
Benchmarking Empirical Privacy Protection for Adaptations of Large Language Models

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Abstract

1 Recent work has applied differential privacy (DP) to adapt large language models
2 (LLMs) for sensitive applications, offering theoretical guarantees. However, its
3 practical effectiveness remains unclear, partly due to LLM pretraining, where
4 overlaps and interdependencies with adaptation data can undermine privacy despite
5 DP efforts. To analyze this issue in practice, we investigate privacy risks under
6 DP adaptations in LLMs using state-of-the-art attacks such as *robust membership*
7 *inference* and *canary data extraction*. We benchmark these risks by systematically
8 varying the adaptation data distribution, from exact overlaps with pretraining data,
9 through in-distribution (IID) cases, to entirely out-of-distribution (OOD) examples.
10 Additionally, we evaluate how different adaptation methods and different privacy
11 regimes impact the vulnerability. Our results show that distribution shifts strongly
12 influence privacy vulnerability: the closer the adaptation data is to the pretraining
13 distribution, the higher the practical privacy risk at the same theoretical guarantee,
14 even without direct data overlap. We find that parameter-efficient fine-tuning
15 methods, such as LoRA, achieve the highest empirical privacy protection for OOD
16 data. Our benchmark identifies key factors for achieving practical privacy in DP
17 LLM adaptation, providing actionable insights for deploying customized models in
18 sensitive settings. Looking forward, we propose a structured framework for *holistic*
19 privacy assessment beyond adaptation privacy, to identify and evaluate risks across
20 LLMs' full pretrain-adapt pipeline.

21 **1 Introduction**

22 The use of *pretrained* large language models (LLMs) for sensitive downstream tasks, such as medical
23 decision making, has grown rapidly [25, 12, 49]. To offer protection for the private data used to
24 *adapt* the LLMs to these sensitive tasks, differential privacy (DP) [16, 17] has emerged as a gold
25 standard [53, 54, 30, 13, 33]. However, adapting a pretrained LLM with DP may not always provide
26 the anticipated privacy protections [48]. The challenge arises from potential overlap or complex
27 interdependencies between data used to pretrain the LLMs and the adaptation dataset. The problem is
28 exacerbated by the fact that for most LLMs, their pretraining datasets are not disclosed [35, 39, 46],
29 rendering a structured reasoning of the interdependencies with the private adaptation data impossible.
30 While prior work has investigated privacy risks stemming from LLM pretraining [10, 9], post-hoc
31 leakage in non-private adaptations [58], or auditing DP adaptations via synthetic canaries [36], we
32 still lack a structured understanding of the *empirical privacy risks* of DP adaptations. This is a
33 critical gap. Without a clear understanding of the practical risks, LLM practitioners are left with little
34 guidance on how to privately apply LLMs in privacy-sensitive settings, including critical questions
35 like: which adaptation method to use, what pretrained model is best given the private adaptation data
36 distribution, and what privacy levels will be protective enough.

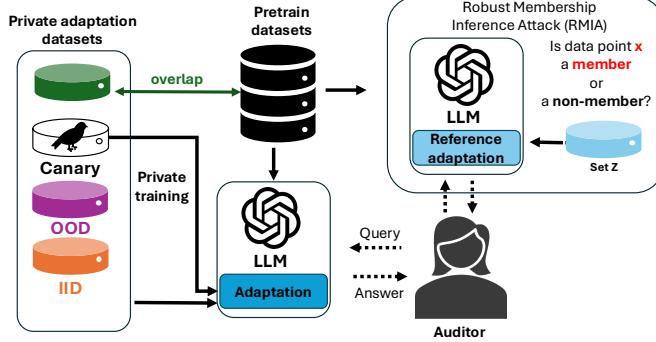


Figure 1: **Setup for Privacy Auditing of DP-LLM Adaptations.** We perform our audits based on the privately adapted LLM’s output, either by using RMIA [8] as the strongest state-of-the-art membership inference attack, or by relying on data extraction attacks. For the latter, we include *canary* data into the adaptation set and measure its exposure.

37 To close this gap, we conduct a comprehensive benchmark evaluation that sheds light on the empirical
 38 leakage introduced by DP adaptations. We evaluate a wide range of private adaptation strategies,
 39 including full and last-layer DP fine-tuning [30], parameter-efficient fine-tuning (PEFT) methods
 40 such as DP-LoRA [21, 54], DP-Prefix Tuning [31], as well as DP prompting schemes [13]. To assess
 41 leakage, we focus on the *Robust Membership Inference Attack* (RMIA) [56], which represents the
 42 strongest state-of-the-art threat model for auditing LLM privacy, and complement this with *data*
 43 *extraction attacks* [47, 7, 6] to evaluate more severe forms of information leakage. A general overview
 44 of privacy auditing for adapted LLMs is provided in Figure 1.

