VANISHING PRIVACY: FAST GRADIENT LEAKAGE THREAT TO FEDERATED LEARNING

Anonymous authors

Paper under double-blind review

ABSTRACT

In the federated learning (FL) framework, clients participate in collaborative learning tasks under the coordination of a central server. Clients train local submodels using their own data and share gradients with the server, which aggregates the gradients to achieve privacy protection. However, recent research has revealed that gradient inversion attacks (GIAs) can leak private data from the shared gradients. Prior work has only demonstrated the feasibility of recovering input data from gradients under highly restrictive conditions, such as when dealing with high-resolution face datasets, where GIAs often struggle to initiate attacks effectively, and on object datasets like Imagenet, where they encounter limitations, primarily manifested in their ability to handle only small batch sizes and high time costs. As a result, we believe that implementing GIAs on high-resolution face datasets with large batch sizes is a challenging task. In this work, we introduce Fast Gradient Leakage (FGL), which enables rapid image recovery across various network models on complex datasets, including the CelebA face dataset (1000 classes, 224×224 px). We also introduced StyleGAN as prior knowledge for images and achieved FGL with a batch size of 60 in experiments (constrained by experimental hardware). We further propose a joint gradient matching loss, where multiple distinct matching losses collectively contribute to clarifying the attack direction and enhancing the efficiency of the optimization process. Extensive experimentation validates the feasibility of our approach. We anticipate that our proposed method can serve as a valuable tool to advance the development of privacy defense techniques.

031 032 033

034 035

004

010 011

012

013

014

015

016

017

018

019

021

023

025

026

027

028

029

1 INTRODUCTION

Federated Learning (FL) (Li et al. (2020), McMahan et al. (2016)) aims to train high-quality global models while ensuring client privacy. In this framework, clients only use their local data (Melis et al. 037 (2019), Shokri et al. (2017)) for training and share weights or gradients to update the global model, reducing the flow of user data and enhancing data privacy and security (Tan et al. (2022), Karimireddy et al. (2020), Chilimbi et al. (2014), Konený et al. (2016), Yang et al. (2019b)). Therefore, 040 FL can be applied in privacy-sensitive domains such as medical data (Brisimi et al., Sadilek et al. 041 (2020)). Hospitals can obtain a collaboratively trained global model without the need to share pa-042 tient data. This approach addresses critical concerns such as data privacy, data security, data access 043 control, and heterogeneous data access, enabling multiple participants to create a shared, powerful 044 machine learning model without sharing data.

In most cases, the federated learning framework is considered an effective method to prevent privacy
leakage. Nevertheless, recent research (Geiping et al. (2020), Yin et al. (2021), Zhao et al. (2020)
Zhu & Han (2020), Wen et al. (2022)) has shown that the shared gradients contain a significant
amount of sensitive information, and attackers can exploit gradient leakage to obtain client's private
data. This type of attack, known as gradient inversion attacks (GIAs), has evolved to the point
where it can achieve pixel-level image reconstruction. This poses a severe threat to privacy security
in federated learning. While GIAs have made some progress in FL attacks, they still face limitations.
For instance, using CNN models makes it challenging to carry out attacks on high-resolution face
datasets (Yin et al. (2021)). Additionally, they encounter difficulties in conducting attacks with large
batch sizes, along with issues related to low attack efficiency and high time costs.

054 We propose a GAN-based GIAs method called Fast Gradient Leakage (FGL) to address the chal-055 lenges mentioned earlier. Our technique is inspired by the field of model inversion attacks (MIAs) 056 (Fredrikson et al. (2015), Zhang et al. (2020), He et al. (2019)), which shares similarities with our 057 domain, yet MIAs have a longer research history, with many techniques being ahead of Gradient 058 Inversion Attacks (GIAs). MIAs utilize GANs as prior knowledge for images, avoiding the need to synthesize images from noise; instead, the optimization process fine-tunes existing images until the target image is reached. Moreover, MIAs significantly improve attack success rates through 060 strategies like rotation transformations and image selection. In a white-box setting, PPA (Struppek 061 et al.) achieves large-batch and high-accuracy attacks on face datasets, a feat challenging for GIAs. 062 Inspired by MIAs, we introduce related techniques into GIAs. 063

Building upon previous research, we conceptualize GIAs attacks as an optimization problem (Yin et al. (2021)). However, due to the difficulty in optimizing high-resolution images with GIAs' gradient matching losses, our main challenge lies in overcoming the tendency for the optimization process to fall into local optima. To address this challenge, we break away from the limitations of previous studies and propose the concept of a joint gradient matching loss function. Different gradient matching losses guide the optimization process from different perspectives, allowing for simultaneous optimization from multiple angles, thereby making the optimization results more likely to approach the global optimum.

Our goal is to quickly recover private images from clients. To reduce time overhead, we adopt StyleGAN (Karras et al. (2019), Karras et al. (2020)) as prior knowledge for images, allowing initial images to be fine-tuned rather than synthesized from noise, significantly reducing time costs. By introducing our proposed joint gradient matching loss function, the attack epoch is significantly reduced, making the optimization process simpler and faster. The combination of these two factors enhances attack efficiency. Additionally, with the optimization process becoming simpler, the batch size of attacks has also increased. Compared to previous methods, our approach has achieved significant improvements in both attack time and batch size.

