DYNMOLE: BOOSTING MIXTURE OF LORA EXPERTS FINE-TUNING WITH A HYBRID ROUTING MECHANISM

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ABSTRACT

Instruction-based fine-tuning of large language models (LLMs) has achieved remarkable success in various natural language processing (NLP) tasks. Parameter-efficient fine-tuning (PEFT) methods, such as Mixture of LoRA Experts (MoLE), combine the efficiency of Low-Rank Adaptation (LoRA) with the versatility of Mixture of Experts (MoE) models, demonstrating significant potential for handling multiple downstream tasks. However, the existing routing mechanisms for MoLE often involve a trade-off between computational efficiency and predictive accuracy, and they fail to fully address the diverse expert selection demands across different transformer layers. In this work, we propose DYNMOLE, a hybrid routing strategy that dynamically adjusts expert selection based on the Tsallis entropy of the router's probability distribution. This approach mitigates router uncertainty, enhances stability, and promotes more equitable expert participation, leading to faster convergence and improved model performance. Additionally, we introduce an auxiliary loss based on Tsallis entropy to further guide the model toward convergence with reduced uncertainty, thereby improving training stability and performance. Our extensive experiments on commonsense reasoning benchmarks demonstrate that DYNMOLE achieves substantial performance improvements, outperforming LoRA by 9.6% and surpassing the state-of-the-art MoLE method, MoLA, by 2.3%. We also conduct a comprehensive ablation study to evaluate the contributions of DYNMOLE's key components.

1 INTRODUCTION

033 034 035 036 037 038 039 040 041 042 Instruction-based fine-tuning of large language models [\(Brown et al., 2020;](#page-10-0) [Chowdhery et al., 2022;](#page-10-1) [Touvron](#page-12-0) [et al., 2023a](#page-12-0)[;b\)](#page-12-1) for various downstream tasks has achieved remarkable proficiency in natural language processing tasks [\(Chung et al., 2022;](#page-10-2) [Iyer et al., 2022;](#page-11-0) [Zheng et al., 2024\)](#page-12-2). To significantly reduce the computational and memory resources required for full parameter fine-tuning, parameter-efficient fine-tuning methods have emerged [\(Houlsby](#page-11-1) [et al., 2019;](#page-11-1) [Li & Liang, 2021;](#page-11-2) [Lester et al., 2021;](#page-11-3) [Ben-Zaken et al., 2021;](#page-10-3) [Liu et al., 2022\)](#page-11-4). Among these, LoRA [\(Hu](#page-11-5) [et al., 2021\)](#page-11-5) has gained popularity due to its ability to reduce substantial computational costs. To maintain both crosstask generalization and computational efficiency, a promising solution [\(Yang et al., 2024;](#page-12-3) [Luo et al., 2024;](#page-11-6) [Feng et al.,](#page-10-4) [2024\)](#page-10-4) is to design an architecture that combines the resource-efficient features of LoRA with the versatility of Mixture of Experts (MoE) models [\(Wu et al., 2024a;](#page-12-4) [Dou et al., 2024;](#page-10-5) [Gou et al., 2023;](#page-10-6) [Liu et al., 2023;](#page-11-7) [Feng et al., 2024\)](#page-10-4). These methods are often referred to as Mixture of LoRA Experts (MoLE). The routing mechanisms of these MoLE methods are mostly derived from standard MoE models, where a fixed number of expert networks are activated. However, recent studies indicate that the requirements for experts vary across different transformer layers [\(Gao et al., 2024;](#page-10-7) [Zeng et al., 2024\)](#page-12-5), suggesting that the routing mechanism requires further modifications to account for these factors.

043 044 045 046 047 048 049 050 051 052 053 Current routing mechanisms [\(Cai et al., 2024\)](#page-10-8) can be broadly classified into two categories: *1) Soft Routing*: These methods activate all expert networks for each input token, which typically leads to improvement in prediction accuracy [\(Ma et al., 2018;](#page-11-8) [Nie et al., 2021;](#page-11-9) [Wu et al., 2024c;](#page-12-6) [Dou et al., 2024;](#page-10-5) [Pan et al., 2024\)](#page-12-7). However, this comes at the cost of significant computational overhead, as all experts are involved in the computation [\(Shazeer et al., 2017\)](#page-12-8). *2) Sparse Routing*: These approaches enhance model efficiency by activating only a subset of experts [\(Shazeer et al.,](#page-12-8) [2017\)](#page-12-8). Some techniques route each token to a single expert [\(Fedus et al., 2022\)](#page-10-9), while others activate multiple experts, such as using Top-K [\(Zhou et al., 2022\)](#page-12-9) or Top-P [\(Huang et al., 2024\)](#page-11-10), or employing uncertainty-based routing [\(Wu](#page-12-10) [et al., 2024b\)](#page-12-10). Although sparse routing improves parameter efficiency, it often results in an imbalanced workload among experts, making it necessary to include an auxiliary loss functions to ensure the balance. Though both of these routing techniques aim to select the optimal set of experts for each input token, neither provides a fully comprehensive solution that accounts for the diverse and complex factors affecting model performance, which raises a key question: *How can we design a hybrid routing approach that considers these factors holistically to provide a more complete solution for MoE and MoLE models?*

Figure 1: Visualized motivation of DYNMOLE. We propose a hybrid routing mechanism for DYNMOLE to address and solve these critical challenges.