45 We systematically analyze a spectrum of possible distributions for the adaptation data with respect
 46 to the pretraining data—ranging from data perfectly overlapping with the pretraining data, over IID
 47 scenarios, to entirely OOD examples—to understand the possible privacy implications for all setups.
 48 Our benchmark spans *six* datasets drawn from diverse domains, *four* adaptation methods, *and six*
 49 pretrained LLMs of different sizes and architectures, enabling comprehensive comparisons across
 50 setups. We further analyze a broad spectrum of privacy regimes from no privacy to high privacy,
 51 enabling structured reasoning about the resulting risks. Our study is guided by a central question:
 52 *What are the empirical privacy risks for the adaptation data that result from DP adaptations?*

53 Looking ahead, we highlight the need to jointly audit privacy risks from pretraining and adaptation
 54 and their interplay, as LLMs may leak information from either stage. To address this, we propose
 55 a new structured framework for holistic privacy assessment across the full pretrain-adapt pipeline.
 56 It defines four key audit stages: (1) pretraining, (2) adaptation, (3) their joint interaction, and (4)
 57 post-adaptation auditing of pretraining. To formally ground these audits and make them instantiatable,
 58 we redefine each stage’s membership inference game [52, 23]. We hope this formalization and our
 59 practical insights from the benchmark will guide researchers in developing future assessments and
 60 help practitioners deploy customized LLMs responsibly in sensitive domains.

61 2 Background and Related Work

62 **Differential Privacy.** The mathematical framework of DP [16] formalizes the intuition that privacy
 63 guarantees can be obtained when a randomized mechanism \mathcal{M} executed on two neighboring datasets
 64 D, D' that differ in only one data point, yields roughly the same result, *i.e.*,

$$\Pr[\mathcal{M}(D) \in S] \leq e^\epsilon \cdot \Pr[\mathcal{M}(D') \in S] + \delta. \quad (1)$$

65 The privacy parameter ϵ specifies how much the result can differ, and δ is the probability of failure to
 66 meet that guarantee. There are two canonical algorithms to implement DP guarantees in machine
 67 learning (ML): DPSGD (*Differentially Private Stochastic Gradient Descent*) algorithm [2], which
 68 extends standard stochastic gradient descent with clipping and noising gradients, and PATE (*Private*
 69 *Aggregation of Teacher Ensembles*) [37, 38], which is an inference time algorithm that privately
 70 transfers knowledge from an ensemble of teachers to a public student model.

71 **Private Adaptations of LLMs.** LLMs are pretrained on extensive amounts of public data, followed
 72 by adaptations to private downstream tasks. The existing methods for private LLM adaptations fall
 73 into two categories: (1) *private tuning methods*, such as PrivateLoRA [54] or PromptDPSGD [13],
 74 that rely on access to the LLM gradients and are based on the DPSGD algorithm, and (2) *private
 75 in-context learning (ICL) methods*, such as DP-ICL [51] or PromptPATE [13], which require only
 76 API (black-box) access to the LLM and are based on PATE. See Appendix A.1 for details.

77 **Membership Inference Attacks.** A membership inference attack (MIA) [44, 56, 43, 8] aims to
 78 determine whether a specific data point can be identified as part of a model’s training set. This
 79 approach plays a crucial role in applications ranging from privacy assurance [45] to identifying
 80 protected or copyrighted content embedded in pretraining data [41]. While most MIA research has
 81 focused on supervised learning settings [8], new advancements reveal their broader relevance. Duan
 82 et al. [14] revealed a discrete-prompt-based MIA, disclosing vulnerabilities in proprietary LLMs like
 83 GPT-3, which risk leaking private information through prompt-based queries [13]. See Appendix A.2
 84 for an in-depth discussion of the existing attacks.

85 **Canary Exposure and Data Extraction Attacks.** An alternative to membership inference attacks
 86 (MIAs) for evaluating privacy leakage in machine learning models is to measure the *exposure*
 87 of training data. Given a universe of candidates \mathcal{U} and an attacker’s ranking \hat{Z} by likelihood of
 88 membership, the exposure of a target sample $z \in \mathcal{U}$ is defined as:

$$\text{exposure}(z, \hat{Z}) = \log_2 |\mathcal{U}| - \log_2 (\text{rank}(z; \hat{Z})). \quad (2)$$

89 This score is maximal when z is ranked most likely and zero when ranked least likely. In a comple-
 90 mentary vein, *extractability* quantifies how readily a model emits a secret string when prompted. A
 91 suffix s is said to be *extractable with k tokens of context* if there exists some prefix p of length k such
 92 that, under greedy decoding, the model outputs s immediately following p . When s is sufficiently
 93 long and random, its extractability serves as a practical metric of memorization in LLMs. Further
 94 discussion appears in Appendix A.3

95 **Benchmarking Privacy Vulnerabilities.** Zhu et al. [58] introduced *PrivAuditor*, which systematically
 96 and empirically evaluates the privacy leakage from LLM adaptations. In contrast to our work, they
 97 focus on *non-private* adaptations only. Li et al. [27] evaluated the privacy leakage of private LLMs
 98 adaptations through empirical privacy attacks, such as data extraction, MIAs, and embedding-level
 99 privacy attacks. This benchmark focuses mostly on tradeoffs between privacy and utility, highlighting
 100 the complexity of balancing them. Contrary to our work, this work does not explore the relationship
 101 between the pretraining data and the fine-tuning one. *LLM-PBE* [28] empirically evaluates privacy
 102 risks throughout the LLM lifecycle, including pretraining, fine-tuning, and querying. Zhou et al. [57]
 103 investigated potential data leakage across widely used software engineering benchmarks.

