Rethinking the Instruction Quality: LIFT is What You Need

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Abstract

 Instruction tuning, a specialized technique to enhance large language model (LLM) perfor- mance via instruction datasets, relies heavily on the quality of the employed data. Existing qual- ity improvement methods alter instruction data through dataset expansion or curation. How- ever, the expansion method introduces the risk of data deficiency and redundancy, potentially compromising the correctness and accuracy of 010 the LLM's knowledge, while the curation ap- proach confines the LLM's potential to the orig- inal dataset. Our aim is to surpass the original data quality without confronting these short- comings. To achieve this, we propose LIFT (LLM Instruction Fusion Transfer), a novel and versatile paradigm designed to elevate the instruction quality to new heights. LIFT strate- gically broadens data distribution to encompass more high-quality subspaces and eliminates re-020 dundancy, concentrating on high-quality seg- ments across overall data subspaces. Exper- imental results demonstrate that, even with a limited quantity of high-quality instruction data selected by our paradigm, LLMs not only con-025 sistently uphold robust performance across nat- ural language understanding and code genera- tion tasks but also surpass many state-of-the-art results, highlighting the significant improve- ment in instruction quality achieved by our paradigm.

⁰³¹ 1 Introduction

 In recent years, Large Language Models (LLMs) have gained prominence for their remarkable effec- tiveness in natural language comprehension tasks [\(OpenAI,](#page-9-0) [2023;](#page-9-0) [Yang et al.,](#page-9-1) [2023;](#page-9-1) [Qi et al.,](#page-9-2) [2023\)](#page-9-2). High-quality pretrained LLMs are readily available, facilitating their customization for versatile appli- cations [\(Wei et al.,](#page-9-3) [2021;](#page-9-3) [Huang et al.,](#page-8-0) [2023\)](#page-8-0). One popular fine-tuning approach, known as instruction tuning [\(Wei et al.,](#page-9-4) [2022;](#page-9-4) [Ouyang et al.,](#page-9-5) [2022\)](#page-9-5), in- volves fine-tuning pre-trained LLMs using datasets accompanied by natural language instructions. Its

relative simplicity and affordability make it a pre- **043** ferred method for improving LLMs' performance **044** on specific tasks. **045**

The quality of current instruction datasets, **046** whether manually crafted or generated by LLMs, 047 often falls short of the desired standard. Human- **048** crafted datasets depend on human annotators to **049** generate a substantial corpus with human instruc- **050** tions, resulting in a lack of detailed context and ex- **051** planation within the instruction dataset. Addition- **052** ally, these datasets may contain vague or subjective **053** descriptions. On the other hand, LLM-generated **054** datasets utilize advanced LLMs to generate or com- **055** plete instructions and responses but lack supervi- **056** sion regarding the diversity and quality of the gen- **057** erated data. **058**

The concern surrounding the quality of instruc- **059** tion datasets has prompted researchers to explore **060** methods aimed at enhancing their overall quality. **061** Current approaches to instruction quality enhance- **062** ment can be broadly categorized into two groups: **063** data expansion and data curation. Data expansion **064** methods involve leveraging advanced LLMs with **065** a suitable prompt template to generate new instruc- **066** tions and corresponding answers based on the orig- **067** [i](#page-9-8)nal dataset [\(Xu et al.,](#page-9-6) [2023;](#page-9-6) [Luo et al.,](#page-9-7) [2023;](#page-9-7) [Taori](#page-9-8) **068** [et al.,](#page-9-8) [2023\)](#page-9-8). On the other hand, data curation meth- **069** ods entail the meticulous selection of high-quality **070** data from the original dataset, employing specific **071** [q](#page-8-1)uality evaluation criteria [\(Zhou et al.,](#page-9-9) [2023;](#page-9-9) [Du](#page-8-1) **072** [et al.,](#page-8-1) [2023\)](#page-8-1). **073**

However, both existing methods exhibit limita- **074** tions that hinder their ability to further enhance **075** performance. Expansion methods introduce redun- **076** dancy into the dataset [\(Xu et al.,](#page-9-6) [2023;](#page-9-6) [Luo et al.,](#page-9-7) **077** [2023\)](#page-9-7) as the newly generated instructions typically **078** derive from the original ones. While the effec- **079** tiveness of curation methods heavily relies on the **080** quality of the original dataset, limiting the quality **081** of the curated dataset [\(Du et al.,](#page-8-1) [2023;](#page-8-1) [Li et al.,](#page-8-2) **082** [2023a\)](#page-8-2). These limitations necessitate a reliance on **083**

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 specific expansion or curation strategies to achieve superior performance on certain benchmarks, at the expense of losing the ability to generalize the approach.

 In this paper, we delve into the distribution of instruction quality to address the mentioned issues. We posit that both current methods essentially func- tion as data distribution transfers: expansion en- ables the distribution to cover a broader range of data subspaces, typically characterized by higher quality, while curation concentrates the distribution on a higher-quality subset of the original dataset. Building on this perspective, we propose a novel paradigm for improving LLM instruction quality, termed LIFT (LLM Instruction Fusion Transfer). LIFT is designed to amalgamate the advantages of data expansion and curation, mitigating their shortcomings to generate a diverse and high-quality dataset while significantly reducing quantity. Our paradigm consists of two phases. Firstly, we em- ploy "Dataset Distribution Expansion", broadening the data distribution to cover more high-quality sub- spaces. Then, we utilize "Dataset Variety and Qual- ity Curation" to eliminate redundancy and densify the data distribution, focusing on the high-quality segments of overall data subspaces. The data dis- tribution transfer patterns of three methods are de-scribed in Fig[.1.](#page-1-0)

Figure 1: Different Instruction Data Distribution Transfer Patterns.

