in dash line.

[et al.,](#page-9-1) [2020;](#page-9-1) [Sun et al.,](#page-10-1) [2019b;](#page-10-1) [Lewis et al.,](#page-10-2) [2020;](#page-10-2) **045** [Lample and Conneau,](#page-9-2) [2019\)](#page-9-2) have brought signif- **046** icant improvements to the field of Natural Lan- **047** guage Processing (NLP). Their training process **048** that first pretrains model on general data and then **049** finetunes on task-specific data has set up a new **050** training paradigm for NLP. However, the perfor- **051** mance gains come with the massive growth in 052 model sizes [\(Brown et al.,](#page-9-3) [2020;](#page-9-3) [Raffel et al.,](#page-10-3) [2019;](#page-10-3) **053** [Fedus et al.,](#page-9-4) [2021;](#page-9-4) [Shoeybi et al.,](#page-10-4) [2019\)](#page-10-4) which **054** causes high inference time and storage cost. It be- **055** comes the main obstacle for industrial application, **056** especially for deploying on edge devices. **057**

There are some recent efforts such as Knowledge **058** Distillation (KD) [\(Hinton et al.,](#page-9-5) [2015;](#page-9-5) [Urban et al.,](#page-11-0) **059** [2016;](#page-11-0) [Ba and Caruana,](#page-9-6) [2013\)](#page-9-6), quantization [\(Kim](#page-9-7) **060** [et al.,](#page-9-7) [2019;](#page-9-7) [Shin et al.,](#page-10-5) [1909;](#page-10-5) [Wei et al.,](#page-11-1) [2018\)](#page-11-1), and **061** weights pruning [\(Wang et al.,](#page-11-2) [2018b;](#page-11-2) [Han et al.,](#page-9-8) 062 [2015;](#page-9-8) [Sindhwani et al.,](#page-10-6) [2015\)](#page-10-6) trying to tackle this **063** problem. KD, in particular, aims to transfer knowl- **064** edge from one network called teacher model to **065** another called student model by training student un- **066** der the guidance of teacher. Typically, teacher is a **067** model with more parameters and capable of achiev- **068** ing high accuracy, whereas student is a model with **069** significantly fewer parameters and requires much **070** less computation. Once trained, the student model **071** maintains teacher's performance while massively **072** reducing inference time and storage demand, and **073**

Figure 2: The comparison between existing works and ERNIE-Tiny. The curly shaded arrow indicates the change of the three key components (i.e. Teacher, Data, and Objective). Left: Workflow of Distillation. Teacher transfers its knowledge to student through data and objective. Middle: Workflow of Existing Works. All of the three components shift between the two stages. Right: Workflow of ERNIE-Tiny. ERNIE-Tiny carefully designs the distillation framework such that only one component is changed between any two consecutive stages.

 can be deployed in real-life applications. KD can be applied on either or both of pretrain and fine-076 tune stages. For example, MiniLM [\(Wang et al.,](#page-11-3) [2020\)](#page-10-7) and MobileBert [\(Sun et al.,](#page-10-7) 2020) apply KD on pretrain stage while [\(Sun et al.,](#page-10-8) [2019a\)](#page-10-8) applies [K](#page-9-9)D on finetune stage. Moreover, TinyBERT [\(Jiao](#page-9-9) [et al.,](#page-9-9) [2020\)](#page-9-9) and DistilBERT [\(Ren et al.,](#page-10-9) [2020\)](#page-10-9) per- form KD on both pretrain and finetune stages. In particular, they employ pretrained teacher to pro- vide guidance during pretrain stage and choose finetuned teacher during finetune stage, where pre- trained teacher is the teacher model trained on gen-086 eral data and finetuned teacher is obtained by fine-tuning pretrained teacher on task-specific data.

 However, existing works suffer from pretrain- finetune distillation discrepancy consisting of the difference of training data, teacher model, and learning objective between pretrain phase and fine- tune phase. Specifically, training data is shifted from general data to task-specific data, teacher is changed from pretrained teacher to finetuned teacher, and learning objective is altered differently according to their own decisions. We argue that this sudden transition hurts the effectiveness of distilla- tion. We, therefore, propose a four-stage progres- sive distillation framework ERNIE-Tiny to allevi- ate this problem, and our method outperforms sev- eral baselines as shown in Figure [1.](#page-0-0) ERNIE-Tiny attempts to smooth this pretrain-finetune transition by gradually altering teacher, learning objective, and training data from general level to task-specific **105** level.

 Akin to pretrain distillation at existing works, General distillation (GD) performs distillation with pretrained teacher on general data. Following previous works [\(Jiao et al.,](#page-9-9) [2020;](#page-9-9) [Sun et al.,](#page-10-8) [2019a,](#page-10-8) [2020;](#page-10-7) [Ren et al.,](#page-10-9) [2020\)](#page-10-9), we utilize latent distillation **110** (L_{Lat}) as our learning objective. Then, by altering 111 teacher from pretrained teacher to finetuned teacher, **112** ERNIE-Tiny introduces General-Enhanced Distil- **113** lation (GED) which distills with finetuned teacher **114** and \mathcal{L}_{Lat} on general data. After that, through 115 changing training data from general data to task- **116** specific data, ERNIE-Tiny presents Task-Adaptive 117 **Distillation (TAD)** which distills with finetuned 118 teacher and \mathcal{L}_{Lat} on task-specific data. Finally, 119 ERNIE-Tiny concludes the training process with **120** Task-Specific Distillation (TSD) through adding **121** new learning objectives, namely Soft-Label Distil- **122** lation (\mathcal{L}_{Soft}) and Hard-Label loss (\mathcal{L}_{Hard}) which 123 represents the task-specific finetune loss such as **124** cross-entropy for classification downstream task. **125** Note that TSD is similar to the finetune distillation **126** at existing works. Figure [2](#page-1-0) compares the workflow **127** of existing works and ERNIE-Tiny. **128**

Notably, general-enhanced distillation provides **129** finetuned teacher's guidance not through task- **130** specific data as what existing works do, but through **131** general data. Compared with existing works, **132** general-enhanced distillation allows student to ab- **133** sorb task-specific knowledge through general data, **134** improving the effectiveness of distillation and gen- **135** eralization of student model [\(Laine and Aila,](#page-9-10) [2016;](#page-9-10) **136** [Sajjadi et al.,](#page-10-10) [2016;](#page-10-10) [Miyato et al.,](#page-10-11) [2018;](#page-10-11) [Goodfel-](#page-9-11) **137** [low et al.,](#page-9-11) [2014\)](#page-9-11). Empirical results show that with **138** general-enhanced distillation, ERNIE-Tiny out- **139** performs the baseline on out-of-domain datasets, **140** demonstrating the generalization gain brought by **141** general-enhanced distillation. In addition, general **142** data can be regarded as additional data to task- **143** specific data. We conduct experiments to show that 144 the effect of general-enhanced distillation is more **145** significant on low-resource tasks. Moreover, task- adaptive distillation is introduced between general- enhanced distillation and task-specific distillation, serving as a bridge to smooth the transition between those two stages. We conduct experiments to show the performance gain brought by this stage.

