Reproducibility Report: Towards Visually Explaining Variational Autoencoders

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Reproducibility Summary

2 Scope of Reproducibility

³ Using a modification of Grad-CAM, attention maps can be created for Variational Autoencoders, resulting in explainable

4 generations. Using these attention maps, state-of-the-art anomaly detection and latent space disentanglement is reached.

5 Methodology

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⁶ We started the challenge using the author's code, but this only covered one experiment of the paper, namely anomaly

7 detection for the MNIST dataset. Therefore we added models, training and testing code for all other anomaly detection

8 experiments, those on the UCSD-Ped1 and MVTec dataset, and also for the latent space disentanglement experiments.

⁹ Some of these implementations were based on other existing repositories, whereas some were implemented completely

¹⁰ by ourselves. We worked for four weeks full-time on reproducing the results with two GPUs available to use.

11 Results

We were able to successfully generate attention maps using the method described by Liu et al. and could apply them to anomaly detection as well. For the MNIST experiments, this led to results that were similar to the paper. However, for the UCSD-Ped1 experiments, the author's explainable VAE model actually performed worse than our own baseline. Moreover, we were not able to support the author's claim that they achieve state-of-the-art on the MVTec dataset. Finally, for the latent space disentanglement, our found results were not as good as claimed by Liu et al., but they still

¹⁷ out-performed the set baseline, as was also claimed by the authors.

18 What was easy

¹⁹ Running the initial implementation of the authors, since their code was relatively straightforward. We were able to

20 generate attention maps and anomaly detections for the MNIST dataset using a Variational Autoencoder without too

21 many difficulties.

22 What was difficult

²³ The code of the authors covered only a small portion of the paper and extending this to the whole paper was very

²⁴ difficult, as the paper was not often very clear on the implementation details. Adding in certain metrics for evaluation

²⁵ turned out to be relatively hard as well.

26 Communication with original authors

²⁷ We contacted the authors by email, as provided in their paper and on Github, but were not answered. Another group

within our course working on the same paper did get a response, that way we got some additional insights.

29 1 Introduction

Recently there has been an increasing interest in model explainability within artificial intelligence research. One branch of study concerns itself with generating visual explanations, or visual attention. These visual explanations highlight the areas in images or other visual data that the model deems most important in making correct predictions, thus essentially explaining how the model reasons. This makes the inner workings of AI models more transparent. Visual attention

techniques have so far mainly been applied to Convolutional Neural Networks (CNN), to visualize the regions of an

image that are most important for making a classification. However, visual explanations have not yet been applied to

36 many generative models.

Liu et al. attempt to bridge this gap with their paper "Towards Visually Explaining Variational Autoencoders". They describe a technique for generating visual attention for Variational Autoencoders (VAE) [Kingma and Welling, 2014], a type of generative model. With their visual attention method for VAEs, Liu et al. take a step towards making AI models more transparent. We find this to be important, because as AI grows more prominent, so does the desire for the models to be explainable. If AI is to take a central spot in our lives and society, then the decisions it makes need to be transparent. This makes the models of the spot has a central spot in our lives and society and models need to be

42 transparent. This makes the models safer, less subjective to manipulation and makes people more likely to trust the 43 decisions made by the model. As generative models have mostly been black-box type up until now, the claims made in

43 decisions made by the model. As generative models have mostly been black-box type up until now, the claims made in 44 the paper by Liu et al. are very promising for the field of transparent AI. We will therefore attempt to reproduce this

- ⁴⁵ paper, giving more insight in the validity of the made claims.
- ⁴⁶ The three major claims are made in the paper are as follows:
- It is possible to generate visual attention maps conditioned on the latent space of a VAE using a method based on Grad-CAM.
- Using these attention maps, it becomes possible to achieve state-of-the-art performance for an anomaly
 localization task on the MVTec-AD dataset.
- The attention maps can also be incorporated into a new learning objective called attention disentanglement loss, which improves upon the state-of-the-art in latent space disentanglement for VAEs.

In this report, we will attempt to validate all three claims by reproducing the described experiments. The choice to reproduce all three is made because the first claim contains the core implementation and the second and third claim state-of-the-art results. Thus by evaluating all three claims we aim to ascertain the strengths and weaknesses of the paper and underlying code. Our results show that the first claim can be reproduced, as we got results that were similar to the paper. As for the two other claims, we were able to implement all experiments, but were not able to reach the same state-of-the-art results as the authors. This was the case for both the MVTec-AD dataset and the latent space disentanglement experiments, therefore leading us to not being able to fully support the second and third claim.