Compared to GI, we introduced pre-trained StyleGAN as prior knowledge for images, combined with a joint gradient matching loss. This approach allowed convergence within a very small number of epochs (70 in our experiments), significantly reducing the time overhead. More importantly, we successfully conducted attacks on high-resolution (224×224px) face images for the first time under CNN architecture, with a batch size of up to 60 (limited by hardware).

- 085 The main contributions are summarized as follows:
 - For the first time, we have employed an optimization-based approach on a CNN architecture to achieve the reconstruction of high-resolution facial datasets.
 - We propose a joint gradient matching loss that combines multiple advantages and significantly improve reconstruction quality.
 - We have introduced a selection strategy that, when combined with multi-seed optimization strategies, enhances the quality of reconstructed images.

093 094 2

087

090

091

092

095

2 RELATED WORK

096 2.1 IMAGE SYNTHESIS.

The task of synthesizing images from neural networks has been a long-standing challenge, and Gen-098 erative Adversarial Networks (GANs) (Zeng & Long (2022), Radford et al. (2015), Salimans et al. (2016), Brock et al. (2018), Gulrajani et al. (2017)) have achieved remarkable success in this field. 100 The initial GAN (Zeng & Long (2022)) often faced issues with instability and training difficulties. 101 However, techniques proposed by (Radford et al. (2015)) have addressed the stability problems in 102 GAN training, and the improved WGAN (Gulrajani et al. (2017)) has enhanced training stability 103 while mitigating issues like mode collapse. Despite these improvements, WGAN still generated 104 low-quality images, prompting the proposal of WGAN-GP (Gulrajani et al. (2017)) as a further 105 enhancement. DCGAN (Radford et al. (2015)) introduced a more stable architecture for training GANs and demonstrated that adversarial networks can learn meaningful image representations for 106 supervised learning tasks. StyleGAN (Karras et al. (2019)) introduced style transfer, enabling intu-107 itive control over synthesis at various scales. Building on these advancements, StyleGAN2 (Karras

108 et al. (2020)) addressed several image quality issues in StyleGAN, resulting in further improve-109 ments in image synthesis.StyleGAN3 (Karras et al. (2021)) made significant progress by addressing 110 the reliance on absolute pixel coordinates in the typical synthesis process of GANs, thus opening 111 new possibilities for video and animation synthesis. Additionally, BigGANs (Brock et al. (2018)) 112 achieved a breakthrough by training GANs on the complex ImageNet (Deng et al. (2009)) dataset, significantly advancing the state-of-the-art in GAN research. The significant progress in GANs has 113 brought about a revolution in image synthesis, enabling diverse applications ranging from art gener-114 ation to the production of highly realistic images. However, certain challenges persist, such as op-115 timizing GANs for specific tasks, ensuring scalability, and effectively handling large-scale datasets. 116 As the research in GANs continues to advance, we can anticipate even more thrilling developments 117 in the realm of image synthesis and generation. 118

119 120

2.2 PRIVACY LEAKAGE VIA GRADIENT

121 Recently, the field of privacy attacks in federated learning has seen significant advancements.(Zhu 122 & Han (2020)) proposed a gradient-based privacy attack method, allowing attackers to reconstruct 123 users' private data by matching exchanged gradients in the federated learning scenario. This poses 124 substantial challenges to privacy and security in federated learning (Konený et al. (2016), Wang 125 et al. (2019), Reisizadeh et al. (2019), Building on this work, (Zhao et al. (2020)) improved the 126 method by introducing a label inference technique, enhancing the attack efficiency. However, both 127 methods are only applicable to shallow networks (Lecun et al. (1998)) trained on low-resolution data (Krizhevsky (2009)). Previous GIAs commonly utilized the L_2 norm for gradient matching. 128 (Geiping et al. (2020)) proposed cosine similarity for gradient matching, achieving promising re-129 sults and revealing vulnerabilities even in large-scale datasets trained on non-smooth networks like 130 ResNet-152 (He et al. (2016)).(Zhu & Blaschko (2021)) introduced a novel method that advanced 131 the understanding of GIAs. (Yin et al. (2021)) further improved label inference with GradInversion, 132 incorporating an image regularization term to enhance image fidelity. Their approach demonstrated 133 success in revealing privacy images with batch size ranging from 8 to 48 on large networks trained 134 on ImageNet. In the pursuit of stronger attacks, (Huang et al. (2021)) evaluated the work of (Yin 135 et al. (2021)) and pointed out two strong assumptions (BatchNorm statistics and private labels), 136 suggesting that relaxing these assumptions significantly reduces the attack capability.(Hatamizadeh 137 et al.) further demonstrated the feasibility of GIAs on vision transformers (ViTs). More recently, (Li 138 et al.) proposed a GAN-based gradient inversion attack, capable of revealing privacy images under 139 various gradient defenses while maintaining good image quality.

140 141

142 143

148

149

3 Methodology

In this section, we provide a detailed introduction to the FGL method. We first establish a threat model in Section 3.1. Then, we explain the definition of our objective function and the optimization methods employed in Section 3.2. Finally, we present a comprehensive overview of the innovative components in our approach in Section 3.3. The overall architecture is illustrated in Figure 1.

