# VICReg: Variance-Invariance-Covariance Regularization for Self-Supervised Learning

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### Abstract

Recent self-supervised methods for image representation learning maximize the 1 agreement between embedding vectors produced by encoders fed with different 2 views of the same image. The main challenge is to prevent a *collapse* in which 3 the encoders produce constant or non-informative vectors. We introduce VICReg 4 (Variance-Invariance-Covariance Regularization), a method that explicitly avoids 5 the collapse problem with two regularizations terms applied to both embeddings 6 separately: (1) a term that maintains the variance of each embedding dimension 7 above a threshold, (2) a term that decorrelates each pair of variables. Unlike 8 most other approaches to the same problem, VICReg does not require techniques 9 such as: weight sharing between the branches, batch normalization, feature-wise 10 normalization, output quantization, stop gradient, memory banks, etc., and achieves 11 results on par with the state of the art on several downstream tasks. In addition, we 12 show that our variance regularization term stabilizes the training of other methods 13 and leads to performance improvements. 14

# 15 1 Introduction

Self-supervised representation learning has made significant progress over the last years, almost 16 reaching the performance of supervised baselines on many downstream tasks [1, 2, 3, 4, 5, 6, 7, 8, 9]. 17 Several recent approaches rely on a joint embedding architecture in which two networks are trained to 18 produce similar embeddings for different views of the same image. A popular instance is the Siamese 19 network architecture [10], where the two networks share the same weights. The main challenge with 20 joint embedding architectures is to prevent a collapse in which the two branches ignore the inputs and 21 produce identical output vectors. There are two main approaches to preventing collapse: contrastive 22 methods and information maximization methods. Contrastive methods [3, 11, 12] use a loss that 23 explicitly pushes the embeddings of dissimilar images away from each other. They often require a 24 mining procedure to search for offending dissimilar samples from a memory bank [3] or from the 25 current batch [12]. Contrastive methods tend to be costly, require large batch sizes or memory banks, 26 and do not seem to scale well with the dimension of the embedding. Quantization-based approaches 27 [5, 13] force the embeddings of different samples to belong to different clusters on the unit sphere. 28 Collapse is prevented by ensuring that the assignment of samples to clusters is as uniform as possible. 29 A similarity term encourages the cluster assignment score vectors from the two branches to be 30 31 similar. More recently, a few methods have appeared that do not rely on contrastive samples or vector quantization, yet produce high-quality representations, for example BYOL [6] and SimSiam [7]. 32 They exploit several tricks: batch-wise or feature-wise normalization, a "momentum encoder" in 33 which the parameter vector of one branch is a low-pass-filtered version of the parameter vector of the 34 other branch [6, 14], or a stop-gradient operation in one of the branches [7]. The dynamics of learning 35 in these methods, and how they avoid collapse, is not fully understood, although theoretical and 36 empirical studies point to the crucial importance of batch-wise or feature-wise normalization [14, 15]. 37 Finally, an alternative class of collapse prevention methods relies on maximizing the information 38 39 content of the embedding [9, 16]. These methods prevent *informational collapse* by decorrelating

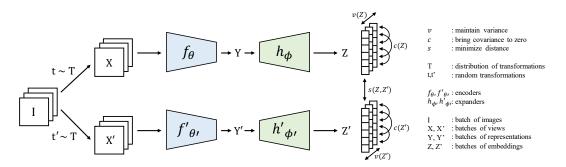


Figure 1: VICReg: joint embedding architecture with variance, invariance and covariance regularization. Given a batch of images I, two batches of different views X and X' are produced and are then encoded into representations Y and Y'. The representations are fed to an expander producing the embeddings Z and Z'. The distance between two embeddings from the same image is minimized, the variance of each embedding variable over a batch is maintained above a threshold, and the covariance between pairs of embedding variables over a batch are attracted to zero, decorrelating the variables from each other. Although the two branches do not require identical architectures nor share weights, in most of our experiments, they are Siamese with shared weights: the encoders are ResNet-50 backbones with output dimension 2048. The expanders have 3 fully-connected layers of size 8192.

40 every pair of variables of the embedding vectors. This indirectly maximizes the information content

of the embedding vectors. The Barlow Twins method drives the normalized cross-correlation matrix of the two embeddings towards the identity [9], while the Whitening-MSE method whitens and

spreads out the embedding vectors on the unit sphere [16].

# 44 **2 VICReg:** intuition

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We introduce VICReg (Variance-Invariance-Covariance Regularization), a self-supervised method for training joint embedding architectures based on the principle of preserving the information content of the embeddings. The basic idea is to use a loss function with three terms:

• **Invariance**: the mean square distance between the embedding vectors.

