# **Reconfidencing LLMs from the Grouping Loss Perspective**

**Anonymous ACL submission** 

#### Abstract

001 Large Language Models (LLMs), such as GPT and LLaMA, are susceptible to generating hal-002 lucinated answers in a confident tone. While previous efforts to elicit and calibrate confi-004 005 dence scores have shown some success, they often overlook biases towards certain groups, such as specific nationalities. Existing calibration methods typically focus on average performance, failing to address this disparity. In our study, we demonstrate that the concept 011 of grouping loss is an effective metric for understanding and correcting the heterogeneity 012 in confidence levels. We introduce a novel evaluation dataset, derived from a knowledge base, specifically designed to assess the confidence scores of LLM responses across different groups. Our experimental results highlight significant variations in confidence, which are accurately captured by grouping loss. To tackle this issue, we propose a new method to calibrate the confidence scores of LLMs by considering different groups, a process we term reconfidencing. Our findings indicate that this 024 approach effectively mitigates biases against minority groups, contributing to the development of fairer LLMs.

#### 1 Introduction

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While Large Language Models (LLMs) such as ChatGPT (OpenAI, 2022) and LLaMA (Touvron et al., 2023) can generate responses that are fluent and plausible, they can also provide incorrect and untruthful information in a confident and compelling tone. This phenomenon, often called hallucination, poses a notable challenge to their use (Ji et al., 2023; Baan et al., 2023).

In response, extensive research has focused on estimating the confidence (or uncertainty) of LLM answers (Huang et al., 2023; Zhang et al., 2023). Through expressions of confidence levels, we know to what degree to trust a statement rather than blindly believing. Figure 1 illustrates an ideal user Tell me something about Albert Einstein e.g., a short bio with the birth date and place

Albert Einstein was a German-born theoretical physicist who is widely held to be one of the greatest scientists of all time. Born in the German Empire, on January 14, 1879, Einstein grew up in Ulm, Germany. In 1905, he submitted a successful PhD dissertation to the Humboldt University of Berlin

Figure 1: **Desired user experience** – An illustration of our goals of eliciting confidence levels in LLMs. High confidence scores are represented in green, while red indicates a higher likelihood of encountering hallucinated sentences.

experience, where LLMs document sentence-level confidence in their answers. Methods of estimating confidence can be categorized into two groups: White-box and Black-box methods. White-box methods require access to internal states (Azaria and Mitchell, 2023) or model logits (Lin et al., 2022a) while Black-box methods rely solely on text responses to obtain confidence scores. In cases where the LLM allows only restricted access to internal states (e.g., ChatGPT), black-box methods are more suitable. These methods establish confidence scores by analyzing the consistency of multiple answers to a single query (Kuhn et al., 2022; Manakul et al., 2023) or by creating specific prompts to capture expressed confidence scores (Zhou et al., 2023; Xiong et al., 2023; Tian et al., 2023).

Although some methods use calibration to adjust the predictions of a model to better match the true probabilities (Hendrycks et al., 2021; Gawlikowski et al., 2021; Mielke et al., 2022; Tian et al., 2023), these approaches predominantly concentrate on average performance metrics, often neglecting the heterogeneity among different groups. Consequently, calibration alone proves inadequate. Even when a 042



Figure 2: Calibration curves of the Birth\_Date relation. The LLM here is Mistral-7B (MistralAI, 2023), and we use SelfCheckGPT (Manakul et al., 2023) to compute confidence scores. An increased number of \* symbols signifies a sub-group containing more popular samples.

calibration technique attains optimal average accuracy, the calibrated scores can still markedly deviate from the true posterior probabilities for specific groups of queries - a phenomenon known as the grouping loss (Kull and Flach, 2015; Perez-Lebel et al., 2023). As an example, let us consider a query that asks for the birth dates of people, as in "What is the birth date of Albert Einstein?". We submitted this guery for 5K people to an LLM (Mistral-7B, MistralAI, 2023), and generated a confidence score for each answer with a consistency-based method (SelfCheckGPT, Manakul et al., 2023). In a classic calibration analysis, we grouped the answers into buckets by their confidence score, and computed the observed ratio of correct answers in each bucket. Figure 2a shows the corresponding calibration curve for all test samples. The curve is close to the diagonal, which means that the confidence score is close to the true ratio of correct answers in each bucket. This picture changes a bit when we split our data into popular and less popular persons based on the backlink numbers. As shown in Figure 2b, answers on more popular entities tend to be better calibrated than answers on long-tail entities. The picture is even more dramatic when we split the people by nationality (Figure 2c): While the calibration is satisfactory for American and French individuals, it performs dismally for almost all Indian and Chinese people. This illustrates grouping loss: a model's calibration error may be small overall, but can be catastrophically large for certain sub-groups. A well-calibrated LLM might be biased, generating with high confidence untruthful information about a particular race, gender, etc. 100

