SiSP: Japanese Situation-dependent Sentiment Polarity Dictionary

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Abstract

In order to deal with the variety of meanings and contexts of words, we created a Japanese Situation-dependent Sentiment Polarity Dictionary (SiSP) of sentiment values labeled for 20 004 different situations. This dictionary was annotated by crowdworkers with 25,520 Japanese words, and consists of 10 responses for each 007 situation of each word. Using our SiSP, we predicted the polarity of each word in the dictionary and that of dictionary words in sentences considering the context. In both experiments, situation-dependent prediction showed superior 013 results in determining emotional polarity.

1 Introduction

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Understanding human emotions from facial images, voice, texts, and so on is becoming very im-016 portant both in academia and industry. Emotion 017 polarity dictionaries are used to analyze emotions from texts. Most of the existing emotion polarity dictionaries are based on a single word labeled as positive or negative, or they only classify words 021 into a number of class categories. However, even a 022 single word can have many different meanings and give different impression when used in different contexts and situations. For example, the word *fast* can be positive when it means that a racing car is 026 fast, but it can have a negative meaning when you are walking with a friend and you want to complain that your friend is walking too fast. Many current emotion polarity dictionaries have only a single label and cannot handle such a variety of situations and meanings. Meanwhile, emotion polarity dictionaries that consider various categories are annotated only with class labels and ignore the strength of the emotion polarity of words in the category.

> In this study, we developed a Situationdependent Sentiment Polarity Dictionary (SiSP) with individual numerical labels for 20 different situations. To the best of our knowledge, SiSP

is the first situation-dependent sentiment polarity
dictionary. We will make it an open source upon
acceptance. In addition, we have demonstrated the
baseline performance of the polarity prediction of
words in two senarios: that of individual word and
that with context.

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2 Related works

2.1 Sentiment lexicon

Most sentiment lexicons are lists of words labeled in a positive or negative direction. They are often created manually because of the subjective nature of sentiment labels. Linguistic Inquiry and Word Count (LIWC) (Pennebaker et al., 2001) is a dictionary of over 6,000 words classified into 125 categories. This dictionary has been used to extract political sentiments from tweets and to predict the onset of depression from SNS text.

The Affective Norms for English Words (ANEW) lexicon (Bradley and Lang, 1999) consists of 1,024 English words labeled from 1 to 9 in terms of the Valence-Arousal-Dominance (VAD) model. SentiWordNet (Esuli and Sebastiani, 2006) (Baccianella et al., 2010) is an extension of WordNet (Miller, 1995) that scores words on a scale of 0.0 to 1.0 for positive, negative, and neutral, and is normalized so that the sum of each category score is 1. SentiWordNet is also labeled in a semi-supervised manner. Many words are classified as neutral, with no polarity and a very high level of noise.

The SiSP created in this study has a numerical value from 0 to 1 for each of the 20 different situations with labels of positive, negative, neutral (between positive and negative), irrelevant (the word has nothing to do the situation), and unintelligible.

2.2 Named Entity Recognition

Named Entity Recognition (NER) is a task to extract unique expressions contained in sentences. It

extracts Named Entities from sentences and clas-079 sifies them into proper nouns such as names of 080 people, organizations, and places, and predefined 081 expressions such as dates, time expressions, quantities, and amounts. For these expressions, a distinction is made between between (B) for the first one and inside (I) for the second one. Tokens that do not belong to any entity are assigned outside (O). This distinction is called BIO notation. For example, in the sentence 'Mark Watney visited Mars', if the person tag is 'Person' and the location tag is 'Location', Mark is a B-Person, Watney is an I-Person, visited is an O because it does not belong to any token. Some tasks classify place names into detailed locations such as cities, states, countries, etc., and some tasks set subcategories such as politicians or celebrities for person names. Typical datasets are CoNLL2002 and CoNLL2003 (Sang and De Meulder, 2003). These datasets assign four types of tags 097 (Person, Organization, Location, Miscellaneous including all other types of entities) to sentences consisting of newspaper articles in Spanish, Dutch, English, and German. There are other datasets for 101 other languages as well (Singh, 2008) (Shaalan, 102 2014) (Benikova et al., 2014), (Piskorski et al., 103 2017).