 The main contributions of this work are as fol- lows: 1) We propose a novel four-stage progressive learning framework for language model compres- sion called ERNIE-Tiny to smooth the distillation process by gradually altering teacher, training data, and learning objective. 2) To our knowledge, lever- aging finetuned teacher with general data is the first time introduced in PLM distillation, helping student capture task-specific knowledge from fine- tuned teacher and improving generalization of stu- dent. 3) ERNIE-Tiny achieves 9.4x speedup keeps over 98.0% performance of its 12-layer teacher **BERT**_{hase} on GLUE benchmark and exceeds state- of-the-art (SOTA) by 1.0% GLUE score. In Chi- nese datasets, 4-layer ERNIE-Tiny, harnessed with **a** better teacher, outperforms BERT_{base} by 0.4% ac- curacy with 7.5x fewer parameters and 9.4x faster inference speed.

¹⁷⁰ 2 Related Work

 Pretrained Language Models Pretrained lan- guage models are learned on large amounts of text data and then finetuned to adapt to specific tasks. BERT [\(Devlin et al.,](#page-9-0) [2019\)](#page-9-0) proposes to pretrain [a](#page-10-0) deep bidirectional Transformer. RoBERTa [\(Liu](#page-10-0) [et al.,](#page-10-0) [2019\)](#page-10-0) achieves strong performance by train- ing longer steps using large batch size and more text data. ERNIE [\(Sun et al.,](#page-10-1) [2019b\)](#page-10-1) [\(Sun et al.,](#page-10-12) [2019c\)](#page-10-12) proposes to pretrain the language model on an enhanced mask whole word objective and further employs continue learning strategy. Re- cent works [\(Shoeybi et al.,](#page-10-4) [2019;](#page-10-4) [Brown et al.,](#page-9-3) [2020;](#page-9-3) [Kaplan et al.,](#page-9-12) [2020\)](#page-9-12) observe the trend that increasing model size also leads to lower perplexity. Switch-transformer [\(Fedus et al.,](#page-9-4) [2021\)](#page-9-4) simplifies [a](#page-10-13)nd improves over Mixture of Experts [\(Shazeer](#page-10-13) [et al.,](#page-10-13) [2017\)](#page-10-13) and trains a trillion parameters lan- guage model. However, [\(Kovaleva et al.,](#page-9-13) [2019\)](#page-9-13) shows the parameters are redundant in those mod- els and the performance can be kept even when the computational overhead and model storage is reduced. Moreover, the training cost of those models also raises serious environmental concerns [\(Strubell et al.,](#page-10-14) [2019\)](#page-10-14).

Knowledge Distillation Knowledge distillation **195** [\(Hinton et al.,](#page-9-5) [2015;](#page-9-5) [Wang et al.,](#page-11-3) [2020\)](#page-11-3) aims to **196** train a small student model with soft labels and **197** intermediate representations provided by the large **198** teacher model. [\(Jiao et al.,](#page-9-9) [2020\)](#page-9-9) proposes Tiny- **199** BERT on the general distillation and task-specific 200 distillation stages. [\(Ren et al.,](#page-10-9) [2020\)](#page-10-9) proposes Dis- **201** tilBERT, which successfully halves the depth of **202** BERT model by knowledge distillation in the pre- **203** [t](#page-10-8)rain stage and an optional finetune stage. [\(Sun](#page-10-8) **204** [et al.,](#page-10-8) [2019a\)](#page-10-8) distills BERT into a shallower student **205** through knowledge distillation only in the finetune **206** stage. [\(Wang et al.,](#page-11-3) [2020\)](#page-11-3) proposes to compress **207** teacher by mimicking self-attention and value re- **208** lation in the pretrain stage. In contrast to these ex- **209** isting literature, we argue that the pretrain-finetune **210** distillation discrepancy exists. Specifically, the **211** pretrain-finetune distillation discrepancy is caused **212** by training data shift, teacher model alteration and **213** learning objective change. Therefore, we propose a **214** progressive distillation framework ERNIE-Tiny to **215** compress PLM. Through this progressive distilla- **216** tion framework, the discrepancy of distillation can **217** be alleviated and the performance of the distilled **218** student can be improved. Table [1](#page-3-0) summarizes the **219** differences between our framework and previous **220 works.** 221

3 Proposed Framework **²²²**

Distillation aims to use the pretrained teacher T **223** to teach a student model S that is usually much **224** smaller as shown in the left part of Figure [2.](#page-1-0) In 225 our setting, besides the labeled task-specific data **226** D_t , we also have large-scale unlabeled data which 227 we call general data D_q from which the teacher **228** is pretrained. To combine those data and teacher **229** knowledge smoothly, we devise a four-stage pro- **230** gressive distillation framework. Those four stages **231** vary the three key distillation components, namely **232** training data, teacher model and learning objective **233** gradually from general level to task-specific level **234** as shown in Figure [2.](#page-1-0) To better explain those meth- **235** ods, we first show the background and discuss the **236** distillation framework in detail. **237**

3.1 Background: Transformer Backbone **238**

The Transformer architecture [\(Vaswani et al.,](#page-11-4) **239** [2017\)](#page-11-4) is a highly modularized neural network, **240** where each Transformer layer consists of two 241 sub-modules, namely the multi-head self-attention **242**

Stage	Teacher	Data		ERNIE-Tiny BERT-EMD TinyBERT DistilBERT BERT-PKD MiniLM					MobileBert
GD.	pretrained	General	\mathcal{L}_{Lat}	\mathcal{L}_{Lat}	\mathcal{L}_{Lat}	$\mathcal{L}_{Lat} + \mathcal{L}_{Soft}$	$\overline{}$	\mathcal{L}_{Lat}	$\mathcal{L}_{Lat} + \mathcal{L}_{Soft}$
GED	finetuned	General	\mathcal{L}_{Lat}			-			
TAD		finetuned Task-Specific	\mathcal{L}_{Lat}						
TSD		finetuned Task-Specific	\mathcal{L}_{L+S+H}	\mathcal{L}_{L+S+H}	\mathcal{L}_{L+S+H}	\mathcal{L}_{L+S+H}	\mathcal{L}_{L+S+H}	\mathcal{L}_{Hard}	\mathcal{L}_{Hard}

Table 1: Comparison with previous PLM distillation approaches. Latent Distillation (\mathcal{L}_{Lat}) represents distillation loss on the attributes at intermediate layers and it varies on different methods (e.g hidden states and attention distribution in TinyBERT and BERT-EMD; attention distribution and attention value relation in MiniLM). Soft-Label Distillation (\mathcal{L}_{Soft}) denotes distillation on soft target probabilities from the teacher model. As all methods adopt Hard-Label loss (\mathcal{L}_{Hard}) in TSD, for simplicity, we denote $\mathcal{L}_{L+S+H} = \mathcal{L}_{Lat} + \mathcal{L}_{Soft} + \mathcal{L}_{Hard}$.

