QUANTRAD: ADVANCING QUANTITATIVE RELIABIL-ITY IN RADIOLOGY REPORT GENERATION WITH CAS-CADED DECODERS

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Abstract

Radiology report generation using artificial intelligence has shown promise in enhancing clinical workflows. However, due to limitations of language modeling loss, existing approaches struggle with quantitative accuracy (e.g., measuring the size of nodules), and lack the ability to produce confidence scores for medical findings, which is crucial for quantitative metrics required by regulatory approval. This paper introduces QuantRad, a novel approach utilizing cascaded decoders to address these challenges in radiology report generation. QuantRad pairs a vision encoder with three decoders that operate sequentially: the first conducts sentence-level topic planning by generating a series of questions, the second recognizes abnormal targets and their quantitative and categorical attributes, and the third generates the final report by answering each question based on the recognized targets. With the dedicated target recognition step, our method integrates the quantitative strength of a perception model to text generation. Specifically, QuantRad recognizes abnormal targets without being biased by language priors, and produces probability scores along with each finding, allowing adjustments of sensitivity for clinical adoption and producing ROC curves for regulatory compliance. Besides, the disentangled topic planning captures the uncertainties in the omission of medical findings and their presentation order, allowing the report generation decoder to be trained with less ambiguity. Our method advances the accuracy and reliability of radiology report generation, offering a promising path for clinical applications and regulatory validation.

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1 INTRODUCTION

Medical imaging is crucial in healthcare, but a worldwide shortage of radiologists, exacerbated by
 high burnout rates, poses significant risks to patient care Ganeshan et al. (2020); Parikh et al. (2020);
 Cao et al. (2023). AI models that generate radiology reports automatically have been attracting
 growing interest. While it takes thirteen to fifteen years to train a radiologist, these AI models could
 alleviate the shortage problem at scale.

041 Radiology Report Generation is typically framed as an image captioning task, with notable progress 042 in recent years. However, the inherent limitations of language modeling loss hinder clinical accuracy, 043 particularly in quantitative aspects. A radiology report should correctly identify abnormalities 044 with accurate attributes. These attributes include quantitative measurements such as nodule sizes (e.g., "2cm") and categorical descriptors such as severity (e.g., "mild"). However, the language modeling loss treats all mismatches equally, failing to prioritize critical factual correctness. Moreover, 046 quantitative measurements are often biased by language patterns in the training data rather than 047 being grounded in the input image. These constraints significantly compromise the clinical reliability 048 of existing models. Compared to text generation models, perception models such as classification and detection models demonstrate superiority in quantitative accuracy. Therefore, this paper aims to integrate the strengths of perception models into radiology report generation, addressing the 051 quantitative limitations of current encoder-decoder text generation models. 052

By using a modified ViT-DET Li et al. (2022) model for recognizing abnormal targets as a set prediction task, we directly supervise the prediction of quantitative and categorical attributes rather



Figure 1: QuantRad pipeline with cascaded decoders. Paired with an image encoder, the first decoder generates a sequence of questions based on the image features. The second decoder recognizes abnormal targets, including their names, attributes, and confidence scores. The third decoder answers each question given the image and recognized targets. The final report is constructed by concatenating all answers. The ground-truth question-answer pairs and abnormal targets are extracted from the report with a private deployment of ChatGPT.

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than generating them through the next-word prediction process of the report. This method enables 074 the production of confidence scores for each medical finding, facilitating sensitivity level adjustments 075 in clinical applications. Crucially, it allows for the generation of quantitative metrics such as 076 Receiver Operating Characteristic (ROC) curves, which are essential for regulatory processes. In 077 contrast, existing methods that generate text-only outputs are constrained to binary predictions (positive or negative). The numerical confidence scores produced by our model provide a more 079 nuanced evaluation of the reliability in clinical scenarios, potentially accelerating the path to clinical deployment. This approach bridges the gap between the quantitative requirements of regulatory 081 bodies and the qualitative nature of radiology reports, addressing a significant challenge in the field 082 of medical report generation.

Furthermore, we identify that the ambiguities in the textual training data is a key reason affecting 084 the model's reliability. A radiology report comprises multiple sentences, each focusing on a medical 085 topic like heart conditions, lung effusion, or opacities. The order of presenting these topics varies, reflecting the diverse writing styles of radiologists. For instance, some prefer starting with salient 087 findings, whereas others favor checking visual details upfront to avoid overlooking them. Furthermore, negative findings (i.e. absence of a disease) are sometimes omitted in the report. Such variabilities do not affect the correctness of a report, but they introduce a degree of randomness that is ambiguous for a model to fit. As the image captioning loss is based on categorical cross entropy, it requires a 090 word-by-word exact match with the ground truth. Consequently, a model could be unfairly penalized 091 for accurate, albeit differently paraphrased, predictions. Image captioning datasets like COCO Lin 092 et al. (2014) address such ambiguity by providing multiple ground-truth captions per image. However, this feature is not available in medical report datasets, which hinders the robustness of both training 094 and evaluation. 095

To overcome the ambiguity in sentence topics, we propose a novel approach by converting report 096 generation into a multi-round visual question answering (VQA) task. In this approach, each sentence in the report is generated with a given topic defined by a question. We utilize ChatGPT Wang 098 et al. (2023)¹ to convert each radiology report into a multi-round VQA format. Then, we train two decoders with the first one generates questions given the image, and another answers each question 100 based on the image and recognized abnormal targets. The question-generation decoder captures 101 the uncertainties of topic omission and ordering, enabling us to train the report generation decoder 102 with less ambiguity for improved test-time reliability. While the question generation decoder may 103 not fit the training data perfectly due to inherent uncertainties, its under-fitting has limited impact 104 on perceivable performance during testing. This pipeline also offers the flexibility to answer new 105 questions that may not be included in the default output.

