
Boosting pathology detection in infants by deep transfer learning from adult speech

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Abstract

1 Can knowledge extracted from adult speech help improve the performance models
2 developed for infant cry? This work investigates this question in the context of
3 pathology detection in newborns. The analysis of infant crying patterns to detect
4 pathology is of interest as it opens the possibility of more accessible diagnostic
5 tools in resource-constrained settings. Classical machine learning approaches
6 leveraging features extracted as Mel frequency cepstral coefficients, have supported
7 the viability of the infant cry as a diagnostic input, but performance is not yet
8 at a level of clinical utility. The application of deep learning models has been
9 limited due to the unavailability of large infant cry databases which are costly to
10 acquire. This work argues that the transfer of useful knowledge from adult speech
11 is possible because it is driven by the same underlying physiologic process as that
12 of infants. Our experiments demonstrate that on the task of predicting perinatal
13 asphyxia from infant cry, such transfer learning provides an overall improvement
14 of 13.5% in F1 score over a model trained from random initialization.

15 1 Introduction

16 This work develops a deep convolutional neural network model for cry-based pathology detection
17 in infants using transfer learning from adult speech. The accurate diagnosis of conditions affecting
18 newborns based solely on their cry is important for many practical reasons. It could give rise to
19 low-cost, early and accessible diagnostic tools in resource-constrained settings. We tackle the specific
20 case of predicting perinatal asphyxia, the inability of a newborn to establish regular breathing in the
21 period after birth. Clinical researchers have shown that respiratory conditions like asphyxia alters
22 infant crying patterns since both speech and breathing are coordinated by the same regions of the
23 brain, and newborns do not have voluntary control of either function (1).

24 Transfer learning (or knowledge transfer) (2) (3) enables the use of knowledge learned from a task
25 in one domain (source) to solve a task in a different domain (target). This is especially useful when
26 the cost of acquiring labelled data in the target domain is very expensive or if the data distribution is
27 constantly changing. Transfer learning relaxes the typical machine learning assumption that the data
28 distribution in the training and test data must be independently and identically distributed. Transfer
29 learning, especially based on deep learning models, has seen prominent success in image, and natural
30 language processing.

31 Infant cry databases are scarce, and the acquisition/annotation of cries is costly, as it typically must be
32 done in the context of a clinical study. The use of adult speech for transfer learning is thus interesting
33 since large corpora are freely available. It may not seem intuitive upon initial consideration, but we
34 believe that there is common ground for knowledge transfer from adult to newborn speech. In contrast
35 to newborns, adults have voluntary control over their speech. Furthermore, the way they exercise
36 this control, in terms of accent and speaker mannerisms, has been influenced over several years by

37 the environment. Despite this, the same underlying physiologic mechanism for sound production
38 remains between the brain, vocal chords and respiratory system in both adults and infants. One can
39 argue then that there exists a latent representation of adult speech which captures this mechanism. If
40 our models can learn this, then it is reasonable to expect that knowledge can be transferred.

41 To test this hypothesis on our target task of predicting perinatal asphyxia based on infant cry, we select
42 the problem of keyword spotting as source task. Keyword spotting (4) involves the identification
43 of commands such as "go", "come", "up", "down", etc from spoken audio. Models for keyword
44 spotting depend on the fact that each word is characterized by a different time-frequency patterns
45 (or signature). Incidentally, this is also the fundamental assumption of how the cries of asphyxiating
46 babies differ from normal ones (1) (5). Furthermore, requirements for keyword spotting models align
47 well with those of cry-based pathology detection models, namely: small computational footprint,
48 dependence on short audio segments, and speaker independence (4).

49 In this work, we take a state-of-the-art residual network for keyword spotting. We train it for that
50 source task and attempt to transfer the knowledge gained to serve as priors for our target task of
51 detecting perinatal asphyxia. We find that our knowledge transfer model performs significantly better
52 - 18.9% higher sensitivity (or recall), 1.9% higher specificity and 10% higher precision - than the
53 same residual network trained without transfer learning. The overall improvement in F1 score was
54 13.5%.

55 2 Tasks

56 2.1 Source task

57 The domain of adult speech is large with many corpuses tailored to different tasks. We set 3 main
58 constraints for a desirable source task: 1) it has to be analogous in some form to our ultimate task of
59 pathology detection, 2) there should be freely available corpuses for the task to facilitate pre-training,
60 and 3) there should be openly verifiable benchmarks to enable contextual evaluation pre-trained of
61 models. We select the problem of keyword spotting as our source task. This task is analogous to our
62 task of predicting the presence or not of asphyxia in the sense that it relies on learning the differences
63 in the time-frequency signature of each word of interest. One would thus expect a neural model to
64 focus on extracting similar kinds of high level features. There also exists a reasonably large dataset
65 for this task - Google speech commands (6) for which strong benchmarks (6) (7) have been set.

