## AG-SLAM: ACTIVE GAUSSIAN SPLATTING SLAM

Anonymous authors

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### ABSTRACT

We present AG-SLAM, the first active SLAM system utilizing 3D Gaussian Splatting (3DGS) for online scene reconstruction. In recent years, radiance field scene representations, including 3DGS have been widely used in SLAM and exploration, but actively planning trajectories for robotic exploration is still unvisited. In particular, many exploration methods assume precise localization and thus do not mitigate the significant risk of constructing a trajectory, which is difficult for a SLAM system to operate on. This can cause camera tracking failure and lead to failures in real-world robotic applications. Our method leverages Fisher Information to balance the dual objectives of maximizing the information gain for the environment while minimizing the cost of localization errors. Experiments conducted on the Gibson and Habitat-Matterport 3D datasets demonstrate state-of-the-art results of the proposed method.

1 INTRODUCTION 023

Being able to autonomously explore and map an environment while localizing within that map is a 025 core skill for a mobile robot. This problem is known as active Simultaneous Localization and Mapping 026

(active SLAM). Active SLAM lies at the intersection of exploration and SLAM, although it introduces 027 challenges that are not present in either – that is, a trade-off between exploration and reducing the uncertainty of the estimated state which includes the agent's pose and the map of the environment. 029 Classical active SLAM methods often define objectives to reduce the uncertainty of the state estimate based on its covariance [10; 40; 3; 55; 5]. The covariance is readily available for classical SLAM 031 systems which use filters to update the state estimate. However, many recent visual SLAM systems instead use a non-linear rendering loss to update the state estimate, which makes the covariance 033 difficult to obtain. In particular, systems of this type using 3D Gaussian Splatting (3DGS) [21] 034 for the scene representation have been developed 30; 59; 20; 16 which allow for high-fidelity rendering of novel views of the scene. In addition to cases where the reconstruction of a scene is 035 desired for its own sake, a 3DGS scene representation extended to support open-vocabulary semantic segmentation [67; 46; 38; 63] and can be used as a basis for language-specified robotics tasks, for 037 example 3DGS have already been used for mobile manipulation [14]. Many existing methods for such tasks currently rely on pre-scanning the scene [42; 26; 15], so the ability to efficiently and autonomously create a 3DGS representation of the scene can support work using 3DGS for these 040 tasks. While an existing active SLAM algorithm could be used to construct a 3DGS representation 041 using the actions and estimated poses as inputs this is less efficient and, we argue, less effective 042 than an active SLAM system which is specifically designed for a 3DGS scene representation. We 043 thus present the first 3DGS-based active SLAM system, allowing us to autonomously create a scene 044 representation of a novel environment from which we can render high-fidelity color and depth images.

Classical approaches such as frontier-based exploration and A\* algorithms are still used in active 046 SLAM systems for their efficiency and simplicity 47; 2; 53; 49; 22. However, algorithms that 047 use only simple heuristics cannot determine the information gain, limiting their objective to simply 048 improving coverage or having minimal travel distances. We propose to formulate this problem as an active learning problem, use heuristic approaches to efficiently generate a large number of feasible paths as candidates, and employ an uncertainty-aware algorithm to determine the best path for both 051 localization and mapping. This allows us to balance the dual objectives of exploration and localization uncertainty reduction by using frontiers and expected information gain to drive exploration and our 052 novel path selection algorithm to minimize the uncertainty of the state estimate. There have been previous methods for quantifying the uncertainty of radiance fields for reconstructing scenes from

054 given data [44] 45; 50; 12], active view selection [34; 19; 50; 25] and active reconstruction [60] 055 or mapping 61 of scenes with given localization, and for active SLAM of small scenes with 056 an inward-facing camera [64]. However, all the prior methods only model the uncertainty of the 057 scene representation, whereas we also model the localization uncertainty. In addition, we consider 058 uncertainty over paths not only single views.

To validate our approach, we evaluate our method on scenes from the Gibson [57] and Habitat-060 Matterport 3D [39] dataset quantitatively and qualitatively. We show superior reconstruction quality 061 in various metrics compared to several baselines and recent state-of-the-art methods. In particular, we 062 compare to Active Neural SLAM [4], ExplORB [36], UPEN [11], active-INR [61] and frontier-based 063 exploration [58] using the ratio of the frontier area to the distance to it as the selection criteria. In all 064 cases, to make a fair comparison of the rendering quality, we only use the method to select actions and keep the SLAM backend, which is used for the final rendering evaluation, the same. Our contributions 065 can be summarized as follows: 066

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• We propose AG-SLAM, an active SLAM system that uses a 3D Gaussian representation. To the best of our knowledge, we are the first to study active SLAM problems with a 3D Gaussian representation. • We derive an objective function for paths in our 3D Gaussian representation that effectively

balances the information gain for exploration and the cost of possible localization errors.