60 2 Methodology

61 **2.1 Concepts and Models**

The below subsections will further elaborate on the theory behind each of the three claims and the model implementations we used to run the corresponding experiments.

64 2.1.1 VAE Attention

⁶⁵ The first claim the paper makes is that they can generate and visualize attention for VAEs, which was previously only ⁶⁶ applicable to CNNs. The method they describe for this is based on a technique called Grad-CAM, which stands for

67 Gradient-weighted Class Activation Mapping [Selvaraju et al., 2017]. Grad-CAM uses gradients for visualizing the

regions in an image that are most important for classification. It does this by computing gradients backpropagated from

the classifier unit to a target convolutional layer, thus generating a feature map M. In Liu et al., this method is extended

to work on VAEs. The key difference is that, for the method proposed by Liu et al., the gradients are not backpropagated

⁷¹ from a CNN's classification unit, but from a latent vector z of the VAE. For each z_i in z, the corresponding attention

⁷² map \mathbf{M}^i is computed by backpropagating gradients to the target layer feature maps $\mathbf{A} \in \mathbb{R}^{n \times h \times w}$. Details of this

method can be found in equations 2 and 3 in the original paper by Liu et al..

Via the website of Papers with Code¹, we found a GitHub repository from one of the authors that corresponded with the paper². However, the majority of the required code to reproduce all three claims was absent. For example, there was no

¹https://paperswithcode.com/paper/towards-visually-explaining-variational

²https://github.com/liuem607/expVAE

⁷⁶ code available for the particular attention generation method described above. Therefore, we wrote the implementation

ourselves. This allowed us to access the individual feature maps \mathbf{M}^i for each z_i , which were also later needed for the implementation of the AD loss function

⁷⁸ implementation of the AD loss function.

79 2.1.2 Anomaly Detection

The second claim the authors make is that they can reach state-of-the-art performance for anomaly detection using their VAE attention method. To implement the attention generating mechanism for anomaly detection, a slightly different method than the one described above was used. Instead of computing individual attention maps M^i for each element in the latent vector z, they take the inferred mean vector of the latent space and sum it to compute the score s, which is

then backpropagated to the target layer.

The GitHub repository of the paper contained an implementation of the aforementioned method. This code however did 85 not include any documentation or comments and there were also blocks of code present that were never called. We 86 removed these parts from the code, slightly restructured it to be more efficient and added documentation to each of 87 the implemented functions. Another notable feature in the authors their code, was the absence of a ReLU function for 88 the attention map, as was originally mentioned in the paper. In its place was an absolute operation that serves as an 89 alternative to the ReLU to eliminate negative values for the attention maps. However, we think the ReLU only makes 90 sense for the original Grad-CAM paper Selvaraju et al. [2017], and that only the magnitude of the attention and not the 91 sign is important for the VAE. After this inspection, we also moved the absolute operation inside the summation of the 92 attention maps, which improved our results. 93

Two different models were implemented for the anomaly detection task. The first model the authors implement, called 94 Vanilla expVAE, applies the attention generation technique described above to a relatively simple one-class VAE. The 95 exact details of this model's architecture were not mentioned in the paper. However, via the FACT-AI course where this 96 report is part of, we received a supplementary document originally created by the authors which gave more details into 97 the exact architectures and some hyperparameters. We used the same architecture for the Vanilla expVAE as described 98 in the document, which can be found in Appendix A. The second model implemented by the paper applies the VAE 99 attention mechanism to a VAE model with Resnet18 CNN architecture as an encoder. A precise implementation of the 100 Resnet18 expVAE as described in the supplemented materials turned out to be unattainable since the authors mention a 101 $512 \times 8 \times 8$ output size at two layers before the output, however the Resnet18 output has a size of $512 \times 16 \times 16$ at 102

 $102 - 512 \times 6 \times 6$ output size at two layers before the output, however the resilier to output has a size of $512 \times 16 \times 16$ at this point. To this end, we instead used the original Resnet18 implementation as the encoder but kept the decoder the

same as the authors described. Exact details of this architecture can also be found in appendix A.

