

000 001 002 003 004 005 006 007 THE LATTICE GEOMETRY OF NEURAL NETWORK 008 QUANTIZATION: A SHORT EQUIVALENCE PROOF OF 009 GPTQ AND BABAI'S ALGORITHM 010 011 012

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020 ABSTRACT 021

022 We explain how data-driven quantization of a linear unit in a neural network cor-
023 responds to solving the closest vector problem for a certain lattice generated by
024 input data. We prove that the GPTQ algorithm (Frantar et al., 2022) is equivalent
025 to Babai's well-known nearest-plane algorithm (Babai, 1986). We furthermore
026 provide geometric intuition for both algorithms. Lastly, we note the consequences
027 of these results, in particular hinting at the possibility of using lattice basis reduc-
028 tion for improved quantization.
029
030

031 1 QUANTIZATION AND LATTICES 032

033 Computations in neural networks are usually carried out in 32-bit or 16-bit floating point arithmetic.
034 In particular, the parameters (weights) of the network are stored in this comparatively high precision.
035

036 *Quantization* is the art of reducing precision, in favor of less memory consumption and faster com-
037 putation, while keeping the accuracy as high as possible. In this paper, we are interested only in
038 *post-training quantization of the weights*: We are handed a trained neural network, and our goal is
039 to approximate (some of) the parameters of the network with a coarse numerical alphabet, while
040 keeping the accuracy high.

041 Commonly, this effort is focused on the *linear* parts of the network. That is, we are given a lin-
042 ear map $\mathbb{R}^n \rightarrow \mathbb{R}^m$, represented by a weight matrix $W \in \mathbb{R}^{m \times n}$, and we seek to find another
043 $m \times n$ matrix V , whose entries have lower numerical precision and which “approximates W well”.
044 Concretely, this means:

045 **Low numerical precision.** We will model V as having *integer* entries, i.e., $V \in \mathbb{Z}^{m \times n}$. Together
046 with a simple scaling, this covers the case when the available low-precision alphabet is $\alpha\mathbb{Z}$ for some
047 $\alpha \in \mathbb{R}$. Up to clipping, this models quantization with low-bit integers as a memory/computation
048 unit.

049 **Approximation of W .** The approximation problem is carried out in a *data-driven* context: We are
050 allowed to sample representative inputs $x_1, x_2, \dots, x_k \in \mathbb{R}^n$ of the linear unit W . Indeed, in practice
051 we can just take some of the training inputs and send them through the network until they reach the
052 linear unit of interest. We want that V approximates W well *on these specific inputs*, so we want to
053 minimize:

$$054 \sum_{j=1}^k \|Wx_j - Vx_j\|_2^2 = \sum_{j=1}^k \sum_{i=1}^m \langle W_{i,:} - V_{i,:}, x_j \rangle^2 = \sum_{i=1}^m \|XW_{i,:}^T - XV_{i,:}^T\|_2^2 \quad (1)$$

055 Here, $X \in \mathbb{R}^{k \times n}$ is the matrix with rows x_1, \dots, x_k , and $W_{i,:}$ is the i^{th} row of W . Note that this
056 optimization problem is *separable*: to minimize the sum on the right, it suffices to minimize each
057 summand $\|XW_{i,:}^T - XV_{i,:}^T\|_2^2$ separately. This corresponds to quantizing a single neuron at a time.
058 So the problem is:

059 **Problem.** Given $X \in \mathbb{R}^{k \times n}$ and $w \in \mathbb{R}^n$, find $v \in \mathbb{Z}^n$ so that $\|Xw - Xv\|_2$ is as small as possible.

054 **Lattice view.** We will now explain the connection to lattices. A *lattice* is the \mathbb{Z} -span $\mathbb{Z}b_1 + \dots + \mathbb{Z}b_n$ of a set of \mathbb{R} -linearly independent vectors b_1, \dots, b_n in \mathbb{R}^k . The vectors b_1, \dots, b_n are called a *basis* of the lattice. Multiple different bases can produce the same lattice. For example, $\mathbb{Z}^2 \subset \mathbb{R}^2$ is a lattice, and two possible bases are $\{(1, 0), (0, 1)\}$ and $\{(3, 1), (5, 2)\}$. See, for instance, Micciancio & Goldwasser (2002) for more background on lattices.