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#### 2 RELATED WORK

075 **Visual SLAM** Simultaneous Localization and Mapping (SLAM) is the problem of constructing 076 a map of an unknown environment while localizing within that map. In visual SLAM, this is 077 done only based on visual observations. Visual SLAM systems can be categorized as either dense or sparse. Sparse methods [32] & 31 [1] use and reconstruct only selected points in the scene, 079 usually by extracting and matching features. Dense methods [18; 33; 7; 52] maintain a dense scene 080 representation which is used for reconstruction and tracking, generally based on photometric loss.

081 The success of radiance fields in novel view synthesis has made them a popular scene representation 082 for dense SLAM. The first SLAM system using a radiance field as the only scene representation was 083 iMAP [48], using a multi-layer perceptron (MLP) as its scene representation. NICE-SLAM [68] used 084 an explicit hierarchical feature grid along with pre-trained MLPs to represent the scene, allowing 085 it to better represent large-scale scenes. NICE-SLAM [68] was extended to only require RGB data instead of RGB-D in NICER-SLAM [69] by making use of monocular depth and normal estimators and optical flow loss. GO-SLAM [66] introduced global Bundle Adjustment (BA) and 087 loop closure for an implicit SLAM system using an MLP representing a signed-distance field, greatly 088 increasing tracking performance compared to previous radiance field-based SLAM methods. SLAM 089 systems using 3D Gaussian Splatting (3DGS) [21] as their scene representation have also recently 090 been developed [20; 59; 30; 16]. By using 3DGS these systems can achieve higher fidelity scene 091 representations without sacrificing speed. We use MonoGS [30] as the backbone of our active SLAM 092 system.

094 Active SLAM In the active SLAM task, the agent must construct a trajectory to explore and map 095 the environment. In order to create an accurate map there should be a trade-off between exploring 096 new regions and reducing the uncertainty of the map and pose estimates [22, 40, 3].

Active SLAM systems are typically divided into three components [27: 37] – candidate goal identifi-098 cation, utility computation, and action planning and execution. Frontier-based exploration (FBE) [58] is a widely used technique for proposing candidate goals [47]; 2; 53; 49]. The utility calculated 100 in the second stage of active SLAM algorithms generally seeks to capture uncertainty [27; 37] – 101 specific utility functions are often drawn from either Information Theory (IT) [43] or the Theory of 102 Optimal Experimental Design (TOED) [35]. Metrics from IT are based on entropy, whereas those 103 from TOED are based on covariance. Many TOED-based methods formulate objectives using the 104 Fisher Information Matrix (FIM) because its inverse is the Cramer-Rao lower bound of the covariance 105 matrix and the FIM is generally sparser than the covariance [37]. This approach is often taken by filter-based SLAM algorithms 10 55 22, as the filter produces a covariance of the state estimate. 106 For the action planning and execution, various path planning algorithms are used, including RRT [24] 107 in Vallvé & Andrade-Cetto [54]; Huang & Gupta [17] and A\* [13] in Kim & Eustice [22]. We follow



121 Figure 1: An Illustration of Our Active SLAM System The Active Gaussian SLAM system 122 proposes paths based on the Fisher Information about the parameters of the 3D Gaussian parameters. 123 The best path and action along the path is selected with respect to both the information gain and 124 localization accuracy. The Active SLAM system progressively improves the mapping and localization 125 during exploration

this three-component approach to active SLAM, using standard techniques for the first and third 127 components (FBE and A\*, respectively) and a novel utility formula based on Fisher Information. 128

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**Uncertainty quantification for radiance fields** The vast majority of previous work on uncertainty 130 quantification for radiance fields has been for post-processing scenes [44; 45; 50; 12], view selec-131 tion [34, 19, 50, 25] or active view selection [34, 50, 19], all of which assume the input images are 132 posed. Active neural mapping [61] uses neural variability, that is the prediction robustness against 133 random weight perturbations, as an estimate of uncertainty to actively map a scene with ground 134 truth poses provided. Fisher-RF [19] also performs active scene mapping with ground truth poses 135 provided, based on an approximation of the Fisher Information of views along candidate paths. Zhan 136 et al. 64 perform active reconstruction without ground truth camera poses, however unlike us they 137 only evaluate on small scenes and limit the camera trajectories to be inwards facing. Unlike our method, these prior works only model scene uncertainty, not localization uncertainty which is a key 138 consideration for active SLAM. 139

