MECHANISTIC INSIGHTS: CIRCUIT TRANSFORMATIONS ACROSS INPUT AND FINE-TUNING LANDSCAPES

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Abstract

Mechanistic interpretability seeks to uncover the internal mechanisms of Large Language Models (LLMs) by identifying circuits—subgraphs in the model's computational graph that correspond to specific behaviors—while ensuring sparsity and maintaining task performance. Although automated methods have made massive circuit discovery feasible, determining the functionalities of circuit components still requires manual effort, limiting scalability and efficiency. To address this, we propose a novel framework that accelerates circuit discovery and analysis. Building on methods like edge pruning, our framework introduces circuit selection, comparison, attention grouping, and logit clustering to investigate the intended functionalities of circuit components. By focusing on what components aim to achieve, rather than their direct causal effects, this framework streamlines the process of understanding interpretability, reduces manual labor, and scales the analysis of model behaviors across various tasks. Inspired by observing circuit variations when models are fine-tuned or prompts are tweaked (while maintaining the same task type), we apply our framework to explore these variations across four PEFT methods and full fine-tuning on two well-known tasks. Our results suggest that while fine-tuning generally preserves the structure of the mechanism for solving tasks, individual circuit components may not retain their original intended functionalities.

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1 INTRODUCTION

- 034 The rapid advancement of large language models (LLMs) has established them as powerful tools across a wide range of tasks for (Vaswani, 2017; Devlin, 2018; Achiam et al., 2023) natural lan-035 guage and beyond. However, their vast scale-often involving billions of parameters-and blackbox nature pose significant challenges in understanding their decision-making processes, leading 037 to unpredictable risks in critical domains where accuracy, fairness, and transparency are essential. The field of interpretability aims to address these challenges by developing methods to uncover the internal reasoning of these models. Mechanistic interpretability, a subfield within this area, seeks 040 to reverse-engineer LLMs into human-understandable algorithms (Conmy et al., 2023; Bereska & 041 Gavves, 2024). A key objective is to identify **circuits**, subgraphs within the model's computational 042 graph that correspond to specific behaviors, while maintaining a high level of sparsity and preserving 043 the model's performance on the given task.
- 044 Recent progress in mechanistic interpretability has shed light on the inner workings of language models through meticulous human inspections, uncovering key circuits responsible for specific tasks 046 (Wang et al., 2022; Hanna et al., 2024; Merullo et al., 2023). To accelerate this process and reduce 047 reliance on costly manual efforts, automated tools have been developed to systematically identify 048 circuits driving certain behaviors (Conmy et al., 2023; Bhaskar et al., 2024; Syed et al., 2023). However, these methods are either time-consuming (Conmy et al., 2023) or rely on approximations that prioritize speed over reliability (Syed et al., 2023). As a result, further investigations into the 051 relationships between circuits (Tigges et al., 2024; Prakash et al., 2024; Jain et al., 2024) are limited in either scale or precision in finding circuits. The recent introduction of techniques like Edge 052 Pruning (Bhaskar et al., 2024) has made it possible to automatically identify more accurate and faithful circuits across larger datasets while keeping computational costs manageable.

054 Despite advancements in circuit discovery, significant challenges remain in understanding the func-055 tionalities of the circuit components. Existing studies often assign specific functions to individual 056 nodes within circuits through manual inspection. These nodes and their roles are typically identified 057 during the discovery process by observing changes in the model's output (e.g., variations in logit 058 values) when specific node activations are perturbed. This approach generally requires extensive path interventions to determine the direct effects of nodes on the final output and careful design of such intervention. The process demands considerable manual effort, leading to a limited scope (e.g. 060 only focus on the change in target output logits) and placing a heavy burden on researchers, ulti-061 mately slowing down the progress of Mechanistic Interpretability. Moreover, as circuit discovery 062 methods become automated, the identification of circuits and their functionalities no longer hap-063 pen in parallel. While progress has been made in circuit identification, a growing gap persists in 064 understanding the broader implications —after circuits are identified, what coming next? With 065 automated methodologies generating vast amounts of circuits, investigating their internal function-066 alities has become increasingly laborious and challenging. 067

This challenge is evident in our observations from the IOI task. Modifying objects within the task results in significant changes to the discovered circuits, as shown in Fig. 1. Intuitively, circuits responsible for the same reasoning across similar tasks should remain consistent. However, the pretrained model struggles to maintain this consistency when performing identical tasks across various types of objects (e.g., changing "Mary" to "Dog"). Moreover, applying different supervised finetuning methods introduces further variations in the circuits. However, investigating these changes in circuits and their functionalities becomes increasingly demanding and labor-intensive.

074 Inspired by these challenges and building on these findings, this work explores how circuits vary in 075 both structure and functionality across different ablated prompts, and how model fine-tuning meth-076 ods affect these circuits. To address this, we propose a framework for circuit analysis that integrates 077 efficient discovery with automated interpretability. To broaden the scope of functionality exploration and accelerate the process, we make a trade-off by foregoing functionality conclusions 079 based on causal effects. Instead, we integrated the attention pattern and logit lens with clustering techniques to describe the intended functionalities of the circuits components. By 'intended func-081 tionalities', we refer to what the circuit components are attempting to do in the context of a given tasks, rather than what they ultimately contribute to the final output. Our framework extends the edge pruning algorithm (Bhaskar et al., 2024) for circuit discovery by incorporating stages for cir-083 cuit selection, circuit comparison, attention grouping, and logit clustering, as outlined in Fig. 2. 084 We further summarize our findings and contributions as following: (1). We introduce a novel frame-085 work that accelerates the process of estimating the functionality of circuit components, reducing the reliance on manual efforts. (2). We demonstrate that the functionality of circuit components does not 087 necessarily remain consistent across ablated prompts. (3). We show that while fine-tuning methods 880 maintain the overall functional structure, the specific components performing those functions may 089 change.