Variance: a hinge loss to maintain the standard deviation (over a batch) of each variable of
 the embedding above a given threshold. This term forces the embedding vectors of samples
 within a batch to be different.

• **Covariance**: a term that attracts the covariances (over a batch) between every pair of (centered) embedding variables towards zero. This term decorrelates the variables of each embedding and prevents an *informational collapse* in which the variables would vary together or be highly correlated.

Variance and Covariance terms are applied to both branches of the architecture separately, thereby 56 preserving the information content of each embedding at a certain level and preventing informational 57 collapse independently for the two branches. The main contribution of this paper is the Variance 58 preservation term, which explicitly prevents a collapse due to a shrinkage of the embedding vectors 59 towards zero. The Covariance criterion is borrowed from the Barlow Twins method and prevents 60 informational collapse due to redundancy between the embedding variables [9]. VICReg is more 61 generally applicable than most of the aforementioned methods because of fewer constraints on the 62 architecture. In particular, VICReg: 63

- does not require that the weights of the two branches be shared, not that the architectures be identical, nor that the inputs be of the same nature;
- does not require a memory bank, nor contrastive samples, nor a large batch size;
  - does not require batch-wise nor feature-wise normalization; and
- does not require vector quantization nor a predictor module.

Other methods require asymmetric stop gradient operations, as in SimSiam [7], weight sharing between the two branches as in classical Siamese nets, or weight sharing through exponential moving

average dampening with stop gradient in one branch, as in BYOL and MoCo [3, 6, 17], large batches 71 of contrastive samples, as in SimCLR [12], or batch-wise and/or feature-wise normalization [5, 6, 7, 7]72 73 9, 16]. One of the most interesting feature of VICReg is the fact that the two branches are not required to share the same parameters, architecture, or input modality. This opens the door to the use of 74 non-contrastive self-supervised joint-embedding for multi-modal signals, such as video and audio. We 75 demonstrate the effectiveness of the proposed approach by evaluating the representations learned with 76 VICReg on several downstream image recognition tasks including linear head and semi-supervised 77 evaluation protocols for image classification on ImageNet [18], and other classification, detection 78 and instance segmentation tasks. Furthermore, we show that incorporating variance preservation 79 into other self-supervised joint-embedding methods yields better training stability and performance 80 improvement on downstream tasks. More generally, we show that VICReg is an explicit and effective, 81 yet simple method for preventing collapse in self-supervised joint-embedding learning. 82

# 83 **3 Related work**

**Contrastive learning.** In contrastive SSL methods applied to joint embedding architectures, the 84 85 output embeddings for a sample and its distorted version are brought close to each other, while other samples and their distortions are pushed away. The method is most often applied to Siamese 86 architectures in which the two branches have identical architectures and share weights [2, 3, 10, 11, 87 12, 17, 19, 20, 21, 22, 23]. Many authors use the InfoNCE loss [22] in which the repulsive force 88 is larger for contrastive samples that are closer to the reference. While these methods yield good 89 performance, they require large amounts of contrastive pairs in order to work well. These contrastive 90 pairs can be sampled from a memory bank as in MoCo [3], or given by the current batch of data as in 91 SimCLR [12], with a significant memory footprint. This downside of contrastive methods motivates 92 a search for alternatives. 93

Clustering methods. Instead of viewing each sample as its own class, clustering-based methods 94 group them into clusters based on some similarity measure [5, 13, 24, 25, 26, 27, 28, 29, 30, 31]. 95 DeepCluster [13] uses k-means assignments of representations from previous iterations as pseudo-96 labels for the new representations, which requires an expensive clustering phase done asynchronously, 97 and makes the method hard to scale up. SwAV [5] mitigates this issue by learning the clusters online 98 while maintaining a balanced partition of the assignments through the Sinkhorn-Knopp transform [32]. 99 These clustering approaches can be viewed as contrastive learning at the level of clusters which still 100 requires a lot of negative comparisons to work well. 101

**Distillation methods.** Recent proposals such as BYOL, SimSiam, OBoW and variants [6, 7, 8, 14, 102 33] have shown that collapse can be avoided by using architectural tricks inspired by knowledge 103 distillation [34]. These methods train a student network to predict the representations of a teacher 104 network, for which the weights are a running average of the student network's weights [6], or 105 are shared with the student network, but no gradient is back-propagated through the teacher [7]. 106 These methods are effective, but there is no clear understanding of how t but suffer from a lack of 107 explainability regarding the way collapsing solutions are avoided. Alternatively, the images can be 108 represented as bags of word over a dictionary of visual features, which effectively prevents collapse. 109 In [33] and [8] the dictionary is obtained by off-line or on-line clustering. By contrast, our method 110 explicitly prevents collapse in the two branches independently, which removes the requirement for 111 shared weights and identical architecture, opening the door to the application of joint-embedding 112 SSL to multi-modal signals. 113