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#### 3 METHOD

In this section, we first introduce the preliminary background of 3D Gaussian Splatting SLAM 30 and FisherRF [19], which are the foundation for our algorithm in Section 3.1. We then discuss our information-driven path proposal algorithm in Sec. 3.2 and our path selection algorithm in Sec. 3.3 146

3.1 PRELIMINARY

149 In 3D Gaussian Splatting (3DGS) [21], the scene is represented by a set of 3D Gaussians whose 150 color and opacity are learned via a rendering loss. The rendered image depends on the 2D Gaussians  $\mathcal{N}(\mu_I, \Sigma_I)$  that are projections  $\pi(.)$  of 3D Gaussians  $\mathcal{N}(\mu_W, \Sigma_W)$  in world coordinates. The 151 projection of a 3D Gaussian in the world frame to a 2D Gaussian on the image plane can be written as 152

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$$\boldsymbol{\mu}_{I} = \pi (\mathbf{x}^{-1} \cdot \boldsymbol{\mu}_{W}) , \boldsymbol{\Sigma}_{I} = \mathbf{J} \mathbf{W} \boldsymbol{\Sigma}_{W} \mathbf{W}^{T} \mathbf{J}^{T} , \qquad (1)$$

where  $\mathbf{x} \in SE(3)$  is the world-to-camera transformation, , and J is the Jacobian of the linear 156 approximation of the projective transformation and W is the rotational component of x. Each pixel's 157 color  $C_p$  is then calculated from the 2D Gaussians using  $\alpha$ -blending for the N ordered points on the 158 2D splat that overlaps the pixel. That is, 159

$$C_p = \sum_{i=1}^{N} \mathbf{c}_i \alpha_i \prod_{j=1}^{i-1} (1 - \alpha_j), \qquad (2)$$

162 where  $c_i$  is the learned color associated with each Gaussian, and  $\alpha_i$  is calculated using the covariance 163 of the corresponding 2D Gaussian following Yifan et al. [62] then multiplying with a learned per-point 164 opacity. Following MonoGS [30], we omit the view-dependence of the color as it greatly saves 165 the number of parameters and memory usage in large-scale scenes while maintaining satisfactory 166 performance. The rendering at a given camera location x with 3DGS parameters w can be considered as a function  $f(\mathbf{x}, \mathbf{w})$ . 167

168 MonoGS [30] found the Jacobian of the current camera pose x with respect to the parameters of 3D 169 Gaussians  $\mu_I$  and  $\Sigma_I$  using the chain rule: 170

$$\frac{\partial \boldsymbol{\mu}_{I}}{\partial \mathbf{x}} = \frac{\partial \boldsymbol{\mu}_{I}}{\partial \boldsymbol{\mu}_{C}} \frac{\mathcal{D}\boldsymbol{\mu}_{C}}{\mathcal{D}\mathbf{x}}, \qquad (3)$$
$$\frac{\partial \boldsymbol{\Sigma}_{I}}{\partial \mathbf{x}} = \frac{\partial \boldsymbol{\Sigma}_{I}}{\partial \mathbf{J}} \frac{\partial \mathbf{J}}{\partial \boldsymbol{\mu}_{C}} \frac{\mathcal{D}\boldsymbol{\mu}_{C}}{\mathcal{D}\mathbf{x}} + \frac{\partial \boldsymbol{\Sigma}_{I}}{\partial \mathbf{W}} \frac{\mathcal{D}\mathbf{W}}{\mathcal{D}\mathbf{x}}, \qquad (4)$$

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Let  $\tau \in \mathfrak{se}(3)$  and define the (left) partial derivative on the manifold as:

$$\frac{\mathcal{D}f(\mathbf{x})}{\mathcal{D}\mathbf{x}} \triangleq \lim_{\tau \to 0} \frac{\operatorname{Log}(g(\operatorname{Exp}(\tau) \circ \mathbf{x}) \circ g(\mathbf{x})^{-1})}{\tau} , \qquad (5)$$

where  $\circ$  is a group composition, and Exp and Log are the exponential and logarithmic mappings 179 between Lie algebra and Lie Group. 180

