VAQUITA 📚 : ENHANCING ALIGNMENT IN LLM-Assisted Zero-Shot Video Understanding

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Paper under double-blind review

ABSTRACT

Recent advancements in language-model-based video understanding have been progressing at a remarkable pace, spurred by the introduction of Large Language Models (LLMs). However, the focus of prior research has been predominantly on devising a projection layer that maps video features to tokens, an approach that is both rudimentary and inefficient. In our study, we introduce a cutting-edge framework, VaQuitA, designed to refine the synergy between video and textual information. At the data level, instead of sampling frames uniformly, we implement a sampling method guided by CLIP (Radford et al., 2021)-score rankings, which enables a more aligned selection of frames with the given question. At the feature level, we integrate a trainable Video Perceiver alongside a Visual-Query Transformer (abbreviated as VQ-Former), which bolsters the interplay between the input question and the video features. We also discover that incorporating a simple prompt, "Please be critical.", into the LLM input can substantially enhance its video comprehension capabilities. Our experiments show that VaQuitA consistently sets a new benchmark for zero-shot video question-answering tasks and is adept at producing high-quality, multi-turn video dialogues with users. The code will be released.

1 INTRODUCTION

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The rise of deep learning tools for video interpretation has ushered in significant progress in videocentric tasks (Xu et al., 2021; Wang et al., 2022b; 2023). Yet, current models for video comprehension often falter when engaging in spontaneous discussions about video content (Zhong et al., 2022). A dialogue system rooted in video content can transform video searches, enhance monitoring techniques, and assist in summarizing pivotal events. Importantly, it offers a unified, accessible interface for video tasks, including action recognition, location identification, detection, retrieval, and tracking (Mu et al., 2023). This proficiency is especially noteworthy, highlighting the model's ability to understand temporal and spatial indications, grasp context, and perceive extended relationships (Liu et al., 2023d).

041 Existing research in Large Video Language Models (Yang et al., 2022; Zhang et al., 2023; Gao 042 et al., 2023; Li et al., 2023b; Maaz et al., 2023; Liu et al., 2023c) predominantly adopts a uniform 043 sampling strategy for frame selection. These models typically use a single projection layer to transfer 044 and align video semantic content into the token space. The resulting tokenized video embeddings are then concatenated with query embeddings and fed into Large Language Models for response generation. However, this straightforward approach fails to adequately guide the projection of video 046 features into specific text representations or sufficiently highlight which spatial or temporal aspects 047 of the video should be emphasized. Given the constraints of limited training data, this methodology 048 often leads to suboptimal performance in out-of-distribution video understanding tests (Maaz et al., 2023). In real-world scenarios, this can lead to perplexing errors in video conversation systems (Liu et al., 2023c). 051

To mitigate the above problems, we introduce VaQuitA, an innovative framework that redefines the approach to video and textual information integration. VaQuitA diverges from traditional methodologies by implementing a CLIP (Radford et al., 2021)-score guided frame sampling method. This 054 innovation allows for the selection of frames that exhibit a higher relevance to the input question, 055 thereby addressing the limitations of uniform frame sampling. The framework further advances the 056 interaction between video content and textual queries through the integration of a trainable Video 057 Perceiver. This component enhances the processing of video features, ensuring a more nuanced 058 understanding of the visual content. Complementing this is our Visual-Query Transformer (VQ-Former), which acts as a pivotal element in aligning the video features with the textual query, facilitating a more coherent and context-aware interplay. Furthermore, VaQuitA incorporates a novel 060 approach in its interaction with LLMs. By introducing a simple, yet effective prompt — "Please be 061 critical." — into the LLM input during testing, we notice a marked enhancement in the model's ca-062 pability to interpret video material. This refinement leads to a more critical and discerning analysis 063 by the LLM, enhancing its performance in complex video understanding tasks. 064

In summary, the main contributions of the paper are:

- We propose VaQuitA, a novel video understanding model that strengthens the alignment of text features and video features. The alignment lies in both the raw data level and the feature level, which enhances the fusion of question and video information, leading to stronger reasoning ability of the video question answering model.
 - We uncover the fact that adding an additional prompt, "Please be critical.", before the question can improve the understanding ability of VaQuitA.
 - Our proposed VaQuitA achieved state-of-the-art performance on the Zero-shot Video Question Answering task. It can also conduct top-notch multi-turn conversations.
- 076 2 RELATED WORKS

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We briefly summarize existing works in the related areas of video conversation, vision large lanugage models, and visual-text alignment.

080 **Video Conversation.** With the rapid development of LLMs, researchers begin to transfer their ex-081 traordinary reasoning abilities to the video conversation area (Song et al., 2023; Kim et al., 2024; Maaz et al., 2024; Liu et al., 2024). The SeViLA framework (Yu et al., 2023) leverages a sin-083 gle image-language model (BLIP-2 (Li et al., 2023a)) for both temporal keyframe localization and 084 question answering in videos, with a novel method of chaining modules for cascaded inference and 085 self-refinement without the need for expensive annotations. VideoChat (Li et al., 2023b) integrates foundational video models and LLM using a learnable neural interface, comprising two branches: 087 VideoChat-Text which textualizes videos in real-time, and VideoChat-Embed which encodes video 880 into embeddings using Video Foundation Models and Token Projection; the processed video content and questions are then passed to the LLM for generating answers. Video-LLaMA (Zhang et al., 089 2023) employs a multi-branch cross-modal pre-training approach, effectively achieving alignment between vision-language and audio-language. Nevertheless, both these two approaches have lim-091 ited ability to handle long videos and have no quantitative results. Different from these, Video-092 ChatGPT (Maaz et al., 2023) develops a multimodal model that merges a video-adapted visual encoder with a large language model, capable of understanding and generating detailed conversations 094 about videos, supported by a novel dataset of 100,000 video-instruction dataset for video-based di-095 alogue. More recently, BT-Adapter (Liu et al., 2023c) method extends image-language pretrained 096 models into the video domain by acting as a plug-and-use temporal modeling branch next to the 097 pretrained visual encoder, which is fine-tuned with the main backbone remaining unchanged. De-098 spite the progress, the current video conversation capability is still limited due to the insufficient 099 exploitation of question and video interplay.