 To validate the effectiveness of LIFT, we em- ploy the finally curated instructions for fine-tuning open-source LLMs. Through extensive experi- ments evaluating the performance of these fine- tuned LLMs in both natural language understand- ing (NLU) tasks and code generation tasks, the results consistently demonstrate that LLMs achieve robust SOTA or nearly-SOTA performance even with a limited quantity of high-quality instruction data. Furthermore, they even outperform models trained on larger datasets on certain benchmarks. To summarize, our main contribution are: **123**

- We propose a highly effective and versatile **124** paradigm, LIFT, which challenges the conven- **125** tional single-mode enhancement for instruc- **126** tion datasets. LIFT rethinks data quality by **127** focusing on data distribution transfer. It aims **128** to elevate the quality of the instruction dataset **129** to new heights, overcoming redundancy and **130** quality limitations present in current methods. **131**
- Throughout the expansion and curation phases **132** of the paradigm, we prioritize both variety and **133** quality as essential goals for quality enhance- **134** ment. Unlike existing works that concentrate **135** on only one stage, we posit that considering **136** these characteristics at both stages is crucial **137** for incorporating more high-quality data. **138**
- Our extensive experiments demonstrate that **139** with a significantly reduced quantity of high- 140 quality instructions selected by our paradigm, **141** LLMs consistently achieve SOTA perfor- **142** mance on many NLU tasks and code genera- **143** tion tasks. This provides valuable insights, **144** suggesting that a selective approach based **145** on the principles of data distribution trans- **146** fer is not only more effective but also cost- **147** effective compared to the indiscriminate feed- **148** ing of large volumes of data. **149**

2 Related Works **¹⁵⁰**

The current methods for enhancing instruction qual- **151** ity can be broadly categorized into two types based **152** on how data is manipulated: dataset expansion and **153** curation. **154**

2.1 Instruction Dataset Expansion **155**

The original instruction dataset often consists of **156** concise and straightforward prompts, yielding sim- **157** plistic responses with limited semantic informa- **158** tion. To address this limitation, researchers have **159** proposed using LLMs to expand these original in- **160** structions to introduce more high-quality data. Alpaca [\(Taori et al.,](#page-9-8) [2023\)](#page-9-8) suggested adopting the **162** self-instruct method, utilizing ChatGPT to generate **163** data for fine-tuning. Vicuna [\(Chiang et al.,](#page-8-3) [2023\)](#page-8-3) **164** employed data collected from ShareGPT.com, a **165** platform where users share their conversations with **166** ChatGPT, for fine-tuning their models. WizardLM **167** [\(Xu et al.,](#page-9-6) [2023\)](#page-9-6) and WizardCoder [\(Luo et al.,](#page-9-7) **168**

169 [2023\)](#page-9-7) introduced the *Evol-Instruct* method, involv-**170** ing the evolution of existing instruction data to **171** generate more diverse and complex data.

172 2.2 Instruction Dataset Curation

 One challenge in the instruction tuning process arises from the observation that fine-tuning with larger expanded instruction datasets does not al- ways guarantee better results, yet demanding more computational resources. To address this, some re- searchers have focused on filtering out low-quality [d](#page-9-9)ata during the fine-tuning stage. LIMA [\(Zhou](#page-9-9) [et al.,](#page-9-9) [2023\)](#page-9-9) demonstrates that fine-tuning a robust pre-trained language model on 1000 high-quality, human-curated examples can yield remarkable and competitive results. Instruction Mining [\(Cao et al.,](#page-8-4) [2023\)](#page-8-4) introduces a linear rule for selecting high- quality instruction data, eliminating the need for hu- man annotation. [Du et al.](#page-8-1) [\(2023\)](#page-8-1) present a model- oriented data selection (MoDS) approach, which selects instruction data based on new criteria con- sidering three aspects: quality, coverage, and ne- cessity. [Li et al.](#page-8-2) [\(2023a\)](#page-8-2) introduce a self-guided methodology for LLMs to autonomously discern and select cherry-picked samples from vast open- source datasets, effectively minimizing manual cu-ration and potential costs.

¹⁹⁵ 3 LLM Instruction Fusion Transfer

196 3.1 Data Distribution Transfer

 Current methods for enhancing instruction quality, either through data expansion or curation, do en- hance the original dataset to some extent. However, the effectiveness of these methods is constrained by inherent limitations. To scrutinize these limitations and explore innovative approaches to break from conventional enhancement modes, we propose a novel perspective for rethinking instruction data quality: data distribution transfer.

206 3.1.1 Rethinking Existing Methods from Data **207** Distribution Perspective

 Our hypothesis is that, during the process of en- hancing instruction quality, there is a transfer of data distribution from the original dataset to the final enhanced dataset. This transfer increases the quantity or proportion of high-quality data. In data expansion, generating high-quality instruc- tions based on the original ones effectively ex- tends the coverage of the high-quality data sub-space within the original data distribution, thereby

increasing the quantity of high-quality data in the **217** final distribution. On the other hand, in data cura- **218** tion, by using carefully designed quality evaluation **219** metrics, low-quality components are removed from **220** the final distribution, directing the distribution to **221** concentrate on high-quality data and increasing its **222** proportion in the final distribution. **223**

