# CAN DIFFUSION MODELS DISENTANGLE? A THEORETICAL PERSPECTIVE

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### ABSTRACT

This paper introduces a novel theoretical framework to understand how diffusion models can learn disentangled representations under the assumption of an  $L^2$  score approximation. We also provide sufficient conditions under which such representations are beneficial for domain adaptation. Our theory offers new insights into how existing diffusion models disentangle latent variables across general distributions and suggests strategies to enhance their disentanglement capabilities. To validate our theory, we perform experiments using both synthetic data generated from latent subspace models and real speech data for non-parallel voice conversion - a canonical disentanglement problem. Across various classification tasks, we found voice conversion-based adaptation methods achieve significant improvements in classification accuracy, demonstrating their effectiveness as domain adaptors. Code will be released upon acceptance.

### 1 INTRODUCTION

Diffusion models (DMs) Sohl-Dickstein et al. (2015); Song & Ermon (2019); Ho et al. (2020) are 027 generative models capable of approximating probability distributions by learning noisy versions of their gradients. While such approaches enjoy both empirical successes (e.g., Ramesh et al. (2022) 029 and theoretical guarantees Chen et al. (2023b); Pabbaraju et al. (2023), they tend to represent the latent structure of the underlying distribution implicitly. However, in learning tasks such as control-031 lable generation, it is useful to represent the task-specific latent structure *explicitly* in the generative model to reflect the inductive biases of the problems. One approach, known as conditional dif-033 fusion models (CDMs), achieves this goal with DMs by labeling such variables and conditioning 034 the model on these labels Wu et al. (2023); Yang et al. (2023); Hudson et al. (2024). However, it remains unclear whether and when CDMs can learn an explicit representation that captures the con-035 ditional dependency relations between the variables, especially when some of them are unlabeled. 036 For example, for (approximately) independent latent variables, it is desirable to have a disentangled 037 representation with decomposable parts for each variable. Learning such a representation is called disentanglement. An intriguing theoretical question then arises: what are the fundamental limits for CDMs to learn disentangled representations? A theory capable of answering this question can po-040 tentially lead to more powerful, compositional generative models for a wider range of applications. 041

To answer the question, we focus on one canonical example of the disentanglement problem — 042 *voice conversion* (VC) with *non-parallel* speech recordings. The choice is justified on three grounds. 043 First, the task involves a simple latent variable model but captures the essence of the disentanglement 044 problem. Second, it is practically useful, as many speech data, such as those from underrepresented 045 minorities and subjects with speech impairments, have neither paired target speech nor reliable 046 transcripts for a fully supervised VC. Given only unpaired utterances with speaker identity labels 047 for training, VC tries to change the identity of the source speech to that of the target speech, without 048 modifying other content during inference. To generalize from source-source conversion to sourcetarget conversion, the model has to learn a representation that disentangles the "content" variable from the "speaker" variable in the speech signal during training. Lastly, DM-based VC models 051 (DMVC) have recently revolutionalized the field of VC Popov et al. (2022); Choi et al. (2023); Seed Team (2024) and provided new opportunities for generating realistic synthetic data for speech 052 classification tasks. However it is not fully understood how such models perform disentanglement and improve downstream performance of speech classification systems.

The main contribution of this paper is twofold. First, we develop a novel information-theoretic description of the mechanisms behind DM-based disentanglement under a  $L^2$  score approximation assumption, and prove the benefits of using multiple imperfectly disentangled representation to improve downstream classification tasks. Further, we validate our theory empirically by conducting classification experiments under domain shift on both synthetic and realistic speech classification datasets.

### 2 DIFFUSION-BASED CONTENT-SPEAKER DISENTANGLEMENT

We will use the intuitive terms of voice conversion to describe the general disentanglement problem. In the content-speaker disentanglement problem, a learner is given a noisy speech signal  $X \sim q_{\gamma}$ , which is a function of three random variables Z, G and  $\Xi$ :

$$X := \psi(Z, G, \Xi). \tag{1}$$

The variable  $Z \sim q_Z$  is the *content* of the speech that the learner would like to extract for a *down*stream classification task Y, such as emotion recognition. Further,  $G \sim \gamma$  is the speaker identity of the speech, which is *observable* by the learner but contains little information to the downstream task label Y. Finally,  $\Xi$  is the *hidden noise* independent of Z and G that sets the limit on how well X can predict Z and G. More precisely, we make the following independence assumptions.

Assumption 1. The generative process in Equation 1 possesses the following statistical properties:

1. Disentanglement:  $Z \perp \!\!\!\perp G$ ;

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- 2. Conditional disentanglement: Y Z X G forms a Markov chain;
- 3. Bounded predictivity:  $I(Z,G;X) \leq h(X) + \epsilon_{\psi} \frac{1}{2}\log(2\pi e)^d \epsilon_{\psi}$  for some  $\epsilon_{\psi} > 0$ .

The task of *disentanglement* is then to recover Z given X and G.

One way to approximate Equation 1, as done in the latest diffusion model-based VC systems, is based on *score matching* Song & Ermon (2019). Given some training speech features  $X \sim q_{\alpha} =:$  $q_{\alpha,0}$  and some *auxiliary variables* A = a(X) for some function *a* that contains mostly content information, such as the average spectrogram in the DiffVC system Popov et al. (2022), and the speaker feature  $\hat{G}$ , a diffusion-based voice converter tries to learn  $q_{\alpha}(x)$  by approximating its *gradients* during training by a two-stage process. In the *noising* step, the model injects noise into the input speech following a Markov random process  $\{B_t\}_{t\in[0,T]} \sim Q_{[0,T]}$ :

$$dX_t = f(X_t^{\leftarrow}, A, t)dt + \nu(t)dB_t, X_0 \sim q_{\alpha,0},$$
(2)

for some *parameter-free* functions f and  $\nu$ , wehe we will set process for better comparison with prior works Chen et al. (2023b;a). Denote  $q_{\alpha,t}$  as the distribution of  $X_t$  and  $X_t^{\leftarrow} := X_{T-t}$  and  $\hat{G}_t =: g(X_{< t})$  as the noisy speaker embeddings at time t, such as those from a speaker verification system. In the *denoising* step, the model learns to recover the clean speech features from the noisy features  $X_T$  and the auxiliary variable A by simulating the reverse process:

$$dX_t^{\leftarrow} = \left( f(X_t^{\leftarrow}, A, t) + \nu(T - t)^2 \nabla_x \log q_{T-t}(X_t^{\leftarrow} | A) \right) dt + \nu(T - t) dB_t, \ X_0^{\leftarrow} \sim q_{\alpha, T}.$$
(3)

For the denoising step, the model learns a *score function*  $s : [0,T] \times \mathcal{X} \times \mathcal{G} \mapsto \mathbb{R}$  to minimize the score matching objective:

$$L_{\text{match}}(\theta,\phi) := \mathbb{E}_{t,q_{\alpha,t}} \| s_{\theta}(z_{\phi,t}(X_t), \hat{G}_t, A, t) - \nabla_x \log q_{\alpha,t}(X_t|A) \|^2,$$
(4)

where  $z_{\phi,t}(X_t) =: \hat{z}_t(X_t) =: \hat{Z}_t$  is some *bottleneck* representation aiming to keep only the content information of  $X_t$ . While in practice the gradients  $\nabla_x \log q_{\alpha,t}$  are not available to the model, the objective can be approximated using *conditional* score matching with  $\nabla_x \log q_{\alpha,t|0}(x_t|x_0)$ 's:

$$L_{\text{cmatch}}(\theta,\phi) := \mathbb{E}_{t,q_{\alpha,0}} \mathbb{E}_{q_{\alpha,t|0}} \left\| s_{\theta}(\hat{Z}_t, \hat{G}_t, A, t) + \frac{1}{\sigma^2(t)} (X_t - X_0) \right\|^2$$
(5)

for some time-dependent variance  $\sigma(t)$  depending on the noising schedule. In our analysis, we found that another related loss may be needed to reduce bias in the model during inference similar to the *rectified flow* technique Liu et al. (2023b), where we minimize the score matching objective using generated trajectory:

$$L_{\text{rematch}}(\theta,\phi) := \mathbb{E}_{t,\hat{q}_{\alpha,0}} \left\| s_{\theta}(\hat{Z}_t,\hat{G}_t,A,t) - \nabla_x \log q_{\alpha,t|0}(\hat{X}_{T-t}^{\leftarrow}|A) \right\|^2, \tag{6}$$

113 where the generated trajectory follows the SDE

$$\mathrm{d}\hat{X}_t^{\leftarrow} = \left(f(\hat{X}_t^{\leftarrow}, A, t) + \nu(T-t)^2 s_\theta(\hat{Z}_t, \hat{G}_t, A, t)\right) \mathrm{d}t + \nu(T-t) \mathrm{d}B_t, \ \hat{X}_0^{\leftarrow} = X_T.$$
(7)

The overall training objective of the model is then

$$L(\theta, \phi) = L_{\text{cmatch}}(\theta, \phi) + L_{\text{rematch}}(\theta, \phi).$$
(8)

During inference, the VC takes as input the source speech  $X^1 \sim q_{\beta,0}$  and the target speech  $X^2 \sim q_{\beta,0}$  with a speaker embedding  $\hat{G}^2$ , and generates converted speech  $X^{1\to 2} =: X_T^{2\leftarrow 1}$  via

$$dX_t^1 = -f(X_t^1, A, t) + \nu(t)dB_t, X_0 \sim q_{\beta,0},$$

$$dX_t^{2\leftarrow 1} = (f(X_t^{2\leftarrow 1}, A, T-t) + \nu(T-t)^2 s_{\hat{\theta}}(t, \hat{z}_t(X_t^{2\leftarrow 1}), \hat{G}_t^2, A)dt + \nu(T-t)dB_t^{\leftarrow}, X_0^{2\leftarrow 1} = X_T^1$$
(10)

127 One intriguing aspect of DMVC is that unlike in the AEVC Qian et al. (2019), there is more than 128 one bottleneck variable involved during inference in Equation 9, namely, the time-dependent  $X_T$ 129 and the time-independent A. Further, the noise contained in  $X_T$  is task-independent and does not 130 fully remove the speaker information in general. Nevertheless, we argue that combining  $X_T$  and A 131 indeed constrains the information flow, since the reverse process itself can constrain the information 132 flow due to both the constrained class of score function in each time step. To make the notion more 133 precise, we propose the following definition of *implicit bottleneck*.

**Definition 1.** For any score function with reverse process  $\{X_t^{\leftarrow}\}_{[0,T]}$ , the function  $\zeta : \mathcal{X}_{[0,t]} \mapsto \mathbb{R}^{d_{\zeta}}$ is an implicit bottleneck at time t if there exists functions  $\gamma : \mathcal{G} \times \mathbb{R}^{d_x}_{[0,t]} \mapsto \mathbb{R}^{d_{\gamma}}$  and  $\eta : \mathbb{R}^{d_{\zeta}} \times \mathbb{R}^{d_{\gamma}} \mapsto \mathbb{R}^{d_x}$  such that

$$X_t^{\leftarrow} = \eta(\zeta(X_{\leq t}^{\leftarrow}), \gamma(G_{\leq t}, B_{\leq t}^{\leftarrow})). \tag{11}$$

Further, define  $\zeta^* := \zeta(\hat{X}_{\leq t^*})$  to be an implicit bottleneck variable, where  $t^*$  is the largest time step t such that there exists an implicit bottleneck at time t.

One way to design implicit bottleneck is to decompose the score function as

$$s_{\theta}(\hat{Z}_t, \hat{G}_t, A, t) =: s_{\theta}^Z(\hat{Z}_t, A, t) + s_{\theta}^G(\hat{G}_t, t).$$

$$(12)$$

Plugging this into Equation 7, the reverse SDE yields

$$X_t^{\leftarrow} = \underbrace{X_0^{\leftarrow} + \int_0^t (f(\hat{X}_{\tau}^{\leftarrow}, \tau) + \nu(T - \tau)^2 s_{\theta}^Z(\hat{Z}_{\tau}, \tau)) \mathrm{d}\tau}_{=:\zeta(X_{< t}^{\leftarrow})} + \underbrace{\int_0^t \nu(T - \tau)^2 s_{\theta}^G(\hat{G}_t, \tau) \mathrm{d}t + \int_0^t \nu(T - \tau) \mathrm{d}B_{\tau}}_{=:\gamma(G_{< t}, B_{< t}^{\leftarrow})}$$

Using the implicit bottleneck, the converted speech features generated by the diffusion model-based VC are then

$$\hat{X}^{a \to b} := \hat{\psi}(\zeta(X^{a}_{< t^{*}}), \hat{g}(X^{b}_{< t^{*}}), \Xi^{a \to b}) =: \hat{\psi}(\zeta^{a}, \hat{G}^{b}, \Xi^{a \to b}) \sim \hat{q}_{X^{a \to b}}(\cdot), \, \forall a, b \in \{1, 2\}.$$
(13)

for some  $\hat{\psi}$  deterministic function and independent random noise  $\Xi_{a \to b}$ 's introduced by the noising process.

159 2.1 GENERAL CASE

To facilitate analysis, we would need the following definitions and assumptions.

**Definition 2.** Two variables X and Y are  $\epsilon$ -disentangled if there exists  $\epsilon > 0$  such that  $I(X;Y) \leq \epsilon$ .