181 Fisher Information is a measurement of the information that a random variable y carries about an 182 unknown parameter  $\mathbf{w}$  of a distribution that models  $\mathbf{y}$ . In the problem of novel view synthesis, we are interested in measuring the observed information of a radiance field with parameters w at a camera 183 pose x using the negative log-likelihood of the image observation y taken from that pose: 184

$$-\log p(\mathbf{y}|\mathbf{x};\mathbf{w}) = (\mathbf{y} - f(\mathbf{x},\mathbf{w}))^T (\mathbf{y} - f(\mathbf{x},\mathbf{w})),$$
(6)

186 where  $f(\mathbf{x}, \mathbf{w})$  is the rendering model. Under regularity conditions [41], the Fisher Information of 187  $-\log p(\mathbf{y}|\mathbf{x};\mathbf{w})$  is the Hessian of Eq. 6 with respect to w, denoted  $\mathbf{H}''[\mathbf{y}|\mathbf{x},\mathbf{w}]$ . 188

189 FisherRF [19] addressed the active view selection problem that starts with a training set of views  $D^{train}$  and aims to select the next best view from a set of candidate SE(3) camera poses  $\mathbf{x}_i^{acq} \in D^{pool}$  without obtaining the image  $\mathbf{y}_i^{acq}$  at the camera pose  $\mathbf{x}_i^{acq}$ . The next best view is 190 191 chosen by finding: 192

$$\underset{\mathbf{x}_{i}^{acq} \in D^{pool}}{\arg\max} \operatorname{tr}\left(\mathbf{H}''[\mathbf{y}_{i}^{acq}|\mathbf{x}_{i}^{acq},\mathbf{w}] \mathbf{H}''[\mathbf{w}|D^{train}]^{-1}\right),\tag{7}$$

195 where w is the initial estimate of model parameters using current training set  $D^{train}$ . 196  $\mathbf{H}''[\mathbf{w}|D^{train}]^{-1}$  can be computed by summing the Hessians of model parameters across all dif-197 ferent views in  $\{D_{train}\}$  before inverting. The key of this algorithm is that the Fisher Information  $\mathbf{H}''[\mathbf{y}_i^{acq} | \mathbf{x}_i^{acq}, \mathbf{w}]$  does not depend on the label  $\mathbf{y}_i^{acq}$  of the acquisition sample  $\mathbf{x}_i^{acq}$ . Therefore, it is feasible to compute the Expected Information Gain (EIG) before visiting the potential view candidate 199  $\mathbf{x}_i^{acq}$ . However, the number of optimizable parameters is typically more than 20 million, which 200 means it is impossible to compute without sparsification or approximation. In practice, FisherRF 19 201 applies a Laplace approximation [6, 28] that approximates the Hessian matrix with its diagonal values 202 plus a log-prior regularizer  $\lambda I$ 203

$$\mathbf{H}''[\mathbf{y}|\mathbf{x}, \mathbf{w}] \simeq \operatorname{diag}(\nabla_{\mathbf{w}} f(\mathbf{x}, \mathbf{w})^T \nabla_{\mathbf{w}} f(\mathbf{x}, \mathbf{w})) + \lambda I.$$
(8)

To understand the usage of EIG, In Fig. 2, we plot the Peak-signal-to-noise ratio (PSNR) vs EIG at 206 sampled poses and show some example renderings to give a sense of how the EIG is related to the 207 PSNR and the rendering quality. More importantly, unlike PSNR, the EIG can be computed without 208 ground truth images, making it possible to perform view selection during exploration. 209

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- 3.2 INFORMATION-DRIVEN PATH PROPOSAL 211
- 212 We consider an agent moving in a 2D plane (e.g. a wheeled robot), so we construct a 2D occupancy 213 map for path planning by ground projecting the means of 3D Gaussians. 214
- Frontier-based exploration 58 is incorporated into our algorithm to provide candidates for view 215 selection. The frontiers are defined to be points on the boundary between free space and unobserved



Figure 2: Scatter Plot of EIG vs. PSNR We plot the EIG and PSNR at sampled poses in the Cantwell scene of the Gibson dataset. The figure corroborates the intuition that the robot expects to gain little information (low EIG) at well reconstructed region (high PSNR) and gain much information (high EIG) at a poorly reconstructed region (low PSNR).

