000 001 002 003 MITIGATING EMBEDDING COLLAPSE IN DIFFUSION MODELS FOR CATEGORICAL DATA

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ABSTRACT

Latent diffusion models have enabled continuous-state diffusion models to handle a variety of datasets, including categorical data. However, most methods rely on fixed pretrained embeddings, limiting the benefits of joint training with the diffusion model. While jointly learning the embedding (via reconstruction loss) and the latent diffusion model (via score matching loss) could enhance performance, our analysis shows that end-to-end training risks embedding collapse, degrading generation quality. To address this issue, we introduce CATDM, a continuous diffusion framework within the embedding space that stabilizes training. We propose a novel objective combining the joint embedding-diffusion variational lower bound with a Consistency-Matching (CM) regularizer, alongside a shifted cosine noise schedule and random dropping strategy. The CM regularizer ensures the recovery of the true data distribution. Experiments on benchmarks show that CATDM mitigates embedding collapse, yielding superior results on FFHQ, LSUN Churches, and LSUN Bedrooms. In particular, CATDM achieves an FID of 6.81 on ImageNet 256×256 with 50 steps. It outperforms non-autoregressive models in machine translation and is on a par with previous methods in text generation.

- 1 INTRODUCTION
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030 031 032 033 034 035 036 037 038 039 040 Continuous-state diffusion models (CSDMs) [\(Sohl-Dickstein et al.,](#page-12-0) [2015;](#page-12-0) [Ho et al.,](#page-11-0) [2020;](#page-11-0) [Song](#page-13-0) [et al.,](#page-13-0) [2020b\)](#page-13-0) have recently achieved notable success in various application domains, including computer vision [\(He et al.,](#page-11-1) [2024;](#page-11-1) [Dhariwal & Nichol,](#page-10-0) [2021;](#page-10-0) [Chen et al.,](#page-10-1) [2022\)](#page-10-1), natural language processing [\(Li et al.,](#page-12-1) [2022\)](#page-12-1), and audio [\(Chen et al.,](#page-10-2) [2021;](#page-10-2) [Kong et al.,](#page-12-2) [2021;](#page-12-2) [Hernandez-Olivan et al.,](#page-11-2) 2023). These probabilistic models learn the inverse of a Markov chain that gradually converts data into pure Gaussian noise, using noise-conditioned score functions (i.e., gradients of log density), which are defined only for continuous data. The core concept is to progressively recover the original data distribution using a learned transition kernel. Diffusion models are notable for their high-fidelity generation [\(Dhariwal & Nichol,](#page-10-0) [2021;](#page-10-0) [Lai et al.,](#page-12-3) [2023a](#page-12-3)[;b\)](#page-12-4). They offer stable and relatively efficient training procedures that contribute to their success. Recent advances, such as consistency models [\(Song et al.,](#page-13-1) [2023;](#page-13-1) [Kim et al.,](#page-11-3) [2023;](#page-11-3) [Luo et al.,](#page-12-5) [2023\)](#page-12-5), have further enhanced diffusion models by reducing the number of sampling steps, making them more practical for real-world applications.

041 042 043 044 045 046 047 048 049 050 Despite the widespread popularity of CSDMs, their extension to categorical data remains limited. Previous attempts to address this limitation [\(Austin et al.,](#page-10-3) [2021;](#page-10-3) [Hoogeboom et al.,](#page-11-4) [2021b;](#page-11-4) [Campbell](#page-10-4) [et al.,](#page-10-4) [2022;](#page-10-4) [Sun et al.,](#page-13-2) [2023;](#page-13-2) [Lou et al.,](#page-12-6) [2023\)](#page-12-6) have focused on discrete-state diffusion models (DSDMs), which define discrete corruption processes for categorical data and mimic Gaussian kernels used in continuous space. For instance, D3PMs [\(Austin et al.,](#page-10-3) [2021\)](#page-10-3) implemented the corruption process as random masking or token swapping and learned to reverse this process from the noisy data. However, unlike continuous diffusion processes, these corruption techniques do not gradually erase the semantic meaning of the data, which ideally would place similar tokens close together and dissimilar ones further apart. This discrepancy leads to an unsmooth reverse procedure and limits their ability to fully exploit the advancements made in CSDMs.

051 052 053 Alternatively, categorical data can be mapped into a continuous embedding space [\(Vahdat et al.,](#page-13-3) [2021;](#page-13-3) [Rombach et al.,](#page-12-7) [2022;](#page-12-7) [Sinha et al.,](#page-12-8) [2021\)](#page-12-8), followed by the application of CSDMs with Gaussian kernels, which enables progressive learning signals [\(Ho et al.,](#page-11-0) [2020\)](#page-11-0) and fine-grained sampling. This approach has been successful in various domains. However, it may not inherently yield comparable

054 055 056 057 058 059 060 061 062 063 064 results [\(Li et al.,](#page-12-1) [2022;](#page-12-1) [Strudel et al.,](#page-13-4) [2022;](#page-13-4) [Dieleman et al.,](#page-10-5) [2022\)](#page-10-5). First, it requires a well-trained embedding for each new dataset (Li et al., [2022\)](#page-12-1) before training CSDMs. Since the embedding space and the denoising model are not trained end-to-end, this can result in suboptimal performance. Second, jointly training both components is challenging and prone to the *embedding collapse problem* [\(Dieleman et al.,](#page-10-5) [2022;](#page-10-5) [Gao et al.,](#page-11-5) [2024\)](#page-11-5), where all embeddings converge to a single vector. While this convergence helps the diffusion model predict clean embeddings, it does not result in a meaningful model and instead leads to poor generation. To alleviate embedding collapse, previous work have explored normalizing embedding vectors to a fixed bounded norm [\(Dieleman et al.,](#page-10-5) [2022\)](#page-10-5) or mapping the predicted embedding to its nearest neighbor within the finite set of vectors [\(Li et al.,](#page-12-1) [2022\)](#page-12-1). However, our experiments have shown that these manipulations do not yield satisfactory results in practice.

065 066 067 In response, this paper presents a simple but effective method called *Enhanced Embedding for CATegorical Data in Diffusion Models* (CATDM), specifically designed to address the embedding collapse problem. Our key contributions are summarized as follows:

- **068 069 070 071** (1) We suggest that embedding collapse is driven by two factors: (1) the reconstruction loss does not provide enough learning feedback to maintain diverse embeddings, leading to collapse, and (2) the denoising score matching disproportionately influences the variational lower-bound objective, overshadowing the contributions of the embeddings in the reconstruction loss.
- **072 073 074 075 076** (2) We introduce several techniques to prevent trivial or collapsed embeddings. A new loss function is proposed to stabilize training. In particular, we enforce a *Consistency Matching (CM)* regularization that requires the model predictions to remain consistent over time. This ensures that the model produces stable outputs throughout the generation process. To enhance generation quality, we implement (i) shifted cosine noise schedule and (ii) random dropping of embeddings.
	- (3) We theoretically show that our newly proposed CM regularization helps learn the true data density (Theorem [1\)](#page-4-0) at its optimal. Furthermore, we connect this CM regularizer to heuristic regularizations found in the literature [\(Dieleman et al.,](#page-10-5) [2022;](#page-10-5) [Gao et al.,](#page-11-5) [2024\)](#page-11-5) (Proposition [2\)](#page-5-0).

084 085 086 088 Comprehensive experiments across a range of benchmark datasets are conducted to evaluate CATDM. This comprehensive evaluation provides an in-depth analysis of the adaptability and performance of our proposed approach in image generation, text generation, and machine translation tasks. The results show that CATDM effectively mitigates the embedding collapse issue and consistently outperforms several baseline methods. Although our main focus is vision and text generation, CATDM can be applied to any task involving categorical variables. CATDM performs on a par with baselines in text generation and machine translation, and achieves FID scores of 7.25 on FFHQ, 4.99 on LSUN Churches, 4.16 on LSUN Bedrooms, and 6.81 on ImageNet 256×256 in image generation, outperforming discrete-based models.

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2 DIFFUSION MODEL IN EMBEDDING SPACE

092 093 094 095 096 097 098 099 Consider a sequence of tokens $\mathbf{x} = [x_1, \dots, x_M]$, where each element belongs to one of the K categories, i.e., $x_i \in \{1, \ldots, K\}$. Given a dataset of observations, the goal of generative models is to estimate the probability mass function $P_{data}(\mathbf{x})$. To handle discontinuity, we propose using continuous embeddings, where different categories are represented by real-valued vectors in a continuous latent space. Specifically, let $\phi = \{e_1, \ldots, e_K\}$, where $e_k \in \mathbb{R}^D$, be a learnable codebook, the embeddings of x are then defined as $\text{EMB}_{\phi}(\mathbf{x}) = [\mathbf{e}_{x_1}, \dots, \mathbf{e}_{x_M}]$. We define a sequence of increasingly noisy versions of $EMB_{\phi}(\mathbf{x})$ as \mathbf{z}_t , where t ranges from $t = 0$ (least noisy) to $t = 1$ (most noisy). In the following, we review the variational diffusion formulation [\(Kingma et al.,](#page-11-6) 2021) in latent space.