162 163	<b>Definition 3.</b> A VC is $\epsilon$ -semantically matched if the target speech $\hat{X}^2$ and the converted speech $\hat{X}^{1\to 2}$ obey max $\epsilon_{\mathcal{I}} d_{\mathcal{I}} $
164	A summtion 2. The following holds for the diffusion process in Equation 2 and the score matching
165	Assumption 2. The following notas for the affusion process in Equation 5 and the score matching model trained with Equation 5:
166	model trained with Equation 5.
167	1. There exists an implicit bottleneck variable $\zeta^*$ such that
168	$I(\zeta^* \colon X) < I(Z \colon X) + \epsilon_Z \tag{14}$
169	where $t^*$ is the largest time step t such that there exists an implicit bottleneck at time t
170	where t is the targest time step t such that there exists an implicit bottleneck at time t.
171	2. The speaker embedding representation $\hat{G}$ and the true speaker representation G satisfy
172	$\max \{ \ \hat{C} - C\ _{\infty}   I(\hat{C}; X) - I(C; X) \} \le \epsilon_{\infty} $ (15)
173	$\max\{\ G - G\ _{2},  I(G, A) - I(G, A) \} \le \epsilon_{G} $ (15)
174	3. The constrained score function is able to approximate the true score function up to an
175	error:
176	$\min L_{\text{cmatch}}(\theta, \phi) + L_{\text{rematch}}(\theta, \phi) =: L^* < \epsilon_{\text{corres}}^2. $ (16)
177	$\theta, \phi$ = score ( )
178	4 The content variable Z and the speaker variable G are $\epsilon_{\pi}$ -disentangled given the implicit
179	<i>bottleneck (*:</i>
180	$I(Z;C \ell^*) < \epsilon_{\pi} \tag{17}$
181	$I(2, O \varsigma) \ge c_T. \tag{17}$
182	5. Both the content $p_Z$ and speaker distributions $\alpha, \beta$ have bounded support, and the speaker
183	distribution is isotropic.
184	6 The greech fortune distribution of has been ded accord moment
185	6. The speech feature distribution $q_{\gamma,0}$ has bounded second moment.
186	7. The true score function is Lipschitz in x, and the estimated score function $s_{\theta}(z, g, a, t)$ is
187	$C_{\text{score}}$ -Lipschitz in $t, z$ and $g$ .
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189	We are now ready to state the main result of this part.
190	<b>Theorem 1.</b> If Assumption 1-2 hold, and $f(X_t, A, t) = \frac{\nu^2(t)}{2} (\mu(A, t) - X_t)$ for some parameter-free
191	function $\mu$ , then $\zeta^*$ and $\hat{G}$ are $\epsilon_D$ -disentangled and the diffusion VC is $O(\sqrt{\epsilon_M} + \epsilon_{\text{score}})$ -semantically
192	matched for $\epsilon_D = O(\epsilon_A + \epsilon_Z + \epsilon_G + \log \frac{(\epsilon_{\text{score}} + \epsilon_G)T}{2})$ and $\epsilon_M = O(\sqrt{\epsilon_Z + \epsilon_D})$
193	$\lim_{t \to \infty} c_{t} c_{t} = c_{t} + c_{t$
194	Item 4 in Assumption 2 may seem artificial at first glance, but we provide a failure example in
195	Appendix D to show that it is indeed necessary to preserve the content of the source speech. Further,
196	without item 4, we prove a weaker version of Theorem 1 in Appendix C.
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198	2.2 SPECIAL CASE: LINEAR SUBSPACE MODEL
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200	One scenario our theory can be applied to is the <i>latent subspace model</i> (LSM), previously adopted
201	by classical speaker representation methods such as the <i>t-vector</i> model Denak et al. (2011) and neid
202	$\mathbf{D}_{\mathbf{r}} \mathbf{G}_{\mathbf{r}} \mathbf{f}_{\mathbf{r}} \mathbf{f}$
203	<b>Demnition 4.</b> A latent subspace model is the following generative process:
204	$Z \sim p_Z,  G \sim \alpha,  X = A_Z Z + A_G G, \tag{18}$
205	where $A_Z \in \mathbb{R}^{d_X \times d_Z}$ , $A_G \in \mathbb{R}^{d_X \times d_G}$ are orthogonal matrices and the subspaces for the content
206	and speaker are orthogonal and span the whole space, i.e., $R(A_Z)^{\perp} = R(A_G)$ with $d_Z + d_G = d_X$ ,
207	where $R(A)$ is the column space of matrix <u>A</u> . Further, let <u>X</u> <sub>t</sub> be the noisy feature variable at time t
208	of the diffusion process and define $Z_t := A_Z^{\top} X_t, G_t := A_G^{\top} X_t$ .
209	For I SM, we will prove that the model is able to learn a disentangles representation without any
210	auxiliary labels. To this end, we consider the following <i>regularized</i> score matching loss with a
211	<i>decomposable</i> score function as in Equation 12:
212	$I \longrightarrow (\theta_{\tau}, \theta_{\sigma}, U, U', V) := \mathbb{R},    U_{\theta_{\tau}}, (U'^{\top}, V)  + V_{\theta_{\tau}}, (C') = \nabla   _{\partial \mathcal{D}} = (V)   ^{2} $ (10)
213	$\mathbb{E}_{\text{match}}(v_Z, v_G, \psi, \psi) := \mathbb{E}_{t,q_{\alpha,t}} \  \psi  \mathcal{S}_{\theta_Z}(\psi - \Lambda_t) + \psi  \mathcal{S}_{\theta_G}(\Theta_t) - \psi_x \log q_{\alpha,t}(\Lambda_t) \ _2,  (19)$
214	$L_{\mathrm{reg}}(\theta_G, V) := \mathbb{E}_{t, q_{\alpha, t}} \  V s_{\theta_G}(G_t) - \nabla_x \log q_{\alpha, t}(X_t) \ _2^2, $ $(20)$
215	$\tilde{L}_{\text{match}}(\theta_Z, \theta_G, U, \text{proj}_{\mathcal{O}}U, V) := L_{\text{match}}(\theta_Z, \theta_G, U, \text{proj}_{\mathcal{O}}U, V) + \lambda L_{\text{reg}}(\theta_G, V), $ (21)
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for some weighting  $\lambda > 0$ , where  $U \in \mathbb{R}^{d_X \times d_U}$ ,  $V \in \mathbb{R}^{d_X \times d_G}$ ,  $\operatorname{proj}_{\mathcal{M}}$  denotes the projection onto set  $\mathcal{M}$  and  $\mathcal{O}$  is the Stiefel manifold of size  $d_Z$ .

219 Further, we need the following assumption of the subspace score functions.

**Assumption 3.** The operator norms of the covariance of the content and speaker score functions obey  $\min_{U \in \mathcal{O}} \{ \|\mathbb{E}_{t,q_{\alpha,t}} \nabla_{U^{\top}x} \log p_{U^{\top}X_t} (U^{\top}X_t) \nabla_{U^{\top}x} \log p_{U^{\top}X_t} (U^{\top}X_t)^{\top} \|_{op} \} =: \lambda_{\min} > 0.$ 

We show that the objective Equation 21 of LSM recovers the true content and speaker subspaces.

**Theorem 2.** For the linear subspace model 4 and the objective in Equation 21, and suppose  $d_U \le d_Z$ , then any minimizer  $(U^*, V^*)$  of Equation 21 satisfy  $R(U^*) = R(A_Z)$  and  $R(V^*) = R(A_G)$ .

226 **Remark 1.** Assumption 3 is mild and similar assumption has been made in Chen et al. (2023a).

**Remark 2.**  $L_{reg}$  is a novel regularizer that could lead to new disentanglement algorithms with better convergence properties.

Remark 3. To learn the LSM, one can use an analogous simplified U-net architecture proposed in
 Chen et al. (2023a), as done in our synthetic experiments.

Further, we analyze the training dynamics of gradient-based methods for LSM disentanglement by
 considering the following system of gradient flow equations:

$$V = -\nabla_V L_{\rm reg}(\theta_G^*, V), \tag{22}$$

$$\dot{U} = -\nabla_U L_{\text{match}}(\theta_Z^U, \theta_G^*, U, \text{sg}(\text{proj}_\mathcal{O}U), \hat{V}), \qquad (23)$$

where  $s_{\theta_G^*} = \nabla_g \log \alpha_t(G_t)$ ,  $s_{\theta_Z^U} = \nabla_{U^\top x} \log p_{U^\top X_t}$  and  $\dot{x}$  denote the time derivative during the gradient flow, sg denotes the stop-gradient operation, and  $\hat{x}$  denotes a stationary point of the gradient flow for  $\dot{x}$ . The following theorem on the training dynamics requires an additional assumption.

**Assumption 4.** For nonzero any matrix  $U \in \mathcal{O}$  such that  $R(U) \cap R(A_Z) \neq \emptyset$ ,

 $\|\mathbb{E}_{t,q_{\alpha,t}}\nabla_z \log p_{Z_t}(Z_t)\nabla_{U^{\top}x} \log p_{U^{\top}X_t}(U^{\top}X_t)^{\top}\|_{\mathrm{op}} > 0.$ 

**Theorem 3.** Suppose  $d_U \le d_Z$ , the system of gradient flow equations in Equation 22-23 converges to a stationary point  $(\hat{U}, \hat{V})$  such that  $R(\hat{U}) = R(A_Z)$ ,  $R(\hat{V}) = R(A_G)$ .

Remark 4. Equation 22-23 require access to a score function oracle along subspaces, which can be
learned using gradient-based methods up to small error for distributions such as GMM Shah et al.
(2023). Analysis with noisy score estimation will be left as future work.

**Remark 5.** Once the content subspace  $\hat{U}$  is learned using the unconditional score function, a O( $\sqrt{\epsilon_M} + \epsilon_{\text{score}}$ )-semantically matched VC can be obtained by training another conditional score function  $A := \hat{U}^\top X_0$  as auxiliary label, as guaranteed by Theorem 1.

3 DOMAIN ADAPTATION USING IMPERFECTLY DISENTANGLED REPRESENTATIONS

Learning a disentangled representation is especially beneficial for downstream tasks where there is a *domain mismatch* in the speaker variable G during training and testing. This is a common scenario in speech classification tasks such paralinguistic classification, where due to data scarcity, the subjects used during training of the classifier never overlap with those in actual deployment of the classifier. In other words, given speech features  $(X_1, Y_1), \dots, (X_n, Y_n)$  paired with multi-class labels  $Y_1, \dots, Y_n$ , where each recording-label pair  $(X_i, Y_i)$  is sampled as follows:

- 1. Sample the content  $Z_i \sim q_Z$ ;
- 2. Sample the speaker  $G_i \sim \alpha$  and noise  $\Xi_i$  so that  $X_i = \psi(Z_i, G_i, \Xi_i)$ ;
- 3. Sample a label  $Y_i \sim q_{Y|X=X_i}$ .

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During inference, the recording-label pairs are sampled from the same type of process but with a different speaker distribution  $\beta \neq \alpha$ . A multi-class classifier  $h : \mathcal{X} \mapsto \{1, \dots, |\mathcal{Y}|\}$  is then evaluated using the *zero-one loss* defined as:

$$\mathbb{L}_P(h) := \mathbb{E}_{(X,Y)\sim P_\alpha} \mathbb{1}[h(X) \neq Y], \tag{24}$$

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where  $P_{\beta}(x,y) = q_{\beta}(x)q_{Y|X=x}(y)$ . We are particularly interested in how well the predictor *generalizes* to unknown speaker distribution, or the loss during inference where  $X' \sim q_{\beta}$ .

To perform speech classification using voice-converted speech, we propose a simple *adapt-and-vote* scheme. In the adaptation step, we first use a zero-shot VC system to convert the original speech corpus to multiple approximate single-speaker corpora with a random target speaker embedding from some sub-gaussian distribution  $\rho$ :

$$X^{\gamma,\hat{G}} := \hat{\psi}(\zeta^*(X), \hat{G}, \Xi) \sim q_{\gamma}^{\hat{G}}, X \sim q_{\gamma}, \hat{G} \sim \rho, (X^{\gamma,\hat{G}}, Y) \sim P_{\gamma}^{\hat{G}}.$$
(25)

To simplify notations, we will omit the dependence on  $\gamma$  when the context is clear. Next, we train a single-speaker, multi-class classifier on the converted training set as  $\tilde{f}^G(x) \in \arg \min_{f \in \mathcal{H}} L_{P_{\alpha}^G}(f)$ .

Further, let  $\tilde{f}^G(y|x)$  be the *estimated posterior probability* of the model, and let  $f^G(y|X) := \mathbb{E}_{X^G}[\tilde{f}^G(y|X^G)|X], f^G(X) = \arg \max_y f^G(y|X)$  be the *conditional expectaction* of  $\tilde{f}^G(y|X^G)$  given the original speech.

When the VC system achieves perfect disentanglement, by Theorem 1,

$$d_{\mathrm{TV}}(P^G_{\alpha}, P^G_{\beta}) \leq \mathbb{E}_{q_{YZ}} d_{\mathrm{TV}}(q_{X^{\alpha, G}|Z}, q_{X^{\beta, G}|Z}) \approx 0, L_{P^G_{\beta}}(\tilde{f}^G) \approx L_{P^G_{\alpha}}(\tilde{f}^G) \approx \min_{h} L_{P^G_{\alpha}}(h)$$

However, in reality, converted speech from the VC suffers from target speaker-dependent *distortions*and fails to fully close the train-test domain gap. We introduce the notion of *speaker distortion*defined as follows to quantitatively describes this effect.

**Definition 5.** A random single-speaker classifier  $Y^{g'} \sim f^{g'}(\cdot|X)$  is  $(\kappa_1, \kappa_2)$ -speaker distorted if for all  $x \in \mathcal{X}$  and  $g \in \mathcal{G}$ ,  $D_{\mathrm{KL}}(f^{g'}(\cdot|x))||f^g(\cdot|x)) \ge \kappa_1 ||g' - g||_2^{\kappa_2}$ .

To cope with the distortion issue, we propose an additional *majority voting* step using predictions from the single-speaker classifiers. We consider both the *hard* voting scheme and the *soft* voting scheme:

$$f_{\mathrm{mv}}^{\mathrm{hard}}(x) := \arg\max_{y} \mathbb{E}_{G \sim \rho} \mathbb{1}[f^{G}(x) = y], \ f_{\mathrm{mv}}^{\mathrm{soft}}(x) := \arg\max_{y} \mathbb{E}_{G \sim \rho} f^{G}(y|x).$$
(26)

Note that the soft voting scheme is a generalization to the hard voting scheme considered in the theory Theisen et al. (2023), where they simply set  $f^G(y|x) = \mathbb{1}[f^G(x) \neq y]$ . The soft majority voting scheme is also more widely used in deep ensemble methods based on random initialization Abe et al. (2022). Therefore, we will focus our attention to the soft majority vote case. One can relate the majority vote error rate to the average error rates of *random* classifiers with random predicted label  $Y^G \sim f^G(\cdot|X)$ . We can also extend the definition of the error rate  $L_P(f^G)$  to random classifiers as

$$L_P^{\text{soft}}(f^G) := \mathbb{E}_{(X,Y)\sim P_\beta} \mathbb{E}_{Y^G \sim f^G(\cdot|X)} \mathbb{1}[Y^G \neq Y] = 1 - \mathbb{E}_{(X,Y)\sim P_\beta} f^G(Y|X).$$
(27)  
By definition we have  $L_P^{\text{soft}}(\mathbb{1}[f^G(\cdot) = \cdot]) = L_P(f^G).$ 

To evaluate the of ability of  $f_{\rm mv}^{\rm soft}(x)$  and  $f_{\rm mv}^{\rm hard}$  to reduce the effect of speaker distortion, we adopt the *ensemble improvement rate* (EIR) Theisen et al. (2023) in Appendix G.