¹We utilize a private, in-house deployment of ChatGPT on Azure to satisfy data usage agreements. The converted datasets will be made available upon acceptance.



118 Figure 2: Overview of C2C pipeline. Given a radiology report, the Generative Proxy Model (GPM, 119 ??) gives each sentence a discrete label c of its concept class. For example, in this figure, the empirical meaning of c_2 for the second sentence is "aortic nodule". The GPM is used to generate pseudo-120 labels C to train the Concept Proposal Module (CPM, Section 3.3), which generates a sequence of 121 concepts $[c_0, \ldots, c_m]$ based on an image. Finally, the Content Generation Module (CGM, Section 3.4) 122 generates the report based on the image feature X and concept classes C. C behave like special 123 begin-of-sentence ([BOS]) tokens. The output of an end-of-sentence ([EOS]) token signals the 124 completion of a sentence. At this time, we append the next concept token c_{i+1} to the input to trigger 125 the next sentence, and repeat this autoregressive process until the final [EOS] token is produced. 126

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Concretely, we propose QuantRad, a novel approach that generates medical report with three decoders that operate in a cascaded manner. As illustrated in Figure 1, the first step, Question Generation, 130 decides the topic per sentence in terms of questions. The second step, Target Recognition, conducts a set prediction of abnormalities as triplets of <name, attribute, confidence score>. Both qualitative 132 and categorical attributes are predicted with a DETR-style Carion et al. (2020) ViT-DET model Li 133 et al. (2022). The final step, Report Generation, answers each question based on the image feature 134 and recognized targets. We extract question-answer pairs and abnormal targets in a structured format 135 from existing report generation datasets Johnson et al. (2023) to supervise these three modules. 136 Mathematically, we refactor medical report generation from $P(Y \mid X)$ into Equation (1):

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 $P(y \mid X) = P_a(y \mid X, t, q) \cdot P_t(t \mid X, q) \cdot P_q(q \mid X),$ (1)

141 where X, y denote the image and one sentence in the text output Y, respectively. q and t are the 142 interim outputs of questions and abnormal targets in text, respectively. P_a, P_t, P_a represents three 143 decoder modules. Equation (1) shows the generation process of one sentence, and it is repeated 144 autoregressively until all sentences are generated. 145

As radiology reports are open-vocabulary, it is not feasible to define a closed set of classes and train a 146 conventional object detector to recognize abnormal targets. Furthermore, substantial measurements 147 are not convertible to simple numerical values, e.g., "millimetric", "2 to 3 cm", "multiple". Therefore, 148 we modify the ViT-DET implementation of DETR and replace the classification and regression heads 149 with phrase generation. As each output phrase (the name of the target, the name of the attribute and the 150 value) is short, generating the textual phrase still enjoys the benefit of avoiding major language prior 151 biases from the full report. In the common case that the phrase contains only one token (e.g., "2"), 152 phrase generation is essentially a classification over the tokens. The vocabulary of the measurements is much smaller than the vocabulary of radiology reports, making this task easier to train. The output 153 probability is produced based on the logits of the whole phrase. 154

155 To summarize, we propose QuantRad, a novel radiology report generation approach which improves 156 quantitative reliability by using three decoders operating in a cascaded fashion. QuantRad combines 157 the strengths of perception and generation models, addressing the limitations of using the plain 158 language modeling loss. Our method achieves state-of-the-art (SOTA) performance on MIMIC-159 CXR Johnson et al. (2019b), the largest radiology report generation dataset, with a smaller model size. Our study contributes to the broader field of image-to-text generation. Besides healthcare, the 160 proposed methods are applicable in accuracy-critical scenarios, such as legal and finance, where 161 similar challenges could also exist.

2 RELATED WORK

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2.1 IMAGE CAPTIONING

Image captioning is to generate a sentence which describes a given image. The latest work benefit from large scale vision-language pre-training Chen et al. (2020a); Dou et al. (2021); Wang et al. (2021); Kim et al. (2021). Encoder-decoder architectures Li et al. (2023); Wang et al. (2022a); Nguyen et al. (2022) provide a unified implementation for various vision-language tasks.

While many radiology report generation methods are based on image captioning Cornia et al. (2020);
Vinyals et al. (2015); Xu et al. (2015); You et al. (2016), there are key differences in the tasks including (1) radiology reports are much longer than generic image captions e.g. as in COCO Captions Lin et al. (2014), and have multiple sentences covering a different medical concepts; (2) radiology reports are highly templated for individual sentences, while are diverse in paraphrasing a paragraph of multiple sentences.