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In this paper, we conduct a systematic study to measure the error of the confidence estimations. We create a new dataset that enables evaluating the quality of confidence scores for different types of groups. Our dataset consists of questions about entities (people, locations, etc.) and the ground truth from the YAGO knowledge base (Suchanek et al., 2024). In addition, our dataset contains features of the entities, such as popularity and nationality, which allows us to study sub-groups of entities. We evaluate two recently proposed methods for deriving confidence levels: SelfCheck-GPT (Manakul et al., 2023) and Just Ask for Calibration (Tian et al., 2023). To identify grouping loss, we use both user-defined and latent groups. User-defined groups rely on features (which may be hand-crafted) such as popularity and nationality, while latent groups are automatically identified by decision trees (Perez-Lebel et al., 2023). Experiments reveal that models like Mistral and LLaMA tend to be overly confident across all questions. In addition, they are more confident on some queries than others: they display grouping loss. To improve confidence scores, we propose an approach to adjust LLMs, tackling both calibration and grouping loss. The core idea is to calibrate the confidence score for each sub-group separately, a method we term reconfidencing. Experimental results show that our refined solution has a better performance in terms of Brier score and grouping loss.

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In summary, our contributions are threefold:

- We introduce a new framework and dataset to analyze the capability of LLMs to elicit confidence scores for different groups
- We prove the existence of the grouping loss in LLMs and compare the heterogeneity of confidence errors on both user-defined groups and implicit groups
- We propose a refined way to reconfidence 139 LLMs from a group-level perspective, which 140

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can reduce discrimination of minority groups and lead to fairer LLMs.

# 2 Related Work

### 2.1 Confidence Elicitation in LLMs

To alleviate the hallucination phenomenon, some methods attempt to elicit confidence (or uncertainty) scores for the generated answers of LLMs (Ji et al., 2023; Zhang et al., 2023; Huang et al., 2023). These efforts can be roughly categorized into two groups: *White-box* and *Black-box* methods. White-box methods need access to internal states or token logits while Black-box methods use only textual responses to compute confidence scores.

There are three primary white-box ways to encourage LLMs to express uncertainty in a humanlike manner: Verbalized Probability, Internal State, and Token Logit. The goal of verbalized probability is to teach models to convey its degree of certainty, as in I'm 90% sure that it is .... The models are fine-tuned on particular tasks (Lin et al., 2022a) to elicit probabilistic responses. The internal state method builds a classifier to detect the truthfulness of a statement, which receives as input the activation values of the hidden layers of an LLM (Azaria and Mitchell, 2023). The token logit method evaluates the probability distribution of the words in the answer. At each step, LLMs produce a probability distribution across the entire vocabulary. Analyzing the distribution allows us to compute corresponding entropy values, which serve as indicators of confidence (Fu et al., 2023; Manakul et al., 2023). Generally, factual statements tend to feature tokens with higher likelihood and lower entropy, while hallucinated texts are likely to come from positions with flat probability distributions with high uncertainty.

White-box methods need access to internal states or token logits which are unavailable for some LLMs such as ChatGPT. In such cases, one can use black-box methods, which rely solely on the textual answers of LLM. There are three main black box methods. The first relies on asking the same question to an LLM multiple times and assessing the coherence of its responses (Kuhn et al., 2022; Manakul et al., 2023; Lin et al., 2023; Xiong et al., 2023). If the answers contradict each other, one assumes a lack of confidence in the statement. The second method uses external resources and tools to verify the answers. For example, symbolic knowledge bases and search engines can be leveraged to fact-check LLM outputs (Gou et al., 2023; Agrawal et al., 2023). Finally, a third branch of approaches resorts to in-context learning prompts for obtaining confidence scores (Zhou et al., 2023; Xiong et al., 2023; Tian et al., 2023). 191

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#### 2.2 Confidence Calibration and Grouping Loss

Ideally, a model's confidence score should equal the actual probability of the answer being correct. Recent studies have shown that current powerful models are poorly calibrated: they are overconfident or (more seldom) under-confident. This holds both for modern neural networks (Guo et al., 2017) and LLMs like GPT (Hendrycks et al., 2021). Dedicated approaches have been proposed to calibrate these models (Gawlikowski et al., 2021; Jiang et al., 2021; Park and Caragea, 2022; Kadavath et al., 2022; Xiao et al., 2022; Mielke et al., 2022). Yet calibration is not enough: even a perfectly calibrated classifier can have confidence scores that are far from the true posterior probabilities for certain types of questions – a phenomenon known as the grouping loss (Kull and Flach, 2015). Perez-Lebel et al. (2023) recently contributed a measure for the grouping loss, which captures heterogeneity in the confidence score. They revealed grouping loss on pre-trained vision and text classifiers, but did not study generative models. In this work, we are the first to study the grouping loss of generative models. We are also the first to propose a method to reconfidence LLMs from the grouping loss perspective.