 (MHA) and position-wise feed-forward network (FFN). Transformer encodes contextual infor- mation for input tokens. The input embeddings $\{x\}_{i=1}^s$ for sample x are packed together into **H**₀ = $[\mathbf{x}_1, \cdots, \mathbf{x}_s]$, where s denotes the in- put sequence length. Then stacked Transformer blocks iteratively compute the encoding vectors as **H**_l = Transformer_l (**H**_{l−1}), $l \in [1, L]$, and the Transformer is computed as:

$$
\mathbf{A}_{l,a} = \text{MHA}_{l,a}(\mathbf{H}_{l-1}\mathbf{W}_{l,a}^{Q}, \mathbf{H}_{l-1}\mathbf{W}_{l,a}^{K}),
$$

252

$$
\mathbf{H}_{l-1}^{'} = \text{LN}(\mathbf{H}_{l-1} + (\prod_{a=1}^{h} \mathbf{A}_{l,a}(\mathbf{H}_{l-1}\mathbf{W}_{l,a}^{V}))\mathbf{W}_{l}^{O}), \quad (1)
$$

$$
\mathbf{H}_{l} = \text{LN}(\mathbf{H}_{l-1}^{'} + \text{FFN}(\mathbf{H}_{l-1}^{'})),
$$

253 where the previous layer's output $H_{l-1} \in$ $\mathbb{R}^{s \times d}$ is linearly projected to a triple of **255** queries, keys and values using parameter matri-256 ces $\mathbf{W}_{l,a}^Q$, $\mathbf{W}_{l,a}^K$, $\mathbf{W}_{l,a}^V \in \mathbb{R}^{d \times d}$, where d denotes 257 the hidden size of H_l and d' denotes the hidden size 258 **b** of each head's dimension. $\mathbf{A}_{l,a} \in \mathbb{R}^{s \times s}$ indicates **259** the attention distributions for the a-th head in layer 260 l, which is computed by the scaled dot-product of **261** queries and keys respectively. h represents the num-**262** ber of self-attention heads. ∥ denotes concatenate operator along the head dimension. $\mathbf{W}_{l}^{O} \in \mathbb{R}^{d \times d}$ **264** denotes the linear transformer for the output of **265** the attention module. LN denotes the layer nor-**266** malization operation [\(Ba et al.,](#page-9-14) [2016\)](#page-9-14). FFN is **267** composed of two linear transformation function 268 including mapping the hidden size of H'_{l-1} to d_{ff} **269** and then mapping it back to d.

270 3.2 General Distillation and **271** General-Enhanced Distillation

 General Distillation As shown in Figure [2,](#page-1-0) ERNIE-Tiny employs general distillation and general-enhanced distillation sequentially. In the general distillation stage, the pretrained teacher helps the student learn knowledge on the massive unlabeled general data with the intermediate representation. The loss is computed as follows: **278**

$$
\mathcal{L}_{Lat}^T(x) = \sum_{l=1}^{L_S} \sum_{a=1}^h F(\mathbf{A}_{k,a}^T(x), \mathbf{M}_{l,a} \mathbf{A}_{l,a}^S(x))
$$

+
$$
\sum_{l=1}^{L_S} F(\mathbf{H}_k^T(x), \mathbf{H}_l^S(x) \mathbf{N}_l),
$$

$$
\mathcal{L}_{GD} = \mathop{\mathbb{E}}_{x \sim D_g} \mathcal{L}_{Lat}^T(x),
$$
 (2)

where $k = l \times c$, \mathcal{L}_{GD} denotes the loss for general 280 distillation on the general data D_q . L_S denotes the 281 number of layers of student model. Considering the **282** number of layers of pretrained teacher L_T and student model L_S may not be the same, we set student **284** layers to mimic the representation of every c layers **285** of pretrained teacher model, where $c = L_T / L_S$. 286 We introduce a mapping matrix $M_{l,a} \in \mathbb{R}^{h \times h'}$ to align the number of attention heads for teacher **288** and student's attention heads, h and h', when they 289 do not match. Similarly, a linear transformation **290** $N_l \in \mathbb{R}^{d \times d'}$ is used when the hidden size d and d' of $\mathbf{H}_l^T \in \mathbb{R}^{s \times d}$ and $\mathbf{H}_l^S \in \mathbb{R}^{s \times d'}$ does not match. 292 A metric function F is utilized to measure the dis- **293** tance between teacher and student's representation **294** and guide the distillation process. We choose mean **295** square error as F for our experiment. Put it together, we call the right hand side of Eq. [\(2\)](#page-3-1) latent **297** distillation and denotes it as $\mathcal{L}_{Lat}^{T_g}$ where T_g indicates the pretrained teacher (i.e. the guidance A^{T_g} 299 and \mathbf{H}^{T_g} come from pretrained teacher). 300

General-Enhanced Distillation To further ex- **301** ploit the general data, we propose to use the fine- **302** tuned teacher as a surrogate for task-specific knowl- **303** edge and perform distillation over general data. **304** And the training loss of general-enhanced distilla- **305** tion is defined as follows: **306**

$$
\mathcal{L}_{GED} = \mathop{\mathbb{E}}_{x \sim D_g} \mathcal{L}_{Lat}^{T_f}(x), \tag{3}
$$

287

291

263

308 where $\mathcal{L}_{Lat}^{T_f}$ indicates that the guidance involved in **309** latent distillation loss comes from finetuned teacher. **310** During general-enhanced distillation, the student 311 is optimized by minimizing the \mathcal{L}_{GED} on general **312** data.

 One benefit of this stage is that the distilla- tion process becomes much smoother. Comparing Eq. [\(3\)](#page-3-2) with Eq. [\(2\)](#page-3-1), the only change between gen- eral distillation and general-enhanced distillation is 317 that we only replace the teacher T_g with T_f among the three components (i.e. teacher, training data, learning objective) while existing works change all of them together at the same time as shown in Figure [2.](#page-1-0)

 Another benefit is that introducing finetuned teacher on general data improves the generalization of student model. As the number of task-specific samples is usually much smaller than general data, having the finetuned teacher generating hidden rep- resentations on general data can be used to com- pensate for the task-specific data sparsity. Those hidden representations extracted from D_q can be regarded as feature augmentation. Although there may be no task-related label information on D_q , the hidden representation from finetuned teacher still contains task-specific information. Several works [\(Laine and Aila,](#page-9-10) [2016;](#page-9-10) [Sajjadi et al.,](#page-10-10) [2016;](#page-10-10) [Miyato](#page-10-11) [et al.,](#page-10-11) [2018;](#page-10-11) [Goodfellow et al.,](#page-9-11) [2014\)](#page-9-11) succeed in us- ing the random image augmentation to improve generalization performance for semi-supervised tasks. The empirical results on generalization gains led by general-enhanced distillation are shown in Section [4.3.](#page-5-0)

341 3.3 Task-Adaptive Distillation and **342** Task-Specific Distillation

 Task-Adaptive Distillation Task-adaptive distil- lation is introduced after general-enhanced distilla- tion to start distillation on task-specific data. The task-adaptive distillation loss is devised as follow-**347** ing:

$$
\mathcal{L}_{TAD} = \mathop{\mathbb{E}}_{x \sim D_t} \mathcal{L}_{Lat}^{T_f}(x), \tag{4}
$$

 where D_t is the task-specific data. Student model 350 is trained by minimizing \mathcal{L}_{TAD} . Comparing Eq.[\(4\)](#page-4-0) with Eq.[\(3\)](#page-3-2), we see that the difference between general-enhanced distillation and task-adaptive dis- tillation is that the training data is changed from general data to task-specific data.