104 3 Experimental Setup

105 We begin by detailing the setup used for our benchmark. Further details are presented in Appendix B

106 **Models and Pretraining Data.** Our work primarily focuses on the Pythia family of models trained
 107 on the Pile dataset [18], and the GPT-Neo family [4]. To benchmark the effects over various model
 108 sizes, we use Pythia 1.4B, Pythia 1B, Pythia 410M, Pythia 160M, Pythia 70M, GPT Neo 1.3B, and
 109 GPT Neo 125 M. The Pile dataset [18] is an 800GB collection of diverse English-language datasets,
 110 including text from sources such as books, academic papers, or source code repositories. In all cases
 111 where a specific model is not explicitly mentioned, we use Pythia 1B as the default model.

112 **Adaptation Datasets.** We categorize the datasets used in our experiments into **in-distribution (IID)**
 113 and **out-of-distribution (OOD)**, depending on their relationship to the pretraining data. IID datasets
 114 come from the same distribution as the pretraining data, and we identify two cases: one with a full
 115 overlap between pretraining and adaptation data, where we use data directly from the pretraining
 116 set for the adaptations, and one with no overlap, where the data is sourced from the corresponding
 117 validation set from the pretraining distribution. We focus on the following Pile subsets for the IID
 118 datasets: BookCorpus2, GitHub, and Enron Emails [24]. In contrast, OOD datasets are derived from
 119 a different distribution and do not overlap with pretraining data. Thereby, we choose SAMSum [19],
 120 and GermanWiki [11]. We elaborate more in Appendix B.1

121 **Adaptation Methods.** We evaluate different types of adaptations, including fine-tuning of all model
 122 parameters [30], or the last layer (*i.e.*, the head) and PEFT methods, such as LoRA [21, 54] and Prefix

123 Tuning [31, I3]. Considering a Pythia 1B model, we train 1B parameters for Full Fine-Tuning, 1M
124 for LoRA, 130M for Prefix Tuning, and 100M for last-layer (Head) Fine-Tuning. Since membership
125 inference success is highly dependent on the train-test gap, for a fair comparison of the privacy
126 leakage, we ensure similar evaluation perplexities, in particular, similar validation loss values at the
127 end of the adaptation’s training for specific datasets across adaptation methods, see Appendix B.2.

128 **Membership Inference.** For membership inference, we rely on the strongest state-of-the-art attack,
129 namely RMIA (Robust Membership Inference Attack) [56]. We use its offline version because it is
130 computationally effective and does not require training customized reference models for each targeted
131 sample (as in the online version of the attack). We also leverage a single reference model for our
132 experiments, as the authors show strong MIA performance even with a single reference model. We
133 consider different types of reference models. Unless explicitly stated, we focus on using a “shadow”
134 model (adaptation), in our case Pythia 1B, which is trained in the same way as the target model,
135 but on a different split of the same fine-tuning data. We also evaluate the *Reference* method [7],
136 which calibrates the target model’s loss using a reference model, and compare against Min-K% as
137 a reference-less baseline attack. As with RMIA, we report the best AUC from a grid search over
138 Min-K%’s parameter K . See Appendix B.4 for a detailed description of the setup.

139 **Canary Exposure and Data Extraction Attacks.** To evaluate memorization, we insert adversarial
140 canaries into a small portion of the adaptation data and estimate their exposure using two approxi-
141 mation methods: sampling and distribution modeling. Both approaches perform similarly when
142 using 256 non-member canaries, and we adopt sampling for efficiency. Moreover, when considering
143 k -extractable memorization, we set $k = 10$ tokens. A detailed description of the data extraction setup
144 is provided in Appendix B.5.

145 4 Benchmark design and experiments

146 To address our benchmark’s central question—*What are the empirical privacy risks to adaptation*
147 *data under DP adaptations?*—we break it down into five concrete research questions.

148 4.1 RQ1: How does the relationship (overlapping, IID, OOD) between adaptation and 149 pretraining datasets impact data privacy?

150 **Motivation.** The pretrain-adapt paradigm uses LLMs pretrained on large public datasets, which are
151 then adapted to smaller, often sensitive, private datasets using DP methods. While DP offers formal
152 guarantees, its practical effectiveness under the pretrain-adapt paradigm remains unclear—particularly
153 how the relationship and interplay between adaptation and pretraining data (e.g., overlapping, IID, or
154 OOD) influences actual privacy leakage.

155 **Summary of Findings.** Our results show that (1) privacy risks increase when the adaptation data
156 distribution is closer to the pretraining data, even if there is no direct overlap. (2) Surprisingly, IID
157 data from the pretraining validation set leaks as much as directly overlapping data, underscoring
158 distributional closeness as the main driver of risk.