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2 EXPERIMENT SETUP AND PIPELINE

In this section, we first outline the experimental setup, providing an overview of the prompt settings for the tasks and fine-tuning methodologies involved in our work. Next, we describe the process for identifying and selecting circuits, along with the metrics used for circuit validations. We then introduce the methods for evaluating the intended functionality of the circuit components. Lastly, we discuss how comparative circuit analysis is conducted, following the pipeline in Fig. 2.

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2.1 TASKS AND MODELS

We explore circuit variations within the context of two specific tasks: Indirect Object Identification (IOI) and Greater Than (GT). These tasks were initially examined by Wang et al. and Hanna et al., respectively. We focused on IOI and GT due to their well-established, human-inspected circuits, making them particularly suitable for implementing our framework and conducting an in-depth analysis of circuit variations through different fine-tuning methods and ablated prompts. Additionally, recent work by Merullo et al. offers promising insights through the study of these fundamental tasks. Building on top of these tasks, Merullo et al. has studied the transferrability of these circuits beyond the base syntactic structure of these tasks. To gain a more granular understanding of the

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Figure 1: We demonstrate how circuits vary between IOI prompts and ablated prompts. When human names are replaced with animals, the newly discovered circuits become almost a subset of the IOI circuits. Nodes are clustered based on their logit outputs. We focus on logit outputs regarding to the prompt components. For each cluster, the major up-weights on logits for the sentence components are listed. Upon further analysis, we found that even overlapping nodes can perform different functions, colored in yellow.



153 Figure 2: Pipeline of experiments: (1) Fine-tuned models on certain tasks; control model being the original GPT-2 model (2) Apply Edge-pruning to identify a series of candidate circuits (3) Select 154 ideal circuits that balance sparsity and performance recovery, evaluated using KL Divergence and 155 Exact Match metrics. (4) Compare circuits across models fine-tuned with different methods. (5) 156 Conduct functionality analysis by: (5a). Grouping the heads based on their primary attended input 157 sentence components - what the head is focusing on. (5b). Computing logits for each input sentence 158 component per head - which components the head up-weights or down-weights for generation. (5c). 159 Clustering heads by their min-maxed logits across input sentence components - which heads share 160 or differ in functionality. 161

model's mechanisms, we focus on GPT-2 small, as its resulted circuits are more manageable and human-readable. More details are discussed as follows:

Indirect Object Identification (IOI): IOI (Wang et al., 2022) is the task of predicting the name 165 of the indirect object in a sentence. The task follows the format of "When $\{A\}$ and $\{B\}$ went to 166 {PLACE}, {B} bought a {OBJECT} to \rightarrow {A}". The datasets are generated by filling in A, B, 167 PLACE, and OBJECT with a list of nouns. The model then completes the sentence by filling in the 168 predicted indirect object, ideally $\{A\}$. Additionally, the prompts include variations in both ABBA 169 and BABA formats. In the original IOI settings, A and B are filled by human names such as "John" 170 and "Mary" as shown in Fig. 1. Following the setting by Edge Pruning (Bhaskar et al., 2024), we 171 adopt the prompts on a variant with 30 templates from Hugging Face. Similarly, we randomly select 172 200 examples each for the train and validations; and 36,084 instances for the test sets.

- 173 Ablated IOI: In addition to the IOI dataset, we introduce an ablated IOI dataset to investigate 174 whether the functionality and structure within the circuits are preserved across different types of 175 objects, inspired by the observations in Fig. 1. We retain the template structure from the original 176 IOI tasks; however, instead of populating A and B with human names, we use capitalized names 177 of animals, cities, and colors. An example of the ablated prompt can be found in Fig. 1. To avoid tokenization issues that could affect model performance, we only include names that map to a single 178 179 token. All other settings, such as train, test, and validation splits, remain the same as in the original IOI tasks. 180
- **Greater Than (GT):** GT tasks (Hanna et al., 2024) follows the format of "*The war lasted from the year 1743 to 17 \rightarrow xy*." The tasks requires the model to place a higher probability on the continuations 44, 45, ..., 99, compared to 00, 01, ..., 42. We adopted the version of dataset proposed in Edge Pruning (Bhaskar et al., 2024) which has 150 examples in the train and valitation, and 12,240 instances in the test. This task is generally considered simpler than IOI, as it involves fewer logical steps to complete.

187 PEFT Methods: We primarily focus on Parameter-Efficient Fine-Tuning (PEFT) methods that pre-188 serve the original model structure. Specifically, we fine-tuned the GPT-2 small model with supervi-189 sion, using Bitfit (Zaken et al., 2021), LoRA (Hu et al., 2021), IA3 (Liu et al., 2022), and AdaLoRA 190 (Zhang et al., 2023), on the IOI and GT tasks. A fully fine-tuned model was used as a control for comparison. To ensure a fair comparison, all fine-tuned models are controlled to achieve nearly 191 identical performances on the same test set. For the IOI task, the goal was to minimize the predic-192 tion loss for the indirect object. For the GT task, the goal was to maximize the gap between the 193 cumulative probabilities of correct and incorrect years. 194

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2.2 CIRCUIT DISCOVERY AND SELECTION

We adopted edge-pruning (Bhaskar et al., 2024) to automate the circuits discovery step for each finetuned models along with the pretrained version across the above mentioned tasks. To evaluate and ensure the faithfulness and preciseness of the identified circuits, we mainly relied on the measure of KL-Divergence and Exact Match, adopted by Bhaskar et al. and Conmy et al..

