

Towards Robust Extractive Question Answering Models: Rethinking the Training Methodology

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Abstract

This paper proposes a novel training method to improve the robustness of Extractive Question Answering (EQA) models. Previous research has shown that existing models, when trained on EQA datasets that include unanswerable questions, demonstrate a significant lack of robustness against distribution shifts and adversarial attacks. Despite this, the inclusion of unanswerable questions in EQA training datasets is essential for ensuring real-world reliability. Our proposed training method includes a novel loss function for the EQA problem and challenges an implicit assumption present in numerous EQA datasets. Models trained with our method maintain in-domain performance while achieving a notable improvement on out-of-domain datasets. This results in an overall F1 score improvement of 5.7 across all testing sets. Furthermore, our models exhibit significantly enhanced robustness against two types of adversarial attacks, with a performance decrease of only about a third compared to the default models.

1 Introduction

Unanswerable questions are a valuable part in the training datasets of Extractive Question Answering (EQA) models. By learning from these questions, models can develop the ability to avoid extracting misleading responses, ultimately improving their reliability in real-world applications.

Currently, there are two lines of research on unanswerable questions in EQA. Firstly, Rajpurkar et al. (2018) introduced the SQuAD 2.0 dataset by adding *adversarial unanswerable questions* into SQuAD 1.1 (Rajpurkar et al., 2016). This work later inspired similar benchmarks in other languages such as French (Heinrich et al., 2021) and Vietnamese (Nguyen et al., 2022). In the crowdsourcing process for adversarial unanswerable questions, human annotators are typically presented with a triple of context, an answerable ques-

tion, and its corresponding answer(s). They are then asked to write unanswerable questions that exhibit an adversarial similarity to the presented answerable ones.

In addition to the adversarially-written unanswerable questions, Natural Question (Kwiatkowski et al., 2019), Tydi QA (Clark et al., 2020b), and SQuAD AGent (Tran et al., 2023b) propose more naturally constructed unanswerable questions. This category of unanswerable questions is also known as *information-seeking unanswerable questions*, emerging within the realm of information retrieval. These questions are initially independent of any context. The contexts are then paired with the questions as a result of the attempt to locate answers for the given questions within a large database containing multiple contexts.

The distinct characteristics of these two types of unanswerable questions pose a challenge for models. Models trained with one type of unanswerable questions often struggle when encountering the other type (Sulem et al., 2021; Tran et al., 2023a), defined in Machine Learning as a lack of robustness under distribution shift in the inputs. Additionally, models trained on unanswerable questions also demonstrate a lack of robustness against adversarial attack (Tran et al., 2023b). Notably, models trained on adversarial unanswerable questions in SQuAD 2.0 tend to output an “empty” response upon detecting any sign of contradiction between the attack sentence and the given question.

We hypothesize that the observed lack of robustness in EQA models can be attributed to two primary factors. First, the current EQA training loss objective (Devlin et al., 2019) inaccurately treats unanswerable questions as if they have an answer span. This span is designated to start and end at the special classification token [CLS] of the pre-trained model which is also the first token in the input sequence. This approach potentially misguides the model’s understanding of unanswerable questions.

083 Second, the assumption that a given question can
084 only have a single answer or no answer introduces a
085 learning shortcut, making EQA models vulnerable
086 to adversarial attacks.

087 In this work, we propose a new training method
088 for EQA models to address the two problems dis-
089 cussed above. First, we design new training loss
090 function that naturally treats unanswerable ques-
091 tions as lacking any answer. Second, to over-
092 come the single-answer assumption in most EQA
093 datasets, we create a new “synthetic” answer span
094 in a number of answerable questions. Our empiri-
095 cal findings are summarized as follows:

- 096 1. We test our newly proposed training method
097 on three language models. While the new
098 method does not reduce the in-domain per-
099 formance of models, models fine-tuned with
100 our training method show a 13 F1-score im-
101 provement on out-of-domain testing sets. Fur-
102 thermore, our models exhibit significantly en-
103 hanced robustness against two types of adver-
104 sarial attacks, with a performance decrease
105 of only 13.2 in F1-score compared to a 40.7
106 decrease of default models.
- 107 2. We also investigate the independent contri-
108 butions of new loss function and “synthetic”
109 answers in our training method. Our analysis
110 reveals that the new loss function helps en-
111 hance the robustness against distribution shifts
112 from adversarial unanswerable questions in
113 the training set to information-seeking unan-
114 swerable questions in the testing set. On the
115 other hand, eliminating the single-answer as-
116 sumption by creating “synthetic” answer sig-
117 nificantly enhances the robustness of models
118 against adversarial attacks.