355 The advantage of proposing the task-specific **356** stage is two-fold. First, continuing with the philos-**357** ophy of progressive distillation and pretrain-thenfinetune paradigm, only the dataset is changed in **358** this stage to smoothen the distillation. Second, as **359** recent work [\(Raffel et al.,](#page-10-3) [2019\)](#page-10-3) shows that unsu- **360** pervised learning on the task-specific data before **361** applying the supervised signal leads to improve- **362** ment on downstream performance, distillation of **363** hidden representations on task-specific data paves **364** the way for the upcoming task-specific objective **365** learning. 366

Task-Specific Distillation Task-specific distilla- **367** tion is presented to finish the whole distillation **368** process. Compared with the last stage, this stage **369** includes soft-label and hard-label learning objec- **370** tives. Specifically, the loss is computed as follows: **371**

$$
\mathcal{L}_{TSD} = \mathop{\mathbb{E}}_{(x,y)\sim D_t} \mathcal{L}_{Lat}^{T_f}(x) + \mathcal{L}_{Soft}^{T_f}(x) + \mathcal{L}_{Hard}(x, y),
$$

\n
$$
\mathcal{L}_{Soft}^{T_f}(x) = F_1(z^{T_f}(x), z^S(x)),
$$

\n
$$
\mathcal{L}_{Hard}(x, y) = F_2(y, z^S(x)),
$$
\n(5)

where \mathcal{L}_{TSD} contains three losses for distillation 374 $(L_{Lat}^{T_f})$, soft-label $(L_{Soft}^{T_f})$ and hard-label (L_{Hard}) . 375 z^{T_f} and z^S denotes the logit of finetuned teacher **376** and student respectively. y represents the ground- **377** truth label from task-specific data. For super- **378** vised classification problems, we choose Kullback- **379** Leibler Divergence [\(Kullback and Leibler,](#page-9-15) [1951\)](#page-9-15) **380** for F_1 and cross entropy for F_2 . For regression 381 task, we choose mean square error for both F_1 and 382 F_2 . 383

3.4 Progressive Distillation Framework **384**

The key technique for ERNIE-Tiny is to change **385** the teacher, training data and learning objective **386** carefully and smoothly. Overall, the student is **387** trained using following four losses: **388**

$$
\mathcal{L}_{\{T,D,\alpha\}} = \mathop{\mathbb{E}}_{(x,y)\sim D} \mathcal{L}_{Lat}^T(x) + \alpha(\mathcal{L}_{Soft}^T(x) + \mathcal{L}_{Hard}(x, y))
$$
\n
$$
= \begin{cases}\n\mathcal{L}_{GD}, & T = T_g, D = D_g, \alpha = 0 \\
\mathcal{L}_{GED}, & T = T_f, D = D_g, \alpha = 0 \\
\mathcal{L}_{TAD}, & T = T_f, D = D_t, \alpha = 0 \\
\mathcal{L}_{TSD}, & T = T_f, D = D_t, \alpha = 1\n\end{cases}
$$
\n(6)

where $T \in \{T_g, T_f\}$, $D \in \{D_g, D_t\}$ and $\alpha \in \mathbb{S}$ $\{0, 1\}$. The overall algorithm is shown in Ap- 391 pendix [A.4.](#page-13-0) Put them together, ERNIE-Tiny **392** presents a smoothly transited distillation frame- **393** work to effectively compress a large teacher model **394** into a significantly smaller student model. The **395** advantage of each stage is shown in the ablation **396** studies. **397**

(6) **389**

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Method	Params	Speedup		MNLIm MNLImm	QQP			SST-2 ONLI MRPC RTE CoLA			$STS-B$ Avg.	
$BERT_{Base}(T.)$	109M	1x	84.6	83.4	71.2	93.5	90.5	88.9	66.4	52.1	85.8	79.6
DistilBERT	52.2M	3x	78.9	78.0	68.5	91.4	85.2	82.4	54.1	32.8	76.1	71.9
BERT-PKD	52.2M	3x	79.9	79.3	70.2	89.4	85.1	82.6	62.3	24.8	79.8	72.6
BERT-EMD	14.5M	9.4x	82.1	80.6	69.3	91.0	87.2	87.6	66.2	25.6	82.3	74.7
MobileBERT*	15.1M	8.6x	81.5	81.6	68.9	91.7	89.5	87.9	65.1	46.7	80.1	77.0
MiniLM(re.)	14.5M	9.4x	77.9	77.6	67.5	88.0	86.5	81.4	62.0	13.7	79.4	70.4
TinvBERT	14.5M	9.4x	82.5	81.8	71.3	92.6	87.7	86.4	66.6	44.1	80.4	77.0
ERNIE-Tiny	14.5M	9.4x	83.0	81.8	71.3	93.3	88.3	88.4	66.6	47.4	82.3	78.0

Table 2: GLUE test results that are scored by GLUE evaluation server. The state-of-the-art results are in bold. All methods adopt $BERT_{Base}$ as teacher model, excluding MobileBERT. MobileBERT* is distilled from IB-BERT, which has the same amount of parameters with $BERT_{Large}$. The architecture of ERNIE-Tiny, BERT-EMD, MiniLM and TinyBERT is ($L=4$, $d=312$, $d_f = 1200$). MiniLM on this table is reproducted by us. BERT-PKD and DistilBERT is (L=4, d=768, d_{ff} =3072). MobileBERT is (L=24, d=128, d_{ff} =512) with different transformer architecture design. Please refer to Appendix [A.6](#page-13-1) for how the speedup is calculated.

³⁹⁸ 4 Experiment

 In this section, we first evaluate ERNIE-Tiny on English datasets and compare it with existing works. Then, we evaluate ERNIE-Tiny on Chinese datasets. After that, ablation studies and discus- sions are presented to analyze the contribution of each stage.

405 4.1 Evaluation on English Datasets

406 4.1.1 Downstream Tasks

 General Language Understanding Evaluation (GLUE) benchmark [\(Wang et al.,](#page-11-5) [2019\)](#page-11-5) is cho- sen to evaluate ERNIE-Tiny. It is a well-studied collection of NLP tasks, including textual entail- ment, emotion detection, etc. Please refer to Ap-pendix [A.2](#page-12-0) for details.

413 4.1.2 Experiment Setup

 For a fair comparison, we adopt pretrained 415 **[B](#page-9-0)ERT**_{Base} checkpoint released by the author [\(De](#page-9-0)[vlin et al.,](#page-9-0) [2019\)](#page-9-0) as pretrained teacher. BERT_{Base} is a 12-layer transformer-based model with hidden size of 768 and intermediate size of 3072, account- ing for 109M parameters in total, pretrained on English Wikipedia and BooksCorpus [\(Zhu et al.,](#page-11-6) [2015a\)](#page-11-6). To obtain finetuned teachers, we finetune **pretrained BERT**_{Base} on each task as the finetuned teachers. Following existing works [\(Jiao et al.,](#page-9-9) [2020\)](#page-9-9), we adopt a 4-layer model with hidden size of 312 and intermediate hidden size of 1200 as our student. The hyper-parameters for each task are in Appendix [A.9.](#page-14-0) We use GLUE as the task-specific data which is the training data in TAD and TD. We also adopt English Wikipedia and BooksCorpus on 430 which BERT_{Base} is pretrained as the general data which is the training data in GD and GED. This ensures that no additional resources or knowledge **432** are involved. Recall that a finetuned teacher and **433** general data are combined to perform distillation **434** during GED. 435