Forward process. For any $t \in [0, 1]$, the conditional distribution of z_t given x is modeled as

 $q_{\boldsymbol{\phi}}(\mathbf{z}_t|\mathbf{x}) = \mathcal{N}(\mathbf{z}_t|\alpha_t \text{EMB}_{\boldsymbol{\phi}}(\mathbf{x}), \sigma_t^2 \boldsymbol{I}),$

103 104 105 106 where α_t and σ_t are non-negative scalar-value functions of t, which determine how much noise is added to the embeddings. We consider a variance-preserving process, i.e., $\alpha_t^2 + \sigma_t^2 = 1$. Under this parameterization, the marginal distribution $q_{\phi}(\mathbf{z}_t)$ is a mixture of Gaussian distributions. Due to the Markovian property by construction, the transition probability distributions are given by

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$$
q(\mathbf{z}_t|\mathbf{z}_s) = \mathcal{N}(\mathbf{z}_t|\alpha_{t|s}\mathbf{z}_s, \sigma_{t|s}^2 \mathbf{I}),
$$

108 109 110 where $\alpha_{t|s} = \alpha_t/\alpha_s$ and $\sigma_{t|s}^2 = \sigma_t^2 - \alpha_{t|s}^2 \sigma_s^2$. Conditioned on the clean data x, the forward process posterior distribution is derived as

$$
q_{\boldsymbol{\phi}}(\mathbf{z}_s|\mathbf{z}_t,\mathbf{x}) = \mathcal{N}(\mathbf{z}_s|\mu_{\boldsymbol{\phi}}(\mathbf{z}_t,\mathbf{x};s,t),\sigma^2(s,t)\mathbf{I}),
$$

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113 where $\mu_{\phi}(\mathbf{z}_t, \mathbf{x}; s, t) = (\alpha_{t|s} \sigma_s^2 / \sigma_t^2) \mathbf{z}_t + (\alpha_s \sigma_{t|s}^2 / \sigma_t^2) \text{EMB}_{\phi}(\mathbf{x})$ and $\sigma^2(s, t) = \sigma_{t|s}^2 \sigma_s^2 / \sigma_t^2$.

114 115 116 117 Reverse process. We gradually denoise the latent variables toward the data distribution by a Markov process where the timesteps run backward from $t = 1$ to $t = 0$. Let θ denote the parameters of the denoising model, the conditional probability distribution $p_{\phi,\theta}(z_s|z_t; s, t)$ for any $0 \le s \le t \le 1$ in the reverse diffusion process is parameterized by a Gaussian. More specifically, it is given by

$$
p_{\boldsymbol{\phi},\boldsymbol{\theta}}(\mathbf{z}_s|\mathbf{z}_t;s,t) = \mathcal{N}(\mathbf{z}_s|\hat{\mu}_{\boldsymbol{\phi},\boldsymbol{\theta}}(\mathbf{z}_t;s,t),\sigma^2(s,t)\mathbf{I}),
$$
\n(1)

120 121 122 where $\hat{\mu}_{\phi,\theta}(\mathbf{z}_t; s, t) = (\alpha_{t|s} \sigma_s^2 / \sigma_t^2) \mathbf{z}_t + (\alpha_s \sigma_{t|s}^2 / \sigma_t^2) \widehat{\text{EMB}}_{\phi,\theta}(\mathbf{z}_t; t)$ and $\widehat{\text{EMB}}_{\phi,\theta}(\mathbf{z}_t; t)$ denotes the predicted embeddings of $\text{EMB}_{\phi}(\mathbf{x})$ based on its noisy version \mathbf{z}_t .

Following previous work [\(Dieleman et al.,](#page-10-5) [2022;](#page-10-5) [Gulrajani & Hashimoto,](#page-11-7) [2024\)](#page-11-7), we parameterize $\overline{\text{EMB}}_{\phi,\theta}(\mathbf{z}_t;t)$ as an average over embeddings. The *i*-element of $\overline{\text{EMB}}_{\phi,\theta}(\mathbf{z}_t;t)$ is given by

$$
\left[\widehat{\text{EMB}}_{\phi,\theta}(\mathbf{z}_t;t)\right]_{i,:} = \sum_{k=1}^K P_{\theta}(\tilde{x}_i = k|\mathbf{z}_t;t)\mathbf{e}_k \text{, where we write } \tilde{\mathbf{x}} = (\tilde{x}_i)_i \,. \tag{2}
$$

128 129 130 131 To estimate the posterior probability $P_{\theta}(\tilde{\mathbf{x}}|\mathbf{z}_t;t)$, we use a neural network $f_{\theta}(\mathbf{z}_t;t)$ to predict K logits for each token, followed by a softmax nonlinearity, i.e., $P_{\theta}(\tilde{\mathbf{x}}|\mathbf{z}_t;t) = \prod_{i=1}^{M} \text{softmax}([f_{\theta}(\mathbf{z}_t;t)]_{i,:}).$

Variational lower bound. Following [Kingma et al.](#page-11-6) [\(2021\)](#page-11-6), the negative variational lower bound (VLB) for our diffusion model can be derived as

$$
-\log P_{\boldsymbol{\phi},\boldsymbol{\theta}}(\mathbf{x}) \le D_{\mathrm{KL}}(q_{\boldsymbol{\phi}}(\mathbf{z}_1|\mathbf{x})||p(\mathbf{z}_1)) + \mathbb{E}_{\boldsymbol{\epsilon}\sim\mathcal{N}(\mathbf{0},\mathbf{I})}[-\log P_{\boldsymbol{\theta}}(\mathbf{x}|\mathbf{z}_0;0)] + \mathcal{L}_{\infty}(\mathbf{x};\boldsymbol{\phi},\boldsymbol{\theta}),\quad(3)
$$

where $z_t = \alpha_t \text{EMB}_{\phi}(\mathbf{x}) + \sigma_t \epsilon$ and the diffusion loss is simplified to

$$
\mathcal{L}_{\infty}(\mathbf{x};\boldsymbol{\phi},\boldsymbol{\theta})=-\frac{1}{2}\mathbb{E}_{\boldsymbol{\epsilon}\sim\mathcal{N}(0,\boldsymbol{I}),t\sim\mathcal{U}(0,1)}\left[\text{SNR}(t)'\|\text{EMB}_{\boldsymbol{\phi}}(\mathbf{x})-\widehat{\text{EMB}}_{\boldsymbol{\phi},\boldsymbol{\theta}}(\mathbf{z}_t;t)\|^2\right]
$$

with $SNR(t) = \alpha_t^2/\sigma_t^2$ the signal-to-noise ratio. Under certain conditions^{[1](#page-2-0)}, the prior loss is close to zero as $q_{\phi}(\mathbf{z}_1|\mathbf{x}) \approx \mathcal{N}(0, I)$. Unlike CSDMs, the reconstruction loss in our case is important since it involves both denoising and embedding parameters. A remarkable result given by [Kingma et al.](#page-11-6) (2021) is that the diffusion loss is invariant to the noise schedule except at $t = 0$ and $t = 1$.

3 ANALYSIS OF EMBEDDING COLLAPSE

Figure 1: An illustration of embedding collapse. The variances of the embedding space and crossentropy loss of (a) CSDM and (b) CATDM.

157 158 159 160 This section empirically investigates the challenge of jointly learning the embedding and the denoising model. Consider the FFHQ dataset for image generation, where the discrete image tokens are derived from a pretrained VQGAN [\(Esser et al.,](#page-11-8) [2021\)](#page-11-8). A more detailed description of the experimental setup is described in Section [6.1.](#page-6-0) Both ϕ and θ are jointly trained by directly minimizing Eq. [\(3\)](#page-2-1).

¹In theory, we require that $\alpha_1 \text{EMB}_{\phi}(\mathbf{x}) = 0$ to ensure that the prior loss is equal zero.

Figure 2: Training procedure of CATDM. Categorical data x is mapped into continuous embeddings $EMB_{\phi}(\mathbf{x})$. We add the consistency-matching loss \mathcal{L}_{CM} to mitigate emebdding collapse.

180 181 182 183 184 185 186 187 188 This simple model is referred to as CSDM. After training, we then evaluate the dimensionality of the latent space by analyzing the embeddings from 10,000 data examples. Each embedding vector $\text{EMB}_{\phi}(\mathbf{x})$ has a size of 65,536 in the latent space. We compute the variance of the embeddings along each dimension in sorted order. Figure [1](#page-2-2) illustrates the comparison between CSDM and our method CATDM (see Sections [4](#page-3-0) and [5\)](#page-5-1). For CSDM, most singular values are zero and the variances are nearly zero. This indicates that the embeddings have collapsed into constant vectors with no variance. In contrast, CATDM produces more diverse embeddings. Note that we use the FFHQ dataset for illustration, but similar results are consistently observed on other datasets such as LSUN Churches, LSUN Bedrooms, and ImageNet. Based on these observations, we suggest the following primary reasons for the embedding collapse.

- (i) The reconstruction loss does not provide enough learning feedback. Although it penalizes embeddings that are overly similar, this penalization is constrained by the small Gaussian perturbation σ_0 during the transition from $\text{EMB}_{\phi}(\mathbf{x})$ to \mathbf{z}_0 . This is evidenced by the crossentropy loss being nearly zero only around $t = 0$.
- (ii) The coeficient terms in the diffusion loss encourages constant embeddings. As t approaches zero, $-0.5SNR'(t)$ exerts a strong penalization, leading the predictive network to generate constant embeddings as a means to rapidly minimize the diffusion loss. In our ablation studies, we show that a right balance in the objective can help to avoid embedding collapse.

198 199 200 201 202 203 204 205 206 207 208 209 Why is embedding collapse undesirable? One of the key strengths of diffusion models is their ability to enable progressive generation [\(Ho et al.,](#page-11-0) [2020\)](#page-11-0). However, when embeddings become too similar or collapse, this progressive generation is no longer guaranteed. To illustrate this, we use the cross-entropy loss $\mathbb{E}_{\mathbf{x} \sim P_{data}(\mathbf{x})}[-\log P_{\theta}(\mathbf{x}|\mathbf{z}_t;t)]$ to measure the uncertainty in distinguishing the true embedding from others. As depicted in Figure [1,](#page-2-2) the cross-entropy loss for CSDM is low around $t = 0$ but increases rapidly as t arises. The model has a limited time window to generate the global structure of a meaningful embedding, which is required in progressive generation. This indicates that CSDM suffers from an uneven distribution of model capacity. In contrast, for CATDM, the loss gradually increases over time, ensuring that each sampling step equally contributes to resolving uncertainty and facilitating progressive generation. Although the negative VLB values for both models are close (4.83 for CSDM and 4.30 for CATDM), CATDM is preferable due to its ability to support progressive generation.

