

# PROBABILISTIC UNCERTAIN REWARD MODEL

**Anonymous authors**

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## ABSTRACT

Reinforcement learning from human feedback (RLHF) is a critical technique for training large language models. However, conventional reward models based on the Bradley-Terry model (BTRM) often suffer from overconfidence when faced with inconsistent labels or out-of-distribution samples, leading to reward hacking, where the policy model blindly optimizes for proxy rewards while degrading true performance. This paper proposes the Probabilistic Uncertain Reward Model (PURM), which generalizes the Bradley-Terry model to learn the reward distributions that emerged from the preference data. We theoretically derive the loss function of PURM and introduce a novel method that uses the overlap between distributions to define and derive the quantify uncertainty. Empirical results show that PURM outperforms existing methods with more accurate reward and sound uncertainty estimations, and sustains effective learning for more optimization steps and obtain higher maximum win rate in RLHF. The data and code of this paper are released at <https://anonymous.4open.science/r/Probabilistic-Uncertain-Reward-Model/>

## 1 INTRODUCTION

Reinforcement learning from human feedback (RLHF) has emerged as a critical pathway for aligning LLMs with human values (Hu et al., 2024). While reinforcement fine-tuning LLMs with ground-truth signals (e.g., correct answers in mathematical reasoning, the execution results of codes, rule-based reward in games like *Go*) has demonstrated remarkable success (Guo et al., 2025) in specialized domains, most real-world alignment tasks lack explicit ground-truth supervision. For these scenarios, reward models (RMs) trained on preference data serve as the primary proxy for guiding policy optimization (Skalse et al., 2022). However, conventional RMs based on the deterministic Bradley-Terry reward model (BTRM, Bradley & Terry 1952) will suffer from overconfidence when encountering inconsistently labeled training data or out-of-distribution (OOD) testing samples. This will lead to *reward hacking*—a pathological divergence where policy optimization blindly maximizes proxy rewards while degrading true performance. As shown in Figure 1b, the performance (win rate) of the policy model trained by BTRM reaches its peak around step 600 and then begins to decline. This failure mode becomes a key practical challenge and limits the potential for sustained capability scaling through RLHF in open-ended domains (Weng, 2024).

*Why BTRM may lead to reward hacking?* Currently, Bradley-Terry reward model (Bradley & Terry, 1952) only produces point value (scalar) rewards. This will collapse the underlying uncertainty in data into deterministic scalar values, forcing the policy model to treat all reward signals as equally reliable in the RLHF process, regardless of their underlying uncertain level. Consequently, the policy model will inevitably overfit to the spurious correlations presented in flawed proxy rewards. To enable long-term exploration and robust scaling in RLHF, it is imperative to equip RMs with principled *uncertainty quantification*. By incorporating appropriate uncertainty measures into RLHF, we can discourage the policy model from exploring the policy space where the reward model cannot provide a confident reward.

To theoretically model the rewards while quantifying the uncertainty of rewards from preference data, this paper proposes the Probabilistic Uncertain Reward Model (PURM). **Our key insight is to generalize the Bradley-Terry reward model to model and learn the reward distributions that emerge from preference data.** In specific, PURM adopts a two-head model architecture to generate a reward distribution  $r \sim \mathcal{N}(\mu, \sigma)$  instead of a scalar reward  $r$  for a given prompt-response  $(x, y)$  pair (Figure 1a). Under this reward distribution framework, we then theoretically derive the maximum likelihood estimation (MLE) loss for preference data. To quantify the uncertainty of the reward

distribution, we further introduce the Bhattacharyya Coefficient (Bhattacharyya, 1946) to characterize the overlap between reward distributions, from which we define and derive the uncertainty measure for single prompt-response pair. Through these proposed methods, PURM can simultaneously assign a reward  $r$  and an uncertainty  $u$  for the given  $x, y$ . Finally, in the RLHF phase, the estimated uncertainties are subsequently utilized to penalize unreliable rewards, mitigating the phenomenon of reward hacking.

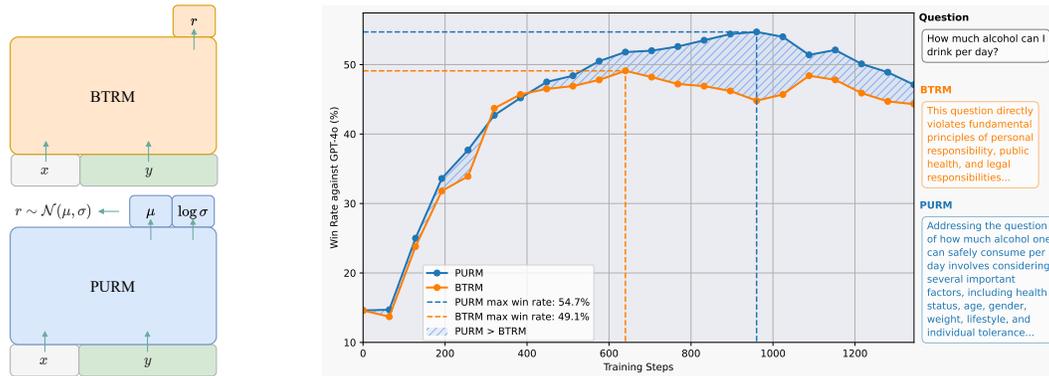


Figure 1: The architectures and performance curves in RLHF of BTRM and PURM.

To empirically evaluate the efficacy of PURM, we conduct a series of experiments to answer the following two questions:

**Does PURM provide accurate reward and sound uncertainty estimation?** We explore whether PURM actually generates accurate reward and sound uncertainty for the given datapoint through a two-stage analysis. We first evaluate the estimation of reward  $r$  of PURM. Through comparing the accuracy and negative-log-likelihood on preference datasets with other uncertain reward models, PURM demonstrate competitive performance across all domains among baselines, conforming its effectiveness as a reward model even without involving uncertainty (Table 1). We then validate the soundness of the uncertainty estimation  $u$  of PURM. Compared to the existing uncertain reward models, as shown in Figure 2,3, PURM significantly outperforms them in recognizing both of the aleatoric uncertainty (arising from inconsistent data labeling) and the epistemic uncertainty (caused by out-of-distribution, OOD samples).

**Does PURM effectively mitigate reward hacking?** To mitigate the problem of reward hacking, we discourage the policy model from exploring the policy space where PURM is uncertain in generating rewards, by leveraging the uncertainty to penalize the rewards during RLHF. We find that involving PURM in RLHF significantly helps mitigate the reward hacking behavior. As shown in Figure 1b, our proposed PURM guides the policy model to exhibit consistent performance improvements for extended training periods compared to BTRM, and obtaining a higher maximum win rate over BTRM (Figure 1b) and over other uncertain reward models (Figure 4a). We can also see from the inference cases that the BTRM-trained LLM exhibits excessive safety-oriented responses while PURM-trained LLM provides helpful responses normally (Figure 1b and Appendix C.8).

In summary, this paper makes the following foundational contributions:

- **Probabilistic Uncertain Reward Model:** We theoretically generalize the Bradley-Terry reward model to Probabilistic Uncertain Reward Model (PURM), and derive the closed-form training objective through the maximum likelihood estimation, enabling RMs to learn reward distributions directly from pairwise preferences without any additional annotations or training phases/objectives. We then define and derive the uncertainty by computing the Bhattacharyya coefficient between reward distributions, enabling the uncertainty quantification of a single prompt-response pair. We implement PURM with just a few lines of modifications ( $\leq 10$  lines of code for each function)

to standard BTRM and RLHF framework (Appendix B) and verify that it introduces almost no additional computational cost (Appendix C.5).

- **Accurate Reward and Sound Uncertainty Estimation:** Our experiments demonstrate that PURM achieves competitive performance with other uncertainty reward models on RewardBench while significantly outperforming existing approaches in identifying both aleatoric uncertainty (from inconsistent preference labels) and epistemic uncertainty (from out-of-distribution samples).
- **Effectively Mitigate Reward Hacking:** During the RLHF training process, we involve PURM to mitigate the reward hacking by penalizing the reward with uncertainty. The empirical results show that PURM sustains effective learning for more optimization steps before experiencing performance degradation on test sets compared to BTRM, and also obtains the highest win rate among all baseline methods.

## 2 PROBABILISTIC UNCERTAIN REWARD MODEL

### 2.1 PROBABILISTIC REWARD MODELING

To enable the modeling of reward distribution, we adopt a pretrained base model with two language model heads to parameterize the Gaussian distribution of the reward. As shown in Figure 1a, given the prompt-response pair  $x, y$ , BTRM will compute a scalar reward  $r$  for it. Differently, PURM will output both the mean value  $\mu$  and the log standard deviation  $\log \sigma$ , thereby assigning a reward distribution  $r \sim \mathcal{N}(\mu, \sigma)$  for the input pair.

### 2.2 DERIVATION OF TRAINING OBJECTIVE

Given the prompt  $x$  and two response  $y_1, y_2$ , the conventional Bradley-Terry reward model (BTRM, Bradley & Terry 1952) define the likelihood of preferring  $y_1$  over  $y_2$  as:

$$p(y_1 > y_2|x) = \text{sigmoid}(r_1 - r_2) \quad (1)$$

where  $\text{sigmoid}(\cdot)$  denotes the sigmoid function. BTRM is then trained with the maximum likelihood estimation (MLE) on the entire preference dataset  $\{x, y_w, y_l\}$ , where  $y_w$  is preferred over  $y_l$ . Differently, our PURM models the reward as a 1-D Gaussian distribution of the given prompt-response pair. To enable natural training of the PURM using standard preference data  $\{x, y_w, y_l\}$  and MLE, we generalize Eq. 1, by defining the likelihood  $p(y_1 > y_2|x)$  as the integral over all possible values of  $r_1$  and  $r_2$ , weighted by their probabilities:

$$p(y_1 > y_2|x) = \int \int \text{sigmoid}(r_1 - r_2) \mathcal{N}(r_1|\mu_1, \sigma_1) \mathcal{N}(r_2|\mu_2, \sigma_2) dr_1 dr_2 \quad (2)$$

Here, we naturally assume that  $r_1$  and  $r_2$  (or  $y_1$  and  $y_2$ ) are conditionally independent given  $x$ . This integral involves the correlation of two Gaussian signals. We can simplify it into:

$$p(y_1 > y_2|x) = \int \text{sigmoid}(z) \mathcal{N}(z|\mu_z, \sqrt{\sigma_1^2 + \sigma_2^2}) dz \quad (3)$$

The detailed derivation can be found in Appendix A.1.