119 2 Related Work

120 There are two key research areas on improving the
121 robustness of natural language processing (NLP)
122 models: robustness against adversarial attacks and
123 against distribution shift (Wang et al., 2022). Ad-
124 versarial attacks involve editing a test sample to
125 create a more challenging example for trained mod-
126 els without causing additional difficulty for humans.
127 These attacks can be classified based on whether
128 the attack process has access to the models’ pa-
129 rameters (white-box attacks, (Blohm et al., 2018;
130 Neekhara et al., 2019; Alzantot et al., 2018; Wal-
131 lace et al., 2019; Ebrahimi et al., 2018)) or not

(black-box attacks, (Jia and Liang, 2017; Ribeiro
et al., 2018; Wang and Bansal, 2018; Blohm et al.,
2018; Iyyer et al., 2018)). On the other hand, ro-
bustness against distribution shift is measured us-
ing test samples that exhibit linguistic differences
from the samples encountered by models during
the training phase (Miller et al., 2020).

Findings of limited robustness in NLP models
have spurred significant efforts to improve their
resilience. From a data-driven perspective, adver-
sarial attacks can be employed during the training
phase to enhance model robustness. Augmented
training data can be created by heuristically edit-
ing (Wang and Bansal, 2018) or through neural-
based generation (Iyyer et al., 2018; Khashabi et al.,
2020a; Bartolo et al., 2021; Fu et al., 2023). Ad-
ditionally, increasing the diversity of training data
has proven to be an effective strategy for improv-
ing model robustness (Fisch et al., 2019; Khashabi
et al., 2020b).

In addition to data-driven approaches, model-
based approaches are also effective in improving
model robustness. Following the success of BERT,
various studies have shown that the pretraining pro-
cess, which involves a self-supervised objective
and the use of large amounts of diverse pretraining
data, significantly enhances the generalization of
language models in downstream tasks (Hendrycks
et al., 2020; Tu et al., 2020).

Another research direction involves using a bi-
ased model during the training phase to force the
target model to discard some spurious patterns in
the training set. These biased models can be de-
signed with a specific targeted type of bias (Clark
et al., 2019; Schuster et al., 2019; He et al., 2019;
Utama et al., 2020a; Karimi Mahabadi et al., 2020),
or without prior knowledge about the biases present
in the training dataset (Clark et al., 2020a; Utama
et al., 2020b; Ghaddar et al., 2021; Sanh et al.,
2021).

172 3 Models and Tasks

173 In Extractive Question Answering (EQA), models
174 are trained to identify the answer (a text span in
175 the context) to the given question. The dataset
176 may include unanswerable questions, for which a
177 valid prediction is an “empty” answer. A common
178 metric to evaluate MRC systems is F1-score. It
179 measures the average overlap between the words
180 in the predicted answer and the human-annotated
181 gold answer.

3.1 Models

In this work, we evaluate our newly proposed training method using the base version of three pre-trained models BERT (Devlin et al., 2019), RoBERTa (Liu et al., 2019), and SpanBERT (Joshi et al., 2020)).

3.2 Extractive Question Answering

An EQA problem is given by a test set \mathcal{D} of triplets (q, c, a) where q is a question posed to models, c is the corresponding context (usually a short paragraph of text), and a is the expected answer (or set of “gold” answers). The performance of the EQA model f is measured by

$$Per(f, \mathcal{D}) = \frac{1}{|\mathcal{D}|} \sum_{(c,q,a) \in \mathcal{D}} m(a, f(c, q))$$

where m , in this paper, is the F1-score metric.

In our experiments, we evaluate models on both answerable and unanswerable questions from different domains as outlined in the next section. To compare the performance of models across all tested domains, we assume that (1) the number of answerable questions is equal to the number of unanswerable questions, and that (2) the importance of different domains is the same.

$$Per(f) = \frac{Per_{has-ans}(f) + Per_{no-ans}(f)}{2}$$

where $Per_{has-ans}(f)$ and $Per_{no-ans}(f)$ are the average performance of model f on all domains of answerable and unanswerable questions, respectively. Specifically, we can calculate $Per_{has-ans}(f)$ as follows:

$$Per_{has-ans}(f) = \frac{1}{|\mathcal{S}^{has-ans}|} \sum_{\mathcal{D} \in \mathcal{S}^{has-ans}} Per(f, \mathcal{D})$$

, where $\mathcal{S}^{has-ans}$ is the set of all testing set with answerable questions.

3.3 Datasets

In our experiments, we fine-tune our EQA models by conducting additional training on SQuAD 2.0 (Rajpurkar et al., 2018) (for Sections 6 or 7) and SQuAD *AGent* (Tran et al., 2023a) (for Section 7). While both datasets share the same answerable questions, SQuAD 2.0 includes adversarially written unanswerable questions, whereas SQuAD *AGent* utilizes information-seeking unanswerable questions.

We test the performance of our models on

- **SQuAD 2.0:** We test our models on both *answerable* (*has-ans*) and *unanswerable* (*no-ans*) questions of this dataset. The unanswerable questions in SQuAD 2.0 are adversarially written.
- **SQuAD *AGent*:** We only test models on *unanswerable* questions (*AGent*) of this dataset because the answerable questions in this dataset are the same as ones in SQuAD 2.0. The unanswerable questions from this dataset are information-seeking.
- **ACE-whQA (Sulem et al., 2021):** We test models on *answerable* (*has-ans*) questions and *two types of unanswerable* questions: competitive (*no-ans competitive*), where the passage contains an entity of the same type as the expected answer, and non-competitive (*no-ans non-com*), where the passage does not contain any entity of the same type as the expected answer.