059 In the problem above, we can view the columns of X as the basis for a lattice in \mathbb{R}^k . That is assuming
060 these columns are linearly independent; more on that below. Then Xw can be just viewed as a point
061 in \mathbb{R}^k , and Xv is a lattice point (an element of the lattice). As v runs through \mathbb{Z}^n , Xv runs through
062 all the lattice points. So the minimization problem above asks to compute a lattice point which is
063 close to Xw . In the lattice community this is known as the (approximate) *closest vector problem*
064 (CVP).

065 While this is generally NP-hard to solve optimally, decades of research have been devoted to practical
066 algorithms that approximately solve CVP. The common approach is to employ an LLL-like
067 algorithm for *basis reduction* (Nguyen & Vallée, 2010), followed by Babai’s nearest plane algorithm
068 (Babai, 1986). We will see in section 2 that GPTQ (Frantar et al., 2022) is exactly equivalent
069 to Babai’s algorithm (up to possibly reversing the columns of X).
070

071 **Regularization.** Note that the columns of X might not be linearly independent; in particular this
072 will be the case if the number k of calibration inputs is less than the number of features n . However,
073 we can use the following regularization: Append a scalar multiple of the $n \times n$ identity matrix below
074 X , so that

$$075 \quad X' := \begin{pmatrix} X \\ \mu \cdot I_{n \times n} \end{pmatrix} \quad \text{where } \mu > 0 \quad (2)$$

077 is used in place of X . The columns of X' are linearly independent, and choosing $\mu \rightarrow \infty$ will lead
078 to the naive quantization $v := \text{round}(w)$ as the optimal solution.

079 When $\mu = \sqrt{\lambda}$, this is equivalent to the λ -regularization in Frantar et al. (2022). Indeed, GPTQ
080 works with the matrix $X^T X$, and for regularization it replaces this matrix with $X^T X + \lambda I$ instead.
081 But we have:

$$082 \quad X'^T X' = X^T X + (\mu I)^T (\mu I) = X^T X + \lambda I \quad , \quad (3)$$

083 So the X' regularization will yield the same result, but it also admits a lattice interpretation.

084 In summary, we showed how quantization of linear units reduces to solving the CVP for a lattice
085 generated by input data. One can now apply the full range of CVP algorithms for neural network
086 quantization, provided one can scale them to the large lattices that are involved in quantization, see
087 section 3.
088

089 **Overlap with Concurrent Work.** As we were drafting this paper, a related preprint by Chen
090 et al. (2025) appeared very recently. This work has significant overlap with ours, showing very
091 similar results as we present them here. We want to emphasize that our work was conducted fully
092 independently and had been in development for an extended period of time. Our proofs follow a
093 different approach than Chen et al. (2025) and are shorter; we believe that they offer a concise and
094 conceptually elegant perspective.
095

096 2 GPTQ IS EQUIVALENT TO BABAI’S ALGORITHM

098 In this section, we view the GPTQ algorithm (Frantar et al., 2022) and Babai’s nearest plane algorithm
099 (Babai, 1986) as procedures for solving the problem from section 1:
100

101 **Problem.** Given $X \in \mathbb{R}^{k \times n}$ and $w \in \mathbb{R}^n$, find $v \in \mathbb{Z}^n$ so that $\|Xw - Xv\|_2$ is as small as possible.
102

103 We will show that the algorithms are equivalent, up to reversing the basis of the lattice. We will first
104 review both GPTQ and Babai’s algorithm separately. We will see that they differ in two aspects:
105

- 106 • GPTQ works in “parameter space” \mathbb{R}^n . Babai’s algorithm works in “data space” \mathbb{R}^k .
107
- 108 • As GPTQ progresses to smaller sublattices, it keeps the target contained in the \mathbb{R} -span of
109 the sublattice. (In every iteration it projects onto this span.) BABAI omits such a projection
110 as it’s not necessary.