159 **Detailed Results.** We present our main results in Table 1 and Table 2. We focus our discussion on
160 Pythia-1B, and further expand it for the other models in Appendix C.1. They show that the average
161 AUC is generally higher in IID settings than OOD in all attacks and adaptations. For instance, looking
162 at *RMIA (shadow)* using $\epsilon = 8$, we observe that the average AUC is between 0.7 and 0.9 in the IID
163 setting, while it is between 0.63 and 0.87 for the OOD setting. More detailed analyses for different
164 attack setups and more privacy regimes are depicted in Appendix C.1. We also identify distributional
165 closeness as a key risk factor, as overlapping data leaks similarly to IID. Moreover, our results indicate
166 that under both a strong attack and in more practical scenarios, moderate privacy regimes (e.g., $\epsilon = 8$)
167 still present a real threat of privacy leakage from IID. On the other hand, under this regime, privacy
168 leakage from the OOD is mostly observed with a strong attack. Moreover, in Appendix C.4, Figure 8
169 shows over the training epochs the Overlap (Train) and IID data (Val) privacy leakage, and further
170 highlights a similar privacy leakage between Overlap and IID data across the whole training run. We
171 also analyze the impact of subset characteristics on privacy leakage in Appendix C.3, and we discover
172 that the pretraining dataset size and complexity influence the privacy leakage in the training datasets.
173 We observe that privacy leakage increases with both the size and complexity of the subsets. Larger
174 datasets produce more IID results than smaller subsets, further validating our findings.

Table 1: **Membership Inference for OOD Adaptations.** We audit only the adaptations and assume the same pretrained LLM is used for all adaptations. We present the AUC scores obtained with RMIA MIAs for the Pythia 1B model adapted on different datasets with $\varepsilon \in \{0.1, 8, \infty\}$.

MIA	Dataset	SAMSum		GermanWiki		Average	
		$\varepsilon = \infty$	$\varepsilon = 8$	$\varepsilon = 0.1$	$\varepsilon = \infty$	$\varepsilon = 8$	$\varepsilon = 0.1$
RMIA (shadow)	Prefix Tuning	1.00	0.62	0.63	1.00	0.64	0.61
	LoRA	0.86	0.69	0.50	1.00	0.59	0.66
	Full Fine-Tune	1.00	0.82	0.62	1.00	0.71	0.55
	Head Fine-Tune	1.00	0.98	0.62	1.00	0.76	0.70
	Average	0.97	0.78	0.59	1.00	0.67	0.63
Reference (Pythia 1B)	Prefix Tuning	0.93	0.50	0.51	0.92	0.50	0.50
	LoRA	0.51	0.51	0.51	0.82	0.51	0.51
	Full Fine-Tune	0.94	0.51	0.51	0.99	0.51	0.50
	Head Fine-Tune	0.97	0.52	0.51	0.98	0.51	0.50
	Average	0.84	0.51	0.51	0.93	0.51	0.50

Table 2: **Membership Inference for in-distribution (IID) Adaptations** using the setup from Table 1

MIA	Dataset	Bookcorpus2 Val		Bookcorpus2 Train		Github Val		Enron Val		Average			
		$\varepsilon = \infty$	$\varepsilon = 8$	$\varepsilon = 0.1$	$\varepsilon = \infty$	$\varepsilon = 8$	$\varepsilon = 0.1$	$\varepsilon = \infty$	$\varepsilon = 8$	$\varepsilon = 0.1$	$\varepsilon = \infty$		
RMIA (shadow)	Prefix Tuning	1.00	0.89	0.56	1.00	0.90	0.55	1.00	0.93	0.63	1.00	0.88	0.58
	LoRA	1.00	0.70	0.52	1.00	0.69	0.53	1.00	0.74	0.52	1.00	0.73	0.52
	Full Fine-Tune	1.00	0.75	0.77	1.00	0.75	0.76	1.00	0.78	0.80	1.00	0.91	0.66
	Head Fine-Tune	1.00	0.72	0.73	1.00	0.72	0.72	1.00	0.80	0.74	1.00	0.57	0.65
	Average	1.00	0.77	0.65	1.00	0.76	0.64	1.00	0.81	0.67	1.00	0.77	0.60
Reference (Pythia 1B)	Prefix Tuning	0.93	0.56	0.52	0.97	0.57	0.50	0.97	0.53	0.51	0.97	0.54	0.50
	LoRA	0.89	0.52	0.52	0.97	0.51	0.51	0.92	0.51	0.50	0.97	0.55	0.51
	Full Fine-Tune	1.00	0.54	0.52	1.00	0.54	0.52	0.99	0.54	0.52	0.98	0.59	0.50
	Head Fine-Tune	0.98	0.57	0.52	1.00	0.56	0.51	0.99	0.66	0.50	0.99	0.54	0.50
	Average	0.95	0.55	0.52	0.98	0.55	0.51	0.97	0.56	0.51	0.98	0.55	0.50

4.2 RQ2: Which DP adaptation method is the most protective?

Motivation. It is known that the type of adaptation has a significant impact on the utility of the final model [58]. However, different adaptations might also offer disparate empirical protection at the same formal privacy guarantee, motivating our empirical comparison.