100 Vision Large Language Models. Recent progress in computer vision has been propelled by the 101 emergence of groundbreaking vision-language models. These models mark a considerable step for-102 ward in developing versatile vision models that can handle multiple tasks at once (Gupta et al., 103 2022; Maaz et al., 2022). A standout model in this realm is CLIP (Radford et al., 2021), trained 104 on 400 million image-text pairs, showcasing exceptional zero-shot capabilities across many bench-105 marks. In more recent times, Flamingo (Alayrac et al., 2022) is a new family of Visual Language Models designed to rapidly adjust to novel tasks using a minimal number of annotated examples. 106 It proposes perceiver resampler and gated cross-attention architectures, enabling its superior few-107 shot learning capabilities by training on large-scale multimodal web datasets with mixed text and



Figure 1: Framework overview. In response to a specific question, our framework begins by pro-127 cessing the input video with a sampling module that identifies key frames based on their relevance 128 to the question's context. These frames are then processed by a pre-trained visual encoder to obtain 129 spatio-temporal features. These features are subsequently refined into condensed embeddings by 130 our newly developed Video Perceiver. In parallel, the question undergoes tokenization. Both the 131 video and text embeddings are then synergized using our Visual-Query Transformer, which aligns the multimodal information more effectively. The resulting text-influenced video features are con-132 catenated with the text embeddings and fed into the Large Language Model to generate the answer. 133 During the testing phase, we propose to add an additional prompt, "Please be critical.", before the 134 question for performance enhancement. The whole framework supports end-to-end training. 135

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images. BLIP-2 (Li et al., 2023a) represents an effective method for pre-training that leverages existing image encoders and language models that have undergone pre-training, connecting them using a lightweight Querying Transformer in two stages: the vision-language representation and visionto-language generative training. While some of these models are compatible with both images and videos, videos that are minute-level are yet challenging to process with input questions for accurate answers, and there is a growing demand for a robust large video-language model.

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146 **Visual-Text Alignment.** Contemporary progress in aligning visual-text features primarily re-147 volves around the concept of harmonizing multimodal features originating from various representational spaces. The foundational work (Duan et al., 2022) highlighted the challenges of align-148 ing evolving features during training. Progressing from this, the Multi-Modality Cross Attention 149 Network (Wei et al., 2020) and the "MVPTR" framework (Li et al., 2022b) both emphasized the 150 significance of fine-grained feature alignment and cross-modal interactions, illustrating a shift to-151 wards more sophisticated semantic alignment tasks. Further innovations in multimodal fusion 152 were proposed through CentralNet (Vielzeuf et al., 2018) presenting a multilayered integration ap-153 proach, and ADAPT (Lin et al., 2022) which introduced dynamic action-based context alignment 154 for Vision-Language Navigation, showcasing the practical application of alignment in autonomous systems. These developments culminated in the Multimodality-guided Visual Pre-training (MVP) 156 approach (Wei et al.), which leveraged large-scale image-text datasets to refine the alignment pro-157 cess, marking a significant step forward in pre-training methodologies. Contrasting with existing 158 approaches, our method enforces the alignment of video and text embeddings through a novel video feature resampling network and a bespoke cross-attention module tailored for the LLM input space. 159 The resampling module enhances the alignment in the input raw data level and the cross-attention 160 module strengthens the alignment in the feature learning level. Our approach represents an innova-161 tive direction in the field of large vision language modeling.

162 VAQUITA FRAMEWORK 3

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Our proposed VaQuitA framework consists of three novel components: Data Alignment module (Sec. 3.1), Feature Alignment module (Sec. 3.2), and test-time Prompt Engineering (Sec. 3.4). The 166 entire pipeline is illustrated in Fig. 1.

168 3.1 DATA ALIGNMENT

170 Existing methodologies typically employ a uniform sampling approach to extract frames for video conversation (Maaz et al., 2023; Bhattacharya et al., 2023; Yang et al., 2023a) or video understand-171 ing in general (Lin et al., 2019; Li et al., 2022a; Wang et al., 2022a). Such uniform sampling method, 172 while straightforward, often results in the loss of critical information contained in the frames that 173 are not selected, affecting the model's ability to understand videos effectively. To address this limi-174 tation, we present a new method in our VaQuitA that leverages the semantic similarity between the 175 video frames and the question prompt for frame selection. This technique ensures a more congruent 176 alignment between the features of the question and those of the frames at the raw data level. We 177 refer to this as the "Data Alignment" module. 178