**Information maximization methods.** A principle to prevent collapse is to maximize the information 114 content of the embeddings. Two such methods were recently proposed: W-MSE [16] and Barlow 115 Twins [9]. In W-MSE, an extra module transforms the embeddings into the eigenspace of their 116 covariance matrix (whitening or Karhunen-Loève transform), and forces the vectors thereby obtained 117 to be uniformly distributed on the unit sphere. In Barlow Twins, a loss term attempts to make the 118 normalized cross-correlation matrix of the embedding vectors from the two branches to be close to 119 the identity. Both methods attempt to produce embedding variables that are decorrelated from each 120 other, thus preventing an *informational collapse* in which the variables carry redundant information. 121 Because all variables are normalized over a batch, there is no incentive for them to shrink nor expand. 122 123 This seems to sufficient to prevent collapse. Our method borrows the decorrelation mechanism of Barlow Twins. But it includes an explicit variance-preservation term for each variable of the two 124 embeddings and thus does not require any normalization. 125

# 126 4 VICReg: detailed description

VICReg follows recent trends in self-supervised learning [5, 6, 7, 9, 12] and is based on a *joint* 127 128 *embedding architecture*. Contrary to many previous approaches, our architecture may be completely symmetric or completely asymmetric with no shared structure or parameters between the two branches. 129 In most of our experiments, we use a Siamese net architecture in which the two branches are identical 130 and share weights. Each branch consists of an *encoder*  $f_{\theta}$  that outputs the representations (used for 131 downstream tasks), followed by an expander  $h_{\phi}$  that maps the representations into an embedding 132 space where the loss function will be computed. The role of the expander is twofold: (1) eliminate 133 the information by which the two representations differ, (2) expand the dimension in a non-linear 134 fashion so that decorrelating the embedding variables will reduce the dependencies (not just the 135 correlations) between the variables of the representation vector. The loss function uses a term s that 136 learns invariance to data transformations and is regularized with a variance term v that prevents norm 137 collapse and a covariance term c that prevents informational collapse by decorrelating the different 138 dimensions of the vectors. After pretraining, the expander is discarded and the representations of the 139 encoder are used for downstream tasks. 140

#### 141 4.1 Method

Given an image *i* sampled from a dataset  $\mathcal{D}$ , two transformations *t* and *t'* are sampled from a distribution  $\mathcal{T}$  to produce two different views x = t(i) and x' = t'(i) of *i*. These transformations are random crops of the image, followed by color distortions. The distribution  $\mathcal{T}$  is described in Appendix C. The views *x* and *x'* are first encoded by  $f_{\theta}$  into their *representations*  $y = f_{\theta}(x)$  and  $y' = f_{\theta}(x')$ , which are then mapped by the expander  $h_{\phi}$  onto the *embeddings*  $z = h_{\phi}(y)$  and  $z' = h_{\phi}(y')$ . The loss is computed at the embedding level on *z* and *z'*.

We describe here the variance, invariance and covariance terms that compose our loss function. The images are processed in batches, and we denote  $Z = [z_1, \ldots, z_n]$  and  $Z' = [z'_1, \ldots, z'_n]$  the two batches composed of *n* vectors of dimension *d*, of embeddings coming out of the two branches of the siamese architecture. We denote by  $z^j$  the vector composed of each value at dimension *j* in all vectors in *Z*. We define the variance regularization term *v* as a hinge function on the standard deviation of the embeddings along the batch dimension:

$$v(Z) = \frac{1}{d} \sum_{j=1}^{d} \max(0, \gamma - S(z^{j}, \epsilon)),$$
(1)

where S is the regularized standard deviation defined by:

$$S(x,\epsilon) = \sqrt{\operatorname{Var}(x) + \epsilon},$$
 (2)

 $\gamma$  is a constant target value for the standard deviation, fixed to 1 in our experiments,  $\epsilon$  is a small scalar preventing numerical instabilities. This criterion encourages the variance inside the current batch to be equal to  $\gamma$  along each dimension, preventing collapse with all the inputs mapped on the same vector. Using the standard deviation and not directly the variance is crucial. Indeed, if we take S(x) = Var(x) in the hinge function, the gradient of S with respect to x becomes close to 0 when x is close to  $\bar{x}$ . In this case, the gradient of v also becomes close to 0 and the embeddings collapse. We define the covariance matrix of Z as:

$$C(Z) = \frac{1}{n-1} \sum_{i=1}^{n} (z_i - \bar{z}) (z_i - \bar{z})^T, \text{ where } \bar{z} = \frac{1}{n} \sum_{i=1}^{n} z_i.$$
(3)

