The Bias Amplification Paradox in Text-to-Image Generation

Anonymous ACL submission

Abstract

 Bias amplification is a phenomenon in which models exacerbate biases or stereotypes present in the training data. In this paper, we study bias amplification in the text-to-image domain using Stable Diffusion by comparing gender ratios in training vs. generated images. We find that the model appears to amplify gender-occupation biases found in the training data (LAION) con- siderably. However, we discover that amplifica-010 tion can be largely attributed to discrepancies between training captions and model prompts. For example, an inherent difference is that cap- tions from the training data often contain ex- plicit gender information while our prompts do not, which leads to a distribution shift and **consequently inflates bias measures. Once we** account for distributional differences between texts used for training and generation when evaluating amplification, we observe that am- plification decreases drastically. Our findings illustrate the challenges of comparing biases in models and their training data, and highlight confounding factors that impact analyses.

⁰²⁴ 1 Introduction

 Breakthroughs in machine learning have been fu- eled in large part by training models on massive unlabeled datasets [\(Gao et al.,](#page-9-0) [2020;](#page-9-0) [Raffel et al.,](#page-10-0) [2020;](#page-10-0) [Schuhmann et al.,](#page-10-1) [2022\)](#page-10-1). However, several studies have shown that these datasets exhibit bi- ases and undesirable stereotypes [\(Birhane et al.,](#page-8-0) [2021;](#page-8-0) [Dodge et al.,](#page-9-1) [2021;](#page-9-1) [Garcia et al.,](#page-9-2) [2023\)](#page-9-2), which in turn impact model behavior. Given that models are trained to represent the data distribution, it is not surprising that models perpetuate biases found in the training data [\(De-Arteaga et al.,](#page-9-3) [2019;](#page-9-3) [Sap et al.,](#page-10-2) [2019;](#page-10-2) [Adam et al.,](#page-8-1) [2022,](#page-8-1) among others).

 To introduce bias amplification, let us take a model that generates images of engineers that are female 10% of the time. When examining the train- ing data, we may assume that the model reflects as-sociations in the data and expect to observe roughly

Stable Diffusion \perp Observed gender ratio is $1/10 = 10\%$ female Generation **Training** Observed gender ratio is $5/20 = 25\%$ female With Gender Indicators (40% female)

"A photo of the face of an engineer

Figure 1: Comparing generated and training images for engineer, the model clearly seems to amplify bias by generating 10% female images, as compared to 25% female in training images. However, when looking at the subset of training examples *without gender indicators* in captions (10% female), similar to our prompts, the model does not amplify bias.

Without Gende Indicators (10% female)

10% female as well.¹ However, it would be prob- **⁰⁴²** lematic for the model to instead exacerbate existing **043** imbalances by generating engineer images that are **044** only 10% female, while the training engineer im- **045** ages are 25% female, as shown in Figure [1.](#page-0-0) This **046** [p](#page-10-3)henomenon, known as *bias amplification* [\(Zhao](#page-10-3) **047** [et al.,](#page-10-3) [2017\)](#page-10-3), is concerning because it further rein- **048** forces stereotypes and widens disparities. While **049** previous works suggest that models amplify biases **050** [\(Zhao et al.,](#page-10-3) [2017;](#page-10-3) [Wang et al.,](#page-10-4) [2018;](#page-10-4) [Hall et al.,](#page-9-4) **051** [2022;](#page-9-4) [Hirota et al.,](#page-9-5) [2022;](#page-9-5) [Friedrich et al.,](#page-9-6) [2023\)](#page-9-6), **052** there remain unanswered questions about the para- **053** doxical nature of bias amplification: *Given that* **054** *models learn to fit the training data, why do mod-* **055** *els amplify biases found in the data as opposed to* **056** *strictly representing them?* **057**

1

¹Note that even such bias *preservation* may be undesirable.

 In this paper, we investigate how model biases compare with biases found in the training data. We focus on the text-to-image domain and ana- lyze gender-occupation biases in Stable Diffusion, [\(Rombach et al.,](#page-10-5) [2022\)](#page-10-5) as well as its publicly avail- able training dataset LAION [\(Schuhmann et al.,](#page-10-1) [2022\)](#page-10-1), which consists of image-caption pairs in En- glish ([§2\)](#page-1-0). To select training examples, we identify captions that mention occupations (e.g., engineer) and obtain corresponding images. We follow pre- vious work [\(Bianchi et al.,](#page-8-2) [2023;](#page-8-2) [Luccioni et al.,](#page-9-7) [2023\)](#page-9-7) and use prompts that contain a given occupa- tion (e.g., "A photo of the face of an engineer") to generate images. For each occupation, we then clas- sify binary gender to measure bias in corresponding training and generated images, and compare the re- spective quantities to determine whether the model 075 **amplifies biases^{[2](#page-0-1)} from its training data ([§3\)](#page-2-0).**

 At first glance, it appears that the model am- plifies bias considerably (on average, generation bias is 12.57% higher than training bias) using ex-**isting approaches ([§4\)](#page-2-1). When comparing train-** ing captions and prompts, however, we discover clear distributional differences that impact ampli- fication measurements. For example, one inher- ent distinction is that captions often contain ex- plicit gender mentions while prompts used to study 085 gender-occupation biases do not.^{[3](#page-0-1)} More generally, captions often contain additional context and de-tails that are absent from the prompts we use.

 Based on our observations, it is clear the cur- rent approach of directly using all training captions that contain a given occupation provides a naive characterization of bias amplification. Instead, we propose evaluating amplification on subsets of the training data that reduce distribution shifts between training and generation ([§5\)](#page-4-0). We introduce two ap- proaches to account for distributional differences: (1) Excluding captions with explicit gender infor-097 mation and (2) Using nearest neighbors (NN) on text embeddings to select training captions that closely resemble prompts. Both approaches restrict the search space of training texts to more closely match prompts, which results in considerably lower amplification measures. We then eliminate differ-ences between training captions and prompts by

utilizing the captions themselves to generate im- **104** ages ([§6\)](#page-5-0), and show that amplification is minimal. **105** By modifying either the captions or prompts used **106** to evaluate amplification, we provide insights into **107** how the subsets of data used to measure bias at **108** training and generation impact amplification. **109**

To summarize, we study gender-occupation bias **110** amplification in Stable Diffusion and highlight no- **111** table discrepancies between texts used for training **112** and generation. We demonstrate that naively quan- **113** tifying bias provides an incomplete and misleading **114** depiction of model behavior. Our work empha- **115** sizes that comparisons of dataset and model biases 116 should factor in distributional differences and eval- **117** uate comparable distributions. We hope that our **118** work encourages future studies that analyze model **119** behavior through the lens of the data. **120**

2 Experimental Setup **121**

Before discussing how we define and evaluate am- **122** plification in the following section, we first outline **123** the dataset and models in our experiments, as well **124** as how we infer gender from images. **125**

2.1 Dataset and Models **126**

To study bias amplification, we use Stable Diffu- **127** sion [\(Rombach et al.,](#page-10-5) [2022\)](#page-10-5), a text-to-image model **128** that generates images based on a textual descrip- **129** tion (prompt). Stable Diffusion is trained on pairs **130** of captions and images taken from LAION-5B **131** [\(Schuhmann et al.,](#page-10-1) [2022\)](#page-10-1), a public dataset created **132** by scraping images and their captions from the web. **133** We focus on two versions, Stable Diffusion 1.4 and 134 1.5, which are both trained on text-image pairs from **135** the 2.3 billion English portion of LAION-5B.[4](#page-0-1)

136

2.2 Gender Classification **137**

We analyze bias in images with respect to perceived **138** gender.[5](#page-0-1) To classify binary gender at scale, we **¹³⁹** utilize an automated approach. Therefore, it is im- **140** portant to verify that images include faces, and that **141** perceived gender is discernible from these images. **142** We first check whether an image contains a single 143 face using a face detector.^{[6](#page-0-1)} Then, we use CLIP 144 [\(Radford et al.,](#page-10-6) [2021\)](#page-10-6), a multimodal model with **145**

²We define bias as a deviation from the 50% balanced (binary) gender ratio. This definition differs from measuring performance gaps between groups (e.g., TPR difference), which is common in classification setups.