 To answer this question, several critical challenges emerge: 1) Inconsistent Expert Selection occurs when flat probability distributions lead to similar inputs activating different experts, resulting in unstable expert training. 2) Varied Expert Requirements across the model, as noted by [Gao et al.](#page-10-7) [\(2024\)](#page-10-7); [Zeng et al.](#page-12-5) [\(2024\)](#page-12-5), leads to uneven expert loads when the number of activated experts is fixed across all layers. Finally, 3) Fluctuating Gradient Updates resulting from uncertain routing decisions, cause fluctuations in gradient flows, which adversely affect convergence speed and stability during model training. These challenges are illustrated in Figure [1.](#page-1-0)

 Our Insights: To address these challenges, we propose DYNMOLE (Dynamic Routing for Mixture of LoRA Experts), a hybrid routing approach designed to reduce router uncertainty in Mixture of LoRA Experts adapters for parameterefficient fine-tuning of large language models. Our approach leverages the mathematical properties of Tsallis entropy [\(Tsallis, 1988\)](#page-12-11), a generalized entropy measure, to develop adaptive routing strategies that effectively minimize router uncertainty. Furthermore, we introduce an auxiliary loss based on Tsallis entropy to guide the model towards convergence with reduced uncertainty, thus improving training stability and performance. By preventing over-reliance on certain experts and promoting more equitable engagement across all experts, this method fosters a diverse and robust set of expert contributions. This approach not only optimizes computational resource allocation but also enhances overall model performance by improving decision consistency and stability during training.

Summary of Contributions:

- 1. We identify the uncertainty problem in MoE routers and theoretically derive their optimal probability distribution, which we term the Peaked Distribution. Through formal reasoning, we prove that Tsallis entropy provides a more effective quantification of routing uncertainty compared to traditional measures.
- 2. We propose a hybrid strategy, called DYNMOLE, which enables the routing mechanism to dynamically adjust based on the entropy of the routing distribution for each token, making expert selection more flexible and efficient. Additionally, we introduce an auxiliary loss for DYNMOLE based on T sallis entropy, to guide the model toward convergence with reduced uncertainty, improving training stability and performance.
- 3. We validate the effectiveness of DYNMOLE using widely recognized benchmarks, as used in prior works. The results demonstrate that DYNMOLE achieves remarkable performance, outperforming LoRA by 9.6% and MoLA, a state-of-the-art MoLE method, by 2.3%. Furthermore, we conducted a comprehensive ablation study to explore the effectiveness of DYNMOLE's key components.

2 BACKGROUND

In this section, we introduce the background of the Mixture of Experts (MoE) and Mixture of Large Experts (MoLE), review existing popular routing strategies, and provide the mathematical definitions of uncertainty and entropy.

108 109 2.1 MIXTURE-OF-EXPERTS

110 111 112 113 First introduced in 1991 by [Jacobs et al.](#page-11-11) [\(1991\)](#page-11-11), the Mixture-of-Experts (MoE) architecture has seen a resurgence in the context of modern large-scale models, largely attributed to the work of [Shazeer et al.](#page-12-8) [\(2017\)](#page-12-8), [Mustafa et al.](#page-11-12) [\(2022\)](#page-11-12), [Lepikhin et al.](#page-11-13) [\(2020\)](#page-11-13), and [Fedus et al.](#page-10-9) [\(2022\)](#page-10-9). Their contributions have made MoE a promising approach for scaling models without significantly increasing computational overhead.

114 115 116 Each MoE layer consists of N independent networks, referred to as experts, denoted by $\{E_i\}_{i=1}^N$, along with a gating function G, which assigns weights to each expert based on a probability distribution. The forward propagation process f_{MoE} for a given input x can be mathematically expressed as:

$$
f_{\text{MoE}}(x) = \sum_{i=1}^{N} G(x)_i \cdot E_i(x),
$$
 (1)

122 123 where router logits $G(x)$ represent the routing probabilities for each expert. Each expert $E_i(x)$ produces an output based on the input x, allowing the MoE architecture to leverage the strengths of multiple specialized models.

In this paper, we focus exclusively on routing strategies for MoLE due to the computational resource limitations of our team. The pre-training and fine-tuning of MoE models require computational resources beyond our current capacity.

2.2 MIXTURE-OF-LORA-EXPERTS

129 130 131 132 The MoLE architecture [\(Wu et al., 2024c\)](#page-12-6) extends the traditional Mixture of Experts approach by integrating Low-Rank Adaptation into expert layers, significantly enhancing computational efficiency. In a MoLE layer, each expert $E_i(\cdot)$ is a LoRA-enhanced module that updates only a subset of parameters while leveraging the pretrained knowledge of the base model.

133 134 135 136 137 Low-Rank Adaptation, introduced by [Hu et al.](#page-11-5) [\(2021\)](#page-11-5), proposes a method that adjusts only a small number of additional parameters, rather than updating the entire weight matrix of the model. A LoRA block consists of two matrices, $B \in \mathbb{R}^{d \times r}$ and $A \in \mathbb{R}^{r \times k}$, where d and k represent the dimensions of the pretrained weight matrix $W_0 \in \mathbb{R}^{d \times k}$ in large language models. The parameter r is the low-rank dimension, with $r \ll \min(d, k)$. The updated weights W' are computed as:

$$
W' = W_0 + \Delta W = W_0 + BA,
$$
\n(2)

142 143 where $\Delta W = BA$ represents the LoRA-induced weight update. Formally, given N experts in a MoLE layer, denoted by $\{E_i\}_{i=1}^N$, and router logits $G(x)_i$ representing the routing probabilities for each expert, the forward propagation process f_{MolE} for a given hidden state x is calculated as:

$$
f_{\text{MoLE}}(x) = W_0 x + \Delta W x = W_0 x + \sum_{i=1}^{N} G(x)_i \cdot E_i(x), \tag{3}
$$

149 150 where W_0 denotes the pretrained weights of the base model, and ΔW represents the weight updates generated by the LoRA-enhanced experts. Each expert $E_i(x)$ computes its output using the LoRA update rule:

$$
E_i(x) = B_i A_i x,\t\t(4)
$$

with $B_i \in \mathbb{R}^{d \times r}$ and $A_i \in \mathbb{R}^{r \times k}$. The low-rank matrix multiplication significantly reduces the number of trainable parameters, improving memory efficiency and accelerating fine-tuning compared to standard MoE architectures. By incorporating the parameter-efficient updates of LoRA, MoLE significantly enhances both computational efficiency and model performance, especially in scenarios that require fine-tuning across multiple tasks.

2.3 ROUTING ALGORITHMS

Softmax Routing As a classic non-sparse gating function [\(Jordan & Jacobs, 1994\)](#page-11-14), it involves multiplying the input by a trainable weight matrix W_q and then applying the softmax function.