3 SiSP Construction

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Existing emotion polarity dictionaries cannot reflect the situation the words are used in. Therefore, in this study, we have created a new emotion po-108 larity dictionary with 20 different situations. The 109 20 situations we use are as follows (see Table 1): 110 Economy, Communication, Parenting, Health, Will 111 Motivation, Employment, Personal Relations, Fam-112 ily, Tension, Mental State, Work, Going Out, Home, 113 Busyness, Motivation, Sleep, Appetite, Curiosity, 114 Physical State, and Love. The dictionary assumes 115 116 a wide range of situations that can evoke emotions. We used 25,520 words from Takamura's (Takamura 117 et al., 2005) and Kobayashi's (Kobayashi et al., 118 2005) dictionaries (14,400 and 11,120 words, re-119 spectively). Five label options were provided: posi-120 tive, negative, neutral, irrelevant, and unintelligible. The annotations were done via a crowdsourcing 122 service, and 10 people were asked to label each 123 word and situation. We set two very strict check 124 problems to guarantee the quality of the dictionary, 125 in which only 40% of the participants survived. 126 In summary, the SiSP labels were labeled by 10 people (who passed the check problems) for 20 128

situations. Therefore, the labels do not simply indicate whether each word is positive or negative for each situation, but rather indicate the degree of each option.

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Table 2 shows a few examples of words in SiSP that have different labels depending on the situation. The word 'Interference' has (Positive, Negative) = (0.1, 0.6) in *love*, while it changes to (0.5, 0.2) in mental. The word 'sold-out' has a positive value of 0.8 in economy, while it has a positive value of 0.1 in going out The word 'hostility' has a negative value of 0.9 in *personal relations*, while it changes to 0.3 in *parenting*.

4 **Experiments**

The dictionary we created can be used to classify the polarity predictions of the words in a particular situation. We performed prediction in two different experimental settings. The first task was to predict the polarity of individual words. The second task was to predict the polarity of words contained in sentences. In this paper, the results will be presented only for *mental state* due to the page limitation. Please see our appendix for detailed experimental results. As a baseline where a situation is not considered, the 20 situation-dependent polarity labels were majority voted and a single polarity score was assined to each word. By comparing the results with this baseline, the significance of the situational labeling in this study is discussed.

The original SiSP labels were based on 10 responses for each word and each of the 20 situation. In this experiment, neutral, irrelevant, and unintelligible, are treated as one label O. Then, the label with the largest vote among positive, negative, and O is dealt as the ground truth class. The training data contain 1,118 positives, 3,364 negatives, and 9.624 O's for mental state situations.

4.1 Polarity prediction of individual words (words alone)

The words in the dictionary are divided into training data and test data. We used word2vec (Mikolov et al., 2013) to vectorize the words in the dictionary, and Suzuki's pre-trained 300-dimensional model was used as the model of word2vec. We used this vector as input and classify the polarity of words by using a support vector machine (SVM).

The classification results of the test data are presented on the left side of Table 3. The results of precision and recall for positives were remarkably

Table 1: SiSP data

| # of words | 25,520 | | | | |
|----------------------|------------|--------------------|-----------|----------------|-----------------|
| # of evaluators/word | 10 | | | | |
| Labels | Positive | Negative | Neutral | Irrelevant | Unintelligible |
| | Economy | Communication | Parenting | Health | Will Motivation |
| Situations | Employment | Personal Relations | Family | Tension | Mental State |
| | Work | Going Out | Home | Busyness | Motivation |
| | Sleep | Appetite | Curiosity | Physical State | Love |