Inspired by Barlow Twins [9], we can then define the covariance regularization term c as the sum of the squared off-diagonal coefficients of C(Z), with a factor 1/d that scales the criterion as a function of the dimension:

$$c(Z) = \frac{1}{d} \sum_{i \neq j} [C(Z)]_{i,j}^2.$$
(4)

This term encourages the off-diagonal coefficients of C(Z) to be close to 0, decorrelating the different dimensions of the embeddings and preventing them from encoding similar information. Decorrelation at the embedding level ultimately has a decorrelation effect at the representation level, which is a non trivial phenomenon that we study in Appendix D. We finally define the invariance criterion *s*  between Z and Z' as the mean-squared euclidean distance between each pair of vectors, without any normalization:

$$s(Z, Z') = \frac{1}{n} \sum_{i} \|z_i - z'_i\|_2^2.$$
(5)

<sup>171</sup> The overall loss function is a weighted average of the invariance, variance and covariance terms:

$$\ell(Z, Z') = \lambda s(Z, Z') + \mu[v(Z) + v(Z')] + \nu[c(Z) + c(Z')],$$
(6)

where  $\lambda$ ,  $\mu$  and  $\nu$  are hyper-parameters controlling the importance of each term in the loss. In our experiments, we set  $\nu = 1$  and perform a grid search on the values of  $\lambda$  and  $\mu$  with the base condition  $\lambda = \mu > 1$ . The overall objective function taken on all images over an unlabelled dataset  $\mathcal{D}$  is given by:

$$\mathcal{L} = \sum_{I \in \mathcal{D}} \sum_{t, t' \sim \mathcal{T}} \ell(Z^I, Z'^I), \tag{7}$$

where  $Z^{I}$  and  $Z'^{I}$  are the batches of embeddings corresponding to the batch of images I transformed by t and t'. The objective is minimized for several epochs, over the encoder parameters  $\theta$  and expander parameters  $\phi$ . We illustrate the architecture and loss function of VICReg in Figure 1.

#### 180 4.2 Implementation details

Implementation details for pretraining with VI-181 CReg on the 1000-classes ImagetNet<sup>1</sup> dataset 182 without labels are as follows. Coefficients  $\lambda$  and 183  $\mu$  are 25 and  $\nu$  is 1 in Eq. (6), and  $\epsilon$  is 0.0001 184 in Eq. (1). The encoder network  $f_{\theta}$  is a stan-185 dard ResNet-50 backbone [35] with 2048 output 186 units. The expander  $h_{\phi}$  is composed of two 187 fully-connected layers with batch normalization 188 (BN) [36] and ReLU, and a third linear layer. 189 The sizes of all 3 layers were set to 8192. As 190 with Barlow Twins, performance improves when 191 the size of the expander layers is larger than the 192 dimension of the representation. The impact 193 of the expander dimension on performance is 194 studied in Appendix D. The training protocol fol-195 lows those of BYOL and Barlow Twins: LARS 196 optimizer [37, 38] run for 1000 epochs with a 197

#### Algorithm 1: VICReg pseudocode.

- weight decay of  $10^{-6}$  and a learning rate  $lr = batch_size/256 \times base_lr$ , where  $batch_size$  is set to 2048 by default and base lr is a base learning rate set to 0.2. The learning rate follows a cosine
- decay schedule [39], starting from 0 with 10 warmup epochs and with final value of 0.002.

### 201 5 Results

In this section, we evaluate the representations obtained after self-supervised pretraining of a ResNet-50 [35] backbone with VICReg during 1000 epochs, on the training set of ImageNet, using the training protocol described in section 4.

#### 205 5.1 Evaluation on ImageNet

Following the ImageNet [18] linear evaluation protocol, we train a linear classifier on top of the 206 frozen representations of the ResNet-50 backbone pretrained with VICReg. We also evaluate the 207 performance of the backbone when fine-tuned with a linear classifier on a subset of ImageNet's 208 training set using 1% or 10% of the labels, using the split of [12]. We give implementation details 209 about the optimization procedure for these tasks in Appendix C. We have applied the training 210 procedure described in section 4 with three different random initialization. The numbers reported in 211 Table 1 for VICReg are the mean scores, and we have observed that the difference between worse 212 and best run is lower than 0.1% accuracy for linear classification, which shows that VICReg is a 213

<sup>&</sup>lt;sup>1</sup>ImageNet is free to use for research purpose and non-commercial use only.