From this perspective, we can delve into the ori- **224** gin of limitations in these processes. For expansion, **225** the areas around the original instructions may con- **226** tain similar ones, leading to redundancy in the final **227** distribution. Moreover, low-quality instructions **228** and those derived from them persist in the final dis- **229** tribution, maintaining a proportion similar to the **230** original dataset. On the other hand, curation se- **231** lects a portion of high-quality instructions from the **232** original dataset, resulting in a decrease in the total **233** number of high-quality instructions. If the origi- **234** nal dataset has a limited number of high-quality **235** instructions, the quality of the curated dataset will **236** significantly decrease. **237**

3.1.2 Fusing Expansion and Curation **238**

Analyzing the data distribution transfer patterns **239** of expansion and curation, we propose that their **240** integration can effectively address their individual **241** limitations. Data expansion broadens subspaces, **242** enabling the curation method to explore beyond **243** the original distribution. Conversely, data curation **244** assists in identifying duplicates and low-quality **245** items from the expansion, contributing to a more **246** concentrated and refined distribution. **247**

Building on these insights, we introduce a novel **248** paradigm called LIFT (LLM Instruction Fusion **249** Transfer). Comprising two phases, this paradigm **250** orchestrates the distribution of instruction data as **251** follows: in the "Dataset Distribution Expansion" **252** phase, we broaden the data distribution to encom- **253** pass more diverse and high-quality subspaces, ac- **254** knowledging the presence of duplications at this **255** stage. Subsequently, in the "Dataset Variety and **256** Quality Curation" phase, we systematically elim- **257** inate redundancy and low-quality elements, cre- **258** ating a densified distribution for the final curated **259** dataset. In contrast to the existing works, which **260** require intricate strategies to focus on the original **261** dataset, our paradigm offers a versatile perspective **262** to surpass the limitations of the original dataset's **263** quality. These two phases are intricately connected, **264** ensuring a smooth transfer of data from the original **265** dataset to the final curated dataset. **266**

267 3.2 Paradigm LIFT

 As described in Fig[.2,](#page-3-0) our paradigm LIFT follows a two-stage structure. In both stages, we value the diversity and quality as the crucial criterion and we believe the "Dataset Distribution Expansion" and "Dataset Variety and Quality Curation" equally contribute to the quality enhancement.

Figure 2: Instruction Dataset Curation Paradigm LIFT

274 3.2.1 Dataset Distribution Expansion

 The goal of dataset distribution expansion is to encompass a more diverse and high-quality range of data within the distribution, while ensuring a certain distance from the original instructions. To achieve this, it is crucial to employ carefully de- signed instruction-generation prompts. Drawing inspiration from the instruction rewrite method pro- posed by [Xu et al.](#page-9-6) [\(2023\)](#page-9-6) and [Luo et al.](#page-9-7) [\(2023\)](#page-9-7), our approach focuses on generating diverse and intri- cate instructions. We guide GPT to act as a prompt re-writer, generating challenging instructions based on specified generation rules. For further details, re- fer to Appx[.A.](#page-10-0) We iterate this process for k rounds, merging the expanded datasets with the original dataset to create the final expanded dataset. We also compare the quality of the original and ex-panded dataset in Appx. [B.](#page-10-1)

292 3.2.2 Dataset Variety and Quality Curation

 An effective curation method ought to eliminate du- plicated or low-quality instructions from the orig- inal dataset, while preserving representative and high-quality ones. To meet this criterion, curation should be approached with meticulous attention to both variety and quality.

 Variety Curation. Current variety curation typi- cally involves clustering methods such as k-means or spectral clustering [\(Du et al.,](#page-8-1) [2023;](#page-8-1) [Wei et al.,](#page-9-10) [2023\)](#page-9-10) . We argue that this approach may lack gener-alizability and be less effective when dealing with new datasets. This is because these methods re- **304** quire prior knowledge of the number of clusters, **305** and choosing cluster numbers that are either too **306** large or too small may reduce their effectiveness in **307** selecting representatives. **308**

Our variety curation method take another route, **309** as depicted in Fig[.3.](#page-4-0) Initially, GPT generates em- **310** beddings with 1536 dimensions for each item, we **311** aim to reduce the embedding dimension and de- **312** vise a method to represent data differentiation. We **313** achieve this by calculating the covariance matrix **314** of the given features and performing eigenvalue **315** decomposition on the covariance matrix to obtain **316** eigenvalues and eigenvectors. We then choose the **317** top k eigenvectors corresponding to the largest k 318 eigenvalues, where k is the target reduced dimen- **319 sion.** 320

This procedure aids us in analyzing the distribu- **321** tion of data in orthogonal space. To simultaneously **322** maintain data diversity while utilizing fewer data **323** cases, we perform balanced sampling of the data **324** in orthogonal space. Starting from each eigenvec- **325** tor and guided by the corresponding eigenvalues. **326** We sample more data from significant eigenvec- **327** tors than those less significant ones. This approach **328** ensures that while reducing data cases, the distribu- **329** tion of data embeddings remains rational, thereby **330** preserving data diversity. **331**

Quality Curation. Following variety curation is **332** the quality curation phase, where we discern high- **333** quality instruction data. Rating instruction quality **334** is challenging due to the lack of official quantita- **335** tive metrics. Employing professional annotators **336** for scoring is impractical due to dataset size and **337** costs. Therefore, we use GPT as an instruction **338** scorer, generating GPT quality scores across four **339** dimensions: accuracy, explanation, clarity, and dif- **340** ficulty, with proportions based on their contribu- **341** tions to overall quality. The guiding template for **342** GPT scores is in Appx[.C.](#page-10-2) 343

To address the problem that GPT consistently as- **344** signs high scores to all instructions, we implement **345** the following steps for more differentiated scores: **346** first we instruct GPT to provide a comprehensive **347** rationale along with a score yields more reasonable **348** results. Mandating GPT to articulate its reason- **349** ing offers an additional self-checking opportunity. **350** Secondly, we present manually scored examples **351** as guidelines. Offering three examples with scores **352** representing poor, average, and high quality helps **353** GPT recognize low-quality data and understand **354**

Figure 3: Variety Curation with Dimension Reduction and Row Variances

355 how to appropriately score it.