179 **CLIP Feature Similarity-based Frame Selection for Training.** Given the input video of L frames in total, instead of getting a certain number of frames with only uniform sampling, we also 181 select frames based on the similarity between the frame features and the input query. Suppose we sample T frames in total, we propose to select $\frac{T}{2}$ frames uniformly over the temporal dimension and 182 another $\frac{T}{2}$ frames using the similarity-based approach. Specifically, we extract the text feature of 183 the query using CLIP model, denoted as f_{query} , and the visual features of the remaining frames that are not selected as $\{f_{video}^1, f_{video}^2, \cdots, f_{video}^{L-\frac{T}{2}}\}$, the similarity is calculated as 185

$$\text{Cosine-Similarity}(f_{\text{query}}, f_{\text{video}}^{i}) = \frac{f_{\text{query}} \cdot f_{\text{video}}^{i}}{\|f_{\text{query}}\|_{2} \times \|f_{\text{video}}^{i}\|_{2}}, \tag{1}$$

189 and we select the indices of the top $\frac{T}{2}$ values. The motivation is that uniform sampling will lead to 190 information loss due to its non-adaptivity, and by employing the proposed similarity-based approach, 191 frames that are most related to the question will be selected, improving representation learning abil-192 ity. Our proposed sampling strategy is different from some more recent works, e.g., using motion 193 importance (Zhi et al., 2021), and dynamic sampling (Zheng et al., 2020), which are based on the 194 inherent properties of the video frame statics. Our sampling approach makes the first attempt to em-195 ploy the prompt-frame similarity as guidance for frame sampling, which bridges the two modalities 196 for effective modeling.

Uniform Sampling for Testing. Our proposed sampling method is implemented during the train-199 ing phase of our model. For the testing or inference stage, we revert to uniform sampling due to efficiency considerations and the need for speed in real-world applications. This approach is enough 200 to demonstrate satisfactory performance in our experimental evaluations. We supplement an exam-201 ple in the supplementary showing that our sampling approach can improve testing performance as 202 well compared with uniform sampling, despite being slower. 203

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3.2 FEATURE ALIGNMENT

206 Visual data are regarded as the reflection and capture of the physical world while text data can be 207 seen as the abstract of the understanding of the world and the fundamental logic (LeCun, 2022). 208 The successful alignment of visual and textual information is significant for an intelligent system to 209 work appropriately. Instead of directly concatenating the tokenized text and visual features to put 210 into LLM (Liu et al., 2023b; Maaz et al., 2023; Zhang et al., 2023; Chen et al., 2023; Liu et al., 211 2023c), we propose a novel Visual-Query Transformer, abbreviated as VQ-Former, to produce text-212 guided video embeddings before concatenation with the text embeddings. The inspiration comes 213 from recent work on visual-text pretraining (Li et al., 2023a; Alayrac et al., 2022), and the illustration of the architecture is provided in Fig. 2. Notice that although the self-attention mechanism in LLM 214 already interacts text tokens with visual tokens to some extent by treating visual tokens as language 215 tokens, our proposed feature alignment module treat text and video features as different domain



Figure 2: **Feature alignment**. The extracted spatio-temporal features of the video clip first go through Video Perceiver for representative embedding extraction, and are afterwards sent to Visual-Query Transformer for interleaving with text embeddings.

features through cross-attention operations. This practice enhance the input visual token qualities by computing attention and attending over input query tokens.

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3.2.1 VIDEO PERCEIVER

Given a sampled video snapshot, we first apply a pretrained CLIP model to extract semantic features 237 238 for each frame. Suppose the extracted spatio-temporal feature embeddings are $F \in \mathbb{R}^{T \times n \times d}$, where T is the sampled frame number, n is the number of features for each frame, *i.e.*, the patch num-239 ber for CLIP model, and d denotes the dimension of feature. To facilitate the alignment with text 240 embeddings and input into the LLM, we need to resample and reduce the number of video features 241 for computation feasibility. Inspired by Perceiver Resampler (Alayrac et al., 2022), we put forward 242 Video Perceiver which transforms the spatio-temporal visual attributes into a number of learned out-243 put tokens. The spatio-temporal features are first added by Time Encodings of shape $T \times 1 \times d$ 244 to store the sequence order information and then flattened to shape $Tn \times d$ for the cross-attention 245 module dimension match. This cross-attention module employs a collection of learned latent vec-246 tors to query (Q), while the keys (K) and values (V) combine the flattened spatio-temporal visual 247 attributes with these learned latent vectors. The shape of the learned latent embeddings is $m \times d$, 248 where m denotes the number of latent embeddings. The weights of the learned latent embeddings are randomly initialized. Following transformer (Vaswani et al., 2017), feed-forward networks and 249 residual connections are added for efficient modeling. The output embedding shape remains the 250 same as the input learnable embeddings, *i.e.*, $m \times d$. We use p to denote the number of layers of the 251 Video Perceiver. 252

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3.2.2 VISUAL-QUERY TRANSFORMER

The input question goes through a text tokenizer and a text token embedding layer and turns into query embeddings, which, together with the learnable embeddings output of the video perceiver, are sent into VQ-Former. The layers derive their queries from vision features, whereas the keys and values originate from the language inputs. Visual-Query Cross-Attention layer is applied for the query feature (denoted as $X \in \mathbb{R}^{l \times d_{text}}$) and video feature (denoted as $M \in \mathbb{R}^{m \times d}$) interleaving, where l is the length and d_{text} is the text embedding dimension.