Table 1: **Evaluation on ImageNet.** Evaluation of the representations obtained with a ResNet-50 backbone pretrained with VICReg on: (1) linear classification on top of the frozen representations from ImageNet; (2) semi-supervised classification on top of the fine-tuned representations from 1% and 10% of ImageNet samples. We report Top-1 and Top-5 accuracies (in %). Top-3 best self-supervised methods are underlined.

	Linear C	lassification	Semi-s	Semi-supervised Classification				
Method	Top-1	Top-5	Top-1		Top-5			
	-	-	1%	10%	1%	10%		
Supervised	76.5	-	25.4	56.4	48.4	80.4		
MoCo [3]	60.6	-	-	-	-	-		
PIRL [2]	63.6	-	-	-	57.2	83.8		
CPC v2 [40]	63.8	-	-	-	-	-		
CMC [41]	66.2	-	-	-	-	-		
SimCLR [12]	69.3	89.0	48.3	65.6	75.5	87.8		
MoCo v2 [17]	71.1	-	-	-	-	-		
SimSiam [7]	71.3	-	-	-	-	-		
SwAV [5]	71.8	-	-	-	-	-		
InfoMin Aug [4]	73.0	91.1	-	-	-	-		
OBoW [8]	73.8	-	-	-	82.9	90.7		
BYOL [6]	74.3	91.6	53.2	68.8	78.4	89.0		
SwAV (w/ multi-crop) [5]	75.3	-	53.9	70.2	78.5	89.9		
Barlow Twins [9]	73.2	91.0	55.0	69.7	79.2	89.3		
VICReg (ours)	73.2	<u>91.1</u>	54.8	69.5	79.4	<u>89.5</u>		

very stable algorithm. Lack of time has prevented us from doing the same for the semi-supervised 214 classification experiments, and the experiments of section 5.2 and 6, but we expect similar conclusion 215 to hold. We compare in Table 1 our results on both tasks against other methods on the validation 216 set of ImageNet. The performance of VICReg is on par with the state of the art without using the 217 negative pairs of SimCLR, the clusters of SwAV, the bag-of-words representations of OBoW, or 218 any asymmetric networks architectural tricks such as the momentum encoder of BYOL and the 219 220 stop-gradient operation of SimSiam. The performance is comparable to that of Barlow Twins, which 221 shows that VICReg's more explicit way of constraining the variance and comparing views has the same power than maximizing cross-correlations between pairs of twin dimensions. The main 222 advantage of VICReg is the modularity of its objective function and the potential applicability to 223 multi-modal setups. 224

#### 225 5.2 Transfer to other downstream tasks

Following the setup from [2], we train a linear classifier on top of the frozen representations learnt by 226 our pretrained ResNet-50 backbone on a variety of different datasets: the Places205 [42] scene classi-227 fication dataset, the VOC07 [43] multi-label image classification dataset and the iNaturalist2018 [44] 228 fine-grained image classification dataset<sup>2</sup>. We then evaluate the quality of the representations by 229 transferring to other vision tasks including VOC07+12 [43] object detection using Faster R-CNN [45] 230 with a R50-C4 backbone, and COCO [46] instance segmentation using Mask-R-CNN [47] with a 231 R50-FPN backbone. We give implementation details in Appendix C. We report the performance 232 in Table 2, VICReg performs on par with most concurrent methods, and better than Barlow Twins, 233 across all classification tasks, but is slightly behind the top-3 on detection tasks. This could be 234 235 explained by the fact that VICReg learns representations that are more invariant to transformation, but eliminates more low-level information about the images than the other methods. 236

# 237 6 Ablation study

In this section we study how the different components of our method contribute to its performance, as well as how they interact with components from other self-supervised methods. All reported

<sup>&</sup>lt;sup>2</sup> Places205 was released under the CC-BY license. Pascal VOC, iNaturalist18 and COCO are free to use for research purposes and non-commercial use only.

Table 2: Transfer learning on downstream tasks. Evaluation of the representations from a ResNet-50 backbone pretrained with VICReg on: (1) linear classification tasks on top of frozen representations, we report Top-1 accuracy (in %) for Places205 [42] and iNat18 [44], and mAP for VOC07 [43]; (2) object detection with fine-tunning, we report  $AP_{50}$  for VOC07+12 using Faster R-CNN with C4 backbone [45]; (3) object detection and instance segmentation, we report AP for COCO [46] using Mask R-CNN with FPN backbone [47]. We use † to denote the experiments run by us. Top-3 best self-supervised methods are underlined.