 Table 2: Example of SiSP words

| Words | Situation | Labels | | | | | | | |
|--------------|--------------------|----------|----------|---------|------------|----------------|--|--|--|
| words | Situation | Positive | Negative | Neutral | Irrelevant | Unintelligible | | | |
| Interference | Love | 0.1 | 0.6 | 0.2 | 0.1 | 0 | | | |
| | Mental State | 0.5 | 0.2 | 0 | 0.3 | 0 | | | |
| Sold-out | Economy | 0.8 | 0 | 0.1 | 0.1 | 0 | | | |
| | Going Out | 0.1 | 0.1 | 0.3 | 0.5 | 0 | | | |
| Hostility | Personal Relations | 0 | 0.9 | 0 | 0.1 | 0 | | | |
| | Parenting | 0 | 0.3 | 0.1 | 0.6 | 0 | | | |

low, which may be due to the unbalance of the dataset. As for the baseline, none of the items were judged as positive, and most of them were classified as O. As for the F1 score of negative, the situation-dependent prediction is very much improved from the baseline (from 0.191 to 0.558).

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4.2 Polarity prediction of words in a sentence (words with context)

Next, we conducted an experiment to see if the performance can be improved by adding contextual information. The task is based on the NER method, where sentences are morphologically analyzed and labels are assigned to each word. Words that are not in the dictionary are also treated as O. In this study, we collected 20,530 tweets using the Twitter API, and used those tweets that contained at least one word registered in SiSP as input data. The task is to predict the polarity of the word in SiSP that appears in only one tweet out of all the tweets collected as the test data. Therefore, the test data include the SiSP word that appears only once in all the tweets collected, and the rest are used as the training data. The words to be predicted are not included in the training data. At the test time, the model would predict the polarity of completely unknown words. As a model, we used BERT's (Devlin et al., 2018) Japanese pre-training model. The results are shown on the right side of Table 3. Comparing the *men*tal state and baseline, the precision, recall, and F1 score were better for the mental state for both positive and negative classes. Particularly, the F1 score and recall for the *mental state* were significantly better than that for baseline in the negative class. It is also shown that the context of the sentence generally helps the model to predict the polarity more accurately when we compare the results in Table 3 (note that the test data are different from each other).

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5 Discussion

Regarding the polarity classification for individual word alone, the reason for the poor accuracy is that there are so many words with labels of O (Pos: 1,118, Neg: 3,364, and O: 9,624). Therefore, we used up-sampling and down-sampling so that number of words with polarity labels are the same as those with O labels. Up-sampling for word2vec was done by simply repeating the training data. Down-sampling for NER task was done by eliminating sentences that consist only of words labeled O.

The results of up-sampling are shown on the left side of Table 4. We can observe a significant improvement in the values of precision, recall, and F1 for polarity labels in the *mental state*.

It is apparent that the up-sampling result outperforms the left side of Table 4 related to mental state of precision, recall, and F1-score for positive and negative labels. In particular, there is a remarkable improvement in the positive label. Precision for

| | | Words | | Words with context | | | | | |
|------------------|-----------|--------|-------|--------------------|-----------|--------|-------|----------|--|
| | Precision | Recall | F1 | Accuracy | Precision | Recall | F1 | Accuracy | |
| Pos-baseline | 0.000 | - | - | 0.860 | 0.354 | 0.374 | 0.364 | 0.867 | |
| Pos-mental state | 0.026 | 0.655 | 0.050 | 0.795 | 0.387 | 0.431 | 0.408 | 0.825 | |
| Neg-baseline | 0.116 | 0.545 | 0.191 | 0.856 | 0.394 | 0.355 | 0.373 | 0.834 | |
| Neg-mental state | 0.498 | 0.633 | 0.558 | 0.832 | 0.556 | 0.570 | 0.563 | 0.803 | |
| O-baseline | 0.981 | 0.722 | 0.832 | 0.717 | 0.806 | 0.814 | 0.810 | 0.710 | |
| O-mental state | 0.898 | 0.640 | 0.450 | 0.755 | 0.744 | 0.719 | 0.731 | 0.663 | |

Table 3: Results of polarity classification for mental state situations

Table 4: The effects of up- and down-sampling of the dataset for polarity classification for mental state situations

| | Up-sa | mpling fo | or words | Down-sampling for words with context | | | | | |
|-----|-----------|-----------|-----------|--------------------------------------|-----------|--------|-------|----------|--|
| | Precision | Recall | F1 | Accuracy | Precision | Recall | F1 | Accuracy | |
| Pos | 0.444 | 0.475 | 0.459 | 0.767 | 0.383 | 0.453 | 0.415 | 0.832 | |
| Neg | 0.696 | 0.462 | 0.555 | 0.788 | 0.613 | 0.568 | 0.590 | 0.806 | |
| 0 | 0.593 | 0.688 | 0.637 | 0.603 | 0.735 | 0.729 | 0.732 | 0.668 | |

