# Learning Complete Protein Representation by Deep Coupling of Sequence and Structure

Anonymous Author(s) Affiliation Address email

## Abstract

Learning effective representations is crucial for understanding proteins and their 1 2 biological functions. Recent advancements in language models and graph neural 3 networks have enabled protein models to leverage primary or tertiary structure information to learn representations. However, the lack of practical methods to 4 5 deeply co-model the relationships between protein sequences and structures has led to suboptimal embeddings. In this work, we propose CoupleNet, a network that 6 couples protein sequence and structure to obtain informative protein representations. 7 CoupleNet incorporates multiple levels of features in proteins, including the residue 8 9 identities and positions for sequences, as well as geometric representations for tertiary structures. We construct two types of graphs to model the extracted 10 sequential features and structural geometries, achieving completeness on these 11 graphs, respectively, and perform convolution on nodes and edges simultaneously 12 to obtain superior embeddings. Experimental results on a range of tasks, such as 13 protein fold classification and function prediction, demonstrate that our proposed 14 model outperforms the state-of-the-art methods by large margins. 15

# 16 **1** Introduction

Proteins are the fundamental building blocks of life and play essential roles in a diversity of ap-17 plications, from therapeutics to materials. They are composed of 20 different basic amino acids, 18 which are lined by peptide bonds and form a sequence. The one-dimensional (1D) sequence of a 19 protein determines its structure, which in turn determines its biochemical function [40]. Due to recent 20 progress in protein sequencing [34], massive numbers of protein sequences are now available. For 21 example, the UniProt [3] database contains over 200 million protein sequences with annotations, 22 e.g., gene ontology (GO) terms, similar proteins, family and domains. Notably, the development of 23 large-scale language models (LMs) in natural language processing has substantially benefited protein 24 research owing to similarities between human language and protein sequences [16, 27]. For instance, 25 models like ProtTrans [14] and ESM-series [39, 33] in learning protein representations have proven 26 successful utility of pre-training protein LMs with self-supervision to process protein sequences. 27

Thanks to the recent significant progress made by AlphaFold2 [30] in three-dimensional (3D) structure 28 prediction, a large number of protein structures from their sequence data are now made available. The 29 latest release of AlphaFold protein structure database [43] provides broad coverage of UniProt [3]. 30 Recently proposed structure-based protein encoders become to utilize geometric features [25, 24, 31 53], e.g., ProNet [47] learns representations of proteins with 3D structures at different levels, like the 32 amino acid, backbone or all-atom levels. There also exists a group of methods that build graph neural 33 networks and LMs (LSTMs or attention models) to process sequence and structure [53, 50, 19], for 34 example, GearNet [53] encodes sequential and spatial features by alternating node and edge message 35 passing on protein residue graphs. 36



Figure 1: Illustration of the protein sequence and structure. 1) The primary structure comprises n amino acids. 2) The tertiary structure with atom arrangement in Euclidean space is presented, where each atom has a specific 3D coordinate. Amino acids have fixed backbone atoms ( $C_{\alpha}$ , C, N, O) and side-chain atoms that vary depending on the residue types. GLU: Glutamic acid. Complete geometries can be obtained based on these coordinates. The sequence and structure provide different information types and data categories.

The 1D sequence and 3D structure of a protein provide different types of information, in detail, as 37 shown in Figure 1, compared with the 1D sequential order and amino acids in peptide chains, the 38 39 tertiary structure provides 3D coordinates of each atom in protein residues, which allow them to perform precise functions. Although a protein's sequence determines its structure, various works 40 have demonstrated the effectiveness of learning from either sequence or structure [33, 25]. However, 41 rich constraints between the sequence and structure of a protein, which may be critical for protein 42 tasks [4], have yet to be fully explored. Most protein sequence-structure modeling methods cannot 43 deeply integrate the information behind sequence and structure for the reason that they tend to fuse 44 45 representations together, extracted from sequence and structure encoders, respectively, by message 46 passing mechanism [8] or by simple concatenation operations.