212 213

$$
G(x) = \text{softmax}(x \cdot W_g) \tag{5}
$$

This standard routing mechanism, often referred to as soft routing, is the foundation of all MoE routing algorithms, enabling the MoE model to adaptively allocate resources based on the specific requirements of the input.

Top-K Routing This is one of the most commonly used MoE routing algorithms. Let $\mathbf{R}(x) = \text{sort}(G(x))$ represent the sorted probability distribution over experts for the input token x , and the algorithm is then defined as follows:

$$
Topk(\mathbf{R}(x)) = \{i \mid p_i(x) \geqslant p_{(k)}(x)\},\tag{6}
$$

where $p_i(x)$ is the probability assigned to expert i, and $p_{(k)}(x)$ is the k-th highest probability in $\mathbf{R}(x)$. Top-k routing selects the k experts with the highest probabilities, balancing efficiency and performance.

Top-P Routing Introduced by [Huang et al.](#page-11-10) [\(2024\)](#page-11-10), this algorithm aims to achieve variability in expert selection. It activates experts dynamically by selecting those whose cumulative probability exceeds the given threshold. This flexibility allows for tailored expert activation based on each token. The Top-p algorithm is formulated as follows:

$$
Top_p(\mathbf{R}(x)) = \{ i \mid \sum_{j=1}^{i} p_{(j)}(x) \geqslant p \},\tag{7}
$$

where $p_{(i)}(x)$ denotes the j-th highest probability in the sorted probability distribution $\mathbf{R}(x)$, and p is the cumulative probability threshold. The algorithm selects the smallest set of experts whose cumulative probability is at least p , enhancing both efficiency and adaptability.

2.4 UNCERTAINTY AND ENTROPY

Entropy was first introduced by [Shannon](#page-12-12) [\(1948\)](#page-12-12) to quantify the amount of "choice" involved in the selection of an event, or the level of uncertainty in its probability distribution. The Shannon entropy is defined as:

$$
H(p) = -\sum_{i=1}^{N} p_i \log p_i,
$$
\n(8)

where $p = \{p_1, p_2, \ldots, p_N\}$ represents a probability distribution over N events.

Shannon entropy has been widely applied in various fields, such as natural language processing and machine learning [\(Jelinek, 1980;](#page-11-15) [Quinlan, 1986\)](#page-12-13). However, [Alomani & Kayid](#page-10-10) [\(2023\)](#page-10-10) argues that the non-additive property of Tsallis entropy [\(Tsallis, 1988\)](#page-12-11) provides an advantage over Shannon entropy in handling complex systems and non-Gaussian distributions. Tsallis entropy introduces a tunable parameter q , which offers greater flexibility in measuring uncertainty under different conditions. The parameter q , known as the entropic index, controls the degree of non-extensivity. The Tsallis entropy is defined as:

$$
S_q(p) = \frac{1}{q-1} \left(1 - \sum_{i=1}^N p_i^q \right),\tag{9}
$$

3 DEEP DIVE INTO THE ROUTING MECHANISM

In this section, we present an in-depth analysis of the routing mechanism employed in MoE and MoLE architectures.

3.1 WHAT IS THE IDEAL DISTRIBUTION OF ROUTING WEIGHTS?

214 215 Given an N-expert MoE model using a soft routing algorithm, the router generates a probability distribution normalized by Softmax function. The distribution corresponds to the proportion of outputs from each expert. The initial distribution $G(x)$ is set as uniform. It is optimized during training to minimize a loss function $L(f_{\text{MoE}}(x), y)$ which is

216 217 218 convex and differentiable. $f_{\text{MoE}}(x)$ is the model's predicted output for input x, and y is the true label. From [A.1,](#page-13-0) we proof that the gradient of the loss function L with respect to $G_i(x)$ is proportional to the expert output $E_i(x)$, that is:

$$
\frac{\partial L}{\partial G_i(x)} \propto E_i(x) \tag{10}
$$

This indicates that the variation of $G_i(x)$ during training is highly dependent on the contributions of the experts to the model's output, where the expert outputs directly influence the direction and magnitude of the weight updates. In other words, for experts who contribute to a reduction in loss, the gating network increases their weights; For other experts who result in an increase in loss, it decreases their weight.

227 228 229 Ideally, when the model completely converges, the weights that $G(x)$ assigned to the subset of experts who contribute most significantly to loss reduction, will be close to 1. This causes the distribution of $G(x)$ to approach an indicative distribution, expressed in a characteristic function form as follows:

$$
G_i(x) = \begin{cases} 1, & i \in \arg\min_j L(E_j(x), y) \\ 0, & \text{otherwise} \end{cases}
$$
(11)

235 236 237 238 239 240 Though in practice, due to regularization terms and numerical stability, $G(x)$ cannot form a perfect indicative distribution, the overall trend of allocating higher weights to a smaller subset of experts, will form a **peaked distribution**, as shown in Figure [3.](#page-5-0) We use **uncertainty** to describe how much the current distribution deviates from the ideal peaked distribution (indicative distribution). A greater uncertainty indicates a more uniform distribution and higher confusion in the router selection. However, since it is hard to define peaked distribution directly in an analytic expression mathematically, traditional measures such as KL divergence based on mutual information, are inadequate. Therefore, we introduce the concept of entropy from information theory.