3.1 THREAT MODEL

150 In both federated learning algorithms, FedSGD and FedAvg, we assume that the attacker functions as 151 an honest but curious server. The attacker is endowed with the capability to receive model weights w152 and gradients $\triangle W$ transmitted by the clients. The adversary's goal is to deduce sensitive information 153 from the client's private data by scrutinizing these parameters. It is crucial to emphasize that the 154 server is prohibited from unilaterally modifying the initial model sent to the client (Fowl et al., Boenisch et al.). Additionally, the adversary may leverage publicly available resources such as 155 common datasets and openly accessible pre-trained models, but their computational resources are 156 limited. 157

158

160

159 3.2 OBJECTIVE FUNCTION

161 Considering a network with weight parameters W and a gradient update $\triangle W$ obtained from a batch of ground truth images x^* and their corresponding labels y^* , our optimization algorithm (Eqn.1 -

162 163 164

172

173

174

177

179

183

185

186 187



Risk Level Of Attack

Figure 1: Overview of our proposed FGL method. Our proposed FGL method comprises three main stages. In the sampling stage, we adopt a multi-seed optimization approach, where we simultaneously perform optimization with multiple random seeds. During the optimization stage, we leverage 175 a novel joint gradient matching loss function and gradient normalization technique. In the final se-176 lection stage, we carefully choose the most representative and successful results from the optimized set. 178

180 Eqn.4) aims to find an optimal solution. 181

$$\hat{x} = T_{trans}(S_{init}(G(z))) \tag{1}$$

$$\hat{z}_{seed}^* = \arg\min_{z} M_{grad}(\hat{x}; N_{grad}(\Delta W, \Delta W'))$$
⁽²⁾

$$\hat{z}_{best}^* = S_{final}(\hat{z}_{seed_1}^*, \hat{z}_{seed_2}^*, \hat{z}_{seed_3}^* \dots \hat{z}_{seed_n}^*)$$
(3)

$$\hat{x}_{best}^* = G(\hat{z}_{best}^*) \tag{4}$$

188 In this context, we provide the following terminological explanations: z represents the latent space, 189 $G(\cdot)$ denotes the GAN, $S_{init}(\cdot)$ signifies the initial point selection strategy, $T_{trans}(\cdot)$ stands for 190 increasing robustness by transformations, $N_{grad}(\cdot)$ indicates the gradient normalization operation, 191 and $M_{grad}(\cdot)$ is our proposed new gradient matching loss. $\hat{z}^*_{seed}(\cdot)$ refers to the initial seed result, 192 while $\hat{S}_{final}(\cdot)$ represents the strategy for selecting the optimal result \hat{z}_{best}^* . Ultimately, we use the 193 synthesized \hat{x}_{best}^* as the outcome of the attack. 194

195 3.3 FAST GRADIENT LEAKAGE 196

197 In this section, we will systematically present our contributions and technical details, following the process of the GIAs.

199 Selection Strategy. The selection of the initial point plays a crucial role in GIAs. To exploit the 200 potential of the initial point, we propose four selection strategies, which consist of both initial point 201 selection and corresponding representative result selection strategies that need to be applied together. 202

The first strategy involves selecting points with high confidence scores F(x) according to the target 203 model as the initial points and final points. The second strategy entails choosing points with a small 204 L_2 distance between the pseudo-gradient $\triangle W'$ and the true gradient $\triangle W$ as the initial points and 205 final points. The third strategy entails selecting points with a cosine similarity close to one between 206 the pseudo-gradient $\triangle W'$ and the true gradient $\triangle W$ as the initial points and final points. The fourth 207 strategy involves selecting points with both a small \mathcal{L}_2 distance between the pseudo-gradient $\bigtriangleup W'$ 208 and the true gradient $\triangle W$ and a cosine similarity close to one as the initial points and final points. 209

Among these strategies, the first initial point strategy yields the best results in our work. It is worth 210 noting that the first strategy requires the use of a model that has already converged, while the other 211 three strategies are applicable to all models. 212

213 label inference. After selecting highly attack-oriented initial points, the next step is the label inference (Qu et al. (2019), Fu et al.), where successfully obtaining the true labels greatly enhances 214 the success rate of the attack. Based on the analysis of (Zhao et al. (2020)), under the premise of 215 using cross-entropy as the loss function, it is possible to infer the true labels using shared gradients.

Assuming there are n classes in the dataset, denoting the *i*-th output of the model as z_i , the loss as $\partial L(F(x^*), y^*)$. The label inference function can be expressed as follows:

$$\hat{y} = argsort\left(\bigtriangledown_{z} \mathcal{L}\left(F\left(x^{*}\right), y^{*}\right) [:1]\right)$$
(5)

(6)

The above analysis is based on the scenario where batch size one. However, when batch size is greater than one, there is information loss due to gradient summation. Using the label inference based on the batch size one leads to a higher error rate. To address this issue, (Yin et al. (2021)) proposed batch label restoration. Based on the observation that $|V_c| \gg |V_{i\neq c}|$ (the absolute value of the negative gradient term for class i = c is larger than the absolute value of the positive gradient terms for $i \neq c$), the label inference function can be written as follows:

227 228

219

220

229 230

231

In batch label restoration, we identify the rows with the smallest values in the fully connected layer and sort them. The top-k rows correspond to the restored labels.