To relate EIR to the amount of speaker distortion, we first make the assumption that the estimated posteriors  $f^G(y|x)$ 's have bounded magnitudes, as is the case in neural network classifiers with softmax activations.

Assumption 5. For all speech features  $x \in \mathcal{X}$ , speaker embeddings  $g \in \mathcal{G}$  and labels  $y \in \mathcal{Y}$ , there exists  $\delta_1, \delta_2, \delta_3 > 0$  such that the estimated posteriors  $f^G(y|x)$  satisfy

$$\max_{y'} f^G(y'|x) \in \left[\frac{1}{|\mathcal{Y}|} + \delta_1, 1 - \delta_2\right], \ f^G(y|x) \ge \delta_3.$$

$$(28)$$

Now we provide the proof of upper bounds on EIR for the majority vote classifiers.

**Theorem 4.** If Assumption 2 and Assumption 5 hold, and the single-speaker posteriors  $f^G(Y|X)$ and classifiers  $f^G(x)$  are competent (see Appendix G) and  $(\kappa_1, \kappa_2)$ -speaker distorted, then the EIRs for the hard and soft majority vote classifiers, EIR<sup>hard</sup> and EIR<sup>soft</sup> satisfy

$$\operatorname{EIR}^{\operatorname{hard}} \le \frac{3|\mathcal{Y}| - 4}{|\mathcal{Y}|} - \frac{2|\mathcal{Y}| - 2}{|\mathcal{Y}|} \frac{1 - 2\exp(-C'|c\sqrt{d_G} - (\delta^*/\kappa_1)^{1/\kappa_2}|^2)}{\mathbb{E}_{\rho}L_{P_{\beta}}(f^G)},$$

$$\operatorname{EIR}^{\operatorname{soft}} \leq \frac{4a_1|\mathcal{Y}| - 4a_1 - 1}{|\mathcal{Y}|} + \frac{2|\mathcal{Y}| - 2}{|\mathcal{Y}|} \frac{a_2 + a_3 \exp(-C'|c\sqrt{d_G} - (\delta^*/\kappa_1)^{1/\kappa_2}|^2)}{\mathbb{E}_{\rho} L_{P_{\beta}}(f^G)}$$



Figure 1: Synthetic disentanglement experiments using 2-d LSGMM with 1-d content (Z) and speaker (G) subspaces along x and y axes respectively. The gradient fields are computed using the learned unconditional score network  $s_{\mu,U}^Z$  and  $s_{\nu,V}^G$  and the recovered subspaces learned by both types of score networks.

### 4.1 DISENTANGLEMENT EXPERIMENTS ON SYNTHETIC DATA

To evaluate our theory, we first perform disentanglement experiments on synthetic datasets. To this end, we generate two synthetic dataset using LSGMMs. More details are included in Appendix H.

Table 1: Datasets and VC-adapted classifiers used during realistic data experiments

	$ \mathcal{Y} $	Feature	Classifier	#Classifiers	Reference	VC	DM-based	Reference
IEMOCAP	4	wav2vec 2.0 base	MLP	8	Busso et al. (2008)	TriAAN-VC	No	Park et al. (2023)
ADReSS	2	whisper-medium	SVM	15	Luz et al. (2020)	KNN-VC	No	Baas et al. (2023)
ALS-TDI	5	whisper-medium	SVM	15	Vieira et al. (2022)	Diff-VC	Yes	Popov et al. (2022)

### 4.2 VOICE-CONVERSION ADAPTATION ON REALISTIC DATASETS

To further evaluate our theory, with a particular focus on our theory on VC adaptation, we perform VC adaptation experiments on a variety of realistic datasets with a variety of voice conversion models, as listed in Table 1. The datasets cover diverse speech classification tasks including emotion recognition (IEMOCAP Busso et al. (2008)) and speech biomarker impairment classification such as Alzheimer detection (ADReSS Luz et al. (2020)) and Amyotrophic Lateral Sclerosis (ALS) severity classification (ALS-TDI Vieira et al. (2022)). More details are included in Appendix H.

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Table 2: Overall results on realistic datasets. More details can be found in Appendix H. All metrics are between 0-100. A: single (average); B: single (best); MV: majority vote; SMV: soft majority vote.

	Impairment						Emotion					
VC type	ALS-TDI, F1↑				ADReSS, F1↑			IEMOCAP, Acc. $(5-fold)\uparrow$				
	A	В	MV	SMV	А	В	MV	SMV	А	В	MV	SMV
No VC	54.9	54.9	54.9	54.9	70.6	70.6	70.6	70.6	71.5	71.5	71.5	71.5
Pitch shifting	55.8	60.3	57.6	61.5	71.2	77.1	77.1	68.8	60.6	55.1	61.1	61.1
KNN-VC	<u>55.8</u>	<u>61.7</u>	<u>64.8</u>	49.9	71.5	<u>79.2</u>	<u>79.2</u>	<u>83.3</u>	70.4	69.3	71.4	71.5
TriAAN-VC	55.7	60.7	61.7	53.3	72.4	75.0	77.1	83.3	65.1	64.1	66.8	67.2
Diff-VC	47.0	51.2	50.3	49.2	65.6	69.4	66.7	70.8	<u>87.0</u>	<u>94.3</u>	<u>96.5</u>	<u>97.2</u>

#### 4.3 **RESULTS ON SYNTHETIC DATASETS**

We conduct experiments on latent subspace GMMs (LSGMM), which are LSM with each sub-396 space being a Gaussian mixture models (GMM). First, we visualize the process of disentanglement of DM for the 2-D LSGMM with 1-D content and speaker subspaces by plotting the gradient fields learned by the unconditional score function  $s_{\mu,U}^Z$  and  $s_{\nu,V}^G$  and the recovered subspaces learned by both the conditional and unconditional models, as shown in Figure 1. Both the unconditional and conditional score

400 networks are able to disentangle Z and G by ap-401 proximating the correct subspaces and the cor-402 responding content and speaker score functions 403 as shown in Figure 1b and Figure 1c respec-404 tively. Further, Figure 1d and Figure 1e demon-405 strate that both models are able to approximate 406 the target speech distribution  $X^{2\rightarrow 2}$  using the 407 converted speech  $X^{1 \rightarrow 2} \sim q_{X^{1 \rightarrow 2}}$  from the 408 mismatched content-speaker pair  $(\hat{Z}^1, \hat{G}^2)$ , as 409 predicted by Theorem 6. However, as shown 410 in Figure 1f, while the converted speech by 411 the unconditional model has content variables 412 evenly distributed across different mixtures, the content variable of the conditional model 413 is strongly correlated with the source speech, 414 showing that the conditional model is able to 415 preserve semantic information while the uncon-416 ditional model is not. This suggests at least the 417 standard noise schedule makes  $X_T$  alone a poor 418 information bottleneck for VC purposes, and 419 good auxiliary labels can be essential for learn-420 ing semantically matched VCs as predicted by 421 Theorem 1.

422 Further, Figure 2 shows the subspace recovery 423 error as a function of the number of columns 424 of the learnable matrix U. As predicted by 425 Theorem 2, the LSGMM achieves the smallest 426 subspace reconstruction error when the dimen-427 sion of U matches the true content subspace at



Figure 2: Subspace recovery error vs. learnable subspace dimension for an LSGMM using various variance schedules and two types of auxiliary labels. The score function is a small multilayer perceptron (MLP) described in Appendix H. The total dimension  $d_X = 10$ , and the true content dimension subspace  $d_Z = 5$ . The subspace recovery error is the distance between the projection matrix of two spaces normalized by  $d_Z$ , and takes value between [0, 2]. DM consistently recovers the correct content subspace and achieves disentanglement when the learnable subspace dimension  $d_U$  matches  $d_Z$ .

428  $d_{\hat{x}} = 5$ , and the result is consistent across different variance schedules. Further, as all the score 429 networks are neural networks trained using gradient-based method, the result also provides empirical support for Theorem 3. Also, we found that the conditional and unconditional models achieve 430 similar level of error, suggesting that the conditional model training is more effective for learning 431 the semantic correspondence between the source and target speech than refining the subspace.

### 5 RESULTS ON REALISTIC DATASETS

The results on the realistic datasets are summarized in Table 2 and Figure 3.

Each plot depicts the classification performance as a function of the number of target speakers used to perform VC adaptation. For each target speaker number, we randomly select 4 speaker combinations.

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Adding target speakers reduces speaker distortion As shown in Figure 3, macro-F1 improves
 steadily as the number of speakers increases, suggesting that having more target speakers can reduce
 the effect of speaker distortion as predicted by Theorem 4. The trend is noisier for speech impairment
 detection datasets such as ALS-TDI and ADReSS, which makes sense as they are relatively small
 in size.

**Different VC excels at different tasks** However, we found that different VCs excel at different tasks.

For ALS severity classification as shown in Ta-448 ble 2, KNN-VC achieves the best performance 449 among the VCs, reaching 65% macro-F1 with 450 15 target speakers and hard majority voting, 451 compared to 54.9% when training without VC 452 adaptation and 61.7% with pitch shifting. For 453 cognitive impairment detection as shown in Ta-454 ble 2, TriAAN-VC performed the best followed 455 by the KNN-VC method, both achieved 83.3% macro-F1 with soft majority voting, which is 456 12.7% better than methods without VC adapta-457 tion and 14.5% and 6.2% better than the pitch 458 shifting adaptation using hard and soft majority 459 voting respectively. On IEMOCAP, we found 460 that Diff-VC performs the best, reaching an av-461 erage of 97.2% accuracy, which is 25.7% bet-462 ter than the no-VC classifier and 36.1% than 463 the pitch shifting adaptation. Though a phe-464 nomenon out of the scope of predictions by our 465 theory, we hypothesized that such "specializa-466 tion" of the VC methods is due to the different 467 level of generalization ability of different VCs to latent variables other than the speaker iden-468 tity, such as recording conditions and health 469 conditions of the speaker. For instance, Diff-470



Figure 3: A closer look into classification performance vs. number of target speakers for VC adapation on IEMOCAP. Having more target speakers for conversion consistently improves classification results.

VC does not perform well on ALS compared to KNN-VC, probably due to the domain mismatch
 between the health conditions of its training set, which contains little pathological speech, compared to KNN-VC which uses the WavLM representation trained on much larger speech dataset with diverse speech.

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**Tradeoff between classifier accuracy and diversity** As to the advantage of hard vs. soft voting, 476 we observe different trends across different datasets and VC methods. On ALS-TDI, hard voting 477 works better than soft voting by 8.4% and 16% for the best two methods Diff-VC and KNN-VC, 478 though worse by 3.9% and 1.3% for pitch shifting and Diff-VC. On IEMOCAP, the gap between 479 soft and hard voting is negligible, with soft majority voting shows a 0.1%-0.7% edge over hard 480 majority voting across VC methods. On ADReSS, we found soft voting methods to be better than 481 hard voting for all the VC methods by 4.1% - 6.2%, while worse for the pitch shifting method by 482 8.3% (68.8% vs. 77.1%). Since soft voting uses a random classifier for voting, it tends to perform 483 well when the model is "confidently" correct and "hesitantly" wrong, as it puts more weights on confident classifiers than hesitant ones. This suggests that the average confidence score estimated 484 in terms of the classifier posteriors on incorrect examples will be high for classifier ensembles that 485 perform well with hard voting than soft voting.

## 486 6 RELATED WORKS

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**Disentangled representation learning** The concept of disentanglement we adopt is first defined 489 explicitly as a generalization of statitical independence Tishby et al. (1999) based on mutual in-490 formation, though other definitions exist, e.g., Higgins et al. (2018). Disentanglement is a crucial 491 concept for deep learning in fields such as representation learning Bengio et al. (2013); Schmidhuber 492 (1992); Tschannen et al. (2018) and voice conversion Qian et al. (2019); Wang et al. (2021a); Popov 493 et al. (2022), and neural network-based architectures have been proposed to learn disentangled rep-494 resentation Hsu et al. (2017); Higgins et al. (2017); Kim & Mnih (2018); Chen et al. (2016); Wu 495 et al. (2023); Yang et al. (2023); Hudson et al. (2024) among others, though theoretical understand-496 ing of such models remain limited. To understand the learnability of disentangled representation, 497 Locatello et al. (2019) proved a no-free-lunch theorem on disentanglement inspired by classical re-498 sults in independent component analysis Comon (1994). Motivated by the task of VC, Qian et al. (2019) proves that for the content-speaker latent variable model, content-speaker disentanglement is 499 indeed possible when the speaker variable is observed, a result our theory extends to DMVCs and 500 generalizes to noisy, continuous content and speaker variables. 501

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503 **Diffusion model theory** Early works on DMs focus on their ability to learn general data dis-504 tributions, under different assumptions on statistical properties of the data distribution such as 505 log-Sobelev inequality Lee et al. (2022), and bounded moments Block et al. (2020); Chen et al. 506 (2023b) and score approximation accuracy in terms of  $L^{\infty}$ -accuracy Bortoli et al. (2021) and  $L^2$ -507 accuracy Lee et al. (2022); Chen et al. (2023b). Others attempt to understand the benefit of DM over 508 maximum-likelihood-based generative models Pabbaraju et al. (2023). More recent works have 509 started to analyze the ability of DM to learn latent low-dimensional subspace Chen et al. (2023a) 510 and manifold structure Bortoli et al. (2022). Further, Fu et al. (2024) studies the convergence properties of CDMs for a variety of latent variable learning tasks and the role of classifier-free guidance 511 in such tasks. 512

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**Ensembling theory** Our theory on combining multiple imperfectly disentangled representation 515 for domain adaptation is inspired by earlier works on the statistical learning theory of ensembling 516 methods Langford & Shawe-Taylor (2002); Germain et al. (2015); Masegosa et al. (2020); Theisen 517 et al. (2023), which has seen success in both machine learning Breiman (1996; 2001) and deep 518 learning applications, e.g., Ovadia et al. (2019); Fort et al. (2019); Ashukha et al. (2020). Along this 519 direction, Langford & Shawe-Taylor (2002) gives a simple PAC-Bayes bound on the error rate of 520 majority vote classifier to be no more than twice of the average error of the individual classifiers. 521 Germain et al. (2015) proposed the C-bounds for binary majority vote classifiers in terms of their 522 average pairwise disagreement, which could be much tighter than the simple bound. Masegosa et al. 523 (2020) extends the C-bound to general multi-class setting and demonstrate that it is strictly better than the average single-classifier errors under stronger conditions. Theisen et al. (2023) relaxes 524 the condition in Masegosa et al. (2020) and improve their bound by a factor of 2. Others have used 525 different loss functions such as cross entropy Abe et al. (2022) and challenge the connection between 526 the diversity of classifiers and the success of ensemble methods. 527

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# 7 CONCLUSION

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532 In this work, we propose a theory for understanding the ability of diffusion model to disentangle 533 latent variables and how imperfect disentanglement in general can benefit classification tasks. By 534 studying the roles of diffusion noise, auxiliary variables, score network design and training dynam-535 ics, our theory provides a unified framework for DM-based disentanglement. Rigorous synthetic 536 experiments as well as extensive experiments on realistic datasets provide evidence to support our 537 theory. Our experiment also demonstrates the limitations of current DMVC models, such as the robustness against certain domain shifts not prevented by disentanglement during training. Future 538 works include thoroughly understanding the training dynamics of the DM-based disentanglement and apply our theory to design more powerful DMVCs.

