Compositional Communication with LLMs and Reasoning about Chemical Structures

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Abstract

1 Compositionality of communication is a prerequisite for robust reasoning. Despite overall impressive performance, LLMs appear to have fundamental issues with 2 compositionality in reasoning tasks. Research of the emergence of languages in 3 referential games demonstrates that compositionality can be achieved via com-4 bination of the game organization and constraints on communication protocols. 5 In this contribution we propose and offer initial evaluation of the hypothesis that 6 compositionality in reasoning tasks with LLMs can be improved by placing LLM 7 agents in the referential games that coax compositionality of the communication. 8 We describe a multi-stage chemical game including recognition, naming, and 9 reconstruction of chemical structures by LLM agents without leveraging their 10 pre-existing chemical knowledge. 11

12 **1** Introduction

Reasoning is the hallmark of scientific process. Scientific applications of AI are yet to include 13 seamless collaborative reasoning with human scientists. Specifically, compositionality appears to 14 represent a big challenge even to the models with otherwise outstanding capabilities. We want to 15 16 understand how much LLMs can be pushed before they reach a performance ceiling in reasoning tasks. Our approach is informed by the body of research of emergent communication in multi-agent 17 reinforcement learning (MARL) [1]. It is established that compositionality of the emergent languages 18 is an independent feature that requires via special constraints on the communication protocol and/or 19 specific organization of the game where communication unfolds [2]. We hypothesize, that LLMs 20 communication can be pushed towards higher compositionality if LLMs are trained or fine-tuned as 21 they participate in a properly organized referential game. LLMs already have a handle on the natural 22 human language and the game is not expected to produce a new language. The role of the game is to 23 24 coax LLM agents to prioritize compositional communication over non-compositional [3, 4].

LLMs struggle with composability of chemical structures and compositionality of reasoning about chemical structures at expert-level tasks. The issue is quite pressing because the majority of relevant chemical discovery workflows require a seamless, peer-like interaction of AI with human chemists about impact of structural modification on utility of molecules.[5]

We are considering an asymmetric referential game[6] with two agents, the Sender and the Receiver. As the Sender is exposed to the objects in the world, it learns to represent these objects and to associate utterances with the representations. The Sender shares utterances with the Receiver over a communication channel which in our case is discrete, variable length, and noiseless. The Receiver learns to associate utterances with its own representation of the world objects and to reconstruct the world objects. In MARL settings, the agents are rewarded for each instance of communication where the Receiver correctly identified the object that the Sender was exposed to. In this contribution,

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Figure 1: Complex referential games have been shown to support emergence of compositional communication [4] about multi-attribute objects. Our nested referential game involves molecules composed of functionally distinct fragments. **Panel A**. World objects are SMILES strings. SMILES are split into substrings corresponding to the function-inducing groups (Fragments 1 and 2). Fragments are assigned names in the first. **Panel B**. First sub-game: learning a shared vocabulary for the library of molecular fragments. **Panel C**. Second sub-game: learning to decompose objects into fragments. **Panel D**. Final nested referential game: learning to decompose a composable object into fragments, naming the fragments, constructing the utterance (Sender's side), and following the reverse process (Receiver's side).

we train LLM model via fine-tuning on the pairs object-representation, representation-utterance, utterance-representation, and representation-object. The general structure of our chemical referential game closely follows [4] and, by extension [7]. The world objects are SMILES strings that are concatenation of SMILES substrings. They are constructed as a combinatorial library from two sets of function-inducing groups. Each SMILES in the world is described with a message comprising two parts, each corresponding to a specific group following structure of multi-attribute referential games, *cf.* shape-color in [4].