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4 CONSISTENCY MATCHING FOR MITIGATING EMBEDDING COLLAPSE

213 214 215 Considering EMB_{ϕ}(x) as clean data in the continuous space, the evolution of EMB_{ϕ}(x) over time can be described by the probability flow ordinary differential equation (PF ODE) [\(Song et al.,](#page-13-0) [2020b\)](#page-13-0). This PF ODE allows a deterministic bijection between the embedings $EMB_{\phi}(\mathbf{x})$ and latent representations z_t . Intuitively, a random noise perturbation z_t of $\text{EMB}_{\phi}(x)$ and its relatively nearby

216 217 218 point z_s along the same trajectory should yield nearly the same prediction. To ensure these consistent outputs for arbitrary z_t , we propose the *Consistency-Matching* (CM) loss

$$
\mathcal{L}_{\text{CM}}(\mathbf{x};\boldsymbol{\phi},\boldsymbol{\theta}) = \mathbb{E}_{\boldsymbol{\epsilon}\sim\mathcal{N}(0,\mathbf{I}),t\sim\mathcal{U}(0,1),s\sim\mathcal{U}(0,t)} \left[D_{\text{KL}} \big(P_{\overline{\boldsymbol{\theta}}}(\tilde{\mathbf{x}}|\mathbf{z}_s;s) \| P_{\boldsymbol{\theta}}(\tilde{\mathbf{x}}|\mathbf{z}_t;t) \big) \right],\tag{4}
$$

where $\vec{\theta}$ denotes the exponential moving average (EMA) $\vec{\theta} \leftarrow$ stopgrad $(\eta \vec{\theta} + (1 - \eta)\theta)$ with stop gradient and a rate of $\eta \ge 0$. Here, z_t is obtained by perturbing $\text{EMB}_{\phi}(\mathbf{x})$ to the noise level t, which corresponds to the kernel $q_{\phi}(\mathbf{z}_t|\mathbf{x})$. In our experiment, we simply use the DDIM sampler [\(Song et al.,](#page-13-5) $2020a$) to sample from z_t to z_s . Under variance preserving settings, it is computed as

$$
\mathbf{z}_s = \alpha_s \text{EMB}_{\boldsymbol{\phi}}(\mathbf{x}) + (\sigma_s/\sigma_t)(\mathbf{z}_t - \alpha_t \text{EMB}_{\boldsymbol{\phi}}(\mathbf{x})).
$$

In the following sections, we establish connections between the proposed CM regularizer and existing literature, and demonstrate the theoretical implications of perfectly minimizing the CM objective.

4.1 CONNECTION OF CM REGULARIZER TO EXISTING WORKS

When the data distribution $P_{data}(\mathbf{x})$ is continuous, Eq. [\(4\)](#page-4-1) recovers the consistency training objective in CSDMs [\(Song et al.,](#page-13-1) [2023;](#page-13-1) [Kim et al.,](#page-11-3) [2023;](#page-11-3) [Lai et al.,](#page-12-4) [2023b\)](#page-12-4), which matches clean predictions from models along the same sampling PF ODE trajectory. Specifically, for any noisy sample z_t at time t, $P_{\theta}(\tilde{\mathbf{x}}|\mathbf{z}_t;t)$ serves as a deterministic consistency function [\(Song et al.,](#page-13-1) [2023\)](#page-13-1) $\mathbf{h}_{\theta}(\mathbf{z}_t;t)$ predicting the clean sample at time 0 from z_t , regarded as a normal distribution centered around $h_{\theta}(z_t;t)$ with small variance. Thus, using the closed-form KL divergence of two normal distributions, Eq. [\(4\)](#page-4-1) becomes:

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$$
\mathcal{L}_{\text{CM}}(\mathbf{x};\boldsymbol{\phi},\boldsymbol{\theta}) \propto \mathbb{E}_{\boldsymbol{\epsilon}\sim\mathcal{N}(0,\boldsymbol{I}),t\sim\mathcal{U}(0,1),s\sim\mathcal{U}(0,t)}\left[\left\|\boldsymbol{h}_{\boldsymbol{\overline{\theta}}}(\mathbf{z}_s;s)-\boldsymbol{h}_{\boldsymbol{\theta}}(\mathbf{z}_t;t)\right\|_2^2\right]\,,
$$

242 243 which coincides with objective proposed in [\(Song et al.,](#page-13-1) [2023;](#page-13-1) [Kim et al.,](#page-11-3) [2023\)](#page-11-3). Here, \propto denotes the omission of multiplicative or additive constants that are independent of the training parameters.

4.2 CM REGULARIZER HELPS LEARN TRUE DATA DISTRIBUTION

247 248 249 250 251 252 253 When the timesteps t are small, the model learns the true categorical distribution through the reconstruction loss. As training progresses, this consistency is propagated to later timesteps, eventually reaching $t = 1$. In other words, the consistency-matching loss encourages the probability distributions of x in neighboring latent variables to converge. Once the model is fully trained, it consistently produces the same probability distribution for the clean data across the entire trajectory. Since the reconstruction loss enforces the mapping from the embedding space back to categorical data, the learning signal is propagated through the entire ODE trajectory.

254 255 256 Below, we reinforce this intuition by theoretically demonstrating that with the CM regularizer, the true data distribution can be learned, provided that the model prediction $P_{\theta}(\mathbf{x}|\mathbf{z}_t;t)$ can perfectly reconstruct categorical data at $t = 0$ using the following reconstruction loss function:

$$
\mathcal{L}_0(\mathbf{x}; \boldsymbol{\phi}, \boldsymbol{\theta}) = \mathbb{E}_{\boldsymbol{\epsilon} \sim \mathcal{N}(0, \boldsymbol{I})} \left[-\log P_{\boldsymbol{\theta}}(\mathbf{x} | \mathbf{z}_0; 0) \right].
$$

259 260 It is important to note that this condition prevents the trivial solution, where $P_{\theta}(\mathbf{x}|\mathbf{z}_t;t)$ remains constant, from occurring during training.

Theorem 1 (The CM regularizer facilitates learning of the true data density). Let (ϕ^*, θ^*) be the *optimal parameters such that*

$$
\mathbb{E}_{\mathbf{x} \sim P_{\text{data}}}[\mathcal{L}_{CM}(\mathbf{x}; \boldsymbol{\phi}^*, \boldsymbol{\theta}^*)] = 0 \quad \text{and} \quad \mathbb{E}_{\mathbf{x} \sim P_{\text{data}}}[\mathcal{L}_0(\mathbf{x}; \boldsymbol{\phi}^*, \boldsymbol{\theta}^*)] = 0.
$$

266 $Suppose$ that $\mathbb{E}_{\mathbf{x} \sim P_{\text{data}}} \left[\|\text{EMB}_{\boldsymbol{\phi}^*}(\mathbf{x})\|^2 \right] < \infty$. Then, it follows that $P_{\boldsymbol{\phi}^*, \boldsymbol{\theta}^*} = P_{\text{data}}$.

268 269 Theorem [1](#page-4-0) has an important implication. It indicates that we can accurately learn the true distribution of the data. Although it is less likely to reach the global optimum in practice, we empirically show that consistency-matching loss tends to achieve a solution with fewer sampling steps (see Appendix [F\)](#page-18-0).

270 271 4.3 CM REGULARIZER REDUCES CROSS-ENTROPY OF PREDICTIONS ACROSS ANY TIME

272 273 In [\(Gao et al.,](#page-11-5) [2024;](#page-11-5) [Dieleman et al.,](#page-10-5) [2022\)](#page-10-5), it is suggested that employing the cross-entropy loss: $\mathcal{L}_{\text{CE}}(\mathbf{x}; \boldsymbol{\phi}, \boldsymbol{\theta}) = \mathbb{E}_{\mathbf{z}_t, t \sim \mathcal{U}(0,1)} \left[-\log P_{\boldsymbol{\theta}}(\mathbf{x}|\mathbf{z}_t;t) \right],$

274 275 276 277 as a regularizer during the joint training of the embedding and diffusion model can help mitigate embedding collapse, although without a rigorous guarantee. The intuition behind this approach is that there is a discrepancy between the predicted embeddings, which arises from the prediction error of the denoising model. The cross-entropy loss aims to compensate for this discrepancy.

278 279 280 Below, we establish a theoretical connection at the optimal point between our CM regularizer and the cross-entropy regularizer used during training:

281 Proposition 2. Let (ϕ^*, θ^*) be optimal parameters such that:

 $\mathbb{E}_{\mathbf{x} \sim P_{\text{data}}}[\mathcal{L}_{\text{CM}}(\mathbf{x}; \boldsymbol{\phi}^*, \boldsymbol{\theta}^*)] = 0$ *and* $\mathbb{E}_{\mathbf{x} \sim P_{\text{data}}}[\mathcal{L}_0(\mathbf{x}; \boldsymbol{\phi}^*, \boldsymbol{\theta}^*)] = 0$. *Then,* $\mathbb{E}_{\mathbf{x} \sim P_{\text{data}}} [\mathcal{L}_{\text{CE}}(\mathbf{x}; \boldsymbol{\phi}^*, \boldsymbol{\theta}^*)] = 0.$

Proposition [2](#page-5-0) and Theorem [1](#page-4-0) suggest that minimizing the proposed CM regularizer offers a more direct way to learning the true data distribution, avoiding embedding collapse. In practice, training with \mathcal{L}_{CM} consistently outperforms \mathcal{L}_{CE} even with few sampling steps (see Table [10](#page-20-0) in Appendix).