4.1.3 Results on English Datasets **436**

We compare ERNIE-Tiny with several baselines. 437 The results of MobileBERT [\(Sun et al.,](#page-10-7) [2020\)](#page-10-7), **438** [T](#page-10-15)inyBERT [\(Jiao et al.,](#page-9-9) [2020\)](#page-9-9) and BERT-EMD [\(Li](#page-10-15) **439** [et al.,](#page-10-15) [2020\)](#page-10-15) are quoted from their paper. As BERT- **440** PKD [\(Sun et al.,](#page-10-8) [2019a\)](#page-10-8) and DistilBERT [\(Ren et al.,](#page-10-9) **441** [2020\)](#page-10-9) do not experiment with 4-layer model, we **442** quote the results from the TinyBERT's implemen- **443** tation [\(Jiao et al.,](#page-9-9) [2020\)](#page-9-9). We report test set results **444** evaluated by the official GLUE server, summarized **445** in Table [2.](#page-5-1) Since MiniLM [\(Wang et al.,](#page-11-3) [2020\)](#page-11-3) **446** do not report test results on GLUE, We reproduce **447** a 4-layer MiniLM for comparison. ERNIE-Tiny **448** outperforms TinyBERT, DistilBERT, BERT-PKD, **449** MiniLM and BERT-EMD across most tasks and **450** exceeds SOTA by 1.0% GLUE score. Compared **451** with its teacher $BERT_{Base}$, $ERNIE-Timy$ retains 452 98.0% performance while is 7.5x smaller and 9.4x **453** faster for inference. 454

4.2 Evaluation on Chinese Datasets **455**

We have also conducted experiments on 5 Chi- **456** nese datasets, and ERNIE-Tiny outperforms base- **457** line models. Particularly, with equipping a strong **458** teacher ERNIE 2.0_{Base} [\(Sun et al.,](#page-10-12) [2019c\)](#page-10-12), ERNIE- 459 Tiny even outperforms a 12-layer $BERT_{Base}$. 460 Please refer to Appendix [A.5](#page-13-2) for details. 461

4.3 Ablation Studies **462**

We perform ablation studies on each stage involved 463 in ERNIE-Tiny. To better illustrate the contribution **464** of each stage, we divide them into two categories **465**

Method		MNLIm MNLImm MRPC CoLA Avg.			
BERT _{Base} (T.) \vert	84.5	84.6	86.8	61.3 79.3	
ERNIE-Tiny	83.0	83.0	86.9	$\begin{array}{ c c c }\n 50.0 & 75.7 \\ 44.9 & 72.3 \\ \end{array}$	
w/o GED	80.7	80.8	85.0		

Table 3: Ablation study on distillation with general data. (T.) denotes the teacher model. The model with only GD employ the same training computations with ERNIE-Tiny.

 based on the training data used: GD and GED as general data based distillation; TAD and TSD as task-specific data based distillation. Experiments in this section follow the experiment setup in Sec- tion [4.1.2.](#page-5-2) All results in this section are obtained by taking the average on the dev set result of 5 runs.

 Effect of General Data Based Distillation To analyze the contribution of general data, we per- form ablation studies on 2 low-resource tasks MRPC and CoLA, and 1 high-resource task MNLI. As general data is utilized in GD and GED, we construct 2 different settings of ERNIE-Tiny to demonstrate the effect of distilling with general data by removing GED. For a fair comparison, we have increased the training steps of GD to keep the number of training computations the same. It means that we increase the training steps of GD in experiment w/o GED in Table [3](#page-6-0) such that the total number of training steps of this experiment equals that of ERNIE-Tiny (i.e., the former has GD with 1000k steps while the latter has GD with 500k steps and GED with 500k steps). This setting aims to remove GED and leave all other settings, includ- ing the number of training steps the same to show the performance gain comes from GED strategy, rather than the additional computation. As shown in Table [3,](#page-6-0) removing GED significantly worsens the performance of distilled student, suggesting that general data plays an important role in distilla- tion. Recall that the only difference between GED and GD is that GED equips a finetuned teacher model. Compared with pretrained treacher, fine- tuned teacher captures task-specific information and is able to extract task-specific knowledge from general data. The results show that ERNIE-Tiny exceeds the one without GED by 3.4% average score, indicating that GED has a more significant contribution than GD on distillation.

504 Effect of Task-specific Data Based Distillation **505** To demonstrate the effectiveness of distillation on

506 task-specific data, we vary the training process

Method				MNLIm MNLImm MRPC CoLA Avg.	
$BERT_{Base}$ (T.)	84.5	84.6	86.8	61.3	79.3
ERNIE-Tiny	83.0	83.0	86.9	50.0	75.7
w/o TAD	80.3	80.7	86.5	39.3	71.7
w/o TAD&TSD w/ FT	81.4	81.9	83.5	20.8	66.9

Table 4: Ablation study on distillation with task-specific data. FT denotes finetuning model directly. Three experiments used the same number of training computations.

when performing distillation on task-specific data **507** and summarize the results in Table [4.](#page-6-1) We keep 508 the training computations of three experiments the **509** same by increasing the steps of TAD and finetuning. 510 The results show that solely removing TAD consis- **511** tently leads to a performance drop across all tasks. **512** Note that although TAD only differs from TSD in **513** that TAD has only \mathcal{L}_{Lat} involved while the loss 514 in TSD comprises \mathcal{L}_{Lat} , \mathcal{L}_{Soft} and \mathcal{L}_{Hard} . Table 515 [4](#page-6-1) shows that without the task-adaptive distillation **516** step, the average score dropped from 75.7 to 71.7, 517 verifying that TAD is essential. The results verify **518** that the transition smoothing brought by TAD is **519** crucial to the effectiveness of distillation. We then **520** remove distillation on task-specific data entirely **521** (i.e. TAD and TSD) and only finetune student of **522** task-specific data, and find significant performance **523** degradation. This indicates that distillation on task- **524** specific data is non-negligible. **525**

Effect of Student Capacity To illustrate the ef- **526** fect of the student model size, we enlarge the size **527** of the student model to have the same size as the **528** teacher model. As shown in Table [5,](#page-7-0) an ERNIE- **529** Tiny with the original model size can exceed the **530** teacher by 0.4% average score. 531

4.4 Discussion **532**

In this section, we analyze how general-enhanced **533** distillation benefits the effectiveness of distillation. **534** Experiments in this section follows the setup in **535** Section [4.1.2.](#page-5-2) **536**

General Data as Supplement to Task-specific **537** Data ERNIE-Tiny transfers task-specific knowl- **538** edge from finetuned teacher over *general data* **539** to student model in GED, while it transfers task- **540** specific knowledge over *task-specific data* in TAD **541** and TSD. General data in GED can be regarded **542** as a supplement to task-specific data. The effect **543** of additional data should be more significant on **544** low-resource tasks. To illustrate this, we select the **545** relatively large datasets MNLI, QNLI and QQP **546** from GLUE and vary them to 1%, 10%, and 50% **547** of the original size to simulate low-resource tasks. **548**

Method		MNLIm MNLImm MRPC CoLA			Avg.
BERT _{Base} (L=12;d=768;d _{ff} =3072) (T.)	84.5	84.6	86.8	61.3	79.3
ERNIE-Tiny $(L=4; d=312; d_{ff}=1200)$	83.0	83.0	86.9		50.0 75.7 (-3.6)
ERNIE-Tiny ($L=12; d=768; d_{ff}=3072$)	84.6	84.9	87.3	62.1	\mid 79.7 (+0.4)

Table 5: Ablation study on student capacity. (T.) is the teacher model.