108 In a nutshell, the two algorithms are related by a certain [linear](#) projection $\mathbb{R}^k \rightarrow \mathbb{R}^n$. Whatever
 109 Babai's algorithm does in \mathbb{R}^k , project it down to \mathbb{R}^n , and this yields precisely what GPTQ does.
 110

111 The formal equivalence proof then proceeds by essentially rewriting both algorithms as recursive
 112 algorithms.

113 **Notation.** We will use the following notation. When A is a matrix, then A_j denotes its j^{th} column,
 114 and $A_{i,:}$ denotes its i^{th} row. With $A_{\geq j}$ we denote the submatrix of A which omits the first $j - 1$
 115 columns, and $A_{\geq i, \geq j}$ denotes the submatrix which omits the first $i - 1$ rows and $j - 1$ columns. For
 116 vectors a , we denote by a_i the i^{th} coordinate, and $a_{\geq i}$ denotes the subvector which omits the first
 117 $i - 1$ coordinates.
 118

119 X and w are fixed throughout. We have already seen how one can employ regularization to X , so
 120 from now on we will assume that X has linearly independent columns.

121 2.1 GPTQ ALGORITHM REVISITED

122 The original description of GPTQ (Frantar et al., 2022) computes a matrix \tilde{L} as the Cholesky de-
 123 composition of $(X^T X)^{-1}$:

$$124 \tilde{L} \tilde{L}^T = (X^T X)^{-1} \quad (4)$$

125 We will now show that this just corresponds to taking a QL-decomposition of X and inverting L .
 126 Suppose

$$127 X = QL \quad (5)$$

128 with $Q \in \mathbb{R}^{k \times n}$ having orthonormal columns and $L \in \mathbb{R}^{n \times n}$ being lower triangular with positive
 129 entries on the diagonal. Then we have:

$$130 \tilde{L} \tilde{L}^T = (X^T X)^{-1} = (L^T Q^T Q L)^{-1} = (L^T L)^{-1} = L^{-1} L^{-T} \quad (6)$$

131 From the uniqueness of the Cholesky decomposition ($X^T X$ is positive definite) we get:

$$132 \tilde{L} = L^{-1} \quad (7)$$

133 So in GPTQ we can also compute \tilde{L} without a Cholesky decomposition; instead we compute the
 134 QL-decomposition of X and then invert L . [However, this is mostly useful for theoretical study. In](#)
 135 [practice, one usually uses a lot of calibration data, \$k \gg n\$, in which case it's more memory-efficient](#)
 136 [to only accumulate the Gram matrix \$X^T X\$ instead of storing the full matrix \$X\$.](#)

137 With this note about \tilde{L} in place, the GPTQ algorithm can be described as follows: [\(see section A\)](#)

```
138 1: procedure GPTQ( $X, w$ )
139 2:   Compute  $QL = X$ . ▷ QL-decomposition with  $L_{i,i} > 0$ 
140 3:    $\tilde{L} \leftarrow L^{-1}$ 
141 4:    $w^{(0)} \leftarrow w$ 
142 5:   for  $i = 1, \dots, n$  do
143 6:      $v_i \leftarrow \text{round}(w_i^{(i-1)})$ 
144 7:      $\Delta_i \leftarrow v_i - w_i^{(i-1)}$ 
145 8:      $w^{(i)} \leftarrow w^{(i-1)} + \frac{\Delta_i}{\tilde{L}_{i,i}} \cdot \tilde{L}_i$ 
146 9:   end for
147 10:  return  $v$ 
148 11: end procedure
```

149 The idea behind this is to find a w' whose first coordinate w'_1 is fixed to be $\text{round}(w_1)$, and which
 150 minimizes $\|Xw - Xw'\|$. This can then be applied recursively to the other coordinates. The opti-
 151 mization problem to find this w' has the explicit solution
 152

$$153 w' = w + \frac{\text{round}(w_1) - w_1}{\tilde{L}_{1,1}} \cdot \tilde{L}_1 \quad (8)$$

154 which then yields the GPTQ procedure described above. See Hassibi et al. (1993), Frantar & Alistarh
 155 (2022), and Frantar et al. (2022) for the history and derivation.