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A PROOF OF THEOREM 1

To prove Theorem 1, we first prove the following theorem.

**Theorem 5.** Suppose Assumption 1-2, the following holds

$$\max\{I(\zeta^*; \hat{G}), I(\zeta^*; G)\} \le \max\{\epsilon_{\psi} + \epsilon_Z + \epsilon_G + \frac{1}{2}\log\frac{2TC_1\epsilon_{\text{score}}^2}{\epsilon_{\psi}^2}, \\ \epsilon_{\psi} + \epsilon_Z + \frac{1}{2}\log\frac{2TC_1\left(\epsilon_{\text{score}}^2 + 2\sqrt{2}C_{\text{score}}\epsilon_G\epsilon_{\text{score}} + C_{\text{score}}^2\epsilon_G^2T\right)}{\epsilon_{\psi}^2}\} =: \epsilon_D.$$
 (29)

Provided that Theorem 5 is true, we can then prove Theorem 1 as follows. First, by Assumption 1, Equation 17 and Theorem 5,

$$I(Z,\zeta^*;G) = I(\zeta^*;G|Z) = I(Z;G|\zeta^*) + I(\zeta^*;G) \le \epsilon_T + \epsilon_D.$$

Therefore, by Pinsker's inequality and the fact that  $p_Z$ ,  $\alpha$  both have bounded support by Assumption 2, 

$$\max_{z \in \mathcal{Z}, g \in \mathcal{G}} d_{\mathrm{TV}}(p_{\zeta^*|z,g}, p_{\zeta^*|z}) \leq \max_{z \in \mathcal{Z}, g \in \mathcal{G}} \sqrt{\frac{1}{2} D_{\mathrm{KL}}(p_{\zeta^*|z,g} || p_{\zeta^*|z})}$$
$$\leq \frac{\sqrt{\frac{1}{2} I(\zeta^*; G|Z)}}{\min_{z' \in \mathcal{Z}, g' \in \mathcal{G}} p_Z(z') \alpha(g')}$$
$$\leq \frac{\sqrt{\epsilon_T + \epsilon_D}}{\sqrt{2} \min_{z' \in \mathcal{Z}, g' \in \mathcal{G}} p_Z(z') \alpha(g')} =: \epsilon_M.$$

Fixing  $Z^1 = Z^2 = z, G^1 = g^1, G^2 = g^2$ , and apply data processing inequality for  $d_{\text{TV}}$  and Pinsker's inequality again,

$$d_{\mathrm{TV}}\left(q_{X^{1\to2}|z,g^{1},g^{2}}(x),q_{X^{2\to2}|z,g^{2}}(x)\right)$$

$$=d_{\mathrm{TV}}\left(\int p_{\zeta^{1}|z,g_{1}}(\hat{z})p_{X^{1\to2}|\hat{z},g_{2}}(x)\mathrm{d}\hat{z},\int p_{\zeta^{2}|z,g_{2}}(\hat{z}')p_{X^{2\to2}|\hat{z}',g_{2}}(x)\mathrm{d}\hat{z}'\right)$$

$$\leq d_{\mathrm{TV}}\left(p_{\zeta^{1}|z,g_{1}},p_{\zeta^{2}|z,g_{2}}\right)\leq d_{\mathrm{TV}}\left(p_{\zeta^{1}|z,g_{1}},p_{\zeta^{1}|z}\right)+d_{\mathrm{TV}}\left(p_{\zeta^{2}|z,g_{2}},p_{\zeta^{2}|z}\right)$$

$$\leq 2\frac{\sqrt{D_{\mathrm{KL}}(p_{\zeta^{*}|Z,G}||p_{\zeta^{*}|Z})/2}}{\min_{z,g}p_{Z}(z)\alpha(g)}\leq \frac{\sqrt{2\epsilon_{M}}}{\min_{z,g\in\mathcal{Z}\times\mathcal{G}}p_{Z}(z)\alpha(g)}.$$

Marginalizing over  $g^1, g^2$  and use Jensen's inequality and triangle inequality yields

$$d_{\mathrm{TV}}\left(q_{X^{1\to2}|Z^{1}=z}, q_{X^{2}|Z=z}\right) \leq d_{\mathrm{TV}}\left(q_{X^{1\to2}|Z^{1}=z}, q_{X^{2\to2}|Z^{2}=z}\right) + d_{\mathrm{TV}}\left(q_{X^{2\to2}|Z^{1}=z}, q_{X^{2}|Z^{2}=z}\right) \\ \leq \frac{\sqrt{\epsilon_{M}}}{\sqrt{2}\min_{z,g\in\mathcal{Z}\times\mathcal{G}}p_{Z}(z)\alpha(g)} + \frac{\epsilon_{\mathrm{score}}}{\sqrt{2}\min_{z\in\mathcal{Z}}p_{Z}(z)}.$$

B PROOF OF THEOREM 5

We will need the following lemma to lower bound  $I(\zeta, \hat{G}; X)$ .

**Lemma 1.** Given Assumption 1-2, the following inequalities hold for the VC system trained on Equation 8:

$$I(\zeta^*, \hat{G}; X) \ge h(X) - \frac{1}{2} \log(2\pi e)^{d_X} 2T C_1 \epsilon_{\text{score}}^2,$$
 (30)

for some  $C_1 > 0$ .

### B.1 PROOF OF LEMMA 1

In this proof, we omit the dependence of distribution on  $\alpha$  let  $d_X = d$  and  $\nu(t) \equiv \sqrt{2}$  for notational and analytical simplicity, and Equation 3 becomes:

$$dX_t^{\leftarrow} = (X_t^{\leftarrow} - \mu(A, t) + 2\nabla_x \log q_{T-t}(X_t^{\leftarrow}|A))dt + \sqrt{2}dB_t^{\leftarrow}.$$
(31)

Define the perturbed version of  $X_{\epsilon} \sim q_{\epsilon|0} = \mathcal{N}((1 - \epsilon')x, \epsilon^2 I_d)$  for  $1 - \epsilon' := \sqrt{1 - \epsilon^2}$ . By the true distribution  $q_0$  is sub-gaussian with Lipschitz score function, as guaranteed by item 5 and 6 of Assumption 2, we can show that the drift term

$$\Delta_t := \sqrt{2} (s_\theta(\hat{Z}_t, \hat{G}_t, A, t) - \nabla_x \log q_{T-t|\epsilon}(X_t^{\leftarrow}))$$

satisfies the Novikov's condition using an analysis similar to Lemma 11 and 13 of Chen et al. (2023a) for the undiscretized and discretized cases respectively. Therefore, we can apply Girsanov's theorem Chen et al. (2023b) to the SDE in Equation 3 under a different measure  $P_{[0,T]}$  defined as

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$$B_t \sim P_t = Q_t \exp\left(\int_0^t \langle \Delta_\tau, \mathrm{d}B_\tau \rangle - \frac{1}{2} \int_0^t \|\Delta_\tau\|^2 \mathrm{d}\tau\right),\tag{32}$$

918 is the same as the following SDE919

$$\mathrm{d}\hat{X}_t^{\leftarrow} = (\hat{X}_t^{\leftarrow} - \mu(A, t) + 2s_\theta(\hat{Z}_t^{\leftarrow}, \hat{G}_t, A, t))\mathrm{d}t + \sqrt{2}\mathrm{d}\beta_t, \hat{X}_0^{\leftarrow} = X_T, \tag{33}$$

where  $\beta_t := B_t^{\leftarrow} - \int_0^t \Delta_\tau d\tau$ 's form a Brownian motion. Let the distribution of  $\hat{X}_t | X_0 = \hat{X}_{T-t}^{\leftarrow} | X_0$ be  $\hat{q}_{t|0}$  and the distribution of the whole process be  $\hat{Q}_{[\epsilon,T]|0}$ , then the theorem suggests

$$D_{\mathrm{KL}}(q_{t|0}||\hat{q}_{t|0}) = \int_{T-\epsilon}^{t-\epsilon} \mathbb{E}_{q_{\tau|0}} \left[ \left\| \Delta_{\tau} \right\|^2 \right] X_0 = x \right].$$
(34)

Further, by the property of the O-U process,

$$\tilde{X}_{\epsilon} := \tilde{X}_{T-\epsilon}^{\leftarrow} := \hat{X}_{T-\epsilon}^{\leftarrow} - \int_{0}^{T-\epsilon} e^{-(T-\epsilon-\tau)} \Delta_{\tau} \mathrm{d}\tau \sim q_{\epsilon|0}.$$
(35)

Now, by Assumption 2,

$$\mathbb{E}_{\hat{Q}_{[0,T]|0}} \left[ \left\| \hat{X}_{T-\epsilon}^{\leftarrow} - x \right\|^{2} \right| X_{0} = x \right] \\
= \mathbb{E}_{\hat{Q}_{[0,T]|0}} \left[ \left\| \hat{X}_{T-\epsilon}^{\leftarrow} - x - \int_{0}^{T-\epsilon} e^{-(T-\epsilon-\tau)} \Delta_{\tau} d\tau + \int_{0}^{T-\epsilon} e^{-(T-\epsilon-\tau)} \Delta_{\tau} d\tau \right\|^{2} \right| X_{0} = x \right] \\
\leq 2 \left( \mathbb{E}_{q_{\epsilon|0}} \left[ \left\| \tilde{X}_{\epsilon} - x \right\|^{2} \right| X_{0} = x \right] + \mathbb{E}_{\hat{Q}_{[0,T]|0}} \left[ \left\| \int_{0}^{T-\epsilon} e^{-(T-\epsilon-\tau)} \Delta_{\tau} d\tau \right\|^{2} \right| X_{0} = x \right] \right) \\\leq 2 \mathbb{E}_{\hat{Q}_{[0,T]|0}} \left[ \left( \int_{0}^{T-\epsilon} \left\| \Delta_{\tau} \right\| d\tau \right)^{2} \right| X_{0} = x \right] + 2\epsilon^{2} \\\leq 2(T-\epsilon) \int_{0}^{T-\epsilon} \mathbb{E}_{\hat{q}_{\tau|0}} \left[ \left\| \Delta_{\tau} \right\|^{2} \right| X_{0} = x \right] d\tau + 2\epsilon^{2} + 2\epsilon'^{2} \|x\|^{2},$$
(36)

where the first inequality uses the inequality  $(x + y)^2 \le 2(x^2 + y^2)$ , and the second inequality uses the triangle inequality, and the last inequality uses Cauchy's inequality.

Marginalizing Equation 36 over  $q_0$  and applying Equation 16 yields

$$\mathbb{E}_{q_0} \int_0^{T-\epsilon} \mathbb{E}_{\hat{q}_{\tau|0}} \left[ \left\| \Delta_{\tau} \right\|^2 \right| X_0 \right] \mathrm{d}\tau = \int_0^{T-\epsilon} \mathbb{E}_{\hat{q}_{\tau}} \left\| \Delta_{\tau} \right\|^2 \mathrm{d}\tau \le \epsilon_{\mathrm{score}}^2.$$

Moreover, use the fact that  $q_0$  has bounded second moment,

$$\mathbb{E}_{\hat{Q}_{[0,T]|0}} \left\| \hat{X}_{T-\epsilon}^{\leftarrow} - X_0 \right\|^2 \le 2\epsilon^2 + 2\epsilon'^2 C_0 + 2(T-\epsilon)\epsilon_{\text{score}}^2 \le 2TC_1\epsilon_{\text{score}}^2, \tag{37}$$

by choosing  $\max{\epsilon, \epsilon'} < \epsilon_{\text{score}}$  for some  $C_0, C_1 > 0$ .