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180 2.2 RADIOLOGY REPORT GENERATION

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182 Chest X-ray radiology reports lack a standardized order for presenting medical findings Burbridge 183 (2017). For instance, the inside-out order Smithuis & Otto (2022) and the ABCDE order (each 184 letter represents an anatomical region) Lopez-Cardona (2023) are two approaches from clinical 185 guidelines. Additionally, medical conditions can be omitted from the report Irvin et al. (2019). These inconsistencies lead to loss-evaluation mismatch problems, creating challenges for both training and evaluation Gu et al. (2018b); Yi et al. (2020); Gu et al. (2018a). Previous studies have demonstrated 187 the value of generating reports using a two-step approach Nooralahzadeh et al. (2021); Liu et al. 188 (2019), which are conceptually similar to ours. However, due to the lack of sentence-level concept 189 labels (which clarifies the ambiguity) in existing work, they motivate their approaches from different 190 perspectives. 191

Specifically, Liu et al. (2019) adopts a hierarchical framework which predicts sentence-level topics 192 as the first step. However, their topic generation module is not supervised with any labels, leaving 193 uncertainty in their actual meaning. Another similar work is Nooralahzadeh et al. (2021), which first 194 generates high-level context sentences and then refine them to the reports. The first step is trained 195 to generate medical keywords per sentence extracted with a text processing model. We differ from 196 them on the supervision of the first step. Our method specifically tackles the label ambiguity problem 197 (uncertainties of topic omission and ordering). The significance of the label ambiguity issue has been 198 primarily discussed in the context of image recognition Rajeswar et al. (2022); Chung et al. (2023); 199 Ridnik et al. (2021), but has not been adequately addressed in the medical imaging domain due to a 200 lack of solutions. Our method converts multi-sentence report generation into a sequence of visual 201 question answering tasks, which not only reduces the ambiguity during training, but also facilitates responding to new questions asked by the user when a particular aspect is omitted from the output. 202

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2.3 VISION-LARGE LANGUAGE MODELS

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Latest advancements in large language models (LLMs) OpenAI (2023); Touvron et al. (2023);
Chowdhery et al. (2022) provide a unified interface for a wide range of tasks.

Researchers built multi-modal large models by adding a vision head to the language model. As the
LLMs are mostly decoder-only, they can add visual tokens as additional input if the feature space
of image and text modalities are aligned. Among these work, LLaVA Liu et al. (2023a) proposes
generating visual question-answering training data by prompting GPT-4, and then use it to train a
model based on the open source language model, LLaMA Touvron et al. (2023). LLaVA-Rad Chaves
et al. (2024) and MAIRA Hyland et al. (2023) models leverage large text decoders to improve report
generation performance.

²¹⁶ 3 METHOD

3.1 CASCADED DECODERS PIPELINE FOR RADIOLOGY REPORT GENERATION

We compose radiology reports by separated sentence-level topic planning, clinical abnormality target recognition, and report generation steps. The sentence-level topic planning is disentangled from report generation to isolate the uncertainties of the omission and ordering of the topics, a form of label ambiguity. The target recognition is separated from text generation to leverage the quantitative reliability of perception models, which predict targets without the shortcut of referencing language priors. Three decoders were trained progressively: first, the question generation decoder is trained with the image encoder. Then, we train the question generation decoder and the target recognition decoder. Finally, we train the full model with three decoders jointly. This section introduces the three decoder modules in detail.

3.2 GENERATING VQA DATASET



Figure 3: Question-answer dataset converted from radiology reports.

We use ChatGPT to convert all radiology reports to question-answer pairs as is shown in Figure 3

3.3 QUESTION GENERATION DECODER

Given an input image, the decoder generates a sequence of questions, which essentially serves as soft prompts for generating corresponding sentences. We implement it with a six-layer transformer decoder, and train it with questions generated by ChatGPT based on the original report. The question generation decoder conducts sequence generation autoregressively with reference to the previously generated questions. Concretely, it generates m sentences $Z = (c_1, c_2, \ldots, c_m)$ by modeling Equation (2):

$$P(C \mid X) = \prod_{i=0}^{m+1} P(c_i \mid X, c_0, c_1, \dots, c_{i-1}),$$
(2)

where c_0 is a [BOS] token denoting the beginning of a sequence, and c_{m+1} is [EOS] appended after the sequence to signal the end of token generation. With the ground truth being the concatenated questions, we train decoder with the language modeling loss:



Figure 4: Question Generation Decoder. The model is a multi-modal decoder of N transformer layers. Each output token c_i is predicted with X and its prefixes $[c_0, \ldots, c_{i-1}]$ as the input. Questions are separated by a special [EOQ] token and the generation process ends when a [EOS] token is produced.

$$L = \frac{1}{m+1} \sum_{i=1}^{m+1} \operatorname{CE}(c_i, p(c_i \mid X, c_0, c_1, \dots, c_{i-1})),$$
(3)

where CE is the categorical cross-entropy loss with label smoothing of 0.1.