162 Note that, since \tilde{L}_i has the first $i - 1$ coordinates all set to zero, the coordinates of the $w^{(i)}$ stabilize
 163 as i increases. Also, because of the normalization factor $\Delta_i/\tilde{L}_{i,i}$ and the definition of Δ_i , the
 164 coordinates that they stabilize to are the coordinates of v . Concretely, we have $w_i^{(j)} = v_i$ for $i \leq j$.
 165 In particular, $w^{(n)} = v$.
 166

167 As already noted, one might as well write GPTQ as a recursive algorithm:

```
168 1: procedure GPTQ-REC( $X, w$ )
169 2:   Compute  $QL = X$ . ▷ QL-decomposition with  $L_{i,i} > 0$ 
170 3:    $\tilde{L} \leftarrow L^{-1}$ 
171 4:    $v_1 \leftarrow \text{round}(w_1)$ 
172 5:    $v_{\geq 2} \leftarrow \text{GPTQ-REC}(X_{\geq 2}, (w + \frac{v_1 - w_1}{\tilde{L}_{1,1}} \tilde{L}_1)_{\geq 2})$ 
173 6:   return  $v$ 
174 7: end procedure
```

175 Indeed, the equivalence of the two procedures follows from observing that the QL-decomposition of
 176 $X_{\geq 2}$ is precisely given by $Q_{\geq 2}$ and $L_{\geq 2, \geq 2}$, and that the inverse of $L_{\geq 2, \geq 2}$ is given by $\tilde{L}_{\geq 2, \geq 2}$:
 177

$$180 \quad X_{\geq 2} = Q_{\geq 2} \cdot L_{\geq 2, \geq 2} \quad (L_{\geq 2, \geq 2})^{-1} = (L^{-1})_{\geq 2, \geq 2} = \tilde{L}_{\geq 2, \geq 2} \quad (9)$$

184 From these equalities one can see that GPTQ-REC is equivalent to GPTQ. (Formally one could
 185 prove it via induction.)
 186

190 2.2 BABAI'S ALGORITHM

192 We will now describe Babai's nearest plane algorithm (Babai, 1986), which was developed in the
 193 context of lattices. Recall that we view the columns of X as the basis for an n -dimensional lattice in
 194 \mathbb{R}^k . We want to find a lattice vector close to Xw . The idea is to maintain a target vector t , initialized
 195 with $t = Xw$. Then one builds up v by taking inner products of t with the Gram-Schmidt basis
 196 vectors associated to the lattice basis. This can be interpreted as finding a certain "nearest plane",
 197 hence the name; see section 2.3 and Nguyen & Vallée (2010, Chapter 6).