To proceed, using the maximum entropy inequality:

$$h(\hat{X}_{T}^{\leftarrow}|X_{0}) \leq \lim_{\epsilon \to 0} \frac{1}{2} \log 2\pi e \mathbb{E} \left[ \|\hat{X}_{T-\epsilon}^{\leftarrow} - X_{\epsilon}\|^{2} \right] \\ = \frac{1}{2} \log(2\pi e)^{d} \lim_{\epsilon \to 0} \mathbb{E}_{q_{0}} \mathbb{E}_{t,\hat{q}_{t|0}} \left[ \|\hat{X}_{T-\epsilon}^{\leftarrow} - X_{\epsilon}\|^{2} |X_{0}| \right] dx = \frac{1}{2} \log(2\pi e)^{d} 2T C_{1} \epsilon_{\text{score}}^{2}.$$
(38)

Lastly, by the data processing inequality:

$$I(\zeta^*, \hat{G}; X_0) \ge I(\hat{X}_T^{\leftarrow}; X_0) \ge h(X_0) - \frac{1}{2} \log(2\pi e)^d 2T C_1 \epsilon_{\text{score}}^2.$$
(39)

### B.2 MAIN PROOF

971 Using Lemma 1, we are able to prove that the noisy content variable  $\hat{Z}_t$  and the speaker identity Gare approximately disentangled. By definition, the conditional independence relations  $\zeta^* - X_0 - \hat{G}$ 

and  $\zeta^* - X_0 - G$  hold and  $I(\zeta^*, \hat{G}; X) = h(\zeta^*, \hat{G}) - h(\zeta^*, \hat{G}|X)$  $= h(\zeta^*, \hat{G}) - h(\zeta^*|X) - h(\zeta^*|X)$  $= I(\zeta^*; X) + I(\hat{G}; X) - I(\zeta^*; \hat{G})$  $\leq I(Z;X) + I(G;X) - I(\zeta^*;\hat{G}) + \epsilon_Z + \epsilon_G$  $= I(Z,G;X) - I(\zeta^*;\hat{G}) + \epsilon_Z + \epsilon_G,$ 

where the last inequality uses Assumption 2. Further, by Lemma 1 and Assumption 1 (3),

$$\begin{split} I(\zeta^*; G) &\leq I(Z, G; X) - I(\zeta^*, G; X) + \epsilon_Z + \epsilon_G \\ &\leq h(X) + \epsilon_\psi - \frac{1}{2} \log(2\pi e)^d \epsilon_\psi^2 - \left(h(X) - \frac{1}{2} \log(2\pi e)^d 2TC_1 \epsilon_{\text{score}}^2\right) + \epsilon_Z + \epsilon_G \\ &= \epsilon_\psi + \epsilon_Z + \epsilon_G + \frac{1}{2} \log\frac{2TC_1 \epsilon_{\text{score}}^2}{\epsilon_\psi^2}. \end{split}$$

Similarly,

$$I(\zeta^*, G; X) = I(\zeta^*; X) + I(G; X) - I(\zeta^*; G) \le I(Z, G; X) - I(\zeta^*; \hat{G}) + \epsilon_Z$$

Let  $\Delta_t = \|s_{\theta^*}(\hat{Z}_t^*, \hat{G}, t) - \nabla_x \log q_{\alpha, t|0}(X_t|X_0)\|_2$ , and from Assumption 2 (Equation 15 and 16),

$$\|s_{\theta^*}(\hat{Z}_t^*, G, A, t) - \nabla_x \log q_{\alpha, t|0}(X_t|X_0)\|_2^2 \le \left(\|s_{\theta^*}(\hat{Z}_t^*, \hat{G}, t) - \nabla_x \log q_{\alpha, t|0}(X_t|X_0)\|_2 + C_{\text{score}}\|\hat{G} - G\|_2\right)^2 = (\Delta_t + C_{\text{score}}\epsilon_G)^2$$

As a result,

$$\mathbb{E}_{t,q_{\alpha,0}} \mathbb{E}_{q_{\alpha,t|0}} \| s_{\theta^*}(\hat{Z}_t^*, G, t) - \nabla_x \log q_{\alpha,t|0}(X_t|X_0) \|^2 \\
\leq \mathbb{E}_{t,q_{\alpha,0}} \mathbb{E}_{q_{\alpha,t|0}} [\Delta_t^2 + 2C_{\text{score}}\epsilon_G \Delta_t + C_{\text{score}}^2 \epsilon_G^2] \\
\leq L^* + 2C_{\text{score}}\epsilon_G \sqrt{L^*} + C_{\text{score}} T\epsilon_G^2 \leq \epsilon_{\text{score}}^2 + 2C_{\text{score}}\epsilon_G \epsilon_{\text{score}} + C_{\text{score}}^2 \epsilon_G^2 T, \quad (40)$$

where we use the Lipschitz property of  $s_{\theta}$  on  $\hat{G}$  in item 5, Assumption 2. Then applying Lemma 1 on Z, G and Equation 40 in place of Z, G and Assumption 1 (3) yields the desired bound on  $I(\zeta^*; G)$ .

#### PROOF OF A WEAKER VERSION OF THEOREM 1 WITHOUT ITEM 4 OF С **ASSUMPTION 2**

**Theorem 6.** Under Assumption 1-2 except Assumption 2.4, the target speaker distribution  $\hat{q}_{X^2}$  and the converted speaker distribution  $\hat{q}_{X^{1}\rightarrow 2}$  satisfy 

$$d_{\rm TV}(q_{X^{1\to2}}, q_{X^2}) \le \frac{\sqrt{2\epsilon_D}}{\min_g \alpha(g)} + \frac{1}{\sqrt{2}} \epsilon_{\rm score}.$$
(41)

By data processing inequality,

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$$d_{\rm TV}(q_{X^{2\to 2}}, q_{X^{1\to 2}})$$

$$= d_{\mathrm{TV}} \left( \int p_{\zeta^1 | g^1}(\hat{z}) p_{\hat{X}_T^{\leftarrow} | \hat{z}, g^2}(x) \mathrm{d}\hat{z}, \int p_{\zeta^1 | g^2}(\hat{z}) p_{\hat{X}_T^{\leftarrow} | \hat{z}, g^2}(x) \mathrm{d}\hat{z} \right)$$

 $< d_{\mathrm{TV}}(p_{\zeta^{1}|a^{1}}, p_{\zeta^{1}|a^{2}}) \le d_{\mathrm{TV}}(p_{\zeta^{1}|a^{1}}, p_{\zeta^{1}}) + d_{\mathrm{TV}}(p_{\zeta^{1}|a^{2}}, p_{\zeta^{1}})$ 

$$\frac{1021}{\sqrt{D}} = \frac{11}{\sqrt{(r\zeta |g|) r\zeta |g|}} = \frac{11}{\sqrt{(r\zeta |g|) r\zeta }}$$

$$\leq 2 \frac{\sqrt{D_{\mathrm{KL}}(p_{\zeta^*} \alpha)/2}}{\min_g \alpha(g)} = \frac{\sqrt{2\epsilon_D}}{\min_g \alpha(g)}.$$
(42)

where the second to last equality uses the fact that  $\zeta^1, G^1$  has identical distribution as  $\zeta^2, G^2$  and  $X^{1\to2}|\zeta^1,G^2 \text{ and } X^{2\to2}|\zeta^2,G^2$  are identically distributed.

Lastly, by applying Assumption 1 and Girsanov's theorem Chen et al. (2023b),

$$d_{\rm TV}(q_{X^2}, q_{X^{2\to 2}}) \le \epsilon_{\rm score}/\sqrt{2}.$$
(43)

Combining Equation 42 and Equation 43 and using triangle inequality:

$$d_{\rm TV}(\hat{q}_{X^{1\to2}}, \hat{q}_{X^2}) \le d_{\rm TV}(\hat{q}_{X^{1\to2}}, \hat{q}_{X^{2\to2}}) + d_{\rm TV}(\hat{q}_{X^{2\to2}}, \hat{q}_{X^2}) \le \frac{\sqrt{2\epsilon_D}}{\min_g \alpha(g)} + \frac{1}{\sqrt{2}}\epsilon_{\rm score}.$$

### D FAILURE EXAMPLE

<sup>1036</sup> Consider the following example.

**Example 1.** Under the same independence relations in Assumption 1, let  $X := [X(1), X(2)] = [Z + \Xi(1), G + \Xi(2)]$  with  $Z \sim \mathcal{N}(0, 1), G \sim \text{Unif}\{-1, 1\}, \Xi(1), \Xi(2) \sim \mathcal{N}(0, \epsilon)$ . Further, let the inputs to the score function be  $\hat{G} = X(2) + \Xi_G, \hat{Z}_t = X_t(1) \operatorname{sign}(\hat{G}), \Xi_G \sim \mathcal{N}(0, \epsilon)$ , and we let the noising schedule  $\sigma(t)$ 's unspecified<sup>1</sup>. Then it can be shown that  $\hat{G}$  and  $\hat{Z}_t$  satisfy all conditions except 4 in Assumption 2 but  $I(\hat{Z}_T; \hat{G}|Z) \xrightarrow{\epsilon, \sigma(T) \to 0} \infty$ .

### 1044 Then it can be shown that

$$\operatorname{sign}(\hat{G}^a) \sim \operatorname{Unif}\{-1, 1\}, \hat{Z}_t^a \sim \mathcal{N}(0, 1 + \epsilon^2 + \sigma(t)^2), \hat{Z}^a | \hat{G}^a \sim \mathcal{N}(0, 1 + \epsilon^2 + \sigma(t)^2)$$

and that  $\zeta^* = \hat{Z}_T$  and  $\hat{G}$  are independent:

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$$I(\hat{Z}_T; \hat{G}) = h(\hat{Z}_T) - h(\hat{Z}_T | \hat{G}) = \frac{1}{2} \log 2\pi e (1 + \epsilon^2 + \sigma(T)^2) - \frac{1}{2} \log 2\pi e (1 + \epsilon^2 + \sigma(T)^2) = 0$$

Further,  $\hat{Z}_T$  and  $\hat{G}$  can be proved to contain most information of X:

$$\begin{split} I(\hat{Z}_T, \hat{G}; X) &= h(X) - h(X|\hat{Z}_T, \hat{G}) = h(X(1)) + h(X(2)) - h(X|\hat{Z}_T, \hat{G}) \\ &\geq \frac{1}{2} \log 2\pi e(1+\epsilon^2) + \frac{1}{2} \log 2\pi e\epsilon^2 - h(X_0(1)|X_T(1)) - h(X_0(2)|\hat{G}) \\ &= \frac{1}{2} \log 2\pi e(1+\epsilon^2)\epsilon^2 - \frac{1}{2} \log 2\pi e/\left(\frac{1}{1+\epsilon^2} + \frac{1}{\sigma(T)^2} - \frac{1}{1+\epsilon^2 + \sigma(T)^2}\right) - \frac{1}{2} \log 4\pi e\epsilon^2 \\ &= \frac{1}{2} \log 2(1+(1+\epsilon^2)/\sigma^2(T) - (1+\epsilon^2)/(1+\epsilon^2 + \sigma^2(T))), \end{split}$$

which goes to  $\infty$  as  $\epsilon, \sigma(T) \to 0$ . The inequality uses the fact that  $h(X+Y) \ge h(X)$  for continuous random variable X and independent variable Y.

1063 Now, during the VC inference for source and target speech

$$X^{1} = [Z + \Xi^{1}(1), -1 + \Xi^{1}(2)], \ X^{2} = [Z + \Xi^{2}(1), 1 + \Xi^{2}(2)]$$
(44)

1066 it can be shown that the maximum likelihood estimator of  $X^2$  given  $\hat{Z}^2, \hat{G}^2$  is  $\hat{X}^{2\to 2} := [\hat{Z}^2 \hat{G}^2, \hat{G}^2] = [X_T^2(1), \hat{G}^2]$ , which is not worse than the diffusion VC learned using Equation 8. 1068 However, the converted speech  $\hat{X}^{1\to 2}$  using the same estimator is

$$\hat{X}^{1\to 2} = [X_T^1(1)\operatorname{sign}(\hat{G}^1)\operatorname{sign}(\hat{G}^2), \hat{G}^2].$$
(45)

Notice that the truncated conditional means for the first coordinate of the estimator and the true target speech are

$$\mathbb{E}\left[\left.\hat{X}^{1\to2}(1)\mathbbm{1}_{|\hat{X}^{1\to2}-Z|\leq\sigma(T)}\right|Z\right]$$

$$= (1 - 2Q(1))Z \cdot \mathbb{E}\operatorname{sign}(\Xi^1 - 1)\mathbb{E}\operatorname{sign}(\Xi^1 + 1) = 2Q(1)(1 - 2Q(1))^2Z,$$

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$$\mathbb{E}\left[X^2(1)\mathbb{1}_{|\hat{X}^{1\to 2}-Z| \le \sigma(T)} \middle| Z\right] = (1 - 2Q(1))Z.$$

<sup>1</sup>While neither Z nor G are bounded in this example,

<sup>&</sup>lt;sup>1</sup>While neither Z nor G are bounded in this example, they can be made so by truncating their tails, though we will not do so to make the calculations simple.

As a result, by the variational characterization of  $d_{\rm TV}$ :

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$$d_{\rm TV} = \sup_{|f| \le 1/2} \mathbb{E}_{q_{X^2}} f(X) - \mathbb{E}_{q_{X^1 \to 2}} f(X) \ge \int_{\mathbb{T}^2} \frac{1}{2} \int_{\mathbb{T}^2} \frac{1$$

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$$\mathbb{E}\left[\frac{X^2(1)}{|Z|+\sigma(T)}\mathbb{1}_{|X^2-Z|\leq\sigma(T)}\bigg|Z\right] - \mathbb{E}\left[\frac{\hat{X}^{1\to2}(1)}{2|Z|+2\sigma(T)}\mathbb{1}_{|\hat{X}^{1\to2}-Z|\leq\sigma(T)}\bigg|Z\right] =$$

$$\frac{(1-2Q(1))(1-2Q(1)(1-2Q(1)))Z}{2|Z|+2\sigma(T)} \xrightarrow{\sigma(T)\to 0} (1/2-Q(1))(1-2Q(1)(1-2Q(1))) \approx 0.27,$$

where  $Q(\cdot)$  is the tail distribution of a standard Gaussian variable.