Method	MNLIm	MNLImm	ONLI	QQP	Avg.				
1% of labeled data									
$BERT_{Base}$ (T.)	67.0	69.3	78.4	71.3	71.5				
ERNIE-Tiny	65.2	67.4	75.4	70.8	69.7				
w / α GED	57.7	60.5	75.4	69.4	65.8				
gain of GED	$+7.5$	$+6.9$	$+0.0$	$+1.4$	$+4.0$				
10% of labeled data									
$BERT_{Base}$ (T.)	76.4	77.3	86.9	79.7	80.1				
ERNIE-Tiny	74.5	75.0	82.4	78.1	77.5				
w / α GED	69.1	69.8	82.4	78.2	74.9				
gain of GED	$+5.4$	$+5.2$	$+0.0$	-0.1	$+2.6$				
50% of labeled data									
$BERT_{Base}$ (T.)	80.5	81.9	90.1	84.2	84.2				
ERNIE-Tiny	79.3	80.1	84.2	83.5	81.8				
w / α GED	75.3	76.4	83.5	83.3	79.6				
gain of GED	$+4.0$	$+3.7$	$+0.7$	$+0.2$	$+2.2$				

Table 6: Ablation study on labeled data size.

 The resulting data sizes are listed in Appendix [A.3.](#page-13-3) 550 Then we finetune BERT_{Base} to obtain finetuned teacher and perform distillation on student model with the finetuned teacher for each configuration. Results are presented in Table [6,](#page-7-1) from which we can see that the gain from GED is impressive when less task-specific data is used, especially when only 1% of the dataset can be used, the gain of GED can reach 4%, showing the importance of our method.

 Generalization Gain by GED Besides its ben- efits on low-resource tasks, GED can also be con- sidered as a stage to improve the generalization of the student, as it allows the student to capture task-specific knowledge on a much larger dataset. Several works [\(Laine and Aila,](#page-9-10) [2016;](#page-9-10) [Sajjadi et al.,](#page-10-10) [2016;](#page-10-10) [Miyato et al.,](#page-10-11) [2018;](#page-10-11) [Goodfellow et al.,](#page-9-11) [2014\)](#page-9-11) succeeded in using random image augmentation to improve generalization performance for semi- supervised tasks. Similarly, at this stage, the hid- den representation information still contains task- specific data distribution information, which can be used to compensate for the sparse task data and augment the feature representations. This leads to improving the generalization of the student model. To show that, we first distill ERNIE-Tiny on MNLI and then evaluate it on out-of-domain datasets in- cluding SNLI [\(Bowman et al.,](#page-9-16) [2015\)](#page-9-16) and RTE. As RTE is a 2-class classification task while MNLI

is a 3-class classification task, we simply drop the **577** "neural" and take argmax of "entailment" and "not **578** entailment" when calculating accuracy on RTE. As **579** shown in Table [7,](#page-7-2) experiment with GED exceed 580 those without GED by a large margin. Specifically, **581** with GED and TAD, the out-of-domain SNLI and **582** RTE can improve 12 and 18.4 percent points re- **583** spectively. In particular, although removing one **584** of GED or TAD results in similar MNLI accu- **585** racy, the experiment with GED significantly outper- **586** forms the one without GED on all out-of-domain **587** datasets, demonstrating the generalization benefit **588** led by GED. Another interesting observation is that **589** adding TAD can also be beneficial to the general- **590** ization of the student. **591**

5 Conclusion **⁵⁹²**

In this paper, we propose a progressive distillation **593** framework ERNIE-Tiny to compress PLMs. Four- **594** stage distillation is introduced to smooth the transi- **595** tion from pretrain distillation to finetune distillation. **596** In particular, general-enhanced distillation employs **597** finetuned teacher to deliver enhanced knowledge **598** over general data to student model, boosting the **599** generalization of student model. Task-adaptive dis- **600** tillation further smooths transition via carefully 601 designed learning objectives. ERNIE-Tiny dis- **602** tilled from BERT_{Base} retains 98% performance 603 with 9.4x faster inference speed, achieving SOTA 604 on GLUE benchmark with the same amount of pa- **605** rameters. Our 4-layer ERNIE-Tiny distilled from **606** Chinese ERNIE2.0 $_{Base}$ also outperforms 12-layer 607 Chinese BERT_{Base}. Our work didn't apply larger 608 unlabeled general data such as C4 [\(Raffel et al.,](#page-10-3) **609** [2019\)](#page-10-3). More efficient data utilization is left for **610** future work. **611**

6 Broader Impact

 As we have introduced four stages in our frame- work, it naturally causes concerns about the com- putation cost brought by our method. One metric to measure the computation cost is carbon foot- print introduced in [\(Patterson et al.,](#page-10-16) [2021\)](#page-10-16), and the calculation equation is shown as following:

619
$$
F = (\mathcal{E}_{\text{train}} + q \times \mathcal{E}_{\text{inference}}) \times CO_2/KWh, \qquad (7)
$$

620 where $\mathcal{E}_{\text{train}}$ and $\mathcal{E}_{\text{inference}}$ is the energy for train- ing and inference respectively. q is the number of calling for model inference, CO_2/KWh is the emission of CO² per KW h. Assume the hardware and software environment are the same for teacher and student, the only factors affects equation [7](#page-8-0) are the computation FLOPS and number of q. Figure [3,](#page-8-1) shows the total cost for ERNIE-Tiny and BERT, including the total training cost and inference cost. Please refer to [A.8](#page-14-1) for the calculation details. It can be seen that at a certain point of q, the computation for ERNIE-Tiny is much lower than BERT with nearly 10x.

Figure 3: Cost Comparison Between ERNIE-Tiny and BERT with number of queries. The axes are shown in log scale.

 ERNIE-Tiny distillation framework might seem expensive at first glance as it brings additional computation requirements compared to other exist- ing works. However, as shown in Figure [3,](#page-8-1) when the number of model inferences is large enough, ERNIE-Tiny requires less computational resources than directly inferring with BERT. That being said, ERNIE-Tiny is more suitable for the scenarios where the number of model inferences is large such as real-life servers, and less suitable for those with small number of model inferences required.

 Applying ERNIE-Tiny on real-life application with large number of requests, ERNIE-Tiny can significantly reduce carbon emission by 10x com-paring to inferring with BERT. Furthermore, we

have also discussed some interesting research ques- **648** tions in Appendix ??. 649

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937 **A Appendix**

938 A.1 Pretraining Dataset Details

 For ERNIE-Tiny, GD and GED are trained on pre- training dataset. Specifically, we use Wikipedia [1](#page-12-1) **(English Wikipedia dump¹; 12GB), BookCorpus** [\(Zhu et al.,](#page-11-7) [2015b\)](#page-11-7) (4.6GB) for those two steps. Table [8](#page-12-2) shows statistics of the pretraining data.

Table 8: Pretraining data statistics.