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Visual-Query Cross Attention. In the Visual-Query Cross Attention layer, we adopt a multihead mechanism as in Transformer (Vaswani et al., 2017). We denote the head index as h and the inner feature dimension of each head as d_h . Given the input learned video feature, we first apply Layer Normalization (Ba et al., 2016) and denote the normalized one as M. Note that although Mis learned, the existence of the Time Encodings guarantees that the temporal information of input frames is kept. Then we have the Q, K, Vs for each head calculated as

$$Q^{(h)} = MW_Q^{(h)}/s_q, K^{(h)} = XW_K^{(h)}, V^{(h)} = XW_V^{(h)},$$
(2)

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$$O_a^{(h)} = \text{Softmax}(Q^{(h)}K^{(h)^{\top}})V^{(h)}W_O^{(h)}, \tag{3}$$

where $W_Q^{(h)} \in \mathbb{R}^{d \times d_h}$, $W_K^{(h)} \in \mathbb{R}^{d_{text} \times d_h}$, $W_V^{(h)} \in \mathbb{R}^{d_{text} \times d_h}$ and $W_Q^{(h)} \in \mathbb{R}^{d_h \times d_{text}}$ are learnable weight parameters of head h. s_q is a scaler representing the scale parameter, and h represents the head index. Denoting the Visual-Query Cross Attention layer output as O_a , we have that O_a is the multi-head concatenation of each head output:

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$$D_a = \operatorname{Concat}(O_a^{(1)}, \cdots, O_a^{(H)}), \tag{4}$$

where H denotes the head number. The dot product attention computation aligns the semantics of the video embedding M and query embedding X, contributing to the selection and learning of the visual features more relevant with the question. The multi-head design enables the exploration of the weight parameters in more feature subspaces for superior representation learning (Vaswani et al., 2017).

VQ-Former Overview. We use Cross_Attn to denote the Visual-Query Cross Attention, and the entire procedure of our VQ-Former can be written as:

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$$O_a = \text{Cross}_\text{Attn}(M, X), M' = O_a \cdot \tanh(g_{\text{attn}}) + MW_M, \tag{5}$$

$$O_f = \text{Feed}_F \text{orward}(M'), M'' = O_f \cdot \tanh(g_{\text{ff}}) + M'.$$
(6)

288 Here the learnable parameter $W_M \in \mathbb{R}^{d \times d_{text}}$ is applied to transform the dimension of the video 289 representative features into token feature dimension for the residual architecture. Here tanh denotes 290 Hyperbolic Tangent function and Feed_Forward denotes a Feed Forward net block containing 2 291 linear layers with Layer Normalization and GELU (Hendrycks & Gimpel, 2016) activation layer. 292 g_{attn} is Attention Gate and g_{ff} is FeedForward Gate, which are both learnable scalar parameters bor-293 rowed from Flamingo (Alayrac et al., 2022) for improved stability and performance. Eventually, the output question-interacted video features M'' are input to the LLM together with the input question 294 embeddings. Different from the existing visual-text interleaving architectures, e.g., Q-Former (Li 295 et al., 2023a) or Gated Cross-Attention layer (Alavrac et al., 2022), our VO-Former converts vi-296 sual features to Queries and text features to Keys and Values for attention value computation. The 297 underlying rationale of our approach is to utilize the information from the query as a directive to 298 enhance the learning of pivotal visual embeddings. This is significantly different from existing 299 literature where visual features are converted to Keys and Values and text features are con-300 verted to Queries (Alayrac et al., 2022; Li et al., 2023a). Also, the output of the VQ-Former is 301 concatenated to the question, which is also different from existing works where the output is directly 302 sent to the Language Models.

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3.3 END-TO-END TRAINING

Our VaQuitA supports end-to-end training: the trainable parameters include the Text Token Embedding Layer, the VQ-Former, and the Video Perceiver. The visual encoder (CLIP) and the Large Language model weights are derived from pretrained weights and are frozen during our training. The CLIP model employed to extract f_{query} and f_{video} is also frozen during the training. We employ the standard smoothed Negative Log-Likelihood Loss in NLP literature.

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3.4 PROMPT ENGINEERING

Prompt engineering (Wei et al., 2022; Zhou et al., 2022; Gu et al., 2023) refers to the systematic 315 design and modification of input prompts to guide machine learning models, particularly pretrained 316 LLMs, to produce desired or more accurate outputs. The essence of this technique is rooted in the 317 understanding that the input provided to a model doesn't merely serve as a query but also as a form 318 of soft guidance, potentially shaping the model's behavior and outputs. In our experiments, we are 319 excited to discover that in the testing phase, if we add a prompt "Please be critical." before the 320 question, zero-shot question answering performance can be significantly and consistently improved. 321 This might imply an intriguing principle that, unlike in question answering in NLP the models are demanded to be calmer or more organized (Kojima et al., 2022; Yang et al., 2023b), the model needs 322 to be more critical or judgmental for video question answering tasks. An ablation study on the 323 prompts is carried out in Sec. 4.3.2 which verifies the implication.

Table 1: Zero-Shot question-answering performance comparison of VaQuitA with other models. Our VaQuitA demonstrates SOTA performance across all examined datasets.* denotes the results reported in Maaz et al. (2023) and [†] denotes the results reported in Liu et al. (2023c). The best performance in **bold** and the second best <u>underlined</u>.