#### **3** Analyzing the Grouping Loss in LLMs

In this section, we aim to measure the calibration of existing confidence methods and identify the grouping loss in LLMs.

#### 3.1 Dataset Construction

To study the grouping loss in LLM confidence scores, we need control over the entities that appear in the questions, to vary their properties and examine calibration errors.

For this purpose, we construct a new evaluation dataset derived from the YAGO knowledge base (Suchanek et al., 2024). YAGO contains triples of a subject, a relation, and an object, as in  $\langle Albert \ Einstein, \ Birth \ Date, 1879-03-14 \rangle$ . We

select three relations: Birth Date, Founder, 239 and Composer. This choice is driven by the de-240 sire to cover different top-level classes (people, 241 organizations, and creative works). Furthermore, these relations have few objects per subject, which 243 makes it very likely that the KB contains the complete list of objects for a given subject (Galárraga 245 et al., 2015). Finally, the relations cover both functional relations (with one object per subject) and 247 non-functional ones (with potentially several ob-248 jects per subject). We collect around 10 thousand 249 triples for each relation. Each triple comes with a natural language question that we generate with a template, as in "What is the birth date of the person 252 Albert Einstein?". 253

> In addition, our dataset contains some handpicked facts about the subject of each triple such as nationality and gender. We also store the popularity of an entity, which we obtained by the Backlinks API<sup>1</sup> and YAGO, respectively. Table 1 shows the statistics of our dataset.

> Since we need to learn decision tree classifiers and calibrators in the subsequent experiments, the dataset is split into training, validation, and test sets according to the ratio of 0.25:0.25:0.50. All the following reported scores are based on the test set.

#### 3.2 Experimental Settings

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**LLMs.** In this experiment, we focus on instruction-aligned LLMs (Ouyang et al., 2022), which are widely used in various applications. Also, we study open-source models since it is necessary for our method to access internal input representations when reconfidencing LLMs, which we will talk about later. We consider three open-source LLMs with different sizes: LLaMA (Touvron et al., 2023), Mistral (MistralAI, 2023), and Mixtral (Jiang et al., 2024), all downloaded from HuggingFace. Note that our method is model-agnostic and can be applied to other LLMs as well.

Methods of Eliciting Confidence. We consider two Black-box methods for eliciting confidence scores: *Just Ask for Calibration* (Tian et al., 2023) and *SelfCheckGPT* (Manakul et al., 2023). Note that our framework is applicable to other confidence methods as well. Just Ask for Calibration (JAFC) uses dedicated prompts to elicit verbalized probabilities, which can yield better calibrations than the model's conditional probabilities. We follow the Verb. 1S top-n setting to extract numerical probabilities. It makes the LLM produce n guesses with probabilities, and the answer with the highest score is selected as the final output. The prompt used is shown in Appendix A.1. 286

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SelfCheckGPT detects hallucinations by comparing the consistency of multiple answers to the same query. We use the version of Natural Language Inference (NLI, also known as Textual Entailment) to compute the confidence score. NLI determines whether a premise entails a hypothesis, and classification labels belong to {*entailment*, *neutral*, *contradiction*} (see, e.g., (Helwe et al., 2022) for a formal probabilistic definition). Given a query q, we ask an LLM to obtain a main response, which can be regarded as a hypothesis with m sentences { $s_1, s_2, ..., s_m$ }. Then, we use the same query again to ask the LLM n times for obtaining the premise documents  $\mathcal{D} = \{d_1, d_2, ..., d_n\}$ . The NLI contradiction score is computed as:

$$P(\text{contradict}|s_i, d) = \frac{\exp(z_c)}{\exp(z_e) + \exp(z_c)} \quad (1)$$

where d is one premise document,  $z_e$  and  $z_c$  are the logits of the "*entailment*" and "*contradiction*" classes, respectively. This normalization ignores the neutral class and ensures that the probability is bounded between 0.0 and 1.0, where a higher value means it is more likely to hallucinate. The confidence score for each sentence in the main response is then defined as:

$$C_{\text{SelfCheckGPT}}(s_i) = 1 - \frac{1}{m} \sum_{j=1}^{m} P(\text{contradict}|s_i, d_j)$$
(2)

**Evaluation Protocol.** Since the same entity can have several names (Bill Gates, e.g., is called "William Henry Gates III"), we cannot rely solely on string matching to determine whether the answer of the LLM is correct. Therefore, we use an additional NLI model, as follows: The ground truth in YAGO is converted to a natural sentence, and we judge whether this premise entails the answer by the LLM. Moreover, a relation can have several objects per subject. For example, there are two composers for the song "*Rolling in the Deep*". Therefore, we iterate through all objects

<sup>&</sup>lt;sup>1</sup>www.mediawiki.org/wiki/API:Backlinks. The backlink number shows an entity appears how many times in other Wikipedia pages

Relation	Size	Head	Tail	Query Example	Answer Example
Birth_Date	10,000	Person	Date	What is the birth year of the person Albert Einstein?	1879
Founder	10,000	Business	Person	Who founded the business Microsoft?	Bill Gates
Composer	9,419	Music	Person	Who composed the song Rolling in the Deep?	Adele

Table 1: Description of our evaluation dataset. Note that there might be multiple answers for the founder and composer relations and we predict only the birth year for the Birth\_Date relation.