Summary of Findings. While LoRA provides much better empirical privacy protection in non-private settings compared to other adaptations, the differences become more subtle under the DP regime. Despite this, LoRA consistently achieves a relatively low AUC, whereas the other adaptations show varying trends depending on the dataset or privacy budget.

Detailed Results. Specifically, as shown in Table 1 for OOD datasets with $\varepsilon = 8$, the most vulnerable adaptations are Full and Head Fine-Tune. On the other hand, for IID data, the strongest protection provides Head Fine-Tune, which is marginally better LoRA. With stronger privacy guarantees, LoRA is the most private for OOD datasets with an AUC score of 0.58, thus slightly better than Full Fine-Tune. On the other hand, while adapting to the IID dataset, LoRA outperforms other adaptations. Notably, Full Fine-Tune and Head Fine-Tune show much lower privacy protection in these settings.

4.3 RQ3: Are the same adaptations robust against data extraction?

Motivation. Data extraction attacks are even more severe than MIAs. Therefore, it is crucial to evaluate the protectiveness of DP adaptations against this stronger threat.

Summary of Findings. We find that Prefix Tuning is the most vulnerable adaptation method in this setting. On the other hand, LoRA and Head Fine-Tune in both cases, with and without DP guarantees exhibit resistance against data extraction.

Detailed Results. We report detailed results in Appendix C.2. In particular, Table 17 and Table 18 show that for $\varepsilon = 0.1$ the exposure is around 1.44, therefore, close to random guessing. We also noticed a limited influence on the choice of the canary prefix type. Moreover, the adversarial prefix is the main source of privacy leaks, with the interaction between the prefix and the individual sample playing a smaller role, see Figure 9 in Appendix C.5.

4.4 RQ4: How important is the attacker’s knowledge of the pretrained model?

Motivation. The attacker’s knowledge of the pretrained model plays a crucial role in the success of MIAs, as it enables them to select more relevant reference models and non-member data for training,

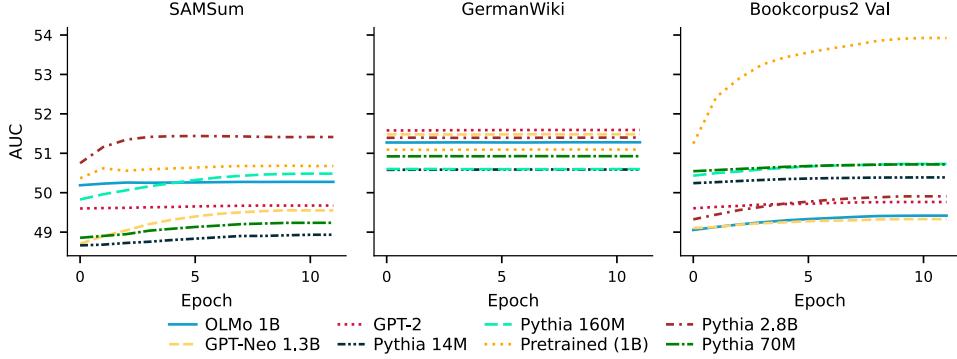


Figure 2: **IID data is more susceptible to leakage using the pretrained base model than OOD data.** We compare the effectiveness of performing RMIA on fully fine-tuned Pythia 1B with $\varepsilon = 8$ with different pretrained models as reference models.

203 which is one of the main challenges of MIAs [50, 8]. We investigate various setups, including an
 204 attacker who has access to a shadow model from the same pretraining distribution as the adapted
 205 LLM, a similar model, and no access to external models. This helps us characterize the landscape of
 206 potential real-world risks and setups.

207 **Summary of Findings.** MIAs’ performance highly depends on the attacker’s knowledge of the
 208 target model and pretraining data. In particular, RMIA performs best when a shadow model shares
 209 architecture, initialization weights, and training data distribution. Meanwhile, MIAs’ effectiveness
 210 rapidly deteriorates as shadow models are trained on different distributions or architectures. Partic-
 211 ularly, we observe that when a shadow model trained on the same distribution of the target model
 212 is available, using the pretrained model is the second-best choice, followed by models of the same
 213 family and similar size.

214 **Detailed Results.** To simulate attackers with various background knowledge, in this setting, we
 215 also consider other “shadow” models: Pythia 14M, Pythia 160M, Pythia 1B, Pythia 2.8B [3], GPT-
 216 neox [4], OLMo-1B [20], and GPT-2 [40]. The MIA performance is close to random for private
 217 adaptations with $\varepsilon = 8$. Furthermore, as shown in Figure 2, while the MIA’s performance for Pythia
 218 1B is higher on IID data, the choice of reference model has little effect when attacking models adapted
 219 on OOD data, even with architectural differences between the model and the reference model *i.e.*,
 220 GPT-Neo 1.3B and OLMo 1B. Moreover, as in the other case, Figure 11 (in Appendix D) shows that
 221 the privacy leakage is similar between IID and the corresponding overlapping data. We show further
 222 experiments in Appendix D.