3.4 REPORT GENERATION DECODER

The report generation decoder is also a multi-modal text generation decoder. It learns to generate a sentence of n tokens $Y_i = (y_{i_1}, y_{i_2}, \dots, y_{i_n})$ conditioned on the image, one topic question c_i and corresponding targets t. Mathematically, CGM models the following:

$$P(Y_i \mid X, c_i, t) = \prod_{j=0}^{m+1} P(y_{i_j} \mid X, c_i, t, y_{i_0}, y_{i_1}, \dots, y_{i_{j-1}}),$$
(4)

where Y_i denotes the i^{th} sentence from the radiology report. Similar to Equation (2), y_{i_0} and $y_{i_{n+1}}$ are special [BOS] and [EOS] tokens, respectively. By iterating c_i from (c_1, c_2, \ldots, c_m) , the Question Generator generates m sentences $Y = (Y_1, Y_2, \ldots, Y_m)$ and composes the whole radiology report.

3.5 IMPLEMENTATION

Module Architectures. For the image encoder, we use a ViT-B/16 Dosovitskiy et al. (2020) pre-trained with MAE He et al. (2022); Xiao et al. (2023) on medical images. For decoders, we use a six-layer, randomly initialized text decoder from GIT Wang et al. (2022a). A linear projection layer is added between the image encoder and decoders for feature space alignment.

Three-Stage Training. The model is trained in three stages. In Stage 1, we train the Question Generation decoder to generates sentence topics. In Stage 2, we add Target Recognition decoder to the training and pair with the same image encoder. Ground truth questions are used to train the Target

Pred.	B-4	METEOR	ROUGE-L	CIDEr	Human	GPT-4
#1 #2	$\left \frac{0.508}{0.000}\right.$	$\frac{0.312}{0.185}$	$\frac{0.667}{0.167}$	$\frac{3.756}{0.239}$	wrong correct	wrong correct
Reference: Prediction #1:		"The #1: "The	heart size is i heart size is i	top norm nildly en	al." larged."	
Pred	liction	#2: "Bord	lerline size of	f the card	liac silhoi	uette."

Table 1: Limitations of *n*-gram metrics for medical texts. Both the reference and predictions are extracted from real radiology reports. The reference (ground truth) makes a negative diagnose of cardiomegaly (enlarged heart). Prediction #2 restates the same meaning with different words. Prediction #1 is contradictory to the reference, although they have more overlapping words. While existing metrics based on *n*-grams fail in this scenario, GPT-4 can be prompted to make consistent judgements with human. We provide the details in the supplementary material. The issue demonstrated here is common in medical texts.

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Recognizer. Finally, we add Report Generation decoder to the training, supervised by ground truth questions and targets.

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4 PERFORMANCE EVALUATION

4.1 FROM N-GRAM TO LLM-BASED METRICS

As radiology report generation is treated as an image captioning task, natural language generation (NLG) metrics are commonly used in existing studies as the major evaluation protocol. In this section, we first show that these metrics are not reliable for evaluating medical text. We then propose a new evaluation method based on large language models (LLMs) like GPT-4 OpenAI (2023). Working with radiologists, we show that the LLM-based metric is considerably more consistent with human judgements.

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4.1.1 EXISTING METRICS

Existing NLG metrics include BLEU Papineni et al. (2002), METEOR Banerjee & Lavie (2005),
 ROUGE Lin (2004) and CIDEr Vedantam et al. (2015), all based on *n*-grams. The statistics of overlapping words is important to achieve better scores. When applied on medical reports, they are less reliable due the increased length, synonyms and paraphrasing in medical texts. Please find Table 1 for an example.

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4.1.2 GPT-4 FOR MEDICAL REPORT EVALUATION

Latest studies from Natural Language Processing show that GPT-4 achieves state-of-the-art correlation with human judgments in most NLG tasks Liu et al. (2023b); Wang et al. (2023); Chiang & Lee (2023). However, the applicability on the medical domain is not yet explored. Working with radiologists, we fill this gap by implementing a GPT-4-based evaluation metric for radiology report generation, and rigorously validating its robustness on medical texts. We hope our work can serve as an effective benchmark for future studies.

Implementation. We iterate on the prompt to facilitate robust evaluation with GPT-4. In the prompt,
 we instruct GPT-4 to give a 0-10 star rating for the predicted radiology report with the ground truth as
 the reference. We defined the criterion for the rating of 0 and 10, including the factors to be considered
 and to be ignored based on the characteristics of existing datasets. Our final prompt template is
 provided in Figure 5, which is validated against human judges. Alternative implementations include
 asking GPT-4 to give a 0-100 score rating, or selecting a better prediction from the output of two
 models. Their correlations with human judgements are compared in the ablation studies.

Human Alignment. We assess the reliability of GPT-4 and existing metrics by comparing their
 alignment with human judgements. For this purpose, we form a group of three radiologists, with
 each one having at least five years experience in Chest X-ray interpretation. We randomly sample 50

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379	System: You are a human evaluator who can assess the quality of a natural language generation model.
380	User : Score the following generated chest x-ray radiology report given the human written report as
381	reference with one to ten stars, where one star means "totally wrong" and ten stars means "perfect".
501	Note that perfect measures the factual correctness of the diagnose, relevance of two reports, and fluency
382	(well-written and grammatically correct). Ignore sentences about comparisons with previous studies in
383	went written and grammatically concerns a point sentences about comparisons with previous studies in
	both the reference and the generated report.
384	Human Reference:
385	[Ground Truth]
386	Generated Report:
207	[Model Prediction]
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388	Stars:
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Figure 5: Prompting GPT-4 for robust radiology report evaluation.