3.2 TSALLIS ENTROPY VS. SHANNON ENTROPY

Tsallis Entropy Provide More Flexible. As $q \rightarrow 1$, the Tsallis entropy degenerates to the Shannon entropy:

$$
\lim_{q \to 1} S_q(p) = \lim_{q \to 1} \frac{1 - \sum_{i=1}^N p_i^q}{q - 1} = -\sum_{i=1}^N p_i \log p_i = H(p) \tag{12}
$$

The Tsallis entropy provides a continuous framework that includes the Shannon entropy as a special case. The entropic-index q acts as a tunable hyperparameter, offering a powerful tool. By adjusting q , we can modulate the sensitivity of the entropy to the probability distribution, making it a more adaptable and flexible tool across various scenarios. The detail information is at [A.2.1](#page-14-0)

256 257 258 259 260 261 Tsallis Entropy Provide More Training Stability. Consider a loss function that incorporates an entropy regularization term: $\mathcal{L} = \mathcal{L}_{data} - \lambda \cdot Entropy(f_{MoE}(x)),$ where λ is the regularization coefficient and Entropy($f_{\text{MoE}}(x)$) quantifies the uncertainty in the router's output. Now, let us compare the loss functions using Shannon entropy and Tsallis entropy, respectively. Let $G_i(x)$ represent the routing probability of expert i:

Figure 2: Tsallis entropy provides a more stable optimization process than Shannon entropy by reducing the impact of low-probability events.

$$
\mathcal{L}_{\text{Shannon}} = \mathcal{L}_{\text{data}} - \lambda \cdot \sum_{i=1}^{n} G_i(x) \log G_i(x) \tag{13}
$$

$$
\mathcal{L}_{\text{Tsallis}} = \mathcal{L}_{\text{data}} - \lambda \cdot \frac{1}{q-1} \left(1 - \sum_{i=1}^{n} G_i(x)^q \right) \tag{14}
$$

When optimizing these loss functions via gradient descent, the gradients with respect to $G_i(x)$ are given by:

Figure 3: Comparison of three routing strategies: (a) the classic Top-K Routing, here we use Top-2 as example; (b) the classic Top-P Routing, where the blue bars represent the sum of the highest probabilities; and (c) DYNMOLE Hybrid Routing, where the green bars represent the entropy values across different probability distributions.

$$
\frac{\partial \mathcal{L}_{\text{Shannon}}}{\partial G_i} = \frac{\partial \mathcal{L}_{\text{data}}}{\partial G_i} - \lambda \cdot (1 + \log G_i)
$$
\n(15)

$$
\frac{\partial \mathcal{L}_{\text{Tsallis}}}{\partial G_i} = \frac{\partial \mathcal{L}_{\text{data}}}{\partial G_i} - \lambda \cdot G_i^{q-1}
$$
\n(16)

Figure [2](#page-4-0) clearly shows the trend of gradients of the two entropy functions as $G_i(x)$ changes. For Shannon entropy, as $G_i(x) \to 0$, $\log G_i \to -\infty$, which can lead to steep gradient magnitudes and unstable updates. In contrast, for Tsallis entropy, as $G_i(x) \to 0$, the gradient $\lambda G_i^{q-1} \to 0$ $(q > 1)$, reducing the impact of low-probability events and providing a more stable optimization process.

Tsilli Entropy Provide More Certainty: As q increases, Tsallis entropy assigns greater weights to high-probability events in the entropy calculation, while the contribution from low-probability events diminishes. This encourages the optimization process to choose a few experts with higher probabilities. As a result, the model is biased towards reliable experts and avoids the uncertain ones, effectively reducing the overall uncertainty in the decision-making process.

4 DYNMOLE

310 311 312 313 314 315 In this section, we present the routing algorithm used in DYNMOLE, which integrates entropy-based selection across various routing strategies to efficiently allocate tokens to experts, as shown in Figure [3\(](#page-5-0)c). This approach, termed dynamic routing, leverages Tsallis entropy to dynamically switch between soft routing, top-p routing, and top- k routing mechanisms. By assigning the most suitable experts to each token, we reduce routing uncertainty and improve model efficiency. Additionally, we incorporate Tsallis entropy into an auxiliary loss to guide the model towards convergence with reduced uncertainty.

4.1 ENTROPY-BASED INTELLIGENT HYBRID ROUTING

318 319 320 321 322 In this study, we use Tsallis entropy to capture the deviation from the ideal peaked distribution, providing greater flexibility than KL divergence. While Top-k and Top-p routing are effective, both struggle when the router has high uncertainty, leading to nearly uniform probability distributions and reducing expert prioritization, which results in suboptimal performance.

323 To address this, we propose DYNMOLE, a hybrid strategy that dynamically adjusts routing based on the entropy of each token. For high-entropy tokens, indicating greater uncertainty, we use **soft routing**, allowing the model to select

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324 325 326 from a broader set of experts. For low-entropy tokens, a more deterministic Top-p routing is applied to focus on a narrower set of likely experts. Additionally, at least k experts are always activated to prevent overfitting. This dynamic approach balances exploration and exploitation, improving performance across different conditions.

Given the sorted router probabilities for the input token x as $\mathbf{R}(x) = \text{sort}(G(x))$, and the Tsallis entropy of the router probability as $S(x) = S_q(\mathbf{R}(x))$, with a routing threshold $H_{\text{threshold}}$, the hybrid routing $G_{\text{hybrid}}(x)$ can be expressed as:

$$
G_{\text{hybrid}}(x) = \begin{cases} \mathbf{R}(x), & \text{if } \mathbf{S}(x) > H_{\text{threshold}} \\ \text{Top}_{(p,k)}(\mathbf{R}(x)), & \text{otherwise} \end{cases} \tag{17}
$$

where $\text{Top}_{(p,k)}(\mathbf{R}(x))$ is defined as:

$$
\text{Top}_{(p,k)}(\mathbf{r}(x)) = \begin{cases} \text{Top}_p(\mathbf{R}(x)), & \text{if } p_{(j)}(x) \ge p_{(k)}(x) \\ \text{Top}_k(\mathbf{R}(x)), & \text{otherwise} \end{cases}
$$
(18)

where $p_{(i)}(x)$ represents the j-th largest probability in $r(x)$ for the Top-p selection, and $p_{(k)}(x)$ denotes the k-th largest probability for the Top-k selection. The function $Top_p(\mathbf{r}(x))$ selects the Top-p fraction of probabilities, while $\text{Top}_k(\mathbf{r}(x))$ ensures that at least k experts are selected, guaranteeing sufficient diversity in expert participation based on router probabilities.