³Since we study gender bias, prompts exclude explicit gender information to avoid skewing generations.

⁴ Stable Diffusion 1.5 is finetuned for a longer duration on LAION-Aesthetics (a subset of higher quality images).

⁵Classifying binary gender based on appearance has limitations and perpetuates stereotypes. While our analysis excludes non-binary individuals, inferring non-binary gender from appearance alone risks misrepresenting a marginalized group.

⁶ [https://developers.google.com/mediapipe/](https://developers.google.com/mediapipe/solutions/vision/face_detector/python) [solutions/vision/face_detector/python](https://developers.google.com/mediapipe/solutions/vision/face_detector/python).

Prompt

- 1 A photo of the face of a/an [OCCUPATION]
- 2 A portrait photo of a/an [OCCUPATION]
- 3 A photo of a/an [OCCUPATION] smiling
4 A photo of a/an [OCCUPATION] at work
- 4 A photo of a/an [OCCUPATION] at work

Table 1: The four prompts we use to generate images. "[OCCUPATION]" is a placeholder we replace with one of the 62 occupations we use (e.g., engineer).

 zero-shot image classification capabilities, to pre- dict gender (note that Stable Diffusion also uses CLIP's text encoder to encode prompts). To ex- clude cases where gender is difficult to infer (e.g., faces might be blurred or obscured), we only con- sider images for which the predicted gender proba- bility is greater than or equal to 0.9. We apply these filtering steps to training and generated images.

154 2.3 Occupations

 Similar to previous works, we analyze gender- occupation biases for occupations that exhibit vary- ing levels of bias [\(Rudinger et al.,](#page-10-7) [2018;](#page-10-7) [Zhao et al.,](#page-10-8) [2018;](#page-10-8) [De-Arteaga et al.,](#page-9-3) [2019\)](#page-9-3). These include occu- pations that skew male (e.g., CEO, engineer), fairly balanced (e.g., attorney, journalist), and female (e.g., dietitian, receptionist) based on the training data. In total, we consider 62 job occupations, which can be found in Table [4](#page-12-0) in the Appendix.

¹⁶⁴ 3 Methodology

165 3.1 Measuring Model Bias

 To measure biases exhibited by the model, we gen- erate images using four prompts, shown in Table [1.](#page-2-2) These prompts deliberately do not contain gen- der information since we want to capture biases learned by the model. Both prompts #1 and #2 also direct the model to generate faces by includ- ing "face"/"portrait". We generate 500 images per occupation and prompt using various random seeds to initialize random noise. We define G_{P_o} as the percentage of females in generated images for a prompt P describing an occupation o.

177 3.2 Measuring Data Bias

 Given that the training data consists of image- caption pairs, we use captions to obtain relevant training examples. In doing so, we assume that the training captions relating to a given occupa- tion mention the occupation. We use the search capabilities of WIMBD [\(Elazar et al.,](#page-9-8) [2023\)](#page-9-8), a tool that enables exploration of large text corpora, to

Table 2: Training captions often include additional details (e.g., descriptions, activity information) that reduce ambiguity, and may contain explicit and implicit gender information. In contrast, the prompts we use to generate images (Table [1\)](#page-2-2) lack context and specificity.

query LAION. We define T_{S_o} as the percentage 185 of females in images for a training subset S corre- **186** sponding to occupation *o* (we provide more details 187 on example selection in Section [4\)](#page-2-1). **188**

3.3 Evaluating Bias Amplification **189**

We compute bias amplification by comparing the percentage female in generated (G_{P_o}) vs. training (T_{S_o}) images for a specific occupation o using the approach outlined in [Zhao et al.](#page-10-3) [\(2017\)](#page-10-3):

$$
A_{P_o, S_o} = |G_{P_o} - 50| - |T_{S_o} - 50|
$$

This formulation takes into account that ampli- **190** fication for a given occupation is specific to the **191** prompt P_0 used to generate images, as well as the **192** chosen subset of training examples S_o . For a set of **193** occupations O, the expected amplification is: **194**

$$
\mathop{\mathbb{E}}_{o \in O} \left[A_{P_o, S_o} \right] = \frac{1}{|O|} \sum_{o \in O} A_{P_o, S_o}
$$

 A_{P_o, S_o} is calculated for each occupation and 195 aggregated across occupations (O) to obtain **196** $\mathbb{E}[A_{P_o,S_o}]$ for each prompt. We then average 197 $\mathbb{E}[A_{P_o,S_o}]$ across all four prompts. For occupations 198 that skew male in the training data, bias is ampli- **199** fied if it skews further male in generated images, **200** and vice versa for occupations that skew female. **201** Bias decreasing from training to generation is con- **202** sidered de-amplification. We exclude occupations **203** that exhibit different directions of bias at training **204** and generation from our analysis. **205**

4 Baseline Approach **²⁰⁶**

We examine the extent to which Stable Diffusion **207** amplifies gender-occupation biases from the data **208** by selecting training examples that contain a given **209** occupation in the caption (e.g., all captions that **210**

(a) Training captions for President: 1) "Leana Wen, Planned Parenthood president..." 2) "New Schaumburg Business Association President..." 3) "BCCI president N Srinivasan..." 4) "Indiana Pacers president of basketball operations..."

(b) Training captions for Teacher: 1) "Brad Draper, percussion teacher..." 2) "teacher/author in the 80s sits in yoga lotus pose..." 3) "Jo Anne Young Art Teacher..." 4) "Classical Guitar Teacher..."

Figure 2: Differences between training and generated examples using our baseline approach. Here, we handpick examples of discrepancies in how occupations are depicted in training vs. generated examples for President (left) and Teacher (right) professions.

 contain the word "president"). In practice, we ran- domly sample a subset of 500 training examples instead of using all examples. We find that Stable Diffusion amplifies bias relative to the training data by 12.57% 12.57% 12.57% ⁷ on average across all occupations and prompts (10.24% for Prompt #1, as shown in Fig- ure [3\)](#page-3-0). This behavior is concerning because instead of reflecting the training data and its statistics, the model compounds bias by further underrepresent- ing groups. However, when qualitatively inspecting examples, we observe discrepancies in how occu- pations are presented in captions vs. prompts due to varying levels of ambiguity.

 For example, we notice the use of explicit *gender indicators* to emphasize deviations from stereotypi- cal gender-occupation associations, such as female mechanics. While gender information is used fre- quently in captions, we hypothesize that usage is more common for underrepresented groups. If this hypothesis holds, the gender distribution would shift closer towards balanced in resulting training images. As a result, the decision to focus on all captions vs. captions without any gender indicators might exaggerate amplification measures.