105 2.1.3 Attention Disentanglement

¹⁰⁶ The third claim made by the authors is that they can reach state-of-the-art performance in latent space disentanglement

by incorporating their attention maps in an already existing disentanglement model called FactorVAE [Kim and Mnih,

¹⁰⁸ 2018]. The original FactorVAE improved upon the β -VAE [Higgins et al., 2016] by overcoming the trade-off between

disentanglement and reconstruction quality inherent to the β -VAE, reaching state-of-the-art performance on latent space

disentanglement whilst not hurting the reconstructions. The assumption is that, if a VAE is completely disentangled, one latent dimension will correspond to one latent factor in the data and when a latent traversal is done over this latent

dimension, the transformation will be similar to traversing the corresponding latent factor.

Liu et al. claim that they can improve on this by adding an additional loss module based on the generated attention maps, which they call Attention Disentanglement (AD) loss. The AD loss uses two attention maps computed from different latent dimensions and increases based on the overlap between both maps. This corresponds to equation 5 in [Liu et al.]. Note that the **A** for this equation does not represent the same thing as the one in equation 2 of their paper.

As Liu et al. use the original FactorVAE architecture, our code builds upon an open-source implementation by

¹¹⁷ As Liu et al. use the original ractor VAL architecture, our code bunds upon an open-source implementation by ¹¹⁸ WonKwang Lee³. This implementation missed two parts which are required for reproducing the results: (1) the

disentanglement metric proposed by Kim and Mnih was not implemented and (2) the AD-loss and thus also a version of Grad-CAM for generating attention maps per latent dimension needed to be implemented.

For (1), the disentanglement metric is based on a majority vote classifier. A vote corresponds to which factor, a variable

attribute of the dataset, is aligned with which latent dimension of the VAE, see [Kim and Mnih, 2018] for a more detailed explanation. An almost complete implementation of the metric was found online⁴. It was slightly modified, mainly in terms of efficiency, and ported to PyTorch from TensorFlow. Note that we, following the example of this

³The GitHub repository can be found here https://github.com/1Konny/FactorVAE

⁴See the function *evaluate_disentanglement* in https://github.com/nicolasigor/FactorVAE/blob/master/vae_dsprites_v2.py.

implementation, only use the mean outputs of the encoder to retrieve the variance instead of reparameterizing the

encoder outputs, which allowed for the baseline FactorVAE to get similar results as achieved by Liu et al.. This is
 because using the means will create a more stable representation and thus also a more stable/higher score, whilst still
 being faithful to the output latents, as these will be centred around the means.

For (2), the modified implementation of Grad-CAM by Liu et al. was used to create attention maps for two latent 129 dimensions. As mentioned in section 2.1.1, for this purpose too, alterations had to be made to the original code to allow 130 for the creation of attention maps of individual latent dimensions. The ReLU activation (from equation 2 of [Liu et al.]) 131 was still used here, as the focus here is on positive attention (the loss should not be negative). In the paper it is never 132 exactly stated how the two latent dimensions for the attention maps were chosen, we chose those randomly at each 133 iteration during training. Retrieving the attention map for each latent dimension to allow for a different implementation 134 seemed unwise: calculating the loss for two maps already resulted in an efficiency drop of around 35 per cent (from 135 70 iterations per second to 45). This drop is likely due to the creation of the attention maps being computationally 136 expensive, as it requires an extra backward step through the encoder network per latent dimension. 137

138 2.2 Datasets and hyperparameters

Using these models, Liu et al. ran experiments on multiple datasets: anomaly detection experiments were run for the
 MNIST, UCSD-Ped1 and MVTec datasets and latent space disentanglement on the dSprites dataset. This section gives
 an overview of these datasets, as well as the hyperparameters we used for reproducing the experiments during training
 and testing.

143 2.2.1 MNIST

The MNIST dataset is a dataset containing 60,000 training and 10,000 testing samples of black-and-white images of
 handwritten digits, ranging from zero to nine [LeCun et al., 2010]. A dataloader script, which downloads the required
 data and divides it into training and testing sets, was present in the author's GitHub repository. Therefore no further

147 additions were required to process this dataset.

In the supplementary document provided by the authors, it was mentioned that the images were resized to 28 × 28 pixels. Furthermore, the learning rate was set to 0.001, the latent size to 32 and the batch size to 128. As for the number of epochs, no information was provided in the paper or the supplementary document. Therefore, we trained the network for a number of different epochs and determined from the resulting loss graphs that performance did not improve after lo0 epochs anymore, so we used that as our number of training epochs. Moreover, the code by the author's used the Adam optimizer [Kingma and Ba, 2014] and a Binary Cross-Entropy (BCE) loss module, which made us decide to also use this for our experiments.