 We also incorporate A positively correlated map- ping function derives a lengthwise semantic score based on instruction data's length. Combining GPT quality score and lengthwise semantic score pro- duces the final quality score. High-quality scores compose the final quality-curated dataset, as illus- trated in Fig[.4.](#page-5-0) Appx[.D](#page-11-0) presents total quality score distributions.

³⁶⁴ 4 Experiments

 To validate the effectiveness of our paradigm, we apply our method to two extensively studied tasks: Natural Language Understanding (NLU) tasks and Code Generation tasks, where we conduct compre- hensive experiments to evaluate the performance of our paradigm.

371 4.1 Experiments Setup

372 4.1.1 Basic Foundation Models and Base **373** Datasets

 We adopt distinct foundation models and base dataset configurations for the two tasks under con- sideration. In NLU tasks, we employ founda- tion models Mistral 7B [\(Jiang et al.,](#page-8-5) [2023\)](#page-8-5) and LLaMA2 7B [\(Touvron et al.,](#page-9-11) [2023b\)](#page-9-11), known for their exceptional performance relative to other 7B models and ability to surpass larger models in spe- cific benchmarks. Our base dataset for NLU tasks is the Open Platypus dataset [\(Lee et al.,](#page-8-6) [2023\)](#page-8-6), com- prising 25k curated examples focused on enhancing LLMs' STEM and logic knowledge. While in the realm of code generation tasks, we harness the ca- pabilities of StarCoder 15B [\(Li et al.,](#page-8-7) [2023b\)](#page-8-7) and CodeLLaMA [\(Rozière et al.,](#page-9-12) [2023\)](#page-9-12), both widely- utilized code LLMs trained on a diverse array of programming-related sources. Our base dataset, Code Alpaca [\(Chaudhary,](#page-8-8) [2023\)](#page-8-8), consists of 20k

instruction-following code instances for fine-tuning **391** Code LLMs. **392**

We employ both GPT-3.5 and GPT-4 as assis- 393 tants for expansion and quality curation due to our **394** paradigm's flexibility in selecting an assistant. For **395** comprehensive implementation details pertaining **396** to instruction tuning in both tasks, please refer to **397** Appx. [E.](#page-11-1) **398**

4.2 Benchmarks and Metrics **399**

We have chosen six widely-recognized benchmarks 400 spanning both tasks. In the domain of NLU tasks, 401 we have incorporated HellaSwag, ARC Challenge, **402** TruthfulQA, and MMLU. For code generation **403** tasks, our selection encompasses HumanEval and **404** MBPP. Detailed information about these bench- **405** marks is provided in Appx. [F.1.](#page-11-2) **406**

For NLU tasks, we adopt accuracy as the metric, 407 aligning with the methodology embraced by other **408** researchers. This metric is calculated as the number **409** of correct questions divided by the total number of **410** questions. 411

In code generation tasks, our metric of choice is **412** [p](#page-8-9)ass@k, defined in the same manner as by [Chen](#page-8-9) **413** [et al.](#page-8-9) [\(2021\)](#page-8-9). The formula for calculating pass@k **414** is presented as: **415**

$$
pass@k := \mathbb{E}_{problems}[1 - \frac{C(n-c, k)}{C(n, k)}]
$$

Here, *n* represents the number of generated an- 417 swers for each question, and c denotes the number 418 of correct answers for each question. In our ex- **419** periments, we specifically choose pass@1 as the **420** designated metric. **421**

4.3 Experiment Results **422**

To validate the effectiveness of our paradigm, we **423** conduct comparisons between models fine-tuned **424**

Figure 4: Quality Curation with GPT Quality Evaluation and Lengthwise Semantic Evaluation

 on LIFT's final curated dataset and other SOTA pre- trained LLMs as well as instruction-tuned LLMs across both tasks. The details of the selected mod-els for comparison are provided in Appx. [F.2.](#page-13-0)

429 4.3.1 NLU Tasks

 Tab[.1](#page-6-0) presents the NLU task comparison results. Notably, our final 7B instruction-tuned Mistral model consistently outperforms other 7B models on all benchmarks. Comparing with 13B models, our 7B model even outperforms in all benchmarks except TruthfulQA. With only 7 billion parameters and 15k instructions, significantly fewer than other instruction-tuned models, our model achieves the highest average benchmark score at 0.656.

439 4.3.2 Code Generation Tasks

 As illustrated in Table [2,](#page-6-1) our paradigm's fine-tuned model consistently outperforms most models in code generation tasks. Although our fine-tuned CodeLLaMA model trails the current state-of-the- art 15B model, WizardCoder, by approximately 2% on both benchmarks, it is noteworthy that our paradigm utilizes only about one-eighth of the in- struction data employed by WizardCoder. Con- sidering the disparity in the size of the instruction dataset, our paradigm demonstrates robust perfor- mance, highlighting its capability to achieve per- formance levels close to the state-of-the-art with a significantly smaller amount of data.