Table 5: Prediction performance for mental state when the prediction is done word by word

| | Precision | Recall | F1 | Accuracy |
|-----|-----------|--------|-----------|----------|
| Pos | 0.314 | 0.497 | 0.385 | 0.844 |
| Neg | 0.289 | 0.624 | 0.395 | 0.798 |
| 0 | 0.865 | 0.670 | 0.755 | 0.655 |

up-sampling mental state was better than that for no up-sampling in positive by 0.418 (from 0.026 to 0.444). In negative label, precision for up-sampling mental state was the best score of all settings.

The results of the down-sampling are shown on the right side of Table 4. Compared to the results in Table 3, the F1 score for both negative and positive words showed improvement. Precision for negative words and recall for positive words were also improved. However, down-sampling for words with context does not provide as large an effective as up-sampling for words alone. This may be due to the fact that only O sentences were excluded from the training data, so the words between positive and negative were not balanced.

In addition, to confirm that the model is getting hints from the words around the unknown word, we input the test data word by word instead of tweet by tweet to BERT. The results shown in Table 5 can confirm that the precision and F1 scores for positive and negative are larger when each tweet is input to the model. In particular, precision for the negative class for the *mental state* was better by 0.267.

6 Conclusion

In this study, we proposed the Situation-dependent Sentiment Polarity Dictionary (SiSP), which is a dictionary that considers 20 types of situations, because the existing emotion polarity dictionaries with uni-dimensional positive-negative labels cannot grasp the fact that the polarity can change depending on the context and situation. In the words alone prediction, the results for negative's precision, recall, and F1-score, in particular, were significantly better than the baseline. Additionally, the prediction performance increased by upsampling the training dataset. We also confirmed that situation-dependent prediction yielded better precision, recall, and F1 score. In polarity prediction of unknown words using tweets as training data, the situation-dependent prediction also showed superior results. The comparison between tweet-by-tweet and word-by-word demonstrated that contextual information in the input data is also important for inferring emotional polarity.

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This is the first open source sentiment polarity dictionary with 20 situations with some baseline performance. Our future work includes extending this dictionary to other languages.

7 Acknowledgements

This research has been approved by the ethical committee of the authors' organization. (ID is omitted for double-blind review.)

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A Appendix

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Table 6 shows the results for all the other 19 situations that could not be included in the main paper.
The separation of training data and test data is the
same as that for the mental state.