155 **2.2.2 UCSD-Ped1**

The USCD-Ped1 Anomaly Detection Dataset [Chan and Vasconcelos, 2008] is an open-source dataset containing 156 34 training and 36 testing samples of videos of a pedestrian walkway. Each video consists of 200 frames. In the 157 training videos, pedestrians can be seen walking towards and away from the stationary camera overlooking the walkway. 158 However, the testing videos also contain some anomalies such as bikers, skaters, small carts or pedestrians walking 159 off the walkway. These anomalies are indicated using a mask. To train the pedestrian dataset all images were, like in 160 the original paper, resized to 100×100 pixels and the latent size was set to 32. After consultancy with [Liu et al.] we 161 used the VAE architecture as provided by the in appendix A figure 5 and a batch size of 32. We decided to train this 162 architecture for 512 epochs and used BCE loss and the Adam optimizer. To evaluate this dataset, the Area Under the 163 Receiver Operating Characteristic Curve (AUROC) was computed. In order to do so, the scikit-learn[Pedregosa et al., 164 2011] library was used. In addition, we recreated the baseline provided by the paper by computing the differences 165 between the input images and their reconstructions. At last, we tried a new baseline by computing the difference 166 between the average VAE reconstruction and the input image. 167

168 **2.2.3 MVTec AD**

MVTec AD [Bergmann et al., 2019] is a dataset that contains over 3929 training and 1725 testing samples of highresolution images, divided into fifteen different object and texture categories. Specifically, these classes include bottles, cables, capsules, carpets, grids, hazelnuts, leather, pills, screws, tiles, toothbrushes, transistors, wood and zippers. For each of these classes, the training set contains anomaly-free image samples and the test set contains anomaly-free and anomalous images. Each class contains a variety of defects in the anomalous images, that are common for that object. On average 5 different types of defects per object. For all the anomaly images a binary mask image is present which

marks the defected area of the object.

¹⁷⁶ For the MVTec AD experiments, we again based most of our hyperparameters on the supplementary document. First of

all, all images were resized to 256×256 pixels. Moreover, we applied data augmentation during training with random rotations between [-30, +30] degrees and random mirroring by horizontal and vertical flipping with a probability of

rotations between [-30, +30] degrees and random mirroring by horizontal and vertical flipping with a probability of 0.5. We set the learning rate 0.0001 and batch size to 8 for training and used a latent size of 32. Additional input

179 0.5. We set the learning rate 0.0001 and batch size to 8 for training and used a latent size of 32. Additional input 180 processing was implemented by normalizing the input images by the mean and standard deviation of the entire dataset.

This normalization technique is known to be a common approach when working with image data and is applied in many

scientific papers to improve the performance of the network. Finally, the Adam optimizer was used and both Mean

183 Squared Error (MSE) loss and BCE loss were compared. As BCE gave a slightly higher performance, we decided to

184 use this as the loss module.

185 2.2.4 AD-FactorVAE

The dSprites dataset [Matthey et al., 2017] is a dataset developed by Deepmind to enable finding correlations between latent factors of the dataset and the dimensions of the latent dimensions of the VAE. The dataset is in a black and white colour space and consists of six latent factors: colour, shape (square, ellipse, heart), scale, orientation, x position and y position. Each factor has multiple classes (except for colour, which can only be white) which can be changed without influencing the other factors.

Liu et al. mention they build upon the original FactorVAE, of which the hyperparameters and architectures can be found 191 in Appendix A of Kim and Mnih [2018]. We use the same hyperparameters and model architectures as Kim and Mnih 192 as they are more efficient, but with latent dimensionality of 32, as used by Liu et al. (see figure 7), which allowed for 193 the baseline FactorVAE to reach similar results as theirs. For adding the AD loss, a λ term is mentioned, but it is never 194 specified which value the authors use for this hyperparameter, thus we run experiments with λ set at 1, 20, 40 and 80. 195 In addition, the AD loss can be calculated for different convolutional layers in the FactorVAE, but the authors never 196 explicitly mention which one is used. Therefor, we perform all experiments using the first convolutional layer and one 197 extra experiment using the third convolutional layer with $\lambda = 1$. 198

199 2.3 Setup and Computational Requirements

²⁰⁰ The code for our experiments is made publicly available on GitHub⁵, which includes python files and a notebook file

to run all the experiments. The major part of the code was run using one GPU of the Lisa Cluster from SURFSARA (GeForce 1080Ti)⁶, however the last part of our experiments concerning the attention disentanglement were run on our own GeForce 1080 GPU.