5 ADDITIONAL TECHNIQUES FOR MITIGATING EMBEDDING COLLAPSE

This section introduces several techniques to further mitigate the embedding collapse issue in training the embedding and the denoising model. Comprehensive ablation studies are provided in Tables [1](#page-6-1) and [7.](#page-9-0) An overview of CATDM is given in Figure [2.](#page-3-1)

5.1 WEIGHTING FUNCTION

296 297 298 Although $-SNR(t)'$ in Eq. [\(3\)](#page-2-1) provides the correct scaling to treat the VLB as an Evidence Lower Bound, we suspect this weighting function may disrupt the balance between training the reconstruction loss and diffusion loss in practice. Instead of minimizing the diffusion loss, we simplify it as

$$
\mathcal{L}_{\text{DM}}(\mathbf{x}; \boldsymbol{\phi}, \boldsymbol{\theta}) = \mathbb{E}_{\boldsymbol{\epsilon} \sim \mathcal{N}(0, \boldsymbol{I}), t \sim \mathcal{U}(0, 1)} \left[\|\text{EMB}_{\boldsymbol{\phi}}(\mathbf{x}) - \widehat{\text{EMB}}_{\boldsymbol{\phi}, \boldsymbol{\theta}}(\mathbf{z}_t; t)\|_2^2 \right].
$$

300 301 302 Essentially, we ensure that the loss is evenly distributed over different timesteps. The rationale is that alleviating the error in a large noise level can help the model avoid constant embeddings.

303 Puting it all together, the overall objective function of CATDM is given by

min $\bm{\phi}, \bm{\theta}$ $\mathbb{E}_{\mathbf{x} \sim P_{\text{data}}}[\mathcal{L}(\mathbf{x}; \boldsymbol{\phi}, \boldsymbol{\theta})] = \mathbb{E}_{\mathbf{x} \sim P_{\text{data}}}[\mathcal{L}_0(\mathbf{x}; \boldsymbol{\phi}, \boldsymbol{\theta}) + \beta_{\text{DM}} \mathcal{L}_{\text{DM}}(\mathbf{x}; \boldsymbol{\phi}, \boldsymbol{\theta}) + \beta_{\text{CM}} \mathcal{L}_{\text{CM}}(\mathbf{x}; \boldsymbol{\phi}, \boldsymbol{\theta})],$

305 306 307 where $\beta_{\rm DM} \ge 0$ and $\beta_{\rm CM} \ge 0$ are hyperparameters. By tuning $\beta_{\rm DM}$, we can find the right balance between $\mathcal{L}_0(\mathbf{x}; \boldsymbol{\phi}, \boldsymbol{\theta})$ and $\mathcal{L}_{DM}(\mathbf{x}; \boldsymbol{\phi}, \boldsymbol{\theta})$.

308 309 5.2 NOISE SCHEDULE

310 311 312 313 314 315 Determining the right amount of noise added to the embeddings in each timestep can play an important role in both the forward and reverse processes of CATDM. If the embedding norms are large, denoising would be a trivial task for low noise levels. This is not desired because the denoising model has only a small time window to generate the global structure of the meaningful embedding. Instead, we note that adjusting the noise schedule (NS) by shifting its log SNR curve [\(Hoogeboom](#page-11-9) [et al.,](#page-11-9) [2023\)](#page-11-9) is crucial. In particular, we use the shifted cosine noise schedule, defined as

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$$
\log \text{SNR}(t) = -2 \log \tan(\pi t/2) + s,
$$

317 318 319 320 321 322 where $s \in \mathbb{R}$ is the shifting hyperparameter. When $s = 0$, it corresponds to the cosine noise schedule [\(Nichol & Dhariwal,](#page-12-9) [2021\)](#page-12-9). Essentially, NS implies different weights in the diffusion loss per noise level [\(Kingma & Gao,](#page-12-10) [2023\)](#page-12-10). As illustrated in Figure [3,](#page-6-2) by moving the curve to the left, it gives more importance for higher degrees of noise. Adjusting NS also increases the speed of noise injection in the forward process when $t \approx 0$, making the generation task more challenging for the model to prevent trivial generation and avoid embedding collapse.

5.3 RANDOM DROPPING

324 325 326 Table 1: Results of ablation studies on the FFHQ dataset.

Figure 4: CATDM samples on unconditional image generation.

337 338 339 340 341 342 343 344 345 346 347 348 349 350 Given the noised embeddings, \mathcal{L}_{CM} used in our training objective ensures the same prediction for the posterior probability $P_{\theta}(\tilde{\mathbf{x}}|\mathbf{z}_t;t)$ at any timestep. During joint training, it encourages the model to distinguish the embeddings by increasing their parameter magnitudes. To alleviate this problem, we propose to randomly drop the embeddings. Let $m_{\text{RD}} \in \{0, 1\}^M$ denote a binary mask that indicates which tokens are replaced with a special [mask] token. During training, embeddings of x become $\text{EMB}_{\phi}(\mathbf{x} \odot \mathbf{m}_{\text{RD}})$. Random dropping forces the representations to be more semantic, i.e., similar embeddings should be close to each other [\(He et al.,](#page-11-10) [2022\)](#page-11-10). This is because only a portion of the embeddings is used to predict the other tokens. It requires the model to understand the relationship between seen and unseen tokens. When

Figure 3: Shifted cosine noise schedule with different shifting factors s, $\lambda(t)$ = $\log SNR(t)$.

similar tokens frequently appear in similar contexts, the model learns to associate these tokens closely in the embedding space, as their contextual meanings are similar.

6 EXPERIMENTS

In this section, we assess the performance of CATDM across several benchmark datasets, covering tasks such as image generation, text generation, and machine translation. We also present comprehensive ablation studies to analyze CATDM's performance. Details of the experimental setup are provided in Appendix [B.](#page-15-0)

6.1 IMAGE GENERATION

We present experiments covering both conditional and unconditional image generation tasks.

365 366 367 368 369 370 371 Datasets. For unconditional generation tasks, our benchmark consists of three datasets: FFHQ [\(Karras](#page-11-11) [et al.,](#page-11-11) [2019\)](#page-11-11), LSUN Bedrooms, and LSUN Churches [\(Yu et al.,](#page-13-6) [2015\)](#page-13-6). The FFHQ dataset contains 70K examples of human faces, while the LSUN Bedrooms dataset contains 3M images of bedrooms, and the LSUN Church dataset contains 126K images of churches. For conditional generation tasks, we use ImageNet [\(Deng et al.,](#page-10-6) [2009\)](#page-10-6). These datasets are widely used in the literature. All images have a resolution of 256×256 and VQGAN [\(Esser et al.,](#page-11-8) [2021\)](#page-11-8) is used to further downsample the images into discrete representations of 16×16 with a codebook of size 1024.

372 373 374 375 376 377 Baselines. We evaluate CATDM against several baselines, including D3PM with uniform transition probabilities [\(Austin et al.,](#page-10-3) [2021\)](#page-10-3), VQ-Diffusion [\(Gu et al.,](#page-11-12) [2022\)](#page-11-12), and MaskGIT [Chang et al.](#page-10-7) [\(2022\)](#page-10-7). Additionally, we include results for CSDM using fixed embeddings (CSDM†), where embeddings are initialized from the pretrained VQGAN codebook and remain fixed throughout training. For evaluation, we report the Fréchet Inception Distance (FID) between 50,000 generated images and real images. We also provide performance metrics in terms of Precision and Recall. For conditional image generation, we use the Inception Score (IS) as an additional metric to measure the image quality.

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Table 2: Results for unconditional generation on FFHQ, LSUN Churches, and LSUN Bedrooms. The scores of FID, Precision, and Recall are shown. The **best** and second best results are marked.

391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 Results. Table [2](#page-7-0) presents the results on unconditional image generation. CATDM consistently achieves the lowest FID scores. Furthermore, we investigate the impact of using pretrained embeddings in CSDM[†] and demonstrate that while it yields satisfactory results, employing trainable embeddings significantly enhances performance. On LSUN Bedrooms, CATDM outperforms the baseline methods by a substantial margin, achieving the highest Precision and Recall scores. These findings underline the superiority of CATDM in generating high-quality samples. The observed improvements in our method compared to discrete diffusion baselines confirm that continuous diffusion models can provide an effective solution for categorical data. Figure [4](#page-6-1) illustrates samples generated by CATDM. For additional reference samples generated by CATDM, please refer to Appendix [G.](#page-20-1) Table [3](#page-7-1) presents the results for class-conditional image generation tasks. Our method achieves a FID of 6.81 and an IS of 225.31 with 50 sampling steps. CATDM notably outperforms both VQGAN and VQVAE-2 by a substantial margin. Compared to MaskGIT, CATDM provides competitive FID results and exceeds in IS. However, it is important to note, as highlighted by Besnier $\&$ Chen [\(2023\)](#page-10-8), that MaskGIT requires specific sampling adjustments, such as adding Gumbel noise with a linear decay, to improve its FID. In contrast, CATDM operates without such sampling heuristics. In addtiion, CATDM performs better than VQ-Diffusion in both FID and IS metrics. For reference samples generated by CATDM, please refer to Appendix [G.](#page-20-1)

408 409 Table 3: Comparison with generative models on ImageNet 256×256 . The results of the existing methods are obtained from their respective published works.