space (not marked as free or occupied) in our occupancy map. We then form an initial set of candidate poses  $\mathcal{T}_I$  by sampling poses around each point in the largest frontier. If there are no frontiers, we instead sample poses around the center of each Gaussian to form  $\mathcal{T}_I$ . We then evaluate the Expected Information Gain (EIG) for each pose  $\mathbf{x}_i^{acq} \in \mathcal{T}_I$ , given by

$$\operatorname{EIG}(\mathbf{x}_{i}^{acq}) = \operatorname{tr}\left(\mathbf{H}''[\mathbf{y}_{i}^{acq}|\mathbf{x}_{i}^{acq},\mathbf{w}] \,\mathcal{I}(\mathbf{w})^{-1}\right),\tag{9}$$

as a preliminary selection metric to form our final candidate target poses set  $\mathcal{T}_F$ . Thus, we can 244 identify multiple coarse directions for explorations where we can propose multiple paths for detailed 245 path planning and path selection. FisherRF [19] uses  $\mathbf{H}''[\mathbf{w}|D^{train}]$  as an approximation for the 246 observed Fisher Information  $\mathcal{I}(\mathbf{w})$  by computing the Hessians on the training set. This is also known 247 as empirical Fisher Information, whose limitations have been widely discussed by Kunstner et al. [23] 248 and Marten et al. [29]. In most scenarios, this is a reluctant design choice because the distribution 249 of  $\mathbf{x} \sim p(\mathbf{x})$  is unknown (i.e., the distribution of all possible images). However,  $\mathbf{x} \sim p(\mathbf{x})$  in our 250 case is tractable because it represents the possible locations where we can take an observation for the 251 environment, a.k.a. the free space of our map. Therefore, unlike FisherRF [19] as described in Eq. 7. 252 we propose to use Monte-Carlo sampling to compute the Fisher Information of the current model 253

$$\mathcal{I}(\mathbf{w}) = \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathbf{H}''[\mathbf{y}|\mathbf{x}, \mathbf{w}] \right] \simeq \sum_{k=1}^{N} \mathbf{H}''[\mathbf{y}_{k}|\mathbf{x}_{k}, \mathbf{w}], \quad \mathbf{x}_{k} \sim p(\mathbf{x})$$
(10)

256 where  $p(\mathbf{x}_k)$  is approximated with a uniform distribution of camera poses in the free space of 257 the current map. Besides, we also uniformly initialize 3D Gaussians in the space, which will be 258 subsequently updated with rendering losses for visited regions. In Fig. 2, we show the relationship 259 between PSNR and EIG on sampled poses in the Cantwell scene. The result aligns with common 260 sense that EIG should decrease as PSNR increases. We also show some cases on the lower-left 261 and upper-right of the scatter plot. For the lower left capture, even though the left part is poorly 262 reconstructed, most of view is occupied by textureless wall, leading to low EIG score. For the 263 upper right capture, even though the scene has a moderate reconstruction, the content is rich so our 264 algorithm returns a high EIG score.

Finally, we compute paths towards each pose in  $\mathcal{T}_F$  with the A\* algorithm [13] using the occupancy map, selecting which path to follow as described in Section 3.3. The path can be defined as an ordered set of camera poses from the current location  $x_t$  at exploration step t to the frontier points  $x_T^j$ .

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$$\mathcal{P}_j = \{x_{t+1}^j, \dots, x_T^j\} \tag{11}$$

# 270 3.3 PATH SELECTION WITH LOCALIZATION UNCERTAINTY271

Following FisherRF [19], the EIG for 3D Gaussian parameters along a path  $\mathcal{P}_i$  can be computed as:

$$\sum_{\mathbf{x}_i \in \mathcal{P}_j} \mathsf{EIG}_{\mathcal{P}_j,i}(\mathbf{x}_i), \ \mathsf{EIG}_{\mathcal{P}_j,i}(\mathbf{x}_i) = \operatorname{tr}\left(\mathbf{H}''[\mathbf{y}_i|\mathbf{x}_i,\mathbf{w}] \ \mathcal{I}_{\mathcal{P}_j,i}(\mathbf{w})^{-1}\right)$$
(12)

where  $\mathcal{I}_{\mathcal{P}_j,i}(\mathbf{w})$  takes the mutual information along the path into account as follows

$$\mathcal{I}_{\mathcal{P}_{j},i}(\mathbf{w}) = \mathbf{H}''[\mathbf{w}] + \sum_{\mathbf{x}_{t} \in \mathcal{P}_{j}, t < i} \mathbf{H}''[\mathbf{w}|\mathbf{x}_{t}].$$
(13)