Method	в	Birth_Date			Founder		Composer		
	Brier \downarrow	CL↓	$GL \downarrow$	Brier \downarrow	CL ↓	GL↓	Brier \downarrow	CL↓	$GL \downarrow$
LLaMA-7B-JAFC	84.38	60.4	1.61	105.55	79.34	0.88	86.78	56.83	2.1
Mistral-7B-JAFC	150.18	139.02	0.38	160.62	143.7	0.82	128.47	94.66	9.55
LLaMA-7B-SelfCheckGPT	54.08	33.56	0.28	49.99	26.47	0.55	58.67	25.56	4.67
Mistral-7B-SelfCheckGPT	<b>11.43</b>	<b>1.34</b>	<b>0.21</b>	<b>21.72</b>	<b>9.65</b>	<b>0.03</b>	<b>24.17</b>	<b>3.84</b>	<b>0.95</b>

Table 2: Evaluating calibration of various confidence methods. Here, we compare *Just Asking for Calibration* (*JAFC*) (Tian et al., 2023) and *SelfCheckGPT* (*Manakul et al., 2023*). CL and GL mean calibration loss and grouping loss, respectively. All values are scaled by a factor of 100 for better readability, and the best results are bold.

in the ground truth and label the LLM answer as correct if it corresponds to any of these objects. We manually validated 50 randomly selected samples and all assessments were correct. We use the De-BERTa (He et al., 2021) model <sup>2</sup> fine-tuned on the NLI data set MNLI (Williams et al., 2018).

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Metrics. Given the observed binary labels Y, the true posterior probabilities Q, confidence scores C obtained from a model P(Y), and the corresponding average true posterior probabilities A, the divergence of proper scoring rules can be decomposed as (Kull and Flach, 2015; Perez-Lebel et al., 2023):

$$\mathbb{E}\left[f(S,Y)\right] = \underbrace{\mathbb{E}\left[f(C,A)\right]}_{\text{Calibration Loss}} + \underbrace{\mathbb{E}\left[f(A,Q)\right]}_{\text{Grouping Loss}} + \underbrace{\mathbb{E}\left[f(Q,Y)\right]}_{\text{Irreducible Loss}}$$
(3)

where f is a function that measures the divergence between the two inputs. In this work, we consider three metrics: the Brier Score  $f^{BS}(S, Y)$  (Brier, 347 1950), the Calibration Loss  $f^{CL}(S, C)$ , and the Grouping Loss  $f^{GL}(Q, Y)$  (Kull and Flach, 2015; Perez-Lebel et al., 2023). (1) The Brier score is the squared error between the observed binary labels Y -denoting correct/incorrect answers- and the associated confidence scores C. The appealing property of the Brier score is that it is minimum when C = P(y). (2) Calibration Loss (CL) measures the error rate (average observed u) for a given 356 confidence score C:  $\mathbb{E}[y|C = c]$ ; a calibration plot, as in Figure 2a plots this value for different values 358 of c. When the confidence score C equals the probability P(y), the calibration plot is on the diagonal,

and the calibration error is zero. However, the converse is not true: a calibration error can be zero and yet the confidence score differs from from the probability P(y). The reason for this difference is that within the observations with a predicted confidence score of C, some have an actual probability above C while others below: errors compensate (Perez-Lebel et al., 2023). (3) *Grouping Loss (GL)* is the term missing to the calibration to fully control how the predicted confidence scores C relate to the true probability P(y). We reuse the method by Perez-Lebel et al. (2023) to estimate the lower bound of the grouping loss by looking at the dispersion in the error rate on sub-groups of observations for a given score C.

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#### 3.3 Evaluating the Calibration of LLMs

The results of our evaluation are shown in Table 2. We can see that *Mistral-7B-SelfCheckGPT* performs the best across all tasks, indicating better calibration performance compared to other configurations. Notably, SelfCheckGPT consistently outperforms JAFC, highlighting the inadequacy of relying solely on prompt-based methods. Although the three metrics for Mistral-7B-SelfCheckGPT appear relatively low, suggesting seemingly acceptable confidence scores, it is crucial to note the existence of sub-groups that are far from wellcalibrated. For example, sub-group analysis within the birth date subset, based on entity popularity and nationality, reveals the model's poor performance for groups with infrequent persons (Figure 2b) and Asian nationalities (Figure 2c). This phenomenon confirms that a model may have a low calibration

<sup>&</sup>lt;sup>2</sup>cross-encoder/nli-deberta-v3-large



Figure 3: Grouping diagrams of latent sub-groups. These groups are created from the leaves of a decision tree. SCGPT is an abbreviation for SelfCheckGPT.

error but there might be sub-groups whose confidence scores deviate dramatically from the true probabilities.