	Linear (	Classific	ation	Object Detection			
Method	Places205	VOC07	iNat18	VOC07+12	COCO det	COCO seg	
Supervised	53.2	87.5	46.7	81.3	39.0	35.4	
MoCo [3]	46.9	79.8	31.5	-	-	-	
PIRL [2]	49.8	81.1	34.1	-	-	-	
SimCLR [12]	52.5	85.5	37.2	-	-	-	
MoCo v2 [17]	51.8	86.4	38.6	82.5	39.8	36.1	
SimSiam [7]	-	-	-	82.4	-	-	
BYOL [6]	54.0	86.6	47.6	-	$40.4^{\dagger}$	37.0†	
SwAV (w/ multi-crop) [5]	56.7	88.9	48.6	82.6	41.6	37.8	
OBoW [8]	56.8	89.3	-	82.9	-	-	
Barlow Twins [6]	54.1	86.2	46.5	82.6	$40.0^{\dagger}$	36.7†	
VICReg (ours)	<u>54.3</u>	<u>86.6</u>	<u>47.0</u>	82.4	39.4	36.4	

results are obtained on the linear evaluation protocol using a ResNet-50 backbone and 100 epochs of 240 pretraining, which gives results consistent with those obtained with 1000 epochs of pretraining. The 241 242

optimization setting used for each experiment is described in Appendix C.

Asymmetric networks. We study the impact of different components used in asymmetric architec-243 tures and the effects of adding variance and covariance regularization, in terms of performance and 244 training stability. Starting from a simple symmetric architecture with an encoder and an expander 245 without batch normalization, which correspond to VICReg without batch normalization in the ex-246 pander, we progressively add batch normalization in the inner layers of the expander, a predictor, 247 a stop-gradient operation and a momentum encoder. We use the training protocol and architecture 248 of SimSiam [7] when a stop-gradient is used and the training protocol and architecture of BYOL 249 [6] when a momentum encoder is used. The predictor as used in SimSiam and BYOL is a learnable 250 module  $g_{\psi}$  that predicts the embedding of a view given the embedding of the other view of the same 251 induce  $g_{\psi}$  that predicts the embeddings of a view given the embedding of the other of the same simage. If z and z' are the embeddings of two views of an image, then  $p = g_{\psi}(z)$  and  $p' = g_{\psi}(z')$  are the predictions of each view. The invariance loss function of Eq. (5) is now computed between a batch of embeddings  $Z = [z_1, \ldots, z_n]$  and the corresponding batch of predictions  $P = [p'_1, \ldots, p'_n]$ , 252 253 254 then symmetrized: 255

$$s(Z, Z', P, P') = \frac{1}{2n} \sum_{i} D(z_i - p'_i) + \frac{1}{2n} \sum_{i} D(z'_i - p_i),$$
(8)

where D is a distance function that depends on the method used. BYOL uses the mean square error 256 between  $l_2$ -normalized vectors, SimSiam uses the negative cosine similarity loss and VICReg uses 257 the mean square error without  $l_2$ -normalization. The variance and covariance terms are regularizing 258 the output Z and Z' of the expander, which we empirically found to work better than regularizing 259 the output of the predictor. We compare different settings in Table 3, based on the default data 260 augmentation, optimization and architecture settings of the original BYOL, SimSiam and VICReg 261 methods. In all settings, the absence of BN indicates that BN is also removed in the predictor when 262 one is used. 263

We analyse first the impact of variance regularization (VR) in the different settings. When using VR, 264 adding a predictor (PR) to VICReg does not lead to a significant change of the performance, which 265 indicates that PR is redundant with VR. In comparison, without VR, the representations collapse, and 266 both stop-gradient (SG) and PR are necessary. Batch normalization in the inner layers of the expander 267 (BN) in VICReg leads to a 1.0% increase in the performance, which is not a big improvement 268 considering that SG and PR without BN is performing very poorly at 35.1%. 269

Table 3: Effect of incorporating variance and covariance regularization in different methods. Top-1 ImageNet accuracy with the linear evaluation protocol after 100 pretraining epochs. For all methods, pretraining follows the architecture, the optimization and the data augmentation protocol of the original method using our reimplementation. ME: Momentum Encoder. SG: stop-gradient. PR: predictor. BN: Batch normalization layers after input and inner linear layers in the expander. No Reg: No additional regularization. Var Reg: Variance regularization. Var/Cov Reg: Variance and Covariance regularization. Unmodified original setups are marked by a <sup>†</sup>.