Model	MSV	D-QA	MSRVTT-QA		Activity Net-QA	
	Acc. (†)	Score (†)	Acc. (↑)	Score(↑)	Acc.(↑)	Score (†)
FrozenBiLM* (Yang et al., 2022)	32.2	_	16.8	-	24.7	-
VideoLLaMA [†] (Zhang et al., 2023)	51.6	2.5	29.6	1.8	12.4	1.1
LLaMA-Adapter [†] (Gao et al., 2023)	54.9	3.1	43.8	2.7	34.2	2.7
Video Chat* (Li et al., 2023b)	56.3	2.8	45.0	2.5	26.5	2.2
Video-ChatGPT* (Maaz et al., 2023)	64.9	3.3	49.3	2.8	35.2	2.7
BT-Adapter [†] (Liu et al., 2023c)	67.0	3.6	51.2	2.9	46.1	3.2
LLaMA-VID (Li et al., 2023c)	70.0	3.7	58.9	<u>3.3</u>	47.5	3.3
Vista-LLaMA (Ma et al., 2023)	65.3	3.6	60.5	<u>3.3</u>	48.3	3.3
Video Chat 2 (Li et al., 2024)	70.0	3.9	54.1	<u>3.3</u>	<u>49.1</u>	3.3
Video-LaVIT (Jin et al., 2024)	73.2	3.9	59.3	<u>3.3</u>	50.1	3.3
Video-LLaVA (Lin et al., 2024)	70.7	3.9	59.2	3.5	45.3	3.3
VaQuitA (Ours)	74.6	3.7	68.6	<u>3.3</u>	48.8	3.3

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4 EXPERIMENTS

In the experimental implementation, we employ Llama 2 (7B) (Touvron et al., 2023b) as the foun-344 dational LLM backbone and initialize its weight using the weights of LLaVA-1.5 (Liu et al., 2023a). 345 We fine-tune the trainable parameters in VaQuitA using the video instruction dataset VideoInstruct-346 100K¹ (Maaz et al., 2023), comprising roughly 100,000 pairs of video instructions. The fine-347 tuning phase spans three epochs, utilizing a step size of value 2e-5 and a total batch size of 348 value 32. For fair comparison, we keep the data-level hyperparameters as the same in literature: 349 $T = 100, d = 1024, d_{text} = 4096$. We employ the "clip-vit-large-patch14" CLIP version for video 350 feature extraction. Specifically, for the sampling-period features f_{query} and f_{video} , we use the last 351 layer of the CLIP model with dimension 768. For the video feature extraction before the video 352 perceiver, we utilize the last but one layer of CLIP with patch number n = 256 and feature dimension d = 1024. We chose m = 356 in Video Perceiver, which is the same as the dimension after 353 spatio-temporal pooling in Video-ChatGPT (Maaz et al., 2023) for a fair comparison. The perceiver 354 depth is set as p = 1. For all the attention blocks in both Video Perceiver and VQ-Former, we set 355 $d_h = 64, H = 8$ and scale parameter $s_q = 8$. All the training experiments are conducted on eight 356 A100 80GB GPUs. For testing, one GPU with 15 GB GPU memory is sufficient.

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4.1 ZERO-SHOT VIDEO QUESTION ANSWERING

360 We carry out an exhaustive quantitative assessment using several prevalent open-ended video 361 question-answer datasets, encompassing MSRVTT-QA (Xu et al., 2017), MSVD-QA (Xu et al., 362 2017), and Activity Net-QA (Yu et al., 2019). Following Maaz et al. (2023), the assessments are performed in a zero-shot setting, utilizing GPT-guided evaluation to gauge the model's proficiency. 364 This assessment method calculates the precision of the model's predicted outputs (accuracy) and 365 ranks them on a 1-5 scale (score). To ensure a fair comparison with the baselines, we employ Azure 366 GPT-3.5-turbo API for evaluation, which is consistent with Maaz et al. (2023). Our VaQuitA's efficacy is juxtaposed with other notable models, namely FrozenBiLM (Yang et al., 2022), Vide-367 oLLaMA (Zhang et al., 2023), LLaMA-Adapter (Gao et al., 2023), Video Chat (Li et al., 2023b), 368 Video-ChatGPT (Maaz et al., 2023), BT-Adapter (Liu et al., 2023c), LLaMA-VID (Li et al., 2023c), 369 Vista-LLaMA (Ma et al., 2023), Video Chat 2 (Li et al., 2024), Video-LaVIT (Jin et al., 2024) and 370 Video-LLaVA (Lin et al., 2024). From Table 1, we can draw the conclusion that VaQuitA achieves 371 state-of-the-art performance in both accuracy and score across the three benchmark datasets. 372

373 4.2 MULTI-ROUND CONVERSATION

The experiments conducted predominantly address scenarios involving a singular question and answer. However, in practical applications such as Copilot or assistants for industrial products, the capacity for multi-round conversations is crucial for user experience. To evaluate this aspect, we

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¹https://huggingface.co/datasets/MBZUAI/VideoInstruct-100K

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Figure 3: Given a video clip of a man lifting weights, we ask questions on what the man is doing and
whether he is doing Clean and Jerk or Snatch. Our VaQuitA answers both the questions correctly.
While the baseline Video-ChatGPT (Maaz et al., 2023) generates a repetitive answer to the first
question, seeming somewhat chaotic, and fails to discriminate that the man is doing a Clean and
Jerk, rather than Snatch.