- Circuit Discovery Algorithm: We implemented the edge pruning algorithm for automated circuit discovery (Bhaskar et al., 2024). This method addresses circuit discovery through gradient-based pruning on the edges of the model's computational graph over hyperparameter *edge-sparsity (es)*.
 Similar to path-patching (Wang et al., 2022) and the other automated methodologies (Conmy et al., 2023; Syed et al., 2023), it involves causal interventions on edges by substituting the target edges with counterfactual activations from corrupted examples. This process further generates sparse circuits by masking out edges that has no causal effect on the target tasks.
- In this work, we first reproduced the circuits for the IOI and GT tasks. Next, we retrieved the circuits
 from the models fine-tuned with supervision on the two tasks. Finally, we retrieved the circuits from
 the models on the ablated IOI dataset using all the described models.
- **Circuit Evaluation and Selection:** A circuit is considered accurate and faithful when its output closely aligns with that of the full model, even at a high level of graph sparsity, as highlighted in previous works (Hanna et al., 2024; Conmy et al., 2023; Bhaskar et al., 2024). Specifically, we utilized metrics, such as Exact Match for IOI, Kendall's τ for GT, and KL Divergence for both, from the edge pruning approach to assess how closely the circuit's output aligns with the full model. Addi-

tionally, we measured the differences between target and distractor outputs, such as logit difference
 for IOI and probability difference for GT, both traditionally used for these tasks.

As shown in the circuit selection stage of Fig. 2, we observed an exponential relationship between 219 edge sparsity and KL divergence, consistent across all models and tasks. More results for the metrics 220 are provided in the Appendix B. For this work, we selected a group of 'best' circuits for each model 221 by identifying those at the 'knee' of the KL-divergence curve, as highlighted in red in the circuit 222 selection stage of Fig. 2. Using this selection criterion, we chose the circuits with the highest 223 possible sparsity, just before the KL divergence sharply increases. Among the circuits in this selected 224 group, we considered them to be equivalently 'best'. Therefore, we randomly selected one circuit 225 from the group for further comparison and analysis. A sample circuit from this group represents the 226 best tradeoff between performance and sparsity.

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2.3 CIRCUIT FUNCTIONALITY DISCOVERY

230 We explore the intended functionalities of circuit components using a combination of attention heat 231 maps and the logit lens. Unlike previous works, we conceptualize the mechanism within the model 232 as either reducing the logits of incorrect choices or enhancing the logits of correct ones. In doing so, 233 we broaden the focus beyond just the target outputs, providing a more comprehensive view of the 234 model's inner mechanism. For IOI-related tasks, we categorize the prompts into ten groups: "B, IO, 235 S1, PLACE, M, S2, V, OBJ, E, and T," following the structure of the IOI prompts. "B" represents the 236 beginning of the sentence (e.g., "When" in Fig. 3), "M" refers to the middle of the sentence (e.g., a comma), and "T" denotes the end of the sentence (e.g., "to"). "V" represents the verb in the second 237 half of the sentence, such as "gave," while "OBJ" refers to the object before "E." "S1" and "S2" refer 238 to the distractor such as "John", while "IO" represents the indirect object the model needs to predict, 239 such as "Mary,". We assign the rest of the templates into "T". For GT tasks, we divide the prompts 240 into six parts: "B, N, V, S, E, and T." "B" represents the beginning of the sentence, "N" refers to the 241 noun that the task focuses on (e.g., "war"), "V" indicates the verb such as "lasts" (suggesting the 242 predicted numbers should be larger), "S" represents the start of the year, and "E" refers to the end 243 of the sentence. We assign the rest of the templates into "T". It is important to note that the intended 244 functionalities do not directly reflect the ultimate contributions of the circuit components to the final 245 output. However, understanding these intended functionalities still provides valuable insights and 246 allows us to estimate their direct effects. As shown in Fig. 3, we found that the previously identified 247 Name Mover Head formed its own group of intended functionality, exhibiting shared similarities 248 in attention patterns. In fact, most of the previously identified nodes with the same functionalities cluster well together when analyzed using the logit lens. 249

Attention Grouping is a technique used to analyze and categorize transformer heads based on their attention patterns. In transformers, each head focuses on different components of the input, reflecting what it "intends" to process. By examining the attention values, we can infer which parts of the sentence each head is attending to and determine its specific intended functionality. Since not all attended tokens carry equal importance, the method focus on the most relevant components. Heads that focus on similar elements, such as an indirect object (IO), are grouped together, suggesting they contribute similarly to the model's decision-making process.

257 Attention grouping helps uncover the various strategies a model uses to achieve the same outcome. 258 For example, when the indirect object (IO) is emphasized, the model can do this by either increasing 259 attention to the IO or by down-weighting attention to other components. Without grouping heads 260 by their attention patterns, these diverse approaches could be overlooked. By clustering heads that focus on similar components, attention grouping allows for a deeper understanding of how the model 261 processes information and how different heads contribute to the final prediction. This is especially 262 useful in tasks like IOI, where multiple heads may contribute to the same result through distinct 263 ways, offering valuable insights into the model's internal workings. 264

The attention grouping process involves computing the attention of each head for all tokens in a sentence and mapping them to sentence components (e.g., B, IO). The average attention for each component is calculated, and the top k components with the highest attention values are selected. If a component's attention exceeds the mean across these top components, the head is considered to be attending to that component. Heads are grouped if they attend to the same components. An example can be found in Fig. 3.