Model	# params	$#$ steps	FID.	IS^	Prec. \uparrow	$Rec. \uparrow$
VQGAN (Esser et al., 2021)	1.4B	256	15.78	74.3	n/a	n/a
MaskGIT (Chang et al., 2022)	227M	8	6.18	182.1	0.80	0.51
VOVAE-2(Razavi et al., 2019)	13.5B	5120	31.11	45.00	0.36	0.57
BigGAN-deep (Brock et al., 2019)	160M		6.95	198.2	0.87	0.28
Improved DDPM (Nichol & Dhariwal, 2021)	280M	250	12.26	n/a	0.70	0.62
VO-Diffusion (Gu et al., 2022)	518M	100	11.89	n/a	n/a	n/a
CATDM (ours)	246M	50	6.81	225.31	0.84	0.38

6.2 TEXT GENERATION

421 422 We evaluate CATDM on unconditional text generation, where the objective is to generate text without any predefined themes or prompts, using a training corpus as the basis for learning.

423 424 425 426 Datasets. We train CATDM on text8 [\(Mikolov et al.,](#page-12-12) [2014\)](#page-12-12), a character-level language modeling benchmark. The text8 dataset consists of Wikipedia articles with a small vocabulary of 26 letters and a whitespace token. Following [\(Lou et al.,](#page-12-6) [2023;](#page-12-6) [Austin et al.,](#page-10-3) [2021\)](#page-10-3), we use the same data split and parameterize CATDM on a neural network of similar size.

427 428 429 430 Baselines. CATDM is compared against autoregressive, random-order autoregressive, and other diffusion-based models. Unless otherwise specified, all models are implemented as standard 12-layer transformers. Following [\(Austin et al.,](#page-10-3) [2021\)](#page-10-3), we report performance using bits per character (BPC).

431 Results. Table [4](#page-8-0) shows the results. Autoregressive models, including Discrete Flow [\(Tran et al.,](#page-13-7) [2019\)](#page-13-7) and Transformer AR [\(Austin et al.,](#page-10-3) [2021\)](#page-10-3), achieve the best BPC. CATDM outperforms Multinomial

432 433 Table 4: Bits per character (BPC) on Text8. (*) Results reported by [Shi et al.](#page-12-13) [\(2024\)](#page-12-13).

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Table 5: Machine translation results. We do not use knowledge distillation. (*) Results obtained by rerunning the code.

455 456 457 458 Diffusion [\(Hoogeboom et al.,](#page-11-4) [2021b\)](#page-11-4) and D3PM Uniform [\(Austin et al.,](#page-10-3) [2021\)](#page-10-3). However, its performance is inferior to that of Plaid [\(Gulrajani & Hashimoto,](#page-11-7) [2024\)](#page-11-7) and SEDD [\(Lou et al.,](#page-12-6) [2023\)](#page-12-6). his discrepancy may be attributed to the fact that CATDM is not specifically designed to maximize the log-likelihood objective. Please refer to Appendix [G](#page-20-1) for reference sentences generated by CATDM.

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6.3 MACHINE TRANSLATION

463 464 Significant efforts have been made to apply non-autoregressive iterative refinement models to the task of machine translation. This section explores the application of CATDM for machine translation.

465 466 467 468 469 470 Datasets. We consider two standard datasets, including IWSLT14 German-English (IWSLT14 De-En) [\(Cettolo et al.,](#page-10-10) [2014\)](#page-10-10) and WMT14 English-German (WMT14 En-De) [\(Bojar et al.,](#page-10-11) [2014\)](#page-10-11). These datasets are the most popular and widely used benchmarks for evaluating a machine translation system. IWSLT14 De-En consists of transcripts from the TED talks, which are relatively informal, spoken language. WMT14 En-De consists of sentences collected from a variety of sources, including formal as well as less structured text.

471 472 473 474 475 476 477 478 479 480 Baselines. We compare CATDM with the autoregressive Transformer [\(Vaswani et al.,](#page-13-9) [2017\)](#page-13-9) and other recent diffusion models, including Difformer [\(Gao et al.,](#page-11-5) [2024\)](#page-11-5), Multinomial [\(Hoogeboom et al.,](#page-11-4) [2021b\)](#page-11-4), Diffusion-LM [\(Li et al.,](#page-12-1) [2022\)](#page-12-1), CDCD [\(Dieleman et al.,](#page-10-5) [2022\)](#page-10-5), DiffuSeq [\(Gong et al.,](#page-11-15) [2022\)](#page-11-15), and SeqDiffuSeq [Yuan et al.](#page-13-10) [\(2022\)](#page-13-10). For a fair comparison, we do not adopt any sequence-level knowledge distillation to distill the original training set. For Difformer, we rerun the code provided by the corresponding author. For CATDM, we use the same architecture and setup as that of Difformer, which consists of an encoder-decoder architecture with two distinct Transformer stacks. Unlike autoregresive models where the sequence length is modeled by the EOS token, we explicitly predict the target length using the encoder output. The BLEU score is reported to evaluate our machine translation models.

481 482 483 484 485 Results. All results, except Difformer and CATDM, were taken from previous studies. As shown in Table [5,](#page-8-0) CATDM achieves the best results among non-autogressive models, with a BLEU score of 29.61 on on IWSLT14 De-En and 21.67 on WMT14 En-De. Overall, CATDM underperforms compared to the autoregressive model of the same size. This outcome aligns with previous findings [\(Dieleman et al.,](#page-10-5) [2022\)](#page-10-5), which can be attributed to the fact that non-autoregressive models are not trained to handle repeated or missing tokens.

486 487 6.4 ABLATION STUDIES

488 In this section, we conduct various ablation studies. For additional analysis, please see Appendix [F.](#page-18-0)

489 490 491 492 493 494 495 496 497 498 499 500 501 502 Effects of different components. We investigate the impact of individual components introduced in CATDM on overall performance. The results are presented in Table [1.](#page-6-1) The baseline method, CSDM, is unable to generate meaningful images. While incorporating an ℓ_2 -norm regularization on the embeddings provides some improvement, it does not completely resolve the collapse issue. CATDM (incorporating our novel components \mathcal{L}_{CM} + NS + RD) achieves the best performance. Without RD, the model produces inferior results. Removing NS leads to notable performance degradation. On the other hand, omitting \mathcal{L}_{CM} results in embedding collapse. These findings highlight the essential role of each component in mitigating the embedding collapse and improving overall performance.

Table 7: Results on different dropping strategies: "linear" means increasing the dropping ratio linearly, "rand drop" uses a random dropping ratio; and "rand (γ) " uses a fixed dropping ratio of γ , where $0 \leq \gamma \leq 1$.

503 504 505 Number of sampling steps. We analyze the number of steps necessary to obtain high-fidelity samples. Table [6](#page-8-0) presents the FID scores corresponding to different num-

506 507 508 509 bers of sampling steps. As expected, we observe a decrease in FID as the number of sampling steps increases. However, the improvement becomes marginal after reaching 50 steps. CATDM can accelerate the conventional diffusion models by a large margin, which is a notable advantage compared to ARs.

510 511 512 513 514 515 516 Dropping strategies. We explore various approaches to drop tokens during training. Three distinct strategies are considered. One strategy involves linearly increasing the dropping ratio concerning the time step (linear). In this scheme, early steps involve a small portion of tokens being dropped, while in later steps, a higher proportion of tokens are dropped. Another strategy is to randomly select a ratio and drop the tokens according to this ratio (**rand_drop**). Alternatively, a fixed dropping ratio can be employed (rand(.)). Table [7](#page-9-0) summarizes the results. CATDM performs the best with an appropriately chosen fixed dropping ratio.

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7 CONCLUSION

520 521 522 523 524 525 This paper introduces CATDM, a continuous diffusion model tailored for modeling categorical distributions, which jointly learns the embeddings and the denoising model. CATDM effectively addresses the issue of embedding collapse. We provide an empirical analysis of this phenomenon, identifying two key mechanisms responsible for collapse: insufficient feedback from the reconstruction loss and the diffusion loss that promotes constant embeddings. Experimental results show that CATDM not only alleviates the embedding collapse problem, but also exceeds the baseline diffusion models.

526 527 528 529 530 Limitations and future work. In this work, CATDM is implemented using the Transformer architecture, but we emphasize that the architecture choice is orthogonal to the proposed framework and can be extended to other architectures. While we have thoroughly evaluated CATDM on image and text generation tasks, future work will focus on applying it to additional data types, such as graphs and audio. Furthermore, we plan to explore more advanced sampling techniques to improve the overall generation quality of CATDM.

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533 ETHICS STATEMENT

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535 536 537 538 539 In conducting AI research focused on developing a diffusion model that addresses the problem of embedding collapse, we are committed to the ethical standards. Our work aims to advance the field of machine learning by improving model robustness. However, we recognize the potential ethical concerns related to the broader societal implications of this work. We acknowledge that AI models can have significant impacts when applied in real-world scenarios. Therefore, we ensure that our research contributes positively to society and does not cause harm.

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Appendix

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A RELATED WORK

789 790 791 792 793 794 795 While autoregressive models (ARs) [\(Bengio et al.,](#page-10-12) [2000;](#page-10-12) [Brown et al.,](#page-10-13) [2020\)](#page-10-13) appear to dominate categorical data modeling, generating samples from these models incurs significant computational costs. Moreover, controlling these models is challenging because the generation order has to be predetermined [\(Lou et al.,](#page-12-6) [2023\)](#page-12-6), making them unsuitable for control tasks such as infilling tasks. In contrast, diffusion models predict all tokens simultaneously, allowing efficient and rapid sampling without the sequential attention mechanism of ARs. Below, we present an overview of diffusion models specifically tailored for handling categorical data.