4.2 AUXILIARY ENTROPY LOSS

To further reduce the uncertainty of the router and promote balanced expert usage, we introduce an auxiliary loss based on Tsallis entropy and load balancing. Given N experts, the sorted router probabilities for the input token x are denoted by $\mathbf{R}(x) = \text{sort}(\text{softmax}(G(x)))$, with the Tsallis entropy of the router probability defined as $\mathbf{S}(x) = S_q(\mathbf{R}(x))$. For a batch β containing T tokens, the entropy loss is computed as:

$$
L_{\text{entropy}} = \beta \cdot \frac{1}{T} \sum_{x \in \mathcal{B}} \mathbf{S}(x),\tag{19}
$$

where β is a multiplicative coefficient controlling the impact of the entropy loss.

To encourage a balanced load across experts, we also introduce a load balance loss from [Fedus et al.](#page-10-9) [\(2022\)](#page-10-9) defined as:

$$
L_{\text{balance}} = \alpha \cdot N \cdot \sum_{i=1}^{N} f_i \cdot P_i \tag{20}
$$

The overall auxiliary loss combines both the entropy loss and the load balance loss:

$$
L_{\text{auxiliary}} = L_{\text{balance}} + L_{\text{entropy}} \tag{21}
$$

By incorporating this auxiliary loss, DYNMOLE improves model performance by addressing both router uncertainty (through the Tsallis entropy loss) and router imbalance (through the load balance loss), leading to more efficient expert utilization and reduced uncertainty in routing decisions.

5 EXPERIMENTS

373 374 375 376 377 In this section, we present a comprehensive evaluation of DYNMOLE. We compare the performance of DYNMOLE with other state-of-the-art fine-tuning methods. Our experiments are designed to assess the generalization capability of DYNMOLE in handling diverse tasks. Through extensive comparisons, we demonstrate that DYNMOLE consistently outperforms baseline methods in terms of accuracy, particularly when integrated with entropy-based routing. Additionally, we show that DYNMOLE achieves superior performance while maintaining parameter efficiency, highlighting its effectiveness in large language model fine-tuning.

Table 1: Performance comparison of LLaMA-2-7B models with different PEFT methods across various benchmarks.

(-) Indicates that DYNMOLE trained without auxiliary entropy loss.

5.1 EXPERIMENTAL SETUP

396 397 398 399 400 401 402 Datasets. To evaluate the effectiveness of DYNMOLE, we conducted experiments on a diverse set of commonsense reasoning datasets, following prior work [\(Liu et al., 2024;](#page-11-16) [Li et al., 2024\)](#page-11-17). The datasets are as follows: ARC[\(Clark](#page-10-11) [et al., 2018\)](#page-10-11), OpenBookQA[\(Mihaylov et al., 2018\)](#page-11-18), PIQA[\(Bisk et al., 2020\)](#page-10-12), SocialIQA[\(Sap et al., 2019\)](#page-12-14), BoolQ[\(Clark](#page-10-13) [et al., 2019\)](#page-10-13), Hellaswag[\(Zellers et al., 2019\)](#page-12-15), Winogrande[\(Sakaguchi et al., 2021\)](#page-12-16), and GLUE[\(Wang, 2018\)](#page-12-17). These datasets provide a comprehensive assessment of LLMs across various challenges, ranging from scientific queries to commonsense inference. The performance of all methods are measured using accuracy across all datasets. Further details are provided in Appendix [A.3.](#page-15-0)

403 404 405 406 407 408 Baselines. In line with previous studies [\(Dou et al., 2024;](#page-10-5) [Gao et al., 2024\)](#page-10-7), we employed the widely-adopted Llama-2-7B as the base model. To thoroughly assess the performance of DYNMOLE, we compared it against several prominent parameter-efficient fine-tuning (PEFT) methods, including LoRA[\(Hu et al., 2021\)](#page-11-5), DoRA[\(Liu et al., 2024\)](#page-11-16), Lo-RAMoE[\(Dou et al., 2024\)](#page-10-5) (representing soft routing), and MoLA[\(Gao et al., 2024\)](#page-10-7) (representing Top-K routing). While no existing PEFT methods explicitly use the Top-P routing strategy[\(Huang et al., 2024\)](#page-11-10), we fixed DYNMOLE's routing algorithm to Top-P to evaluate the performance of all fundamental routing strategies.

409 410 411 412 413 414 Settings. To ensure parameter consistency across experiments, both LoRA and DoRA are initialized with a rank of $r = 80$, while LoRAMoE and MoLA are initialized with a rank of $r = 16$ across 6 experts. For all baselines, we apply updates to the gate proj, down proj, and up proj weights within the feed-forward network (FFN) layers to ensure fair comparisons. Importantly, we control the number of trainable parameters across all methods, ensuring that DYNMOLE and other MoE-based approaches have an identical number of trainable parameters—approximately 3% (200 million) of the total model parameters. Further details on hyperparameters are provided in Appendix [A.4.](#page-15-1)

415 416 5.2 MAIN RESULTS

417 418 419 420 421 422 423 424 Table [1](#page-7-0) provides a comprehensive comparison of various PEFT methods, applied to the LLaMA-2-7B model across a diverse set of benchmarks. DYNMOLE consistently demonstrates superior performance, especially when combined with entropy loss, achieving an average accuracy of 77.6%, which surpasses all baseline methods. These results underscore the effectiveness of incorporating Tsallis entropy as a measure to improve routing decisions in MoE-based architectures. For individual tasks, DYNMOLE with entropy loss exhibits remarkable improvements in challenging benchmarks such as ARC-c and PIQA, achieving 56.0% and 82.5%, respectively. In particular, DYNMOLE outperforms traditional PEFT method LoRA by 7.5% and state-of-art PEFT method DoRA by 4.6%, clearly demonstrating the superiority of the MoLE.