where  $\mathbf{H}''[\mathbf{x}]$  is short for  $-\nabla^2 \log p(w)$  for clarity. If solely maximizing the EIG, the robot will be more likely to explore unvisited regions. However, exploring regions that have not been well reconstructed also means the agent would have the risk of worse localization accuracy due to noise and ambiguities in the unreconstructed regions during pose optimization. The cost of localization must thus be considered during path planning to balance the importance of exploring new environments with maintaining localization accuracy. Please note it is possible to add a weighted factor for the EIG. This is omitted in our experiments because we cap the maximum steps, as the EIG is used to choose short-term exploration goals, so the proposed paths have similar lengths. We propose to use Fisher Information as a measurement for the localization uncertainty that is also necessary for effective path planning for active SLAM algorithms. During optimization, we essentially optimize on the logarithmic mapping of  $\tau_i \triangleq \text{Log}(\mathbf{x}_i)$  of our camera pose. By the Cramér–Rao bound, the covariance of  $\tau_i \in \mathfrak{se}(3)$  can be lower-bounded with the inverse of Fisher Information matrix  $\mathcal{I}(\tau_i)$ :

$$\operatorname{Cov}(T(\hat{\tau}_i)) \ge \mathcal{I}(\tau_i)^{-1} \tag{14}$$

where  $T(\tau_i)$  is an unbiased estimator for  $\tau$  solved by iteratively optimizing photo-metric loss. Hence, we can define the localization cost  $C_{local}$  at a pose  $\mathbf{x}_i$  in terms of  $\tau_i$  as:

$$C_{local}(\tau_i) = \log \det(\nabla_{\tau_i} f(\tau_i, \mathbf{w})^T \nabla_{\tau_i} f(\tau_i, \mathbf{w}))$$
(15)

Matsuki *et al.* [30] computed the Jacobians of camera pose with respect to the mean and covariances of each gaussian  $\frac{\partial \mu_I}{\partial \mathbf{x}}$  and  $\frac{\partial \Sigma_I}{\partial \mathbf{x}}$ . However, we need to compute the Jacobian of  $\tau_i$  with respect to the rendering output:

$$\nabla_{\tau_i} f(\tau_i, \mathbf{w}) = \frac{\partial f(\tau_i, \mathbf{w})}{\partial \tau_i} = \begin{bmatrix} \frac{\partial f(\tau_i, \mathbf{w})}{\partial \mu_I} & \frac{\partial f(\tau_i, \mathbf{w})}{\partial \Sigma_I} \end{bmatrix} \begin{bmatrix} \frac{\mathcal{D}\mu_C}{\mathcal{D}\tau_i} \\ \frac{\mathcal{D}\mathbf{w}}{\mathcal{D}\tau_i} \end{bmatrix}$$
(16)

Without loss of generality, the path of exploration can be selected by minimizing the total cost for all viewpoints  $\mathbf{x}_i$  along a path  $\mathcal{P}_j$ :

$$\underset{\mathcal{P}_{j}}{\operatorname{arg\,min}} \sum_{\mathbf{x}_{i} \in \mathcal{P}_{j}} C_{local}(\operatorname{Log}(\mathbf{x}_{i})) - \eta \log(\operatorname{EIG}_{\mathcal{P}_{j},i}(\mathbf{x}_{i}))$$
(17)

where  $\eta$  is a hyper-parameter controlling the importance between EIG and localization accuracy. The agent can then explore the environment with planned path  $\mathcal{P}$ . Our active SLAM system constantly updates the map, and we replan using our active path planning algorithm if we detect the agent is getting close to a possible obstacle or upon reaching the end of the previously selected path.

318 4 EXPERIMENTS

320 4.1 EXPERIMENTAL SET-UP

**Dataset** Our algorithm is evaluated in the Habitat Simulator [51] on the Gibson [57] and Habitat-Matterport 3D (HM3D) [39] datasets, which are comprised of indoor scenes reconstructed from scans of real houses. For Gibson we use all the scenes in the val split. For HM3D we use 5 scenes from the train split. We adopt the default start point in the Habitat Simulator as the starting point for
 exploration in each scene. The total number of steps for each experiment is 2000. The system takes
 color and depth images at the resolution of 800x800 and outputs a discrete action at each step. The
 action space consists of MOVE FORWARD by 5cm, TURN LEFT, and TURN RIGHT by 5°. The
 field of view (FOV) is set to 90° vertically and horizontally. Please refer to the appendix for more
 details about the evaluation split and other hyper-parameters.