1091 Note that in this case, our choice of  $\hat{Z}_T$  fails to be disentangled from  $\hat{G}$  when the true content 1092 variable Z is known, since

$$\begin{split} I(\hat{Z}_{T};\hat{G}|Z) &= \mathbb{E}_{p(z)}[h(\hat{Z}_{T}|Z=z) - h(\hat{Z}_{T}|\hat{G},Z=z)] \ge h(\hat{Z}_{T}|Z=z) - h(\hat{Z}_{T}|\hat{G},Z=z) \\ &\ge \mathbb{E}_{p(z)}[h(\hat{Z}_{T}|\hat{G},Z=z) + d_{\mathrm{B}}(\mathcal{N}(-z,\epsilon^{2} + \sigma(T)^{2}))|\mathcal{N}(z,\epsilon^{2} + \sigma(T)^{2})) - h(\hat{Z}_{T}|\hat{G},Z=z)] = \\ &\frac{1}{4(\epsilon^{2} + \sigma^{2}(T))} + \frac{1}{2}\log\frac{\epsilon^{2} + \sigma^{2}(T)}{\epsilon\sigma(T)} \xrightarrow{\epsilon,\sigma(T) \to 0} \infty, \end{split}$$

1099 where  $d_{\rm B}(p,q) := -\log \int \sqrt{p(x)q(x)} dx$  is the Bhattacharyya distance and the lower bound on the 1100 entropy of mixture models in Kolchinsky & Tracey (2017) is used.

### <sup>1102</sup> E Proof of Theorem 2 1103

To further the analysis, we make use of the following lemmas.

**Lemma 2.** For any differentiable probability density q,

$$\mathbb{E}_q \nabla_x \log q(X) = 0. \tag{46}$$

1109 *Proof.* By definition, 
$$\mathbb{E}_q \nabla_x \log q(X) = \mathbb{E}_q \frac{\nabla_x q(X)}{q(X)} = \nabla_x \int_{\mathcal{X}} q(x) dx = 0.$$

**Lemma 3.** The regularizer Equation 20 is minimized by  $(\hat{V}, \theta_G^{\hat{V}})$  if and only if

 $R(\hat{V}) = R(A_G), \hat{V}s_{\theta \hat{Y}_G}(g, t) = A_G \nabla_g \log \alpha_t(g).$ 

1115 E.1 PROOF OF LEMMA 3

1117 Let  $\theta := \theta_G$  and  $\operatorname{grad}(G_t) := \nabla_g \log \alpha_t(G_t)$ ,  $\operatorname{grad}(Z_t) := \nabla_z \log p_{Z_t}(Z_t)$  for notional ease. Then 1118 by definition,

1119  $L_{\rm reg}(\theta, V)$ 1120  $= \mathbb{E}_{t,q_{\alpha,t}} \| Vs_{\theta}(G_t) - A_G \operatorname{grad}(G_t) - A_Z \operatorname{grad}(Z_t) \|^2$ 1121  $= \mathbb{E}_{t,q_{\alpha,t}} \|A_Z A_Z^\top V s_{\theta}(G_t) - A_Z \operatorname{grad}(Z_t)\|^2 + \mathbb{E}_{t,q_{\alpha,t}} \|A_Z A_Z^\top V s_{\theta}(G_t) - A_G \operatorname{grad}(G_t)\|^2$ 1122  $=\mathbb{E}_{t,q_{\alpha,t}}\|\operatorname{proj}_{R(A_Z)}Vs_{\theta}(G_t) - A_Z\operatorname{grad}(Z_t)\|^2 + \mathbb{E}_{t,q_{\alpha,t}}\|\operatorname{proj}_{R(A_G)}Vs_{\theta}(G_t) - A_G\operatorname{grad}(G_t)\|^2.$ 1123 1124 For the first term, use the fact  $Z_t \perp \!\!\!\perp G_t$ , 1125 1126  $\mathbb{E}_{t,q_{\alpha,t}} \| \operatorname{proj}_{R(A_Z)} V s_{\theta}(G_t) - A_Z \operatorname{grad}(Z_t) \|^2$ 1127  $\geq \mathbb{E}_{t,q_{\alpha,t}} \|\mathbb{E} \left[ A_Z \operatorname{grad}(Z_t) | G_t \right] - A_Z \operatorname{grad}(Z_t) \|^2 = \mathbb{E}_{t,q_{\alpha,t}} \|\mathbb{E}_{q_{\alpha,t}} \operatorname{grad}(Z_t) - \operatorname{grad}(Z_t) \|^2$ 1128  $=\mathbb{E}_{t,q_{\alpha,t}} \|\operatorname{grad}(Z_t)\|^2,$ 1129 1130 by Lemma 2 and with equality iff  $A_Z^{\top} V s_{\theta}(g) = 0, \forall g : \alpha_t(g) > 0.$ 1131 For the second term, simply notice that it is nonnegative and equal to 0 iff 1132 1133  $A_{C}^{\top}Vs_{\theta}(G_{t}) = \operatorname{grad}(G_{t}), \forall q: \alpha(q) > 0$ 

1134 As a result, for any minimizer  $(\hat{\theta}, \hat{V})$ , 1135  $\hat{V}s_{\hat{\boldsymbol{\mu}}}(G_t) = A_G A_G^{\top} \hat{V}s_{\hat{\boldsymbol{\mu}}}(G_t) + A_Z A_Z^{\top} \hat{V}s_{\hat{\boldsymbol{\mu}}\hat{\boldsymbol{\nu}}}(G_t) = A_G \operatorname{grad}(G_t).$ 1136 1137 Further, notice that 1138  $0 = \mathbb{E}_{t,q_{\alpha,t}} \| \hat{V}s_{\hat{\theta}}(G_t) - A_Z \operatorname{grad}(Z_t) \|^2$ (47)1139  $= \mathbb{E}_{t,q_{\alpha,t}} \left[ \| \hat{V}s_{\hat{\theta}}(G_t) - \operatorname{proj}_{R(\hat{V})} A_G \operatorname{grad}(G_t) \|^2 + \| \operatorname{proj}_{N(\hat{V}^{\top})} A_G \operatorname{grad}(G_t) \|_2^2 \right]$ (48)1140 1141  $\geq \mathbb{E}_{t,q_{\alpha,t}} \| \operatorname{proj}_{N(\hat{V}^{\top})} A_G \operatorname{grad}(G_t) \|_2^2 \geq \lambda_{\min} \| \operatorname{proj}_{N(\hat{V}^{\top})} A_G \|_F^2,$ (49)1142 by Assumption 3. Therefore  $\|\operatorname{proj}_{N(\hat{V}^T)}A_G\|_F = 0$ , which implies  $R(A_G) \subseteq R(\hat{V})$ . Further, 1143 1144 consider the fact that rank $(V) \leq \operatorname{rank}(A_G)$ , we conclude  $R(\hat{V}) = R(A_G)$ . 1145 1146 E.2 MAIN PROOF 1147 First, set  $\lambda$  large enough so that  $\tilde{L}_{match} \approx \lambda L_{reg}$ , then by Lemma 3, any minimizer  $(\hat{\theta}_G, \hat{V})$  of  $L_{reg}$ 1148 satisfies 1149  $\hat{V}s_{\hat{\theta}_{\alpha}}(G_t) = A_G \nabla_g \log \alpha_t(G_t).$ 1150 1151 Plug this into  $L_{match}$  yields 1152  $L_{\text{match}}(\theta_Z, \hat{\theta}_G, U, \text{proj}_{\mathcal{O}}U, \hat{V})$ 1153 1154  $=\mathbb{E}_{t,q_{\alpha,t}} \| Us_{\theta_Z}(\operatorname{proj}_{\mathcal{O}} U^{\top} X_t) - A_Z \operatorname{grad}(Z_t) \|_2^2$ 1155  $= \mathbb{E}_{t,q_{\alpha,t}} \left[ \|Us_{\theta_Z}(\operatorname{proj}_{\mathcal{O}} U^\top X_t) - \operatorname{proj}_{R(U)} A_Z \operatorname{grad}(Z_t) \|^2 + \|\operatorname{proj}_{N(U^\top)} A_Z \operatorname{grad}(Z_t) \|^2 \right]$ 1156 1157  $\geq \operatorname{Tr}[\operatorname{proj}_{N(U^{\top})}A_{Z}\operatorname{grad}(Z_{t})\operatorname{grad}(Z_{t})^{\top}A_{Z}\operatorname{proj}_{N(U^{\top})}]$ 1158  $\geq \lambda_{\min} \|\operatorname{proj}_{N(U^{\top})} A_Z\|_F^2 \geq 0,$ 1159 where the second-to-last inequality uses Assumption 3. Further, notice that the last equality is 1160 achieved by  $(\hat{U}, \hat{\theta}_Z)$  iff 1161 1162  $\hat{U}s_{\hat{H}_{z}}(\operatorname{proj}_{\mathcal{O}}\hat{U}^{\top}X_{t}) = \operatorname{proj}_{R(\hat{U})}A_{Z}\operatorname{grad}(Z_{t}), \|\operatorname{proj}_{N(\hat{U}^{\top})}A_{Z}\|_{F} = 0 \Longrightarrow R(A_{Z}) \subseteq R(\hat{U}).$ 1163 1164 Combined with the fact rank $(\hat{U}) \leq \operatorname{rank}(A_Z)$ , we have  $R(\hat{U}) = R(A_Z)$ . Using this fact, we then 1165 conclude that 1166  $\hat{U}s_{\hat{\theta}_{z}}(\operatorname{proj}_{\mathcal{O}}\hat{U}^{\top}X_{t}) = \operatorname{proj}_{R(\hat{U})}A_{Z}\operatorname{grad}(Z_{t}) = A_{Z}\operatorname{grad}(Z_{t}).$ 1167 1168 **PROOF OF THEOREM 3** F 1169 1170 To begin, notice that the gradient flow equation for the speaker subspace in Equation 22 is simply 1171  $\dot{V} = -\nabla_V \mathbb{E}_{t,q_{\alpha_t}} \| (V - A_G) \operatorname{grad}(G_t) \|^2 = -2(V - A_G) \mathbb{E}_{t,q_{\alpha_t}} \operatorname{grad}(G_t) \operatorname{grad}(G_t)^\top.$ 1172 1173 Let  $E(r) := \|V^{(r)} - A_G\|_{F}^2$ , then 1174  $\dot{E}(r) = 2 \operatorname{Tr}[\dot{V}(V - A_G)^{\top}]$ 1175 1176  $= -4 \operatorname{Tr}[(V - A_G) \mathbb{E}_{t,q_{\alpha_t}} \operatorname{grad}(G_t) \operatorname{grad}(G_t)^\top (V - A_G)^\top]$ 1177  $\leq -4\lambda_{\min} \|V - A_G\|_F^2 = -4\lambda_{\min} E(r),$ 1178 where the inequality uses Assumption 3. As a result, we have 1179 1180  $E(r) \le E(0) \exp(-4\lambda_{\min} r) \xrightarrow{r \to \infty} 0 \Longrightarrow \hat{V} = A_G.$ 1181 Plug  $\hat{V}$  into the Equation 23 and let  $\hat{Z}_t = \operatorname{sg}(\operatorname{proj}_{\mathcal{O}}(U)^\top X_t)$  and  $\operatorname{grad}(\hat{Z}_t) := \nabla_{\hat{z}} \log p_{\hat{z}_t}(\hat{Z}_t)$ , we 1182 1183 obtain 1184  $\dot{U} = -\nabla_U \mathbb{E}_{t,q_{\alpha_t}} \| Us_{\theta_z^U}(\mathrm{sg}(\mathrm{proj}_{\mathcal{O}}(U)^\top X_t)) - A_Z \mathrm{grad}(Z_t) \|_2^2$ 1185  $= -2\mathbb{E}_{t,q_{\alpha_t}}(Us_{\theta_{u}}(\hat{Z}_t) - A_Z \operatorname{grad}(Z_t))s_{\theta_{u}}(\hat{Z}_t)^{\top}$ 1186 1187  $= -2\mathbb{E}_{t,q_{\alpha_t}}(U\operatorname{grad}(\hat{Z}_t) - A_Z\operatorname{grad}(Z_t))\operatorname{grad}(\hat{Z}_t)^{\top}.$ 