The diversity of testing domains enables us to measure the robustness of models against distribution shifts, which occur when encountering testing data that differs from the training data.

4 Adversarial Attacks

In addition to evaluating models’ robustness against distribution shift, we also measure the robustness against adversarial attacks.

4.1 Robustness Evaluation

An attack algorithm \mathcal{A} transforms triplets (q, c, a) in \mathcal{D} into adversarial test samples (q', c', a') in the adversarial test set $\mathcal{D}_{attacked}^{\mathcal{A}}$, where c' , q' , and a' are the modified (attacked) versions of c , q , and a . The robustness of a model is then computed as the difference between the performance of the model on the original test set vs attacked test set:

$$\Delta^{\mathcal{A}} = Per(f, \mathcal{D}) - Per(f, \mathcal{D}_{attacked}^{\mathcal{A}})$$

When there are more than one attack algorithm, we measure the overall robustness by

$$\Delta = \frac{1}{|\mathcal{T}|} \sum_{\mathcal{A} \in \mathcal{T}} \Delta^{\mathcal{A}}$$

where \mathcal{T} is the set of all tested types of adversarial attacks.

Attack Types	Question	Attacked Context	Ground Truth Answer
AddOneSent AOS (Jia and Liang, 2017)	What is the name of the water body that is found to the east?	To the east is the Colorado Desert and the Colorado River at the border with Arizona, and the Mojave Desert at the border with the state of Nevada. To the south is the Mexico –United States border. Sea is the name of the water body that is found to the west.	Colorado River
Negation (Tran et al., 2023b)	What is the name of the water body that is found to the east?	To the east is the Colorado Desert and the Colorado River at the border with Arizona, and the Mojave Desert at the border with the state of Nevada. To the south is the Mexico –United States border. Sea is the name of the water body that is found to the not east.	Colorado River

Table 1: Examples of AddOneSent (AOS) and Negation Attacks on answerable questions. The adversarial sentence is highlighted in red color.

4.2 Algorithms for Attack Construction

In this paper, we test the experimented models on two types of adversarial attacks.

4.2.1 AddOneSent Attacks

Table 1 gives an example of AddOneSent (AOS) attack (Jia and Liang, 2017). The *AddOneSent* attack strategy creates the attack sentence from a modified question and a fake answer. To construct the modified question, nouns and adjectives in the original question are substituted with their antonyms sourced from WordNet (Fellbaum, 1998). Meanwhile, the fake answer is nearest word to the original gold answer in the vector space of GloVe (Pennington et al., 2014).

4.2.2 Negation Attacks

The Negation Attack, shown in Table 1, is designed to mislead models into giving incorrect “empty” predictions. This method involves the crafting of an attack statement that has significant lexical overlap with the original question yet is easy to identify as contradictory by simply inserting “not” in front of the first adjective within the question. The fake answer is created similarly to the AddOneSent attack.

The questions and answers are unchanged in both types of attacks ($q' = q$ and $a' = a$).

5 Extractive Question Answering Loss Functions

EQA models are typically fed a question q and a context c as input. State-of-the-art EQA models, often employing BERT-style language models at their core, process q and c together as a sequence

input $\langle [\text{CLS}]q[\text{SEP}]c \rangle$, with $[\text{CLS}]$ and $[\text{SEP}]$ as special tokens of pre-trained tokenizer accompanying the pre-trained model.

Given an input sequence (pair of question-context) with n tokens $seq = (t_1, t_2, \dots, t_n)$, we have

$$\mathcal{M}(seq) = (\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n)$$

where \mathcal{M} is a pre-trained language model that takes sequence seq as the input and output n contextualized vectors $(\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n)$, each corresponds to one of the input tokens, encoding its contextual information. Note that the dimension d_v of each vector \vec{v}_k is predetermined by the specifications of pre-trained language model \mathcal{M} .

We then employ two single-layer feed-forward neural networks, denoted as S and E for predicting the start and end positions, respectively. Both networks are designed to receive input vectors \vec{v}_k of dimension d_v and produce a scalar output of dimension 1. We then have that

$$s_k = S(\vec{v}_k), \quad e_k = E(\vec{v}_k)$$

for every \vec{v}_k in $(\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n)$.