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#### 3.4 Identifying the Grouping Loss in LLMs

Table 2 has already shown the concrete values of grouping loss for different methods. However, it is not very clear where the grouping loss originated. To answer this question, we visualize the behaviors of sub-groups in each method.

405 Sub-group Definitions. We study two types of sub-groups: user-defined and latent sub-groups. 406 For user-defined groups, we look at explicit fea-407 tures such as popularity, nationality, and gender. 408 We split all samples into different groups based on 409 the entity feature of queries. User-defined groups 410 may not be adapted to the actual sources of het-411 erogeneity in the confidence score. Therefore, we 412 also use optimized groups that give a tight bound 413 on the grouping loss. For these latent groups, we 414 follow Perez-Lebel et al. (2023) to employ a deci-415 sion tree, using a loss related to the squared loss 416 for the Brier score on labels (Y). This tree defines 417 sub-groups that minimize the loss on a given set of 418 predicted confidence scores. To prevent overfitting, 419 a train-test split is applied: a feature space parti-420 tion is created using the leaves of the tree fitted on 421 one portion. The input for the decision tree is the 422 embedding of the top layer of an LLM for a partic-423 ular query. In this way, samples with similar over-424 confidence / under-confidence can be grouped to-425 gether. For example, queries featuring well-known 426 entities may be grouped together because an LLM 427 excels at handling them, while queries involving 428 long-tail entities could form a separate group. In 429 practice, groups are defined over multiple different 430 features of queries and are thus much more subtle. 431

**Grouping Diagrams.** In a binary setting, calibration curves display the calibrated scores versus the confidence scores of the positive class, as depicted in Figure 2a. To visualize the heterogeneity among distinct sub-groups within a specific bin, we enrich this representation by including estimated scores for each sub-group, indicating the fraction of positives in each. As shown in Figure 3, a larger separation among sub-groups means that the grouping loss is more significant. In this diagram, we use quantile binning with 15 bins.

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Based on the above setting, we visualize grouping diagrams across different confidence methods for both user-defined and latent sub-groups. We aggregate the scores of three relations in this experiment. The results of latent groups are shown in Figure 3, while the results of user-defined groups are shown in Figure A1 in the appendix.

**LLMs tend to be overconfident.** Ideally, wellcalibrated LLMs should produce confidence scores that align closely with true probabilities. However, upon examination, it becomes evident that both LLaMA and Mistral tend toward overconfidence. Even in the case of *Mistral-7B-SCGPT* (Figure 3b), which demonstrates the best performance among other methods, the estimated confidence scores surpass the actual probabilities. For instance, when considering the fraction of true positives at 0.20, the associated confidence score is around 0.50.

The grouping loss is significant. If there is a large number of deviating sub-groups in the grouping diagrams, this indicates a higher level of variance and, consequently, a greater grouping loss. Sub-groups positioned above the diagonal show underconfidence, while those below the diagonal demonstrate overconfidence. Our results reveal a substantial grouping loss for both user-defined and latent groups. Regarding user-defined groups (Figure A1), we see distinct behaviors among subgroups based on popularity. If we take a look at the individual samples of each sub-group, we find that samples associated with more popular entities tend to appear above the calibration curve, while the opposite is observed for sub-groups with long-tail entities. This suggests that LLMs exhibit a greater tendency toward overconfidence when dealing with long-tail entities.

In the case of latent groups, which are automatically identified, diverse partitions with varied behaviors can be obtained. Figure 3 illustrates a more

Method		Birth_Date			Founder		Composer			
	Brier 🗸	CL↓	$\operatorname{GL} \downarrow$	Brier 🗸	CL↓	GL↓	Brier \downarrow	CL↓	$GL \downarrow$	
LLaMA-7B-JAFC										
Before Calibration Ours	84.38 23.79 22.24	60.4 0.02 0.03	1.61 1.52 0.89	105.55 26.39 26.12	79.34 0.05 0.14	0.88 0.89 0.44	86.78 30.06 28.81	56.83 0.2 0.37	2.1 2.1 1.36	
Mistral-7B-JAFC										
Before Calibration Ours	150.18 11.14 10.95	139.02 0.01 0.05	0.38 0.36 0.14	160.62 17.24 16.97	143.7 0.14 0.15	0.82 0.85 0.34	128.47 34.1 26.61	94.66 0.04 0.17	9.55 9.13 0.89	
LLaMA-7B-SelfCheckGPT										
Before Calibration Ours	54.08 20.59 19.64	33.56 0.45 0.24	0.28 0.76 0.21	49.99 23.83 23.13	26.47 0.17 0.4	0.55 0.74 0.51	58.67 33.94 27.06	25.56 0.16 0.45	4.67 8.83 0.93	
			Mi	stral-7B-Self	CheckGPT					
Before Calibration Ours	11.43 10.25 10.21	1.34 0.05 0.08	0.21 0.01 0.0	21.72 12.21 12.01	9.65 0.14 0.15	0.03 0.0 0.0	24.17 20.27 18.98	3.84 0.18 0.13	0.95 1.14 0.0	
			LLa	aMA-13B-Sel	fCheckGPT	Г				
Before Calibration Ours	64.48 30.96 26.63	33.93 0.4 0.33	3.01 4.02 0.23	70.47 30.22 29.32	40.71 0.1 0.56	0.23 1.31 0.21	70.26 37.36 33.78	32.83 0.57 1.18	1.34 1.48 0.58	
			Mix	tral-8x7B-Se	lfCheckGP	Т				
Before Calibration Ours	NA NA NA	NA NA NA	NA NA NA	49.96 23.82 23.61	27.4 0.98 0.61	0.1 0.48 0.0	54.02 31.42 29.26	23.74 0.91 1.28	1.27 0.66 0.0	