 More generally, prompts commonly used to study gender-occupation bias are intentionally un- derspecified, or lack detail. Underspecification re- sults in the model having to generate images from textual inputs that are vague and open to interpreta- tion [\(Hutchinson et al.,](#page-9-9) [2022;](#page-9-9) [Mehrabi et al.,](#page-9-10) [2023\)](#page-9-10). For instance, the prompt "A photo of the face of a/an [OCCUPATION]" does not contain any adjec-tives or information about surroundings, activities,

Figure 3: Bias is amplified consistently using our baseline approach. The x-axis corresponds to the % female in training images, and the y-axis corresponds to the % female in generated images (using Prompt #1). Each point represents an occupation. Shading: Amplification and De-Amplification.

etc. In contrast, captions may contain context and **244** details that result in less ambiguous descriptions, **245** as shown in Table [2.](#page-2-3) [8](#page-0-1)

246

Discrepancies in how captions and prompts are **247** written also impact how occupations are depicted in **248** training and generated images. These differences **249** are especially notable for occupations that have **250** multiple interpretations. For example, when query- **251** ing for training examples containing "president", **252** the resulting captions may refer to various types of **253** presidents, including the president of a company **254** or organization, as shown in Figure [2a.](#page-3-1) However, **255** when generating images using the prompt "A photo 256 of the face of a president", the model appears to **257** interpret president as a leader of a country, often **258** the United States (we also showcase similar dif- **259** ferences for the occupation teacher in Figure [2b\)](#page-3-1). **260** Given that there are evident qualitative differences 261 in images, we should not expect the training and **262**

We report values for Stable Diffusion 1.4 throughout the paper, but results for both model versions are presented in Table [3.](#page-5-1) Overall, we observe similar trends for both models.

⁸We showcase examples that include descriptions of individuals and activities they are engaged in.

263 generation gender distributions to match.

 To compare bias at training and generation, we need to consider gender ratios for similar cap- tions and prompts. Therefore, we cannot conclude whether differences in gender ratios are due solely to the model amplifying bias, or other confound- ing factors that contribute to amplification. Next, we focus on decreasing the impact of distribution shifts on bias amplification evaluation.

²⁷² 5 Reducing Discrepancies

 In this section, we reduce training and generation discrepancies by restricting the search space of **training examples. The prompts** P_0 **remain fixed,** 276 while the subset of training examples S_o varies.

277 5.1 Excluding Explicit Gender Indicators

 A notable distinction between training and genera- tion is the use of explicit gender indicators, which is absent from prompts. On average, more than half the captions (59.5%) contain explicit gender infor- mation. Furthermore, gender usage in captions varies depending on which gender is underrepre- sented for a given occupation. For example, images of female mechanics in the training data frequently accompany captions that indicate the mechanic is female. In comparison, this specification is less common for male mechanics (only 30% of male mechanic examples contain explicit gender indica-tors, as opposed to 68% for female mechanics).

 To validate these observations, we compute the correlation between the percentage of females in training images and the percentage of captions with female indicators. We expect that female-skewing occupations are less likely to contain explicit fe- male gender indicators in captions, resulting in a negative correlation. The Pearson's correlation co- efficient is indeed negative, with a coefficient value of -0.458 and statistically significant (significance level < 0.05). These results suggest that including training examples with gender information during evaluation may exaggerate amplification.

 Addressing Gender Indicators To assess whether amplification differs for the subset of captions without indicators, we split the training examples selected in Section [4](#page-2-1) by detecting direct gender mentions in the captions (more details in Appendix [A.5\)](#page-11-0). We focus on the subset of captions, S_o , without explicit male or female indicators.

310 Reduced Bias Amplification We observe that **311** bias amplification is noticeably lower when focusPresident

(a) Training captions for President: 1) "The president is pictured smiling." 2) "President Donald J. Trump - Official Photo" 3) "Portrait of President George H. W. Bush" 4) "Official Portrait of President Ronald Reagan"

(b) Training captions for Teacher: 1) "Picture of a teacher in the classroom" 2) "Portrait of a smiling teacher in a classroom." 3) "Portrait of teacher woman working" 4) "Teacher smiling in classroom, portrait"

Figure 4: Training examples chosen with Nearest Neighbors. Selected training captions and images are more similar to prompts and generated images as compared to the examples in Figure [2.](#page-3-1)

ing on the no-gender indicator subset of training **312** examples. Compared to the initial amplification **313** of 12.57% for keyword querying, the average am- **314** plification for captions without gender indicators **315** is 8.66% (\downarrow 31%), as shown in Table [3.](#page-5-1) This be- 316 havior aligns with the reasoning described above — **317** gender indicators are more likely to delineate the **318** presence of the underrepresented gender, which in **319** turn inflates amplification measures. **320**

5.2 Nearest Neighbor Captions (NN) **321**

Beyond explicit gender indicators, there are clear **322** differences in the information conveyed by prompts **323** vs. captions. The prompts we use are concise and **324** structured, but lack concrete details. On the other **325** hand, randomly sampled training captions are more **326** diverse and vary in their usage of the occupation **327** and contextual information, as highlighted in Table **328** [2](#page-2-3) and Figure [2.](#page-3-1) Furthermore, captions may contain **329** implicit gender information (e.g., descriptors, attire, **330** activities) that is absent from prompts. **331**

These qualitative differences are also apparent **332** when comparing caption and prompt text embed- 333 dings. We use SBERT [\(Reimers and Gurevych,](#page-10-9) **334** [2019\)](#page-10-9) to compute text embeddings,^{[9](#page-0-1)} and calculate 335 the average pairwise cosine similarity between cap- **336** tion and prompt embeddings for each occupation. **337** We find that the average cosine similarity across **338** occupations is 0.385, indicating that captions and **339** prompts are highly dissimilar (relative to nearest **340** neighbors, which we will see next). **341**

⁹We use the all-MiniLM-L6-v2 model for text embeddings.

	SD 1.4				SD 1.5					
Approach	#1	#2	#3	#4	Average	#1	#2	#3	#4	Average
Naive Approach	10.24	17.57	10.77	11.68	12.57	10.87	16.36	11.15	9.91	12.07
No Gender Indicators	6.49	13.58	7.09	7.49	8.66	6.76	12.41	6.82	5.87	7.97
Nearest Neighbors (NN)	3.59	12.62	5.58	5.27	6.76	4.01	11.14	5.21	3.65	6.01
$NN + No$ Indicators	1.11	8.72	3.06	4.05	4.35	.55	7.29	2.78	2.72	3.59

Table 3: Bias Amplification across occupations using Stable Diffuson (SD) 1.4 and 1.5, for each prompt and averaged across prompts. Amplification lowers considerably when using nearest neighbors to select training captions and excluding captions with gender indicators. We see further reductions when combining approaches.

 Addressing Similarity Discrepancies To ac- count for these gaps, we propose using nearest neighbors (NN) to select captions that closely re- semble prompts. We can find NN by considering all captions that contain a given occupation, and selecting examples based on the similarity between caption and prompt text embeddings instead of sam- pling randomly. As a result, the chosen captions are closer in structure and wording to prompts. We compute the cosine similarity between text embed- dings to measure the similarity between captions and prompts.^{[10](#page-0-1)} For a given occupation, we con-354 sider the top-k similar captions, where $k = 500$.

 Applying NN, the average cosine similarity be- tween caption and prompt embeddings increases to 0.704 (↑ 83% from keyword querying), which occurs by design since we directly target exam- ples that resemble prompts. Note however, that the increase in similarity is also reflected in image embeddings. The pairwise similarity of CLIP im- age embeddings increases with NN (↑ 13% from keyword querying), indicating that chosen training and generated images are slightly more similar.