392 radiology studies, and for each study, we prepare the ground truth report and two machine-generated reports using XPRONET Wang et al. (2022b) and our method. Totally, 100 machine-generated reports 394 are rated by radiologists, each report is rated by two radiologists. When rating a report, we present to the radiologist the Chest X-ray image, the ground truth report and the machine generated reports. 396 The radiologists are asked to give a 1-10 rating based on the image and the ground truth. When the 397 machine generated report diverges from the ground truth, the radiologists are asked to make their professional judgement on the points to deduct. Results in Table 2 show that the GPT-4-based metric 398 outperforms all existing metric in terms of both Spearman Zar (2005) and Kandell's Tau Kendall (1938) correlations. 400

	BLEU-4	METEOR	ROUGE-L	CIDEr	GPT-4
Spear. Kand.	$0.158 \\ 0.113$	$0.311 \\ 0.214$	$0.191 \\ 0.133$	$0.154 \\ 0.105$	0.455 0.346

Table 2: Spearman and Kendall-Tau correlations of different metrics with human judgements for radiology report. GPT-4 evaluation outperforms existing n-gram metrics on human alignment by a clear margin. Please find Appendix E for additional analysis.

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4.2 EXPERIMENTAL RESULTS

412 Addressing label ambiguity results in a more effective learning process and therefore, improve the 413 overall evaluation metrics. To quantify the gain from alleviating label ambiguity, we conduct ablation 414 studies by comparing the performance of our proposed method with and without the sentence-level 415 concepts as conditions. 416

We evaluate our method on two public datasets, MIMIC-CXR Johnson et al. (2019b;a). Following 417 existing works, we use the *findings* section from the report as the ground truth. 418

419 MIMIC-CXR is the largest datasets for Chest X-ray (CXR) report generation. The dataset contains 420 227,835 radiographic studies, where each study is a pair of a radiology report and corresponding CXR images. We use the official training/validation/testing split. 421

423 4.2.1 RESULTS

Automatic Metrics. Section 4.2.1 shows the comparison of existing methods. Our method, QuantRad 425 outperforms the existing state of the art by a clear margin of 8.5%. 426

427 Human Evaluation. We conduct human evaluation with 50 randomly selected reports from the 428 MIMIC test set. The predictions of two methods, including an existing SOTA Wang et al. (2022b) 429 and QuantRad (ours) are provided per study (totally 100 reports), and each report is rated by two radiologists (totally 200 data points). To remove bias, the reports are presented randomly with the 430 names of methods blind to the radiologists. Results in Table 3 shows that reports generated by our 431 method is better than or equal to XPRONET on 71.0% of the studies.

Method	Mean	Std.	Compara	ative
XProNet	7.12	2.17	29.0% better,	8.0% tie
QuantRad (ours)	8.16	1.65	63.0% better,	8.0% tie

Table 3: Human evaluation of two methods. The rating follows a 0-10 star scale. <u>Mean and Std.</u> are the mean and standard deviation of all ratings per method, and <u>Comparative measures the percentage</u> of one method being rated better (or tie) than the other method. Our QuantRad method outperforms XPRONET, an existing SOTA, by a clear margin.

Table 4: Report Generation Performance on MIMIC-CXR

	CheXbert											
Model	("unc	ertain	" as <i>ne</i>	gative)) ("unc	ertain	" as <i>pc</i>	ositive)	RG_{ER}	BL	EU	ROUGE
	Micro	o-avg	Macr	o-avg	Micro	o-avg	Macr	o-avg	-			
	F1-14	F1-5	F1-14	F1-5	F1-14	F1-5	F1-14	F1-5		(1)	(4)	(L)
Model size >7B												
LLaVA-Rad Chaves et al. (2024)	57.3	57.4	39.5	47.7	57.3	60.2	44.0	53.3	29.4	38.1	15.4	30.6
Med-PaLM M Tu et al. (2024)	53.6	57.9	39.8	51.6	-	-	-	-	-	32.3	11.3	27.3
MAIRA Hyland et al. (2023)	55.7	56.0	38.6	47.7	55.3	58.8	42.3	51.7	29.6	39.2	14.2	28.9
CheXagent Chen et al. (2024)	39.3	41.2	24.7	34.5	39.4	42.1	27.3	35.8	20.5	16.9	4.7	21.5
LLaVA-Med Li et al. (2024)	27.2	22.0	15.5	16.6	27.3	24.4	18.7	20.5	6.5	22.2	1.0	13.3
LLaVA Liu et al. (2024)	22.9	23.4	15.4	17.5	23.7	26.9	17.0	20.3	4.5	21.0	1.3	13.8
Model size <1B												
Flamingo-CXR Alayrac et al. (2022)) –	-	-	-	51.9	56.5	-	-	-	-	10.1	29.7
CvT2Dist. Nicolson et al. (2023)	44.2	-	30.7	-	-	-	-	-	-	39.3	12.7	28.6
\mathcal{M}^2 trans Miura et al. (2020)	-	-	-	-	-	56.7	-	-	-	-	11.4	-
RGRG Tanida et al. (2023)	-	-	-	-	-	54.7	-	-	-	37.3	12.6	26.4
R2Gen Chen et al. (2020b)	-	-	-	-	22.8	34.6	-	-	-	35.3	8.6	27.7
TieNet Wang et al. (2018)	-	-	-	-	-	27.1	-	-	-	-	8.1	-
Ours – Model size 0.8B												
QuantRad	58.6	58.8	39.9	48.6	57.3	59.5	43.4	52.5	30.1	39.6	15.9	31.9
Ablative Baseline	49.1	53.9	31.0	43.9	49.7	56.2	35.2	49.2	27.1	33.5	13.4	29.9