Table 2: Ablation of the components of VaQuitA. FA, DA, and PE signify Feature Alignment, Data Alignment, and Prompt Engineering. A. denotes accuracy and S. demotes score. Table 3: Comparison of our cross-attention computation approach and the traditional approach. Here t-Q-v-KV denotes that text features serve as queries and video features serve as keys and values; v-Q-t-KV denotes that video features serve as queries and text features serve as keys and values. A. denotes accuracy and S. denotes score.

FA DA	PE	MSVD		MSRVTT		Activity		
		A.	S.	A.	S.	А.	S.	
×	X	X	65.1	3.3	49.9	2.8	42.5	3.0
X	X	\checkmark	65.8	3.3	50.5	2.9	43.9	3.1
X	\checkmark	X	64.5	3.2	50.8	2.9	44.9	3.1
Х	\checkmark	\checkmark	65.9	3.3	52.8	3.0	45.7	3.1
✓	X	X	70.8	3.5	59.7	3.1	47.4	3.1
✓	X	\checkmark	71.0	3.5	60.3	3.1	47.8	3.2
✓	\checkmark	X	74.4	3.7	68.5	3.3	47.7	3.3
✓	\checkmark	\checkmark	74.6	3.7	68.6	3.3	48.8	3.3

MSVD MSRVTT Activity -v-KV v-O-t-KV DA PE A. S. S. S. А. A. × × 3.3 52.7 2.9 2.9 66.8 44.3 70.8 (+4.0)3.5 (+0.2)59.7 (+7.0) 3.1 (+0.2)47.4 (+3.1)3.1 (+0.2) 7 67.4 3.3 54.1 3.0 45.2 3.0 71.0 (+3.6)3.5 (+0.2)60.3 (+6.2) 3.1 (+0.1)47.8 (+2.6)3.2 (+0.2) X 56.2 67.9 3.4 3.0 45.3 3.0 74.4 (+6.5)3.7 (+0.3)68.5 (+12.3)3.3 (+0.3)47.7 (+2.4)3.3 (+0.3) 68.2 3.4 56.5 3.0 45.8 74.6 (+6.4)3.7 (+0.3)68.6 (+12.1)3.3 (+0.3)48.8 (+3.0)3.3 (+0.2)

compare the multi-round conversation capabilities of VaQuitA with one of the baselines Video-ChatGPT (Maaz et al., 2023). As depicted in Fig. 3, VaQuitA demonstrates consistently more accurate and comprehensive conversational abilities compared to Video-ChatGPT. This highlights
VaQuitA's potential for industrial applications. More video dialogue examples are provided in the supplementary.

419 4.3 ABLATION STUDIES

4.3.1 ABLATION OF THE COMPONENTS OF VAQUITA

422 We perform ablation studies w.r.t. the compo-423 nents of VaQuitA including the Data Align-424 ment, Feature Alignment, and Prompt Engi-425 neering. We conduct experiments on all three 426 Video Question Answering datasets. We also 427 list the baseline results using Llama 2 as the 428 LLM backbone without all three modules, in which one MLP layer is used to project the vi-429 sual embeddings to the token space as Maaz 430 et al. (2023). Tab. 2 shows that Data Align-431

Table 4: Ablation of Video Perceiver and VQ-Former in FA.

Dataset	VPVO	Q-Former	r A	Acc.	(†)	Scor	re (†)
	X	X	65.3	/51.0	0/45.	73.2/2	.9/3.1
MSVD/MSRVTT/Activit	v 🗸	×	68.5	/59.4	4/46.	93.4/3	.1/3.2
	×	\checkmark	70.9	/62.3	3/47.	43.5/3	.1/3.2
	\checkmark	\checkmark	74.6	/68.	6/48.	83.7/3	.3/3.3

ment, Feature Alignment, and Prompt Engineering all contribute to zero-shot video QA perfor-

432 mance. On one hand, the performance of merely adopting Feature Alignment performs better than 433 merely adopting Data Alignment without Prompt Engineering, implying that feature-level learning 434 is comparatively more significant than input data selection for our task. On the other hand, with 435 Prompt Engineering, the model will degrade a lot without Data Alignment. In addition, Prompt 436 Engineering improves the performance in all cases. We also conduct experiments ablating the Video Perceiver (VP) and VQ-Former respectively. When ablating VP, we directly pool the CLIP features 437 along spatial dimension and temporal dimension and then concatenate the features as Maaz et al. 438 (2023) does. As is shown in Tab. 4, both VP and VQ-Former contribute much to the performance 439 and the combination of them leads to the best results. We further compare our strategy of converting 440 video features to Quries and prompt features to Keys and Values with the strategy of converting 441 prompt features to Queries and video features to Keys and Values. The architecture is kept the same 442 and the results in Tab. 3 indicate that our approach manifests obvious superiority whether DA or 443 PE is used consistently. This largely arises from the fact that because the output of the cross-444 attention layer is concatenated with the prompt tokens to be sent to LLM, video is the primary 445 context for which we want to enhance or refine representations using information from textual 446 modality. Therefore video features should serve as Queries while text features serve as Keys and 447 Values.

448 4.3.2 Ablation of Hyperparameters 449

We further study the effects of changing the hyperparameter values in our VaQuitA framework.
 We conduct the ablation studies on the Activity Net-QA testing dataset.

452 Similarity-based Sampling Frame Number. 453 We employed a mixed strategy of sampling to 454 focus on question-related frames while look-455 ing broadly for performance stability. CLIP features are not perfect and it is likely that 456 the CLIP-similarity selected frames are not the 457 places of interest. We provide additional exper-458 iments by changing the similarity-based sam-459

Table 5:	Ablation	of	similarity-based	sampling
frame #.				