796 797 798 799 800 801 802 803 804 805 806 DSDMs. The idea is to establish a similar iterative refinement process for categorical data. The corruption process involves transitioning discrete values from one to another. This concept was initially introduced by [Sohl-Dickstein et al.](#page-12-0) [\(2015\)](#page-12-0) for binary sequence problems. Later, it was extended in multinomial diffusion [\(Hoogeboom et al.,](#page-11-4) [2021b\)](#page-11-4). [Austin et al.](#page-10-3) [\(2021\)](#page-10-3) improved discrete diffusion by introducing diverse corruption processes, going beyond uniform transition. Based on the former framework, several extensions have been introduced for image modeling, e.g., MaskGIT [\(Chang et al.,](#page-10-7) [2022\)](#page-12-15), VQ-Diffusion [\(Gu et al.,](#page-11-12) 2022), Token-Critic [\(Lezama et al.,](#page-12-15) 2022), Muse [\(Chang et al.,](#page-10-14) [2023\)](#page-10-14), and Paella [\(Rampas et al.,](#page-12-16) [2022\)](#page-12-16). Additionally, [Campbell et al.](#page-10-4) [\(2022\)](#page-10-4) utilized Continuous Time Markov Chains for discrete diffusion. Despite their initial success, the corruptions introduced by these methods are characterized by their coarse-grained nature, making them inadequate for effectively modeling the semantic correlations between tokens.

807 808 809 CSDMs. [Li et al.](#page-12-1) [\(2022\)](#page-12-1) addressed the challenge of controlling language models (LMs) with Diffusion-LM, a non-autoregressive language model based on continuous diffusion. A similar idea has been introduced in SED [\(Strudel et al.,](#page-13-4) [2022\)](#page-13-4), DiNoiSer [\(Ye et al.,](#page-13-11) [2023\)](#page-13-11), CDCD [\(Dieleman et al.,](#page-10-5) [2022\)](#page-10-5), Bit Diffusion [\(Chen et al.,](#page-10-1) [2022\)](#page-10-1), Plaid [\(Gulrajani & Hashimoto,](#page-11-7) [2024\)](#page-11-7), and Difformer [\(Gao](#page-11-5)

810 811 812 813 814 815 [et al.,](#page-11-5) [2024\)](#page-11-5). Recently, [Meng et al.](#page-12-17) [\(2022\)](#page-12-17); [Lou et al.](#page-12-6) [\(2023\)](#page-12-6) proposed an alternative concrete score function for discrete settings, which captures the surrogate "gradient" information within discrete spaces. However, the challenge of end-to-end training for both embeddings and CSDMs has not been fully addressed in these methods. To avoid embedding collapse, existing techniques either normalize the embeddings [\(Dieleman et al.,](#page-10-5) [2022\)](#page-12-1) or use heuristic methods [\(Li et al.,](#page-12-1) 2022), which are not generally effective and may lead to training instability [\(Dieleman et al.,](#page-10-5) [2022;](#page-10-5) [Strudel et al.,](#page-13-4) [2022\)](#page-13-4).

B EXPERIMENTAL SETUP

819 820 822 823 This section provides architecture details for the denoising model and datasets used in our experiments. Unless specified otherwise, we set the hyperparameters to $\beta_{CM} = 1$ and $\beta_{DM} = 0.005$. For embeddings, we use Gaussian initialization $\mathcal{N}(0, D^{-1/2})$. The EMA rate is set to $\eta = 0.99$ and the embedding dimensionality is set to $D = 256$.

B.1 IMAGE GENERATION

826 827 828 829 830 831 832 Our prediction network is a bidirectional Transformer [\(Vaswani et al.,](#page-13-9) [2017\)](#page-13-9). For unconditional generation tasks, the network consists of 15 layers, 8 attention heads, and 512 embedding dimensions (a total of 56M parameters). We apply a dropout rate of 0.1 to the self-attention layers. All models are trained on 4 NVIDIA DGX H100 GPUs with a batch size of 128. The LSUN Bedrooms dataset and FFHQ dataset are trained for 500 epochs, while the LSUN Churches dataset is trained for 100 epochs. For Transformer, we use sinusoidal positional embeddings. To make a fair comparison, all models in Section [6.1](#page-6-0) for unconditional image generation are configured with 200 steps for inference.

833 834 835 836 837 For conditional image generation on ImageNet, we scale up the model to 24 layers, 16 attention heads, and 768 embedding dimensions (a total of 246M parameters). We train the model for 500 epochs. Following [Gu et al.](#page-11-12) [\(2022\)](#page-11-12), the conditional class label is injected into the model using Adaptive Layer Normalization [\(Ba et al.,](#page-10-15) [2016\)](#page-10-15) (AdaLN), i.e., AdaLN $(h, t) = (1 + a_t)$ LayerNorm $(h) + b_t$, where h denotes the activation, a_t and b_t are obtained from a linear projection of the class embedding.

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B.2 TEXT GENERATION

841 842 843 844 845 846 We follow the methodologies outlined by [Austin et al.](#page-10-3) [\(2021\)](#page-10-3) and [Lou et al.](#page-12-6) [\(2023\)](#page-12-6) for our network architectures and hyperparameters. More spefically, our transformer network consists of 12 layers with 12 heads and a hidden dimension of 768. The model was trained for 500 epochs of batch size 512 and a learning rate of 3×10^{-4} . For evaluation, the text8 dataset is divided into chunks of length 256 without any preprocessing. Consistent with standard practice, the train/validation/test splits are 90M/5M/5M. The embedding dimensionality is set to 256.

B.3 MACHINE TRANSLATION

Our model is based on the encoder-decoder Transformer archirtecture [\(Vaswani et al.,](#page-13-9) [2017\)](#page-13-9). We adopt fairseq to implement CATDM. Following [\(Gao et al.,](#page-11-5) [2024\)](#page-11-5), we use the transformer-iwslt-de-en configuration for the IWSLT14 De-En dataset and the transformer-base configuration for the WMT14 En-De dataset. The embedding dimension is set to 128. Optimization and learning rate scheduler are the default settings as in [\(Gao et al.,](#page-11-5) [2024\)](#page-11-5). We explicitly model the target length using maximum log-likelihood.

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C ALGORITHM PSEUDOCODE

859 860 861 862 863 Algorithms [1](#page-16-3) and [2](#page-16-3) outline the training and sampling procedures of CATDM. For sampling, we discretize time $t \in [0,1]$ into $N+1$ points $\{t_n\}_{n=0}^N$ such that they satisfy $t_n < t_{n+1}$, $t_0 = 0$, and $t_N = 1$. Starting with Gaussian noise sampled from $z_{t_N} \sim \mathcal{N}(0, I)$, we sample z_0 through the ancestral sampling given by $p_{\phi,\theta}(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n};t_{n-1},t_n)$, which is defined in Eq. [\(1\)](#page-2-3). Finally, the categorical output x is obtained from the model $P_{\theta}(\mathbf{x}|\mathbf{z}_0; 0)$. Note that, unlike CSDMs, our model directly outputs the token probabilities for continuous input z_{t_n} at time step t_n .

Figure 5: Generated samples of CATDM with ω ranging from 0 to 4 on ImageNet.

D LATENT VARIABLE CLASSIFIER-FREE GUIDANCE

It is important to generate images corresponding to a given condition. In CATDM, the condition is incorporated directly into the prediction network through Adaptive Layer Normalization [\(Ba et al.,](#page-10-15) [2016\)](#page-10-15). The assumption here is that the network uses both the corrupted input and the condition to reconstruct the input. However, we often observe that CATDM generates outputs that are not correlated well with the condition. The reason is that the corrupted input contains rich information; therefore, the network can ignore the condition during training.

895 896 897 898 To improve the sample quality of conditional diffusion models, we employ the classifier-free guid-ance [\(Ho & Salimans,](#page-11-16) [2021\)](#page-11-16). Essentially, it guides the sampling trajectories toward higher-density data regions. During training, we randomly drop 10% of the conditions and set the dropped conditions to the null token. During sampling, CATDM predicts the categorical variable x as follows

$$
\log P_{\theta}(\mathbf{x}|\mathbf{z}_t, \mathbf{y}; t) = (1 + \omega) \log P_{\theta}(\mathbf{x}|\mathbf{z}_t, \mathbf{y}; t) - \omega \log P_{\theta}(\mathbf{x}|\mathbf{z}_t; t),
$$
\n(5)

where $\omega \geq 0$ denotes the guidance scale and y denotes the condition. Note that both terms on the right-hand side of Eq. (5) are parameterized by the same model. Figure [5](#page-16-5) shows the effects of increasing the classifier-free guidance scale ω .