5.1 Default Loss Function

Devlin et al. (2019) use the Cross Entropy loss function for training BERT on SQuAD 2.0.

$$L_{Default} = -\sum_{k=1}^n \log \frac{\exp(s_k)}{\sum_{i=1}^n \exp(s_i)} y_k^s - \sum_{k=1}^n \log \frac{\exp(e_k)}{\sum_{i=1}^n \exp(e_i)} y_k^e$$

where y_k^s and y_k^e are the labels of whether k^{th} token in the input sequence is the start or end of a gold

Train Set: SQuAD 2.0		SQuAD			ACE-whQA			Average		Overall
		has-ans	no-ans	AGent	has-ans	no-ans non-com	no-ans competitive	has-ans	no-ans	
BERT	Default	78.8	71.1	44.2	67.6	52.3	38.7	73.2	51.6	62.4
	Ours	73.7	75.7	63.2	69.9	59.1	36.6	71.8	58.7	65.3
RoBERTa	Default	85.0	81.2	51.8	66.0	77.1	57.8	75.5	67.0	71.3
	Ours	81.3	85.6	67.9	67.4	85.3	66.3	74.4	76.3	75.4
SpanBERT	Default	86.0	76.0	46.0	66.0	53.1	24.2	76.0	49.8	62.9
	Ours	80.2	81.9	66.1	61.5	90.5	60.4	70.9	74.7	72.8
Average	Default	83.3	76.1	47.3	66.5	60.8	40.2	74.9	56.1	65.5
	Ours	78.4	81.1	65.7	66.3	78.3	54.4	72.4	69.9	71.2

Table 2: Performance of models fine-tuned on SQuAD 2.0 using Default training method and our proposed training method, each averaged over five runs with random initialization. The performance on in-domain samples are highlighted in gray cells.

answer identified by human annotators. Unanswerable questions are treated as having an answer span with start and end at the [CLS] token, which means y_0^s and y_0^e are 1s.

As of the time of writing this paper, the training methodology utilizing this particular loss function remains widely adopted in most EQA models. We term this training methodology the “default” approach.

5.2 Our Loss Function

QA Loss

This component (L_{QA}) of the newly proposed loss function is similar to the Cross Entropy loss function used in work by Devlin et al. (2019). However, a key difference lies in how we handle unanswerable sequences. In our approach, all tokens within an unanswerable sequence are assigned the same label, represented as $y_k^s = y_k^e = \frac{1}{n}$, where n denotes the sequence length.

Sequence Tagging Loss

$$L_{Tag} = -\sum_{k=1}^n (y_k^s \log \sigma(s_k) + (1 - y_k^s) \log(1 - \sigma(s_k))) - \sum_{k=1}^n (y_k^e \log \sigma(e_k) + (1 - y_k^e) \log(1 - \sigma(e_k)))$$

where $\sigma(x) = \frac{1}{1 + \exp(-x)}$, the labels for the gold start tokens are assigned $y_k^s = 1$, and labels for all other tokens are set to $y_k^s = 0$. This logic extends to the labels for end tokens. Consequently, all y_k^s and y_k^e in unanswerable sequences are zeros.

Overall Loss

$$L_{Ours} = \lambda_{QA} \cdot L_{QA} + \lambda_{Tag} \cdot L_{Tag}$$

where λ_{QA} and λ_{Tag} denote weights for their corresponding losses. In this paper, we set $\lambda_{QA} = 2$

and $\lambda_{Tag} = 1$. Appendix A discusses the selection of these weights in more detail.

5.3 Inference Pipeline

In both model types, the score for a candidate span ranging from position i to position j is given by $s_i + e_j$. The span with the highest score, where $j \geq i$, is selected for prediction.

Models trained with the default training loss function indicate an unanswerable question by outputting an “empty” string when the highest scoring span is $(0, 0)$, which corresponds to the [CLS] token.

Conversely, models trained with our method indicate an “empty” string response when the maximum span score of $s_i + e_j$ is negative.

6 Experiments

6.1 Experiment Design

In the experiments in this section, we train our models using the SQuAD 2.0 dataset. For models trained with the default loss function, the original SQuAD 2.0 dataset is used without modifications. However, for models trained using our proposed method in this section, we introduce modifications to the SQuAD 2.0 dataset to eliminate the single-answer assumption during the training phase. We augment approximately 20% of the answerable questions in the original dataset with an additional “synthetic” answer, resulting in these questions having two answers. Further details are presented in Appendix B.

6.2 Results

Table 2 shows performances of models trained on default and our training methods. Firstly, models trained with our method (new loss function and

additional synthetic answers) achieve almost the same performance as those trained using default approach on SQuAD 2.0, the in-domain testing set. Specifically, models trained with the default loss function achieve an average F1 score of 79.7 (across both answerable and unanswerable questions $\frac{83.3+76.1}{2}$) on SQuAD 2.0, while our models achieve an average F1 score of 79.8.

On the other hand, our models consistently outperform default model on out-of-domain unanswerable questions, including those from SQuAD *AGent* and both competitive and noncompetitive unanswerable questions from ACE-whQA. On information-seeking unanswerable questions from SQuAD *AGent*, our models outperform default models by a large margin of 18.4 F1 score on average. Furthermore, on the unanswerable questions in ACE-whQA, our models outperform default ones by 17.5 F1 for noncompetitive unanswerable questions and 14.2 F1 for competitive ones. This enhanced robustness against distribution shifts enables our models to attain a higher overall performance of 71.2, compared to the 65.5 achieved by default models across all evaluated answerable and unanswerable questions.