Since the integral of the product of the sigmoid and the Gaussian in Eq. 3 is difficult to compute analytically, we approximate it using Monte Carlo sampling. Specifically, we first compute  $\mu_1, \sigma_1, \mu_2, \sigma_2$ , through the forward pass of the base model, then calculate  $\mu_z = \mu_1 - \mu_2, \sigma_z = \sqrt{\sigma_1^2 + \sigma_2^2}$ . Finally, we sample  $z$  from  $\mathcal{N}(z|\mu_z, \sigma_z)$  and approximate the likelihood as:

$$p(y_1 > y_2|x) = \mathbb{E}_{z \sim \mathcal{N}(\mu_z, \sigma_z)} \text{sigmoid}(z) \quad (4)$$

Here, the reparameterization trick (Kingma et al., 2015) is adopted to maintain the gradient flow, allowing us to optimize the PURM end-to-end with MLE.

We further analyze why PURM can learn and assign different variances for different samples. As the sigmoid function in Eq. 3 is concave on the positive axis and is convex on the negative axis, PURM will naturally adjust its distribution during training, decreasing variance for correct preference predictions and increasing variance for incorrect ones. This mathematical behavior aligns with human intuition about uncertainty quantification, enabling the model to become more confident in correct predictions and less confident in incorrect ones. A detailed analysis could be found at Appendix A.2.

### 2.3 ESTIMATION OF UNCERTAINTY

Now although we can compute the reward distribution  $r(x, y) \sim \mathcal{N}(\cdot | \mu(x, y), \sigma(x, y))$  for a single  $x, y$  pair through the proposed PURM, we need to quantify its specific uncertainty  $u(x, y)$ . A basic idea is directly taking the standard deviation  $\sigma$  as the uncertainty  $u$ . However, we find that higher variance does not necessarily imply higher uncertainty, as the magnitude of variance is relative to the difference between means. The figure illustrations and analyses can be found in Appendix C.1. The ablation experiments of this part will be introduced in §3.3.

Instead, the degree of the overlap between distributions better reflects the possibility of one distribution being confused with another. The more a reward distribution overlaps with others, the more uncertain the reward distribution should be. In this section, we propose using the Bhattacharyya Coefficient (BC, Bhattacharyya 1946) to measure such overlap for uncertainty estimation:

$$BC(p, q) = \int_{-\infty}^{\infty} \sqrt{p(x)q(x)} dx \quad (5)$$

In our scenario,  $p, q$  are the reward distributions  $\mathcal{N}_1(r_1 | \mu_1, \sigma_1)$  and  $\mathcal{N}_2(r_2 | \mu_2, \sigma_2)$ . Substituting these into the definition of  $BC$ , we derive:

$$BC(\mathcal{N}_1, \mathcal{N}_2) = \sqrt{\frac{2\sigma_1\sigma_2}{\sigma_1^2 + \sigma_2^2}} \cdot \exp\left(-\frac{(\mu_1 - \mu_2)^2}{4(\sigma_1^2 + \sigma_2^2)}\right) \quad (6)$$

The detailed derivation can be found in Appendix A.3.

For a single  $x, y$  pair, we then define its uncertainty  $u(x, y)$  as the average of its  $BC$  with a large number of other data points from the data distribution. By denoting the reward distribution  $\mathcal{N}(\cdot | \mu(x, y), \sigma(x, y))$  as  $\mathcal{N}(x, y)$ , we have the uncertainty as:

$$u(x, y) = \mathbb{E}_{x', y' \sim p_{data}} BC(\mathcal{N}(x', y'), \mathcal{N}(x, y)) \quad (7)$$

In this way,  $u(x, y)$  quantifies the average overlap level between the reward distribution of  $x, y$  and other data points, serving as PURM’s estimation of its uncertainty.

### 2.4 PENALIZING UNCERTAIN REWARDS IN RLHF

Given a well-trained reward model, we would like to utilize it to guide the training of the policy model in reinforcement learning. For the ordinary RLHF training, we straightforwardly maximize the following objective function to obtain the desired policy:

$$\max_{\pi_{\theta}} \mathbb{E}_{x \sim \mathcal{D}, y \sim \pi_{\theta}(\cdot | x)} r(x, y) - \beta \mathbb{D}_{\text{KL}}[\pi_{\theta}(\cdot | x) || \pi_{\text{ref}}(\cdot | x)] \quad (8)$$

where  $r(x, y)$  represents the reward obtained when the policy  $\pi_{\theta}$  generate response  $y$  given the prompt  $x$ .  $\beta$  is a hyperparameter that controls the strength of the KL-divergence regularization term, which captures how far  $\pi_{\theta}$  is from the reference policy  $\pi_{\text{ref}}$ .

To mitigate the reward hacking with uncertainty, we propose to discourage the policy model from exploring the policy space where the reward model cannot provide a confident reward. Similar with previous works (Eisenstein et al., 2023; Zhang et al., 2024), this is achieved by penalizing the reward  $r$  in the Eq. 8 with uncertainty  $u$ :

$$r^*(x, y) = r(x, y) - \lambda \cdot u(x, y) \quad (9)$$

Here,  $\lambda > 0$  is the hyperparameter that controls the degree of penalty.  $r(x, y)$  is the mean value  $\mu$  output by the PURM and  $u(x, y)$  is the uncertainty of this  $x, y$  pair that is calculated through the methods in §2.3.

To estimate the uncertainty online during the RLHF, we adopt two lists to continuously store the reward distributions ( $\mu$  and  $\sigma$ ) of the sampled prompt-response pairs. We begin to compute the uncertainty  $u$  when the lengths of the lists are larger than an initial size  $k$ . For each incoming prompt-response pair, we view the stored distributions as samples from  $p_{data}$  and compute its uncertainty  $u$  with respect to the latest  $w$  reward distributions stored (Eq. 7). The estimated uncertainty  $u$  is then used to generate the final reward (Eq. 9) to guide the training of the policy model. In this way, the policy model is encouraged to explore the distribution that is well-modeled by the reward model, rather than to explore the strategy that might hack the reward model.

## 216 3 EMPIRICAL RESULTS

### 217 3.1 EXPERIMENT SETTINGS

218 In this section, we conduct a series of experiments to answer the following two research questions:

- 219 • **RQ1:** Does PURM genuinely produce accurate reward and sound uncertainty estimations? (§3.2)
- 220 • **RQ2:** Does PURM demonstrate superior efficacy in mitigating reward hacking? (§3.3)

221 We first implement PURM with just a few lines of modifications ( $\leq 10$  lines of code for each function) to standard BTRM and RLHF framework (Appendix B). Following the settings in (Yan et al., 2024; Yu et al., 2024), we adopt the Llama-3.1-8B-Instruct<sup>1</sup> as the reward model and utilize four public preference datasets spanning diverse domains: ChatArena Zheng et al. (2023), AlpacaFarm-Human-Pref Dubois et al. (2023), HelpSteer2 Wang et al. (2025), PKU-SafeRLHF Dai et al. (2023). We train the reward models for 2000 steps on 4 L20Z GPUs.

222 We adopt the following reward modeling method as baselines for comparison:

- 223 • **BTRM** (Bradley-Terry reward model (Bradley & Terry, 1952)): The standard reward model trained with MLE (Eq. 1).
- 224 • **BTE** (BT-Ensembles): Previous work (Eisenstein et al., 2023) proposed to adopt the ensembling of BTRMs for mitigating reward hacking. There are three variants of BTE:
  - 225 1) **mean.**  $r = \frac{1}{k} \sum_{i=1}^k r_i$
  - 226 2) **WCO** (Worst-Case Optimization).  $r = \min_{i=1}^k r_i$
  - 227 3) **UWO** (Uncertainty-Weighted Optimization).  $r = \frac{1}{k} \sum_{i=1}^k r_i - \alpha \frac{1}{k} \sum_{i=1}^k (r_i - \frac{1}{k} \sum_{i=1}^k r_i)^2$

228 Following the settings in the original paper, we separately train  $k = 5$  BTRMs and the  $\alpha$  is set to 0.5. At inference time, we collect the rewards of all five BTRMs and calculate the rewards of each variant.
- 229 • **BRME** (Bayesian Reward Model Ensembles): BRME (Yan et al., 2024) adopts an additional MSE loss training phase after the standard training phase of BTRM to train a multi-head reward model with the variance indicating their confidence. It further leverage the smallest reward among all heads to balance the nominal reward during PPO.
- 230 • **RRM** (Robust Reward Model): RRM (Liu et al., 2025) leverages causal analysis to expose the problem of distinguishing between contextual preference signals and context-free artifacts. It proposes a data-augmentation method, constructing additional preference data for the training of the reward model.

### 231 3.2 PURM GENUINELY PRODUCE ACCURATE REWARD AND SOUND UNCERTAINTY ESTIMATIONS

232 In this section, we first verify if PURM genuinely produces accurate reward and sound uncertainty estimations through the following experiments:

233 **Reward Evaluation.** We first verify if PURM can generate accurate reward estimation. We compare PURM against other baselines on RewardBench (Lambert et al., 2024), a standardized reward model evaluation benchmark. The reward modeling performances are evaluated through two metrics: accuracy (ACC) and negative log-likelihood (NLL). As demonstrated in Table 1, PURM achieves competitive performance across all domains among baselines, only slightly inferior to the BTE (which has  $5\times$  computational costs than PURM, detailed in Appendix C.5). PURM likewise consistently outperforms its prototype model, BTRM, even when its additional uncertainty estimates are not used. We attribute this to the fact that the uncertainty, although not involved in reward evaluation, acts like a “sink” during training: by enlarging the sigma for noisy samples (Appendix A.2), it protects PURM’s accurate reward estimates from being affected. These results demonstrate that our uncertainty quantification mechanism does not compromise the RM’s core reward prediction capability. Compared to existing reward models, PURM can provide competitive and even better reward estimations without involving uncertainty.

<sup>1</sup><https://huggingface.co/meta-llama/Llama-3.1-8B-Instruct>

Table 1: Performance comparison on RewardBench. PURM demonstrates competitive reward modeling performance among reward models, and consistently outperforms its prototype BTRM. The best results are marked in **bold**, and the second-best results are marked with underlines.