Figure 3: An example of attention grouping and logit clustering from IOI circuit. We assign the IOI prompts into several categories following their general pattern. We found that the previously identified Name Remover Head form its own cluster where the logits on IO get highly up-weighted over the other components. These heads' attention focus on IO and S1/S2 as well, indicating their intentional functionality of attending to and up-weighting IO for correct prediction.

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For the IOI task, with 9 sentence components, k = 5 is chosen based on observations that heads primarily focus on 4 or less components. For tasks with a different number of sentence components, the value of k can be adjusted accordingly.

Logit Clustering is an essential technique used to group transformer heads based on their logit patterns, providing insights into how different heads intended to contribute to the model's decision-making process. One key method used in logit clustering is the *logit lens*, which allows researchers to probe intermediate representations in neural networks (NNs) and transformers. The logit lens works by examining the logits produced at each layer, representing the model's confidence about which token it might output at that specific stage. This technique reveals how early layers begin forming predictions and how these predictions evolve and refine as more layers process the input.

303 The *logit patterns* generated by different heads give a sense of how each head contributes to the 304 model's predictions by up-weighting or down-weighting specific components. For example, in tasks 305 such as the IOI task, where the indirect object (IO) is the correct target and the first subject (S1) is a 306 distractor, a head that increases the logit on IO while decreasing the logit on S1 plays a crucial role 307 in making the correct prediction. Similarly, other heads may contribute by focusing on irrelevant 308 tokens such as punctuation or template words, which might help the model understand sentence 309 structure or task objectives. Comparing the logit patterns across different heads and layers, thus, helps identify where significant changes in token predictions occur and how intermediate layers 310 potentially contribute to the final outcome. 311

312 By clustering heads based on their logit patterns, we can group heads that are performing similar 313 functions. This *logit clustering* allows for the identification of heads that collaborate in the model's 314 decision-making process, providing a clearer view of the functional roles played by different heads. 315 The use of logit clustering is particularly useful when logit patterns vary across layers or even repeat in heads across multiple layers, as we have observed. Applying clustering algorithms to these 316 patterns automates the grouping process, facilitating the analysis of head functions. For example, 317 clustering can reveal whether heads within certain layers, such as deeper layers, are grouped together 318 or whether logit patterns are distributed across all layers. 319

In this work, we used K-means algorithm for logits clustering. While other clustering methods
 or human calibrations may provide more precise results, K-means has proven to deliver satisfying
 results in grouping logit patterns, reducing the amount of manual effort required in functional anal ysis. This method allows for a scalable approach to understanding how different transformer heads
 influence the model's output and decisions.

324 Attention Grouping + Logit Clustering: Both attention grouping and logit clustering are needed 325 because each method has limitations when used in isolation. Attention grouping only indicates 326 which components the heads focus on but doesn't reveal whether the heads are attempting to up-327 weight or down-weight those components. On the other hand, logit clustering identifies which 328 components the heads are likely up-weighting or down-weighting but cannot clarify whether this effect is intentional or a byproduct from another function. For example, an up-weighted logit on IO 329 might either reflect direct attention and up-weighting on this component, or it could be the result of 330 down-weighting other components. 331

332 By integrating these two methods, we can better understand the intentional functionality of the 333 heads. Attention grouping helps reveal the intention behind the model's focus, while logit clustering 334 estimates the functionality — the effect the heads are having on specific components. When observing attention groups within the same logit cluster, it's easier to discern how nodes with similar 335 functions differ in their intentions. Similarly, identical attention groups may belong to different logit 336 clusters, indicating varied roles in the model's decision-making process. This combined framework 337 simplifies the investigation of intentional functionalities, helping to clarify how different compo-338 nents intended to contribute to the overall behavior of the model. 339

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3 EXPERIMENTAL RESULTS

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343 In this section, we provide a detailed analysis of how the structure and intended functionality of 344 IOI circuits differ from those retrieved from ablated prompts, where we focus on the animal objects since the original GPT2-small is incapable of performing IOI tasks when changing IO and S to 345 cities and colors. We then explore how various finetuning methods enhance performance on both 346 the IOI and GT tasks. Lastly, we investigate how finetuning on the original IOI task improves the model's capability of performing the IOI task when applied to ablated prompts. Evaluations on 348 model performance can be found in Appendix. Sec. B. For the following results, we focus on 349 attention head only by collapsing the QKV nodes into the corresponding attention heads. 350

351 Table 1: The model's circuit differences on the GT and IOI tasks are compared in terms of the 352 number of nodes. For clarity, we have consolidated the QKV (Query, Key, Value) nodes of the IOI 353 task into a single attention head. The results are averaged over the selected group of the "best" 354 circuits for each model under each task. A 95% confidence interval is calculated to demonstrate statistically significant variations in circuit sizes. Blocks highlighted in green indicate a statistically 355 significant larger number of nodes. Our analysis shows that finetuning methods generally reduce the 356 circuit sizes for the GT task while introducing more components to the IOI task. 357