 $\hat{y} = argsort\left(\min_{m} \bigtriangledown_{W_{n,i}^{FC}} \mathcal{L}(F\left(x^{*}\right), y^{*})\right) [:K]$

232 **Gradient Matching Loss.** Even if the true label \hat{y}^* is inferred, attacking high-resolution facial 233 images remains highly challenging. Previous research works have predominantly used loss functions 234 such as \mathcal{L}_2 norm (Zhu & Han (2020), Zhao et al. (2020), Yin et al. (2021)) and Cosine Distance 235 (Geiping et al. (2020)). In our work, we propose a novel loss function design strategy comprising two parts. Firstly, we define a loss function between the target function output Y' and the inferred 236 label Y. Secondly, we introduce the gradient matching loss between $\triangle W'$ and $\triangle W$. We select the 237 Poincaré distance (Struppek et al.) as the loss function for the first part. The Poincaré loss function 238 is used to measure the distance between two tensors y and y^* , and it is defined as follows: 239

240 241

$$\mathcal{L}_{Poincare} = d(y, y^*)$$

$$= \operatorname{arcosh}\left(1 + \frac{2||y - y^*||^2}{(1 - ||y||_2^2)(1 - ||y^*||_2^2)}\right)$$
(7)

In the second part, we propose a joint loss function given by $M_{grad} = \alpha_1 \mathcal{L}_2 + \alpha_2 Cosine + \alpha_3 \mathcal{L}_1$, where α_1 , α_2 , and α_3 are hyperparameters. The expressions for \mathcal{L}_2 , Cosine, and \mathcal{L}_1 are shown : $\mathcal{L}_2(\Delta W, \Delta W') = ||\Delta W - \Delta W'||_2^2$, $Cosine(\Delta W, \Delta W') = 1 - \frac{\langle \Delta W, \Delta W' \rangle}{||\Delta W||_2 \cdot ||\Delta W'||_2}$, $\mathcal{L}_1(\Delta W, \Delta W') = ||\Delta W - \Delta W'||$.

Gradient Normalization. In some scenarios, the values of the true gradient $\triangle W$ can be extremely small, making it difficult for the pseudo gradient $\triangle W'$ to approximate the true gradient $\triangle W$ accurately. Consequently, the loss value struggles to converge, leading to suboptimal attack performance. To address this issue and enhance the effectiveness of our approach, we apply gradient normalization (Xu et al. (2019), Xiong et al. (2020), Yang et al. (2019a)). By normalizing both the true gradient $\triangle W$ and the pseudo gradient $\triangle W'$ to the same scale, we can accelerate the convergence of the loss function and enhance the attack capability of our method as in Figure 2.

Increasing Robustness by Transformations. To enhance the robustness of our algorithm, we introduce image transformations (Hu et al., Athalye et al. (2017), Struppek et al.) to stabilize the attack process. We define t as a single transformation operation, which can include rotations, translations, cropping, resizing, and more. T represents a combination of multiple t operations, given by $T_{trans}(x) = t_1(x) \cdot t_2(x) \cdots t_n(x)$. Instead of using the original image x directly, we employ the transformed image $x' = T_{trans}(x)$ during the attack.

Multi-Seed Optimization Strategies. Although we have applied the Selection Strategy, it is in evitable that some initial points may get trapped in local optima.

To enhance the robustness of our attack method, we propose a multi-seed optimization strategy. We sample initial point combinations using different Seeds ($Seed_1$, $Seed_2$, $Seed_3$, ..., $Seed_n$), where each set of initial points exhibits a relatively consistent optimization direction. By employing a multi-seed optimization strategy, we have expanded the attack exploration range of FGL, consequently enhancing its attack stability.



Figure 2: Gradient regularization for resetting gradient space.

4 EXPERIMENTS

4.1 EXPERIMENTAL SETUP

In all experiments (Apart from experimenting with different datasets and model architectures.), we
 employed the StyleGAN2 (Karras et al. (2020)) model pretrained on the FFHQ dataset to attack
 the target model ResNet-18 trained on the CelebA dataset, thus simulating the setting of federated
 learning. Additional details and attack parameters about the experimental can be found in the Appx.
 5.

To demonstrate the effectiveness of our proposed approach, we conducted ablation experiments as detailed in Section 4.2. Subsequently, we compared our FGL method with some state-of-the-art approachesin GIAs to highlight its advantages in 4.3. Additionally, we performed experiments on large-batch GIAs in 4.4 and time cost to validate the effectiveness of our method in 4.5.

296 Evaluation Metrics. Diverging from earlier GIAs, FGL's aim is not to replicate private images, 297 but rather to synthesize images with akin features. Consequently, conventional metrics like SSIM, 298 MSE, and PSNR, commonly used to determine if two images are identical, find limited applicability 299 in our attack. In order to precisely assess the faithful representation of privacy image features in synthesized images, we introduce three corresponding evaluation metrics. (i) The Top-1 and Top-5 300 accuracy rates computed by Inception-v3. (ii) The feature distance D_{inc} between synthesized and 301 real images computed by Inception-v3. (iii) The feature distance D_{face} between synthesized and 302 real images computed by FaceNet. 303

304 305

270

271 272

273 274

275

276 277

278

279

281

283

284 285

4.2 Ablation Studies

The purpose of the ablation experiments is to analyze the roles of different components in our proposed method. We progressively incorporate our proposed method into the optimization objective function and conduct quantitative analysis of the data in Table 1 as well as qualitative analysis based on visual observations Figure 3. We conducted a more detailed ablation study on the joint gradient matching function in Appx. 5.