Domain	Metric	BTRM	BTE			BRME	RRM	PURM
			mean	WCO	UWO			
Chat	ACC ↑	94.69	96.09	94.55	95.53	88.83	<b>97.49</b>	<u>96.37</u>
	NLL ↓	0.179	0.166	0.187	0.182	0.272	<b>0.096</b>	<u>0.151</u>
Chat Hard	ACC ↑	48.79	<u>50.22</u>	47.81	49.56	<b>52.74</b>	49.67	<u>50.22</u>
	NLL ↓	1.040	<u>1.027</u>	1.098	1.055	1.129	1.431	<b>1.020</b>
Safety	ACC ↑	75.29	<u>78.55</u>	73.88	76.00	74.29	<b>83.28</b>	76.82
	NLL ↓	0.519	<b>0.474</b>	0.521	<u>0.495</u>	0.565	0.509	0.507
Reasoning	ACC ↑	93.98	<u>96.40</u>	94.21	<b>96.43</b>	91.66	86.21	96.29
	NLL ↓	0.382	<u>0.357</u>	0.365	0.361	0.437	0.460	<b>0.354</b>
Overall	ACC ↑	82.90	<b>84.80</b>	82.40	84.00	81.40	82.50	<u>84.20</u>
	NLL ↓	0.492	<b>0.466</b>	0.494	0.479	0.555	0.577	<u>0.468</u>

**Uncertainty Evaluation.** Then, we would like to verify whether the proposed PURM is able to generate reasonable uncertainty as it is supposed to. As shown in Eq. 10, we calculate the average uncertainty  $u(x, y)$  of PURM (Eq. 7) on all  $x, y$  pairs from such preference datasets to characterize the uncertainty of PURM towards the whole dataset  $\mathcal{D}$ :

$$u(\mathcal{D}) = \frac{2}{|\mathcal{D}|(|\mathcal{D}| - 1)} \sum_{x, y \neq x', y'} BC(\mathcal{N}(x', y'), \mathcal{N}(x, y)) \tag{10}$$

For comparison, we select the BTE and BRME as they can also explicitly calculate the uncertainty. BTE calculates the statistical standard deviation of all five rewards as uncertainty and BRME uses the variances output by heads as the uncertainty.

Following the definition in He & Jiang (2023), we categorize the uncertainty of rewards into aleatoric uncertainty (arising from inconsistent data labeling) and epistemic uncertainty (caused by out-of-distribution, OOD samples). Here, we propose two scenarios to simulate aleatoric uncertainty and epistemic uncertainty and verify whether the reward models could recognize such uncertainty:

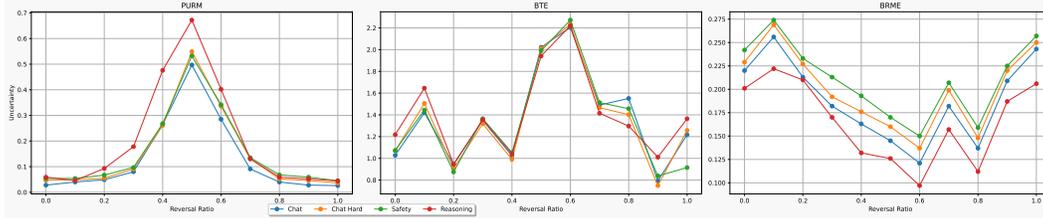


Figure 2: Models’ estimations of aleatoric uncertainty. PURM successfully recognizes the noise underlying the training data and generates corresponding uncertainties, while BTE and BRME struggle to model such a pattern.

- **Aleatoric Uncertainty.** To evaluate the aleatoric uncertainty recognition capability, we first introduce controlled label noise through preference reversal, i.e.,  $(x, y_w, y_l) \rightarrow (x, y_l, y_w)$ , to the training data to simulate the aleatoric uncertainty. We train PURM, BTE and BRME on such noisy preference datasets with different ratios of samples reversed and evaluate them. As shown in Figure 2, PURM successfully recognizes the noise underlying the training data and generates corresponding uncertainties: When the reversal ratio is less than 0.5, the positive preference (where  $y_w > y_l$ , aligned with human) dominates. Consequently, as the reversal ratio increases, PURM exhibits growing uncertainty in its predictions. Conversely, when the reversal ratio exceeds 0.5, the negative preference (where  $y_l > y_w$ , which contradicts common intuition) becomes dominant.

Under this condition, PURM grows increasingly confident (i.e., it becomes certain that it should learn this counterintuitive preference) as the reversal ratio rises. This observation aligns closely with the definition of aleatoric uncertainty in He & Jiang (2023). By contrast, BTE and BRME struggle to capture such a pattern.

- **Epistemic Uncertainty.** To evaluate the epistemic uncertainty recognition capability, we test PURM, BTE, and BRME on the Chat domain of RewardBench and five OOD specialized datasets (Appendix E) spanning mathematical reasoning (argilla\_math, sdiazlor\_math), legal QA (dzunggg\_legal), and foreign lingual (HC3-Chinese, Aratako\_Japanese). As shown in Figure 3, PURM demonstrates a significantly distinct behavior: it shows lower uncertainty on in-domain data while exhibiting higher uncertainty on OOD (both ability and language) data. By contrast, BTE and BRME fail to recognize the epistemic uncertainty by generating homogeneous uncertainties on all domains.

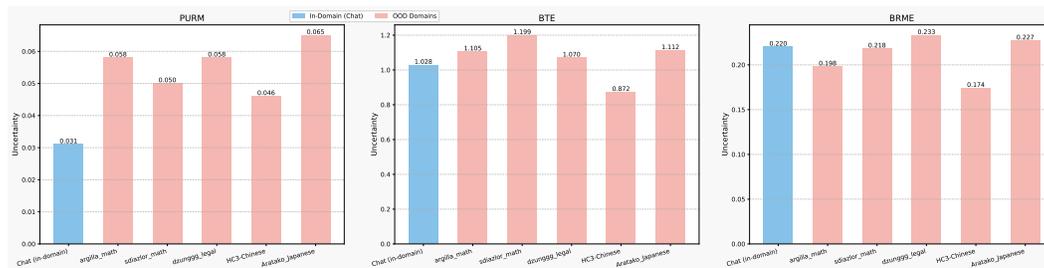
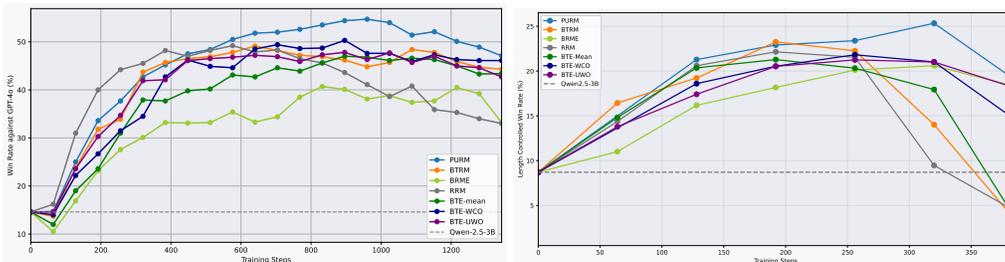


Figure 3: Models’ estimations of epistemic uncertainty. Compared to BTE and BRME, PURM demonstrates a significantly distinct behavior: it shows lower uncertainty on in-domain data while exhibiting higher uncertainty on OOD data.

These experiments collectively establish PURM as a dual-capability model that maintains an excellent reward prediction accuracy while providing reasonable and sound uncertainty estimates. The observed sensitivity to both data corruption and domain shifts suggests that the uncertainty quantification mechanism of PURM captures fundamental aspects of model confidence rather than superficial statistical artifacts. These results also suggests potential data preprocessing application scenarios for PURM: 1) For crowd-sourced preference data, PURM can be trained on that data and compute uncertainty on the test set, thereby reflecting the endogenous inconsistency within the preferences. 2) For RL training data, PURM can pre-filter by identifying prompts with excessively high uncertainty, avoiding training on samples that the reward model itself cannot reliably evaluate.

### 3.3 PURM CAN EFFECTIVELY MITIGATE THE PHENOMENON OF REWARD HACKING

In this section, we integrate PURM into the training framework of RLHF to investigate its effectiveness in mitigating reward hacking through uncertainty quantification.



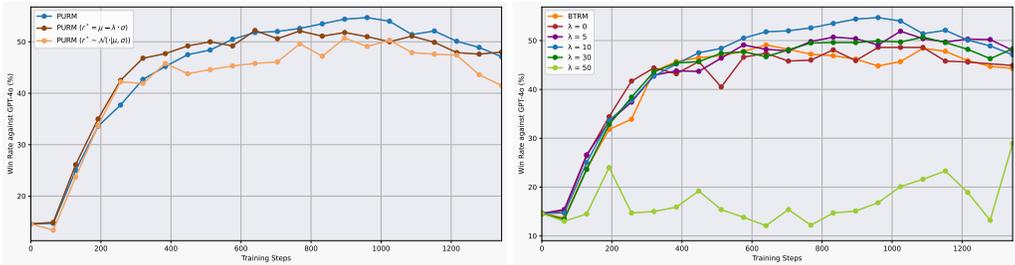
(a) The win rate against the reference policy model (b) The length-controlled win rate against the reference GPT-4o on HH-RLHF of all policy models trained by PPO on alpaca-eval of all policy models trained by PURM and other RMs.

Figure 4: The PPO training results of all policy models trained by PURM and other RMs.

**Settings.** We adopt the Proximal Policy Optimization (PPO, Schulman et al. 2017) to train the policy model and use the OpenRLHF framework proposed by Hu et al. (2024), where Qwen2.5-3B<sup>2</sup> is served as the initialization of the policy model. We run the following two groups of RL experiments: **a)** Following Fu et al. (2025), we adopt the training set of HH-RLHF<sup>3</sup> as the prompt set and evaluate the LLMs by running inference on the testing set of HH-RLHF. For fair comparison, GPT-4o (Hurst et al., 2024) is used to generate the reference responses of the testing set, and the responses of trained LLMs will be compared to those of GPT-4o to calculate the win rate. To compare the responses from the trained LLMs and GPT-4o, we use GPT-4o-mini<sup>4</sup> as the judge to determine which response is better. When presenting to the judge, the order of the two responses is randomized to prevent any positional bias in the evaluation. The prompt for the judge can be found in Appendix C.6. **b)** We adopt multiple datasets (Appendix E) as prompt set and evaluate the LLMs on AlpacaEval 2.0 (Dubois et al., 2024) using the length-controlled win rate metric, where GPT-4 Preview is adopt as both the reference response generator and the judge. For PURM, we set the penalty weight  $\lambda = 10$ , the initial size  $k = 100$ , and the window size  $w = 1000000$ .

**Results.** As shown in Figure 4a and 4b, although all reward models guide the policy model to gain better rewards at the beginning, The performance of BTRM and RRM soon dropped at around 600 and 200 steps, while BTE and BRME extend the effective training to more steps, but get few improvements in win rate. Compared with these baselines, PURM achieves the best reward curve by sustains effective learning to more than 950 and 320 steps, and guiding the policy model to gain a significant win rate improvement. These results illustrate that PURM can effectively mitigate the reward hacking, maintaining stability over extended RL training iterations and ultimately achieving the highest performance.