223 4.5 RQ5: How does adaptation change the pretraining dataset vulnerability?

224 **Motivation.** DP adaptations only guarantee protection for the adaptation dataset. Yet, adapting
 225 the model to other data, while introducing noise, can also affect the pretraining leakage. This is an
 226 important aspect to study, as also pretraining data can be private [48], *e.g.*, private conversations
 227 with ChatGPT used to improve the models, or emails used to pretrain Gemini. Therefore, we also
 228 empirically investigate how adapting pretrained LLMs affects the leakage of pretraining data.

229 **Summary of Findings.** Our findings show that the choice of adaptation method impacts the
 230 privacy of pretraining data. Specifically, our evaluation shows that Prefix Tuning reduces the leakage
 231 of memorized pretraining data from adapted language models, especially in high-privacy settings.
 232 However, for the other adaptations, this effect is negligible, and the adapted model retain most of the
 233 pretraining memorization.

234 **Detailed Results.** We evaluate the effect of OOD and IID adaptation data on the leakage of
 235 memorized pretraining data from the adapted LLM. Specifically, as we show in Figure 3, Prefix
 236 Tuning significantly reduces leakage, particularly in high-privacy regimes. For the other adaptation
 237 methods, the number of memorized samples often remains above 460 samples. For Prefix Tuning, the
 238 number of memorized samples is often lower than 460 and goes down to around 430 with $\varepsilon = 0.1$,
 239 thus suggesting that adaptation partially mitigates the pretraining memorization.

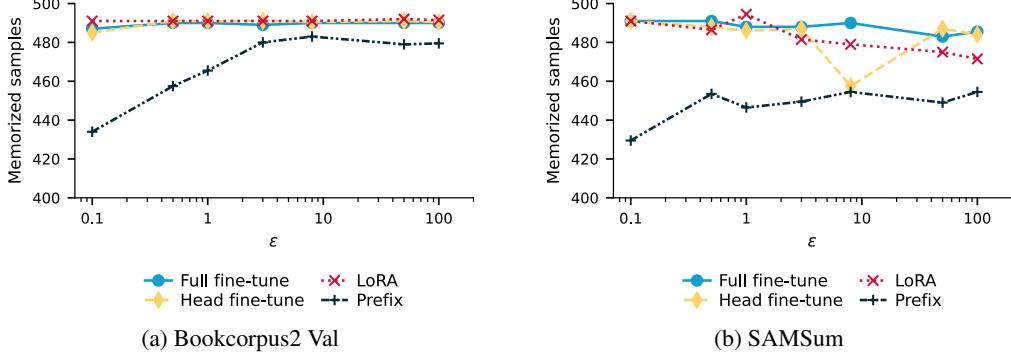


Figure 3: **Fewer memorized samples after prefix tuning.** There are fewer verbatim generations of training samples after the prefix tuning, especially for small ϵ values. We present the number of memorized samples from the Pile that remain memorized after adapting Pythia 1B on Bookcorpus2 val and SAMSum datasets. The evaluation was done for $\epsilon = \{0.1, 1, 3, 8, 50, 100, \infty\}$. We present the x-axis using a log scale.

240 5 Discussion of our Results

241 Our findings reveal a complex interplay between pretraining and adaptation data. This significantly
 242 affects the privacy risks under DP adaptations. Below, we discuss the implications of these findings
 243 when adapting pretrained LLMs to sensitive domains using DP.

244 **Disparate Leakage Based on Distribution.** Our results demonstrate that the distributional closeness
 245 between pretraining and adaptation data is a key factor influencing empirical privacy leakage under
 246 DP. Adaptations using IID data—data from the same distribution but not seen during pretraining—
 247 consistently showed the highest vulnerability. This presents a fundamental trade-off: while adapting
 248 a model already pretrained on similar data is often beneficial for utility, it simultaneously increases
 249 privacy risk.

250 **Disparate Leakage Based on Adaptation Method.** We also observe that not all DP adaptation
 251 methods offer equal protection, even when enforcing the same formal level guarantee, expressed
 252 in the same ϵ . This aligns with earlier findings in the non-private regime, where privacy-utility
 253 trade-offs differ across methods [58]. In our experiments, LoRA appeared most consistently robust
 254 against privacy attacks, while Prefix Tuning showed the least vulnerability to extraction attacks.
 255 These differences are highly relevant for practice: in addition to choosing methods that optimize
 256 downstream performance, practitioners should also consider empirical privacy leakage. The attacks
 257 we use in this paper offer a way to assess and understand such risks under realistic conditions.

258 **Choosing a Privacy Regime.** We find that in moderate privacy regimes, *e.g.*, $\epsilon = 8$, sensitive
 259 adaptation data still experiences significant practical vulnerability against both MIAs and data
 260 extraction attacks. This highlights the necessity to perform private LLM adaptations in the high-
 261 privacy regime, *i.e.*, with low ϵ to achieve practical protection.