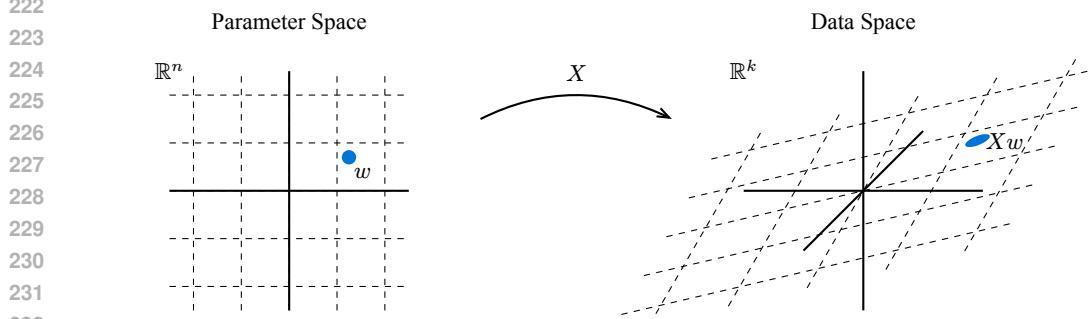
198 The normalized Gram-Schmidt basis can be seen as the Q -factor in a QR-decomposition of X . The
 199 length of the Gram-Schmidt basis vectors are stored in the diagonal elements of the R -factor. We will
 200 instead use the QL-decomposition here, so that it is compatible with GPTQ; this simply corresponds
 201 to applying the "usual" Babai algorithm (which uses a QR-decomposition) on the reversed lattice
 202 basis. (See section A for details of the relation to the classic algorithm.)

```
203 1: procedure BABAI( $X, w$ )
204 2:   Compute  $QL = X$ . ▷ QL-decomposition with  $L_{i,i} > 0$ 
205 3:    $t^{(0)} \leftarrow Xw$ 
206 4:   for  $i = 1, \dots, n$  do
207 5:      $v_i \leftarrow \text{round}(\frac{\langle t^{(i-1)}, Q_i \rangle}{L_{i,i}})$ 
208 6:      $t^{(i)} \leftarrow t^{(i-1)} - v_i X_i$ 
209 7:   end for
210 8:   return  $v$ 
211 9: end procedure
```

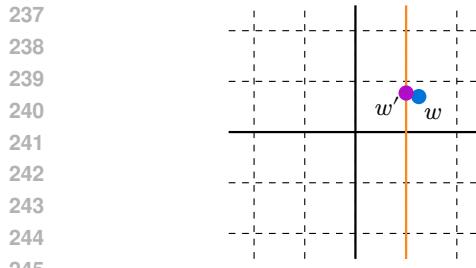
213 BABAI could also be written as a recursive algorithm, although then it should not take w as input but
 214 rather directly the target vector $t = Xw$, which for the recursion would be replaced by $t - v_1 X_1$.
 215 We omit the recursive version of the procedure here; instead it will be implicit in the equivalence
 proof in section 2.4.

216 2.3 THE UNDERLYING GEOMETRY
217

218 We will now provide geometric intuition for what GPTQ and Babai’s algorithm are doing. Note that
219 there are fundamentally two spaces at play: The “parameter space” \mathbb{R}^n , in which w (and v) lives,
220 and the “data space” \mathbb{R}^k in which Xw (and Xv) lives. The matrix X can be seen as an embedding
221 map $\mathbb{R}^n \hookrightarrow \mathbb{R}^k$, which maps the quantization grid \mathbb{Z}^n to a lattice in \mathbb{R}^k .



234 Figure 1: Two spaces at play. X embeds \mathbb{R}^n into \mathbb{R}^k , mapping the quantization grid \mathbb{Z}^n to a lattice
235 in \mathbb{R}^k . GPTQ works in \mathbb{R}^n , on the left. Babai’s algorithm works in \mathbb{R}^k , on the right.



246 Figure 2: GPTQ fixes $v_1 := \text{round}(w_1)$. This
247 restricts v to lie on the orange plane. It defines
248 a new target weight w' on the orange line, and
249 then proceeds recursively. The target weight w'
250 is *not* just an orthogonal projection to the orange
251 plane. Instead, the update step implicitly uses
252 the geometry from the lattice; in \mathbb{R}^k on the right
253 it indeed corresponds to an orthogonal projection.
254

255 Figures 2 and 3 demonstrate what happens in the first step of each algorithm. As we will prove
256 below, both GPTQ and BABAI compute the same first coordinate of v , i.e., v_1 , but they do it in
257 different ways.

258 Note that BABAI’s new target vector t' does *not* lie in the \mathbb{R} -span of the green sublattice. (In fact,
259 at the very end we will have $t = Xw - Xv$.) One could project it onto that span, and the result for
260 v wouldn’t change. Indeed, the difference coming from the projection is, by definition, orthogonal
261 to the green plane, so it doesn’t matter in future computations. We will use this in the equivalence
262 proof below, because GPTQ always does this projection implicitly.