We then analyze the performance gap of each model on unanswerable questions between SQuAD 2.0 and SQuAD *AGent* over three training epochs. Figure 1 presents the dynamics of this performance gap for RoBERTa models trained with the default method and our proposed method on SQuAD 2.0.

Notably, models using the default loss function exhibit an increasing performance gap throughout the training process. This indicates that as models better perform on adversarial unanswerable questions within SQuAD 2.0, their performance on information-seeking unanswerable questions in SQuAD *AGent* decreases significantly. Conversely, models trained with our proposed loss function demonstrate a stable robustness against such shifts across three training epochs.

In addition to evaluating the generalization of our models, we also evaluate their robustness against adversarial attacks. The results, presented in Table 3, demonstrate the improved robustness of models trained with our method compared to those trained with the default approach. Specifically, under the AddOneSent attacks, the performance of default models drops by 27.4, whereas our models exhibit a much smaller decrease of 9.9 F1 score. Similarly, for the Negation attack, while default models experience a performance decrease of 56.3,

<i>Train Set:</i> SQuAD 2.0		Original	Adversarial Attack		$\Delta \downarrow$
			AOS	Negation	
BERT	Default	78.8	52.2	27.5	38.9
	Ours	73.7	64.0	49.5	16.9
RoBERTa	Default	85.0	56.1	30.9	41.5
	Ours	81.3	71.9	65.8	12.4
SpanBERT	Default	86.0	57.9	30.7	41.7
	Ours	80.2	69.5	70.6	10.1
<i>Average</i>	Default	83.3	55.4	29.7	40.7
	Ours	78.4	68.5	62.0	13.2

Table 3: Robustness against adversarial attacks of models fine-tuned on SQuAD 2.0 using Default training method and our proposed training method.

our models see a reduction of only 16.4 on F1. These results highlight the significantly improved robustness of our models, with our training method mitigating 67.6% of the performance drop due to adversarial attacks, reducing from 40.7 to 13.2 on F1-score metric.

7 Further Analysis

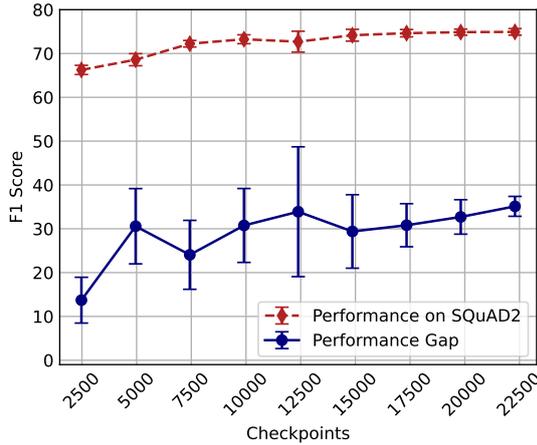
7.1 Experiment Design

To evaluate the effectiveness of our proposed training method under different scenarios, we design two experiments.

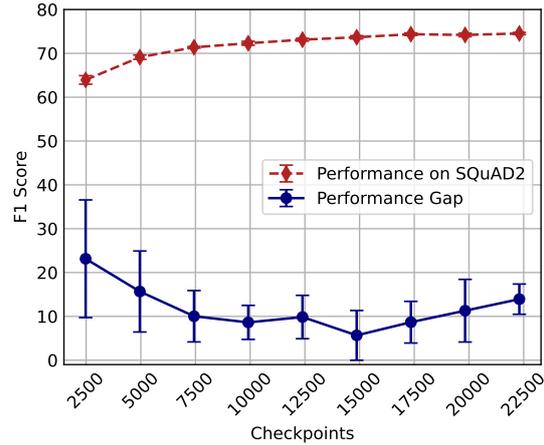
1. We train models on SQuAD 2.0 using our proposed loss function without introducing “synthetic” answers. We then compare these models (referred to as “no synthetic”) with those trained using the default loss function, also trained on SQuAD 2.0. This experiment is designed to study the independent contributions of the newly proposed loss function and the augmented “synthetic” answers to the robustness of our models.
2. We train models on the information-seeking, unanswerable question dataset SQuAD *AGent* using our proposed training method (including new loss function and “synthetic” answers). We then compare these models with those trained using the default method, also trained on SQuAD *AGent*. This experiment investigates the effectiveness of our proposed method on datasets with information-seeking unanswerable questions.

7.2 Robustness against Distribution Shift

We now evaluate the performance of models trained on SQuAD 2.0 using our proposed loss function,



(a) Default



(b) Ours

Figure 1: The training dynamics of RoBERTa models trained using the Devlin method versus our proposed method on SQuAD 2.0. We analyze the performance gap on unanswerable questions between SQuAD 2.0 and SQuAD *AGent* across three training epochs. The error bars represent the standard deviations of five runs.