262 **Reliance on Accurate Shadow Model.** We show that attackers gain a substantial advantage when
 263 they have access to the original pretrained LLM used during adaptation. Shadow models instantiated
 264 with the same pretrained model as the adapted LLM’s base consistently achieved higher attack
 265 success. This is especially concerning given the rise of adapting publicly available LLMs, which
 266 makes strong shadow models easily accessible to adversaries. These findings further underscore the
 267 need for stringent privacy settings in DP adaptations.

268 **Towards a Holistic Privacy Auditing for LLMs** Our results suggest that privacy assessments
 269 should not treat pretraining and adaptation in isolation. The strong interdependence between these
 270 stages demands holistic analysis. Motivated by this insight, we introduce a structured framework in
 271 the next section that formalizes how privacy assessments and audits under the pretrain-adapt paradigm
 272 should be conducted. We hope this framework encourages the development of privacy assessment
 273 methods that match the complexity of modern private LLM pipelines.

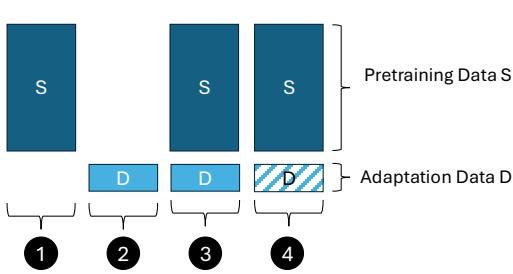


Figure 4: **Stages of Auditing.** We analyze four stages of auditing: ① Audit Pretraining, ② Audit Adaptations, ③ Joint Auditing of Pretraining and Adaptations, ④ Post-Adaptation Auditing of the Pretraining.

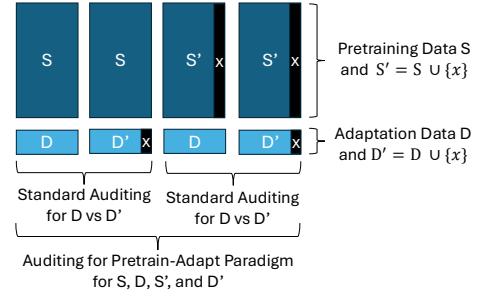


Figure 5: **Setup for Joint Adaptation auditing (3).** We consider different datasets for pre-training and adaptation, distinguishing it from standard ML privacy auditing [34, 55] by considering pretraining data.

274 6 Towards Holistic Privacy Audits under the Pretrain-Adapt Learning 275 Paradigm

276 6.1 From Stages to Adversary Game under Pretrain-Adapt Privacy Auditing

277 While our understanding of empirical privacy risks has grown, we recognize the need to go further
278 and adopt more nuanced approaches to tackle privacy risks posed during the adaptation of LLMs.
279 Therefore, we formalize a framework to assess privacy risks holistically for LLMs and their pretrain-
280 adapt paradigm. In total, we identify four different stages of auditing that need to be considered
281 (see Figure 4 under the pretrain-adapt paradigm, namely (1) audit pretraining, (2) audit adaptations,
282 (3) joint audit of pretraining and adaptations, and (4) post-adaptation auditing of the pretraining, as
283 shown in Figure 4. Based on them, we formalize how to instantiate these audits and contrast them
284 with standard privacy auditing. Privacy audits can be modeled as an *adversarial game* \mathcal{G} [52, 23]
285 where the main task is to guess if a given data point x was in a model’s training set or not. This
286 game can, therefore, also be referred to as the *membership inference game*. We define the adversarial
287 game \mathcal{G} analogous to the one for standard ML, yet take two datasets, S the pretraining data, and D
288 the adaptation data into account. Additionally, we denote the pretraining procedure by T and the
289 adaptation procedure by T' . We mark the deviations to the original game in blue.

- 290 1. The challenger samples $a \xleftarrow{R} \{0, 1\}$ and $b \xleftarrow{R} \{0, 1\}$ (where a and b are binary variables)
- 291 2. The challenger trains a model $\theta \xleftarrow{T} \tilde{S}, \theta_0$, where $\tilde{S} = S$ if $a = 0$, otherwise $\tilde{S} = S \cup \{x\}$
- 292 3. The challenger adapts θ such that $\theta' \xleftarrow{T'} \tilde{D}$, where $\tilde{D} = D$ if $b = 0$, otherwise $\tilde{D} = D \cup \{x\}$
- 293 4. The challenger sends θ' to the attacker
- 294 5. The attacker guesses $\hat{a}, \hat{b} \leftarrow \mathcal{A}(\theta, \theta', x)$

295 Whether the attacker has to guess both \hat{a}, \hat{b} and what background knowledge they have, *i.e.*, whether
296 they get access to both θ and θ' depends on the auditing stage. We detail the attacker’s background
297 knowledge and guesses—formulated as hypotheses with a null hypothesis H_0 and an alternative
298 hypothesis H_A —for the four auditing stages from our taxonomy.