43 **1.1 Related work**

Our effort exists at the intersection of three active areas of research: reasoning and compositional 44 communication with LLMs, emergence of compositional languages in MARL, and application of 45 LLMs in chemistry. It's been demonstrated that while most invented languages are effective yet 46 not interpretable or compositional [3]. This study showed development of the compositionality as a 47 response to limiting vocabulary and eliminating memory of one of the communicating agents. Another 48 study [4] reported achievement of emergent compositional communication in a complex signaling 49 game [7]. Elicitation of compositional generalization capabilities from LLMs used prompting 50 strategies, such as skills-in-context (SKiC) [8], and prompt-free approach Compositional Task 51 Representations (CTR) [9]. Introduction of chemical benchmarks for LLMs ([10]) revealed general 52 difficulties in comprehension of SMILES notation which translates into issues in downstream tasks. 53 Focus of chemical applications of LLMs on instructions inevitably runs in the bottleneck of handling 54 composability and compositionality of chemistry.[11] 55

56 2 Methodology

57 2.1 Data

58 Molecular combinatorial library is constructed from two types of function-inducing groups including

		Train		Test		
LLM	Sender	Sender	Receiver	Sender	Sender	Receiver
	(Exact)	(Partial)	(Exact)	(Exact)	(Partial)	(Exact)
Phi-1.5 zero-shot	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Phi-1.5 2-shot	1.8%	3.5%	0.0%	0.0%	0.0%	0.0%
Phi-1.5 Fine-tuned	33.0%	71.3%	50.4%	0.0%	36.0%	32.1%
Mistral zero-shot	2.7%	36.3%	13.3%	3.4%	51.7%	6.5%
Mistral 2-shot	14.7%	66.5%	50.40%	12.1%	81.8%	15.8%
Mistral Fine-tuned	96.9%	99.6%	100.0%	72.2%	91.7%	68.6%

Table 1: Accuracy scores assessing Sender's ability to construct Utterance from SMILES and Receiver's ability to reconstruct SMILES from Utterance. "Exact" measures if the Sender/Receiver's output fully matched expected output. "Partial" measures if Sender issued a partially correct Utterance. Fine-tuned LLMs Phi-1.5 and Mistral-7B-Instruct-v0.2 shows significant improvement over base model with zero-shot and two-shot prompts

1" + "group 2a" + "group 2b" pattern, producing total of 11042 SMILES strings suitable for LLM
 fine-tuning. Only the first pattern including two fragments per molecule is used in the referential
 game setting 1A following [1, 4].

63 2.2 Game

The first sub-game 1B is a simple signaling game where the Sender and the Receiver establish a 64 shared vocabulary about a fixed set of fragments from the combinatorial library. In the studies of 65 language emergence, the agents are free to converge on any arbitrary vocabulary. In our case, both 66 LLM agents are exposed to the natural language, scientific terminology and even SMILES notation. 67 However, LLM's comprehension of SMILES is inconsistent so we proceed by asking the Sender to 68 come up with short, unique names for the fragments that are not established chemical terms. The 69 Receiver then needs to learn the correspondence between names and fragments. Effectively, the 70 Receiver faces a supervised learning task on a small dataset, so for practical considerations we simply 71 included the look-up table of fragments and names in the system prompts of both LLM agents and 72 instructed the agents to use the table for search and retrieval of the relevant items. 73 In the second sub-game 1C the Sender learns to split a SMILES string into the sub-strings that have 74 matches in the shared vocabulary. This primary task implies the secondary task, where the Sender 75

matches in the shared vocabulary. This primary task implies the secondary task, where the Sender has to match the fragment strings produced during the split to the content of the look-up table in the system prompt, and if both fragments have exactly matching entries, the Sender has to retrieve the corresponding names from the table. The Receiver handles the similar inverse task, except that it needs to split a space-separated name shared by the Sender instead of a single SMILES string which is an enormous simplification.

These sub-games are nested in complete referential game 1D. The Sender encounters a world object, represents it as a set of fragments that have exact matches in the shared vocabulary, retrieves names of these fragments, and combines the names into a message. The Receiver parses the message into names of the fragments, retrieves the fragments from the look-up table, and reconstructs the world object.

86 2.3 Model training

The language model used as the Sender and the Receiver was fine-tuned on a dataset derived from 87 the data described in section 2.1. From the 11,042 SMILES strings and associated performance + 88 pendant group labels in the Molecular combinatorial library, we created a dataset of input and output 89 texts. This dataset covers various tasks that help LLMs learn to: a) split an initial SMILES notation 90 of a molecule into sub-structure SMILES, b) map sub-structure SMILES to fragment names, c) map 91 fragment names to sub-structure SMILES, and d) construct a SMILES string from the sub-structure 92 SMILES of its fragments. We used Meta-Llama-3-70B-Instruct [12] to create prompt variations for 93 all four tasks, resulting in a dataset of 103, 300 entries for fine-tuning the LLMs. 94