$I = \langle \hat{m} \land W \land W' \rangle$	Image Reconstruction Metric				
$L_{grad}(x, \bigtriangleup w, \bigtriangleup w)$	TOP-1↑	TOP-5↑	Dinc↓	Dface↓	
L_2	0.0	0.0	1.0	1.52	
$+S_{init}$	0.0	0.0	0.92	1.20	
$+S_{final}$	0.12	0.2	0.82	1.16	
$+T_{trans}$	0.16	0.28	0.88	0.92	
$+M_{arad}$	0.60	0.80	0.66	0.82	
$+N_{arad}$	0.72	0.76	0.74	0.72	
+Magad	0.88	0.96	0.72	0.72	

318 319 320

321

Table 1: a quantitative comparison of different components of FGL.

Adding S_{init} . Choosing multiple initial points enhances the robustness of FGL, resulting in a more stable attack. This approach mitigates the impact of random initial points and improves the success rate of the attacks.



Figure 3: In the ablation study, we qualitatively compared the effects of adding each proposed loss to the optimization objective function.

Adding S_{final} . By introducing S_{final} , we can select the best-performing result from multiple initial points as the final output.

Adding T_{trans} . Adding transformation operations to images can enhance their robustness. Among these operations, image cropping plays a major role by removing background interference and en-hancing the recognition accuracy of the target model.

Adding M_{arad} . We have improved the attack effectiveness by replacing the previous \mathcal{L}_2 -only approach with a novel gradient matching function. By employing a joint loss function, such as $\alpha_1 \mathcal{L}_2 + \alpha_2 Cosine + \alpha_3 \mathcal{L}_1$ as the gradient matching function, the optimization process aims to minimize not only the \mathcal{L}_2 distance but also maximize the cosine similarity close to one, while min-imizing the \mathcal{L}_1 distance. These combined optimization angles enable the points to approach global optima instead of being trapped in local optima.

Adding N_{arad} . Being able to attack images that are inherently difficult to attack, thereby increasing the overall attack success rate.

Adding M_{seed}. Taking into account the varying optimization difficulties among different seeds, the use of a multi-seed strategy provides an alternative perspective for enhancing the robustness of FGL.

Different network architectures. We investigate the impact of different network architectures on our method by conducting batch size 5 GIAs on ResNet-18 (He et al. (2016)), ResNet-152 (He et al. (2016)), and DenseNet-169 (Huang et al. (2017)), as shown in Figure 4. We observed that the shallowest model, ResNet-18, performed the best, followed by ResNet-152 with 152 layers, and the worst performance was exhibited by DensNet-169.



Figure 4: We conducted attacks on different network architectures and observed their visual perfor-mance.

4.3 COMPARISON WITH THE STATE-OF-THE-ART

Methon	Image Reconstruction Metric			
	Top-1↑	Top-5↑	$D_{inc}\downarrow$	$D_{face}\downarrow$
DLG	0.0	0.0	1.00	1.82
GI	0.0	0.0	0.31	1.68
Fishing	0.0	0.0	0.36	1.63
GIAS	0.0	0.0	0.32	1.62
FGL(Ours)	1.0	1.0	0.21	0.83

Table 2: GIAs on CelebA Dataset: A Comparative Study with State-of-the-Art Methods.



Figure 5: CelebA batch gradient inversion for ResNet-18 visual comparison with state-of-the-art methods.Our method outperforms others in terms of overall image quality and capturing fine details.

To ensure the optimal performance of the baseline method, we conducted attacks with a batch size of one. We summarize both qualitative (Figure 4) and quantitative results (Table 2). We also validated the performance of FGL on ResNet-152 and DenseNet-169 in Appx. 5. Additionally, to verify the effectiveness of FGL under various data distributions, we selected two extreme cases with entirely different data distributions for attack experiments in Appx. 5.

Attack Baselines. We compare our method against four existing approaches: (i) Deep Leakage from Gradients (DLG) (Zhu & Han (2020)), (ii) GradInversion (GI) (Yin et al. (2021)), (iii) Fishing for User Data (Fishing) (Wen et al. (2022)) and (iiii) GIAS (Jeon et al.). GIAS (iiii) constitutes a generative method, serving as a closely aligned baseline model to FGL. In line with FGL's attack configuration, we also employed StyleGAN, trained on the FFHQ dataset, to generate images suitable for the CelebA dataset, thereby simulating distribution shift scenarios. To ensure a fair

comparison, I employed a ResNet-18 model with an accuracy of 86.38% on the CelebA dataset as
the target model for each approach. I conducted an equivalent number of runs for the remaining
methods, following the same selection strategy as FGL, utilizing different random seeds each time.
The best-performing result among these runs was ultimately chosen as the conclusive outcome.

Through qualitative and quantitative comparisons, we can clearly observe FGL outperforms prior art both visually (Figure 4)) and numerically (Table 2) on the facial dataset. Previous methods rarely focused on attacking high-resolution facial images, and when we attempted to apply these methods to facial datasets, achieving remarkable attack performance was challenging. Among the four com-parative methods, only the GI (Yin et al. (2021)) and Fishing (Wen et al. (2022)) method managed to capture the outline of the images, providing a rough representation of facial features, albeit with incorrect positions and lacking details. The synthesized images from the other methods DLG (Zhu & Han (2020)) only consisted of indistinguishable pixels. Compared to similar methods (Jeon et al.) that did not demonstrate effective attacks when faced with distributional shifts, our proposed novel unified matching loss makes the optimization direction more explicit. This approach proves to be more adept at avoiding local optima. Additionally, employing multiple seed optimization strategies enables us to transcend the limitations of single-seed optimization. By incorporating a selection strategy, we can identify results that are more representative.