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000	Model A	Model B		GT task		IOI task					
359	Model A	Model D	A only	B only	Shared	A only	B only	Shared			
360	Original	IA3	17.2 ± 1.22	11.2 ± 2.10	38.6 ± 2.56	16.2 ± 1.83	20.8 ± 1.99	74.2 ± 1.34			
361	Original	AdaLoRA	20.0 ± 1.69	13.8 ± 1.72	35.8 ± 2.01	13.0 ± 2.13	18.2 ± 1.09	77.4 ± 1.93			
260	Original	Bitfit	25.0 ± 2.43	11.0 ± 1.82	30.8 ± 2.98	21.2 ± 1.58	20.2 ± 0.94	69.2 ± 1.65			
302	Original	Full	19.2 ± 1.72	8.2 ± 1.78	36.6 ± 2.86	18.0 ± 1.84	22.0 ± 1.07	72.4 ± 1.37			
363	Original	LoRA	20.2 ± 1.74	14.0 ± 2.69	35.6 ± 1.37	14.8 ± 2.33	22.0 ± 1.96	75.6 ± 1.48			
364	IA3	AdaLoRA	10.4 ± 1.63	10.2 ± 1.28	39.4 ± 2.36	17.8 ± 1.22	18.4 ± 1.23	77.2 ± 1.01			
365	IA3	Bitfit	17.8 ± 2.87	9.8 ± 1.74	32.0 ± 3.05	22.8 ± 1.91	15.4 ± 1.13	72.2 ± 1.40			
366	IA3	Full	13.0 ± 2.46	8.0 ± 2.57	36.8 ± 1.95	19.2 ± 0.94	18.6 ± 1.29	75.8 ± 0.58			
367	IA3	LoRA	10.8 ± 2.37	10.6 ± 2.07	39.0 ± 1.57	17.0 ± 1.07	19.6 ± 1.43	78.0 ± 1.04			
368	LoRA	AdaLoRA	9.6 ± 1.81	9.6 ± 1.58	40.0 ± 1.90	17.6 ± 1.35	15.6 ± 1.99	80.0 ± 1.33			
000	LoRA	Bitfit	18.0 ± 2.16	10.2 ± 2.99	31.6 ± 1.85	22.4 ± 2.68	12.4 ± 1.09	75.2 ± 1.01			
309	LoRA	Full	14.0 ± 2.35	9.2 ± 2.85	35.6 ± 1.72	19.2 ± 1.60	$16.0\pm1~.07$	78.4 ± 0.90			
370	A dal oR A	Bitfit	17.4 ± 1.81	0.6 ± 1.07	32.2 ± 2.87	22.0 ± 0.78	14.0 ± 1.21	73.6 ± 0.66			
371	AdaLoRA	Full	17.4 ± 1.01 14.4 ± 1.56	9.6 ± 2.03	35.2 ± 2.57 35.2 ± 2.55	22.0 ± 0.78 20.2 ± 1.22	14.0 ± 1.21 19.0 ± 1.21	75.0 ± 0.00 75.4 ± 0.53			
372	Bitfit	Full	11.2 ± 2.39	14.2 ± 1.95	30.6 ± 2.89	14.6 ± 1.72	21.4 ± 1.85	73.0 ± 1.07			
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3.1 IOI CIRCUITS AND ABLATED PROMPTS

As shown in Fig. 1, substituting human names with other types of objects, such as animals, leads 377 to significantly smaller circuits. Additionally, as illustrated in Fig. 4, we observed three distinct



Figure 4: Logit clusters across fine-tuning methods and ablated prompts. The clusters are mostly uniform across fine-tuning methods for either prompt setting, indicating that fine-tuning does not significantly modify circuit's main functionalities; however, variations can be observed, both in terms of the general sizes of each cluster and individual head's intentional functionalities. Comparing IOI and ablated prompts, the ablation circuits consist of fewer functional clusters than IOI, and the number of heads for the shared clusters generally reduces. This is likely due to the complexity of processing human names in a semantically meaningful sentence which requires more functional nodes.

clustering groups that consistently appear across all circuits in both IOI and IOI-ablated tasks. One of the clusters places a strong emphasis on the M, E, and T parts of the sentence, in terms of logit, while also attending to the entire sentence. We assume that these nodes intend to complete the sentence with the simplest solutions, such as adding a comma or repeating the final word. Another cluster, in contrast, emphasizes all parts of the sentence except for M, E, and T, while still attending to the entire sentence. This cluster appears to function in opposition to the sentence completion cluster. This cluster also aligns with where all previously identified induction heads (Wang et al., 2022) are clustered. The final preserved cluster focuses heavily on the IO and S tokens, both in terms of logits and attention. This cluster generally down-weights OBJECT and PLACE, components that are irrelevant to correct prediction, which makes it distinguished from the induction heads cluster who usually up-weights them.

In terms of the general cluster analysis, the cluster of induction heads are mostly preserved, with only three additional nodes in IOI. The sentence completion cluster has significantly more heads in IOI, contributing to the difference in circuit sparsity. The cluster that focuses on IO and S is mostly preserved. S-inhibition and the negative name mover (downweighting IO and S, upweighting others) are also preserved.