Method	ME	SG	PR	BN	No Reg	Var Reg	Var/Cov Reg
BYOL	1	1	1	1	69.3 <sup>†</sup>	70.2	69.5
SimSiam		1	1	1	67.9†	68.1	67.6
SimSiam		1	1		35.1	67.3	67.1
SimSiam		1			collapse	56.8	66.1
VICReg			1		collapse	56.2	67.3
VICReg			1	$\checkmark$	collapse	57.1	68.7
VICReg				1	collapse	57.5	$68.6^{\dagger}$
VICReg					collapse	56.5	67.4

Finally, incorporating VR with SG or ME further improves the performance by small margins of 270 respectively 0.2% and 0.9%, which might be explained by the fact that these architectural tricks that 271 prevent collapse are not perfectly maintaining the variance of the representations, i.e. very slow 272 collapse is happening with these methods. We explain this intuition by studying the evolution of the 273 standard deviation of the representations during pretraining for BYOL and SimSiam in Appendix D. 274 We then analyse the impact of adding additional covariance regularization (CR) in the different 275 settings, along with variance regularization. We found that optimization with SG and CR is hard, 276 even if our analysis of the average correlation coefficient of the representations during pretraining 277 in Appendix D shows that both fulfill the same objective. The performance of BYOL and SimSiam 278 slightly drops compared to VR only, except when PR is removed, where SG becomes useless. 279 BN is still useful and improves the performance by 1.3%. Finally with CR, PR does not harm 280 the performance and even improves it by a very small margin. VICReg+PR with 1000 epochs of 281 pretraining exactly matches the score of VICReg (73.2% on linear classification). 282

Weight sharing. Contrary to most self-supervised learn-283 ing approaches based on Siamese architectures, VICReg 284 has several unique properties: (1) weights do not need to 285 be shared between the branches, each branch's weights are 286 updated independently of the other branch's weights; (2) 287 288 the branches are regularized independently, the variance 289 and covariance terms are computed on each branch individually; (3) no predictor is necessary unlike with methods 290 where one branch predicts outputs of the other branch. Ta-291 ble 4 shows results on ImageNet using the standard linear 292 protocol for situations where the weights of the encoder 293 and the expander are shared or not. In all settings, there 294 is no collapse and the performance is competitive. The 295 slight drop in accuracy without sharing is likely due to the 296 increased number of parameters. Importantly, the ability 297 of VICReg to function with different parameters, ar-298

Table 4: Impact of sharing weights or not between branches. Top-1 accuracy on linear classification with 100 pretraining epochs. In all settings, the encoder and expander of both branches share the same architecture, but either share weights ( $\checkmark$ ), or have different weights in the two branches.

Encoder	Expander	Top-1
		66.5
	1	67.3
1		67.8
1	1	68.6

chitectures, and input modalities for the branches widens the applicability to joint-embedding
 SSL to many applications, including multi-modal signals.

Loss function coefficients. Table 5 reports the performance for various values of the loss term coefficients in Eq. (6). Without variance regularization the representations immediately collapse to a single vector and the covariance term, which has no repulsive effect preventing collapse, has no impact. The invariance term is absolutely necessary and without it the network can not learn any good representations. By simply using the invariance term and variance regularization, which is a very simple baseline, VICReg still reaches an accuracy of 57.5%. These results show that variance and covariance regularizations have complementary effects.

Table 5: **Impact of variance-covariance regularization.** Inv: a invariance loss is used,  $\lambda > 0$ , Var: variance regularization,  $\mu > 0$ , Cov: covariance regularization,  $\nu > 0$ , in Eq. (6).

Table 6: **Impact of normalization.** Std: variables are centered and divided by their standard deviation over the batch. This is applied or not to the embedding and the expander hidden layers.  $l_2$ : the embedding vectors are  $l_2$ -normalized.

Embedding

None

None

Std

Std

 $l_2$ 

Top-1

68.6

68.4 67.4

67.2 65.1

36.3.3	``			<b>T</b> 1	
Method	$\lambda$	$\mu$	ν	Top-1	Expander
Inv	1	0	0	collapse	
Inv + Cov				collapse	Std
Inv + Cov					Std
	0	23		collapse	None
Inv + Var	1	1	0	57.5	None
Inv + Var + Cov (VICReg)	25	25	1	68.6	Std