Length	0	20	40	60	80	100
Acc. (↑)	47.8	48.2	48.5	49.0	48.1	47.5
Score (↑)	3.2	3.3	3.3	3.3	3.3	3.2

pling frame number. As shown in Tab. 5, sampling completely uniformly or completely based on
 CLIP feature similarities gives the inferior performance. This means that the model should both
 look broadly and focus on certain frames of interest across the temporal dimension to reach
 the best performance in the data input phase.

⁴⁶⁴ Video Perceiver Depth & Pretrained Model.

465 We try using multiple layers in Video Per-466 ceivers and using the LLaMA (Touvron et al., 467 2023a) model with weight initialization from LLaVA (Liu et al., 2023b). As illustrated in 468 Fig. 4, the accuracy of VaQuitA drops when 469 the layer number p of the Video Perceiver in-470 creases for both LLaMA and Llama 2 back-471 bone. This might largely result from the small 472 training epoch we use and the limited size of 473 training data. For the LLM weights initialized 474 from LLaVA and LLaVA-1.5, we find that the 475 performance gap is not as large as expected, and 476 using LLaMA (LLaVA-1.5) pretrained weights



Figure 4: Performance on Activity Net-QA (Yu et al., 2019) using pretrained LLama 2 (Touvron et al., 2023b) and LLaMA (Touvron et al., 2023a).

with one layer in Video Perceiver even achieve 50.8 accuracy on Activity Net-QA dataset. On the
other hand, models initialized using LLama 2 are obviously more robust to the perceiver depth and
are significantly better in relative score evaluation.

Prompt Engineering Design. We ablate the prompt added before the question. We compare our designed prompt with two popular instruction prompts in the NLP filed: "Take a deep breath and work on this problem step-by-step." (Yang et al., 2023b) and "Let's think step by step." (Kojima et al., 2022). We also compare with another prompt "Look carefully before answering." and indicate the performance when not adding a prompt. From the accuracy and score results shown in Fig. 5a, we can draw the conclusion that our designed prompt, "Please be critical.", performs the best with both the highest accuracy and the highest score. "Let's think step by step." (Kojima et al., 2022)



Figure 5: Accuracy and score results on Activity Net-QA (Yu et al., 2019) dataset of different prompt designs.

improves the performance slightly while "Take a deep breath and work on this problem step-bystep." (Yang et al., 2023b) degrades the performance. We conduct additional experiments with different prompts that have less than or equal to 3 words: "Please be careful." (pbc), "Please be thoughtful." (pbt), "Be critical." (bcr), "Be thoughtful." (bt), "Be careful." (bca), "Listen." (l). As shown in Tab. 5b, our prompt "Please be critical." exhibits the best performance. Also, "Be critical." also exhibits nearly excellent performance, which implies that the word "critical" is significant.

5 CONCLUSION AND LIMITATION

Our proposed VaQuitA represents a significant stride in video understanding. By moving away from traditional frame sampling methods and adopting a CLIP-score guided technique, we have achieved a more nuanced and effective integration of video frame and text data. The innovative combination of a trainable video perceiver with a visual-query transformer mechanism allows for a dynamic interplay between video features and input questions, further augmented by the strategic use of prompts. The results clearly demonstrate that VaOuitA not only excels in zero-shot video question-answering tasks but also in generating coherent and contextually rich multi-turn video dialogues. Our VaQuitA therefore sets a new standard for LLM-based video understanding.

One limitation of our work is the reliance of the model on pre-trained models like CLIP (Radford et al., 2021) for feature extraction, which might limit the framework's adaptability to other domains or tasks where such pre-trained models are not available or effective. There are two solutions: one is to train the vision encoder weights as well in the instruction tuning, and the other is to pre-train the vision encoder using large-scale video/image text pairs related to the target domains or tasks. We leave the adaption to other tasks of our model without using fixed CLIP encoder for future work.

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VaQuitA 🔀: Enhancing Alignment in LLM-Assisted Zero-shot Video Understanding

Supplementary Material

6 RAW VIDEOS OF SEC. 4.2

We supplement the raw videos of the two examples in Sec. 4.2 of the main paper, namely "multi_round_example_1.mp4" and "multi_round_example_2.mp4". They are chosen from the test set of ActivityNet-200 (Caba Heilbron et al., 2015) dataset.

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7 VAQUITA ASSISTANT DEMO

717 We also provide a video demo recording of our VaQuitA Assistant on Gradio (Abid et al., 2019) 718 ("VaQuitA_demo.mp4"). The three example videos are chosen from the test set of TGIF (Li et al., 719 2016), Social-IQ 2.0 and ActivityNet-200 (Caba Heilbron et al., 2015) datasets. The videos are 720 about a boy falling down the skateboard on a ramp, a doctor and patient talking to each other in the 721 hospital and a man shaving himself in the bathroom, respectively. We show in our demo recording 722 that the VaQuitA Assistant is able to generate high-quality multi-round conversations at a high 723 responding speed. It is able to precisely summarize the content of a video, identify the relationships between characters and events, and pinpoint locations. 724