| | Words alone | | | | | Words with context | | | | |
|--------------------|-------------|-----------|--------|-------|----------|--------------------|--------|-------|----------|--|
| | | Precision | Recall | F1 | Accuracy | Precision | Recall | F1 | Accuracy | |
| | Pos | 0.284 | 0.398 | 0.331 | 0.840 | 0.387 | 0.431 | 0.408 | 0.825 | |
| baseline | Neg | 0.641 | 0.420 | 0.508 | 0.817 | 0.556 | 0.570 | 0.563 | 0.803 | |
| | 0 | 0.746 | 0.787 | 0.766 | 0.674 | 0.744 | 0.719 | 0.731 | 0.663 | |
| | Pos | 0.402 | 0.445 | 0.423 | 0.779 | 0.416 | 0.372 | 0.393 | 0.814 | |
| Economics | Neg | 0.718 | 0.486 | 0.579 | 0.792 | 0.379 | 0.351 | 0.365 | 0.792 | |
| | 0 | 0.615 | 0.704 | 0.656 | 0.614 | 0.717 | 0.748 | 0.732 | 0.634 | |
| | Pos | 0.444 | 0.475 | 0.459 | 0.767 | 0.433 | 0.330 | 0.374 | 0.768 | |
| Communication | Neg | 0.696 | 0.462 | 0.555 | 0.788 | 0.600 | 0.549 | 0.573 | 0.815 | |
| | 0 | 0.593 | 0.688 | 0.637 | 0.603 | 0.650 | 0.731 | 0.688 | 0.627 | |
| | Pos | 0.396 | 0.428 | 0.411 | 0.789 | 0.335 | 0.395 | 0.362 | 0.834 | |
| Parenting | Neg | 0.624 | 0.421 | 0.411 | 0.789 | 0.387 | 0.325 | 0.353 | 0.757 | |
| | 0 | 0.645 | 0.721 | 0.681 | 0.611 | 0.721 | 0.734 | 0.728 | 0.628 | |
| | Pos | 0.326 | 0.393 | 0.356 | 0.796 | 0.318 | 0.407 | 0.357 | 0.824 | |
| Health | Neg | 0.666 | 0.456 | 0.356 | 0.808 | 0.387 | 0.338 | 0.361 | 0.768 | |
| | 0 | 0.689 | 0.745 | 0.716 | 0.641 | 0.721 | 0.712 | 0.717 | 0.614 | |
| | Pos | 0.321 | 403 | 0.357 | 0.785 | 0.255 | 0.463 | 0.329 | 0.856 | |
| Will Motivation | Neg | 0.655 | 0.403 | 0.357 | 0.785 | 0.406 | 0.345 | 0.373 | 0.819 | |
| | 0 | 0.664 | 0.727 | 0.694 | 0.613 | 0.816 | 0.775 | 0.795 | 0.693 | |
| | Pos | 0.420 | 0.475 | 0.446 | 0.781 | 0.459 | 0.421 | 0.439 | 0.822 | |
| Employment | Neg | 0.723 | 0.503 | 0.593 | 0.801 | 0.459 | 0.563 | 0.479 | 0.790 | |
| | 0 | 0.620 | 0.695 | 0.655 | 0.616 | 0.751 | 0.698 | 0.723 | 0.646 | |
| | Pos | 0.450 | 504 | 0.475 | 0.792 | 0.440 | 0.594 | 0.506 | 0.873 | |
| Personal Relations | Neg | 0.714 | 0.470 | 0.567 | 0.820 | 0.440 | 0.600 | 0.510 | 0.830 | |
| | 0 | 0.641 | 0.727 | 0.681 | 0.683 | 0.853 | 0.749 | 0.798 | 0.718 | |
| | Pos | 0.405 | 0.431 | 0.418 | 0.841 | 0.405 | 0.431 | 0.418 | 0.841 | |
| Family | Neg | 0.359 | 0.355 | 0.357 | 0.775 | 0.359 | 0.355 | 0.357 | 0.775 | |
| | 0 | 0.748 | 0.741 | 0.745 | 0.648 | 0.748 | 0.741 | 0.745 | 0.648 | |
| | Pos | 0.362 | 0.319 | 0.339 | 0.814 | 0.362 | 0.319 | 0.339 | 0.814 | |
| Tension | Neg | 0.485 | 0.435 | 0.459 | 0.811 | 0.485 | 0.435 | 0.459 | 0.811 | |
| | 0 | 0.721 | 0.760 | 0.740 | 0.644 | 0.721 | 0.760 | 0.740 | 0.644 | |
| | Pos | 0.462 | 0.486 | 0.474 | 0.787 | 0.387 | 0.431 | 0.408 | 0.825 | |
| Mental State | Neg | 0.752 | 0.518 | 0.614 | 0.801 | 0.556 | 0.570 | 0.563 | 0.803 | |
| | 0 | 0.611 | 0.716 | 0.659 | 0.632 | 0.744 | 0.719 | 0.731 | 0.663 | |
| | Pos | 0.434 | 0.509 | 0.469 | 0.779 | 0.393 | 0.444 | 0.417 | 0.828 | |
| Work | Neg | 0.753 | 0.522 | 0.617 | 0.795 | 0.449 | 0.588 | 0.509 | 0.798 | |
| | 0 | 0.597 | 0.675 | 0.633 | 0.615 | 0.782 | 0.699 | 0.738 | 0.661 | |
| Going Out | Pos | 0.288 | 0.427 | 0.344 | 0.829 | 0.238 | 0.296 | 0.264 | 0.807 | |
| | Neg | 0.608 | 0.412 | 0.491 | 0.802 | 0.405 | 0.416 | 0.410 | 0.824 | |
| | 0 | 0.730 | 0.757 | 0.743 | 0.653 | 0.782 | 0.748 | 0.765 | 0.661 | |
| - | Pos | 0.341 | 0.415 | 0.374 | 0.809 | 0.256 | 0.433 | 0.321 | 0.861 | |
| Home | Neg | 0.658 | 0.425 | 0.516 | 0.787 | 0.402 | 0.353 | 0.376 | 0.800 | |
| | 0 | 0.666 | 0.739 | 0.700 | 0.624 | 0.793 | 0.759 | 0.775 | 0.669 | |
| | Pos | 0.260 | 0.335 | 0.293 | 0.808 | 0.273 | 0.218 | 0.243 | 0.970 | |
| Busyness | Neg | 0.587 | 0.337 | 0.428 | 0.795 | 0.782 | 0.789 | 0.786 | 0.961 | |
| | 0 | 0.694 | 0.761 | 0.726 | 0.625 | 0.962 | 0.966 | 0.964 | 0.936 | |