 There are noticeable qualitative improvements as well. NN chooses captions that are closer in structure and meaning to prompts (e.g., "Picture of a teacher in the classroom"), which also impacts corresponding training images. In contrast to the naive approach, the training images corresponding to NN captions for "president" primarily represent world leaders (often US presidents), while captions for "teacher" depict educators in classroom settings, as shown in Figure [4.](#page-4-1)

 Reduced Bias Amplification When selecting training examples S_0 using NN, we see that bias amplification reduces considerably across occupa- tions and prompts, as shown in Table [3.](#page-5-1) The aver-age amplification drops to 6.76% (↓ 46% relative

to keyword querying). While NN yields increased **380** similarity between training and generated exam- **381** ples, there are still unresolved sources of distribu- **382** tion shift that impact amplification measures. **383**

5.3 Combining Approaches **384**

We observe that amplification further reduces when **385** combining the no-gender indicator subset with NN, **386** as shown in the last rows in Table [3.](#page-5-1) The average **387** amplification decreases to 4.35%, which is notice- **388** ably lower compared to the values for each method **389** individually. Both methods work in tandem to re- **390** duce distributional differences in complementary **391** ways, perhaps by targeting both explicit and im- **392** plicit gender information. We also observe greater **393** reductions for specific prompts; for example, am- **394** plification is just 1.11% for Prompt #1. **395**

We perform a one-sample t-test to test the null **396** hypothesis that the expected amplification is 0 for **397** each of the prompts; we fail to reject the null hy- **398** pothesis for prompts #1 and #3 and reject the null **399** hypothesis for prompts #2 and #4 (significance **400** $level < 0.05$). Our results indicate a portion of 401 amplification is unexplained for all prompts, espe- **402** cially prompts #2 and #4, and may involve more **403** subtle confounding factors. Although the proposed 404 methods do not account for all possible discrepan- **405** cies between training and generation, we observe **406** that the bias measures become closer as we select **407** subsets of training captions that resemble prompts. 408

6 Removing Distributional Differences: A **⁴⁰⁹ Lower Bound** 410

The previous approaches reduce discrepancies be- **411** tween training and generation by evaluating am- **412** plification with captions that are more similar to **413** prompts. Instead, we can focus our efforts in the **414** other direction and modify the prompts we use **415** to align with captions more closely. One way to **416** achieve this is to eliminate differences altogether **417** by making prompts and captions identical. We then **418** ask: *Does using identical texts to measure training* **419**

 10 Text embedding used to compute NN can reinforce biases. By using SBERT, we avoid leaking biases from Stable Diffusion's text encoder (CLIP) when selecting training examples.

Figure 5: Bias amplification when prompting with training captions. We observe minimal amplification when $P_o = S_o$ (left). This behavior mostly holds when focusing on captions without explicit gender indicators (right). Shading: Amplification and De-Amplification.

 and generation bias lower amplification? We use 21 the original training subset (S_o) from Section 4 and make the prompts (Po) match the captions verba- tim. In this setup, we generate 10 images for every prompt in P_o , and then compute amplification us-425 ing $P_o := S_o$ for each occupation.

 We hypothesize that enforcing prompts and cap- tions to match yields similar bias measurements, which reduces amplification. As shown in Figure [5a,](#page-6-0) amplification is small when $P_0 = S_0$ and most occupations reside along the diagonal (no amplifi- cation). The average amplification drops to 0.68%, indicating that the model mostly reflects training 433 bias.^{[11](#page-0-1)} Furthermore, amplification remains consis-tently low, even for highly imbalanced occupations.

 For captions that contain either male or female gender indicators, the model generates images that match the gender of corresponding training im- ages (with 98.41% accuracy), since this informa- tion is directly provided in the prompt. Therefore, we analyze results separately on the subset of cap- tions without gender indicators. As shown in Fig- ure [5b,](#page-6-0) bias amplification is larger for the no gen- der indicator subset as compared to all captions. That being said, the average amplification remains low at 2.05% (↓ 84% relative to keyword query- μ_{446} ing).^{[11](#page-5-0)} We also observe similar results when using paraphrased versions of the training captions as prompts, as discussed in Appendix [A.6.](#page-11-1)

 Although practitioners are unlikely to utilize prompts that exactly match training captions (nor do we make this recommendation), this experiment highlights the impact of distributional similarity between captions and prompts when comparing bi- ases. In addition, it provides a lower bound to the bias amplification problem. In summary, we con-clude that the model nearly mimics biases from the

data when we eliminate distributional differences. **457**

7 Related Work **⁴⁵⁸**

Relating pretraining data to model behavior **459** There is a growing body of work focused on study- **460** ing pretraining data properties and their relation **461** to model behavior. This type of large-scale data **462** and model analysis provides useful insights into **463** [m](#page-9-11)odel learning and generalization capabilities [\(Car-](#page-9-11) **464** [lini et al.,](#page-9-11) [2023\)](#page-9-11). Recent work shows that few-shot **465** capabilities of large language models are highly **466** correlated with pretraining term frequencies, and **467** that models struggle to learn long-tail knowledge **468** [\(Kandpal et al.,](#page-9-12) [2023;](#page-9-12) [Razeghi et al.,](#page-10-10) [2022\)](#page-10-10). Several **469** works have also explored the relationship between **470** pretraining data and model performance from a **471** [c](#page-9-13)ausal perspective [\(Biderman et al.,](#page-8-3) [2023;](#page-8-3) [Elazar](#page-9-13) **472** [et al.,](#page-9-13) [2022;](#page-9-13) [Longpre et al.,](#page-9-14) [2023\)](#page-9-14). For example, **473** [Longpre et al.](#page-9-14) [\(2023\)](#page-9-14) comprehensively investigate **474** how various data curation choices and pretraining **475** data slices affect downstream task performance. **476**

Bias Amplification Our work is strongly in- **477** spired by the findings of [Zhao et al.](#page-10-3) [\(2017\)](#page-10-3), who **478** show that structured prediction models amplify bi- **479** ases present in the data. However, there are im- **480** portant differences to note. First, their task jointly **481** predicts multiple target labels (including gender), **482** as opposed to generating images. Additionally, **483** their work focuses on mitigating amplification, as **484** opposed to investigating underlying factors that af- **485** fect amplification. [Hall et al.](#page-9-4) [\(2022\)](#page-9-4) consider how **486** data, training, and model-related choices influence **487** amplification using a classification setup with syn- **488** thetic bias, but do not examine distribution shifts. **489**

[Friedrich et al.](#page-9-6) [\(2023\)](#page-9-6) also compare biases ex- **490** hibited by LAION and Stable Diffusion, and show **491** that the model demonstrates amplification. Instead **492** of identifying relevant training examples using **493** captions, they use text-image similarity between **494** prompts and training images. Furthermore, their **495** work primarily focuses on bias mitigation, while **496** our work is centered around analyzing confounding **497** factors that impact amplification. **498**

Bias in text-to-image models While it is well- 499 established that language and vision models are **500** prone to biases individually, recent work has shown **501** that text-to-image models display similar biases. **502** Several works analyze various biases in text-to- **503** image models, including geographical disparities **504** [\(Basu et al.,](#page-8-4) [2023;](#page-8-4) [Naik and Nushi,](#page-9-15) [2023\)](#page-9-15) and in- **505**

 11 However, we reject the null hypothesis that the expected amplification is 0 using a one-sample t-test.

(a) "A photo of the face of an attorney" (42.8%)

attorney" (9.4%)

(c) "A photo of an attorney smiling" (43.1%)

(d) "A photo of an attorney at work" (65.1%)

Figure 6: Generations for "attorney" using different prompts. Specific wording choices in prompts lead to notable differences in the percentage of generated images that are predicted as female.

 [t](#page-9-7)ersectional biases [\(Fraser et al.,](#page-9-16) [2023;](#page-9-16) [Luccioni](#page-9-7) [et al.,](#page-9-7) [2023\)](#page-9-7). [Bianchi et al.](#page-8-2) [\(2023\)](#page-8-2) demonstrate that stereotypes persist even after using counter- stereotypes. However, these works solely evaluate model biases, and do not examine the training data.

⁵¹¹ 8 Discussion

512 Our results bring up a number of key issues.

 Generalizability Our work demonstrates that us- ing naive procedures to evaluate bias amplification can lead to exaggerated amplification measures. While our analysis does not account for all sources of distribution shift that contribute to amplification, it is meant to be illustrative. We encourage future studies to build on our findings by examining dif- ferent experimental setups (i.e., datasets, models, and types of bias) to gain a more comprehensive understanding of bias amplification and the impact of confounding factors.