5 ABLATION STUDIES

5.1 MEDICAL CONCEPTS AS CONDITIONS

This ablative study validates our assumption that our Concept-to-Content method improves the learning by reducing label ambiguities. Results in Table 5 shows that there is a clear performance degradation when removing the medical concept which was introduced to alleviate the ambiguity.

Method	BLEU-4	METEOR	ROUGE-L	GPT-4
Baseline	0.103	0.144	0.276	0.538
Clustering	0.111	0.154	0.282	0.546
GPM	0.113	0.164	0.287	0.564

Table 5: Ablation study of latent prompts. Baseline: remove the latent prompts. Clustering: cluster sentences by the text embeddings, and use the cluster ID as the pseudo-label. GPM: our learnable approach of training a generative proxy model.

486 5.2 SIZE OF CODEBOOK

The size of the codebook K in the GPM is a hyper-parameter, which represents the granularity of the medical concepts. We searched K in [32, 128], a range informed by medical knowledge on the number of medical concepts as well as anatomical regions in radiology reports. We searched K in Table 6 and validate the choice by both the quantitative model performance and qualitative visualizations as shown in Appendix D.

K	BLEU-4	METEOR	ROUGE-L	GPT-4
32	0.106	0.154	0.281	0.552
64	0.113	0.164	0.287	0.564
128	0.109	0.158	0.285	0.561

Table 6: Ablation of codebook size K. We train three proxy models with codebook sizes of 32, 64 and 128 and generate three sets of pseudo labels. Results are evaluated on the final radiology report on the MIMIC dataset. k = 64 achieves the best result, which aligns with our empirical understanding of radiology reports.

6 LIMITATIONS

The evaluation results may not represent real-world performance because the images are mostly collected from the intensive care unit (ICU) of hospitals. It creates a bias in terms of the category and severity of the diseases our model was exposed to.

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7 CONCLUSION

In this paper, we introduce two methods to enhance the learning of long paragraph generation when factual correctness is crucial. We identify the label ambiguity issue and address it by a Conceptto-Content (QuantRad) approach. To facilitate QuantRad, we propose Generative Proxy Modeling to learn sentence concept classification without labels. Furthermore, we validate the use of GPT-4 as a state-of-the-art metric for evaluating radiology reports. We hope our proposed modeling and evaluation methods will advance future research in image-to-text generation for correctness-sensitive scenarios.

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8 BROADER IMPACT

524 Our study contributes to the broader field of image-to-text generation. When applied in the medical 525 domain, the proposed approach has the potential to reduce radiologists' workload and improve patient 526 care by providing efficient diagnostic reports. However, it is essential to establish clear guidelines 527 and safeguards to ensure the responsible use of AI in healthcare settings, as the reliability and safety 528 of such systems have not been sufficiently tested in clinical environments. Specifically, AI-generated 529 content should not be used to replace expert judgments but rather as a supplement to ensure safety. 530 Furthermore, providing AI-generated medical reports directly to patients who have limited medical 531 knowledge may lead to misunderstandings and cause risky situations.

532 The proposed machine learning methods, including Generative Proxy Modeling and the Concept-533 to-Content approach for long text generation, are generic and can be applied beyond the medical 534 domain. These methods can be employed in other accuracy-critical scenarios, such as legal and 535 finance, where label ambiguity exists due to insufficient training data not covering the full variations 536 of correct outputs. The successful application of these methods in various domains could lead to 537 increased efficiency and improved decision-making processes. Meanwhile, the risks discussed in this section also apply to these other domains. As with any AI system, it is crucial to thoroughly validate 538 and monitor the performance of these methods in real-world settings to mitigate risks and ensure their safe and responsible use.

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756 ETHICAL CONSIDERATIONS А 757

758 Medical datasets often contain sensitive patient information. To ensure the ethical use of such data, 759 this study adheres to strict guidelines. All participants who accessed the MIMIC-CXR dataset, 760 including the authors and radiologists involved in this research, completed the required onboarding process through PhysioNet². For the IU X-ray dataset, we complied with the license³. 761

762 To maintain compliance with PhysioNet's policy on the use of large language model APIs during the 763 automatic evaluation, we utilized a secure, private, in-house deployment of GPT-4. This approach 764 guarantees that no sensitive information is shared with external parties.

Furthermore, to protect patient privacy, X-ray images presented in this paper were carefully selected 766 from open, compliance-free sources, ensuring that no identifiable patient information is disclosed.

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В QUALITATIVE RESULT OF CPM AND CGM

We present a qualitative result of our method in Figure 6. We show the process that medical concept classes c_i are generated by CPM, and are then converted to sentences in CGM, ensuring clinical accuracy compared with the ground truth. In the illustrated case, our method adheres to a clinically accurate structure for medical reporting.