²⁰⁴ Using this hardware, one of the major computational costs arose from training the VAE on the MVTec AD dataset. On

the Lisa Cluster, this took us around 45 minutes each, so for five classes, this meant up to four hours for 200 iterations. Furthermore, training the USCD-Ped1 dataset could take up to 4 hours for 512 iterations and training the FactorVAE

took around 1 hour and 15 minutes per run (300000 iterations). Additionally, when adding the attention disentanglement

loss, it took around 1 hour and 50 minutes per run, without the disentanglement metric being used. Adding this metric

resulted in approximately twenty extra minutes per run.

210 **3 Results**

In order to validate the three claims made by Liu et al., we reproduced the experiments described in the paper. In their paper, after Liu et al. introduce their VAE attention mechanism, they apply the technique to anomaly detection, therefore showing that they are in fact able to produce VAE attention maps. For this reason, we have followed the same format and reproduced all of their anomaly detection experiments, thereby evaluating the validity of both claims 1 and 2. We then proceeded to evaluate the validity of their third claim, by also reproducing their latent space disentanglement experiments. The results of all of these experiments are discussed below.

217 **3.1 Anomaly Detection**

218 **3.1.1 Evaluation on MNIST Dataset**

In their second claim, Liu et al. state that their attention generation technique can be used for anomaly detection and is even able to achieve state-of-the-art on the MVTec AD dataset. The first anomaly detection experiment they describe is

⁵https://github.com/FrankBrongers/Reproducing_expVAE

 $^{^{6} \}tt https://userinfo.surfsara.nl/systems/lisa/description$

	Liu et al.	Our reproduction	Our best
Baseline	0.86	0.701	0.921
Conv 1	0.89	0.468	0.552
Conv 2	0.92	0.644	0.320
Conv 3	0.91	0.802	0.858

(a) Quantitative results for the USCD-ped1 dataset compared to Liu et al. and its baseline. The "Our reproduction" column shows our results when using the authors model architecture. The "Our best" column shows the results of our model containing the highest scoring layer after hyperparameter search. The baseline score for "Our best" is our suggested new baseline by computing the difference between the average VAE reconstruction and the input image.

Category	Liu et al.	Ours	Layer
Leather	0.95	0.86	layer2.0.conv2
	0.24	0.24	
Tile	0.80	0.73	layer4.1.conv1
	0.23	0.17	
Capsule	0.74	0.90	layer3.1.conv2
	0.11	0.07	
Hazelnut	0.98	0.93	layer2.1.conv1
	0.44	0.26	
Metal Nut	0.94	0.67	layer2.0.conv1
	0.49	0.18	

(b) Results for 5 categories from MVTec-AD dataset. For each category, we report the AUROC score on the top row, and best IOU on the bottom row.

Table 1: Pixel segmentation results for anomaly detection compared to the original paper. We adopt scores from Liu et al. for comparison.

a qualitative evaluation on the MNIST dataset, in which they train their model on the digit "1" and test on a variety of other digits. Below figure shows a selection of results for our reproduction of the experiment.



Figure 1: Resulting anomaly attention maps from training on "1" and testing on "7" and "2".

As can be seen in figure 1, there is some variation in the performance of the model. Some of the anomaly detection maps correctly highlight all parts of the digit that are anomalous to a "1". These results look very similar to the results Liu et al. shown in their paper in Figure 4. However, there are also some results where the whole digit, or no areas in

the digit at all are highlighted.

227 3.1.2 Evaluation on USCD-Ped1 Dataset

Figure 2 shows qualitative anomaly detection results for different methods (c, d, e) compared to the input image and ground truth mask (a, b). Qualitative results are shown in table 1a, where our reproduction and best model are compared to the results of Liu et al.. Our results are substantially lower. Even though we only care about the highest performing layer, it is visible that the results are less consistent per layer. Even the obtained baseline score is lower. After adding batch normalization, a learning rate scheduler and using convolutional layers of depth [192, 144, 96] we achieve a minor increase in the obtained AUROC score as shown in the column "Our best". Note that our new suggested baseline

score is as high as the best layer of Liu et al..



(a) Input image

(b) Ground truth mask (c) V

(c) VAE input reconstruction difference (d) Reproduced Liu et al. (e) Average VAE reconstruction difference

Figure 2: Anomaly detection approaches (c, d, e) compared to the input image and ground truth mask (a, b).