263 2.4 PROOF OF EQUIVALENCE
264

265 **Theorem 2.1.** *The procedures GPTQ and BABAI are equivalent. That is, for any invertible $X \in$
266 $\mathbb{R}^{k \times n}$ and $w \in \mathbb{R}^n$, they produce the same output $v \in \mathbb{Z}^n$.*

267 *Proof.* We already saw that GPTQ is equivalent to GPTQ-REC. We will now show that both
268 GPTQ-REC and BABAI are equivalent to the following procedure, which can be interpreted as a

270 recursive version of BABAI with an additional projection step to the remaining sublattice as noted in
 271 section 2.3.

272 1: **procedure** BABAI-PROJ-REC(X, w)
 273 2: Compute $QL = X$. ▷ QL-decomposition with $L_{i,i} > 0$
 274 3: $\tilde{L} \leftarrow L^{-1}$
 275 4: $t \leftarrow Xw$
 276 5: $v_1 \leftarrow \text{round}(\frac{\langle t, Q_1 \rangle}{L_{1,1}})$
 277 6: $v_{\geq 2} \leftarrow \text{BABAI-PROJ-REC}(X_{\geq 2}, (w + \frac{v_1 - w_1}{\tilde{L}_{1,1}} \tilde{L}_1)_{\geq 2})$
 278 7: **return** v
 279 8: **end procedure**

281 GPTQ-REC is equivalent to BABAI-PROJ-REC. The only difference between the two algorithms is
 282 how they compute v_1 . GPTQ just rounds w_1 , and BABAI-PROJ-REC rounds the following quantity:

$$\frac{\langle t, Q_1 \rangle}{L_{1,1}} = \frac{\langle Xw, Q_1 \rangle}{L_{1,1}} = \frac{Q_1^T Q L w}{L_{1,1}} = \frac{e_1^T L w}{L_{1,1}} = \frac{L_{1,:} w}{L_{1,1}} = w_1 \quad (10)$$

286 BABAI is equivalent to BABAI-PROJ-REC. This is less obvious. Consider the value of “ t ” in the
 287 first BABAI-PROJ-REC recursion, i.e. in the first nested call caused by line 6. It is equal to:

$$X_{\geq 2} \left(w + \frac{v_1 - w_1}{\tilde{L}_{1,1}} \tilde{L}_1 \right)_{\geq 2} \quad (11)$$

291 Suppose that this product would equal $t - v_1 X_1$. Then it would follow by induction that BABAI-
 292 PROJ-REC is equivalent to BABAI.

293 We will show that the product almost equals $t - v_1 X_1 = Xw - v_1 X_1$, up to an additive factor of
 294 κQ_1 , where $\kappa \in \mathbb{R}$ is some scalar. And indeed this suffices to show the equivalence:

295 Note that, in future iterations/recursions, both BABAI and BABAI-PROJ-REC will only ever use $t^{(i)}$
 296 (or “ t ”) to take inner products with Q_2, \dots, Q_n , and these vectors are orthogonal to Q_1 . So one
 297 could, for example, modify BABAI so that $t^{(i)}$ gets additively shifted by $\kappa_i Q_i$ for any $\kappa_i \in \mathbb{R}$, and
 298 the output wouldn’t change. Concretely, let’s define:

300 1: **procedure** BABAI $_{\kappa_1, \dots, \kappa_n}(X, w)$
 301 2: Compute $QL = X$. ▷ QL-decomposition with $L_{i,i} > 0$
 302 3: $t^{(0)} \leftarrow Xw$
 303 4: **for** $i = 1, \dots, n$ **do**
 304 5: $v_i \leftarrow \text{round}(\frac{\langle t^{(i-1)}, Q_i \rangle}{L_{i,i}})$
 305 6: $t^{(i)} \leftarrow t^{(i-1)} - v_i X_i + \kappa_i Q_i$
 306 7: **end for**
 307 8: **return** v
 308 9: **end procedure**

309 The previous paragraph shows that BABAI is equivalent to BABAI $_{\kappa_1, \dots, \kappa_n}$ for any $\kappa_1, \dots, \kappa_n \in \mathbb{R}$.
 310 And the equivalence of BABAI-PROJ-REC with BABAI $_{\kappa_1, \dots, \kappa_n}$ for some specific $\kappa_1, \dots, \kappa_n \in \mathbb{R}$,
 311 which depend on the input (X, w) , follows with an induction argument, given the claim above.