E THEORETICAL ANALYSIS

906 907 E.1 PROOF TO PROPOSITION [2](#page-5-0)

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Proof. Since $\overline{\theta^*}$ is a running average of the history of θ^* , it follows that $\overline{\theta^*} = \theta^*$ when $\mathcal{L}_{\rm CM}(\mathbf{x};\phi^*,\pmb{\theta}^*)=0.$ Replacing the exponential moving average stop-gradient $\overline{\pmb{\theta}^*}$ in $\mathcal{L}_{\rm CM}(\mathbf{x};\pmb{\phi}^*,\pmb{\theta}^*)$ with $\dot{\theta}^*$, we have

$$
\mathcal{L}_{\text{CM}}(\mathbf{x};\boldsymbol{\theta}^*,\boldsymbol{\phi}^*)=0 \Leftrightarrow P_{\boldsymbol{\theta}^*}(\tilde{\mathbf{x}}|\mathbf{z}_t;t)=P_{\boldsymbol{\theta}^*}(\tilde{\mathbf{x}}|\mathbf{z}_s;s), \quad \text{for all } \mathbf{z}_t \text{ and } t>s.
$$

912 913 On the other hand, $\mathcal{L}_0(\mathbf{x}; \phi^*, \theta^*) = 0$ implies

one-hot
$$
(\mathbf{x}) = P_{\theta^*}(\tilde{\mathbf{x}}|\mathbf{z}_0;0),
$$
 (6)

915 916 where one hot(x) contains one-hot encoded representations for each element of x. With $s = 0$, we obtain

$$
P_{\theta^*}(\tilde{\mathbf{x}}|\mathbf{z}_t;t) = P_{\theta^*}(\tilde{\mathbf{x}}|\mathbf{z}_0;0). \tag{7}
$$

With Eqs. (6) and (7) , for any x we have $P_{\theta^*}(\tilde{\mathbf{x}}|\mathbf{z}_t;t) = \text{one-hot}(\mathbf{x})$ for all t. This exactly implies $\mathbb{E}_{\mathbf{x} \sim P_{\text{data}}}[\mathcal{L}_{CE}(\mathbf{x}; \boldsymbol{\phi}^*, \boldsymbol{\theta}^*)] = 0.$ E.2 PROOF TO THEOREM [1](#page-4-0) *Proof.* Using the result from Proposition [2,](#page-5-0) $\mathbb{E}_{\mathbf{x} \sim P_{\text{data}}} \mathcal{L}_{\text{CE}}(\mathbf{x}; \phi^*, \theta^*) = 0$, it directly implies that the denoising loss $\mathbb{E}_{\mathbf{x} \sim P_\text{data}} \left[\|\text{EMB}_{\bm{\phi}^*}(\mathbf{x}) - \widehat{\text{EMB}}_{\bm{\phi}^*,\bm{\theta}^*}(\mathbf{z}_t;t) \|^2_2 \right] = 0,$ as the prediction $\widehat{\text{EMB}}_{\phi^*,\theta^*}(\mathbf{z}_t;t)$ is an average over emebddings, defined in Eq. [\(2\)](#page-2-4). Therefore, the following VLB $-\mathbb{E}_{\mathbf{x}\sim P_{\text{data}}} \big[\log P_{\boldsymbol{\phi}^*,\boldsymbol{\theta}^*}(\mathbf{x})\big] \leq \mathbb{E}_{\mathbf{x}\sim P_{\text{data}}} \big[D_{\text{KL}}(q_{\boldsymbol{\phi}^*}(\mathbf{z}_1|\mathbf{x}) || p(\mathbf{z}_1))\big]$ $+ \mathbb{E}_{\mathbf{x} \sim P_{\text{data}}} \left[\mathcal{L}_0(\mathbf{x};\boldsymbol{\phi}^*, \boldsymbol{\theta}^*) \right] + \mathbb{E}_{\mathbf{x} \sim P_{\text{data}}} \left[\mathcal{L}_\infty(\mathbf{x};\boldsymbol{\phi}^*, \boldsymbol{\theta}^*) \right]$ implies $D_{\text{KL}}(P_{\text{data}}||P_{\boldsymbol{\phi}^*,\boldsymbol{\theta}^*}) \leq \mathbb{E}_{\mathbf{x} \sim P_{\text{data}}}[D_{\text{KL}}(q_{\boldsymbol{\phi}^*}(\mathbf{z}_1|\mathbf{x})||p(\mathbf{z}_1))]$. This holds since cross-entropy, entropy, and KL-divergence are non-negative for discrete distributions. We recall that $q_{\boldsymbol{\phi}}(\mathbf{z}_t|\mathbf{x}) = \mathcal{N}(\mathbf{z}_t|\alpha_t \text{EMB}_{\boldsymbol{\phi}}(\mathbf{x}), \sigma_t^2 \mathbf{I})$ and $p(\mathbf{z}_1) = \mathcal{N}(\mathbf{z}_1|\mathbf{0}, \mathbf{I}).$ Using the formula for the KL-divergence of two normal distributions, we have $\mathbb{E}_{\mathbf{x} \sim P_\text{data}}\left[D_{\text{KL}}(q_{\bm{\phi}^*}(\mathbf{z}_1|\mathbf{x})\|p(\mathbf{z}_1))\right] = \frac{1}{2}\mathbb{E}_{\mathbf{x} \sim P_\text{data}}\left[D(\sigma_1^2 - 1 - \log \sigma_1^2) + \frac{\|\alpha_1\text{EMB}_{\bm{\phi}^*}(\mathbf{x})\|^2}{\sigma_1^2}\right]$ $= 0$

as $\sigma_1 = 1$, $\alpha_1 = 0$, and $\mathbb{E}_{\mathbf{x} \sim P_{\text{data}}} [||\text{EMB}_{\phi^*}(\mathbf{x})||^2] < \infty$. Therefore, $P_{\text{data}} = P_{\phi^*, \theta^*}$.

E.3 CONNECTION OF \mathcal{L}_{CE} to diffusion model objective

We show that minimizing \mathcal{L}_{CE} implicitly regularizes KL divergence of transition density in the embedding space.

Proposition 3. Let $x \in \mathcal{X}$ and $z_0 = \text{EMB}_{\phi}(x)$. For any $t \in [0, 1]$ and $z_t \sim q_{\phi}(z_t|x)$, we assume *that* $\log p_{\theta}(\mathbf{z}|\mathbf{z}_t;t)$ *, as a function of* \mathbf{z} *, is smooth and decays rapidly enough as* $\|\mathbf{z}\| \to \infty$ *. For the discretization of timesteps* $1 = t_N > \cdots > t_n \cdots > t_0 = 0$ *, we have*

$$
D_{\text{KL}}(q_{\boldsymbol{\phi}}(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n}, \mathbf{z}_0)||p_{\theta}(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n}; t_{n-1}, t_n)) \leq -\log p_{\theta}(\mathbf{x}|\mathbf{z}_{t_n}; t_n).
$$

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Proof. We first prove the "data-processing-type inequality"

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 $D_{\text{KL}}(\mathbb{E}_{\mathbf{y} \sim Q(\mathbf{y})}[Q(\mathbf{z}|\mathbf{y})] \| \mathbb{E}_{\mathbf{y} \sim P(\mathbf{y})}[Q(\mathbf{z}|\mathbf{y})]) \leq D_{\text{KL}}(Q(\mathbf{z}) \| P(\mathbf{z}))$. (8)

 \Box

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 \Box

972 973 Assume that $P(y) \neq 0$ and $Q(z|y) \neq 0$ almost everywhere for y and z.

 $D_{\text{KL}}\big(\mathbb{E}_{\mathbf{y} \sim Q(\mathbf{y})}[Q(\mathbf{z}|\mathbf{y})] \|\mathbb{E}_{\mathbf{y} \sim P(\mathbf{y})}[Q(\mathbf{z}|\mathbf{y})]\big)$

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 $\frac{\int Q(\mathbf{z}|\mathbf{y})P(\mathbf{y}) d\mathbf{y}}{\int Q(\mathbf{z}|\mathbf{y})P(\mathbf{y}) d\mathbf{y}} d\mathbf{z}$ $=\int \left(\int Q(\mathbf{z}|\mathbf{y}) P(\mathbf{y}) \, \mathrm{d}\mathbf{y} \right) \cdot \frac{\int Q(\mathbf{z}|\mathbf{y}) Q(\mathbf{y}) \, \mathrm{d}\mathbf{y}}{\int Q(\mathbf{z}|\mathbf{y}) P(\mathbf{y}) \, \mathrm{d}\mathbf{y}}$ $\frac{\int Q(\mathbf{z}|\mathbf{y})Q(\mathbf{y}) \, \mathrm{d}\mathbf{y}}{\int Q(\mathbf{z}|\mathbf{y})P(\mathbf{y}) \, \mathrm{d}\mathbf{y}} \cdot \log \frac{\int Q(\mathbf{z}|\mathbf{y})Q(\mathbf{y}) \, \mathrm{d}\mathbf{y}}{\int Q(\mathbf{z}|\mathbf{y})P(\mathbf{y}) \, \mathrm{d}\mathbf{y}}$ $\frac{\int \phi(z|y) \phi(y) dy}{\int Q(z|y) P(y) dy}$ $=\int \left(\int Q(\mathbf{z}|\mathbf{y}) P(\mathbf{y}) \, \mathrm{d}\mathbf{y} \right) \cdot \Psi \left(\frac{\int Q(\mathbf{z}|\mathbf{y}) Q(\mathbf{y}) \, \mathrm{d}\mathbf{y}}{\int Q(\mathbf{z}|\mathbf{y}) P(\mathbf{y}) \, \mathrm{d}\mathbf{y}} \right)$ $\int Q(\mathbf{z}|\mathbf{y})P(\mathbf{y}) \,d\mathbf{y}$ $\big)$ dz $\leq \int \int Q(\mathbf{z}|\mathbf{y}) P(\mathbf{y}) \cdot \frac{Q(\mathbf{z}|\mathbf{y}) Q(\mathbf{y})}{Q(\mathbf{z}|\mathbf{y}) P(\mathbf{y})}$ $\frac{Q(\mathbf{z}|\mathbf{y})Q(\mathbf{y})}{Q(\mathbf{z}|\mathbf{y})P(\mathbf{y})}\cdot \Psi\Big(\frac{Q(\mathbf{z}|\mathbf{y})Q(\mathbf{y})}{Q(\mathbf{z}|\mathbf{y})P(\mathbf{y})}$ $Q(\mathbf{z}|\mathbf{y})P(\mathbf{y})$ $\int d\mathbf{z} d\mathbf{y}$ $=\int\int Q(\mathbf{z}|\mathbf{y})Q(\mathbf{y})\log\frac{Q(\mathbf{z}|\mathbf{y})Q(\mathbf{y})}{Q(\mathbf{z}|\mathbf{y})P(\mathbf{y})}\,\mathrm{d}\mathbf{y}\,\mathrm{d}\mathbf{z}$ $=\int \Big(\int Q(\mathbf{z}|\mathbf{y})\,\mathrm{d}\mathbf{z}\Big)Q(\mathbf{y})\log\frac{Q(\mathbf{y})}{P(\mathbf{y})}\,\mathrm{d}\mathbf{y}$ $= D_{\text{KL}}(Q(\mathbf{y}) || P(\mathbf{y})),$