Interestingly, there is no cluster found in the animals-ablated circuit that purely concentrates on IO in terms of logits and attention. It is worth noting that most of the attention patterns from ablated prompts do not involve any exclusive focus on IO or S tokens in terms of logits and attentions. Instead, they tend to focus on IO and S along with the beginning words. Furthermore, the name mover heads, which are well-clustered in the IOI circuits shown in Fig. 3, now appear to be more dispersed. For instance, node 10.0 still upweights IO over S1, but the effect is less pronounced. It also shows a strong upweighting of PLACE. Node 9.6 shifts to upweight S over IO, while node 9.9 joins the sentence completion cluster, upweighting M, E, and T but downweighting the other elements. These
findings overall suggest that the model struggles to isolate IO and S from the prompt. Most of the
time, it relies on the beginning of the sentence to identify the IO and S pair. Although the behavior
of indirect object identification persists, the model's limited ability to accurately identify IO and
S hinders its performance compared to the original IOI task. These findings are consistent across
finetuning methods and ablation settings.

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3.2 PEFT METHODS COMPARISON

Name Mover Heads

Full Finetune

441 By fine-tuning GPT-2 small on IOI and GT tasks, we observed that the circuits retrieved from GT 442 tasks are generally statistically significantly smaller than those from the original GT tasks, as shown 443 in Table 1. An analysis of logits and attention patterns on GT revealed that the fine-tuned model 444 tends to focus more on the starting year, while the MLP in deeper layers increasingly up-weights 445 target number continuations. These findings suggest that the reduction in circuit size for GT tasks is 446 likely due to the simplicity of the task —simply selecting numbers greater than the starting year, as the task only has one direction (Greater Than)— and a more focused approach in solving it. More 447 results on GT tasks can be found in Appendix. Sec. D. In contrast, for the more complex IOI task, 448 fine-tuning methods generally result in a statistically significantly larger circuit to address the task's 449 increased complexity. 450

451 For the IOI task, the overall structure of functionality for circuits is largely preserved, aligning with findings from Prakash et al.. Specifically, many of the heads identified by Wang et al. as serving 452 certain functions continue to perform similar roles in fine-tuned cases. For example, Duplicate 453 Token Heads, Previous Token Heads, some S-inhibition Heads, and certain Backup Name Mover 454 Heads remain consistent across all fine-tuning methods, maintaining their functionalities despite the 455 fine-tuning adjustments. This stability in key components ensures that model behavior is preserved, 456 allowing fine-tuned heads to focus more effectively on specific task elements, thereby enhancing 457 overall task performance. Furthermore, this consistency not only highlights the critical contribution 458 of these heads to solving the IOI task but also validates our framework, which integrates attention 459 grouping and logit clustering to explore the intended functionalities of LLM attention heads. 460

While many individual heads' intentional functionalities are generally preserved, fine-tuning often 461 causes shifts in their behavior. Different fine-tuning methods may or may not achieve the same effect 462 on this change in behavior. For instance, in the two Induction Heads identified by Wang et al., a5.h5 463 and a6.h9, we observe that while fine-tuning preserves the intentional functionality of a6.h9, a5.h5 464 undergoes significant modifications with different fine-tuning methods. In the original circuit, a5.h5 465 attends to the beginning of the sentence, up-weighting B, IO, S, OBJ, and down-weighting M, E, 466 and T. However, after fine-tuning, Full Finetune, BitFit, and LoRA shift it to primarily up-weight V, 467 whereas AdaLoRA and IA3 reverse the effect, down-weighting B, IO, S, OBJ, and PLACE, while 468 up-weighting M, V, E, and T. Additional example of how fine-tuning methods align or differ in modifying the Name Mover Heads on IOI circuits is shown in Fig. 5. 469

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B IO S1 PLACE

Sentence Components

M S2 V

Figure 5: A comparison of how different fine-tuning methods modify the cluster of Name Mover Heads claimed by prior works. The Name Mover Heads significantly attend to and up-weight IO over other components. All fine-tuning methods increase the number of these heads to enhance prediction accuracy, shifting the functionalities of some particular heads in the original GPT-2 circuit for better performance. While some fine-tuning methods share similarities in the additional Name Mover Heads, variations are common.

486 Therefore, the differences between circuits may help explain how fine-tuning enhances the perfor-487 mance of LLMs on certain tasks. From our observations, fine-tuning increases the number of heads 488 that up-weight logits on IO compared to the original GPT-2 circuit. Specifically, the improvement 489 is primarily due to more "focused" up-weighting on IO relative to other components. As shown in 490 Fig. 5, all circuits from fine-tuned models enforce certain heads from the original circuit to function similarly to Name Mover Heads-those that primarily attend to and up-weight IO-leading to 491 improved task performance. Notably, all fine-tuning methods modify the functionality of a10.h10, 492 likely due to its high similarity to Name Mover Heads. However, PEFT requires a larger number 493 of functionality-shifted heads to achieve comparable performance, which is unsurprising since full <u>191</u> fine-tuning updates all weights and biases, thoroughly converting the functionalities of individual 495 heads. In contrast, PEFT only updates a small subset of parameters, necessitating more heads with 496 similar intentional functionalities to match the performance of fully fine-tuned circuits. 497

In conclusion, both PEFT methods and full fine-tuning improve performance not only by increasing
 the presence of highly specialized heads, such as Name Mover and Induction Heads, but also by simplifying and refining circuits through the pruning of irrelevant or less important components. This
 dual effect—enhancing the specificity and accuracy of critical heads while reducing unnecessary
 complexity—highlights the vital role of fine-tuning in optimizing circuits that maintain high levels
 of task-specific accuracy.

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4 CONCLUSIONS AND LIMITATIONS

Overall, our framework significantly reduces the reliance on manual efforts, enhancing the efficiency of discovering the intended functionality of circuit components. Through our analysis of how the circuits for the IOI and GT tasks vary across different fine-tuning methods and ablated prompts, we found that while the overall structure of intended functionality is preserved, the specific components responsible for these functions may change. This finding suggests that pre-identified circuits and functionalities are subject to variations depending on the ablated prompts and fine-tuning methods used. We hope that our findings and framework will inspire further exploration of circuit utilization and its interpretability in LLMs.