 We also compared our paradigm's final curated dataset with a randomly selected dataset of the same size in both tasks. The results demonstrate that merely reducing the dataset quantity, without accounting for the diversity and quality in the per- spective of data distribution, does not lead to per-formance improvement. These experiments affirm our paradigm's versatile effectiveness in NLU and **460** code generation tasks. The paradigm excels in gen- **461** erating diverse, high-quality data, leveraging it in **462** the instruction-tuning process to achieve SOTA or **463** near-SOTA performance. **464**

4.4 Paradigm Ablation Experiments Results **465**

Our paradigm ablation experiment begins with the **466** original base dataset serving as the input for LIFT. **467** Subsequently, we generate the expanded dataset, **468** variety-curated dataset, and the quality-curated **469** dataset. These datasets are then utilized for fine- **470** tuning the basic foundation models. We assess the **471** benchmark performance of these models to vali- **472** date the effectiveness of each component of our **473** paradigm. Besides the paradigm ablation experi- **474** ments discussed here, we also provide the compo- **475** nent ablation results in Appx. [G.](#page-13-1) **476**

4.4.1 NLU Tasks **477**

Tab[.3](#page-7-0) presents our paradigm experiment results on **478** four NLU benchmarks. For data expansion, we **479** iteratively perform the expansion step 3 times, re- **480** sulting in a 100k size instruction dataset. **481**

The table results affirm our paradigm's effective- **482** ness in NLU. Despite a reduction in size by 10k **483** instances compared to the original dataset, our fi- **484** nal curated dataset maintains robust performance, **485** showing improvements ranging from nearly 2\% to 486 4% on each benchmark. Furthermore, we observe **487** a consistent improvement in model performance on **488** both benchmarks after each step of the paradigm. **489** This implies that the instruction's quality is steadily **490** increasing at each stage. 491

It's crucial to note that the Open Platypus [\(Lee](#page-8-6) **492** [et al.,](#page-8-6) [2023\)](#page-8-6) dataset for NLU tasks is already care- **493** fully curated. The results for this dataset under- **494**

Model	Data Size	HellaSwag	ARC	TruthfulQA	MMLU
LLaMA-7B		0.778	0.509	0.343	0.357
LLaMA-13B		0.809	0.561	0.395	0.476
LLaMA2-7B	Pretrained	0.771	0.432	0.333	0.444
LLaMA2-13B		0.807	0.488	0.419	0.556
Mistral-7B		0.823	0.602	0.426	0.627
Vicuna-7B	70k conv.	0.775	0.537	0.489	0.456
Vicuna-13B	70k conv.	0.801	0.530	0.518	0.513
WizardLM-7B	70k inst.	0.771	0.516	0.447	0.427
WizardLM-13B	70k inst.	0.777	0.572	0.505	0.523
Platypus2-13B	25k inst.	0.826	0.613	0.449	0.567
Camel-Platypus2-13B	25k inst.	0.836	0.608	0.496	0.565
Stable-Platypus2-13B	25k inst.	0.822	0.627	0.525	0.583
LLaMA2-7B Fine-tuned	15k inst.	0.786	0.545	0.347	0.574
Mistral-7B Fine-tuned	15k inst.	0.820	0.607	0.438	0.625
LLaMA2-7B w/ LIFT(GPT-3.5)	15k inst.	0.794	0.566	0.443	0.607
Mistral-7B w/ LIFT(GPT-3.5)	15k inst.	0.839	0.613	0.485	0.644
LLaMA2-7B w/ LIFT(GPT-4)	$15k$ inst.	0.802	0.576	0.456	0.612
Mistral-7B w/ LIFT(GPT-4)	$15k$ inst.	0.843	0.644	0.491	0.645

Table 1: LLMs Performance Comparison in NLU Tasks

Table 2: LLMs Performance Comparison in Code Generation Tasks (pass@1)

Model	Data Size	HumanEval	MBPP
$CodeT5+$		0.309	
CodeLLaMA	米	0.360	0.470
StarCoder		0.336	0.436
InstructCodeT5+	20k	0.350	
WizardCoder	78k	0.573	0.518
StarCoder Fine-tuned	10k	0.381	0.431
CodeLLaMA Fine-tuned	10k	0.393	0.465
StarCoder w/ LIFT(GPT-3.5)	10k	0.546	0.491
CodeLLaMA w/LIFT(GPT-3.5)	10k	0.549	0.493
StarCoder w/ LIFT(GPT-4)	10k	0.550	0.495
CodeLLaMA w/ LIFT(GPT-4)	10k	0.551	0.498

^{*} *Pretrained models*

 score that our paradigm is effective not only for LLM-generated datasets but also in elevating the quality of already high-quality datasets, contribut- ing to improved fine-tuned model performance while reducing the dataset size.

500 4.4.2 Code Generation Tasks

 Tab[.4](#page-7-1) provides an overview of the paradigm exper- iments conducted on code generation tasks. For data expansion, we repeatedly perform the expan- sion step 2 times, resulting in a 60k size instruction **505** dataset.

 The table illustrates our paradigm leads to a sig- nificant enhancement in the performance of the fine-tuned model across both benchmarks. Notably, our final curated dataset, although roughly half the size of the original dataset, outperforms the latter by nearly 15% on the HumanEval and 3% on **511** the MBPP. The observed pattern of performance **512** improvement in NLU tasks also extends to code **513** generation tasks, where each step contributes to **514** enhancing data quality. This further underscores **515** that each component of our paradigm plays a vital **516** role in elevating the overall instruction quality. **517**

5 Discussions **⁵¹⁸**

5.1 Composition of The Final Curated Dataset **519**

We take a step further to analyze the composition **520** of the final curated dataset, unraveling the origins **521** of diverse and high-quality instruction items. Fig[.5](#page-7-2) **522** presents the source proportions of the final curated **523** dataset for NLU and code generation tasks, yield- **524** ing several noteworthy conclusions. **525**