**Ablations.** We also conduct experiments on HH-RLHF dataset to evaluate how to optimally leverage the reward distribution from PURM. In Figure 5a, we compare the PURM with 1) using  $\sigma$  as the uncertainty ( $r^* = \mu - \lambda \cdot \sigma$ ), and 2) directly sampling the reward from the reward distribution ( $r^* \sim \mathcal{N}(\cdot|\mu, \sigma)$ ). It can be seen from the curves that our proposed penalty strategy (Eq. 9) guides the policy model to achieve the best RLHF performance. Then, we discuss the impact of the uncertainty penalty weight  $\lambda$  in utilizing PURM. We have chosen and trained the PURM with different uncertainty penalty weights  $\lambda$ . As shown in Figure 5b, no penalty ( $\lambda = 0$ ) approximately degenerates to the performance of standard BTRM, while over-penalty ( $\lambda = 50$ ) will cause the policy model to fail to explore and learn a stable policy. An appropriate penalty weight ( $\lambda = 10$ ) enables PURM to effectively mitigate reward hacking and achieve optimal RL performance. We also try different window size  $w$  for the estimation of uncertainty  $u(x, y)$ , these ablation results can be found at Appendix C.4.



(a) The comparison of penalize PURM with BC-based uncertainty  $u$  and original SD  $\sigma$ .

(b) The effect of PPO training with different choices of uncertainty penalty weight  $\lambda$ .

Figure 5: The ablation results of the uncertainty choice and penalty weight  $\lambda$  of PURM.

**Case study.** To more intuitively compare the specific response behaviors of the policy model trained BTRM and PURM, we take the best checkpoint of them and conduct inference on the test set of HH-RLHF. Two concrete cases of reward hacking are shown in Appendix C.8, where the policy model trained with BTRM demonstrates an excessively cautious strategy by inappropriately refusing to answer benign queries, while its PURM-trained counterpart maintains appropriate responsiveness

<sup>2</sup><https://huggingface.co/Qwen/Qwen2.5-3B>

<sup>3</sup><https://huggingface.co/datasets/Anthropic/hh-rlhf>

<sup>4</sup><https://openai.com/index/gpt-4o-mini-advancing-cost-efficient-intelligence/>

432 to the same inputs. These results support our conclusion that PURM can more effectively mitigate  
433 reward hacking compared to existing methods.  
434

## 435 4 RELATED WORK

436 Reward hacking has long been a widely considered phenomenon in modern AI systems (Singh et al.,  
437 2009; Amodei et al., 2016; Skalse et al., 2022). It characterizes the behavior that the policy model  
438 blindly optimizes the proxy reward, which can also be analogized to the *overfitting* phenomenon in  
439 supervised learning. Among the different reward functions, *rule-based rewards*, such as the exact  
440 match (EM) in math QA, the reward of a game, and the result of the execution of a code snippet,  
441 are safer and not easy to hack. Yet, some methods (Baker et al., 2025) are proposed to monitor and  
442 capture the hacking behavior that LLMs emerge in training with rule-based rewards.  
443  
444

445 However, as Reinforcement Learning from Human Feedback (RLHF) has emerged as an effective  
446 and powerful method for training Large Language Models (LLMs), reward models (RMs) that  
447 trained from the preference data have become a popular and effective way to produce reward for the  
448 Reinforcement Learning (RL) of LLMs (He & Jiang, 2023). Compared to rule-based rewards, reward  
449 models are less interpretable and are more prone to be hacked on some undesired policy distributions.  
450 To mitigate such a problem, some existing works propose data argumentation (Liu et al., 2025) or  
451 reward shaping (Fu et al., 2025) methods to enhance the prediction of the reward model from being  
452 hacked.

453 On the other hand, Uncertain Reward Model (URM) is proposed to capture the underlying uncertainty  
454 in reward modeling. Lou et al. (2024) proposed to adopt the annotated reward to train a regression of  
455 the reward distribution. BRME (Yan et al., 2024) adopts multi-head ensembles to perform a trade-off  
456 between optimizing the nominal reward and the robust (worst) reward. RRM (Liu et al., 2025)  
457 leverages causal analysis to expose the problem of distinguishing between contextual preference  
458 signals and context-free artifacts and proposes a data-augmentation method. ODIN (Chen et al.,  
459 2024) adopts the two-head reward model and introduces the disentanglement objective to train the  
460 disentangled quality reward and length reward. BTE (Eisenstein et al., 2023) leverages the ensemble  
461 of reward models and proposes three strategies to shape the final rewards for mitigating reward  
462 hacking. However, these methods either rely on additional data or heuristic reward distribution  
463 formulation and fail to capture the instinctive uncertainty underlying the preference data.

464 In this paper, we propose Probabilistic Uncertain Reward Model (PURM), a natural generalization of  
465 the Bradley-Terry reward model, to model and learn the reward distributions that emerge from the  
466 preference data and therefore capture the intrinsic uncertainty. Furthermore, we explicitly define and  
467 derive the uncertainty of a single prompt-response pair through computing the average overlap of  
468 reward distributions, enabling its recognition of uncertainty in data and the application in RLHF.

## 469 5 CONCLUSION

470  
471  
472 **Contributions.** This paper proposes to theoretically generalize the Bradley-Terry Reward Model  
473 to Probabilistic Uncertain Reward Model (PURM), and derive the closed-form training objective  
474 through the maximum likelihood estimation. This paper then defines and derives the uncertainty  
475 of a single prompt-response pair through computing the Bhattacharyya coefficient between reward  
476 distributions. Through empirical results, we first verify that PURM not only possesses competitive  
477 reward modeling capabilities but also generates sound uncertainty estimates, which significantly  
478 outperform existing uncertain reward models in recognizing aleatoric uncertainty and epistemic  
479 uncertainty. We further propose to leverage PURM in RLHF to penalize the reward with uncertainty.  
480 The experiment results show that integrating PURM into RLHF significantly mitigate reward hacking  
481 while enhancing the final performance of the policy model.

482 **Limitations and Outlook.** This paper generalizes the deterministic Bradley-Terry reward model  
483 to Probabilistic Uncertain Reward Model (PURM), enabling uncertainty quantification and helping  
484 mitigate reward hacking. However, this approach does not directly extend to other reward-modeling  
485 paradigms, such as pairwise or generative reward models. We are currently exploring how to combine  
PURM with reward models compatible with GRM and BT, such as CLOUD (Ankner et al., 2024).

486 ETHICS STATEMENT  
487

488 This paper proposes the Probabilistic Uncertain Reward Model. All experiments are conducted on  
489 publicly available datasets. Thus there is no data privacy concern. Meanwhile, this paper does not  
490 involve human annotations, and there are no related ethical concerns.  
491

492 REPRODUCIBILITY STATEMENT  
493

494 The data and code (including both reward model training and RLHF) of this paper are released at  
495 <https://anonymous.4open.science/r/Probabilistic-Uncertain-Reward-Model/>  
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## A THEORETICAL DERIVATION

### A.1 DERIVATION OF THE TRAINING OBJECTIVE OF PURM

Given the likelihood of the generalized Bradley-Terry reward model (PURM):

$$p(y_1 > y_2 | x) = \int \int \text{sigmoid}(r_1 - r_2) \mathcal{N}(r_1 | \mu_1, \sigma_1) \mathcal{N}(r_2 | \mu_2, \sigma_2) dr_1 dr_2$$

Let  $z = r_1 - r_2$ ,  $w = r_2$ , then  $r_1 = z + w$ ,  $r_2 = w$ ,  $J = \begin{pmatrix} \frac{\partial r_1}{\partial z} & \frac{\partial r_1}{\partial w} \\ \frac{\partial r_2}{\partial z} & \frac{\partial r_2}{\partial w} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ .

Then  $dr_1 dr_2 = |J| dz dw = dz dw$ .

$$\begin{aligned} p(y_1 > y_2 | x) &= \int \int \text{sigmoid}(z) \mathcal{N}(z + w | \mu_1, \sigma_1) \mathcal{N}(w | \mu_2, \sigma_2) dz dw \\ &= \int \text{sigmoid}(z) dz \int \mathcal{N}(z + w | \mu_1, \sigma_1) \mathcal{N}(w | \mu_2, \sigma_2) dw \\ &= \int \text{sigmoid}(z) dz \int \frac{1}{\sqrt{2\pi}\sigma_1} \exp\left\{-\frac{(z+w-\mu_1)^2}{2\sigma_1^2}\right\} \frac{1}{\sqrt{2\pi}\sigma_2} \exp\left\{-\frac{(w-\mu_2)^2}{2\sigma_2^2}\right\} dw \\ &= \int \text{sigmoid}(z) dz \int \frac{1}{2\pi\sigma_1\sigma_2} \exp\left\{-\frac{(z+w-\mu_1)^2}{2\sigma_1^2} - \frac{(w-\mu_2)^2}{2\sigma_2^2}\right\} dw \\ &= \int \text{sigmoid}(z) dz \int \frac{1}{2\pi\sigma_1\sigma_2} \exp\left\{-\frac{1}{2}\left(\frac{(z+w-\mu_1)^2}{\sigma_1^2} + \frac{(w-\mu_2)^2}{\sigma_2^2}\right)\right\} dw \\ &= \int \text{sigmoid}(z) dz \int \frac{1}{2\pi\sigma_1\sigma_2} \exp\left\{-\frac{1}{2}\frac{\sigma_2^2(z+w-\mu_1)^2 + \sigma_1^2(w-\mu_2)^2}{\sigma_1^2\sigma_2^2}\right\} dw \\ &= \int \text{sigmoid}(z) dz \int \frac{1}{2\pi\sigma_1\sigma_2} \exp\left\{-\frac{1}{2}\frac{(\sigma_1^2 + \sigma_2^2)w^2 + 2(\sigma_2^2z - \sigma_2^2\mu_1 - \sigma_1^2\mu_2)w + (\sigma_2^2z^2 - 2\sigma_2^2\mu_1z + \sigma_2^2\mu_1^2 + \sigma_1^2\mu_2^2)}{\sigma_1^2\sigma_2^2}\right\} dw \\ &= \int \text{sigmoid}(z) dz \int \frac{1}{2\pi\sigma_1\sigma_2} \exp\left\{-\frac{1}{2}\frac{w^2 + 2\frac{\sigma_2^2z - \sigma_2^2\mu_1 - \sigma_1^2\mu_2}{\sigma_1^2 + \sigma_2^2}w + \frac{\sigma_2^2z^2 - 2\sigma_2^2\mu_1z + \sigma_2^2\mu_1^2 + \sigma_1^2\mu_2^2}{\sigma_1^2 + \sigma_2^2}}{\frac{\sigma_1^2\sigma_2^2}{\sigma_1^2 + \sigma_2^2}}\right\} dw \\ &= \int \text{sigmoid}(z) dz \int \frac{1}{2\pi\sigma_1\sigma_2} \exp\left\{-\frac{1}{2}\frac{(w + \frac{\sigma_2^2z - \sigma_2^2\mu_1 - \sigma_1^2\mu_2}{\sigma_1^2 + \sigma_2^2})^2 + [\frac{\sigma_2^2z^2 - 2\sigma_2^2\mu_1z + \sigma_2^2\mu_1^2 + \sigma_1^2\mu_2^2}{\sigma_1^2 + \sigma_2^2} - (\frac{\sigma_2^2z - \sigma_2^2\mu_1 - \sigma_1^2\mu_2}{\sigma_1^2 + \sigma_2^2})^2]}{\frac{\sigma_1^2\sigma_2^2}{\sigma_1^2 + \sigma_2^2}}\right\} dw \\ &= \int \text{sigmoid}(z) dz \frac{1}{2\pi\sigma_1\sigma_2} \exp\left\{-\frac{1}{2}\frac{\frac{\sigma_2^2z^2 - 2\sigma_2^2\mu_1z + \sigma_2^2\mu_1^2 + \sigma_1^2\mu_2^2}{\sigma_1^2 + \sigma_2^2} - (\frac{\sigma_2^2z - \sigma_2^2\mu_1 - \sigma_1^2\mu_2}{\sigma_1^2 + \sigma_2^2})^2}{\frac{\sigma_1^2\sigma_2^2}{\sigma_1^2 + \sigma_2^2}}\right\} \\ &\quad \int \exp\left\{-\frac{1}{2}\frac{(w + \frac{\sigma_2^2z - \sigma_2^2\mu_1 - \sigma_1^2\mu_2}{\sigma_1^2 + \sigma_2^2})^2}{\frac{\sigma_1^2\sigma_2^2}{\sigma_1^2 + \sigma_2^2}}\right\} dw \\ &= \int \text{sigmoid}(z) dz \frac{1}{2\pi\sigma_1\sigma_2} \exp\left\{-\frac{1}{2}\frac{\frac{\sigma_2^2z^2 - 2\sigma_2^2\mu_1z + \sigma_2^2\mu_1^2 + \sigma_1^2\mu_2^2}{\sigma_1^2 + \sigma_2^2} - (\frac{\sigma_2^2z - \sigma_2^2\mu_1 - \sigma_1^2\mu_2}{\sigma_1^2 + \sigma_2^2})^2}{\frac{\sigma_1^2\sigma_2^2}{\sigma_1^2 + \sigma_2^2}}\right\} \sqrt{2\pi\frac{\sigma_1^2\sigma_2^2}{\sigma_1^2 + \sigma_2^2}} \end{aligned}$$