This work utilizes two different LLMs: 1) Phi-1.5 [13], a small-sized model with 1.3B parameters, and 2) Mistral-7B-Instruct-v0.2 [14], a medium-sized model with 7B parameters. Both models were fine-tuned with LoRA [15], targeting the q proj, k proj, and v proj modules. The following LoRA parameters were used for fine-tuning: 1) rank of low-rank factorization (lora r) = 8, 2) scaling factor for the rank (lora alpha) = 32, and 3) lora dropout = 0.1. Additional fine-tuning parameters included: 1) learning rate = 1e-4, 2) weight decay = 0.05, and 3) batch size = 96 (for Mistral-7B-Instruct-v0.2) and 128 (for Phi-1.5).

102 3 Results and Discussion

Development of the shared vocabulary is a good example how partial "skills" of LLMs need to be mitigated to help them operate in the desired manner. LLMs have familiarity with SMILES notation and chemical structure concepts. They are neither consistent, nor generalizable, nor exhaustive.

To further assess the performance of LLMs in the Final referential chemical game, we used two language models: Phi-1.5 and Mistral-7B-Instruct-v0.2. For each LLM, we considered the base model with zero-shot and two-shot prompting techniques, as well as a fine-tuned model. Table 1 presents the results from various models for the referential game. We measured the accuracy of the Sender generating Utterance and the Receiver reconstructing SMILES separately. In the Train and Test games, the fine-tuned Mistral model significantly outperformed other models in Sender and Receiver accuracy with 72.2% and 68.6% respectively for test split.

The zero-shot and two-shot accuracy results for Phi-1.5 and Mistral models demonstrate the base 113 models' inability to parse and reason with SMILES notation of molecules. Mistral was able to 114 understand SMILES better than the smaller Phi-1.5, as shown in the two-shot results. Fine-tuning 115 with data created from the Molecular combinatorial library improved the capability of these models 116 to understand, parse, and compose SMILES notation. Even after fine-tuning, Phi-1.5 was still unable 117 to generate Utterance from SMILES, as indicated by the 0% Exact Match accuracy and only 36%118 Partial Match accuracy. However, Mistral handled SMILES notation much better after fine-tuning, 119 with 72.2% and 91.7% accuracy in Exact Match and Partial Match, respectively. 120

We evaluate compositionality of the communication as topographic similarity [1, 4, 16] - Spearman 121 correlation of in-world distances between the objects (SMILES strings representing molecules) and 122 their semantic distances. Semantic distances are evaluated as Cosine distances between embedding 123 vectors of the names produced by the Sender. In-world distances are evaluated as Levenshtein editing 124 distances between SMILES strings and Dice distances between Morgan fingerprints [17] of SMILES 125 strings. Embeddings are obtained using all-MiniLM-L6-v2 sentence-transformer model [18]. With 126 the base Mistral model (Mistral zero-shot), topographic similarity $\rho_{Levenshtein}$ is 0.07 and ρ_{Dice} is 127 0.09. Performance improvement of the fine-tuned model (Mistral Fine-tuned) is accompanied by 128 appreciable increase of topographic similarity: $\rho_{Levenshtein}$ is 0.65 and ρ_{Dice} is 0.82. 129

130 4 Conclusion

To our knowledge, this is the first attempt to leverage complex referential game setting to improve compositionality of communication between general-purpose LLMs.

It is tempting to consider RL-like setting of the referential game involving LLMs, where instead of
 fine-tuning (either is RL manner or supervised learning manner) the desired behavior is reinforced
 via prompting. Success of this approach appears to be highly sensitive to the nature of the LLM, just
 like with other prompt-driven reasoning strategies.

We would like to draw a deeper parallels with the field of emergent communication in MARL and notice that contemporary studies typically involve complex agent architectures with separate modules responsible for perception and communication. It seems that the demand for seamless communication with human agents calls for adoption of LLMs as enablers of shared grounding. Compositionality and reasoning, however, might be better delegated to the higher-level agents interacting with LLMs. In this case, the focus of communication games shifts from the emergence of language to the emergence of reasoning as a response to the complexity of the environment and interactions between agents.

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