 Variation Across Prompts As we highlight in Figure [6,](#page-7-0) small changes to prompts can have a re- sounding effect on conclusions about model bias. For example, "A portrait photo of an attorney" skews heavily male while "A photo of an attorney at work" skews female in generated images. Fur- thermore, reductions in amplification differ based on the prompt (e.g., 89% reduction for Prompt #1 vs. 49% for Prompt 2), indicating that there are prompt-specific sources of distribution shift.

 Amplification Baseline Our interpretation of am- plification is centered around models exacerbating biases in the training data as opposed to real-world statistics [\(Kirk et al.,](#page-9-17) [2021;](#page-9-17) [Bianchi et al.,](#page-8-2) [2023\)](#page-8-2). Both approaches are useful to study but answer fundamentally different questions. Our approach offers insights into whether model behavior reflects the training data, while real-world amplification captures how well the model reflects reality.

Connection to Simpson's Paradox The title of **543** our paper alludes to Simpson's Paradox [\(Simpson,](#page-10-11) **544** [1951\)](#page-10-11), a phenomenon in which a trend or relation- **545** ship observed in subgroups within the data reverses **546** or disappears when subgroups are combined. We **547** draw direct parallels to our analysis and insights; **548** although we observe substantial amplification in **549** our initial setup, amplification reduces drastically **550** after selecting specific subsets of the training data **551** and decreasing the impact of confounding factors. **552**

Recommendations Our findings underscore how **553** distribution shifts contribute to bias amplification, **554** which has important implications. Those involved 555 in data-focused efforts should consider how practi- **556** tioners specify prompts and interact with models **557** when curating training data. Alternatively, crowd- 558 sourcing or automatically rewriting existing train- **559** ing captions to reflect real-world model usage may **560** result in lower amplification. Additionally, we rec- **561** ommend that evaluations use multiple prompts and **562** remove prompt-specific confounding factors (e.g., **563** by using NN to select relevant training examples). **564**

9 Conclusion **⁵⁶⁵**

In summary, we investigate whether Stable Diffu- **566** sion amplifies gender-occupation biases by com- **567** paring training data and model biases. We high- **568** light how naive evaluations of amplification fail to **569** consider distributional differences between train- **570** ing and generation, which leads to a misleading **571** understanding of model behavior. Although am- **572** plification is not eliminated entirely, we observe **573** that reducing discrepancies between captions and **574** prompts during evaluation results in substantially **575** lower measurements. We recommend that any anal- **576** ysis comparing training data and model biases, or **577** any dataset and model properties more generally, **578** account for various distribution shifts that skew **579** evaluations. **580**

⁵⁸¹ Limitations

 Beyond the training data, another source of bias is the text embeddings obtained from CLIP. By solely comparing biases in the data vs. those exhibited by Stable Diffusion, our analysis overlooks biases that arise from encoding prompts. As a result, we can- not disentangle how much this component impacts overall amplification. Note that the effect of such an external embedding cannot be easily accounted for, since CLIP's training data is not public. More work is needed to understand the impact of using external, frozen models as a model component.

 Additionally, we automate gender classification using CLIP because previous works have shown that CLIP gender predictions align with human annotations and CLIP gender classification perfor-mance on the FairFace dataset^{[12](#page-0-1)} is strong ($> 95\%$) across various racial categories. Nevertheless, we recognize the limitations of using a model to clas- sify gender in images, since CLIP inherits biases from its training data.

⁶⁰² Ethics Statement

 Scope of Work Our work centers around criti- cally examining bias amplification evaluation. The approaches we propose to reduce distribution shifts observed during evaluation do not solve underlying gaps between the data used to train models and how users interact with models. Rather, they serve to deepen our understanding of why models amplify biases present in the training data. Ideally, our find- ings will motivate future work on 1) thorough and nuanced evaluations of bias amplification and 2) fundamentally addressing training and generation discrepancies from a data perspective.

 Bias Definition Our work focuses on a narrow slice of social bias analysis by studying gender- occupation stereotypes. Since models exhibit vari- ous types of discriminatory bias (e.g., racial, age, geographical, socioeconomic, disability, etc.), as well as intersectional biases, it is equally impor- tant to perform evaluations for these definitions of bias. Furthermore, we only consider binary gen- der, which has clear drawbacks. Our analysis ig- nores how text-to-image models perpetuate biases for non-binary identities and relies on information such as appearance and facial features to infer gen- der in training and generated images, which can propagate gender stereotypes.

Geographical Diversity The captions and **629** prompts used to study bias are solely written in **630** English. We hope future work will shed light on **631** multilingual bias amplification in text-to-image **632** models. It is also worth noting that the gender- **633** guesser library (infers gender from names) likely **634** performs worse on non-Western names. The **635** documentation mentions that the library supports **636** over 40,000 names and covers a "vast majority **637** of first names in all European countries and in **638** some overseas countries (e.g., China, India, Japan, **639** USA)". Therefore, the name coverage (or lack **640** thereof) impacts our ability to identify captions **641** with gender information. 642

References **⁶⁴³**

- Hammaad Adam, Ming Ying Yang, Kenrick Cato, Ioana **644** Baldini, Charles Senteio, Leo Anthony Celi, Jiaming **645** Zeng, Moninder Singh, and Marzyeh Ghassemi. **646** 2022. [Write it like you see it: Detectable differ-](https://doi.org/10.1145/3514094.3534203) **647** [ences in clinical notes by race lead to differential](https://doi.org/10.1145/3514094.3534203) **648** [model recommendations.](https://doi.org/10.1145/3514094.3534203) In *Proceedings of the 2022* **649** *AAAI/ACM Conference on AI, Ethics, and Society*, **650** AIES '22, page 7–21, New York, NY, USA. Associa- **651** tion for Computing Machinery. **652**
- Hritik Bansal, Da Yin, Masoud Monajatipoor, and Kai- **653** Wei Chang. 2022. [How well can text-to-image gen-](https://aclanthology.org/2022.emnlp-main.88) **654** [erative models understand ethical natural language](https://aclanthology.org/2022.emnlp-main.88) **655** [interventions?](https://aclanthology.org/2022.emnlp-main.88) In *Proceedings of the 2022 Confer-* **656** *ence on Empirical Methods in Natural Language Pro-* **657** *cessing*, pages 1358–1370, Abu Dhabi, United Arab **658** Emirates. Association for Computational Linguistics. **659**
- Abhipsa Basu, R. Venkatesh Babu, and Danish Pruthi. **660** 2023. Inspecting the geographical representativeness **661** of images from text-to-image models. In *Proceed-* **662** *ings of the IEEE/CVF International Conference on* **663** *Computer Vision (ICCV)*, pages 5136–5147. **664**
- Federico Bianchi, Pratyusha Kalluri, Esin Durmus, **665** Faisal Ladhak, Myra Cheng, Debora Nozza, Tat- **666** sunori Hashimoto, Dan Jurafsky, James Zou, and **667** Aylin Caliskan. 2023. [Easily accessible text-to-](https://doi.org/10.1145/3593013.3594095) **668** [image generation amplifies demographic stereotypes](https://doi.org/10.1145/3593013.3594095) **669** [at large scale.](https://doi.org/10.1145/3593013.3594095) In *Proceedings of the 2023 ACM* **670** *Conference on Fairness, Accountability, and Trans-* **671** *parency*, FAccT '23, page 1493–1504, New York, **672** NY, USA. Association for Computing Machinery. **673**
- Stella Biderman, Hailey Schoelkopf, Quentin Anthony, **674** Herbie Bradley, Kyle O'Brien, Eric Hallahan, Mo- **675** hammad Aflah Khan, Shivanshu Purohit, USVSN Sai **676** Prashanth, Edward Raff, Aviya Skowron, Lintang **677** Sutawika, and Oskar van der Wal. 2023. [Pythia:](http://arxiv.org/abs/2304.01373) **678** [A suite for analyzing large language models across](http://arxiv.org/abs/2304.01373) **679** [training and scaling.](http://arxiv.org/abs/2304.01373) 680
- Abeba Birhane, Vinay Uday Prabhu, and Emmanuel **681** Kahembwe. 2021. [Multimodal datasets: misogyny,](https://doi.org/10.48550/ARXIV.2110.01963) **682** [pornography, and malignant stereotypes.](https://doi.org/10.48550/ARXIV.2110.01963) **683**