425 426 427 428 429 430 431 To further validate the efficacy of our proposed hybrid routing strategy, We included three important baselines: Lo-RAMoLE, MoLA, and DYNMOLE (Top-p), representing soft routing, Top-k routing, and Top-p routing, respectively. The Top-p method is effectively implemented by disabling the soft routing mechanism, eliminating the entropy loss calculation of DYNMOLE, and reducing the minimum number of activated experts(Refers to the super parameter Keep-Top-k) to one. LoRAMoE, as a soft MoE method, achieved an average accuracy of 73.5%. It combines the strengths of the LoRA module and the MoE architecture, leading to considerable improvements over traditional PEFT methods, yet there is still significant room for improvement in enhancing the specialization of its experts. While MoLA achieves an average accuracy of 75.3%, showcasing that although Top-k routing is competitive, it struggles to dynam-

Figure 4: The result of ablation studies: (a) analyzes the effect of varying the proportion of Entropy Router Loss, (b) describes the impact of the Tsallis Entropic Index q on model performance (when $q = 1$, it becomes Shannon entropy), (c) reveals the results of different soft routing thresholds, (d) shows the impact of the Top-p threshold, and (e) presents the analysis of Keep-Top-k.

448 449 450 ically adjust the number of active experts based on token uncertainty. On the other hand, DYNMOLE (Top-p) delivers a commendable performance with an average accuracy of 75.6%, but its pure Top-p routing mechanism does not fully exploit the flexibility required for dynamic token-expert assignment (Detailed results are shown in Appendix [A.5\)](#page-15-2).

451 452 453 454 455 456 457 458 The comparisons above highlight the advantage of DYNMOLE's hybrid routing strategy, which leverages the benefits of both Top-p and Top-k mechanisms. DYNMOLE(-) leverages Tsallis entropy to assist the router in customizing token routing, surpassing other advanced MoLE methods by more than 0.2%. Notably, by integrating a newly designed auxiliary entropy loss, DYNMOLE optimizes both of the router uncertainty and load balancing among experts more effectively, maintaining an accuracy advantage of over 2% compared to other MoLE methods. In particular, in benchmarks like ARC-c and OBQA, the performance gap is narrower, yet DYNMOLE still maintains a consistent lead. This consistent performance across tasks highlights DYNMOLE's strong generalization ability, even in scenarios where the distinction between models is less pronounced.

5.3 ABLATION STUDIES

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462 463 464 In this section, we present a comprehensive ablation study to analyze the impact of various key hyperparameters on the performance of DYNMOLE, across the ARC, OpenBookQA, BoolQ, and PIQA datasets using LLaMA-2-7B. The results of these ablations, summarized in Figure [4.](#page-8-0)

465 5.3.1 IMPACT OF DIFFERENT ENTROPY SETTINGS ON DYNMOLE'S PERFORMANCE

In this part, we primarily discuss the impact of three entropy-related factors on model performance:

468 469 470 471 472 Entropy Loss Coefficient refers to a key parameter that balances the proportions of Tsallis entropy loss and load balance loss (Equation [19\)](#page-6-0). We designed different β values ranging from 1×10^{-4} to 1×10^{-2} . Figure [4\(](#page-8-0)a) shows that our findings indicated an entropy router loss coefficient of 1×10^{-2} achieved the highest average accuracy, effectively addressing the challenges of imbalanced expert selection. Conversely, disabling the entropy router loss or employing excessively high coefficients disrupts the balance between the two losses, leading to suboptimal performance.

473 474 475 476 477 478 479 480 481 482 Entropic Index is the parameter q in Tsallis entropy (Equation [9\)](#page-3-0), which controls the degree of non-extensivity in the system. It adjusts how much weight is given to rare versus frequent events in the routing mechanism. When $q = 1$, Tsallis entropy reverts to Shannon entropy, treating all token-routing decisions uniformly. However, varying q allows the model to emphasize or de-emphasize token assignments to experts based on their likelihood, influencing the balance between exploration (specialization of experts) and exploitation (generalization). We conducted experiments by selecting the entropic index within the range of 1.0 to 1.4 and find that an entropic index of 1.1 yielded the best overall performance(Figure [4\(](#page-8-0)b)), suggesting that introducing Tsallis entropy rather than Shannon entropy allows for better adaptation to task complexity. Deviating from this optimal value, either by increasing or decreasing q, led to reduced accuracy. This indicates the critical role of q in fine-tuning the routing strategy and balancing expert specialization with generalization.

483 484 485 Entropy Threshold defines the soft routing threshold $h_{threshold}$ in DYNMOLE. Typically, tokens with higher entropy lead to unclear routing decisions. In our method, we send high-entropy tokens to all experts through soft routing, allowing them to participate in the gradient update. Therefore, setting a reasonable threshold to constrain the soft routing algorithm is crucial. We collect the performance data for models with the entropy threshold set from 0.7 to **486 487 488 489** 0.95, and find that an entropy threshold of 0.9 produced the highest accuracy (Figure [4\(](#page-8-0)c)). Lower thresholds led to the over-selection of experts, causing computational inefficiency without significant performance gains, while higher thresholds resulted in under-utilization of experts, limiting the model's capacity.

5.3.2 IDENTIFYING THE OPTIMAL HYPERPARAMETER FOR EFFECTIVE EXPERT SELECTION

492 This part include the discussion on two hyperparameters that closely related to expert selection.

493 494 495 496 497 498 Top-p refers to the threshold p in the Top-p algorithm [\(Huang et al., 2024\)](#page-11-10), originally designed for MoE models. This algorithm collects the confidence level of each expert in handling input x and activates a number of experts based on cumulative probability. We examined the impact of various p values, ranging from 0.6 to 0.95, and found that a Top-p value of 0.75 resulted in the highest accuracy (Figure [4\(](#page-8-0)d)). These results highlight the importance of selecting an optimal Top-p value to balance expert specialization and generalization, while also demonstrating the superior adaptability of DYNMOLE compared to the Top-p approach (Figure [6\)](#page-16-0).

499 500 501 502 503 504 505 Keep-Top-k means the minimum number of activated experts k in our architecture. The work on the Switch Transformer[\(Fedus et al., 2022\)](#page-10-9) defined expert capacity, noting that if tokens are unevenly dispatched, certain experts may overflow. Due to the limited number of activated parameters, the expert capacity of MoLE is lower than that of MoE models, and during fine-tuning, activating only one expert can lead to overfitting, therefore, we tested different k values ranging from 1 to 4 on the dynamic routing strategy in DYNMOLE to explore the optimal minimum number of activated experts. We find that increasing k to 2 provided the necessary parameter activation, resulting in the best performance improvements (Figure [4\(](#page-8-0)e)), maximally avoiding overfitting issues.