312 It remains to prove the claim:

$$X_{\geq 2} \left(w + \frac{v_1 - w_1}{\tilde{L}_{1,1}} \tilde{L}_1 \right)_{\geq 2} = X \left(w + \frac{v_1 - w_1}{\tilde{L}_{1,1}} \tilde{L}_1 \right) - X_1 \overbrace{\left(w + \frac{v_1 - w_1}{\tilde{L}_{1,1}} \tilde{L}_1 \right)_1}^{=v_1} \quad (12)$$

$$= X \left(w + \frac{v_1 - w_1}{\tilde{L}_{1,1}} \tilde{L}_1 \right) - v_1 X_1 \quad (13)$$

$$= Xw - v_1 X_1 + \underbrace{\frac{v_1 - w_1}{\tilde{L}_{1,1}}}_{\substack{\text{the previously} \\ \text{mentioned } \kappa}} \underbrace{Q_1}_{=X \tilde{L}_1} \quad (14)$$

324

325

326

327

3 CONSEQUENCES AND FUTURE WORK

328

329 The equivalence of Babai’s algorithm with GPTQ has direct consequences for quantization with
330 GPTQ.

331

332 **Correct Handling of Quantization Over Multiple Layers.** Suppose we have quantized a linear
333 unit of a neural network, and then we want to quantize another linear unit which comes later in the
334 network. Recall that in order to obtain X , we take sample inputs of the neural network, and send
335 them through the network to just before our linear unit. This means the data will pass units that we
336 have already quantized. Usually we want to pass the data through the *quantized* units to generate
337 the lattice \hat{X} , while passing it through the *original* units to generate the target Xw . So we now want
338 to find $v \in \mathbb{Z}^n$ which minimizes:
339

$$\|Xw - \hat{X}v\|$$

340 While for GPTQ it’s not obvious how to deal with this modified problem, it’s completely obvious
341 for Babai’s algorithm. Indeed, we just need to set the target vector to $t = Xw$ (and work with the
342 lattice generated by \hat{X}). If one wants to use GPTQ instead, that corresponds to projecting Xw down
343 onto the \mathbb{R} -span of the lattice \hat{X} ; the projected point will be equal to $\hat{X}\hat{w}$ for some $\hat{w} \in \mathbb{R}^n$, which
344 should then be used as an input to GPTQ. In short, $\hat{w} = \hat{X}^+Xw$.345 This precisely recovers what the Qronos (Zhang et al., 2025) algorithm does. [It indeed improves the
346 quantization quality, see the experimental results of Zhang et al. \(2025\).](#)
347

348

349 **Theoretical Guarantees.** Theoretical guarantees about the output of Babai’s algorithm directly
350 carry over to GPTQ. First, there is an *absolute* guarantee on the error $\|Xw - Xv\|$ in terms of the
351 lengths $L_{i,i}$ of the Gram-Schmidt vectors of the lattice:
352353 **Theorem 3.1** (Babai (1986)). *The output v of BABAI satisfies $\|Xw - Xv\|^2 \leq \sum_{i=1}^n L_{i,i}^2$.*

354

355 Second, there is a *relative* error guarantee, relating the error to the minimally achievable error:
356357 **Theorem 3.2** (Babai (1986)). *The output v of BABAI satisfies $\|Xw - Xv\| \leq \gamma \cdot \min_{v' \in \mathbb{Z}^n} \|Xw - Xv'\|$ with*
358

$$\gamma \leq \sqrt{1 + \max_i \frac{1}{L_{i,i}^2} \sum_{j \geq i} L_{j,j}^2} \leq \sqrt{n-1} \cdot \max_{i \leq j} \frac{L_{j,j}}{L_{i,i}} \quad .$$