¹²<https://github.com/joojs/fairface>

- **684** Nicholas Carlini, Jamie Hayes, Milad Nasr, Matthew **685** Jagielski, Vikash Sehwag, Florian Tramèr, Borja **686** Balle, Daphne Ippolito, and Eric Wallace. 2023. [Ex-](http://arxiv.org/abs/2301.13188)**687** [tracting training data from diffusion models.](http://arxiv.org/abs/2301.13188)
- **688** Jaemin Cho, Abhay Zala, and Mohit Bansal. 2022. Dall-**689** eval: Probing the reasoning skills and social biases **690** of text-to-image generative models. *arXiv preprint* **691** *arXiv:2202.04053*.
- **692** Maria De-Arteaga, Alexey Romanov, Hanna Wal-**693** lach, Jennifer Chayes, Christian Borgs, Alexandra **694** Chouldechova, Sahin Geyik, Krishnaram Kenthapadi, **695** and Adam Tauman Kalai. 2019. [Bias in bios: A case](https://doi.org/10.1145/3287560.3287572) **696** [study of semantic representation bias in a high-stakes](https://doi.org/10.1145/3287560.3287572) **697** [setting.](https://doi.org/10.1145/3287560.3287572) In *Proceedings of the Conference on Fair-***698** *ness, Accountability, and Transparency*, FAT* '19, **699** page 120–128, New York, NY, USA. Association for **700** Computing Machinery.
- **701** Jesse Dodge, Maarten Sap, Ana Marasovic, William ´ **702** Agnew, Gabriel Ilharco, Dirk Groeneveld, Margaret **703** Mitchell, and Matt Gardner. 2021. [Documenting](https://doi.org/10.18653/v1/2021.emnlp-main.98) **704** [large webtext corpora: A case study on the colos-](https://doi.org/10.18653/v1/2021.emnlp-main.98)**705** [sal clean crawled corpus.](https://doi.org/10.18653/v1/2021.emnlp-main.98) In *Proceedings of the* **706** *2021 Conference on Empirical Methods in Natural* **707** *Language Processing*, pages 1286–1305, Online and **708** Punta Cana, Dominican Republic. Association for **709** Computational Linguistics.
- **710** Yanai Elazar, Akshita Bhagia, Ian Magnusson, Abhi-**711** lasha Ravichander, Dustin Schwenk, Alane Suhr, **712** Pete Walsh, Dirk Groeneveld, Luca Soldaini, Sameer **713** Singh, et al. 2023. What's in my big data? *arXiv* **714** *preprint arXiv:2310.20707*.
- **715** Yanai Elazar, Nora Kassner, Shauli Ravfogel, Amir **716** Feder, Abhilasha Ravichander, Marius Mosbach, **717** Yonatan Belinkov, Hinrich Schütze, and Yoav Gold-**718** berg. 2022. [Measuring causal effects of data statistics](http://arxiv.org/abs/2207.14251) **719** [on language model's 'factual' predictions.](http://arxiv.org/abs/2207.14251)
- **720** Kathleen C. Fraser, Svetlana Kiritchenko, and Isar Ne-**721** jadgholi. 2023. [A friendly face: Do text-to-image](http://arxiv.org/abs/2302.07159) **722** [systems rely on stereotypes when the input is under-](http://arxiv.org/abs/2302.07159)**723** [specified?](http://arxiv.org/abs/2302.07159)
- **724** Felix Friedrich, Patrick Schramowski, Manuel Brack, **725** Lukas Struppek, Dominik Hintersdorf, Sasha Luc-**726** cioni, and Kristian Kersting. 2023. [Fair diffusion:](https://api.semanticscholar.org/CorpusID:257079049) **727** [Instructing text-to-image generation models on fair-](https://api.semanticscholar.org/CorpusID:257079049)**728** [ness.](https://api.semanticscholar.org/CorpusID:257079049) *ArXiv*, abs/2302.10893.
- **729** Leo Gao, Stella Biderman, Sid Black, Laurence Gold-**730** ing, Travis Hoppe, Charles Foster, Jason Phang, **731** Horace He, Anish Thite, Noa Nabeshima, Shawn **732** Presser, and Connor Leahy. 2020. [The pile: An](http://arxiv.org/abs/2101.00027) **733** [800gb dataset of diverse text for language modeling.](http://arxiv.org/abs/2101.00027)
- **734** Noa Garcia, Yusuke Hirota, Yankun Wu, and Yuta **735** Nakashima. 2023. [Uncurated image-text datasets:](http://arxiv.org/abs/2304.02828) **736** [Shedding light on demographic bias.](http://arxiv.org/abs/2304.02828) In *Proceedings* **737** *of the IEEE/CVF Conference on Computer Vision* **738** *and Pattern Recognition (CVPR)*, pages 6957–6966.
- Melissa Hall, Laura Gustafson, Aaron Adcock, Ishan **739** Misra, and Candace Ross. 2023. [Vision-language](http://arxiv.org/abs/2301.11100) **740** [models performing zero-shot tasks exhibit gender-](http://arxiv.org/abs/2301.11100) **741 [based disparities.](http://arxiv.org/abs/2301.11100)** 742
- Melissa Hall, Laurens van der Maaten, Laura Gustafson, **743** Maxwell Jones, and Aaron Adcock. 2022. [A system-](https://doi.org/10.48550/ARXIV.2201.11706) **744** [atic study of bias amplification.](https://doi.org/10.48550/ARXIV.2201.11706) **745**
- [Y](https://doi.org/10.1109/CVPR52688.2022.01309). Hirota, Y. Nakashima, and N. Garcia. 2022. [Quan-](https://doi.org/10.1109/CVPR52688.2022.01309) **746** [tifying societal bias amplification in image caption-](https://doi.org/10.1109/CVPR52688.2022.01309) **747** [ing.](https://doi.org/10.1109/CVPR52688.2022.01309) In *2022 IEEE/CVF Conference on Computer* **748** *Vision and Pattern Recognition (CVPR)*, pages 13440– **749** 13449, Los Alamitos, CA, USA. IEEE Computer **750** Society. **751**
- Ben Hutchinson, Jason Baldridge, and Vinodkumar **752** Prabhakaran. 2022. [Underspecification in scene](https://aclanthology.org/2022.aacl-main.86) **753** [description-to-depiction tasks.](https://aclanthology.org/2022.aacl-main.86) In *Proceedings of the* **754** *2nd Conference of the Asia-Pacific Chapter of the As-* **755** *sociation for Computational Linguistics and the 12th* **756** *International Joint Conference on Natural Language* **757** *Processing (Volume 1: Long Papers)*, pages 1172– **758** 1184, Online only. Association for Computational **759** Linguistics. **760**
- Nikhil Kandpal, Haikang Deng, Adam Roberts, Eric **761** Wallace, and Colin Raffel. 2023. [Large language](http://arxiv.org/abs/2211.08411) **762** [models struggle to learn long-tail knowledge.](http://arxiv.org/abs/2211.08411) In *In-* **763** *ternational Conference on Machine Learning*, pages **764** 15696–15707. PMLR. **765**
- Hannah Rose Kirk, Yennie Jun, Haider Iqbal, Elias Be- **766** nussi, Filippo Volpin, Frédéric A. Dreyer, Aleksandar **767** Shtedritski, and Yuki M. Asano. 2021. [Bias out-](https://api.semanticscholar.org/CorpusID:236950797) **768** [of-the-box: An empirical analysis of intersectional](https://api.semanticscholar.org/CorpusID:236950797) **769** [occupational biases in popular generative language](https://api.semanticscholar.org/CorpusID:236950797) **770** [models.](https://api.semanticscholar.org/CorpusID:236950797) In *Neural Information Processing Systems*. **771**
- Shayne Longpre, Gregory Yauney, Emily Reif, Kather- **772** ine Lee, Adam Roberts, Barret Zoph, Denny Zhou, **773** Jason Wei, Kevin Robinson, David Mimno, and **774** Daphne Ippolito. 2023. [A pretrainer's guide to train-](http://arxiv.org/abs/2305.13169) **775** [ing data: Measuring the effects of data age, domain](http://arxiv.org/abs/2305.13169) **776** [coverage, quality, & toxicity.](http://arxiv.org/abs/2305.13169) **777**
- Alexandra Sasha Luccioni, Christopher Akiki, Margaret **778** Mitchell, and Yacine Jernite. 2023. [Stable bias: Ana-](http://arxiv.org/abs/2303.11408) **779** [lyzing societal representations in diffusion models.](http://arxiv.org/abs/2303.11408) **780**
- Ninareh Mehrabi, Palash Goyal, Apurv Verma, Jwala **781** Dhamala, Varun Kumar, Qian Hu, Kai-Wei Chang, **782** Richard Zemel, Aram Galstyan, and Rahul Gupta. **783** 2023. [Resolving ambiguities in text-to-image genera-](https://aclanthology.org/2023.acl-long.804) **784** [tive models.](https://aclanthology.org/2023.acl-long.804) In *Proceedings of the 61st Annual Meet-* **785** *ing of the Association for Computational Linguis-* **786** *tics (Volume 1: Long Papers)*, pages 14367–14388, **787** Toronto, Canada. Association for Computational Lin- **788** guistics. **789**
- [R](https://doi.org/10.1145/3600211.3604711)anjita Naik and Besmira Nushi. 2023. [Social biases](https://doi.org/10.1145/3600211.3604711) **790** [through the text-to-image generation lens.](https://doi.org/10.1145/3600211.3604711) In *Pro-* **791** *ceedings of the 2023 AAAI/ACM Conference on AI,* **792** *Ethics, and Society*, AIES '23, page 786–808, New **793** York, NY, USA. Association for Computing Machin- 794 ery. **795**