235 3.1.3 Evaluation on MVTec AD

To evaluate the second claim, the author's results were reproduced for five different object classes: two of their best texture classes (leather and tile), two of their best object classes (hazelnut and metal nut) and their worst-performing class (capsule). An example of a generated attention map for the hazelnut class is shown in Appendix B. Table 1b shows the AUROC score and the best Intersection over Union (IoU). In addition, to make the results in , for transparency, we included the target layer that was used to generate the best score for each class. The AUROC score show comparable results for hazelnut and leather, lacking results for metal nut, but much higher AUROC score for the capsule.

242 3.2 Latent Space Disentanglement





(a) Quantitative results of the FactorVAE against the AD-FactorVAE. All models are run with $\gamma = 40$ and averaged over three seeds, the number after the λ indicates its corresponding value for that model and the number after L indicates the target layer, if this is not specified the target layer is the first layer. Note that the result for $\lambda = 80$ is similar to $\lambda = 1$ and was thus left out for clarity.

(b) Qualitative results of two attention maps with the highest response for the first layer of the FactorVAE (row 2 and 3) and AD-FactorVAE (row 4 and 5) with $\lambda = 40$; $\gamma = 40$ is used for both models. Row 1 shows the ground truth.

Figure 3: Results for latent space disentangling with the FactorVAE and AD-FactorVAE.

²⁴³ For the results corresponding to the reproduction of the third claim about state-of-the-art disentanglement, see figure

3. The left figure shows the quantitative results, this is the reproduction of figure 8 from Liu et al.. The figure on the

right shows the reproduction of the qualitative results of the attention maps generated for both a FactorVAE and an

AD-FactorVAE model, it corresponds to figure 9 from Liu et al..

247 3.3 Discussion of Results

First of all, for the MNIST experiments, we were able to replicate the results shown in table 4. However, there existed some variation in the quality of the generated anomaly detection maps that was not present in the results of the authors. Image samples resembling the ones used by the authors in table 4 generally show good performance, but for many other image samples, the model did not perform as well. Because the authors mostly show a homogeneous collection of digits with little variation in table 4, it is difficult to determine whether our results are lacking or if the authors just decided to show only the images with the best performance. Our best performing results do, however, closely resemble their results, which substantiates this claim.

Secondly, for the UCSD Ped1 dataset, Liu et al. score a high AUROC score for all layers, where we only score high for 255 one layer. Moreover, their highest score of 0.92 is substantially higher than our score of 0.802. After hyperparameter 256 search, we were able to improve our best results to 0.858 but were unable to match their result. Several explanations are 257 possible: On the one hand, their training setup can differ from ours. They might use another data augmentation pipeline 258 or it is possible that other training hyperparameters are used since it is unclear which optimizer and loss function they 259 use and for how many epochs they train. On the other hand, their calculation of the AUROC score could differ from 260 ours. This can be substantiated by the fact that when we tried to reproduce their baseline of 0.86 by taking the difference 261 between input and the VAE its reconstruction, we obtained a substantially lower baseline of 0.701. We were not able to 262 find out how Liu et al. computed the AUROC score, however, we used the scikit-learn implementation, which uses a 263 standard method. At last, we suggest using a new baseline for evaluating this dataset by taking the difference between 264 the input and the average VAE reconstruction of the latent space, which is essentially an image of an empty pedestrian 265 walkway. Taking this difference resulted in an AUROC score of 0.921, which demonstrates that adding the authors 266 explainable VAE model does not improve anomaly detection for this dataset. 267

For anomaly detection on the MvTec dataset, most of the reproduced experiments achieved lower scores than the paper. It is noteworthy, however, that the classes leather, capsule and hazelnut all showed decent AUROC scores, similar to the paper or in the case of the capsule even higher results. From these three classes, the significance of the anomaly detection as claim 2 described is at least established. Although the divergent results of the capsule and metal nut class indicate our implementation is different from the one by Liu et al.. Potentially the slight difference in network architecture that resulted from their infeasible network description, in combination with longer training time, could increase the performance to match the state-of-the-art results. Lastly, the results from the capsule class indicate that

their implementation is capable of improvements for at least some classes.