359

360 **Using Lattice Basis Reduction.** Theorem 3.2 suggests that the sequence $L_{1,1}, L_{2,2}, \dots$ shouldn’t
361 ever increase much in order to obtain a good result. The classic way to make $L_{1,1}, L_{2,2}, \dots$ not ever
362 increase by much is by performing an LLL-like *lattice basis reduction*. This would give a guarantee
363 on the $L_{i,i}$, and hence significantly improve the outcome of BABAI/GPTQ in theory (Nguyen &
364 Vallée, 2010, Chapter 6, Theorem 3). A straightforward wrapper algorithm looks like this:
365

```

1: procedure WITHREDUCTION( $X, w$ )
2:    $(X_{\text{red}}, T) \leftarrow \text{LATTICEBASISREDUCTION}(X)$ 
3:   [Here  $T \in \mathbb{Z}^{n \times n}$  is the base change matrix satisfying  $X_{\text{red}} = XT$ .]
4:    $v_{\text{red}} \leftarrow \text{BABAI}(X_{\text{red}}, t = Xw)$       > Abuse of notation to pass  $t$  instead of  $w$  to BABAI.
5:    $v \leftarrow T v_{\text{red}}$ 
6:   return  $v$ 
7: end procedure

```

372

373 The intuition behind this algorithm is simple: What BABAI does is, given a lattice basis X and a
374 target vector t , it finds a lattice point close to t and produces its (integer) coordinates v with respect
375 to the basis X . The “better” the basis X , the closer Xv will be to t . Lattice basis reduction provides
376 a “good” basis X_{red} together with the base change matrix $T \in \mathbb{Z}^{n \times n}$ satisfying $X_{\text{red}} = XT$. Then
377 we use BABAI on the reduced basis X_{red} , but with the same target vector Xw as before, to compute
378 a lattice point close to the target, which will be provided as coordinates v_{red} with respect to X_{red} .
379 Finally, we compute the coordinates of the point with respect to the original basis X by applying T .

□

378 We note that if X is not regularized enough, then T and hence v could potentially have large entries.
 379 This could be a problem when one needs to clip the values to a small quantization domain. Even
 380 without clipping, it could result in a bad accuracy of the final network, because large entries are a
 381 symptom of overfitting to the calibration data X .

382 We leave the experimental valuation of WITHREDUCTION and related algorithms for future work.
 383

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407 **A ALGORITHM DESCRIPTIONS**

409 In this section we provide more detail on why our descriptions of BABAI and GPTQ indeed match
 410 the original algorithms.

411 For BABAI, compare with Nguyen & Vallée (2010, Chapter 6, Algorithm 1). To obtain our descrip-
 412 tion, one needs to apply the following transformations to their algorithm:

- 414 • Our target vector v is Xw .
- 415 • They use the notation b_i^* to denote the i^{th} Gram-Schmidt vector of the lattice basis. This
 416 corresponds to taking a QR-decomposition $X = QR$, and then letting $b_i^* = R_{i,i}Q_i$. Then:

$$\frac{\langle t, b_i^* \rangle}{\langle b_i^*, b_i^* \rangle} = \frac{\langle t, R_{i,i}Q_i \rangle}{\langle R_{i,i}Q_i, R_{i,i}Q_i \rangle} = \frac{\langle t, Q_i \rangle}{R_{i,i}} \quad (15)$$

420 So they round the same value as we do.

- 421 • Take a QL-decomposition instead of a QR-decomposition and process the loop in reverse
 422 order. (This accounts for reversing the basis.)

424 For GPTQ, take Frantar et al. (2022, Algorithm 1) and apply the following transformations:

- 426 • Remove the regularization factor λI , since we assume it’s already part of the lattice, as
 427 noted just before section 2.1.
- 428 • Note that what they call X is X^T in our paper.
- 429 • Instead of computing H^{-1} as the Cholesky decomposition of $X^T X$, compute a QL-
 430 decomposition of X and invert L . This is equivalent, as explained in section 2.1.
- 431 • Choose block size $B = \infty$.