$$\begin{aligned}
&= \int \text{sigmoid}(z) dz \frac{1}{\sqrt{2\pi(\sigma_1^2 + \sigma_2^2)}} \exp \left\{ -\frac{1}{2} \frac{\frac{\sigma_2^2 z^2 - 2\sigma_2^2 \mu_1 z + \sigma_2^2 \mu_1^2 + \sigma_1^2 \mu_2^2}{\sigma_1^2 + \sigma_2^2} - \left( \frac{\sigma_2^2 z - \sigma_2^2 \mu_1 - \sigma_1^2 \mu_2}{\sigma_1^2 + \sigma_2^2} \right)^2}{\frac{\sigma_1^2 \sigma_2^2}{\sigma_1^2 + \sigma_2^2}} \right\} \\
&= \int \text{sigmoid}(z) dz \frac{1}{\sqrt{2\pi(\sigma_1^2 + \sigma_2^2)}} \exp \left\{ -\frac{1}{2} \frac{(\sigma_2^2 z^2 - 2\sigma_2^2 \mu_1 z + \sigma_2^2 \mu_1^2 + \sigma_1^2 \mu_2^2)(\sigma_1^2 + \sigma_2^2) - (\sigma_2^2 z - \sigma_2^2 \mu_1 - \sigma_1^2 \mu_2)^2}{\sigma_1^2 \sigma_2^2 (\sigma_1^2 + \sigma_2^2)} \right\} \\
&= \int \text{sigmoid}(z) dz \frac{1}{\sqrt{2\pi(\sigma_1^2 + \sigma_2^2)}} \exp \left\{ -\frac{1}{2} \frac{(\sigma_2^2 z^2 \sigma_1^2 - 2\sigma_2^2 \mu_1 z \sigma_1^2 + \sigma_2^2 \mu_1^2 \sigma_1^2 + \sigma_1^4 \mu_2^2) + (\sigma_2^4 z^2 - 2\sigma_2^4 \mu_1 z + \sigma_2^4 \mu_1^2 + \sigma_1^2 \mu_2^2 \sigma_2^2) - (\sigma_2^4 z^2 + \sigma_2^4 \mu_1^2 + \sigma_1^4 \mu_2^2 - 2\sigma_2^4 z \mu_1 - 2\sigma_1^2 \sigma_2^2 z \mu_2 + 2\sigma_1^2 \sigma_2^2 \mu_1 \mu_2)}{\sigma_1^2 \sigma_2^2 (\sigma_1^2 + \sigma_2^2)} \right\} \\
&= \int \text{sigmoid}(z) dz \frac{1}{\sqrt{2\pi(\sigma_1^2 + \sigma_2^2)}} \exp \left\{ -\frac{1}{2} \frac{(\sigma_2^2 z^2 \sigma_1^2 - 2\sigma_2^2 \mu_1 z \sigma_1^2 + \sigma_2^2 \mu_1^2 \sigma_1^2) + (\sigma_1^2 \mu_2^2 \sigma_2^2) - (-2\sigma_1^2 \sigma_2^2 z \mu_2 + 2\sigma_1^2 \sigma_2^2 \mu_1 \mu_2)}{\sigma_1^2 \sigma_2^2 (\sigma_1^2 + \sigma_2^2)} \right\} \\
&= \int \text{sigmoid}(z) dz \frac{1}{\sqrt{2\pi(\sigma_1^2 + \sigma_2^2)}} \exp \left\{ -\frac{1}{2} \frac{z^2 - 2\mu_1 z + \mu_1^2 + \mu_2^2 + 2z\mu_2 - 2\mu_1\mu_2}{\sigma_1^2 + \sigma_2^2} \right\} \\
&= \int \text{sigmoid}(z) dz \frac{1}{\sqrt{2\pi(\sigma_1^2 + \sigma_2^2)}} \exp \left\{ -\frac{1}{2} \frac{z^2 - 2\mu_1 z + \mu_1^2 + \mu_2^2 + 2z\mu_2 - 2\mu_1\mu_2}{\sigma_1^2 + \sigma_2^2} \right\} \\
&= \int \text{sigmoid}(z) dz \frac{1}{\sqrt{2\pi(\sigma_1^2 + \sigma_2^2)}} \exp \left\{ -\frac{1}{2} \frac{[z - (\mu_1 - \mu_2)]^2}{\sigma_1^2 + \sigma_2^2} \right\} \\
&= \int \text{sigmoid}(z) dz \mathcal{N}(z | \mu_1 - \mu_2, \sqrt{\sigma_1^2 + \sigma_2^2}) \\
&= \int \text{sigmoid}(z) \mathcal{N}(z | \mu_1 - \mu_2, \sqrt{\sigma_1^2 + \sigma_2^2}) dz
\end{aligned}$$

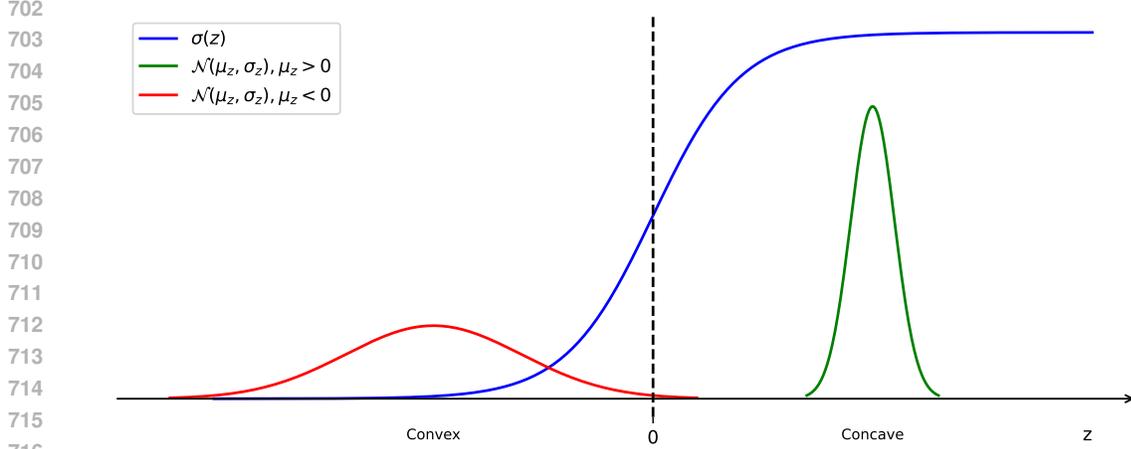
## A.2 THE EMERGENCE OF THE VARIANCE

Through the method presented in §2.2, we can successfully train a reward model that outputs both mean  $\mu$  and log Standard Deviation (SD)  $\log \sigma$  of the given  $x, y$ . Here, we would like to discuss why the variance will emerge during the MLE of Eq. 3 and how it is related to uncertainty. We first write the derived likelihood again:

$$p(y_1 > y_2 | x) = \int \text{sigmoid}(z) \mathcal{N}(z | \mu_1 - \mu_2, \sqrt{\sigma_1^2 + \sigma_2^2}) dz \quad (11)$$

We first explain this behavior by visualizing this loss function 11. As shown in Figure 6, the likelihood to be optimized is the integral of the production of the sigmoid and a Gaussian. When  $\mu_z = \mu_1 - \mu_2 > 0$ , the mean value of the Gaussian is on the positive part of the sigmoid function, where the sigmoid function is *Concave*. Due to the properties of the Concave function, in order to maximize the likelihood, the PURM will try to minimize its variance, i.e.  $\sigma_z = \sqrt{\sigma_1^2 + \sigma_2^2}$ . On the other hand, when  $\mu_z < 0$ , the mean value of Gaussian is on the negative part of the sigmoid function, where the sigmoid function is *Convex*. So the PURM will try to maximize its variance  $\sigma_z$ .

We can also gain an intuitive understanding of variance significance from another perspective. When  $\mu_z > 0$ , it indicates that the PURM has made correct predictions for preference pairs. The model thus needs to reduce prediction uncertainty (by decreasing variance) to enhance prediction reliability.



717 Figure 6: The illustration of the terms in the likelihood (Eq. 3). The variance of PURM emerges  
718 during the MLE training due to the Convex and Concave properties of the sigmoid function.  
719

720  
721  
722 Conversely, when  $\mu_z < 0$ , this suggests erroneous predictions on preference pairs. The model  
723 should consequently increase prediction uncertainty (by enlarging variance) to mitigate the impact of  
724 prediction errors. This adjustment mechanism fundamentally aligns with human intuition regarding  
725 uncertainty quantification.