For LLM-generated instruction datasets like **526** Code Alpaca, only a small proportion of the final **527** dataset emanates from the original dataset (Fig[.5b\)](#page-7-2). **528** The majority of high-quality data is derived from **529** our paradigm's first step—the expanded dataset. **530** This emphasizes our paradigm's significant role in **531** generating and covering a diverse and high-quality **532** dataset, especially for datasets without meticulous **533** curation. **534**

In contrast, for a curated and high-quality in- **535** struction dataset like Open Platypus, the portion of **536** the original dataset in the final dataset increases **537** (Fig[.5a\)](#page-7-2). The proportions of the final curated **538**

Dataset Type	Dataset Size	HellaSwag	ARC Challenge	TruthfulQA	MMLU
Base Platypus Dataset	25k	0.828	0.615	0.445	0.626
Data Expansion	100k	0.833	0.624	0.447	0.631
Variety Compress	20k	0.840	0.633	0.456	0.642
Quality Compress	15k	0.843	0.644	0.491	0.645

Table 3: Paradigm Ablation Experiment Results in NLU Tasks (Foundation Model: Mistral)

Table 4: Paradigm Ablation Experiment Results (Pass@1) in Code Generation Tasks (Foundation Model: Code LLaMA)

Dataset Type	Size	HumanEval	MBPP
Base Dataset	20k	0.410	0.467
Data Expansion	60k	0.535	0.488
Variety Curation	12k	0.542	0.490
Quality Curation	10k	0.551	0.498

 dataset in NLU tasks reveal an almost equal distri- bution among the four sub-datasets, demonstrating that even for an initially high-quality dataset, our paradigm also excels in generating and selecting numerous high-quality data points based on the original dataset.

 These conclusions affirm that the bulk of the final dataset primarily comprises data from the expanded dataset. While proportions of original dataset data contributing to the final dataset may vary based on the original dataset's quality, our paradigm consis- tently showcases its ability to extract high-quality segments from the original dataset and augment them with diverse and high-quality data subspaces.

553 5.2 Limitations and Future Works

 The main limitation of our paradigm lies in the subjectivity of our quality evaluation process, as it heavily relies on GPT quality evaluation. De- spite we carefully design some criteria, additional statistical analysis beyond the length factor could also enhance the precision of high-quality data se- lection. Future work will involve integrating more comprehensive metrics to provide a more nuanced assessment of instruction quality.

⁵⁶³ 6 Conclusions

 This paper presents a novel paradigm, LIFT, that departs from the traditional single-mode quality en- hancement approach for instruction datasets, opting for a fresh perspective on data quality through data distribution transfer. By combining the strengths of data expansion and curation while mitigating their

(a) Source of the final curated 15k dataset in NLU tasks

(b) Source of the final curated 10k dataset in code generation tasks

Figure 5: Composition of The Final Curated Dataset

limitations, LIFT significantly enhances elevate the **570** quality of the instruction dataset to new heights. Ex- **571** tensive experimental results demonstrate that our **572** fine-tuned models consistently attain either SOTA **573** or nearly SOTA performance in both NLU and **574** code generation. These experiments underscore **575** the paradigm's versatile effectiveness, showcasing **576** its capacity to encompass and select diverse and **577** high-quality data. The integration of the curated **578** data into the instruction-tuning process empowers **579** LLMs to achieve superior performance across vari- **580** ous tasks and benchmarks. **581**

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836

810 **A GPT Prompt Templates For Data ⁸¹¹** Expansion

812 SYSTEM MESSAGE:

813 I want you act as a professional prompt **814** refinement specialist.

815 USER PROMPTS:

 Your task is to transform a provided prompt into a more intricate version utilizing a structured data format, introducing complexity to challenge well-known AI systems. However, ensure that the revised prompt remains reasonable, comprehensible, and capable of being understood and addressed by **824** humans.

825 You can enhance the complexity through **826** various methods, including but not **827** limited to:

828 (1) The depth and breadth of the inquiry **829** can be increased.

830 (2) Replace general concepts with more **831** specific concepts.

 (3) If original problem can be solved with just a few simple thinking processes, you can rewrite it to explicitly request multiple-step reasoning.

837 #Instruction# **838** {Instruction} **839** #Input# **840** {Input}

841 **B** Assessment of Original and Expanded **⁸⁴²** Dateset Quality

 Assessing quality distribution in instruction datasets is challenging, with no widely accepted tool for this quantification. Our method is compar- ative evaluation: randomly selecting a data case from the original dataset, we pair it with one of its augmented counterparts and shuffle the order. Vol- unteers evaluate the pair across three dimensions: clarity, complexity, and explanation, selecting the better one for each dimension. We then calculate the proportions of volunteer selections for both original and augmented datasets. See the Fig. [6](#page-10-3) below for specific metrics.

⁸⁵⁵ C GPT Quality Score Template

856 SYSTEM MESSAGE:

857 We would like to request your feedback **858** on the performance of an AI assistant.

Figure 6: Quality Assessments of the Original and Expanded datasets

The assistant provides outputs for **859** instruction and input (if any). **860** USER PROMPTS: **861** Please score the response to the 862 instruction and input according to the **863**

following criteria. **864** The maximum score is 100 points, and it **865** consists of 4 parts: **866**

1. Clarity (15 points): Assign a score **867** based on how effectively the instruction **868** conveys the problem. High-quality, clear **869** questions score higher. **870**

2. Difficulty (25 points): Rate the **871** complexity of the instruction's problem. **872** Higher difficulty should receive a higher **873 score.** 874

3. Explanations (25 points): Assess if the **875** response includes detailed explanations **876** alongside any code provided. The more **877** comprehensive the explanation, the higher **878** the score. **879**