Table 3: Comparing methods of after Calibration and our reconfidencing. Blue colors indicate improved performances, while red colors indicate decreased performances. All values are scaled by a factor of 100 for better readability. Note that Mixtral refuses to answer birth date questions due to privacy protection.

scattered distribution of sub-groups, including instances of underconfidence not visible through the user-defined groups.

In summary, our analysis indicates a prevalent tendency of overconfidence in LLMs. Additionally, we reveal the impact of grouping loss on confidence scores. When contrasting user-defined sub-groups with autonomously identified latent sub-groups, the latter exhibit greater flexibility and diversity.

#### 4 Reconfidencing LLMs

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In this section, we present a simple yet effective solution to reconfidence LLMs. The core idea is to calibrate each sub-group separately.

Standard Calibration Following standard cali-495 bration procedures, we train a regressor, commonly 496 known as a calibrator, to conduct the calibration 497 of a model (Niculescu-Mizil and Caruana, 2005) 498 This calibrator works by mapping the model's out-499 put to a refined probability within the interval [0, 500 1], with the aim of aligning closely with the true 501 probability. Concretely, we train an isotonic regres-502 sor using our constructed training and validation

sets for calibration purposes (Zadrozny and Elkan, 2002). Subsequently, we apply this trained regressor to calibrate the confidence scores on the test set.

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Reconfidencing The standard calibration approaches are marginal: they control average error on confidence and overlook the nuances of subgroups, where confidence errors can be especially marked. Inspired by this, we propose a more refined method to calibrate LLMs from the sub-group perspective. Adapting Perez-Lebel et al. (2023), a tree classifier is trained to know how to partition samples (see details in Section 3.4). We employ a loss function derived from the squared loss for the Brier score on labels (Y) to optimize the predicted confidence scores. This decision tree algorithm partitions the data into sub-groups that minimize the specified loss. The tree's input consists of embeddings from the top layer of a LLM for a given query, which can effectively cluster samples exhibiting similar levels of over-confidence or under-confidence. This, in contrast to user-defined sub-groups, does not need background knowledge and thus applies to queries that are not matched to



Figure 4: Comparing calibrations across different popularity groups for the Mistral-7B. We use merged results of three regions. The confidence method here is SelfCheckGPT. More  $\star$  symbols mean a sub-group with more popular samples.

the knowledge base. Following this step, a distinct isotonic regressor is trained for each identified subgroup. The final step is to apply this refined method to reconfidence the test set The reconfidencing can effectively reduce the grouping loss thus yielding improved calibration results.

To validate our proposed solution, we conduct a comparative analysis of calibration performance between the standard calibration and our reconfidencing approach. The partition number of the decision tree is eight in this experiment (check Section A.5 to see how we select the leaf number). Table 3 presents the calibration performances of various methods across different relations and LLMs. While calibration is successful in reducing the Brier score and calibration loss, it does not guarantee mitigation of the grouping loss. For instance, in the case of Mistral-7B-SelfCheckGPT on the composer relation, the calibration significantly improves the Brier score  $(24.1 \rightarrow 20.27)$  and calibration loss  $(3.84 \rightarrow 0.18)$ . However, it is noteworthy that the grouping loss increases  $(0.95 \rightarrow 1.14)$ . Conversely, our proposed reconfidencing approach not only consistently achieves a better Brier score but also shows a significant reduction in grouping loss. Using the same example, our method attains a lower Brier score  $(20.27 \rightarrow 18.98)$  and effectively eliminates grouping loss  $(1.14 \rightarrow 0.0)$  compared to the calibration method.

Since our reconfidencing works on the latent group loss, it does not specifically target the issues shown in the examples of popularity (Figure 2b) and nationality (Figure 2c). To answer whether it improves the situation for these user-defined groups, we analyze calibration curves across samples after calibration and reconfidencing. The results for popularity and nationality sub-groups are shown in Figure 4 and Figure A3 respectively. Compared to the standard calibration, our proposed method can consistently yield more diagonal calibration curves across sub-groups.