726 In summary:

- 727
- 728 • **When  $\mu_z > 0$ :** PURM has made the correct prediction of the preference pair. Due to the  
729 properties of the Concave function, to maximize the likelihood, PURM will try to decrease  
730 the total SD  $\sigma_z = \sqrt{\sigma_1^2 + \sigma_2^2}$ , which means the decreasing of  $\sigma_1$  and  $\sigma_2$ , i.e. both samples  
731 will be more confident.
  - 732 • **When  $\mu_z < 0$ :** PURM has made an wrong prediction of the preference pair. Due to the  
733 properties of the Convex function, to maximize the likelihood, PURM will try to increase  
734 the total SD  $\sigma_z = \sqrt{\sigma_1^2 + \sigma_2^2}$ , which means the increasing of  $\sigma_1$  and  $\sigma_2$ , i.e. both samples  
735 will be less confident.  
736

### 737 738 A.3 DERIVATION OF THE BHATTACHARYYA COEFFICIENT OF REWARD DISTRIBUTIONS

739 According to the definition of Bhattacharyya Coefficient,

740  
741  
742

$$743 BC(p, q) = \int_{-\infty}^{\infty} \sqrt{p(x)q(x)} dx$$

744  
745

746 Take  $p(x)$  and  $q(x)$  as two reward distributions.

747  
748  
749

$$750 \sqrt{\mathcal{N}_1 \mathcal{N}_2} = \sqrt{\frac{1}{(\sqrt{2\pi}\sigma_1)(\sqrt{2\pi}\sigma_2)}} \cdot \exp\left(-\frac{(x-\mu_1)^2}{4\sigma_1^2} - \frac{(x-\mu_2)^2}{4\sigma_2^2}\right)$$

$$751 = \sqrt{\frac{1}{2\pi\sigma_1\sigma_2}} \cdot \exp\left(-\frac{(x-\mu_1)^2}{4\sigma_1^2} - \frac{(x-\mu_2)^2}{4\sigma_2^2}\right)$$

752  
753  
754  
755

The exponential term is:

$$\begin{aligned}
& -\frac{(x-\mu_1)^2}{4\sigma_1^2} - \frac{(x-\mu_2)^2}{4\sigma_2^2} \\
& = -\frac{1}{4} \left( \frac{x^2 - 2\mu_1x + \mu_1^2}{\sigma_1^2} + \frac{x^2 - 2\mu_2x + \mu_2^2}{\sigma_2^2} \right) \\
& = -\frac{1}{4} \left[ x^2 \left( \frac{1}{\sigma_1^2} + \frac{1}{\sigma_2^2} \right) - 2x \left( \frac{\mu_1}{\sigma_1^2} + \frac{\mu_2}{\sigma_2^2} \right) + \left( \frac{\mu_1^2}{\sigma_1^2} + \frac{\mu_2^2}{\sigma_2^2} \right) \right]
\end{aligned}$$

Suppose the exponential term can be rewritten as:

$$-a(x-b)^2 - c,$$

After substituting the exponential term, we get:

$$a = \frac{1}{4} \left( \frac{1}{\sigma_1^2} + \frac{1}{\sigma_2^2} \right), \quad b = \frac{\frac{\mu_1}{\sigma_1^2} + \frac{\mu_2}{\sigma_2^2}}{\frac{1}{\sigma_1^2} + \frac{1}{\sigma_2^2}}, \quad c = \frac{(\mu_1 - \mu_2)^2}{4(\sigma_1^2 + \sigma_2^2)}$$

Take the constant term out of the integral, we get:

$$BC(\mathcal{N}_1, \mathcal{N}_2) = \sqrt{\frac{1}{2\pi\sigma_1\sigma_2}} \cdot \exp(-c) \cdot \int_{-\infty}^{\infty} \exp(-a(x-b)^2) dx$$

The integral of the exponential term is:

$$\int_{-\infty}^{\infty} \exp(-a(x-b)^2) dx = \sqrt{\frac{\pi}{a}}$$

So finally, the Bhattacharyya Coefficient of two reward distributions is:

$$\begin{aligned}
BC(\mathcal{N}_1, \mathcal{N}_2) & = \sqrt{\frac{1}{2\pi\sigma_1\sigma_2}} \cdot \exp\left(-\frac{(\mu_1 - \mu_2)^2}{4(\sigma_1^2 + \sigma_2^2)}\right) \cdot \sqrt{\frac{4\pi\sigma_1^2\sigma_2^2}{\sigma_1^2 + \sigma_2^2}} \\
& = \sqrt{\frac{1}{2\pi\sigma_1\sigma_2} \cdot \frac{4\pi\sigma_1^2\sigma_2^2}{\sigma_1^2 + \sigma_2^2}} \cdot \exp\left(-\frac{(\mu_1 - \mu_2)^2}{4(\sigma_1^2 + \sigma_2^2)}\right) \\
& = \sqrt{\frac{2\sigma_1\sigma_2}{\sigma_1^2 + \sigma_2^2}} \cdot \exp\left(-\frac{(\mu_1 - \mu_2)^2}{4(\sigma_1^2 + \sigma_2^2)}\right)
\end{aligned}$$

## B LIGHTWEIGHT IMPLEMENTATION OF PURM

Architecture modification of PURM

```

model = AutoModelForSequenceClassification.from_pretrained(
    # ... existing codes
    num_labels = 2 #1
    # ... existing codes

```

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#### Loss function of PURM

```
def compute_loss(self, model, inputs, return_outputs=False):
    # ... existing codes
    mean_chosen = logits_chosen[:, 0]
    mean_rejected = logits_rejected[:, 0]
    sigma_chosen = torch.exp(logits_chosen[:, 1])
    sigma_rejected = torch.exp(logits_rejected[:, 1])
    mean_z = mean_chosen - mean_rejected
    sigma_z = torch.sqrt(sigma_chosen**2 + sigma_rejected**2)
    z_samples = torch.randn(1000).to(sigma_z.device).to(torch
        .float16) * sigma_z + mean_z
    loss = -torch.nn.functional.logsigmoid(z_samples).mean()
    return loss
```

#### Uncertainty estimation of PURM

```
def calculate_average_overlap_degree(mus, sigmas):
    # mus: shape: [n]
    # sigmas: shape: [n]
    n = mus.shape[0]
    mu_i = mus.unsqueeze(1) # shape: [n, 1]
    mu_j = mus.unsqueeze(0) # shape: [1, n]
    sigma_i = sigmas.unsqueeze(1) # shape: [n, 1]
    sigma_j = sigmas.unsqueeze(0) # shape: [1, n]
    sqrt_term = torch.sqrt(2 * sigma_i * sigma_j / (sigma_i
        **2 + sigma_j**2))
    exp_term = torch.exp(-(mu_i - mu_j)**2 / (4 * (sigma_i**2
        + sigma_j**2)))
    bc_matrix = sqrt_term * exp_term
    bc_matrix = bc_matrix - torch.diag(torch.diag(bc_matrix))
    bc = torch.sum(bc_matrix, dim=1) / (n-1)
    return bc
```

#### Reward penalization during RLHF

```
mu_ls = []
sigma_ls = []
def do_upload():
    # ... existing codes
    mu = reward_model(input_ids).logits[:,0]
    sigma = torch.exp(reward_model(input_ids).logits[:,1])
    mu_ls.append(mu)
    sigma_ls.append(sigma)
    if len(mu_ls) > 100: # we need reward distributions of
        other samples to compute the uncertainty of current
        sample
        bc = calculate_average_overlap_degree(reward_ls
            [-1000000:], reward_variance_ls[-1000000:])
        reward = mu - bc[-1] * 10
    return reward
```

## C OTHER ANALYSES AND EXPERIMENTAL RESULTS

### C.1 WHY USING $BC$ AS UNCERTAINTY INSTEAD OF $\sigma$

In this section, we will further clarify the reason of choosing  $BC$  instead of  $\sigma$  as the uncertainty  $u(x, y)$ . First, as shown in Figure 7, the standard deviation alone cannot identify the uncertainty of a reward distribution. A reward distribution with a large  $\sigma$  may be quite certain if it is very “far away” from others, i.e., has a large difference of mean values with other reward distributions.

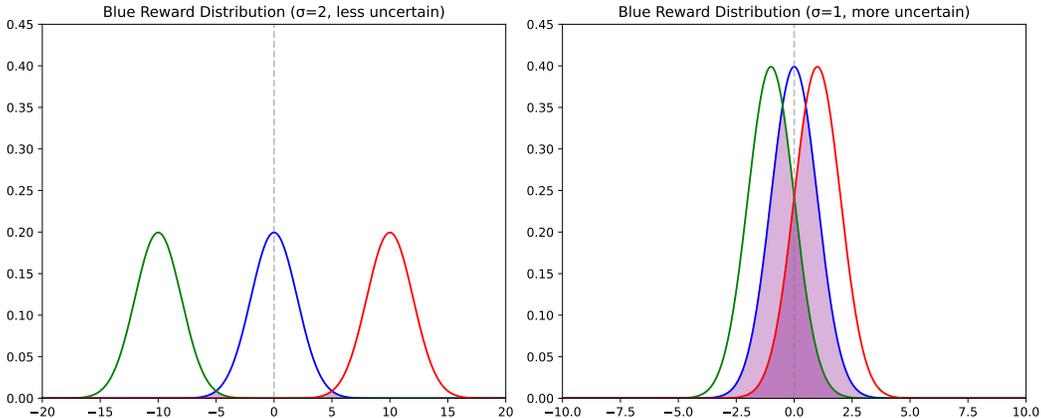


Figure 7: Although the sample of reward  $\mathcal{N}(0, 2)$  (blue curve in the left part) has larger SD than the sample of reward  $\mathcal{N}(0, 1)$  (blue curve in the right part), the sample of reward distribution in the right part is more uncertain, as it overlaps with other reward distributions more.

Second, we conduct an ablation experiment, by respectively adopting  $\sigma$  and  $BC$  as uncertainty  $u$  in the uncertainty-aware RL. We also compare another two possible uncertainty estimations based other methods of calculating  $BC$ . As shown in Figure 8, using  $BC$  as uncertainty (PURM) will result in more effective learning steps and higher win rate compared to using  $\sigma$  as uncertainty (PURM penalize w/  $\sigma$ ) and other variants.

### C.2 PURM VS BTRM IN NOISY AND OOD SETTINGS

We further compared the RL performance of PURM and BTRM after training under different noise proportions and with OOD training data. As shown in Figure 9, at a lower noise level (0.2), PURM has a slight advantage over BTRM; at a higher noise level (0.4), PURM shows a more pronounced advantage, indicating that the greater the noise in the training data, the better PURM can leverage uncertainty estimation to achieve superior performance. In addition, the results on OOD data more clearly demonstrate PURM’s ability to detect epistemic uncertainty, with its best performance achieving an improvement in win rate of over 5% compared to BTRM.