Figure 6: The impact of changing the batch size on different evaluation metrics. For the convenience of observing the variation trend of D_{inc} , we normalized it.

4.4 EFFECT OF SCALING UP THE BATCH SIZE

 In our method, we conducted attacks with a batch size 60 on randomly selected CelebA images. The data results are shown in Figure 6 and Figure 13, illustrating the effectiveness of our approach.

It can be observed that at batch size 20, some images prove challenging to attack, resulting in a decline in performance. However, as we increase the batch size to 30, the performance stabilizes and rebounds. Even with a batch size 60 attack, our method achieves a Top-1 accuracy of 0.483, demonstrating its consistent and strong performance.



Figure 7: Time cost of our method for GIAs at different batch size.

482 4.5 TEMPORAL COST ANALYSIS483

To evaluate the time cost, we conducted detailed statistics, as shown in Figure 7. DLG struggled to attack the CelebA dataset, so we used the Cifar-10 dataset where they performed well as an example. For DLG, attacking with a batch size of 4 required 1173 iterations and took approximately 17.56

minutes. On the other hand, GI required 10000 iterations and 23.99 minutes to attack the CelebA dataset with a batch size one, while Fishing required 24000 iterations and 23.17 minutes for the same setting. GIAS requires 4800 iterations to complete one attack, taking 24 minutes. However, for a batch size one attack under the same conditions, our method only took 2.58 minutes to complete.

Importantly, even when using a large batch size 60 for the attack, the required time cost did not exponentially increase but only amounted to 13.99 minutes. Our method consistently exhibited lower time costs at each stage compared to the other methods, emphasizing the efficiency advantage of our approach in attacks.

494 495 496

497

498

499

500

501

502

505

506

507

523

524

525

526

527

528

529 530

531

532

5 CONCLUSIONS

In this work, we proposed FGL, which allows for the rapid disclosure of a large amount of privacy information across different data distributions. These experimental findings underscore the significant potential of GAN-based GIAs in the field of privacy protection. We believe that our contributions will stimulate advancements in the field of privacy-preserving deep learning and contribute to the construction of more secure and privacy-aware deep learning systems.

- 503 504 REFERENCES
 - Anish Athalye, Logan Engstrom, Andrew Ilyas, and Kevin Kwok. Synthesizing robust adversarial examples. *Cornell University arXiv*, Jul 2017.
- Franziska Boenisch, Adam Dziedzic, Roei Schuster, AliShahin Shamsabadi, Ilia Shumailov, and
 Nicolas Papernot. When the curious abandon honesty: Federated learning is not private.
- Theodora Brisimi, Ruidi Chen, Theofanie Mela, Alex Olshevsky, and Ioannis Ch. Federated learning of predictive models from federated electronic health records.
- AndrewS. Brock, Jeff Donahue, and Karen Simonyan. Large scale gan training for high fidelity
 natural image synthesis. *International Conference on Learning Representations*, Sep 2018.
- Trishul Chilimbi, Yutaka Suzue, JohnsonT. Apacible, and Karthik Kalyanaraman. Project adam:
 building an efficient and scalable deep learning training system. *Operating Systems Design and Implementation*, Oct 2014.
- Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li, and Li Fei-Fei. Imagenet: A large-scale hierarchical image database. In 2009 IEEE Conference on Computer Vision and Pattern Recognition, Jun 2009. doi: 10.1109/cvpr.2009.5206848. URL http://dx.doi.org/10.1109/cvpr.2009.5206848.
 - Liam Fowl, Jonas Geiping, Wojtek Czaja, Micah Goldblum, and Tom Goldstein. Robbing the fed: Directly obtaining private data in federated learning with modified models.
 - Matt Fredrikson, Somesh Jha, and Thomas Ristenpart. Model inversion attacks that exploit confidence information and basic countermeasures. In *Proceedings of the 22nd ACM SIGSAC Conference on Computer and Communications Security*, Oct 2015. doi: 10.1145/2810103.2813677. URL http://dx.doi.org/10.1145/2810103.2813677.
 - Chong Fu, Xuhong Zhang, Shouling Ji, Jinyin Chen, Jingzheng Wu, Shanqing Guo, Jun Zhou, Alex Liu, and Ting Wang. Label inference attacks against vertical federated learning.
- Jonas Geiping, Hartmut Bauermeister, Hannah Dröge, and Michael Moeller. Inverting gradients how easy is it to break privacy in federated learning? *Neural Information Processing Systems*, Jan 2020.
- Ishaan Gulrajani, Faheem Ahmed, Martin Arjovsky, Vincent Dumoulin, and Aaron Courville. Improved training of wasserstein gans. *Cornell University arXiv*, Mar 2017.
- 539 Ali Hatamizadeh, Hongxu Yin, Holger Roth, Wenqi Li, Jan Kautz, Daguang Xu, and Pavlo Molchanov. Gradvit: Gradient inversion of vision transformers.