**Normalizations.** VICReg is the first self-supervised method for joint-embedding architectures 308 309 we are aware of that does not require normalization. Contrary to SimSiam, W-MSE, SwAV and BYOL, and others, the embedding vectors are not projected on the unit sphere. Contrary to Barlow 310 Twins, they are not standardized (equivalent to batch normalization without the adaptive parameters). 311 Table 6 shows that the best settings do not involve any normalization of the embeddings, whether it 312 is batch-wise or feature-wise (as in  $l_2$  normalization). Whenever the embeddings are standardized 313 (lines 3 and 5 in the table) the covariance matrix of Eq. (3) becomes the normalized auto-correlation 314 matrix with coefficients between -1 and 1. This hurts the accuracy by 1.1%. We observe that when 315 unconstrained, the coefficients in the covariance matrix take values in a wider range, which seems to 316 facilitate the training process. Standardization is still an important component that helps stabilize 317 the training when used in the hidden layers of the expander, and the performance drops by 1.2%318 when it is removed. Projecting the embeddings on the unit sphere implicitly constrains their standard 319 deviation along the batch dimension to be  $1/\sqrt{d}$ , where d is the dimension of the vectors. We change 320 the invariance term of Eq. (5) to be the mean square error between  $l_2$ -normalized vectors, and the 321 target  $\gamma$  in the variance term of Eq. (1) is set to  $1/\sqrt{d}$  instead of 1, forcing the standard deviation 322 to get closer to  $1/\sqrt{d}$ , and the vectors to be spread out on the unit sphere. This puts a lot more 323 constraints on the network and the performance drops by 3.5%. 324

# 325 7 Discussion

We introduced VICReg, a simple approach to self-supervised learning based on a triple objective: learning invariance to different views with a invariance term, avoiding collapse of the representations with a variance preservation term, and maximizing the information content of the representation with a covariance regularization term. VICReg achieves results on par with the state of the art on many downstream tasks, but is not subject to the same limitations as most other methods, particularly because it does not require the embedding branches to be identical or even similar.

Limitations. The time and memory costs of VICReg are dominated by the computation of the covariance matrix for each processed batch, which is quadratic in the dimension of the embeddings. Our experimental analysis, which corroborates the analysis of [9], shows that increasing the dimension of the embeddings significantly improves performance. Future work will explore how this quadratic bottleneck can be overcome by different approximation techniques, as well as completely new information maximization approaches based on higher-order statistics, and whether large expander networks are required.

SwAV [5] introduced multi-crop, a data-augmentation protocol where more than two views are
produced for each image, which improves considerably the performance on downstream tasks. Using
multi-crop with VICReg did not yield any performance improvement and showed signs of overfitting.
More generally, multi-crop does not seem to help VICReg, Barlow Twins [9], SimSiam [7] nor BYOL
[6], but yields performance improvements with SwAV [5], SimCLR [12] and MoCo [3], which might
be related to the fact that these methods are contrastive.

**Broader impact.** This work increases the domain of applicability of self-supervised learning, and may improve the performance on tasks for which labeled data is scarce, visual or otherwise, such as healthcare, environmental protection, material science, and the understanding and translation of rare languages. Using the method described here is not likely to mitigate the usual issues with machine-learning systems due to biases in the data or the model architecture.

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# 467 Checklist

468	1. For all authors
469 470	(a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes]
471	(b) Did you describe the limitations of your work? [Yes] See Section 7.
472	(c) Did you discuss any potential negative societal impacts of your work? [Yes] See
473	Section 7.
474 475	<ul><li>(d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes]</li></ul>
476	2. If you are including theoretical results
477 478	<ul><li>(a) Did you state the full set of assumptions of all theoretical results? [N/A]</li><li>(b) Did you include complete proofs of all theoretical results? [N/A]</li></ul>
479	3. If you ran experiments
480 481 482	(a) Did you include the code, data, and instructions needed to reproduce the main experi- mental results (either in the supplemental material or as a URL)? [Yes] See zip archive in supplementary material.
483 484 485	(b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [Yes] For all experiments, training details are included in Section 4.2 and Appendix C.
486 487	<ul><li>(c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? [Yes] We discuss running multiple seeds in Section 5.1.</li></ul>
488 489	(d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [Yes] See Appendix E.
490	4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets
491	(a) If your work uses existing assets, did you cite the creators? [Yes]
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493 494	(c) Did you include any new assets either in the supplemental material or as a URL? [Yes] Code associated to the main results in the paper.
495 496	(d) Did you discuss whether and how consent was obtained from people whose data you're using/curating? [N/A] All used dataset are opensource.
497 498 499	(e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? [N/A] All used datasets are publicly available and have been widely used in the research community for many years.
500	5. If you used crowdsourcing or conducted research with human subjects
501 502	<ul> <li>(a) Did you include the full text of instructions given to participants and screenshots, if applicable? [N/A]</li> </ul>
503 504	<ul> <li>(b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A]</li> </ul>
505 506	(c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [N/A]