Finally, for the latent space disentanglement experiments, the results show that, just as with the results of Liu et al., our 276 reproduction of the AD-FactorVAE with lambda = 40 outperforms the standard AD-FactorVAE for the disentanglement 277 metric, without increasing the reconstruction loss. However, it does not do so by as great a margin as claimed in the 278 original paper: we get an improvement of around 0.05, whilst they state an improvement of around 0.09. This could be 279 due to us using a slightly different model, but we found this to be unlikely as we first used a model more similar to 280 theirs but later on switched to the current model. Both setups gave similar results, but ours was slightly faster. Liu et al. 281 also show qualitative results to indicate that the latent space disentanglement is visibly better in the resulting attention 282 maps. We found this to be untrue, as from figure 3b it is not clearly visible that the two latent dimensions are visibly 283 more dissimilar for the AD-FactorVAE than for the FactorVAE. Qualitative analysis is, however, not representative as 284 only a very small part of the dataset was checked, but this is also the case for Liu et al. 285

286 4 Discussion

287 4.1 Reproducibility

For this reproducibility challenge, there were some difficulties in reproducing the paper by Liu et al., although there were also a few parts that proceeded more smoothly. Reproducing the MNIST experiments, for example, was relatively easy, as the was code available on GitHub repository of the authors. In order to get results that were more consistent with the ones shown in their paper, only minimal hyperparameter tweaking was required, but in general, the experiment could be reproduced by simply running the provided code. Furthermore, implementing the FactorVAE with the dSprites dataset was also not too difficult, as the found implementation was very well structured and documented.

The most difficult part of reproducing the results of the paper arose from the brief and often partial descriptions of the 294 author's implementations. An important example is the ReLU activation that appeared in the paper but was missing 295 in the code. We ran various preliminary experiments comparing the performance of ReLU and absolute, where the 296 absolute operation showed much better performance. For this reason, we suspect that the ReLU in the paper might have 297 been a mistake and the absolute operation is the appropriate function they use. In addition, even though a supplementary 298 document was given, training specifics like the number of epochs or the use of a learning rate scheduler were not 299 mentioned anywhere. Another important detail that remained unclear was which target layers were used for creating 300 the attention maps for different models. At certain points in the paper, for example the UCSD-Ped1 experiments, the 301 authors clearly state which layers were used, but for all other experiments this detail remained unclear or was never 302 mentioned at all. Furthermore, while the supplementary document gave some insight into the scaling and rotating 303 of input images, no mention was given whether the input was normalized. Although it is often considered common 304 practice to normalize input images before training, the lack of mention in the paper makes it difficult to assume anything. 305 In addition, implementing the disentanglement metric proposed by Kim and Mnih [2018] was difficult, as it was both 306 hard to interpret the implementation stated in the paper and the results were not similar to those for the original model 307 at first. 308

309 4.2 Conclusion

From the results, we can conclude that the first claim Liu et al. make, that they can generate visual attention maps for 310 VAEs, is true. We were successfully able to generate these attention maps and could apply them to anomaly detection 311 as well. For the MNIST experiments, this too led to results that were similar to the paper. However, for the UCSD-Ped1 312 experiments, the explainable VAE model of the authors actually performed worse than our own baseline. Moreover, we 313 were not able to support the authors their claim that they achieve state-of-the-art on the MVTec dataset. It is worth 314 noting that we may have arrived at these different results due to the unclarity of the authors their implementation details. 315 Therefore, we conclude that their second claim partially holds, as our experiments show that it is possible to use their 316 attention maps for anomaly detection, but we cannot always match their performance. Finally, the third claim, that 317 attention can help with latent space disentanglement, also holds. Although our found results are not as good as claimed 318 by Liu et al., they are better than those for the set baseline that does not use the attention maps. 319

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Network	Laver	Output Dimensions
TICCHOIR		
Encoder	Conv 2D, 4×4 , 64 , 2 , 1	$14 \times 14 \times 64$
	ReLU	$14 \times 14 \times 64$
	Conv 2D, 4×4 , 128,2,1	$7 \times 7 \times 128$
	ReLU	$7 \times 7 \times 128$
	Flatten	6272
	Linear	1024
	ReLU	1024
	Linear	32
	Linear	1024
	ReLU	1024
	Linear	6272
Decoder	ReLU	6272
	Unflatten	$7 \times 7 \times 128$
	ReLU	$7 \times 7 \times 128$
	ConvTr 2D, 4×4 , 64,2,1	$14 \times 14 \times 64$
	ReLU	$14 \times 14 \times 64$
	ConvTr 2D, 4×4 , 1,2,1	$28 \times 28 \times 1$
	Sigmoid	$28 \times 28 \times 1$

Figure 4: One-class Vanilla VAE for MNIST.