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8 TEST-TIME DATA ALIGNMENT

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The illustration of our proposed frame sampling approach is shown in Fig. 6. We conduct an addi-729 tional experiment using our proposed sampling approach in Sec. 3.1 during the inference stage. We 730 use the Video-ChatGPT (Maaz et al., 2023) trained model and only change the sampling way in in-731 ference. The baseline is uniform sampling. Given a video clip of MSNBC news report (video given 732 at "test_time_da_example.mp4"), we ask a video question: "During the movie, there is a video clip 733 with flying animals. What is the flying animal, bird or bat?" for 3 independent times. The correct answer is "bat", which corresponds to 2:16-2:22 time stamp of the video. For uniform sampling, 734 the model answers: "The flying animal in the video is a bird." for 3 times, which is wrong; for our 735 proposed sampling method, the model answers: "The flying animal in the video is a bat" for 3 times, 736 which is correct. 737

738 This superiority of our Data Alignment module mainly results from the CLIP Feature Similarity-739 based Frame Selection component, which is verified by checking the selected frames. We 740 supplement the directories of the sampled frame of uniform sampling and our data alignment sampling method. The sampled frames using uniform sampling are stored under directory 741 "uniform_sampled_frames" and the sampled frames using our proposed sampling method are under 742 directory "ours_sampled_frames". We can see that the uniform sampling only samples one frame 743 ("frame_4223.jpg") related to the question, while our proposed sampling method samples 13 related 744 frames ("frame4197.jpg", "frame4198.jpg", "frame4201.jpg", "frame4206.jpg", "frame4207.jpg", 745 "frame4247.jpg". 746

"frame4280.jpg", "frame4281.jpg", "frame4282.jpg", "frame4287.jpg",

"frame4197.jpg", "frame4288.jpg", "frame4289.jpg", "frame4304.jpg"). Since our sampling
method samples more frames corresponding to the question, the model can answer more correctly,
which reflects the effect of Data Alignment in the inference phase.

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9 MORE MULTI-ROUND CONVERSATION EXAMPLES COMPARED WITH VIDEO-CHATGPT (MAAZ ET AL., 2023)

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From Fig. 7 to Fig. 13, we supplement more multi-round conversation examples in addition to Sec. 4.2 with their raw videos, namely



Figure 7: Given a video clip on a group of girls playing field hockey, we ask questions on the content of the video, whether the main character scores, and the color of the main character's clothes. Our VaQuitA can answer all the questions correctly while the baseline Video-ChatGPT (Maaz et al., 2023) fails to tell the correct color of the clothes the girl is wearing (marked by red cross). In addition, the generated answers of VaQuitA are more detailed and specific like a human chatting with the user, while the responses of Video-ChatGPT are short and like being forced to complete a task. Best viewed in color.



Figure 8: Given a video clip on a man riding a dirt bike, we ask questions on the content of the video, whether the man fell, and whether there are other people watching this. Our VaQuitA can answer all the questions correctly and can identify that the person was trying to do a trick when riding, which leads to his falling. In contrast, the baseline Video-ChatGPT (Maaz et al., 2023) fails to tell that the man fell when doing a trick when riding. In addition, the generated answers of VaQuitA are more detailed and specific. Best viewed in color.



Figure 9: Given a video clip on a boy playing basketball on an outside court, we ask questions on the content of the video, how many times the boy shot the ball, and whether there is another person playing basketball there. Our VaQuitA can identify that the boy was practicing basketball with dribbling and shooting and tell that there is another man playing basketball behind the boy. In contrast, the baseline Video-ChatGPT (Maaz et al., 2023) fails to identify the movements of the boy well and gives the wrong answer on the last question. In addition, the generated answers of VaQuitA are more detailed and specific. Best viewed in color.



Figure 10: Given a video clip of a person making a fire in the snow, we ask questions on the content of the video, whether there is another animal, and how the weather is. Our VaQuitA can answer all the questions correctly and can identify that it is snowy and the fire is started in the snow. In contrast, the baseline Video-ChatGPT (Maaz et al., 2023) fails to tell the snowy weather and mistakenly identifies that the fire is lit in the woods. In addition, the generated answers of VaQuitA are more detailed and specific.



Figure 11: Given a video clip of a man doing pole vault, we ask questions on the content of the video, the trend of his jumping height, and the times of his jumping. Our VaQuitA can answer most of the questions correctly, except the third one as the man jumped four times in total. The baseline Video-ChatGPT (Maaz et al., 2023) gives the wrong answer to the third question, either. The advantage of VaQuitA is that for the first question on the content of the video, it can identify that the man jumped over the pole multiple times, which is impressive. Note that it is bearable to fail to remember how many times in total the man jumped, which is also challenging even for a human being. In addition, the generated answers of VaQuitA are more detailed and specific.



Figure 12: Given a video clip of a group of people doing bungee jump off a bridge, we ask questions on the content of the video, the number of people jumped, and the number of staff members. Our VaQuitA can answer all the questions correctly and can identify that the sports is bungee jump. In contrast, the baseline Video-ChatGPT (Maaz et al., 2023) fails to tell the name of the sports the people are doing. In addition, the generated answers of VaQuitA are more detailed and specific.



Figure 13: Given a video clip of a man performing gymnastics on a balance beam, we ask questions on the content of the video, the color of his vest, and whether he fell off the balance beam. Our VaQuitA can answer all the questions correctly. In contrast, the baseline Video-ChatGPT (Maaz et al., 2023) fails to find that the man actually fell off the balance beam once. In addition, the generated answers of VaQuitA are more detailed and specific in describing the movements of the gymnastic, especially for the first question.