4. Accuracy (35 points): Score the **880** response based on the accuracy and **881** correctness of the solution to the **882** instruction's problem. Higher accuracy **883** should receive a higher score. **884**

Here's some examples and socres you can **885** follow: **886**

Example 1: **887**

Instruction: {EXAMPLE INSTRUCTION 1} **888** ### Response: {EXAMPLE OUTPUT 1} **889** ### Score for Example 1: {SCORE 1} **890** ### Example 2: **891** ### Instruction: {EXAMPLE INSTRUCTION 2} **892** ### Input: {EXAMPLE INPUT 2} **893** ### Response: {EXAMPLE OUTPUT 2} **894**

```
### Score for Example 2: {SCORE 2} 895
```
 ### Example 3: 897 ### Instruction: {EXAMPLE INSTRUCTION 3} **### Response: {EXAMPLE OUTPUT 3}** ### Score for Example 3: {SCORE 3}

 Please score the upcoming Instruction, Input and Response based on these examples across four dimensions, and then add the four scores together to get the total score. Try to avoid getting a full score as much as possible.

 Please first output a single line containing the total score number only. In the subsequent line, please provide a comprehensive explanation of your evaluation, avoiding any potential bias. ### Instruction: **{INSTRUCTION}** ### Input: {INPUT}

- ### Response:
- {OUTPUT}

D Quality Score Distribution

 We have gathered the quality scores for the variety curated dataset following our paradigm, both in NLU and code generation tasks. The score distri- butions are depicted in Fig[.7.](#page-11-3) Notably, the quality scores exhibit an approximately normal distribu- tion within the score interval of 60 to 100 for both tasks. This observation validates the effectiveness of our scoring strategies in discerning low-quality data. It should be noted that the minor bumps near 0 stem from connection errors or OpenAI API call- ing ratio constraints, resulting in GPT scores of 0 for certain instructions.

E Experiments Implementation Details

 For both foundation models, we conduct training **on Azure Machine Learning Studio's cluster ^{[1](#page-11-4)}, uti-** lizing 4 nodes, each equipped with 8 V100 GPUs featuring DeepSpeed Zero-3 [\(Rajbhandari et al.,](#page-9-13) [2019\)](#page-9-13) offload. Specifically, during the fine-tuning of Mistral 7B, we employ LoRA [\(Hu et al.,](#page-8-10) [2021\)](#page-8-10). This strategy is chosen for its ability to ensure a more steady convergence of loss, resulting in better performance. The detailed fine-tuning arguments are outlined in Tab[.5.](#page-12-0)

(b) Quality Score Distribution in Code Generation Tasks (12k Data)

Figure 7: Quality Score Distribution

F Benchmarks and Compared LLMs **⁹⁴²**

F.1 Benchmarks **943**

Large language model benchmarks serve as stan- **944** dardized tests to evaluate how well models under- **945** stand, generate, and manipulate human-like lan- **946** guage [\(Lu et al.,](#page-8-11) [2021;](#page-8-11) [Chen et al.,](#page-8-9) [2021\)](#page-8-9). Below **947** is an introduction to these chosen benchmarks: **948**

- HellaSwag [\(Zellers et al.,](#page-9-14) [2019\)](#page-9-14). HellaSwag **949** is a challenge dataset containing 70k multiple- **950** choice questions for evaluating commonsense **951** Natural Language Inference (NLI). While its **952** questions may be trivial for humans (>95% **953** accuracy), they pose a challenge for state-of- **954** the-art models. **955**
- ARC Challenge [\(Clark et al.,](#page-8-12) [2018\)](#page-8-12). The **956** AI2's Reasoning Challenge (ARC) dataset is **957** a multiple-choice question-answering dataset **958** containing questions from science exams rang- **959** ing from grade 3 to grade 9. It is split into two **960**

<https://ml.azure.com/>

Table 5: Fine-tuning Arguments for StarCoder 15B and Mistral 7B

961 partitions: Easy and Challenge. The Chal-**962** lenge partition consists of 25k questions that **963** require reasoning.

 • TruthfulQA [\(Lin et al.,](#page-8-13) [2022\)](#page-8-13). TruthfulQA is a benchmark designed to measure whether a language model is truthful in generating an- swers to questions. The benchmark comprises 817 questions spanning 38 categories. Ques- tions are crafted so that some humans might answer falsely due to false beliefs or miscon-ceptions.

- **972** MMLU [\(Hendrycks et al.,](#page-8-14) [2020\)](#page-8-14). MMLU **973** (Massive Multitask Language Understanding) **974** is a new benchmark intended to measure **975** knowledge acquired during pretraining. It **976** evaluates models exclusively in zero-shot and **977** few-shot settings, making it more challenging **978** and akin to human evaluation. The bench-**979** mark covers 57 subjects across STEM, hu-**980** manities, social sciences, and more, ranging **981** in difficulty from elementary to advanced pro-**982** fessional levels, testing both world knowledge **983** and problem-solving ability.
- 984 HumanEval [\(Chen et al.,](#page-8-9) [2021\)](#page-8-9). HumanEval **985** is utilized to gauge functional correctness in **986** synthesizing programs from docstrings. Com-**987** prising 164 original programming problems, it **988** assesses language comprehension, algorithms, **989** and simple mathematics.
- **990** MBPP [\(Austin et al.,](#page-7-3) [2021\)](#page-7-3). The MBPP **991** (Mostly Basic Python Problems) dataset con-**992** sists of around 1,000 crowd-sourced Python **993** programming problems. These are designed **994** to be solvable by entry-level programmers, **995** covering programming fundamentals and stan-**996** dard library functionality. In our experiments,