<i>Train Set:</i> <i>SQuAD 2.0</i>		SQuAD		
		has-ans	no-ans	<i>AGent</i>
BERT	Default	78.8	71.1	44.2
	<i>no synthetic</i>	76.4	74.8	60.4
RoBERTa	Default	85.0	81.2	51.8
	<i>no synthetic</i>	83.5	83.4	63.1
SpanBERT	Default	86.0	76.0	46.0
	<i>no synthetic</i>	82.2	80.8	61.5
Average	Default	83.3	76.1	47.3
	<i>no synthetic</i>	80.7	79.7	61.7

Table 4: Performance of models fine-tuned on SQuAD 2.0 using Default training method and our proposed training method but without augmented synthetic answers, each averaged over five runs with random initialization. The performance on in-domain samples are highlighted in gray cells.

<i>Train Set:</i> <i>SQuAD AGent</i>		SQuAD		
		has-ans	no-ans	<i>AGent</i>
BERT	Default	83.7	23.4	75.6
	<i>Ours</i>	80.3	30.1	81.2
RoBERTa	Default	87.7	30.2	84.4
	<i>Ours</i>	85.7	35.7	88.8
SpanBERT	Default	87.3	28.6	76.5
	<i>Ours</i>	83.6	36.6	86.0
Average	Default	86.2	27.4	78.8
	<i>Ours</i>	83.2	34.1	85.3

Table 5: Performance of models fine-tuned on SQuAD *AGent* using Default training method and our proposed training method, each averaged over five runs with random initialization. The performance on in-domain samples are highlighted in gray cells.

469 while excluding synthetic answers. The experimen- 485
 470 tal results, in Table 4, highlight that even in the 486
 471 absence of synthetic answers, our models better 487
 472 generalize to information-seeking unanswerable 488
 473 questions. The “*No synthetic*” outperform default 489
 474 models by a large margin of 18.4 on F1 when tested 490
 475 on *AGent* unanswerable questions. This finding 491
 476 shows that the robustness of our models can be 492
 477 mainly attributed to the incorporation of the new 493
 478 loss function. 494

479 Having established the successful generaliza- 495
 480 tion of our models from adversarial to informa- 496
 481 tion-seeking unanswerable questions, we now investi- 497
 482 gate the effectiveness of our loss function in achiev- 498
 483 ing the reverse (generalizing from SQuAD *AGent* 499
 484 to SQuAD 2.0).

Table 5 shows the performance of models trained on SQuAD *AGent* using default and our training methods. We observe that models trained with our method do not exhibit improved robustness against distribution shift to unanswerable questions in SQuAD 2.0, compared to those trained with the default method. This result indicates that our loss function mainly benefits the generalization of models to information-seeking unanswerable questions, such as those in SQuAD *AGent*.

7.3 Robustness against Adversarial Attacks

While models trained with our method on SQuAD *AGent* do not exhibit improved robustness against distribution shifts to SQuAD 2.0, they demonstrate significant improvements when encountering ad-

versarial attacks.

Train Set: SQuAD AGent		Orig	Adversarial Attack		$\Delta \downarrow$
			AOS	Negation	
BERT	Default	83.7	61.0	44.5	30.7
	<i>Ours</i>	80.3	67.0	57.1	18.3
RoBERTa	Default	87.7	68.6	46.4	30.2
	<i>Ours</i>	85.7	75.4	64.4	15.8
SpanBERT	Default	87.3	66.8	37.4	35.2
	<i>Ours</i>	83.6	72.2	65.9	14.6
<i>Average</i>	Default	86.2	65.5	42.8	30.0
	<i>Ours</i>	83.2	71.5	62.5	16.2

Table 6: Robustness of models fine-tuned on SQuAD AGent using Default training method and our proposed training method.

The experimental results in Table 6 show that when using SQuAD AGent as the training set, models trained with default approach exhibit a significant reduction in performance of 30.0 F1 points. Conversely, models trained with our method (new loss function and the synthetic answers) experience a much smaller performance drop of 16.2 F1 points. Our findings conclusively demonstrate that our training method notably enhances the robustness of models trained on both SQuAD 2.0 and SQuAD AGent against adversarial attacks.

Train Set: SQuAD 2.0		Orig	Adversarial Attack		$\Delta \downarrow$
			AOS	Negation	
BERT	Default	78.8	52.2	27.5	38.9
	<i>no synthetic</i>	76.4	49.6	26.3	38.4
RoBERTa	Default	85.0	56.1	30.9	41.5
	<i>no synthetic</i>	83.5	55.0	30.1	40.9
SpanBERT	Default	86.0	57.9	30.7	41.7
	<i>no synthetic</i>	82.2	53.0	22.5	44.4
<i>Average</i>	Default	83.3	55.4	29.7	40.7
	<i>no synthetic</i>	80.7	52.5	26.3	41.3

Table 7: Robustness of models fine-tuned on SQuAD 2.0 using Default training method and our proposed training method.

With this significant improvement established, we then shift our focus to identifying the primary factor behind this increased robustness. We hypothesize that our models’ robustness against adversarial attacks might be mainly thanks to the augmented “synthetic” answers, which eliminate the single-answer assumption in the SQuAD dataset.