66 2.1.1 Corpus

67 Google speech commands dataset (6) is an audio corpus collected to aid the training and evaluation of
68 keyword spotting models. It contains approximately 65,000 recordings of utterances of 30 keywords
69 from thousands of persons. The recordings are 1-second long, 16-bit PCM-encoded WAV audio
70 files at 16kHz sampling frequency. Typically, work with this dataset has focussed on classifying 10
71 keywords that are "useful as commands in IoT or robotics applications" (6) (7): "Yes", "No", "Up",
72 "Down", "Left", "Right", "On", "Off", "Stop", and "Go". The remaining words are lumped together
73 to make an *unknown* words category.

74 2.1.2 Model

75 We select a CNN architecture which has state of the art performance on the speech commands dataset.
76 To this end, we adopt the 'res8' model from (7). The model takes as input MFCC representation of
77 an input audio, transforms it through a collection of 6 residual blocks, flanked on either side by a
78 convolutional layer, and outputs a 12-way softmax of either of the 10 keywords or *unknown* or *silence*
79 (Figure 1). In the work of (7), 'res8' achieves an accuracy of 94%, which is 1% less than the best
80 model 'res15', but uses only half the number of parameters and 30 times fewer multiplies.

81 2.2 Target task

82 Our target task is the detection of perinatal asphyxia from newborn cry. We develop and evaluate
83 our models using the Chillanto infant cry database (5). The database contains 1089 1-second long
84 audio recordings of normal and asphyxiated infants at sampling frequencies of between 8kHz to
85 16kHz. (5) experimented with audio representations as linear predictive coefficients (LPC) and mel

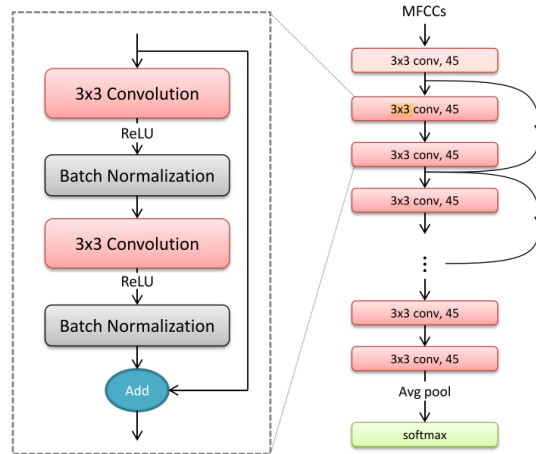


Figure 1: Architecture of convolutional neural network used to train transfer learning model. The 'res8' model employs 6 residual blocks (expanded section to left). Image source (7).

86 frequency cepstral coefficients (MFCC). Training a time delay neural network as classifier, they
 87 achieved best precision and recall in predicting asphyxia of 72.7% and 68% using MFCCs. Building
 88 on this work, (8) later showed that precision and recall could be improved to 73.4% and 85.3% by
 89 using support vector machines (SVM) which have more stable convergence properties on small but
 90 high-dimensional datasets.

91 3 Methods

92 3.1 Audio preprocessing

93 Audio samples are first downsampled to 8kHz for consistency in the input dimension. After downsam-
 94 pling, similar to (7), the audio is converted from 1D to 2D through a sequence of steps: spectrograms
 95 were computed for overlapping window sizes of 30ms with a 10ms shift, and across 40 Mel bands.
 96 Only frequency components between 20 and 4000 Hz are considered. The discrete cosine transform
 97 is then applied to the spectrogram output to compute the MFCCs. The resulting values in each frame
 98 is stacked in time to form a spatial, 2D representation of the MFCCs.

99 3.2 Model pre-training and transfer learning

100 In order to obtain a pre-trained model for transfer, we train *res8* on the Google speech commands
 101 dataset to classify the 10 typical keywords, unknown and silence, using the MFCC "images" as input.
 102 As the aim was to first obtain a model with equivalent accuracy as reported in (7), we built upon their
 103 open-source implementation (9) and employed the same set of training hyperparameters.