6	PORT UPRIGHT	Human Annotation	C2C (ours)	Existing Work
		Single AP upright portable view of the chest was obtained. The patient is rotated to the right. The patient esophageal stent has migrated in position with the superior portion now projecting over the right lung apex. Also, since the prior study, there has been development of <u>Bunthcart cpacity</u> . <u>Projecting over the right hemithoras</u> which may be due to a combination of pleural effusion and consolidation. The <u>left lung is clear</u> . The cardiac silhourate is not enlarged. ED aware at the time of the dictation.	CPM: [c ₁₅ , e ₈ , c ₉ , c ₂₈ , e ₁₀ , c ₄₃ , c ₅₂] CGM: A single portable frontal upright view of the chest was obtained. There is a large pacty in the front mid-be-lowed mithorax , with air bronchograms. The iff lung is relatively cleaf . The left heart border is partially obscured and there is a right basilar opacity. The left heart befuer is a possible small right pleural effusion. There is no pneumothorax.	As compared to the previous radiograph the patient has undergone right thoracccentesis. The extent of the right pleural effusion has substantially decreased. There is no evidence of pneumothorax. The right lung is unremarkable. Unchanged appearance of the cardiac silhouette.

Figure 6: Qualitative results from our method, C2C. CPM generates discrete medical concept classes. Texts highlighted in the same color indicate matching meanings. Red-colored text in the existing work shows a factually incorrect prediction, despite using the same words with the ground truth, e.g., "pleural effusion".

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QUALITATIVE EXAMPLES OF LABEL AMBIGUITY AS A SIGNIFICANT ISSUE С

Take the human annotation in Figure 6 as an example, the radiology report is still correct when the order of some sentences are shuffled. For example, pleural effusion (highlighted in yellow) and left lung (highlighted in green) have no correct orders. Besides, diagnosis of healthy findings, such as the left lung (highlighted in green) are sometimes omitted in other ground truth annotations. As the loss function demands an exact match with the ground truth, the learning process become less efficient in leveraging the training data.

799 Our approach first produce a sequence of the medical concepts with CPM and then generate each 800 sentence based on CPM's outputs as a condition. In the example, CPM's output doesn't perfectly fit the ground truth, but the final output from CGM is still considered correct in real applications. CPM 802 captures the ambiguity making the training of CGM ambiguity-free.

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D **EXAMINATION OF LEARNED MEDICAL CONCEPTS**

We compared with the Generative Proxy Model (GPM), which leverages text generation as a proxy task for learning medical concept classification per sentence. Rather than manually curating a list

²MIMIC-CXR on PhysioNet: https://physionet.org/content/mimic-cxr/2.0.0/

³IU X-ray dataset license: https://creativecommons.org/licenses/by-nc-nd/4.0/



Figure 7: Qualitative results of the Generative Proxy Model for sentence medical concept classification. We select three classes as examples to illustrate that the codebook indices c can roughly cluster 854 sentences of the same medical concept. c is sent to the text decoder (CGM) to generate a sentence for the corresponding medical concept. Details (e.g. position, severity) of the medical condition will be 856 determined in CGM by attending to the image features, instead of provided by c.

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of medical concepts, a task that can be challenging in achieving comprehensive coverage, we allow the Codebook to identify soft concept classes in a learnable manner. This enables us to set a hyper-861 parameter K for the codebook size to determine the granularity of the classes. Following empirical 862 examination after training, we observe that the discrete classes, determined by the codebook indices 863 c, can approximately cluster sentences by their meaning. We present qualitative results in Figure 7.

⁸⁶⁴ E Additional Analysis of Human Evaluation

We provide human evaluation in Table 2 and Table 3 to validate the GPT-4 based metric and the performance of our method. In this section, we offer a detailed analysis.

E.1 VARIANCE AMONG RADIOLOGISTS AND MODELS

While the GPT-4 based metric statistically outperforms n-gram metrics by a significant margin, we find it varies for different models.

As shown in Table 7, *n*-gram metrics tend to be more robust on XPRONET Wang et al. (2022b) than our method, C2C. This variation is likely attributable to each model's methodology. XPRONET, with its prototype-driven approach, generally yields more word overlap with the ground truth. Conversely, for C2C, we occasionally observe a negative correlation between *n*-gram metrics and human evaluation. These instances could indicate overfitting: the output might have more overlapping words, which favors *n*-gram metrics, but the meaning is incorrect.

In connection to Section 1, where we discussed the diverse styles of radiologists, we observe further
 variations in the evaluation styles of radiologists. For instance, Radiologist R3 demonstrates more
 extreme ratings.