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To show the scalability of our method on other relations and other types of groups, we conduct experiments on Birth\_Place and LocationCreated. Experimental results confirm again that our model can reduce biased information on gender group (Figure A5) and the location relation (Figure A6). The same observed improvements can also be extended to different sizes of LLaMA (Figure A4).

#### 5 Conclusion

In this work, we analyzed how trustworthy current methods are when they give confidence scores to LLM answers. We create a novel dataset derived from the ground truth within the YAGO knowledge base, providing a framework for evaluating the calibration of confidence scores for different groups. Subsequent evaluations of different sizes of LLMs reveal a consistent discrimination towards particular minority groups. Leveraging estimators and visualizations, we show grouping loss in LLMs, such as those associated with long-tail entities and individuals of Asian origin. These findings emphasize that we should pay particular attention to minority groups when calibrating LLMs. Building upon these insights, we introduce a novel approach for reconfidencing LLMs based on latent sub-groups, resulting in improved calibrations. This new approach can mitigate the problem of hallucinations by generating alerts in response to LLM answers. Meanwhile, our findings can reduce biased information against groups such as race and gender, which is useful for the fairness of LLMs.

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#### Limitations

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One limitation of our proposed method is that it targets entity-related questions, and not long-form open-ended tasks, as shown in Section A.3 in the appendix. For example, there is no obvious benefit of our method for this very common question: "why is the sky blue?" from the TruthfulQA dataset (Lin et al., 2022b). We aspire for this study to highlight the importance of considering minority groups in the calibration of LLMs. Additionally, 610 we anticipate that future research can build upon 611 our methodology to encompass open-ended gener-612 ation tasks. 613

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# A Appendix A.1 Prompts

The prompt used for *SelfCheckGPT* to elicit confidence scores (Manakul et al., 2023) is shown below: *Provide your best guess and the probability that it is correct (0.0 to 1.0) for the following question. Give ONLY the guess and probability, no other words or explanation. For example:*\n\n Guess: <most likely guess, as *short as possible; not a complete sentence, just the guess!*>\n Probability: <the probability *between 0.0 and 1.0 that your guess is correct, without any extra commentary whatsoever; just the probability!*>\n\n The question is: \${THE\_QUESTION}

## A.2 Reconfidencing Sub-groups

In this section, we conduct a comparative analysis of the performance between calibration and our proposed reconfidencing. This evaluation is carried out through the examination of calibration curves and grouping diagrams.

**Calibration Curves.** We present the calibration curves for the birth date relation, with samples categorized into five sub-groups based on their nationalities. In Figure A3a, it is evident that LLaMA exhibits overconfidence across all nationalities. Following calibration A3b, there is an improvement for samples with predicted confidence scores less than 0.5, but challenges persist for samples with higher confidences. However, after reconfidencing, as illustrated in Figure A3c, the calibration curves demonstrate substantial enhancement, although perfection is not achieved. This observation aligns with similar trends observed in the Mistral model (Figure A3f).

Grouping Diagrams. We illustrate the group-814 815 ing diagrams for popularity sub-groups, where all samples are evenly distributed into eight partitions 816 based on the number of backlinks. Subsequently, 817 we depict diagrams following calibration and reconfidencing in Figure A7. In general, when compar-819 ing the calibration method to reconfidencing, the latter exhibits superior calibration of confidence 821 scores. For instance, in Figure A7h, the calibration curve appears more diagonal compared to Fig-823 ure A7g, indicating improved calibration through 824 reconfidencing. 825

Overall, these findings confirm again that our reconfidencing can yield better calibrations.

#### A.3 Experiments on Open-ended QA Tasks

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Since our method reduce the grouping loss for entity-based queries, one may ask can our reconfidencing method be applied for other datasets or open-ended generation tasks. To answer this question, we conducted additional experiments from existing benchmarks. We follow the setting in this Manakul et al. (2023) to conduct experiments on three QA datasets: SciQ (Welbl et al., 2017), TriviaQ (Joshi et al., 2017) and Truthful QA (Lin et al., 2022b). Besides, we include another open-ended generation task from the medical domain, Medical QA<sup>3</sup>. Some details of the four QA datasets are shown in the Table A2. As for evaluation, we use the API of GPT-3.5-Turbo to determine whether the generated answers and ground truth are semantically equivalent. The LLM to generate confidence scores here is LLaMA-13B.

The experimental results are shown in Table A3. We first observe that our method still take a lead on entity-based QA (the first two columns). However, we find that our method no longer has an advantage on open-ended QA tasks (the last two columns).

In summary, our proposed method brings value to entity-related questions while it is not targeted at long-form open-ended tasks.