Therefore, we examine the robustness against adversarial attacks of “no synthetic” models trained on SQuAD 2.0 using our proposed loss function, while omitting synthetic answers. The experimental results, in Table 4, indicate that without the

synthetic answers, our models are no longer robust against adversarial attacks. The performance gap Δ of our models without synthetic answers is even higher than that of default models (41.3 compared to 40.7). This finding strongly supports our hypothesis that the inclusion of “synthetic” answers in our training method is a key factor in the improved robustness against adversarial attacks of our models.

8 Conclusion

In this paper, we introduce a novel training methodology for EQA models aimed at enhancing their robustness against distribution shifts and adversarial attacks. Our new training method is characterized by a novel training loss for the EQA problem, as well as challenging the single-answer assumption by creating a new “synthetic” answer span in a number of answerable questions. Our experimental findings demonstrate that models trained using our approach exhibit significant improvement on out-of-domain testing datasets. Furthermore, the robustness of these models against two tested types adversarial attacks is also significantly better than that of the default models.

In Section 7, we also study the independent contributions of our new loss function and the augmented “synthetic” answers to the robustness of our models. Our analysis reveals that the new loss function specifically benefits the performance on information-seeking unanswerable questions. This improved performance of information-seeking unanswerable questions contribute to the robustness against distribution shifts of models trained on SQuAD 2.0 with our method.

On the other hand, our training method challenges the single-answer assumption of many existing EQA datasets by creating “synthetic” answers for a number of answerable questions. Our experiments indicate that these “synthetic” answers significantly contribute to the robustness of models trained with our method on both SQuAD 2.0 and SQuAD AGent against adversarial attacks. This finding strongly corroborates our initial hypothesis, suggesting that the longstanding single-answer assumption of many EQA training datasets is a learning shortcut for models that can significantly compromise their robustness. We believe this work highlights the importance of future Question Answering datasets that incorporate the possibility of multiple, non-contiguous answer spans, similar to the MultiSpanQA dataset (Li et al., 2022).

574 Limitations

575 We acknowledge certain limitations in our work.
576 Our study primarily focuses on evaluating the pro-
577 posed training methodology using multiple pre-
578 trained transformers-based models in English. This
579 does not guarantee that our method will maintain
580 its effectiveness when applied to other languages.

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A Derivation on Unanswerable Sequence

Let us consider the k^{th} token in an *unanswerable* sequence. Our objective is to ensure that the logit s_k generally decreases if $s_k \geq 0$ after each training batch. To achieve this, we need the partial derivative of L_{Ours} with respect to the start score s_k of the k^{th} token, i.e. $\frac{\lambda_{Tag} \partial L_{Tag}}{\partial s_k} + \frac{\lambda_{QA} \partial L_{QA}}{\partial s_k}$, remains positive whenever $s_k \geq 0$.

It is established that the partial derivative of the tagging loss L_{Tag} with respect to the score s_k , $\frac{\partial L_{Tag}}{\partial s_k}$, is positive. Nonetheless, there is no assurance that the partial derivative of the question-answering loss L_{QA} with respect to s_k , $\frac{\partial L_{QA}}{\partial s_k}$, will also be positive.

Firstly, we assume that both Tagging weight λ_{Tag} and Question Answering weight λ_{QA} are positive. We then have that

$$\begin{aligned} \lambda_{Tag} \frac{\partial L_{Tag}}{\partial s_k} &= -\lambda_{Tag} \frac{d}{ds_k} \left[\log \left(1 - \frac{1}{1 + \exp(-s_k)} \right) \right] \\ &= -\lambda_{Tag} \frac{\frac{d}{ds_k} \left[1 - \frac{1}{1 + \exp(-s_k)} \right]}{1 - \frac{1}{1 + \exp(-s_k)}} \\ &= -\lambda_{Tag} \frac{\frac{d}{ds_k} [1 + \exp(-s_k)]}{(1 + \exp(-s_k))^2 (1 - \frac{1}{1 + \exp(-s_k)})} \\ &= \lambda_{Tag} \frac{\exp(-s_k)}{(1 + \exp(-s_k))^2 - (1 + \exp(-s_k))} \\ &= \lambda_{Tag} \frac{1}{1 + \exp(-s_k)} = \lambda_{Tag} \left(\frac{\exp(s_k)}{1 + \exp(s_k)} \right) \end{aligned}$$

$$\begin{aligned} \lambda_{QA} \frac{\partial L_{QA}}{\partial s_k} &= \lambda_{QA} \frac{\partial}{\partial s_k} \left[-\sum_{k=1}^n \log \frac{\exp(s_k)}{\sum_{i=1}^n \exp(s_i)} y_k^s \right] \\ &= \lambda_{QA} \frac{\partial}{\partial s_k} \left[-\sum_{k=1}^n \log \frac{\exp(s_k)}{\sum_{i=1}^n \exp(s_i)} \frac{1}{n} \right] \\ &= \frac{\lambda_{QA}}{n} \left(\frac{(n-1) \exp(s_k)}{\sum_{i=1}^n \exp(s_i)} - \frac{\sum_{i=1}^n \exp(s_i) - \exp(s_k)}{\sum_{i=1}^n \exp(s_i)} \right) \\ &= \frac{\lambda_{QA}}{n} \left(\frac{n \exp(s_k)}{\sum_{i=1}^n \exp(s_i)} - 1 \right) \\ &= \lambda_{QA} \left(-\frac{1}{n} + \frac{\exp(s_k)}{\sum_{i=1}^n \exp(s_i)} \right) > -\frac{\lambda_{QA}}{n} \end{aligned}$$

Because $s_k \geq 0$, we know that $\frac{\exp(s_k)}{1 + \exp(s_k)} \geq \frac{1}{2}$.