- Alec Radford, Jong Wook Kim, Chris Hallacy, Aditya Ramesh, Gabriel Goh, Sandhini Agarwal, Girish Sas- try, Amanda Askell, Pamela Mishkin, Jack Clark, Gretchen Krueger, and Ilya Sutskever. 2021. [Learn-](https://proceedings.mlr.press/v139/radford21a.html) [ing transferable visual models from natural language](https://proceedings.mlr.press/v139/radford21a.html) [supervision.](https://proceedings.mlr.press/v139/radford21a.html) In *Proceedings of the 38th International Conference on Machine Learning*, volume 139 of *Proceedings of Machine Learning Research*, pages 8748–8763. PMLR.
- Colin Raffel, Noam Shazeer, Adam Roberts, Kather- ine Lee, Sharan Narang, Michael Matena, Yanqi Zhou, Wei Li, and Peter J. Liu. 2020. [Exploring the](http://jmlr.org/papers/v21/20-074.html) [limits of transfer learning with a unified text-to-text](http://jmlr.org/papers/v21/20-074.html) [transformer.](http://jmlr.org/papers/v21/20-074.html) *Journal of Machine Learning Research*, 21(140):1–67.
- Yasaman Razeghi, Robert L Logan IV, Matt Gardner, and Sameer Singh. 2022. [Impact of pretraining term](https://aclanthology.org/2022.findings-emnlp.59) [frequencies on few-shot numerical reasoning.](https://aclanthology.org/2022.findings-emnlp.59) In *Findings of the Association for Computational Lin- guistics: EMNLP 2022*, pages 840–854, Abu Dhabi, United Arab Emirates. Association for Computa-tional Linguistics.
- [N](https://doi.org/10.18653/v1/D19-1410)ils Reimers and Iryna Gurevych. 2019. [Sentence-](https://doi.org/10.18653/v1/D19-1410)**BERT:** Sentence embeddings using Siamese BERT- [networks.](https://doi.org/10.18653/v1/D19-1410) In *Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing and the 9th International Joint Conference on Natu- ral Language Processing (EMNLP-IJCNLP)*, pages 3982–3992, Hong Kong, China. Association for Com-putational Linguistics.
- Robin Rombach, Andreas Blattmann, Dominik Lorenz, Patrick Esser, and Björn Ommer. 2022. High- resolution image synthesis with latent diffusion mod- els. In *2022 IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR)*, pages 10674– 10685. IEEE.
- Rachel Rudinger, Jason Naradowsky, Brian Leonard, and Benjamin Van Durme. 2018. [Gender bias in](https://doi.org/10.18653/v1/N18-2002) [coreference resolution.](https://doi.org/10.18653/v1/N18-2002) In *Proceedings of the 2018 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies, Volume 2 (Short Papers)*, pages 8–14, New Orleans, Louisiana. Association for Computational Linguistics.
- Maarten Sap, Dallas Card, Saadia Gabriel, Yejin Choi, and Noah A. Smith. 2019. [The risk of racial bias](https://doi.org/10.18653/v1/P19-1163) [in hate speech detection.](https://doi.org/10.18653/v1/P19-1163) In *Proceedings of the 57th Annual Meeting of the Association for Computational Linguistics*, pages 1668–1678, Florence, Italy. Asso-ciation for Computational Linguistics.
- Christoph Schuhmann, Romain Beaumont, Richard Vencu, Cade Gordon, Ross Wightman, Mehdi Cherti, Theo Coombes, Aarush Katta, Clayton Mullis, Mitchell Wortsman, Patrick Schramowski, Srivatsa Kundurthy, Katherine Crowson, Ludwig Schmidt, Robert Kaczmarczyk, and Jenia Jitsev. 2022. [Laion-](https://proceedings.neurips.cc/paper_files/paper/2022/file/a1859debfb3b59d094f3504d5ebb6c25-Paper-Datasets_and_Benchmarks.pdf) [5b: An open large-scale dataset for training next gen-](https://proceedings.neurips.cc/paper_files/paper/2022/file/a1859debfb3b59d094f3504d5ebb6c25-Paper-Datasets_and_Benchmarks.pdf)[eration image-text models.](https://proceedings.neurips.cc/paper_files/paper/2022/file/a1859debfb3b59d094f3504d5ebb6c25-Paper-Datasets_and_Benchmarks.pdf) In *Advances in Neural*