515 As discussed in the paper, rather than estimating the direct effect of individual nodes on target logit 516 values, we focus on their intended functionalities. While exploring intended functionalities can pro-517 vide a reasonable approximation of direct effects-since pre-identified heads with similar functions 518 are generally well-clustered—it is important to recognize that they are not equivalent. Drawing an 519 analogy to "correlation is not causation," we emphasize that intended functionality does not nec-520 essarily reflect the "true" functionality of circuit components. Furthermore, intended functionality 521 may depend on the performance of previous states, meaning it can become inconsistent if those ear-522 lier states are perturbed. However, this challenge could also arise in causal exploration methods like 523 Patch patching.

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702 A MODEL PERFORMANCE

B BACKGROUND AND RELATED WORKS

706 707 Mechanistic Interpretability (MI) (Elhage et al., 2021; Olsson et al., 2022) aims to discover in-708 terpretable components of an otherwise blackbox neural network. Such analyses are typically per-709 formed via a series of input perturbations (also known as *patching*) to ablate the effect of individual model components to its predictive behavior (Chan et al., 2022; Meng et al., 2022a;b; Goldowsky-710 Dill et al., 2023). MI has been successfully applied to natural language tasks that feature a controlled 711 output space on small-scale pre-trained LMs (Wang et al., 2022; Hanna et al., 2024); it has also been 712 applied to study in-context learning and algorithmic behaviors on stylized transformers (Akyürek 713 et al., 2022; Fu et al., 2023; Nanda et al., 2023). While early works put equal focus on circuit dis-714 covery and interpretability, recent ones have emphasized scalable circuit discovery (Conmy et al., 715 2023; Syed et al., 2023; Bhaskar et al., 2024) over extracting human-understandable algorithms, 716 which requires extensive manual effort to examine the computational behavior of model compo-717 nents. Our work integrates recent best practices in circuit discovery to *automate* interpretability.

718 **Parameter-Efficient Fine-tuning** (Mangrulkar et al., 2022) aims to improve model performance on 719 downstream tasks by training only a small portion of parameter relative to the full model. Referring 720 to Ding et al. (2023) for a more detailed survey, most popular approaches exploits the low-rank 721 structure of projection matrices (Hu et al., 2021; Zhang et al., 2023) or introduce a fixed set of scaling 722 and/or bias parameters (Zaken et al., 2021; Liu et al., 2022). Other representative approaches include 723 prompt tuning (Lester et al., 2021; Li & Liang, 2021; Diao et al., 2022) and dynamically identifying 724 tuning parameters via influence functions (Sung et al., 2021). In this work, we primarily investigate 725 LoRA (Hu et al., 2021), AdaLora (Zhang et al., 2023), BitFit (Zaken et al., 2021), and IA3 (Liu et al., 2022). These methods are broadly applied in many production settings, since they are more 726 scalable with commercial hardware and can be served with thousands of replicas simultaneously 727 (Sheng et al., 2023). 728

Bhaskar et al. has studied the change effects of circuits induced by fine-tuning on an entity tracking task, and found that fine-tuning *enhances* existing mechanisms for billion-scale LMs. Our work
extends this study with a more diverse set of tasks and PEFT methods; and more importantly, we have identified that fine-tuning *modifies* existing mechanisms for small LMs.

733 This section presents the evaluation results of all models across various tasks and ablated prompts. 734 Although the model performs well on the IOI ablated tasks after fine-tuning, GPT-2 small struggles 735 with indirect object identification when the main objects are replaced with colors and cities. Specif-736 ically, the model's ability to handle these ablations remains limited, indicating that fine-tuning has 737 not fully generalized the model to different types of input modifications. Moreover, even after fine-738 tuning, the performance on the IOI task ablated with cities is still suboptimal, suggesting that the model's understanding of abstract entities such as locations remains insufficient. These findings 739 highlight the need for more targeted interventions or further fine-tuning strategies to improve model 740 robustness across diverse ablations. 741

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C IOI CIRCUITS ANALYSIS

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This section documents the detailed qualitative results for IOI analysis. Sec. C.1 lists the visualization of comparative circuit analysis. The overlapped nodes are in white colors while the unique nodes to each cicuits are shown in either pink or blue. Sec. C.2 lists the results of logit clustering and attention group visualizations.

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751 C.1 CIRCUIT COMPARISON 752

Comparative circuit analysis on the original IOI tasks can be found in Fig. 6, Fig. 7, Fig. 8, Fig. 9,
Fig. 10, and Fig. 11. The analysis with ablated prompts with animals can be found in Fig. 12, Fig.
13, Fig. 14, and Fig. 17. The analysis with ableted prompts with cities can be found in Fig. 18 and
Fig. 19. Analysis on prompts ablated with colors can be found in Fig. 21.





indicates Full-specific circuits, and white represents shared circuits.













components.

1188	Table 2: IOI circuit performances, rounded to the nearest hundredth. The original GPT-2 model,
1189	due to poor accuracy on colors and cities ablated settings, is not satisfiable for meaningful circuit
1190	investigations on these two tasks. Among fine-tuning methods, cities-ablated prompts pose the most
1191	difficulty for the circuit to preserve full model performance.