Network	Layer	Output Dimensions
Encoder	Resnet18	32
	Linear	1024
	Linear	$1024 \times 4 \times 4$
	ConvTr 2D, 4×4 , 512,2,1	$8 \times 8 \times 512$
	BatchNorm	$8 \times 8 \times 512$
	ReLU	$8 \times 8 \times 512$
	ConvTr 2D, 4×4 , 256,2,1	$16\times16\times256$
	BatchNorm	$16\times16\times256$
	ReLU	$16 \times 16 \times 256$
ler	ConvTr 2D, 4×4 , 128,2,1	$32 \times 32 \times 128$
coc	BatchNorm	$32 \times 32 \times 128$
De	ReLU	$32 \times 32 \times 128$
	ConvTr 2D, 4×4 , 64,2,1	$64 \times 64 \times 64$
	BatchNorm	$64 \times 64 \times 64$
	ReLU	$64 \times 64 \times 64$
	ConvTr 2D, 4×4 , 32,2,1	$128\times128\times32$
	BatchNorm	$128\times128\times32$
	ReLU	$128 \times 128 \times 32$
	ConvTr 2D, 4×4 , 3,2,1	$256\times256\times3$
	Sigmoid	$256 \times 256 \times 3$

Figure 6: Resnet18 VAE we used.

Network	Layer	Output Dimensions
	Conv 2D, 4×4 , 64,2,1	$50 \times 50 \times 64$
	ReLU	$50 \times 50 \times 64$
	Conv 2D, 4×4 , 128,2,1	$25 \times 25 \times 128$
	ReLU	$25 \times 25 \times 128$
ode	Conv 2D, 4×4 , 256,2,1	$12 \times 12 \times 256$
nce	ReLU	$12\times12\times256$
Щ	Flatten	36864
	Linear	1024
	ReLU	1024
	Linear	32
	Linear	1024
	ReLU	1024
	Linear	36864
	ReLU	36864
	Unflatten	$256 \times 12 \times 12$
ode	ReLU	$256 \times 12 \times 12$
Decc	ConvTr 2D, 5×5 , 128,2,1	$25 \times 25 \times 128$
	ReLU	$25 \times 25 \times 128$
	ConvTr 2D, 4×4 , 64,2,1	$50 \times 50 \times 64$
	ReLU	$50 \times 50 \times 64$
	ConvTr 2D, 4×4 , 1,2,1	$100 \times 100 \times 1$
	Sigmoid	$100 \times 100 \times 1$

Figure 5: One-class Vanilla VAE used by authors for UCSD-Ped1.

Network	Layer	Output Dimensions
	Input Image	64×64
	Conv 2D, 4×4 , 32,2,1	$32 \times 32 \times 32$
	ReLU	$32 \times 32 \times 32$
	Conv 2D, 4×4 , 32,2,1	$16 \times 16 \times 32$
	ReLU	16 imes16 imes32
H	Conv 2D, 4×4 , 64,2,1	$8 \times 8 \times 64$
ode	ReLU	$8 \times 8 \times 64$
ncc	Conv 2D, 4×4 , 64,2,1	$4 \times 4 \times 64$
Щ	ReLU	$4 \times 4 \times 64$
	Conv 2D, 4×4 , 128,1,1	$1 \times 1 \times 128$
	ReLU	$1 \times 1 \times 128$
	Conv 2D, 1×1 , 32,1,0	32
	Conv 2D, 1×1 , 32,1,0	32
	Input	\mathbb{R}^{32}
	Conv 2D, 1×1 , 128,1,0	128
	ReLU	$1 \times 1 \times 128$
	ConvTr 2D, 4×4 , 64,1,0	$4 \times 4 \times 64$
н	ReLU	$4 \times 4 \times 64$
Decode	ConvTr 2D, 4×4 , 64,2,1	$8 \times 8 \times 64$
	ReLU	$8 \times 8 \times 64$
	ConvTr 2D, 4×4 , 32,2,1	$16 \times 16 \times 32$
	ReLU	$16 \times 16 \times 32$
	ConvTr 2D, 4×4 , 32,2,1	$32 \times 32 \times 32$
	ReLU	32 imes 32 imes 32
	ConvTr 2D, 4×4 , 1,2,1	$64 \times 64 \times 1$

Figure 7: FactorVAE used by authors.

346 B Qualitative Results on MVTec Dataset



Figure 8: Reproduced images of the attentionmap on the hazelnut class