 $=\int \left(\int Q(\mathbf{z}|\mathbf{y})Q(\mathbf{y}) \, \mathrm{d}\mathbf{y} \right) \cdot \log \frac{\int Q(\mathbf{z}|\mathbf{y})Q(\mathbf{y}) \, \mathrm{d}\mathbf{y}}{\int Q(\mathbf{z}|\mathbf{y})Q(\mathbf{y}) \, \mathrm{d}\mathbf{y}}$

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where the inequality follows from applying Jensen's inequality to the function $\Psi(x) := x \log x$. Now, we let $p_{\sigma}(\hat{\mathbf{z}}_0|\mathbf{z}_0) := \mathcal{N}(\hat{\mathbf{z}}_0|\mathbf{z}_0, \sigma^2\mathbf{I})$ and $q_{\boldsymbol{\phi},\sigma}(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n},\mathbf{z}_0) := \int q(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n},\hat{\mathbf{z}}_0)p_{\sigma}(\hat{\mathbf{z}}_0|\mathbf{z}_0) d\hat{\mathbf{z}}_0$. Recall in (continuous state) diffusion model that

$$
q(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n}) \approx \mathbb{E}_{\hat{\mathbf{z}}_0 \sim p_\theta(\hat{\mathbf{z}}_0|\mathbf{z}_{t_n})}\big[q(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n}, \hat{\mathbf{z}}_0)\big] =: p_\theta(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n}; t_{n-1}, t_n).
$$

By applying (8) , we have

$$
D_{\text{KL}}(q_{\boldsymbol{\phi},\sigma}(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n},\mathbf{z}_0)||p_{\theta}(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n};t_{n-1},t_n))
$$

= $D_{\text{KL}}\left(\int q(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n},\hat{\mathbf{z}}_0)p_{\sigma}(\hat{\mathbf{z}}_0|\mathbf{z}_0) d\hat{\mathbf{z}}_0|| \int q(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n},\hat{\mathbf{z}}_0)p_{\theta}(\hat{\mathbf{z}}_0|\mathbf{z}_{t_n}) d\hat{\mathbf{z}}_0)\right)$
 $\leq D_{\text{KL}}(p_{\sigma}(\hat{\mathbf{z}}_0|\mathbf{z}_0)||p_{\theta}(\hat{\mathbf{z}}_0|\mathbf{z}_{t_n};t_n,0)).$

1003 1004 Therefore, leveraging the lower semi-continuity property of the KL divergence, we obtain

$$
1005 \t\t D_{KL}(q_{\phi}(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n}, \mathbf{z}_0)||p_{\theta}(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n}; t_{n-1}, t_n)) \le \liminf_{\sigma \to 0} D_{KL}(q_{\phi, \sigma}(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n}, \mathbf{z}_0)||p_{\theta}(\mathbf{z}_{t_{n-1}}|\mathbf{z}_{t_n}; t_n, t_{n-1}))
$$

\n
$$
\le \liminf_{\sigma \to 0} D_{KL}(p_{\sigma}(\hat{\mathbf{z}}_0|\mathbf{z}_0)||p_{\theta}(\hat{\mathbf{z}}_0|\mathbf{z}_{t_n}; t_n, 0))
$$

\n
$$
= -\int \log p_{\theta}(\hat{\mathbf{z}}_0|\mathbf{z}_{t_n}) \delta_{\mathbf{z}_0}(\mathrm{d}\hat{\mathbf{z}}_0)
$$

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F ADDITIONAL ABLATION STUDIES

In this section, we provide additional ablation studies to futher validate our motivations of CATDM.

1021 F.1 PRETRAINED VS. LEARNABLE EMBEDDINGS

1023 1024 1025 We evaluate the embedding vectors obtained by CATDM against those provided by the pretrained VQGAN on the LSUN Churches dataset. Figure [6](#page-19-4) presents the magnitudes of these vectors and the distance matrices between embeddings. Interestingly, our method learns a structure that is quite similar to the pretrained embeddings. Learnable embeddings tend to have larger magnitudes.

 Figure 6: Visual representation of pretrained and learnable embedding vectors for the LSUN Churches dataset: (a) vector magnitudes for pretrained embeddings, (b) vector magnitudes for learnable embeddings, (c) distance matrix for pretrained embeddings and (d) distance matrix for learnable embeddings. For distance matrices, we compute the Euclidean distances between different embedding vectors.

 F.2 WEIGHTING TERMS

 We hypothesize that balancing the reconstruction loss and the diffusion loss is crucial to preventing embedding collapse. In CATDM, this is achieved by tuning the hyperparameter $\beta_{\rm DM}$. Table [8](#page-19-5) presents the FID results on FFHQ for various combinations of $\beta_{\rm CM}$ and $\beta_{\rm DM}$. Adjusting these parameters alters the contributions of the diffusion loss and the consistency-matching loss in the objective function. As indicated in the table, when β_{DM} is relatively large, the model still suffers from embedding collapse.

Table 8: Results on FFHQ with different different hyperparameters $\beta_{\rm CM}$ and $\beta_{\rm DM}$.

Table 9: Results on FFHQ when varying the embedding dimensionality.

 F.3 EMBEDDING DIMENSIONALITY

 Table [9](#page-19-5) shows the influence of embedding dimensionality. We report the FID results on FFHQ when varying the embedding dimensionality. CATDM demonstrates consistent performance across various dimensionalities. As the dimensionality increases, the performance slightly decreases. CATDM achieves the best result when $D = 128$.

F.4 COMPARISON BETWEEN CROSS-ENTROPY LOSS AND CONSISTENCY-MATCHING LOSS

 To demonstrate the effectiveness of our proposal, we conduct experiments by replacing the consistency-matching loss $\mathcal{L}_{CM}(\mathbf{x}; \phi, \theta)$ in CATDM with the cross-entropy loss (CATDM-CE), defined as $\mathcal{L}_{CE}(\mathbf{x}; \phi, \theta) = \mathbb{E}_{\epsilon \sim \mathcal{N}(0, I), t \sim \mathcal{U}(0, 1)}[-\log P_{\theta}(\mathbf{x}|\mathbf{z}_t; t)].$ This cross-entropy loss has been used in several works, including Difformer [\(Gao et al.,](#page-11-5) [2024\)](#page-11-5) and CDCD [\(Dieleman et al.,](#page-10-5) [2022\)](#page-10-5), as an additional form of regularization. Table [10](#page-20-0) presents the FID results for uncontional image generation when varying the number of sampling steps. Notably, CATDM consistently outperforms the cross-entropy loss regularization, showing significant improvements, particularly when the number of sampling steps is small.

 F.5 ABLATION STUDIES ON IMAGENET

 We conduct ablation studies on ImageNet to examine the effects of classifier-free guidance weights and the number of sampling steps. Figure $7(a)$ shows the FID and IS metrics across various classifier-

Dataset	Method	Step						
		5	10	15	20	50	100	200
Churches	CATDM	19.38	10.24	7.81	6.80	5.43	5.20	4.99
	CATDM-CE	21.96	11.93	8.90	7.69	5.71	5.22	5.45
Bedrooms	CATDM	14.55	6.05	4.42	4.00	3.86	4.01	4.16
	CATDM-CE	16.69	7.89	6.60	4.81	4.10	4.13	4.50
FFHO	CATDM	28.80	15.55	11.44	9.57	7.56	7.34	7.25
	CATDM-CE	36.57	20.45	14.68	12.14	8.60	7.79	7.60

Table 10: FID results with different numbers of sampling steps.

free guidance weight values. Additionally, Figure $7(b)$ presents the FID and IS results as we vary the number of sampling steps. There is a clear trade-off between fidelity represented by FID and quality represented by IS. CATDM achieves the best FID results when $\omega = 1$.

 Figure 7: Ablation studies for FID vs IS on ImageNet when (a) varying classifier-free guidance weights and (b) varying number of sampling steps.

 G ADDITIONAL SAMPLES

 In this section, we present additional samples generated by CATDM. For unconditional image generation, Figures [8,](#page-23-0) [9,](#page-23-1) and [10](#page-24-0) show the generated samples from CATDM trained on FFHQ, LSUN Churches, and LSUN Bedrooms, respectively. Figure [11](#page-24-1) visualizes the conditional samples from ImageNet. All images are at a resolution of 256×256 . Table [11](#page-21-0) provides examples of translation, while Table [12](#page-22-0) shows samples generated by CATDM trained on the text8 dataset.

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Figure 8: CATDM samples of unconditional image generation on FFHQ.

Figure 9: CATDM samples of unconditional image generation on LSUN Churches.

Figure 10: CATDM samples of unconditional image generation on LSUN Bedrooms.

Figure 11: CATDM samples of conditional image generation on ImageNet 256×256 for selected classes, including "snail", "volcano", "goldfish", "jellyfish", "cheeseburger", "goldfinch".