Table 6: Results of polarity classification for all situations

| | | word2vec | | | | | BERT | ' NER | |
|----------------|-----|-----------|--------|-------|----------|-----------|--------|-------|----------|
| | | Precision | Recall | F1 | Accuracy | Precision | Recall | F1 | Accuracy |
| | Pos | 0.412 | 0.441 | 0.426 | 0.776 | 0.354 | 0.495 | 0.413 | 0.851 |
| Motivation | Neg | 0.716 | 0.469 | 0.567 | 0.791 | 0.404 | 0.387 | 0.395 | 0.774 |
| | 0 | 0.602 | 0.703 | 0.648 | 0.604 | 0.757 | 0.721 | 0.738 | 0.641 |
| | Pos | 0.228 | 0.351 | 0.276 | 0.853 | 0.271 | 0.395 | 0.321 | 0.892 |
| Sleep | Neg | 0.625 | 0.400 | 0.488 | 0.810 | 0.362 | 0.411 | 0.385 | 0.840 |
| | 0 | 0.755 | 0.796 | 0.775 | 0.679 | 0.862 | 0.814 | 0.837 | 0.743 |
| | Pos | 0.225 | 0.403 | 0.289 | 0.837 | 0.358 | 0.421 | 0.387 | 0.847 |
| Appetite | Neg | 0.568 | 0.383 | 0.457 | 0.816 | 0.318 | 0.397 | 0.353 | 0.837 |
| | 0 | 0.769 | 0.770 | 0.769 | 0.670 | 0.827 | 0.776 | 0.801 | 0.702 |
| | Pos | 0.374 | 0.420 | 0.395 | 0.772 | 0.363 | 0.467 | 0.408 | 0.837 |
| Curiosity | Neg | 0.641 | 0.399 | 0.492 | 0.802 | 0.422 | 0.588 | 0.491 | 0.834 |
| | 0 | 0.644 | 0.720 | 0.680 | 0.605 | 0.827 | 0.729 | 0.775 | 0.686 |
| | Pos | 0.353 | 0.419 | 0.383 | 0.794 | 0.328 | 0.474 | 0.388 | 0.853 |
| Physical State | Neg | 0.688 | 0.472 | 0.560 | 0.794 | 0.423 | 0.500 | 0.458 | 0.835 |
| | 0 | 0.675 | 0.735 | 0.704 | 0.636 | 0.838 | 0.761 | 0.798 | 0.706 |
| | Pos | 0.284 | 0.398 | 0.331 | 0.840 | 0.350 | 0.399 | 0.373 | 0.826 |
| Love | Neg | 0.640 | 0.420 | 0.508 | 0.817 | 0.373 | 0.373 | 0.373 | 0.818 |
| | 0 | 0.746 | 0.787 | 0.766 | 0.674 | 0.772 | 0.753 | 0.762 | 0.659 |