506 507 508 509 510 511 512 513 514 515 516 517 518 519 By appropriately configuring the parameters mentioned above, the experiments demonstrated a significant improvement in DYNMOLE's performance. Figure [5](#page-9-0) shows the change in loss during fine-tuning on the GLUE-RTE dataset. We treat every 320 training steps as one round and calculate the mean and standard deviation for each round, resulting in a significantly lower average loss compared to other methods This improvement is especially evident after 12 rounds, where DYN-MOLE outperforms MoLA, LoRAMoE, and Top-p strategies, highlighting its effectiveness in mitigating training uncertainty (Figure [5\)](#page-9-0). Additionally, by expanding the token allocation in three dimensions, DYNMOLE shows greater ability than the Top-p method in reducing system entropy and efficiently assigning tokens to optimal experts (refer to Appendix [A.6](#page-16-1) for more details).

Figure 5: The entropy loss of DYNMOLE efficiently reduces uncertainty during fine-tuning on RTE.

6 CONCLUSION

523 524 526 527 In this paper, we introduce DYNMOLE, a hybrid routing strategy that enables the routing mechanism to dynamically adjust based on the entropy of the router's probability distribution for each token. This dynamic adjustment allows for more flexible and efficient expert selection, optimizing performance across diverse conditions while balancing exploration and exploitation in token routing. Our extensive experiments on commonsense reasoning benchmarks demonstrate that DYNMOLE achieves significant performance improvements.

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A APPENDIX

A.1 UNCERTAINTY AND ENTROPY

Consider an MoE (Mixture of Experts) model with N experts. This is an initial MoE model using only a soft routing algorithm. For an input x, the model's output is given by Equation [1.](#page-2-0)

Here, $G(x) = [G_1(x), G_2(x), \ldots, G_N(x)]$ is the router's output after softmax normalization, initialized to a uniform distribution, satisfying $G_i(x) \geq 0$, $\sum_{i=1}^{N} G_i(x) = 1$. $E_i(x)$ represents the output of the *i*-th expert.

We define a differentiable and convex loss function $L = L(f_{\text{MoE}}(x), y)$. During training, the gating network adjusts the model parameters using gradient descent to minimize the loss function, which measures the difference between the model's predicted output $f_{\text{MoE}}(x)$ and the true label y. Specifically, we update:

$$
G_i(x) \leftarrow G_i(x) - \eta \cdot \frac{\partial L}{\partial G_i(x)}
$$
\n(22)

where η is the learning rate. The partial derivative of the loss function L with respect to the gating network output $G_i(x)$ is:

$$
\frac{\partial L}{\partial G_i(x)} = \frac{\partial L}{\partial f_{\text{MoE}}(x)} \cdot \frac{\partial f_{\text{MoE}}(x)}{\partial G_i(x)} = \frac{\partial [G_1(x)E_1(x) + \dots + G_i(x)E_i(x) + \dots + G_N(x)E_N(x)]}{\partial G_i(x)} = \frac{\partial L}{\partial f_{\text{MoE}}(x)} \cdot E_i(x)
$$
\n(23)

This shows that the gradient of the loss function L with respect to $G_i(x)$ is proportional to the output of the expert $E_i(x)$:

$$
\frac{\partial L}{\partial G_i(x)} \propto E_i(x) \tag{24}
$$

This indicates that the larger the influence of $E_i(x)$ on the model's output, the larger the absolute value of the gradient, meaning that adjusting $G_i(x)$ will have a more significant impact on reducing the loss. The expert's output directly affects both the direction and magnitude of the weight update.Through gradient updates, the gating network adaptively adjusts $G_i(x)$ to increase the weights of experts that help reduce the loss and decrease the weights of experts that increase the loss.

In the ideal case, when the model fully converges and L reaches its minimum, we expect for all experts:

$$
\frac{\partial L}{\partial G_i(x)} = \frac{\partial L}{\partial f_{\text{MoE}}(x)} \cdot E_i(x) = 0 \quad \text{for all } i = 1, 2, ..., N
$$
 (25)

Since the gradient of the loss function with respect to the model output, $\frac{\partial L}{\partial f_{\text{Moe}}(x)}$, is a constant vector for a fixed input x. For experts that satisfy $\frac{\partial L}{\partial f_{\text{MoE}}(x)} \cdot E_i(x) = 0$, there may be non-zero $G_i(x)$; for other experts where $\frac{\partial L}{\partial f_{\text{MoE}}(x)} \cdot E_i(x) \neq 0$, i.e., experts that cannot minimize the loss, $G_i(x)$ must converge to zero to satisfy the zero-gradient condition.

This analysis shows that the distribution of $G(x)$ will tend to assign a value of 1 to the optimal set of experts and 0 to the other experts, forming an indicative distribution with characteristic function:

$$
G_i(x) = \begin{cases} 1, & i \in \arg\min_j L(E_j(x), y) \\ 0, & \text{otherwise} \end{cases}
$$
 (26)

752 753 754 755 However, in practice, due to factors such as regularization and numerical stability, $G(x)$ cannot fully form a perfectly indicative distribution. Moreover, an overly indicative distribution may lead to overfitting. Nonetheless, the overall trend is still to assign greater weights to a few more optimal experts, resulting in a peak-like distribution. As the probability distribution increasingly approaches this ideal peak distribution, the MoE model is often able to select the optimal set of experts. Since it is difficult to define the ideal peak distribution in an analytical mathematical form, traditional methods such as KL divergence cannot accurately measure this deviation.