1192													
1193	Metric	Ablation	AdaLoRA	Bi	tFit	ł	Full	1	A3	Ι	LoRA	Orig	ginal
1194	Acc	Names	0.95 ± 0.01	0.99 =	± 0.00	0.98	± 0.00	0.97	± 0.0	0.94	4 ± 0.00	0.73 ±	± 0.01
1195		Animals	0.96 ± 0.02	0.99 =	± 0.00	0.99	± 0.00	0.96	± 0.0	1 0.97	7 ± 0.00	0.52 ±	± 0.01
1196		Cities	0.93 ± 0.02 0.74 ± 0.02	0.99 = 0.97 =	$\pm 0.00 \pm 0.01$	0.99	$\pm 0.00 \pm 0.01$	0.90	$\pm 0.01 \pm 0.02$	1 0.90 2 0.79	3 ± 0.00 9 ± 0.00	-	_
1197	LD	Names	5.95 ± 0.16	11.62	± 0.15	11.63	± 0.12	6.15	± 0.10	5.83	3 ± 0.12	3.24 =	± 0.18
1198		Animals	4.61 ± 0.41	12.32	± 0.43	11.03	± 0.34	4.35	± 0.3	5.17	7 ± 0.27	0.86 ±	± 0.07
1199		Colors Cities	4.23 ± 0.42 2 57 ± 0.20	11.50 9.60 -	± 0.39 + 0.38	10.41	± 0.36 + 0.34	4.39	± 0.3 + 0.1	1 4.91 5 3 31	1 ± 0.20 7 ± 0.08	-	-
1200	КI	Names	0.21 ± 0.01	0.05 -	± 0.50	0.02	± 0.04	0.16	+ 0.0	0.20	7 ± 0.00	0.30 -	- ⊢ 0.00
1201	KL	Animals	0.21 ± 0.01 0.09 ± 0.02	0.05 =	± 0.00 ± 0.01	0.00	± 0.01 ± 0.01	0.10	± 0.0 ± 0.0	1 0.20	5 ± 0.01 5 ± 0.01	0.28 ±	± 0.00
1202		Colors	0.10 ± 0.03	0.05 =	± 0.01	0.04	± 0.00	0.09	± 0.01	2 0.07	7 ± 0.00	-	-
203	-	Cities	0.38 ± 0.04	0.11 =	± 0.02	0.21	± 0.03	0.39	± 0.0	3 0.3	1 ± 0.01	-	-
1204	EM	Names Animals	0.95 ± 0.00 0.96 ± 0.02	0.99 =	± 0.00 ± 0.00	0.98	± 0.00 ± 0.00	0.96	± 0.0 ± 0.0) ().94 1 ().97	4 ± 0.00 7 ± 0.00	0.76 ±	± 0.01 ± 0.01
1205		Colors	0.90 ± 0.02 0.95 ± 0.02	$\begin{array}{c} 2 & 0.99 \pm 0.00 \\ 2 & 0.99 \pm 0.00 \end{array}$		0.99 ± 0.00 0.99 ± 0.00		0.96 ± 0.01 0.96 ± 0.01		1 0.97	7 ± 0.00 7 ± 0.00	- 0.00	
1206		Cities	0.77 ± 0.02	0.97 =	± 0.01	0.93	± 0.01	0.77	± 0.01	2 0.82	2 ± 0.00	-	-
207													
208													
1209				Table	e 3: GT	' task	perfori	mance	es				
1210							r						
1211		Finetune N	lethod I	ES	PI)	PD(1	10)	k	Т	KI		
1212		Original	0.99	± 0.00	$0.72 \pm$	0.00	$0.33 \pm$	0.01	0.78	± 0.01	$0.23 \pm$	0.02	
1213		AdaLoRA	0.99	± 0.00	$0.96 \pm$	0.00	$0.62 \pm$	0.01	0.84	± 0.01	$0.38 \pm$	0.02	
1214		BitFit Full	0.99	$\pm 0.00 + 0.00$	$1.00 \pm 0.98 \pm$	0.00	$0.02 \pm 0.53 \pm$	0.00	0.88 :	± 0.00 ± 0.01	$0.20 \pm 0.74 \pm$	0.01	
1215		IA3	0.99	± 0.00	$0.92 \pm$	0.00	$0.55 \pm$	0.01	0.83	± 0.00	$0.33 \pm$	0.01	
1216		LoRA	0.99	± 0.00	$0.92 \pm$: 0.00	$0.58 \pm$	0.01	0.83 :	± 0.01	$0.30 \pm$	0.02	
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Figure 22: Attention grouping results for all components circuit. Grey cells indicate pruned nodes of minimal attention.



Figure 23: Attention grouping results for all finetuning methods circuit. Grey cells indicate pruned nodes of minimal attention.

- 1259 D GT CIRCUIT ANALYSIS
- 1261 D.1 CIRCUIT COMPARISON
- 1263 D.2 LOGIT CLUSTERING AND ATTENTION GROUPING
- 1265 E REPRODUCIBILITY

The implementation of circuit discovery mainly depends on the code from Edge Pruning. More details can be found in their github. The code to perform logit lens and attention grouping will be released upon acceptance.











Figure 28: LoRA Logit Clusters







Figure 31: Circuit difference for GT task: Original vs AdaLoRA. Blue indicates Original-specific components, red indicates AdaLoRA-specific components, and white represents shared components.













Figure 34: Circuit difference for GT task: Original vs IA3. Blue indicates Original-specific components, red indicates IA3-specific components, and white represents shared components.