1188 Consider the function  $F(r) := \mathbb{E}_{t,q_{\alpha,t}} \| U^{(r)} \operatorname{grad}(\hat{Z}_t^{(r)}) - A_Z \operatorname{grad}(Z_t) \|_F^2$ , and notice that 1189  $\dot{F}(r) = 2 \operatorname{Tr}[\operatorname{grad}(\hat{Z}_t)^\top \dot{U}^\top (U \operatorname{grad}(\hat{Z}_t) - A_Z \operatorname{grad}(Z_t))]$ 1190 1191  $= -4\mathbb{E}_{t,t',q_{\alpha,t},q_{\alpha,t'}} \operatorname{grad}(\hat{Z}_t)^{\top} \operatorname{grad}(\hat{Z}_{t'}) \operatorname{Tr}[(U\operatorname{grad}(\hat{Z}_t) - A_Z \operatorname{grad}(Z_t))^{\top} (U\operatorname{grad}(\hat{Z}_{t'}) - A_Z \operatorname{grad}(Z_{t'}))]$ 1192  $= -4 \| U \mathbb{E}_{t,q_{\alpha,t}} \operatorname{grad}(\hat{Z}_t) \operatorname{grad}(\hat{Z}_t)^{\top} - A_Z \mathbb{E}_{t,q_{\alpha,t}} \operatorname{grad}(\hat{Z}_t) \operatorname{grad}(Z_t)^{\top} \|_F^2.$ 1193 1194 By Assumption 3, 1195  $\|U\mathbb{E}_{t,q_{\alpha}}\operatorname{grad}(\hat{Z}_{t})\operatorname{grad}(\hat{Z}_{t})^{\top} - A_{Z}\mathbb{E}_{t,q_{\alpha}}\operatorname{grad}(\hat{Z}_{t})\operatorname{grad}(Z_{t})^{\top}\|_{F}$ 1196 1197  $\geq \|U\mathbb{E}_{t,q_{\alpha,t}}\operatorname{grad}(\hat{Z}_t)\operatorname{grad}(\hat{Z}_t)^{\top} - A_Z A_Z^{\top} U\mathbb{E}_{t,q_{\alpha,t}}\operatorname{grad}(\hat{Z}_t)\operatorname{grad}(\hat{Z}_t)^{\top}\|_F$ 1198  $\geq \lambda_{\min} \| \operatorname{proj}_{N(A_{\sigma}^{\top})} U \|_{F}.$ 1199 Therefore, any stationary point of Equation 23 satisfies 1201  $\|\operatorname{proj}_{N(A_Z)^{\top}} U\|_F^2 = 0 \Longrightarrow R(U) \subset R(A_Z) \Longrightarrow R(\operatorname{proj}_{\mathcal{O}} U) \cap R(U) \neq \emptyset.$ 1202 1203 Therefore, by Assumption 4, 1204  $\|U\mathbb{E}_{t,q_{\alpha,t}}\operatorname{grad}(\hat{Z}_t)\operatorname{grad}(\hat{Z}_t)^{\top} - A_Z\mathbb{E}_{t,q_{\alpha,t}}\operatorname{grad}(\hat{Z}_t)\operatorname{grad}(Z_t)^{\top}\|_F$ 1205  $\geq \|\operatorname{proj}_{R(U)} A_Z \mathbb{E}_{t,q_{\alpha}} \operatorname{grad}(\hat{Z}_t) \operatorname{grad}(Z_t)^{\top} - A_Z \mathbb{E}_{t,q_{\alpha}} \operatorname{grad}(\hat{Z}_t) \operatorname{grad}(Z_t)^{\top} \|_F$ 1206 1207  $\geq \rho_{\min} \| \operatorname{proj}_{N(U^{\top})} A_Z \|_F,$ 1208 1209 for some  $\rho_{\min} > 0$ . Consequently, for such any stationary point  $\hat{U}$ , 1210  $\dot{F}(r) = 0 \Longrightarrow \|\operatorname{proj}_{N(\hat{U}^{\top})} A_Z\|_2 = 0 \Longrightarrow R(A_Z) \subseteq R(U).$ 1211 1212 Combining with the fact that  $R(U) \subseteq R(A_Z)$  yields  $R(\hat{U}) = R(A_Z)$ . 1213 1214 G **PROOF OF THEOREM 4** 1215 1216 Since the majority vote classifier makes a mistake only if more than half of the single-speaker prob-1217 ability weights are not on the correct label, then the classifier loss on the test set  $(X',Y') \sim P_{\beta}$ 1218 is 1219  $L_{P_o}^{\text{soft}}(f_{\text{mv}}^{\text{soft}}) = \Pr[f_{\text{mv}}^{\text{soft}}(X') \neq Y'] \leq \Pr[\mathbb{E}_{G \sim o} f^G(Y'|X') < 1/2] =: \Pr[W_o^{\text{soft}}(X',Y') \geq 1/2],$ 1220 1221 (50)1222 where  $W_{\rho}^{\text{soft}}(x,y) := 1 - \mathbb{E}_{G \sim \rho} f^{G}(y|x)$  is the proportion of probability weights assigned to in-1223 correct labels. We need the concept of competence Theisen et al. (2023) to random classifiers in 1224 Appendix G. We provide the following generalized definition of competence and the definition of 1225 EIR. 1226 **Definition 6.** For speaker embedding distribution  $\rho$  and the test set  $(X, Y) \sim P_{\beta}$ , single-speaker 1227 classifiers are competent if for any  $t \in [0, 1/2]$ ,

$$\Pr_{P_{\beta}}[W_{\rho}^{\text{soft}}(X,Y) \in [t,1/2)] \ge \Pr_{P_{\beta}}[W_{\rho}^{\text{soft}}(X,Y) \in [1/2,1-t]].$$
(51)

1231 **Definition 7.** For data distribution P and single-speaker classifiers  $f^G, G \sim \rho$  such that 1232  $\mathbb{E}_{G \sim \rho} L_P(f^G) \neq 0$ , the ensemble improvement rate is defined as 1233

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$$\operatorname{EIR}(f_{\mathrm{mv}}) = \frac{\mathbb{E}_{G \sim \rho} L_P(f^G) - L_P(f_{\mathrm{mv}})}{\mathbb{E}_{G \sim \rho} L_P(f^G)}.$$
(52)

Using Assumption 6 and replacing the hard votes with soft ones in the proofs of Lemma 2 and part 1237 of Theorem 2 in Theisen et al. (2023), we can prove the following lemma. 1238

**Lemma 4.** If random classifier  $Y^G \sim f^G(Y|X)$  is competent for speaker embedding distribution 1239  $\rho$  and the test distribution  $P_{\beta}$ , 1240

> $\Pr_{P_{\alpha}}[W_{\rho}^{\text{soft}}(X,Y) \ge 1/2] \le 2\mathbb{E}_{P_{\beta}}[W_{\rho}^{\text{soft}}(X,Y)^2].$ (53)

We proceed to bound the second moment of  $W_{\rho}^{\text{soft}}$  via the following lemma, analogous to Lemma 4 in Theisen et al. (2023). 

**Lemma 5.** If random classifier  $Y^G \sim f^G(Y|X)$  is competent for speaker embedding distribution  $\rho$  and test data distribution  $P_{\beta}$ , 

$$\mathbb{E}_{P_{\beta}}[W_{\rho}^{\text{soft}}(X,Y)^{2}] \leq \frac{2(|\mathcal{Y}|-1)}{|\mathcal{Y}|} \left( \mathbb{E}_{G \sim \rho} L_{P_{\beta}}^{\text{soft}}(f^{G}) - \frac{1}{2} \mathbb{E}_{G \sim \rho, G' \sim \rho} D^{\text{soft}}(f^{G}, f^{G'}) \right),$$

where  $D^{\text{soft}}(h, h') := \mathbb{E}_{X \sim P} \mathbb{E}_{\hat{Y} \sim h(\cdot|X), \hat{Y}' \sim h(\cdot|X)} \mathbb{1}[\hat{Y} \neq \hat{Y}']$  is the disagreement rate between ran-dom classifiers  $\hat{Y}$  and  $\hat{Y}'$ . 

*Proof.* Let  $(X,Y) \sim P_{\beta}$  be a ground truth feature-label pair from the test set and  $h_Y(X) :=$  $\mathbb{E}_{G\sim\rho}[f^G(Y|X)]$ . Moreover, let  $q_Y^G(\cdot|X)$  be the true posterior probability of Y given the original speech X. Then for G = g, the conditional error rate of the random classifier  $Y^g$  is 

$$\Pr_{P_{\beta}}[Y^g \neq Y|X] = 1 - \mathbb{E}_{q_Y^g(Y|X)} f^G(Y|X)$$

and the conditional disagreement rate of two independent random classifiers  $Y^g$  and  $Y^{g'}$  is

$$\Pr_{P_{\beta}}[Y^g \neq Y^{g'}|X] = 1 - \sum_j h^g(j|X) f^{G'}(j|X) = \sum_j f^G(j|X) (1 - f^{G'}(j|X)).$$

Then by definition of  $W_{\rho}$ ,

$$W_{\rho}^{2}(X,Y) = 1 - h_{Y}(X) - h_{Y}(X)(1 - h_{Y}(X)) = \underbrace{1 - h_{Y}(X)}_{(1)} - \underbrace{\sum_{j} h_{j}(X)(1 - h_{j}(X))}_{(2)} + \underbrace{\sum_{k \neq Y} h_{k}(X^{G})(1 - h_{k}(X^{G}))}_{(3)}.$$

Taking the expectation over X, Y for the first term yields 

$$1 - \mathbb{E}_{P_{\beta}}h_{Y}(X) = 1 - \mathbb{E}_{G \sim \rho} \mathbb{E}_{P_{\beta}^{G}}f^{G}(Y|X)$$
$$= 1 - \mathbb{E}_{G \sim \rho} \Pr_{P_{\beta}^{G}}[Y^{G} = Y] = \Pr_{P_{\beta}}[Y^{G} \neq Y].$$

To lower bound term (2), simply notice that 

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$$\sum_{j} h_j(X)(1-h_j(X)) = \mathbb{E}_{G \sim \rho, G' \sim \rho} f^G(j|X)(1-f^{G'}(j|X)) = \mathbb{E}_{G \sim \rho, G' \sim \rho} [D(f^G, f^{G'})|X].$$

Finally, to bound term (3), we can apply a similar bound in Theisen et al. (2023) by maximizing over  $h_k(X^G)$  to conclude that 

$$(3) \le \frac{|\mathcal{Y}| - 2}{|\mathcal{Y}| - 1} (1 - h_Y(X)) + \frac{1}{K - 1} h_Y(X) (1 - h_Y(X)).$$

As a result, we have

$$(3) - (2) \le \frac{|\mathcal{Y}| - 2}{|\mathcal{Y}| - 1} \times (1) + \frac{1}{|\mathcal{Y}| - 1} \times ((2) - (3)) - \mathbb{E}_{G \sim \rho, G' \sim \rho} D(f^G, f^{G'})$$

$$\Longrightarrow W^2_{\rho}(X,Y) = (1) - (2) + (3) \le \frac{2(|\mathcal{Y}| - 1)}{|\mathcal{Y}|} \times \left( (1) - \frac{1}{2} \mathbb{E}_{G \sim \rho, G' \sim \rho} [D(f^G, f^{G'})|X] \right).$$
  
Marginalizing over X, Y yields the result.

Marginalizing over X, Y yields the result. 

Note that constraining  $f^G(Y|X)$  to be deterministic recovers Lemma 4 of Theisen et al. (2023). 

Now, consider the case for the hard majority vote classifier where the single-speaker classifiers are deterministic and competent. To this end, applying Lemma 4 and 5 with  $f^G(\bar{y}|x) = \mathbb{1}[f^G(x) = y]$ yields 

$$L_{P_{\beta}}(f_{\mathrm{mv}}) \leq \frac{4(|\mathcal{Y}|-1)}{|\mathcal{Y}|} \left( \mathbb{E}_{G \sim \rho} L_{P_{\beta}}(f^{G}) - \frac{1}{2} \mathbb{E}_{G \sim \rho, G' \sim \rho} \Pr_{P_{\beta}}[f^{G}(X) \neq f^{G'}(X)] \right).$$
(54)

1296 To lower bound  $\Pr[f^G(X) \neq f^{G'}(X)]$ , notice

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$$\Pr_{P_{\beta}}\left[\arg\max_{y} f^{G}(y|X) \neq \arg\max_{y'} f^{G'}(y'|X)\right]$$

$$=1 - \Pr_{P_{\beta}}\left[\arg\max_{j} f^{G}(j|X) = \arg\max_{j'} f^{G}(j'|X)\right].$$

Further, by Assumption 5, and suppose without loss of generality,  $\arg \max_j f^G(j|X) = \arg \max_{j'} f^G(j'|X) = 1$ , arg  $\max_{j'} f^G(j'|X) = 1$ ,

$$\begin{aligned} \left| \log \frac{f^{G'}(1|X)}{f^G(1|X)} \right| &\leq \log \frac{1-\delta_2}{\frac{1}{|\mathcal{Y}|}+\delta_1}, \\ \forall j > 1, \left| \log \frac{f^{G'}(j|X)}{f^G(j|X)} \right| &\leq \log \frac{1-\sum_{k \neq j} f^{G'}(k|X)}{\delta_3} \leq \log \frac{\frac{|\mathcal{Y}|-1}{\mathcal{Y}}-\delta_1-(\mathcal{Y}-2)\delta_3}{\delta_3} \end{aligned}$$

1314 As a result,

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$$\leq f^{G'}(1|X) \log \frac{1-\delta_2}{\frac{1}{|\mathcal{Y}|}+\delta_1} + (1-f^{G'}(1|X)) \log \frac{\frac{|\mathcal{Y}|-1}{\mathcal{Y}}-\delta_1-(\mathcal{Y}-2)\delta_3}{\delta_3}$$

$$\leq (1-\delta_2)\log\frac{1-\delta_2}{\frac{1}{|\mathcal{Y}|}+\delta_1} + \left(\frac{|\mathcal{Y}|-1}{|\mathcal{Y}|}-\delta_1\right)\log\frac{\frac{|\mathcal{Y}|-1}{\mathcal{Y}}-\delta_1-(\mathcal{Y}-2)\delta_3}{\delta_3} = \delta^*.$$

<sup>1323</sup> Moreover, since random classifiers  $f^G(Y|X)$  are  $(\kappa_1, \kappa_2)$ -speaker distorted,

 $D_{\mathrm{KL}}(f^{G'}(1|X)||f^{G}(1|X))$ 

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$$\Pr_{P_{\beta}}\left[ \arg\max_{j} f^{G}(j|X) = \arg\max_{j'} f^{G}(j'|X) \right]$$

$$\leq \Pr_{P_{\beta}}[D_{\mathrm{KL}}(f^{G'}(1|X)||f^{G}(1|X)) \leq \delta^{*}] = \Pr_{P_{\beta}}[\kappa_{1}||G' - G||_{2} \leq \delta^{*}]$$

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 $= \Pr_{P_{\beta}} \left[ \|G' - G\|_{2} \le \left(\frac{\delta^{*}}{\kappa_{1}}\right)^{1/\kappa_{2}} \right].$ Let  $H := \|G - G'\|_{2}$ , since G, G' are independent, identically-

Let  $H := ||G - G'||_2$ , since G, G' are independent, identically-distributed, isotropic sub-gaussian random vectors by Assumption 2,  $H - \mathbb{E}H$  is a sub-gaussian random variable with sub-gaussian norm

$$|H - \mathbb{E}H||_{\phi_2} \le C,$$

for some constant C > 0 independent of the dimension of G according to Theorem 6.3.2 in Vershynin (2018). Further, by the properties of sub-gaussian random variables,

$$\Pr_{P_{\beta}}\left[\|G'-G\|_{2} \leq \left(\frac{\delta^{*}}{\kappa_{1}}\right)^{1/\kappa_{2}}\right] \leq \Pr_{P_{\beta}}\left[|H-\mathbb{E}H| \geq \left|\mathbb{E}H-\left(\frac{\delta^{*}}{\kappa_{1}}\right)^{1/\kappa_{2}}\right|\right]$$

$$\leq 2\exp\left(-C'\left|\mathbb{E}H - \left(\frac{\delta^*}{\kappa_1}\right)^{1/\kappa_2}\right|^2\right) = 2\exp(-C'|c\sqrt{d_G} - (\delta^*/\kappa_1)^{1/\kappa_2}|^2),$$