540 541 542	Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. Deep residual learning for image recog- nition. In 2016 IEEE Conference on Computer Vision and Pattern Recognition (CVPR), Jun 2016. doi: 10.1109/cvpr.2016.90. URL http://dx.doi.org/10.1109/cvpr.2016.90.
543 544 545 546 547	Zecheng He, Tianwei Zhang, and Ruby B. Lee. Model inversion attacks against collaborative in- ference. In <i>Proceedings of the 35th Annual Computer Security Applications Conference</i> , Dec 2019. doi: 10.1145/3359789.3359824. URL http://dx.doi.org/10.1145/3359789. 3359824.
548 549	Zhanhao Hu, Siyuan Huang, Xiaopei Zhu, Xiaolin Hu, Fuchun Sun, and Bo Zhang. Adversarial texture for fooling person detectors in the physical world.
550 551 552 553	Gao Huang, Zhuang Liu, Laurens Van Der Maaten, and Kilian Q Weinberger. Densely connected convolutional networks. In <i>Proceedings of the IEEE conference on computer vision and pattern recognition</i> , pp. 4700–4708, 2017.
554 555	Yangsibo Huang, Samyak Gupta, Zhao Song, Kai Li, and Sanjeev Arora. Evaluating gradient inversion attacks and defenses in federated learning. <i>arXiv: Cryptography and Security</i> , Dec 2021.
556 557 558	Jinwoo Jeon, Jaechang Kim, Kangwook Lee, Sewoong Oh, and Jungseul Ok. Gradient inversion with generative image prior.
559 560 561 562	SaiPraneeth Karimireddy, Satyen Kale, Mehryar Mohri, SashankJ. Reddi, SebastianU. Stich, and AnandaTheertha Suresh. Scaffold: Stochastic controlled averaging for federated learning. <i>International Conference on Machine Learning, International Conference on Machine Learning</i> , Jul 2020.
563 564 565 566 567	Tero Karras, Samuli Laine, and Timo Aila. A style-based generator architecture for generative adversarial networks. In <i>2019 IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR)</i> , Jun 2019. doi: 10.1109/cvpr.2019.00453. URL http://dx.doi.org/10.1109/cvpr.2019.00453.
568 569 570 571	Tero Karras, Samuli Laine, Miika Aittala, Janne Hellsten, Jaakko Lehtinen, and Timo Aila. Analyzing and improving the image quality of stylegan. In 2020 IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR), Jun 2020. doi: 10.1109/cvpr42600.2020.00813. URL http://dx.doi.org/10.1109/cvpr42600.2020.00813.
572 573 574	Tero Karras, Miika Aittala, Samuli Laine, Erik Härkönen, Janne Hellsten, Jaakko Lehtinen, and Timo Aila. Alias-free generative adversarial networks. <i>Cornell University - arXiv</i> , Jun 2021.
575 576	DiederikP. Kingma and Jimmy Ba. Adam: A method for stochastic optimization. arXiv: Learn- ing,arXiv: Learning, Dec 2014.
577 578 579 580	Jakub Konený, H.Brendan McMahan, FelixX. Yu, Peter Richtárik, AnandaTheertha Suresh, and Dave Bacon. Federated learning: Strategies for improving communication efficiency. <i>arXiv: Learning</i> , Oct 2016.
581	Alex Krizhevsky. Learning multiple layers of features from tiny images. Jan 2009.
582 583 584 585	Y. Lecun, L. Bottou, Y. Bengio, and P. Haffner. Gradient-based learning applied to document recognition. <i>Proceedings of the IEEE</i> , pp. 22782324, Jan 1998. doi: 10.1109/5.726791. URL http://dx.doi.org/10.1109/5.726791.
586 587 588	Tian Li, Anit Kumar Sahu, Ameet Talwalkar, and Virginia Smith. Federated learning: Challenges, methods, and future directions. <i>IEEE Signal Processing Magazine</i> , pp. 5060, May 2020. doi: 10. 1109/msp.2020.2975749. URL http://dx.doi.org/10.1109/msp.2020.2975749.
589 590 591	Zhuohang Li, Jiaxin Zhang, Luyang Liu, and Jian Liu. Auditing privacy defenses in federated learning via generative gradient leakage.
592 593	H.Brendan McMahan, Eider Moore, Daniel Ramage, Seth Hampson, and BlaiseAgueray Arcas. Communication-efficient learning of deep networks from decentralized data. <i>arXiv: Learning, arXiv: Learning, Feb</i> 2016.

594	Luca Melis, Congzheng Song, Emiliano De Cristofaro, and Vitaly Shmatikov. Exploiting unintended
595	feature leakage in collaborative learning. In 2019 IEEE Symposium on Security and Privacy
596	(SP), May 2019. doi: 10.1109/sp.2019.00029. URL http://dx.doi.org/10.1109/sp.
597	2019.00029.