		(u) Spearman			
	BLEU-4	METEOR	ROUGE-L	CIDEr	GPT-4
R1/XPro	0.192	0.280	0.265	0.292	0.544
R1/C2C	-0.210	-0.130	-0.123	-0.003	0.184
R2/XPro	0.071	0.256	0.113	0.019	0.434
R2/C2C	0.023	0.082	-0.008	0.019	0.377
R3/XPro	0.454	0.427	0.389	0.362	0.413
R3/C2C	0.247	0.427	0.288	0.132	0.832
R3/C2C	0.247	0.427 (b) Kendall-Tau	0.288 Correlation	0.132	0.832
R3/C2C	0.247 BLEU-4	0.427 (b) Kendall-Tau METEOR	0.288 Correlation ROUGE-L	0.132 CIDEr	0.832 GPT-4
R3/C2C R1/XPro	0.247 BLEU-4 0.155	0.427 (b) Kendall-Tau METEOR 0.209	0.288 Correlation ROUGE-L 0.217	0.132 CIDEr 0.217	0.832 GPT-4 0.417
R3/C2C R1/XPro R1/C2C	0.247 BLEU-4 0.155 -0.158	0.427 (b) Kendall-Tau METEOR 0.209 -0.102	0.288 Correlation ROUGE-L 0.217 -0.093	0.132 CIDEr 0.217 -0.007	0.832 GPT-4 0.417 0.151
R3/C2C R1/XPro R1/C2C R2/XPro	0.247 BLEU-4 0.155 -0.158 0.048	0.427 (b) Kendall-Tau METEOR 0.209 -0.102 0.212	0.288 Correlation ROUGE-L 0.217 -0.093 0.084	0.132 CIDEr 0.217 -0.007 0.022	0.832 GPT-4 0.417 0.151 0.348
R3/C2C R1/XPro R1/C2C R2/XPro R2/C2C	0.247 BLEU-4 0.155 -0.158 0.048 0.017	0.427 (b) Kendall-Tau METEOR 0.209 -0.102 0.212 0.068	0.288 Correlation ROUGE-L 0.217 -0.093 0.084 -0.004	0.132 CIDEr 0.217 -0.007 0.022 0.017	0.832 GPT-4 0.417 0.151 0.348 0.305
R3/C2C R1/XPro R1/C2C R2/XPro R2/C2C R3/XPro	0.247 BLEU-4 0.155 -0.158 0.048 0.017 0.334	0.427 (b) Kendall-Tau METEOR 0.209 -0.102 0.212 0.068 0.313	0.288 Correlation ROUGE-L 0.217 -0.093 0.084 -0.004 0.287	0.132 CIDEr 0.217 -0.007 0.022 0.017 0.253	0.832 GPT-4 0.417 0.151 0.348 0.305 0.312

Table 7: Spearman and Kendall-Tau correlations between metrics and human evaluation. Each row shows the correlation analysis for the data provided by one radiologist for one method. R1, R2, R3 represent three radiologists. XPro and C2C are the two methods being evaluated. These results demonstrate variability with both radiologists and the method being evaluated.

F

F.1 INTERPOLATION OF MEDICAL TEXT

ADDITIONAL ANALYSIS OF GPT-4 EVALUATION

Given two sentences in medical texts, the statistics of overlapping words do not reliably evaluate the
alignment of their meaning. In Table 1, we present two test cases that challenge the *n*-gram metrics,
but GPT-4 can be effectively prompted to make the correct judgement. We include the prompt and
GPT's output in Figure 8.

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920 921 Below are two sentences from a Chest X-ray radiology report. Reply "correct" if you think the reference and the prediction sentences have the same meaning. Reply "incorrect" if the reference and the prediction have different meaning. Reply "NAN" if the two sentences are not related. Make sure you apply medical knowledge and try to be as correct as possible, and explain why. 926 why. 927 Reference: [Reference] 928 Prediction: [Prediction] 930 Prediction: [Prediction] 931 [Prediction] 932 Reference: [Reference] 934 Reference: [Prediction] 935 The heart size is top normal. 936 Prediction: [Prediction: The heart size is mildly enlarged. 937 GPT-4 Response: incorrect 939 Explanation: The phrase "top normal" suggests that the heart size is at the upper limit of what is considered normal but not exceeding it. On the other hand, "mildly enlarged" indicates that the heart size is slightly beyond the normal range. These are two different clinical observations where "top normal" represents the highest size within the normal range, and "mildly enlarged" represents a size just outside the upper limit of the normal range, signifying a possible abnormal enlargement. Therefore, the meanings of the two sentences are different in a clinical context.
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951 Reference:
952 The heart size is top normal.
953 Prediction:
954 Borderline size of the cardiac silhouette.
955 GPT-4 Response:
956 correct
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958 Explanation: In radiological terms "top normal" implies that the beart size is at the uncert
959 In radiological terms, top normal implies that the near size is at the upper
960 houndary between normal and potentially abnormal. Both phrases indicate
961 that the heart is neither clearly within normal size parameters nor clearly
962 enlarged but is at the high end of what would be considered normal. Thus
in the context of a radiology report, the two sentences can be interpreted to
have the same meaning, signaling that the heart size is at the upper threshold
965 of normal.
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Figure 8: GPT-4 understands medical text. The three blocks of text show the prompt used to ask GPT-4 whether two sentences align in their meanings, and two test cases from Table 1, where the n-gram metrics fail but GPT-4 is correct.

972 F.2 RANDOMNESS IN GPT-4'S RESPONSE 973

974 975	There exists a degree of randomness in the evaluation result from GPT-4. For the same input, the output rating from GPT-4 may vary when we call the API multiple times. Existing work in natural
976	language processing Liu et al. (2023b) suggests requiring GPT-4 to return 20 candidate responses
077	and take the average. We find that the average rating on a test set is statistically stable for medical
079	reports. We think the reason is due to the extra length in the medical texts.
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