A.2 TSALLIS ENTROPY VS. SHANNON ENTROPY

A.2.1 MORE FLEXIBILE

$$
S_q(p) = \frac{1 - \sum_{i=1}^{N} p_i^q}{q - 1} \tag{27}
$$

we employ a Taylor series expansion around $q = 1$. Consider the function $f(q) = p_i^q$ and expand it around $q = 1$:

$$
p_i^q = p_i^{1+(q-1)}
$$

= $p_i \cdot p_i^{q-1}$
= $p_i \exp[(q-1)\log p_i]$
= $p_i \left[1 + (q-1)\log p_i + \frac{1}{2}(q-1)^2(\log p_i)^2 + \cdots \right].$ (28)

Sum over all *i*:

$$
\sum_{i=1}^{N} p_i^q = \sum_{i=1}^{N} \left[p_i + p_i(q-1) \log p_i + \frac{1}{2} p_i(q-1)^2 (\log p_i)^2 + \cdots \right]
$$

= 1 + (q - 1) $\sum_{i=1}^{N} p_i \log p_i + \frac{1}{2} (q - 1)^2 \sum_{i=1}^{N} p_i (\log p_i)^2 + \cdots$ (29)

Using the normalization condition $\sum_{i=1}^{N} p_i = 1$, we have:

$$
1 - \sum_{i=1}^{N} p_i^q = -(q-1) \sum_{i=1}^{N} p_i \log p_i - \frac{1}{2} (q-1)^2 \sum_{i=1}^{N} p_i (\log p_i)^2 + \cdots
$$
 (30)

Substituting back into the definition of the Tsallis entropy:

$$
S_q(p) = \frac{1 - \sum_{i=1}^{N} p_i^q}{q - 1}
$$

=
$$
-\sum_{i=1}^{N} p_i \log p_i - \frac{1}{2}(q - 1) \sum_{i=1}^{N} p_i (\log p_i)^2 + \cdots
$$
 (31)

As $q \to 1^+$, the term $(q-1)$ approaches zero, and higher-order terms become negligible. Thus, we obtain:

$$
\lim_{q \to 1^+} S_q(p) = -\sum_{i=1}^N p_i \log p_i.
$$
\n(32)

A.2.2 MORE STABLE

Consider a loss function that incorporates an entropy regularization term:

$$
\mathcal{L} = \mathcal{L}_{data} - \lambda \cdot Entropy(f_{MoE}(x)),\tag{33}
$$

where λ is a regularization coefficient controlling the influence of entropy on the overall loss, and \mathcal{L}_{data} represents the data loss, which measures the discrepancy between model predictions and the true labels. Entropy($f_{\text{MoE}}(x)$) quantifies the uncertainty in the router's output.

Now, let us compare the loss functions using Shannon entropy and Tsallis entropy, respectively:

$$
\mathcal{L}_{\text{Shannon}} = \mathcal{L}_{\text{data}} - \lambda \cdot \sum_{i=1}^{n} G_i(x) \log G_i(x), \tag{34}
$$

$$
\mathcal{L}_{\text{Tsallis}} = \mathcal{L}_{\text{data}} - \lambda \cdot \frac{1}{q-1} \left(1 - \sum_{i=1}^{n} G_i(x)^q \right),\tag{35}
$$

810 811 where $G_i(x)$ represents the routing probability of expert *i*.

When optimizing these loss functions via gradient descent, the gradients with respect to $G_i(x)$ are given by:

$$
\frac{\partial \mathcal{L}_{\text{Shannon}}}{\partial G_i} = \frac{\partial \mathcal{L}_{\text{data}}}{\partial G_i} - \lambda \cdot (1 + \log G_i),\tag{36}
$$

$$
\frac{\partial \mathcal{L}_{\text{Tsallis}}}{\partial G_i} = \frac{\partial \mathcal{L}_{\text{data}}}{\partial G_i} - \lambda \cdot G_i^{q-1}.
$$
\n(37)

819 820 822 For Shannon entropy, as $G_i(x) \to 0$, $\log G_i \to -\infty$, which can lead to steep gradient magnitudes and unstable updates. In contrast, for Tsallis entropy, as $G_i(x) \to 0$, the gradient $\lambda G_i^{q-1} \to 0$ $(q > 1)$, reducing the impact of low-probability events and providing a more stable optimization process.

A.3 DATASETS

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Table [2](#page-15-3) presents detailed information about the datasets used in our experiments, including their task names, respective domains, the number of training and test sets, task types. All datasets are downloaded from [HuggingFace](https://huggingface.co) by using the DATASETS library in Python.

Task Name	Domain	# Train	# Test	Task Type
RTE	GLUE Benchmark	2.490	2.77	Textual Entailment
BoolO	Wikipedia	9,427	3,270	Text Classification
$ARC-E$	Natural Science	2,250	2,380	Question Answering
$ARC-C$	Natural Science	1,120	1,170	Question Answering
OpenBookQA	Science Facts	4.957	500	Question Answering
PIOA	Physical Interaction	16,100	1,840	Question Answering
SIOA	Social Interaction	33,410	1,954	Question Answering
HellaSwag	Video Caption	39,905	10,042	Sentence Completion
WinoGrande	Winograd Schemas	9,248	1,267	Fill in the Blank

Table 2: Description of Datasets used in experiments.

A.4 HYPER PARAMETERS SETTING

Table 3: Hyperparameter configurations for all baseline methods and DYNMOLE fine-tuning with LLaMA2-7B.

A.5 FLEXIBILITY STUDIES

862 863 We evaluated the flexibility of DYNMOLE and the Top-p method on the ARC-c dataset (as shown in Figure [6\)](#page-16-0). Similar to Top-p, DYNMOLE demonstrates comparable performance across the three projections. However, DYNMOLE activates the appropriate number of experts earlier and more comprehensively in response to router uncertainty.

A.6 WORD EMBEDDING

As shown in Figure [7,](#page-16-2) we present a visualization comparing token allocation between the Top-P routing strategy [\(Huang et al., 2024\)](#page-11-10) and our proposed DYNMOLE approach. We embedded 2D visualized word tokens from 10 randomly selected sentences, coloring them based on their most confidently routed expert index from the 1st, 16th, and 32nd Transformer layers, and projected them into 3D space using their normalized entropy. The percentage distribution of routing strategies is shown for each method. Compared to Top-P, DYNMOLE more efficiently routes tokens with similar entropy to similar experts, resulting in significantly lower average entropy and a more balanced load across experts, as reflected in the more even distribution across layers.

Figure 7: 3D visualization of token embeddings and router entropy for each token.