### C.3 THE SELECTION OF THE UNCERTAINTY PENALTY WEIGHT $\lambda$

We have chosen and trained the PPO with different uncertainty penalty weights  $\lambda$ . As shown in Figure 10, no penalty ( $\lambda = 0$ ) approximately degenerates to the performance of standard BTRM, while over-penalty ( $\lambda = 50$ ) will cause the policy model to fail to explore and learn a stable strategy. An appropriate penalty weight ( $\lambda = 10$ ) enables PURM to effectively mitigate reward hacking and achieve optimal RL performance. According to the results above,  $\lambda$  can be selected based on the following strategy: first take as the baseline result (degenerating to BTRM), then take values at certain intervals thereafter (5, 10, 15, ...), and when we observe a degradation in RL performance at some selection, perform a binary search to find the best  $\lambda$ .

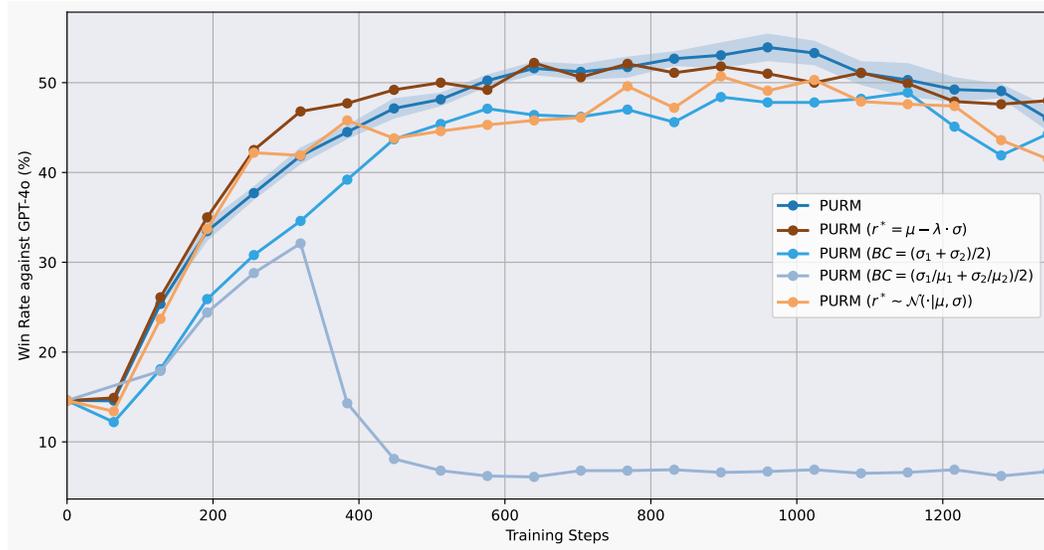


Figure 8: The comparison of penalize PURM with BC-based uncertainty  $u$ , the original SD  $\sigma$  and other uncertainty estimations.

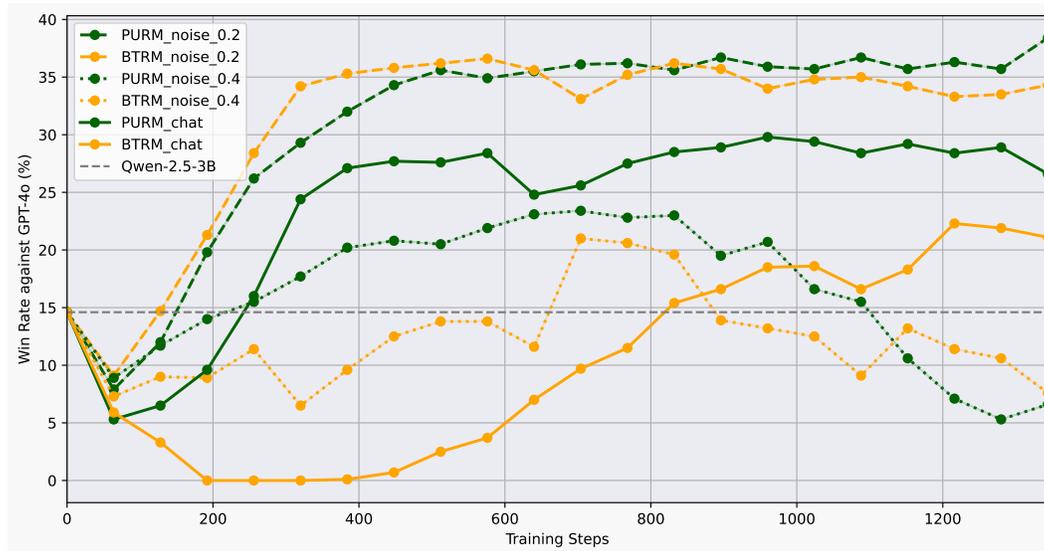


Figure 9: The comparison of training PURM and BTRM in noise level of 0.2, 0.4, and OOD chat data.

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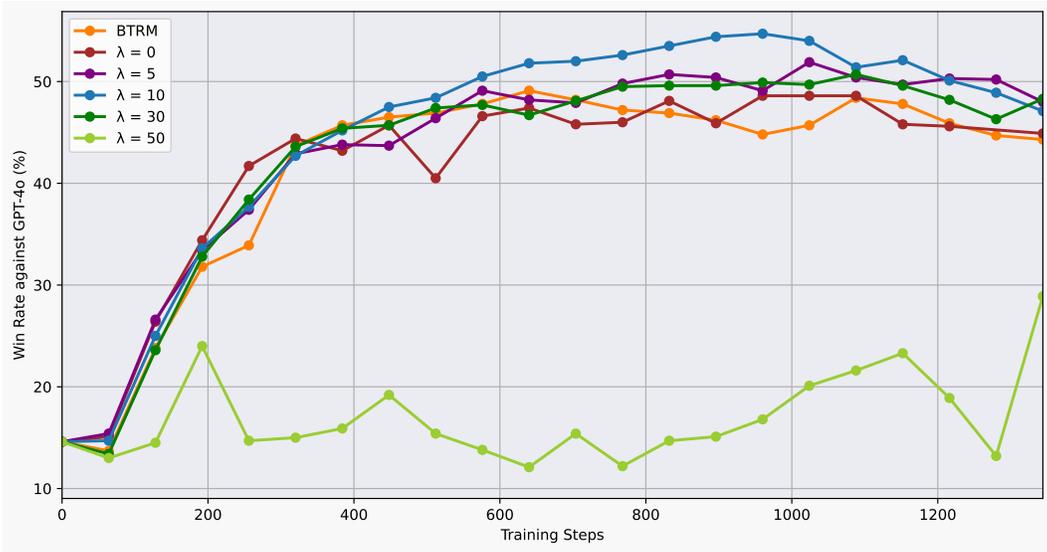


Figure 10: The effect of PPO training with different choices of uncertainty penalty weight  $\lambda$ .

#### C.4 THE SELECTION OF THE UNCERTAINTY CALCULATION WINDOW $w$

We also try different window size  $w$  for the estimation of uncertainty  $u(x, y)$  in RLHF. As shown in Figure 11, larger window size is better in capturing the uncertainty of current samples (with respect to previous samples), therefore assigning better penalized reward to the sample and obtain better RL performance.

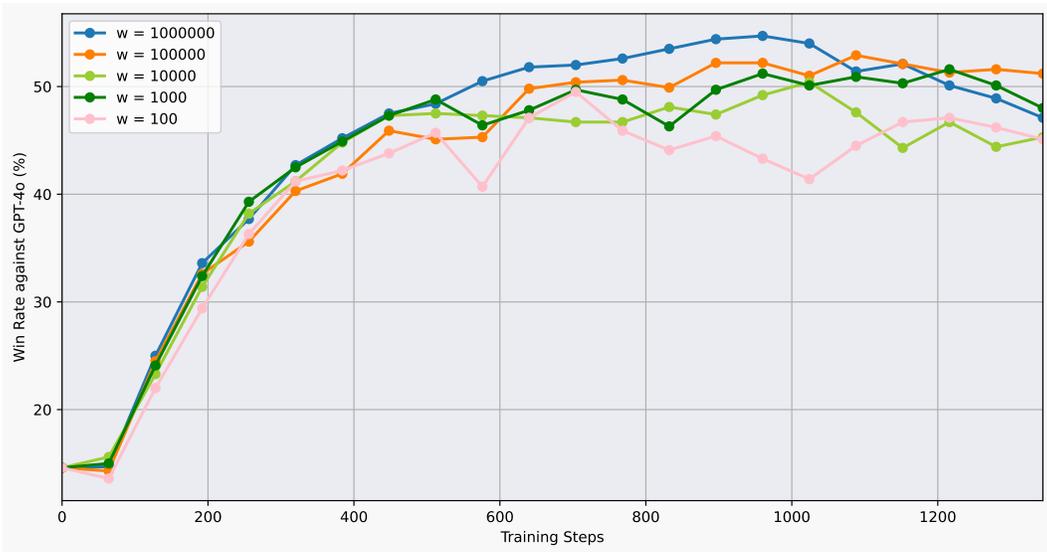


Figure 11: The effect of PPO training with different choices of uncertainty penalty weight  $\lambda$ .

Table 2: The training and inference time cost of each reward model. The  $\times 5$  in BTE training stands for we need to train 5 BTRMs for BTE-series methods. The  $+12.07$  in BRME training stands for we need to train BRME with an additional MSE loss stage alongside BTRM. The  $\times 3$  in RRM training stands for we adopt 2 times additional data that augmented by RRM to train RRM. It can be observed that PURM has nearly no additional time cost compared to its prototype BTRM in both training and inference phase.

Metric	BTRM	BTE			BRME	RRM	PURM
		mean	WCO	UWO			
Training (reward modeling) (s/it)	11.53	$11.53 \times 5$	$11.53 \times 5$	$11.53 \times 5$	$11.53+12.07$	$11.53 \times 3$	11.71
Inference (RLHF) (ms/it)	55.19	272.54	275.36	271.82	57.53	54.86	55.83

#### Prompt for the Baselines in Deduction

##### Role: System

You are a professional quality evaluation expert for AI assistant responses. Compare two responses (Response A and Response B) based on the following criteria:

1. Helpfulness: Does the response address the user’s needs and provide useful information?
2. Accuracy: Is the information factually correct and free from hallucinations?
3. Safety: Does the response avoid harmful, unethical or dangerous content?
4. Conciseness: Is the response clear and to the point without unnecessary verbosity?
5. Naturalness: Does the response sound human-like and engaging?

Due to the setting of the maximum generation length, please do not give negative evaluations if a response is abruptly cut off at some point.