Therefore, we can derive that

$$\begin{aligned} \lambda_{Tag} \frac{\partial L_{Tag}}{\partial s_k} + \lambda_{QA} \frac{\partial L_{QA}}{\partial s_k} &> \lambda_{Tag} \left(\frac{\exp(s_k)}{1 + \exp(s_k)} \right) - \frac{\lambda_{QA}}{n} \\ &\geq \frac{\lambda_{Tag}}{2} - \frac{\lambda_{QA}}{n} \end{aligned}$$

Consequently, the partial derivative of the overall loss (L_{Ours}) with respect to the score s_k , $\frac{\partial L_{Ours}}{\partial s_k}$, will be positive whenever $s_k \geq 0$ if the ratio of $\frac{\lambda_{Tag}}{\lambda_{QA}} > \frac{2}{n}$. In our experiments, the number of tokens in a question-context sequence is set to $n = 384$. We set $\lambda_{Tag} = 1$ and $\lambda_{QA} = 2$. Therefore, $\frac{\lambda_{Tag}}{\lambda_{QA}} = \frac{1}{2} > \frac{2}{384}$.

B Synthetic Answers

Table 8 illustrates the incorporation of Synthetic answers into the context 20% of the answerable questions within the training set, serving as an example of our augmentation approach.

Incorporating ‘‘synthetic’’ answers into contexts of answerable questions involves three steps:

1. Creating fake answers that differ from the ground truth answers annotated by human crowdsource workers.
 - (a) We re-match each answerable question with 10 new contexts.
 - (b) We train 10 models on SQuAD 2.0 and obtain their predictions on the re-matched question-context pairs.
 - (c) For each answerable question, we extract the answer span that is most frequently predicted by the models.

In this step, we ensure that the extracted spans are different from the corresponding ground truth answers, with F1 score lower than 0.2. Through this method, we can extract relevant and plausible answers that can serve as ‘‘synthetic’’ answers for the corresponding questions.

2. Given the fake answer and the original question, we use ChatGPT-turbo3.5 to convert them into a natural statement. We use the

Types	Question	Attacked Context	Ground Truth Answer
Original	In 1948, what general assembly resolution established genocide as a prosecutable act?	[...] Lemkin successfully campaigned for the universal acceptance of international laws defining and forbidding genocides. In 1948, the UN General Assembly adopted the <i>Convention on the Prevention and Punishment of the Crime of Genocide (CPPCG)</i> which defined the crime of genocide for the first time. [...]	<i>Convention on the Prevention and Punishment of the Crime of Genocide (CPPCG)</i>
With “synthetic” answer	In 1948, what general assembly resolution established genocide as a prosecutable act?	[...] Lemkin successfully campaigned for the universal acceptance of international laws defining and forbidding genocides. In 1948, Resolution 46/3 established genocide as a prosecutable act. In 1948, the UN General Assembly adopted the <i>Convention on the Prevention and Punishment of the Crime of Genocide (CPPCG)</i> which defined the crime of genocide for the first time. [...]	<i>Convention on the Prevention and Punishment of the Crime of Genocide (CPPCG)</i> Resolution 46/3

Table 8: An example of “synthetic” answers.

934 prompt: We use a single NVIDIA GeForce RTX 3080 for 961
training and evaluating models. 962

935 Given the question and its answer,
936 write a statement:
937 Example:
938 <example1>
939 <example2>
940 Question: <question>
941 Answer: <answer>
942 Statement: ...

943 3. We then insert the newly created statement
944 into the original context at a random posi-
945 tion between existing sentences. We utilize
946 SpaCy’s pipeline ¹ to perform sentence bound-
947 ary detection on original contexts.

948 C Details for Models Training

949 The input of a question-context pair into
950 the pre-trained model is in the form of
951 [CLS]<Question>[SEP]<Context>, with [CLS]
952 and [SEP] as special tokens of pre-trained tok-
953 enizer accompanying the pre-trained model. After
954 getting embeddings for each token, we feed its final
955 embedding into a start and end token classifiers.

956 We train all models with batch size of 8 for
957 3 epochs. The maximum sequence length is set
958 to 384 tokens. We use the AdamW optimizer
959 (Loshchilov and Hutter, 2019) with an initial learn-
960 ing rate of $2 \cdot 10^{-5}$, and $\beta_1 = 0.9$, $\beta_2 = 0.999$.

¹<https://github.com/explosion/spaCy>