to align with others, we select 400 questions. **997** F.2 Compared LLMs **998**

The selected models for comparison in NLU tasks **999 include:** 1000

- LLaMA [\(Touvron et al.,](#page-9-15) [2023a\)](#page-9-15). LLaMA is **1001** a collection of foundation language models **1002** trained on trillions of tokens from publicly **1003** available datasets. **1004**
- LLaMA2 [\(Touvron et al.,](#page-9-11) [2023b\)](#page-9-11). Llama 2 is **1005** an updated version of Llama, trained on a new **1006** mix of publicly available data. It increased the **1007** size of the pretraining corpus by 40% , doubled 1008 the context length of the model, and adopted **1009** grouped-query attention in training. 1010
- **Mistral** [\(Jiang et al.,](#page-8-5) [2023\)](#page-8-5). Mistral is a **1011** state-of-the-art 7B foundational model, fast- **1012** deployed, easily customizable, and supports **1013** English and code with an 8k context length. 1014 It's also one of the foundation models in our **1015** paradigm experiments. **1016**
- **Vicuna** [\(Chiang et al.,](#page-8-3) [2023\)](#page-8-3). Vicuna is an **1017** open-source chatbot trained by fine-tuning **1018** LLaMA on 70K user-shared conversations **1019** collected from the ShareGPT website. **1020**
- WizardLM [\(Xu et al.,](#page-9-6) [2023\)](#page-9-6). WizardLM is **1021** instruction fine-tuned on LLaMA with 70k **1022** instruction data generated through the Evol- **1023 Instruct strategy.** 1024
- Platypus [\(Lee et al.,](#page-8-6) [2023\)](#page-8-6). Platypus is a fam- **1025** ily of fine-tuned and merged LLMs achiev- **1026** ing strong performance. It uses Open Platy- **1027** pus as its instruction dataset and applies the **1028** LoRA strategy to train adaptors that can be **1029** merged into different foundation models, creating many variant models. **1031**

The selected models for comparison in code gen- **1032** eration tasks include: **1033**

• CodeT5+ & InstructionCodeT5+ [\(Wang](#page-9-16) 1034 [et al.,](#page-9-16) 2023). CodeT5+ is a new family of 1035 open code LLMs with an encoder-decoder ar- **1036** chitecture trained on various pretraining tasks. **1037** InstructionCodeT5+ is further fine-tuned on **1038** the Code Alpaca dataset. **1039**

Component Type	HellaSwag	ARC Challenge	TruthfulQA	MMLU
Original Dataset (25k)	0.828	0.615	0.445	0.626
Expansion Only (100k)	0.833	0.624	0.447	0.630
Curation Only (15k)	0.830	0.621	0.443	0.623
Paradigm LIFT (15k)	0.843	0.644	0.491	0.645

Table 6: Component Ablation Experiment Results in NLU Tasks (Foundation Model: Mistral)

Table 7: Component Ablation Experiment Results (Pass@1) in Code Generation Tasks (Foundation Model: Code LLaMA)

Component Type	HumanEval	MBPP
Original Dataset (20k)	0.410	0.467
Expansion Only (60k)	0.535	0.488
Curation Only (10k)	0.475	0.480
Paradigm LIFT (10k)	0.551	0.498

- **1040** Code LLaMA [\(Rozière et al.,](#page-9-12) [2023\)](#page-9-12). Code **1041** Llama is a code-specialized version of Llama2 **1042** [\(Touvron et al.,](#page-9-11) [2023b\)](#page-9-11) trained on code-**1043** specific datasets.
- 1044 StarCoder [\(Li et al.,](#page-8-7) [2023b\)](#page-8-7). StarCoder is **1045** a widely-used large code language model **1046** trained on diverse sources, including 80+ pro-**1047** gramming languages, Git commits, GitHub **1048** issues, and Jupyter notebooks. It's also one of **1049** the foundation models in our paradigm exper-**1050** iments.
- **1051** WizardCoder [\(Luo et al.,](#page-9-7) [2023\)](#page-9-7). Wizard-**1052** Coder is instruction fine-tuned on StarCoder **1053** with 78k instruction data generated through **1054** the application of Code Evol-Instruct.

¹⁰⁵⁵ G Component Ablation Experiments

 Tab. [6](#page-13-2) and [7](#page-13-0) are the component ablation result for NLU tasks and code generation tasks, demonstrat- ing the impact of each component in our methodol-**1059** ogy.

¹⁰⁶⁰ H GPU Hours and Carbon Emission

 By compressing the size of the instruction dataset, we aim to reduce the GPU hours required for in- struction tuning, contributing to a subsequent de- crease in carbon emissions. Tab[.8](#page-13-3) illustrates the impact of different dataset sizes on GPU hours 1066 and CO₂ emissions. We consider three datasets for each task: the original dataset, the expanded dataset after the first step of our paradigm, and the final curated dataset. GPU hours are calculated

under the same settings of training epoch and batch 1070 size, while carbon emissions are computed using 1071 an online machine learning $CO₂$ $CO₂$ $CO₂$ calculator².

The table shows a substantial reduction in GPU 1073 hours and lower carbon emissions when fine-tuning **1074** with the final curated dataset. Specifically, compared to the original dataset, we observe a 36.8% 1076 and 41.1% reduction in GPU hours for code genera- **1077** tion and NLU tasks, respectively. This comparison **1078** demonstrates that our paradigm not only acceler- **1079** ates fine-tuning but also promotes environmental **1080** sustainability while maintaining robust high perfor-
1081 mance. **1082**

. **1072**

2 <https://mlco2.github.io/impact/#co2eq>