299 **(1) Auditing pretraining** resembles standard ML auditing, targeting privacy leakage from pretrained
300 models. Differences arise from larger datasets and models, limiting both DP protection efficacy [10]
301 and applicability of auditing techniques like MIA [15]. In this setting, the challenger releases the
302 pretrained model θ to the attacker. The attacker’s goal is to correctly guess whether x was in the
303 pretraining data S . Their guesses \hat{a} , are over the random variable a .

$$H_0 : a = 0 \quad H_A : a = 1$$

304 **(2) Auditing adaptation** a new pretrain-adapt paradigm aspect, detects adaptation dataset leakage
305 from adapted LLMs. The key differentiating factor of privacy audits in standard ML is using a

306 pretrained model that the adaptations are trained on instead of a random initialization. We assume
 307 the same pretrained model is used for all the considered adaptations in an adaptation audit. In this
 308 setting, the challenger releases only the adapted model θ' to the attacker. The attacker does not know
 309 whether $x \in S$ or not and considers only the adaptation. Their guesses \hat{b} , are, hence, over the random
 310 variable b .

$$H_0 : b = 0 \quad H_A : b = 1$$

311 **(3) Joint auditing** evaluates combined leakage from both pretraining and adaptation datasets in
 312 the adapted LLM. Typical privacy preservation involves non-DP-trained LLMs with DP-trained
 313 adaptations. In this setting, the challenger releases both the pretrained model θ and the adapted θ' to
 314 the attacker. Depending on the attacker's background knowledge, we consider three possible cases

The attacker knows that $x \notin S$ and guesses b .	The attacker knows that $x \in S$ and guesses b .	The attacker knows that the target sample x is either in both (pretraining and adaptation sets) or neither of them and guesses (a, b) .
$H_0 : (a, b) = (0, 0) \quad H_A : (a, b) = (0, 1)$	$H_0 : (a, b) = (1, 0) \quad H_A : (a, b) = (1, 1)$	$H_0 : (a, b) = (0, 0) \quad H_A : (a, b) = (1, 1)$

315 **(4) Post-Adaptation Auditing** evaluates how the (private) adaptations influence the potential protec-
 316 tion of the data points used for pretraining, which is usually conducted without any formal guarantees.
 317 Changes to the model behavior induced through adaptations or noise added during their training
 318 might influence the effective exposure of pretraining data from model predictions. In this setting, the
 319 challenger releases both the pretrained θ and the adapted θ' . It is known that the target sample x is
 320 not in D and the attacker guesses a .

$$H_0 : (a, b) = (0, 0) \quad H_A : (a, b) = (1, 0)$$

321 In essence, auditing pretraining considers only the pretraining itself. Similarly, auditing the adap-
 322 tions considers the adaptations themselves. On the other hand, the joint adaptation reasons about
 323 both pretraining and adaptation sets. Finally, the post-adaptation auditing is only for the pretraining
 324 set, but the applied adaptation influences the auditing.

325 6.2 Practical Application of Holistic Audits

326 Our new perspective on the pretrain-adapt paradigm gives both practitioners and researchers clearer
 327 insights into each threat model's risks. Formalizing the auditing setup supports systematic reasoning
 328 about privacy risks, thus clarifying the guarantees that different methods need to provide. Therefore,
 329 our formalization allows for creating a unified interface for measuring privacy leakage, regardless of
 330 whether its source is pretraining or adaptation data. Moreover, our work demonstrates that looking
 331 at pretraining and adaptation components separately can lead to a false impression of privacy. The
 332 connection between these stages affects privacy leakage, which makes comprehensive auditing
 333 essential within pretrain-adapt paradigm. We believe that developing and sharing tools that support all
 334 privacy assessment stages, from threat modeling and risk quantification to mitigation, will empower
 335 the research community to more effectively define risks and allow for the reduction of privacy risks
 336 in practice.

337 7 Conclusions

338 In this work, we benchmark the practical privacy risks that arise under DP adaptations of LLMs within
 339 the pretrain-adapt paradigm. Our comprehensive empirical analysis confirms the theoretical concern
 340 that pretraining significantly amplifies the privacy risks associated with the *adaptation data*. We find
 341 that the closeness of adaptation and pretraining data distributions plays a critical role: even in the
 342 absence of overlap, higher distributional similarity results in increased privacy leakage. Additionally,
 343 we observe that the choice of adaptation method impacts privacy leakage, with PEFT methods, such
 344 as LoRA, offering significantly lower privacy risks while maintaining strong utility. Furthermore, we
 345 show Prefix Tuning can reduce the leakage of pretraining data, likely due to the added input noise
 346 during private adaptation. Our findings highlight the need for stringent DP constraints (e.g., $\varepsilon < 0.1$)
 347 to mitigate privacy risks in LLM adaptations effectively. It also motivates the need for holistic privacy
 348 assessments under the pretrain-adapt paradigm and takes the first step towards it by formalizing such
 349 an assessment over the different stages. This work lays a foundational framework for future research
 350 efforts aimed at safeguarding privacy within the pretrain-adapt paradigm.

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786 contributions and scope?

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788 Justification: Section 4 explains in detail each contribution.

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796 results can be expected to generalize to other settings.
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907 Justification: Appendix B describe the setup in details, and Appendix B.3 describe the hyperparameter selection.

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