- Meng Qu, Yoshua Bengio, and Jian Tang. Gmnn: Graph markov neural networks. *arXiv: Learning, arXiv: Learning, May 2019.*
- Alec Radford, Luke Metz, and Soumith Chintala. Unsupervised representation learning with deep convolutional generative adversarial networks. *Cornell University arXiv*, Nov 2015.
- Amirhossein Reisizadeh, Aryan Mokhtari, Hamed Hassani, Ali Jadbabaie, and Ramtin Pedarsani.
 Fedpaq: A communication-efficient federated learning method with periodic averaging and quantization. International Conference on Artificial Intelligence and Statistics, International Conference on Artificial Intelligence and Statistics, Sep 2019.
- Adam Sadilek, Luyang Liu, Dung Nguyen, Methun Kamruzzaman, Benjamin Rader, Alex Ingerman, Stefan Mellem, Peter Kairouz, Elaine O. Nsoesie, Jamie MacFarlane, Anil Vullikanti, Madhav Marathe, Paul Eastham, John S. Brownstein, Michael Howell, and John Hernandez. Privacy-first health research with federated learning. Dec 2020. URL http://dx.doi.org/10.1101/2020.12.22.20245407.
- Tim Salimans, Ian Goodfellow, Wojciech Zaremba, Vicki Cheung, Alec Radford, and Xi Chen.
 Improved techniques for training gans. *Cornell University arXiv*, Jun 2016.
- Florian Schroff, Dmitry Kalenichenko, and James Philbin. Facenet: A unified embedding for face recognition and clustering. In 2015 IEEE Conference on Computer Vision and Pattern Recognition (CVPR), Jun 2015. doi: 10.1109/cvpr.2015.7298682. URL http://dx.doi.org/10.1109/cvpr.2015.7298682.
- Reza Shokri, Marco Stronati, Congzheng Song, and Vitaly Shmatikov. Membership inference attacks against machine learning models. In 2017 IEEE Symposium on Security and Privacy (SP), May 2017. doi: 10.1109/sp.2017.41. URL http://dx.doi.org/10.1109/sp.2017.
 41.
 - Lukas Struppek, Dominik Hintersdorf, AntonioDeAlmeida Correia, Antonia Adler, and Kristian Kersting. Plug & play attacks: Towards robust and flexible model inversion attacks.
- Christian Szegedy, Vincent Vanhoucke, Sergey Ioffe, Jon Shlens, and Zbigniew Wojna. Rethinking
 the inception architecture for computer vision. In 2016 IEEE Conference on Computer Vision and
 Pattern Recognition (CVPR), Jun 2016. doi: 10.1109/cvpr.2016.308. URL http://dx.doi.
 org/10.1109/cvpr.2016.308.
 - Alysa Ziying Tan, Han Yu, Lizhen Cui, and Qiang Yang. Towards personalized federated learning. *IEEE Transactions on Neural Networks and Learning Systems*, pp. 117, Jan 2022. doi: 10.1109/tnnls.2022.3160699. URL http://dx.doi.org/10.1109/tnnls.2022.3160699.
- Kiaofei Wang, Yiwen Han, Chenyang Wang, Qiyang Zhao, Xu Chen, and Min Chen. In-edge ai: Intelligentizing mobile edge computing, caching and communication by federated learning. *IEEE Network*, pp. 156165, Sep 2019. doi: 10.1109/mnet.2019.1800286. URL http://dx.doi.org/10.1109/mnet.2019.1800286.
- Yuxin Wen, Jonas Geiping, Liam Fowl, Micah Goldblum, and Tom Goldstein. Fishing for user data in large-batch federated learning via gradient magnification. Feb 2022.
- Ruibin Xiong, Yunchang Yang, Di He, Kai Zheng, Shuxin Zheng, Chen Xing, Huishuai Zhang,
 Yanyan Lan, Liwei Wang, and Tie-Yan Liu. On layer normalization in the transformer architec ture. *arXiv: Learning,arXiv: Learning*, Feb 2020.
- 646

598

608

613

625

626

627

632

633

634

635

647 Jingjing Xu, Xu Sun, Zhiyuan Zhang, Guangxiang Zhao, and Junyang Lin. Understanding and improving layer normalization. *Cornell University - arXiv, Cornell University - arXiv*, Nov 2019.

648	Greg Yang Jeffrey Pennington Vinay Rao Jascha Sohl-Dickstein and SamuelS Schoenholz A
649	mean field theory of batch normalization. International Conference on Learning Representa-
650	tions, International Conference on Learning Representations, Jan 2019a.
651	

- Qiang Yang, Yang Liu, Tianjian Chen, and Yongxin Tong. Federated machine learning. ACM
 Transactions on Intelligent Systems and Technology, pp. 119, Mar 2019b. doi: 10.1145/3298981.
 URL http://dx.doi.org/10.1145/3298981.
- Hongxu Yin, Arun Mallya, Arash Vahdat, Jose M. Alvarez, Jan Kautz, and Pavlo Molchanov. See
 through gradients: Image batch recovery via gradinversion. In 2021 IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR), Jun 2021. doi: 10.1109/cvpr46437.2021.
 01607. URL http://dx.doi.org/10.1109/cvpr46437.2021.01607.
- Kiangming Zeng and Liangqu Long. Generative Adversarial Networks, pp. 553599. Jan 2022. doi: 10.1007/978-1-4842-7915-1_13. URL http://dx.doi.org/10.1007/978-1-4842-7915-1_13.
- Yuheng Zhang, Ruoxi Jia, Hengzhi Pei, Wenxiao Wang, Bo Li, and Dawn Song. The secret revealer:
 Generative model-inversion attacks against deep neural networks. In 2020 IEEE/CVF Conference *on Computer Vision and Pattern Recognition (CVPR)*, Jun 2020. doi: 10.1109/cvpr42600.2020.
 URL http://dx.doi.org/10.1109/cvpr42600.2020.00033.
- Bo Zhao, KondaReddy Mopuri, and Hakan Bilen. idlg: Improved deep leakage from gradients.
 arXiv: Learning, Jan 2020.
- Junyi Zhu and MatthewB. Blaschko. R-gap: Recursive gradient attack on privacy. *International Conference on Learning Representations*, May 2021.
- 672 Ligeng Zhu and Song Han. Deep Leakage from Gradients, pp. 1731. Jan 2020. doi: 10.1007/
 673 978-3-030-63076-8_2. URL http://dx.doi.org/10.1007/978-3-030-63076-8_
 674 2.