331 Metrics We evaluated our method using the Peak-signal-to-noise ratio (PSNR), Structural Similarity 332 Index Measure SSIM [56], Learned Perceptual Image Patch Similarity (LPIPS) [65] for RGB 333 rendering and mean absolute error (MAE) for depth rendering as a metric for scene reconstruction quality. We calculate these metrics using 2000 points uniformly sampled from the movement plane 334 of the agent in the scene, discarding any points that are not navigable. We argue the rendering 335 quality reflects both reconstruction quality and the pose accuracy because high tracking accuracy 336 would help the training of the 3D Gaussian Splatting model. Meanwhile, misaligned pose accuracy 337 will lead to misaligned rendering at test time thus leading to inferior results. Following previous 338 approaches [11], [4], We also use coverage in  $m^2$  and % as evaluation metrics. To evaluate the pose 339 estimation accuracy we use the root mean squared average tracking error (RMSE ATE). Please note 340 that for active SLAM the trajectories for each method are different so the RMSE ATE should only be 341 considered along with other metrics such as coverage. 342

343 **Baselines** We compare to two exploration methods which assume ground truth pose: UPEN 11 344 and Active Neural Mapping (active-INR) [61]. UPEN uses an ensemble of models to predict and 345 estimate the epistemic uncertainty of the occupancy map outside the field of view and leverages 346 these to construct paths that reduce the uncertainty of the occupancy prediction. We also report the 347 results of UPEN(gt), which uses ground truth pose as a reference because we find UPEN failed on 348 some scenes due to localization failure. Active-INR aims to minimize the neural variability, that 349 is the prediction robustness against random weight perturbation, of its signed distance field scene 350 representation. We also compare our method with Active Neural SLAM (ANS) [4], explORB [36] and Frontier Based Exploration (FBE) [58] without ground truth pose provided. ANS learns to predict 351 a map and pose estimate and a global goal based on them, which is then reached using a combination 352 of a classical path planner and a trained local policy. ExplORB [36] adds possible loop closure based 353 on the co-visibility of future pose and existing poses based on existing landmarks. It computes the 354 Fisher Information of the Hessian on the pose graph optimization. FBE can be considered an ablation 355 of our method to validate the importance of considering the scene and localization uncertainty, where 356 instead of choosing paths using Eq. 17 we instead select the frontier based on the ratio of its area to 357 the agent's distance from it. 358

To make a fair comparison of the rendering quality, we run all the baselines using the MonoGS 30 359 backend for reconstruction. We run UPEN and FBE online but for ANS, active-INR and ExplORB 360 we record and play back trajectories obtained using their codebase. Because the forward step size 361 for ANS is much larger than for our method, we interpolate the trajectory so that the forward step 362 size matches that of our method to make the steps comparable. For ExplORB, since the official 363 implementation is based on MoveBase, which uses velocity commands, we sample the trajectory at 364 5 Hz. This will limit the distance change between frames to around 4cm and  $5^{\circ}$  for the linear and angular distance, respectively, with the maximal linear and angular velocities as 20 cm/s and 0.5 366 rad/s, respectively. We also found that ANS and active-INR failed on some scenes due to localization 367 failure. ANS produces a pose estimate (using information from noisy pose sensors not provided to our 368 pipeline), so we set the pose estimate of the MonoGS backend to the one from ANS. As active-INR does not produce a pose estimate we evaluate it using the ground-truth pose. 369

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### 4.2 COMPARISON AGAINST PREVIOUS METHODS

Table ]] shows the results of our method AG-SLAM and the baselines for exploration in scenes from
the Gibson dataset, and Table 2] shows the results of our method and some baselines on HM3D. Note
that the percentage coverage reported is the average percentage coverage per scene. As the scenes
are different sizes this means that a method can have a lower percentage coverage with a higher area
coverage, for example if it has better coverage in larger scenes but worse in smaller scenes. Our
AG-SLAM outperforms the baselines on all metrics.



Figure 3: **Qualitative Comparison for Final Scene Reconstruction on Gibson Dataset** Greigsville (top) and Ribera (bottom) scenes. We provide top-down rendering for different methods. Note that UPEN and Active-INR use GT pose in this visualization.

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Figure 4: **Qualitative Comparison for Final Scene Reconstruction on Habitat-Matterport 3D Dataset** mscxX4KEBcB (top), oPj9qMxrDEa (middle) and QKGMrurUVbk (bottom) scenes. We provide top-down rendering for different methods.