1346 1347 for some dimension-independent constants C', c > 0.  $\Pr[f^G(X) \neq f^{G'}(X)]$  can be then lower 1348 bounded by

$$1 - 2\exp(-C'|c\sqrt{d_G} - (\delta^*/\kappa_1)^{1/\kappa_2}|^2),$$

which can be plugged into equation 54 to obtain 

$$\operatorname{EIR}^{\operatorname{hard}} = \frac{L_{P_{\beta}}(h_{\operatorname{mv}}^{\operatorname{hard}}) - \mathbb{E}_{\rho}L_{P_{\beta}}(f^{G})}{\mathbb{E}_{\rho}L_{P_{\beta}}(f^{G})} \\ \leq \frac{3|\mathcal{Y}| - 4}{|\mathcal{Y}|} - \frac{2|\mathcal{Y}| - 2}{|\mathcal{Y}|} \frac{1 - 2\exp(-C'|c\sqrt{d_{G}} - (\delta^{*}/\kappa_{1})^{1/\kappa_{2}}|^{2})}{\mathbb{E}_{\rho}L_{P_{\beta}}(f^{G})}.$$

For the soft majority vote classifier, we proceed again to bound the terms in Lemma 5 separately. Fix any G and by Assumption 5,

$$L_{P_{\beta}}^{\text{soft}}(f^{G}) = 1 - \mathbb{E}_{P_{\beta}}f^{G}(Y|X)$$
  
=1 -  $\mathbb{E}_{P_{\beta}}f^{G}(Y|X)\mathbb{1}[f^{G}(X) = Y] - \mathbb{E}_{P_{\beta}}f^{G}(Y|X)\mathbb{1}[f^{G}(X) \neq Y]$   
 $\leq 1 - (1/|\mathcal{Y}| + \delta_{1})(1 - L_{P_{\beta}}(f^{G})) - \delta_{3}L_{\beta}(f^{G}) = \left(\frac{|\mathcal{Y}| - 1}{|\mathcal{Y}|} - \delta_{1}\right) + \left(\frac{1}{|\mathcal{Y}|} + \delta_{1} - \delta_{3}\right)L_{\beta}(f^{G})$   
= $a_{1}L_{\beta}(f^{G}) + \frac{|\mathcal{Y}| - 1}{|\mathcal{Y}|} - \delta_{1}.$ 

Similarly, for the disagreement rate,

$$\begin{array}{ll} 1372 \\ 1373 \\ 1374 \\ 1375 \\ 1376 \\ 1376 \\ 1376 \\ 1376 \\ 1376 \\ 1376 \\ 1376 \\ 1377 \\ 1376 \\ 1377 \\ 1376 \\ 1377 \\ 1378 \\ 1379 \\ 1379 \\ 1379 \\ 1380 \\$$

where the last equality use the upper bound on  $\Pr[f^G(X) = f^{G'}(X)]$  derived earlier. Plug the bounds on  $L_{P_{\mathcal{B}}}^{\text{soft}}(f^G)$  and  $D^{\text{soft}}(f^G, f^{G'})$  into Lemma 5 and follow similar steps for EIR<sup>hard</sup>, we obtain the bound on EIR<sup>soft</sup>. 

#### Η EXPERIMENT DETAILS

#### H.1 SYNTHETIC EXPERIMENT

For visualization purposes, we choose the content and speaker subspace to be  $d_Z = d_G = 1$ and both the content GMM to be  $\frac{1}{2}\mathcal{N}(-0.5, 0.01) + \frac{1}{2}\mathcal{N}(0.5, 0.01)$  and the speaker GMM to be  $\frac{1}{2}\mathcal{N}(-1,0.01) + \frac{1}{2}\mathcal{N}(1,0.01)$ . We choose a small number of mixtures to avoid bad local optima during training. We adopt a similar for disentanglement experiments but increase the dimension to 5. More details are included in Appendix H. For the disentanglement experiment, we choose the sub-space dimension to be  $d_Z = d_G = 5$  and sample the content variable via  $Z \sim \frac{1}{2} \mathcal{N}(\mu_1^2, 0.01 \cdot \mathbf{I}_{d_Z}) + 1$  $\frac{1}{2}\mathcal{N}(\mu_2^Z,\sigma_0^2\mathbf{I}_{d_Z})$  and the speaker variable via  $G \sim \frac{1}{2}\mathcal{N}(\mu_1^G,\sigma_0^2\cdot\mathbf{I}_{d_G}) + \frac{1}{2}\mathcal{N}(\mu_2^G,\sigma_0^2\cdot\mathbf{I}_{d_G})$ , where we randomly sample the mixture centers via  $\mu_k^Z \sim \text{Unif}[-0.5, 0.5]^{d_Z}$  and  $\mu_k^G \sim \text{Unif}[-2.5, 2.5]^{d_G}$ and set  $\sigma_0 = 0.01$ . We generate 2000 samples for both datasets. 

We then train a unconditional and a conditional score networks to learn to perform disentanglement and zero-shot voice conversion on the synthetic data. For the unconditional score network, we use a simple neural net with residual connection:

$$\hat{z}(x) := U^{\top} x, \tag{55}$$

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$$\hat{s}_{\mu,U}^{Z}(z,t) := \frac{1}{\alpha^{2}(t)\sigma_{0}^{2} + \sigma^{2}(t)} [\tanh(\alpha(t)\mu^{\top}z/(\alpha^{2}(t)\sigma_{0}^{2} + \sigma^{2}(t)))\mu - z],$$
(56)

$$\hat{s}^{G}_{\nu,V}(g,t) := \frac{1}{\alpha^{2}(t)\sigma_{0}^{2} + \sigma^{2}(t)} [\tanh(\alpha(t)\nu^{\top}g/(\sigma_{0}^{2} + \sigma^{2}(t)))\nu - g],$$
(57)

$$\hat{s}_{\mu,\nu,U,V}(\hat{z}(x),g,t) := \frac{1}{\sigma^2(t)} \left[ U \hat{s}^Z_{\mu,U}(\hat{z}(x),t) + V \hat{s}^G_{\nu,V}(g,t) \right],$$
(58)

where  $U^{\top}U = I_{d_Z}$  and  $V^{\top}V = I_{d_G}$  are matrices with orthogonal columns. The neural net is parameterized to match the score function of GMM Shah et al. (2023) in both subspaces.

1416 We train the models for 10,000 steps with an Adam Kingma & Ba (2015) optimizer with learning rate 1417  $10^{-3}$  and batch size equal to the entire training set. To 1418 enforce the orthogonality constraint, we define a cus-1419 tomized layer using the geoopt package for Rieman-1420 nian optimization on the Stiefel manifold. To ensure con-1421 vergence, we pretrained the speaker score network  $\hat{s}^G$ 1422 We experiment with various noising schedules, including 1423 the variance exploding (VE), vanilla variance preserving 1424 (VP) Ho et al. (2020), sub-VP Song et al. (2021) and co-1425 sine VP Nichol & Dhariwal (2021). The detailed sched-1426 ule hyperparameters are listed in Table 3 and are chosen

Name	$\alpha(t)$	$\sigma^2(t)$		
VE	1	$\frac{25^{2t}-1}{2 \log 25}$		
VP	$e^{-0.05t-4.975t^2}$	$1 - e^{-0.1t - 9.95t^2}$		
sub-VP	$e^{-0.05t-4.975t^2}$	$(1 - e^{-0.1t - 9.95t^2})^2$		
VP (cosine)	$e^{-\frac{t}{2}-\frac{1}{\pi}\sin(\frac{t}{2})}$	$1 - e^{-t - \frac{2}{\pi}\sin(\frac{t}{2})}$		

Table 3: The default noise schedule hyperparameters for the synthetic data experiments. Continuous time  $(t \in [10^{-5}, 1])$  is used in the expression.

based on rules of thumbs in Song & Ermon (2020); Song et al. (2021). Once the unconditioned score network is trained, we then used the learned subspace  $\hat{U}$  to create an auxiliary variable  $a := \hat{z}(x_0) = \hat{U}^{\top} x_0$ , where  $x_0$  is the clean speech feature at time 0 and train another *conditional* score network with A as an additional input as:

$$\hat{s}_{\mu,\nu,U,V}(\hat{z}(x_t),g,t|a) = \frac{1}{\sigma^2(t)} \left[ U \frac{\alpha(t)a - \hat{z}(x_t)}{\alpha^2(t)\sigma_0^2 + \sigma^2(t)} + V \hat{s}^G_{\nu,V}(g,t) \right].$$
(59)

For voice conversion experiments, we run the predictor-corrector sampling scheme alternating between Euler-Maruyama method and Langevin MCMC Song et al. (2021) for 500 steps and a signalto-noise ratio (SNR) parameter of 0.16.

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### H.2 REALISTIC DATA EXPERIMENT

For the IEMOCAP dataset, we use a system available on SpeechBrain Ravanelli et al. (2024) that finetunes on the wav2vec 2.0 backbone Baevski et al. (2020) with a multi-layer perceptron classifier (MLP) Wang et al. (2021b). The classifier is trained using Adam optimizer for 30 epochs with a batch size of 4 and a learning rate of  $10^{-4}$  for the MLP and the  $10^{-5}$  learning rate for wav2vec 2.0 weights. The system is then evaluated using the standard classification accuracy metric and 5-fold cross validation Busso et al. (2008); Ma et al. (2024). For each fold, we use all 8 speakers from the training set as target speakers.

1447 On the ALS and ADReSS, we use whisper-medium Radford et al. (2023) features, as they have 1448 shown to be the most effective for speech impairment classification Wang et al. (2024). To avoid 1449 unfair comparison, We concatenate hidden representations over all layers of the whisper-medium encoder rather than selecting a particular layer and perform mean pooling over the frame-level fea-1450 tures. For both datasets, we follow the standard splits used in previous works Vieira et al. (2022) 1451 to have no overlaps between speaker in the training and test sets. And for both datasets, we use the 1452 15 most frequent speakers from the training set as target speakers for the VC to achieve maximize 1453 conversion quality via better speaker representation. 1454

We apply the VCs in mostly a zero-shot, plug-and-play fashion, and leave finetuning to specific
datasets for future works. For the Diff-VC, we use the publicly available score network and vocoder
checkpoints trained on LibriTTS and adopt the original inference hyperparameter settings for all
experiments. Similarly, we use the pretrained models and for other VC models. Further, we use a

1458maximum of 120 second speech from the target speaker to compute the target speaker embedding1459for all models except KNN-VC, where we use all the target speech as the pool for nearest neighbor1460search. We also compare VC adaptation with common data augmentation technique such as pitch1461shifting, where we shift the pitch of all the speech utterances to equally spaced pitch levels over the1462F0 range of the training speech data with levels equal to the number of target speakers and train1463separate classifiers for each level as in the case of using VC adaptation.

Table 4 5 6 show the complete results for the realistic dataset experiments.

Table 4: Emotion recognition results on IEMOCAP. 8 speakers in the training set of each fold are used as target speakers.

VC type	Voting type	Accuracy						
, e sjpe	, oung type	1	2	3	4	5	Avg.	
No VC	-	72.6	76.6	68.9	68.9	70.3	71.5	
Pitch shifting	single (best)	64.0	65.3	57.4	57.7	58.6	60.6	
	single (avg)	61.3	62.1	50.2	48.9	53.0	55.1	
	majority	61.2	65.3	58.5	57.8	62.5	61.1	
	soft majority	60.8	65.4	57.8	57.5	61.5	61.1	
KNN-VC	single (best)	71.2	75.4	68.3	71.9	69.1	70.4	
	single (avg)	69.6	72.6	67.0	69.9	67.4	69.3	
	majority	70.3	75.6	68.5	72.8	70.0	71.4	
	soft majority	70.3	76.1	68.5	72.8	69.9	71.5	
TriAAN-VC	single (best)	65.5	66.9	63.0	67.5	62.6	65.1	
	single (avg)	64.6	66.3	61.1	65.6	63.1	64.1	
	majority	66.9	69.0	63.9	67.9	66.5	66.8	
	soft majority	66.6	69.9	63.6	68.8	67.0	67.2	
Diff-VC	single (avg)	87.0	88.3	86.2	87.6	86.1	87.0	
	single (best)	94.4	94.8	94.2	95.2	92.9	94.3	
	majority	97.5	96.7	95.2	98.1	94.9	96.5	
	soft majority	97.7	97.6	96.3	98.7	95.6	97.2	

Table 5: Alzheimer detection results on ADReSS

VC type	Voting type	Precision	Recall	F1	Accuracy
No VC	-	71.4	70.8	70.6	70.8
	single (avg)	71.8	71.4	71.4	71.2
Ditch shifting	single (best)	77.1	77.1	77.1	77.1
r nen sinning	majority	77.1	77.1	77.1	77.1
	soft majority	68.8	68.8	68.7	68.8
	single (avg)	71.8	71.5	71.4	71.5
KNN VC	single (best)	80.0	79.2	79.1	79.2
KININ-VC	majority	79.4	79.2	79.1	79.2
	soft majority	83.6	83.3	83.3	83.3
	single (avg)	72.5	72.4	72.4	72.4
T. AN VC	single (best)	75.2	75.0	75.0	75.0
INAAN-VC	majority	77.5	77.1	77.0	77.1
	soft majority	83.3	83.3	83.3	83.3
	single (avg)	65.7	65.4	65.4	65.6
Diff VC	single (best)	69.4	69.4	69.4	69.4
Dill-vC	majority	66.7	66.7	66.7	66.7
	soft majority	72.2	70.8	70.4	70.8

Table 6: ALS severity classification results on ALS-TDI with a whisper-medium+SVM classifier

VC type	Voting type	Precision↑	Recall↑	F1↑
No VC	-	59.8	53.7	54.9
Pitch shifting	single (avg.)	60.5	54.1	55.8
	single (best)	67.4	57.7	60.3
	majority	73.0	54.9	57.6
	soft majority	68.4	59.0	61.5
KNN-VC	single (avg.)	58.5	54.6	55.8
	single (best)	65.7	59.5	61.7
	majority	67.9	62.9	64.8
	soft majority	51.1	49.6	49.9
TriAAN-VC	single (avg.)	60.2	54.5	55.7
	single (best)	68.0	58.2	60.7
	majority	69.9	59.1	61.7
	soft majority	54.1	52.8	53.3
Diff-VC	single (avg.)	48.2	47.0	47.0
	single (best)	53.0	51.0	51.2
	majority	49.8	50.9	50.3
	soft majority	50.1	48.8	49.2