944 A.2 Task Dataset Details

 GLUE The General Language Understanding Evaluation (GLUE) benchmark is a well-studied collections of nine natural language understanding tasks, including:

- 949 **CoLA**: The Corpus of Linguistic Acceptabil-**950** ity (CoLA)[\(Warstadt et al.,](#page-11-8) [2019\)](#page-11-8) is com-**951** monly used to judge whether a sentence con-**952** forms to the syntax specification, consisting **953** of 10657 sentences from 23 linguistics, an-**954** notated for acceptability (grammatically) by **955** their original authors.
- **956** SST-2: The Stanford Sentiment Treebank **957** (SST-2)[\(Socher et al.,](#page-10-17) [2013\)](#page-10-17) is a sentiment **958** analysis task consisting of 9645 movie re-**959** views.
- **960** MNLI: Multi-genre Natural Language Infer-**961** ence (MNLI)[\(Williams et al.,](#page-11-9) [2017\)](#page-11-9) is a tex-**962** tual inference task, including 433k sentence **963** pairs annotated with textual entailment infor-**964** mation.
- **965** RTE: Recognizing Textual Entailment **966** (RTE)[\(Bentivogli et al.,](#page-9-17) [2009\)](#page-9-17) is a Natural **967** Language Inference task, similar to MNLI.
- **968** WNLI: Winograd Natural Language Infer-**969** ence (WNLI)[\(Levesque et al.,](#page-10-18) [2012\)](#page-10-18) is a task **970** that needs capturing the coreference informa-**971** tion between two paragraphs.
- 97[2](#page-12-3) **QQP**: Quora Question Pairs (QQP)² is a task **973** for detecting whether the question pairs are

duplicates or not, consisting of over 400,000 **974** sentence pairs with data extracted from Quora **975** QA community. **976**

- MRPC: Microsoft Research Paraphrase Cor- **977** pus (MRPC)[\(Dolan and Brockett,](#page-9-18) [2005\)](#page-9-18) is a **978** task that requires the model to capture the **979** paraphrase or semantic relationship between **980** a pair of sentences. it contains 5800 pairs of **981** sentences extracted from web-crawled news. **982**
- STS-B: The Semantic Textual Similarity **983** Benchmark (STS-B)[\(Cer et al.,](#page-9-19) [2017\)](#page-9-19) contains **984** a selection of English datasets containing texts **985** from image captions, news headlines, and user **986** forums. **987**
- QNLI: Question Natural Language Inference **988** (QNLI)[\(Rajpurkar et al.,](#page-10-19) [2016;](#page-10-19) [Wang et al.,](#page-11-10) **989** [2018a\)](#page-11-10) is a task that requires the mode to clas- **990** sify if the given premise is the answer to the **991** hypothesis. **992**

Chinese Datasets We have chosen the following **993** 5 Chinese NLP datasets to evaluate ERNIE-Tiny. **994** Like GLUE, the collections of Chinese datasets **995** also covers various NLP tasks. The details of the **996** chosen Datsets are listed below: **997**

- XNLI [\(Conneau et al.,](#page-9-20) [2018\)](#page-9-20): The Cross- **998** lingual Natural Language Inference (XNLI) is **999** the extension of MNLI to multiple languages. **1000** The train set of XNLI is translated by ma- **1001** chines, and the dev set is translated by hu- **1002** man experts. We took the Chinese version of **1003** XNLI. **1004**
- **ChnSentiCorp**^{[3](#page-12-4)}: ChnSentiCorp consists of 1005 9600 samples collected from hotel reviews **1006** and is annotated for sentiment analysis. **1007**
- **MSRA-NER (SIGHAN 2006) [\(Zhang et al.,](#page-11-11)** 1008 [2006\)](#page-11-11): MSRA-NER is a named entity recog- **1009** nition task containing 21000 examples anno- **1010** tated into three types: people, location, and **1011** organization. **1012**
- LCOMC [\(Liu et al.,](#page-10-20) 2018): LCOMC is a text 1013 similarity task consisting of 260,068 query- 1014 paragraph pairs collected from search engine **1015** logs. The similarity between question and 1016 paragraph is annotated by human experts. **1017**

• **NLPCC-DBQA**^{[4](#page-12-5)}: DBQA is a QA task 1018

¹ https://dumps.wikimedia.org/enwiki/

² https://www.quora.com/q/quoradata/First-Quora-Dataset-Release-Question-Pairs

³ https://github.com/pengming617/bert_classification 4 http://tcci.ccf.org.cn/conference/2016/dldoc/evagline2.pdf

Corpus	Task	#Train	#Dev	#Test	#Label	Metrics
CoLA	Acceptability	8.5k	1k	1k	2	Matthews corr
$SST-2$	Sentiment	67k	872	1.8k	2	Accuracy
MNLI	NLI	393k	20k	20k	3	Accuracy
RTE	NLI	2.5k	276	3k	2	Accuracy
WNLI	NLI	634	71	146	2	Accuracy
QQP	Paraphrase	364k	40k	391k	2	Accuracy/F1
MRPC	Paraphrase	3.7k	408	1.7k	2	Accuracy/F1
STS-B	Similarity	7k	1.5k	1.4k		Pearson/Spearman corr
ONLI	QA/NLI	108k	5.7k	5.7k	2	Accuracy

Table 9: The details of GLUE benchmark. The #Train, #Dev and #Test denote the size of the training set, development set and test set of corresponding corpus respectively. The #label denotes the size of the label set of the corresponding corpus.

 consisting of 182K question-document pairs. Though one-to-many relation is presented in training data, we cast this task into a sentence-pair classification problem.

1023 A.3 Size of Resulted Low-source Datasets

 We vary the task-specific dataset size of MNLI, QNLI, and QQP tasks to 1%, 10%, and 50% of the original size, the resulting data sizes are listed in Table [12.](#page-14-2)

1028 A.4 Algorithm

Algorithm 1 ERNIE-Tiny Progressive Distillation Framework

1029 Algorithm [1](#page-13-4) shows the overall procedure of 1030 **ERNIE-Tiny.** E_{GD} , E_{GED} , E_{TAD} , E_{TD} , β_{GD} , 1031 β_{GED}, β_{TAD} and β_{TD} are the training steps and learning rate of these four stage respectively. As **1032** shown in this algorithm, the student resulted from 1033 each stage are used as initialization for next stage. **1034**

A.5 Evaluation on Chinese Datasets **1035**

Dataset 5 Chinese NLP datasets are chosen for **1036** [e](#page-9-20)valuating ERNIE-Tiny, including XNLI [\(Con-](#page-9-20) **1037** [neau et al.,](#page-9-20) [2018\)](#page-9-20) for natural language inference, **1038** LCQMC [\(Liu et al.,](#page-10-20) [2018\)](#page-10-20) for semantic similarity, **1039** ChnSentiCorp[5](#page-13-5) for sentiment analysis, NLPCC- **1040** DBQA^{[6](#page-13-6)} for question answering and MSRA-NER 1041 [\(Zhang et al.,](#page-11-11) [2006\)](#page-11-11) for named entity recognition. **1042** All results reported in this section are calculated by 1043 taking the average on the dev set result of 5 runs. **1044** Please refer to Appendix [A.9](#page-14-0) for details. **1045**