Table 1: Evaluation of AG-SLAM and baselines on scenes from the Gibson dataset

Method	PSNR $\uparrow$	SSIM $\uparrow$	LPIPS $\downarrow$	Depth MAE $\downarrow$	RMSE ATE $\downarrow$	Coverage $(m^2)$ $\uparrow$	Coverage (%) $\uparrow$
ANS	16.34	0.6818	0.3923	0.3886	0.1105	10.49	90.50
Active-INR (gt)	22.66	0.7652	0.2164	0.1528	-	9.20	78.34
UPEN (gt)	21.31	0.7325	0.2714	0.1696	-	8.79	76.17
UPEN	16.44	0.6678	0.4134	0.4841	0.5158	8.58	75.82
ExplORB	18.99	0.7175	0.3994	0.2664	0.2296	9.00	76.83
FBE	21.45	0.7618	0.2126	0.1028	0.0168	10.64	85.81
AG-SLAM (ours)	23.08	0.7959	0.1794	0.0763	0.0155	11.23	91.30

Table 2: Evaluation of AG-SLAM and baselines on scenes from the HM3D dataset

Method	PSNR $\uparrow$	SSIM $\uparrow$	LPIPS $\downarrow$	Depth MAE $\downarrow$	RMSE ATE $\downarrow$	Coverage $(m^2)\uparrow$	Coverage (%) $\uparrow$
UPEN (gt)	15.58	0.5175	0.3936	0.4548	-	12.69	53.78
UPEN	12.23	0.4795	0.5157	0.7356	0.4393	10.72	44.96
FBE	15.80	0.5952	0.4392	0.4085	1.2004	15.69	66.44
AG-SLAM (ours)	) 18.74	0.6277	0.3534	0.1757	0.0208	19.70	80.96

We further qualitatively compare the reconstruction qualities after active exploration in Fig. 3 and Fig. 4, and the trajectories in Fig. 5. We can see that AG-SLAM does not have major errors from failed localization and we have fewer gaps in the scenes than other methods. For example, in the Ribera scene all methods except for us and FBE miss the bathroom in the bottom left, and FBE misses more of the area around the sofa than us. For the trajectories, we show the estimated and ground truth trajectories for the Cantwell scene from the Gibson dataset. Cantwell is a relatively large and challenging scene, so it is suitable for showing the differences between methods. We show only a few baselines to keep the figure legible. We can see that Active-INR stays in a smaller area than the rest of the methods. ANS often goes close to walls, whereas FBE and AG-SLAM are generally more



Table 3: Ablation Study of Localization Uncertainty Term on Scenes from the Gibson Dataset. We compare our method with and without the localization uncertainty term to validate that including it provides improvements on both localization and reconstruction

Method	PSNR $\uparrow$	$\mathbf{SSIM} \uparrow$	LPIPS $\downarrow$	Depth MAE $\downarrow$	RMSE ATE $\downarrow$	Coverage $(m^2)\uparrow$	Coverage (%) $\uparrow$
w.o. Localization Uncertainty	22.35	0.7830	0.3089	0.0823	0.1890	10.85	91.75
AG-SLAM	23.08	0.7959	0.1794	0.0763	0.0155	11.23	91.30

## 5 CONCLUSION

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526 527 Recent SLAM methods employ the 3D Gaussian Splatting (3DGS) representation of the world, 528 enabling a volumetric rendering as a measurement prediction. In this paper, we introduced active pose selection for 3DGS-based SLAM. Our AG-SLAM balances the information gain with respect to 529 both location and the map. We mathematically formulated the expected information gain using the 530 Fisher Information matrix and the Cramer-Rao Lower Bound. We evaluate our method for active 531 SLAM on scenes from the Gibson [57] and Habitat-Matterport 3D [39] datasets, in terms of the 532 rendering quality, coverage and average tracking error. We show our uncertainty-based criteria for 533 path selection improves over using frontier-based exploration [58] with a selection criteria that uses 534 the frontier area and distance. We also compare our method with four recent state-of-the-art methods 535 and show that AG-SLAM has superior performance. 536

To enable AG-SLAM to support more robotics applications, future work could extend AG-SLAM to consider movement with higher degrees of freedom (DOF) than the currently supported 3DOF. Incorporating semantic features [67]; 46; 38] to allow for grounding language to the scene would also enable many robotics and computer vision applications.

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