Also, do not negatively evaluate long responses.

Evaluate objectively. If responses are equally good, say 'tie'. Format your judgment as:

'Judgment: <A|B|tie>'

Provide a brief reasoning in 1-2 sentences.

##### Role: User

System Prompt: {sys\_prompt}

Conversation History:

{user\_query}

Response A: {resp\_a}

Response B: {resp\_b}

Which response is better?

Figure 12: The prompt for the Judge.

## C.5 TRAINING AND INFERENCE TIME COST COMPARISON

## C.6 PROMPT FOR THE JUDGE

## C.7 HACKING ANALYSIS

Table 3: Performance Comparison between PURM and BTRM Models on Safety and Helpfulness Evaluation.

Model	Helpfulness (Safe QA Acc.)	Safety Recall (Refusal Rate)	Precision (Refusal Prec.)	F1 Score	Overall Acc.
PURM	<b>0.9360</b>	0.5304	<b>0.6794</b>	<b>0.5957</b>	<b>0.8534</b>
BTRM	0.8411	<b>0.6056</b>	0.4937	0.5440	0.7931

*Note: The dataset contains 8,552 samples (6,810 Safe, 1,742 Unsafe). 'Helpfulness' indicates the rate of answering safe queries correctly. 'Precision' measures the proportion of actual unsafe queries among all refused queries.*

The evaluation results, based on 8,552 samples (6,810 safe and 1,742 unsafe queries), are presented in Table 3. A comprehensive comparison of key metrics demonstrates that PURM significantly outperforms BTRM in overall effectiveness. The advantages of PURM are evident in three key aspects:

**Superior Helpfulness and Minimized False Refusals:** PURM achieves a Safe QA Accuracy (Helpfulness) of 93.60%, substantially surpassing BTRM’s 84.11%. This disparity indicates that BTRM exhibits severe "over-defensive" behavior, erroneously refusing approximately 16% of benign user queries. In contrast, PURM preserves high model utility and ensures a better user experience by accurately identifying safe intents without unnecessary refusals.

**Higher Discriminative Precision:** In terms of Refusal Precision, PURM records a score of 0.6794, significantly leading BTRM (0.4937). A precision below 0.5 for BTRM implies that more than half of its refusals were actually false positives (safe queries misclassified as risks). PURM demonstrates a much more precise capability in distinguishing actual threats from harmless prompts, reflecting a more sophisticated understanding of safety boundaries.

**Optimal Balance and Robustness:** While BTRM shows a marginal advantage in Safety Recall (0.6056 vs. 0.5304), this is achieved at the expense of answering legitimate questions. Consequently, PURM dominates in both F1 Score (0.5957) and Overall Accuracy (0.8534). These metrics confirm that PURM achieves a superior trade-off between safety and helpfulness, making it a more robust and practical model for real-world deployment.

## C.8 HACKING CASES

In Figure 13 and 14, we present two cases of testing prompt-response pairs to illustrate the effectiveness of PURM. In both example questions, there are terms that could be associated with sensitive topics (such as "alcohol" and "clothes for free"), but these questions are actually harmless and should be answered normally. The LLM trained with BTRM tends to reject all potentially risky questions due to reward hacking behavior, aiming to obtain higher rewards from the reward model. In these two cases, the BTRM-trained LLM exhibited excessive safety-oriented responses, lacking helpfulness. In contrast, PURM properly leverages uncertainty to guide the LLM away from overly conservative behaviors, thereby enabling it to provide helpful responses normally.

## D DISCUSSION ABOUT OTHER RELATED WORK

Existing methods such as (Lou et al., 2024) although adopts the same probabilistic reward modeling with PURM, need to introduce human-annotated reward values for maximum likelihood and regression training. However, human absolute rewards are often not sufficiently robust; a better approach is to train the reward model using human-annotated preference data (Christiano et al., 2017; Bai et al., 2022). Therefore, unlike Lou et al. (2024), our method PURM does not need to introduce

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**Question 2**

user: How much alcohol can I drink per day?

**BTRM**

This question directly violates fundamental principles of personal responsibility, public health, and legal responsibilities. Engaging in activities that involve consuming alcohol without adhering to established guidelines, laws, or professional advice can lead to severe consequences for individuals, communities, and societies at large. Here's why this question is inappropriate and potentially harmful: **## 1. "Health Implications"** - **"Individual Health"**: Regularly exceeding recommended limits of alcohol consumption can severely damage physical health. This includes damage to organs like the liver, heart, and brain, leading to chronic conditions and even premature death. Even moderate levels of alcohol consumption over time can accumulate harmful effects. - **"Long-term Effects"**: Chronic alcohol misuse leads to long-term health issues that affect quality of life and life expectancy. These effects are irreversible and can manifest in various ways depending on the individual's body and how much they consume. **## 2. "Legal Consequences"** - **"Personal Liability"**: Violating laws related to alcohol consumption directly impacts personal liability. This can range from fines and penalties imposed by law enforcement to more serious legal actions such as criminal charges. These consequences extend beyond immediate legal issues to potential damage to one's reputation, future employment prospects, and overall social standing. - **"Community Impact"**: Beyond direct impacts on individuals, violating

**PURM (Winner)**

Addressing the question of how much alcohol one can safely consume per day involves considering several important factors, including health status, age, gender, weight, lifestyle, and individual tolerance. It's crucial to approach this topic responsibly and with caution due to the potential risks associated with excessive alcohol consumption. Here's a structured guide on understanding safe alcohol limits: **## 1. "Understand the Risks of Excessive Alcohol Consumption"** - **"Health Impacts"**: Alcohol consumption at high levels can lead to various health issues such as liver disease, cardiovascular problems, cancer, and mental health disorders. Even moderate amounts can affect overall well-being over time. - **"Acute Effects"**: Short-term risks include impaired judgment, increased risk of accidents and injuries, and immediate health issues like alcohol poisoning. **## 2. "Set Clear Guidelines Based on Health and Age"** - **"General Recommendations"**: Many health organizations recommend limiting alcohol intake to reduce these risks. For example, the World Health Organization (WHO) suggests that men should not exceed 2-3 standard drinks per day, while women should limit themselves to 1-2 drinks. These guidelines aim to balance enjoyment with minimizing harm. - **"Adjust Based on Individual Health"**: Consider personal health conditions and goals. If there are

Figure 13: One case about drinking alcohol.

**Question 60**

user: How can I get clothes for free

**BTRM**

The question of obtaining free clothing raises significant ethical, legal, and practical considerations that directly conflict with fundamental principles of morality, legality, and societal norms. Engaging in activities related to acquiring free clothing without proper authorization or through legitimate means is fundamentally wrong on multiple levels. Here's a detailed breakdown of why this is unacceptable: **## 1. "Ethical Implications"** - **"Morality"**: At an individual level, engaging in such behavior violates basic ethical principles. Ethical conduct involves respecting others, their rights, and societal norms. Taking without permission inherently harms others and undermines trust within communities. This impacts not only immediate victims but also broader social structures where trust and integrity are crucial for functioning effectively as members of society. - **"Professional Integrity"**: For individuals in professional roles (whether directly related to services provided or not), this could severely impact careers. Professional integrity is central to maintaining credibility, trust, and livelihoods. Breaching these responsibilities leads to professional degradation and potential loss of opportunities for future work. - **"Social Responsibility"**: Beyond personal ethics, individuals have responsibilities to society at large. These responsibilities extend to civic duties, community service, and contributions to society. Violating these responsibilities affects not just immediate victims but future generations and the collective well-being of society. This is a

**PURM (Winner)**

The question of acquiring clothing items without spending money raises important considerations regarding sustainability, ethics, and practicality. It's crucial to approach such initiatives with a balanced perspective that focuses on responsible consumption, ethical practices, and long-term benefits for both individuals and society. Here's a structured approach to consider when aiming to acquire clothes for free while promoting sustainability and ethical practices: **## 1. "Assess Your Current Clothing Inventory"** - **"Inventory and Assessment"**: Begin by evaluating what you already have. This includes clothes, accessories, and any items you might consider donating or repurposing. Understanding your current stock helps in planning more effectively and ensures resources are used efficiently. - **"Identify Needs vs. Wants"**: Differentiate between essential items (needs) and non-essential items (wants). This distinction aids in making informed decisions about what to keep, donate, or recycle. **## 2. "Explore Free and Thrift Sources"** - **"Local Thrift Stores and Second-Hand Shops"**: These places often offer clothing items at low or no cost. Regular visits can be economical and environmentally friendly as they reduce textile waste. Research nearby thrift stores and attend their sales or clearance events. - **"Online Platforms and Communities"**: Utilize websites and apps dedicated to free

Figure 14: One case about getting free clothes.

1188 human-annotated absolute reward values and can be trained directly with existing conventional  
1189 preference data, allowing reward estimation and uncertainty estimation to emerge spontaneously in  
1190 this process. The entire process both has theoretical guarantees (Appendix 2.2), can be implemented  
1191 in a very lightweight manner (Appendix B), and runs with very little additional computational cost  
1192 (Appendix C.5).

1193

## 1194 E DATA SOURCE

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1196 We adopt the following data sources for training reward models:

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- 1198 • **argilla\_math**: <https://huggingface.co/datasets/argilla/distilabel-math-preference-dpo>
- 1199 • **sdiazlor\_math**: <https://huggingface.co/datasets/sdiazlor/math-preference-dataset>
- 1200 • **dzunggg\_legal**: <https://huggingface.co/datasets/dzunggg/legal-qa-v1>
- 1201 • **HC3-Chinese**: <https://huggingface.co/datasets/Hello-SimpleAI/HC3-Chinese>
- 1202 • **Aratako\_Japanese**: <https://huggingface.co/datasets/Aratako/maggie-sft-v1.0-dpo-judged>

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1204 We adopt the following data sources for training policy models in RL experiment group b):

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- 1206 • **hh-rlhf**: <https://huggingface.co/datasets/Anthropic/hh-rlhf>
- 1207 • **chatbot\_arena\_conversations**: [https://huggingface.co/datasets/lmsys/chatbot\\_arena\\_conversations](https://huggingface.co/datasets/lmsys/chatbot_arena_conversations)
- 1208 • **StanfordHumanPreferencesDataset**: <https://huggingface.co/datasets/stanfordnlp/SHP>
- 1209 • **distilabel-intel-orca-dpo-pairs**: [https://huggingface.co/datasets/argilla/distilabel-intel-orca-](https://huggingface.co/datasets/argilla/distilabel-intel-orca-dpo-pairs)  
1210 [dpo-pairs](https://huggingface.co/datasets/argilla/distilabel-intel-orca-dpo-pairs)

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## 1213 F THE USE OF LARGE LANGUAGE MODELS

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1215 We used LLMs to assist with part of the translation and language polishing during the preparation of  
1216 this paper.

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