Result Since most of the compression models 1046 do not experiment on Chinese tasks, we reproduce **1047** TinyBERT for comparison. Both TinyBERT and **1048** ERNIE-Tiny are distilled from an strong teacher **1049** Chinese ERNIE2.0 $_{Base}$ [\(Sun et al.,](#page-10-12) [2019c\)](#page-10-12) instead 1050 of Chinese $BERT_{Base}$. It can be seen in Table [11](#page-14-3) 1051 that ERNIE-Tiny outperforms Chinese $BERT_{Base}^7$ $BERT_{Base}^7$ on XNLI, LCQMC, ChnSentiCorp and NLPCC- **1053** DBQA, and exceeds it by 0.4% average score over 1054 the five datasets, while being 7.5x smaller and 9.4x 1055 faster in inference time. **1056**

1052

A.6 Speed Up Calculation **1057**

We followed how TinyBERT [\(Jiao et al.,](#page-9-9) [2020\)](#page-9-9) 1058 evaluates inference speedup (i.e. evaluating the **1059** inference time on a single NVIDIA K80 GPU) and **1060** obtained the same result with TinyBERT as our **1061**

⁵ https://github.com/pengming617/bert_classification

⁶ http://tcci.ccf.org.cn/conference/2016/dldoc/evagline2.pdf 7 https://github.com/google-research/bert

Corpus	Task	#Train	#Dev	#Test	#Label	Metrics
XNLI	NLI	392k	2.5k	2.5k		Accuracy
ChnSentiCorp	SА	9.6k	1.2k	1.2k	2	Accuracy
MSRA-NER	NER	21k	2.3k	4.6k		F1
LCOMC	SS	240 _k	8.8k	12.5k		Accuracy
NLPCC-DBQA	QA	182k	41k	82k		mrr/F1

Table 10: The details of Chinese NLP datasets. The #Train, #Dev and #Test denote the size of the training set, development set and test set of corresponding corpus respectively. The #label denotes the size of the label set of the corresponding corpus.

Method	Params					Speedup XNLI LCQMC ChnSentiCorp NLPCC-DBQA	MSRA-NER	Avg.
ERNIE2.0 $_{Base}$ (T.)	109M	lх	79.8	87.5	95.5	84.4	95.0	88.4
$BERT_{Base}$	109M	1x	77.2	87.0	94.3	80.9	92.3	86.3
TinyBERT (re.)	14.5M	9.4x	76.3	86.8	94.2	81.8	87.3	85.3
ERNIE-Tiny	14.5M	9.4x	77.6	88.0	94.9	82.2	90.8	86.7

Table 11: Test Results of Chinese Tasks. TinyBERT on this table is reproducted by us. The teacher of TinyBERT and ERNIE-Tiny($L=4$, $d=312$, $d_{ff}=1200$) are set to Chinese ERNIE2.0 B_{Base} . Both BERT B_{Base} and ERNIE2.0 B_{Base} is (L=12, d=768, d_{ff} =3072).

proportion	MNLI	ONLI	OOP
1%	3927	1047	3638
10%	39270	10474	36384
50%	196351	52371	181925

Table 12: Number of labeled data.

1062 model has the same architecture and parameters as **1063** TinyBERT

1064 A.7 Sensitive To Hyperparameters

 We empirically found that the final performance is insensitive to most hyper-parameters and most hyper-parameters in our experiment can be adopted in practice, except for the number of training steps in TAD which requires adjustment based on the size of the task datasets (e.g. reduce it for large task datasets). Take the hyper-parameters used in our experiment as examples, the hyper-parameters for experiments with Chinese datasets are mostly the same as for GLUE.

1075 A.8 Details on Computation Cost

Arch.	Train	Inference		
$BERT_{Base}$	$6.43E+19$	$1.37E + 11$		
ERNIE-Tiny	5.79E+19	$2.24E+10$		

Table 13: Computation FLOPS for both training and inference.

In this section, we will describe the calculation **1076** details for Figure [3.](#page-8-1) As shown in Table [13,](#page-14-4) we **1077** list the training and inference FLOPS for both 1078 $BERT_{Base}$ and ERNIE-Tiny respectively 8 . The 1079 training computation cost is recorded for total train- **1080** ing process, and the inference computation cost is **1081** recorded for one sample feedfoward. So the total **1082** distillation cost for ERNIE-Tiny can be calculated **1083** as **1084**

$$
C_T = T_{student} + I_{teacher} \times S \times Batchsize,
$$

where $T_{student}$ denotes that FLOPS caused by stu- 1086 dent training such as forward/backward propaga- **1087** tion and updating parameters which can be found 1088 in Table [13.](#page-14-4) $I_{teacher}$ denotes the FLOPS for a sin- 1089 gle inference or forward propagation on Teacher. **1090** S denotes the total training steps. **1091**

The inference cost for ERNIE-Tiny can be cal- **1092** culated as **1093**

$$
C_{Infer} = I_{student} \times S \times Batchesize,
$$

where $I_{student}$ denotes the FLOPS for a single in- 1095 ference or forward propagation on ERNIE-Tiny. **1096**

A.9 Implementation Details **1097**

Table [14](#page-16-0) gives the detailed hyper-parameters used 1098 in our ablation experiment on GLUE tasks. Note **1099**

⁸Those result can be calculated with the opensourced scripts at https://tinyurl.com/47ercmu9

Hyper parameters	MNLI	QQP	QNLI	RTE	SST-2	STS-B	MRPC	CoLA		
GD										
batch size	2000	2000	2000	2000	2000	2000	2000	2000		
learning rate	$4e-4$	$4e-4$	$4e-4$	$4e-4$	$4e-4$	$4e-4$	$4e-4$	$4e-4$		
training steps	500K	500K	500K	500K	500K	500K	500K	500K		
optimizer	Adam	Adam	Adam	Adam	Adam	Adam	Adam	Adam		
warmup steps	5000	5000	5000	5000	5000	5000	5000	5000		
dropout rate	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
GED										
batch size	1280	1280	1280	1280	1280	1280	1280	1280		
learning rate	$2e-4$	$2e-4$	$2e-4$	$2e-4$	$2e-4$	$2e-4$	$2e-4$	$2e-4$		
training steps	500K	500K	500K	500K	500K	500K	500K	500K		
optimizer	Adam	Adam	Adam	Adam	Adam	Adam	Adam	Adam		
warmup steps	500	500	500	500	500	500	500	500		
dropout rate	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
TAD										
batch size	256	256	256	128	128	128	128	128		
learning rate	$5e-5$	$5e-5$	$5e-5$	$5e-5$	$5e-5$	$5e-5$	$5e-5$	$5e-5$		
epoch	5	5	5	10	10	10	10	50		
optimizer	Adam	Adam	Adam	Adam	Adam	Adam	Adam	Adam		
warmup proportion	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01		
dropout rate	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
TSD										
batch size	256	256	256	128	128	128	128	128		
learning rate	$1e-5$	$1e-5$	$1e-5$	$3e-5$	$3e-5$	$3e-5$	$3e-5$	$3e-5$		
epoch	3	3	3	3	3	3	3	3		
optimizer	Adam	Adam	Adam	Adam	Adam	Adam	Adam	Adam		
warmup proportion	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01		
dropout rate	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		

Table 14: Hyper-parameters for ablation studies on GLUE tasks.

Table 15: Hyper-parameters for evaluation on Chinese Datasets.