#### A.4 Experiments on Other Relations

To show the scalability of our reconfidencing method, we conduct experiments on another two relations: Birth\_Place and LocationCreated. To study the fairness of LLMs better, we introduce gender groups in the Birth\_Place dataset. In Figure A5, we draw curves of Birth\_Place for both male and female sub-groups. We find that LLMs work better for the male group than the female one (the left figure). Our method not only achieves better performance than the calibration method but also makes LLMs generate fair predictions for both males and females. In figure A6, we also draw the calibration curves for the LocationCreated relation (a film is created in which country). These files are divided into groups by their popularities and we get consistent conclusions.

## A.5 The Impact of Partition Numbers

To study the impact of the granularity of partition, we vary the number of partitions for LLaMA-13B

<sup>&</sup>lt;sup>3</sup>https://huggingface.co/datasets/ medalpaca/medical\_meadow\_medical\_ flashcards



Figure A1: Grouping diagrams of user-defined sub-groups. We divide each bin into eight groups by the popularity of entities. SCGPT is an abbreviation for SelfCheckGPT.



Figure A2: Grouping diagrams of latent sub-groups. These groups are created from the leaves of a decision tree. SCGPT is an abbreviation for SelfCheckGPT.

Method	Composer						
	Brier 🗸	CL↓	GL ↓				
Before	68.16	37.89	3.05				
Calibration	30.62	0.31	3.6				
Ours (p=2)	26.52	0.76	1.04				
Ours (p=4)	26.12	0.62	0.0				
Ours (p=8)	26.01	0.54	0.0				
Ours (p=16)	25.87	0.56	0.37				
Ours $(p=32)$	25.44	0.72	0.0				
Ours (p=64)	25.9	1.32	0.0				

Table A1: Evaluating calibration of various confidence methods. Here, we compare *Just Asking for Calibration (JAFC)* (Tian et al., 2023) and *Self-CheckGPT (Manakul et al., 2023)*. CL and GL mean calibration loss and grouping loss, respectively. All values are scaled by a factor of 100 for better readability, and the best results are bold.

and check the performances. The results are shown in Table A1. If there are too few partitions ( $p \le 4$ ), it will decrease the performance of our method. When we gradually increase the partitions, there is no significant gain after 8 partitions. In our paper, the partition number is 8 for all datasets.



Figure A3: Comparing calibrations across different nationality groups for the Mistral-7B and LLaMA-7B. We use merged results of three regions. The confidence method here is SelfCheckGPT.

Relation	Size	Head	Query Example	Answer Example
SciQ	1,000	Scientific entity- based QA	What tells you how much of the food you should eat to get the nutrients listed on the label?	serving size
TriviaQ	1,000	General entity- based QA	Which American-born Sinclair won the Nobel Prize for Literature in 1930?	Sinclair Lewis
Truthful QA	817	Commonsense open-ended QA	What happens to you if you eat water- melon seeds?	The watermelon seeds pass through your digestive system
Medical QA	1,000	Medical open- ended QA	What is the relationship between very low Mg2+ levels, PTH levels, and Ca2+ levels?	Very low Mg2+ levels correspond to low PTH levels which in turn results in low Ca2+ levels.

Table A2: Description of four QA evaluation dataset. We follow the setting in this paper (https://aclanthology.org/2023.emnlp-main.330/) to run experiments. Medical QA is adapted from the medical\_meadow\_medical\_flashcards on HuggingFace Datasets. As for evaluation, we use the API of GPT-3.5-Turbo to determine whether the generated answers and ground truth are semantically equivalent. The LLM here is LLaMA-13B.

Method	SciQ		TriviaQ		Truthful_QA			Medical_QA				
	Brier 🗸	CL \downarrow	GL↓	Brier \downarrow	CL↓	GL↓	Brier \downarrow	CL↓	GL↓	Brier 🗸	CL↓	GL↓
				LLaM	A-13B-	SelfCh	eckGPT					
Before	94.83	52.53	5.19	64.96	17.91	0.0	95.14	61.07	1.17	99.44	70.21	0.0
Calibration	50.16	3.3	2.74	51.43	4.47	0.0	38.9	3.27	0.0	29.62	1.39	0.0
Ours	48.65	5.15	0.0	51.0	6.92	0.0	41.36	7.06	0.0	32.58	3.52	0.32

Table A3: Comparing methods on four QA tasks of after calibration and our reconfidencing. Blue colors indicate improved performances, while red colors signify decreased performances. All values are scaled by a factor of 100 for better readability.



Figure A4: Comparing calibrations across different popularity groups of the Birth Date relation for the LLaMA-13B. The confidence method here is SelfCheckGPT.



Figure A5: Comparing calibrations across different gender groups of the Birth Place relation for the Mistral-7B. The confidence method here is SelfCheckGPT.



Figure A6: Comparing calibrations across different popularity groups of the LocationCreated relation for the Mistral-7B. The confidence method here is SelfCheckGPT.



Figure A7: Comparing calibrations on popularity groups. Each bin is divided into 8 groups. "Recal" means the Calibration method.