Information Processing Systems, volume 35, pages **854** 25278–25294. **855**

- [E](https://api.semanticscholar.org/CorpusID:125419902)nglish Simpson. 1951. [The interpretation of inter-](https://api.semanticscholar.org/CorpusID:125419902) **856** [action in contingency tables.](https://api.semanticscholar.org/CorpusID:125419902) *Journal of the royal* **857** *statistical society series b-methodological*, 13:238– **858** 241. **859**
- Tianlu Wang, Jieyu Zhao, Mark Yatskar, Kai-Wei **860** Chang, and Vicente Ordonez. 2018. [Balanced](https://api.semanticscholar.org/CorpusID:195847929) **861** [datasets are not enough: Estimating and mitigating](https://api.semanticscholar.org/CorpusID:195847929) **862** [gender bias in deep image representations.](https://api.semanticscholar.org/CorpusID:195847929) *2019* **863** *IEEE/CVF International Conference on Computer* **864** *Vision (ICCV)*, pages 5309–5318. **865**
- Jieyu Zhao, Tianlu Wang, Mark Yatskar, Vicente Or- **866** donez, and Kai-Wei Chang. 2017. [Men also like](https://doi.org/10.18653/v1/D17-1323) **867** [shopping: Reducing gender bias amplification using](https://doi.org/10.18653/v1/D17-1323) **868** [corpus-level constraints.](https://doi.org/10.18653/v1/D17-1323) In *Proceedings of the 2017* **869** *Conference on Empirical Methods in Natural Lan-* **870** *guage Processing*, pages 2979–2989, Copenhagen, **871** Denmark. Association for Computational Linguis- **872** tics. **873**
- Jieyu Zhao, Tianlu Wang, Mark Yatskar, Vicente Or- **874** donez, and Kai-Wei Chang. 2018. [Gender bias in](https://doi.org/10.18653/v1/N18-2003) **875** [coreference resolution: Evaluation and debiasing](https://doi.org/10.18653/v1/N18-2003) **876** [methods.](https://doi.org/10.18653/v1/N18-2003) In *Proceedings of the 2018 Conference* **877** *of the North American Chapter of the Association for* **878** *Computational Linguistics: Human Language Tech-* **879** *nologies, Volume 2 (Short Papers)*, pages 15–20, New **880** Orleans, Louisiana. Association for Computational **881** Linguistics.

883 **A Appendix**

884 A.1 Occupations

 A full list of occupations is shown in Table [4.](#page-12-0) We exclude occupations that exhibit different direc- tions of bias at training and generation from our amplification results, since this behavior does not adhere to our definition of amplification. There are 5 occupations (assistant, author, dentist, painter, supervisor) that exhibit switching behavior consis- tently for all prompts, using both SD 1.4 and 1.5. More research is needed to understand and explain this behavior.

 Tables [6](#page-14-0) (SD 1.4) and [7](#page-15-0) (SD 1.5) show bias val- ues for each occupation at training and generation. For some occupations (e.g., attorney, cook, sur- geon), the gender distributions in generated images can vary considerably depending on the prompt.

900 A.2 LAION

 LAION is a freely available dataset of image- caption pairs released under CC-BY 4.0. Instead of saving scraped images, LAION stores URLs that correspond to the images, which we then use to download images. We only download a subset of examples that pertain to the occupations in Table [4.](#page-12-0)

 While LAION is an open dataset, there are no- table issues to point out. For example, the dataset includes copyrighted and NSFW content. We ac- knowledge these issues and emphasize that our use of LAION is for research purposes to 1) analyze gender-occupation biases in the data and 2) evalu-ate bias amplification.

914 A.3 Generating Images

 Stable Diffusion 1.4 and 1.5 contain roughly 1 bil- lion parameters. Using a single TITAN RTX GPU, it takes 3.5 seconds to generate one image. To 918 generate 500 images for each occupation $(\times 62)$, **prompt** $(\times 4)$, and model version $(\times 2)$, it takes ap- proximately 240 hours. We use the default genera- tion parameters, which include a guidance scale of 7.5 and 50 inference steps.

923 A.4 Image Gender Classification

 While CLIP is susceptible to biases [\(Hall et al.,](#page-9-18) [2023\)](#page-9-18), its gender predictions have been shown to [a](#page-8-5)lign with human-annotated gender labels [\(Bansal](#page-8-5) [et al.,](#page-8-5) [2022;](#page-8-5) [Cho et al.,](#page-9-19) [2022\)](#page-9-19). In addition, we per- form human evaluation with 7 participants on 200 randomly selected training and generated images. We ask participants to provide binary gender anno- **930** tations (or indicate that they are unsure), and find **931** that Krippendorff's coefficient, which measures **932** inter-annotator agreement, is high $(\alpha = 0.948)$. **933** Additionally, 98% of CLIP predictions match the **934** majority vote annotations. **935**

A.5 Explicit Gender Indicators **936**

To identify captions with explicit gender infor- **937** mation, we consider 1) gender words (male, **938** female, man, woman, gent, gentleman, lady, **939** boy, girl), 2) binary gender pronouns (he, him, **940** his, himself, she, her, hers, herself), and **941** 3) names. We perform named entity recog- **942** nition using the *en_core_web_lg* model from **943** spaCy to identify name mentions, and then use **944** [t](https://pypi.org/project/gender-guesser/)he gender-guesser library [https://pypi.org/](https://pypi.org/project/gender-guesser/) **945** [project/gender-guesser/](https://pypi.org/project/gender-guesser/) to infer gender. We **946** include example training captions with explicit gen- **947** der mentions in Table [5.](#page-13-0) **948**

A.6 Paraphrasing Captions **949**

In Section [6,](#page-5-0) we align the train and test distribu- **950** tions by directly prompting the model with training **951** captions. We show that amplification is minimal **952** when eliminating distributional differences. As a **953** follow-up, we study what happens to amplification **954** if we instead use prompts that are similar but not **955** identical to training captions. To construct similar **956** examples, we paraphrase the original captions **957** using gpt-3.5-turbo. We set the temperature **958** to 0 and use the following prompt to generate **959** paraphrases: 960

Please paraphrase the phrase/sentence below. **962** *You can change words without changing the* **963** *original meaning or intent. You must include* **964** *the word [OCCUPATION].* **965** *Phrase/Sentence: [CAPTION]* **966**

Using the training subset S_0 from Section [6](#page-5-0) and **968** the paraphrased captions as prompts P_0 , we find **969** that amplification remains low — amplification **970** is 0.69% for all captions (compared to 0.68% in **971** Section [6\)](#page-5-0) and 2.49% for captions without explicit **972** gender indicators (compared to 2.05% in Section **973** [6\)](#page-5-0). These findings indicate that our original anal- **974** ysis from Section [6](#page-5-0) is robust to specific wording **975** and phrasing choices in training captions. In other **976** words, these results suggest the model can gener- **977** alize, and does not rely solely on memorization to **978** achieve low amplification. **979**

Table 4: List of 62 occupations used to study gender-occupation biases.

Figure 7: Bias amplification for various approaches to address discrepancies between training and generation. The proposed approaches yield lower bias amplification, especially the combined method (c). Results are shown for Prompt #1. Regions are shaded based on Amplification and De-Amplification.

Figure 9: Bias amplification for various approaches to address discrepancies between training and generation. The proposed approaches yield lower bias amplification, especially the combined method (c). Results are averaged across all prompts. Regions are shaded based on Amplification and De-Amplification.

Image	Caption	Gender Indicator
	Portrait of young woman programmer working at a computer in the data center filled with display screens	woman
	Tired young indian programmer almost sleeping at his desk after working on difficult project all day long	his
	Female accountant very busy in office	female
	Accountant managing manual bill monitoring tasks in his home office	his
	Iowa Republican Senator Chuck Grassley	first name
	U.S. Senator Kirsten Gillibrand (D-NY) pauses during a news conference on Capitol Hill in Washington	first name
	Portrait of young male mechanic in bicycle store, Beijing	male
	African american woman mechanic repairing a motorcycle in a workshop	woman
	Attractive woman photographer taking images with dslr camera outdoors in park.	woman
	Photographer John G. Zimmerman with his pipe and Hucher camera, 1972.	first name/his

Table 5: Example training images and captions with explicit gender indicators for select occupations (in bold).

Table 6: The percentage of females across occupations in training images (using our initial approach from Section [4\)](#page-2-1) and generated images using SD 1.4. We display generation results for each prompt.

Table 7: The percentage of females across occupations in training images (using our initial approach from Section [4\)](#page-2-1) and generated images using SD 1.5. We display generation results for each prompt.