104 We further create a new instance of the *res8* model, *res8-transfer*, for our task of classifying the
 105 presence or not of asphyxia, replacing only output layer to be a binary classifier. To transfer the
 106 learned model for our target task, we initialise *res8-transfer* using the weights from all but the
 107 fully-connected output layer of the pre-trained model. We then train the model from this start point.
 108 Considering that we had a high class imbalance of 3:1 (normal:asphyxia), we used a weighted
 109 sampling procedure to ensure that each batch of training data passed to the model contained roughly
 110 the same proportion of both classes. As we had just under a thousand training examples, we also
 111 applied data augmentation via time-shifting before transforming an audio into MFCCs.

112 3.3 Baselines

113 We implement and compare the performance of our transfer learning model with 2 baseline models
 114 for predicting asphyxia. One is a model which trains a radial basis function SVM on MFCC
 115 representations of the chillanto database, similar to (8). The other is a *res8* model trained on MFCC
 116 representations of the chillanto database, but using random initialization of weight (*res-no-transfer*).

Table 1: Performance of support vector machine (SVM), residual network without transfer learning (res8-no-transfer) and residual network with transfer learning (res8-transfer).

Model	Sensitivity (%)	Specificity (%)	Precision (%)	F1 Score (%)
SVM	81.1	87.3	56.6	66.7
res8-no-transfer	73.6	89.6	59.0	65.5
res8-transfer	92.5	91.5	69.0	79.0

117 4 Experiments

118 4.1 Setup

119 There were a total of 1389 infant cry samples (1049 normal and 340 asphyxia) in the chillanto dataset.
 120 The samples were split proportionally into training, validation and test set in the ratio 60:20:20,
 121 ensuring that samples from the same patients were placed in the same set. We trained our models
 122 to select the point at which accuracy on the validation set was highest. In addition to accuracy,
 123 we tracked other relevant metrics: *recall* or *sensitivity*, the fraction of asphyxia samples correctly
 124 identified; *specificity*, the fraction of normal samples correctly identified; *precision*, the fraction of
 125 asphyxia predictions that were correct; and *F1 score*, the harmonic mean of precision and recall.

126 4.2 Results

127 We trained the 2 baseline models: an SVM trained on flattened MFCC features and a res8 model
 128 trained from scratch without any pre-training (res8-no-transfer). Both models were trained to identify
 129 asphyxia and normal samples from chillanto database. Results are shown in Table 1. The SVM¹
 130 attains a sensitivity in detecting asphyxia of 81.1% which is 7.5% higher than that of res8-no-transfer.
 131 Though res8-no-transfer performs slightly better on the other metrics of specificity and precision, the
 132 SVM is the overall better model based on the F1 score of 66.7%.

133 To carry out our transfer learning experiment, we first pre-train a res8 model on the (downsampled)
 134 Google speech commands dataset. Our model achieved the same test set accuracy of 94.4%. Using
 135 the learned weights from this model, we initialized a new res8 instance and further trained it to
 136 classify normal and asphyxiated babies from the chillanto dataset. We tuned hyperparameters using
 137 our validation set. This model was trained for 30 epochs using a stochastic gradient descent (SGD)
 138 optimizer with start learning rate of 0.001, a schedule to a rate of 0.0001 after 15 epochs, a fixed
 139 momentum of 0.9, and batch size of 32. Hyperparameters were primarily derived from (6) and (7),
 140 but modified where necessary to suit chillanto dataset. We minimized the hinge loss as we found
 141 that it resulted to better accuracy on the validation set than cross-entropy loss. The performance of
 142 this model (res8-transfer) is summarized in table 1. It performs better than the others on all metrics,
 143 achieving sensitivity and specificity of 92.5% and 91.5%, respectively at a precision of 69%.

144 5 Discussion

145 We proposed and empirically tested the hypothesis that adult speech and infant cry are driven by
 146 the same physiologic mechanism whose elements can be captured in model. Such transfer learning,
 147 when compared to an equivalent deep model trained without, led to improvements of almost 20% in
 148 sensitivity, 2% in specificity and 10% in precision of detecting perinatal asphyxia.

149 The precision of 69%, despite the high sensitivity, indicates that there remains a fair number of false
 150 positives. While future models should aim to address this, the false identification of a baby as having
 151 asphyxia is not clinically dangerous since it implies that the infant may receive additional care such
 152 as increase oxygen support and neuro-protective strategies.

¹The SVM in this work performed slightly worse than in referenced work (5) (8). We believe that the authors have performed biased split in which samples from the same subject occur across training, validation and test sets. A model developed in such way is an overestimate of actual performance.

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