47 In this work, we aim to learn protein representations by deeply coupling the protein sequences and structures. Considering the relative positions of residues in the sequence and the spatial arrangement 48 of atoms in the Euclidean space, the proposed CoupleNet constructs two categories of graphs for 49 them, respectively. The complete representations are obtained at the amino acid and backbone 50 51 levels on the two graphs, which are used as node and edge features to learn the final graph-level representations. Rather than concatenating sequence and structure representations, we take advantage 52 of graph convolutions, performing node and edge convolutions simultaneously. The contributions of 53 this paper are threefold: 54

- We propose a novel two-graph-based approach for representing the sequence and the 3D geometric structure of a protein, which is an effective way to guarantee completeness.
- We propose CoupleNet, a model that performs convolutions on nodes and edges of graphs to effectively integrate protein sequence and structure. This can better model the node-edge relationships and utilize the intrinsic associations between sequences and structures.
- Practically, the proposed model is verified by obtaining new state-of-the-art experimental
   results compared with current mainstream protein representation learning methods on a
   range of tasks, including protein fold classification, enzyme reaction classification, GO term
   prediction, domain prediction, and enzyme commission number prediction.

# 64 2 Related Work

**Protein Representation Learning** Protein representation learning has become an active and promis-65 ing direction in biology, which is essential to various downstream tasks in protein science. Because 66 of the different levels of protein structures, existing methods mainly fall into three categories: protein 67 LMs for sequences, structure models for geometry, and hybrid methods for both of them. As proteins 68 are sequences of amino acids, considering their similarities with human languages, UniRep [1], 69 UDSMProt [42] and SeqVec [23] use LSTM or its variants to learn sequence representations and 70 long-range dependencies. TAPE [37] benchmarks a group of protein models, e.g., 1D CNN, LSTM, 71 and Transformer by various tasks. Elnaggar et al. [14] have trained six successful transformer variants 72

on billions of amino acid sequences, like ProtBert, and ProtT5. Similarly, ESM-series [39, 38, 33] 73 employs a transformer architecture and a masked language modeling strategy to train robust represen-74 tations based on large-scale databases. Besides the protein sequence, as we have stated before, the 75 3D geometric structure is vital to enhance protein representations. Most methods commonly seek to 76 encode the spatial information of protein structures by convolutional neural networks (CNNs) [11], 77 or graph neural networks [19, 2, 29]. For instance, SPROF [7] employs distance maps to predict 78 protein sequence profiles, and IEConv [25] introduces a convolution operator to capture all relevant 79 structural levels of a protein. GVP-GNN [29] designs the geometric vector perceptrons (GVP) for 80 learning both scalar and vector features in an equivariant and invariant manner, Guo et al. [21] 81 adopt SE(3)-invariant features as the model inputs and reconstruct gradients over 3D coordinates to 82 avoid the usage of complicated SE(3)-equivariant models. ProNet [47] learns hierarchical protein 83 representations at multiple tertiary structure levels of granularity. Moreover, CDConv [15] proposes 84 continuous-discrete convolution using irregular and regular approaches to model the geometry and 85 sequence structures. Some protein learning methods model the multi-level of structures at the same 86 time [53, 6, 15], except for the primary structure and the tertiary structure, the second refers to the 87 3D form of local segments of proteins (e.g.,  $\alpha$ -helix,  $\beta$ -strand), the quaternary is a protein multimer 88 comprising multiple polypeptides, for example, PromtProtein [48] adopts a prompt-guided multi-task 89 learning strategy for different protein structures with specific pre-training tasks. While previous 90 works have attempted to combine protein sequence and structure, we focus on profoundly integrat-91 ing them by specifically designing two types of graphs respectively and conducting convolutions 92 simultaneously to learn protein representations. 93

94 Complete Message Passing Mechanism ComENet [46] proposes rotation angles and spherical 95 coordinates to fulfil the global completeness of 3D information on molecular graphs. By incorporating 96 these designed geometric representations into the message passing scheme [18], the complete 97 representation for a whole 3D graph is eventually yielded [47]. Unlike these methods, we couple 98 sequence and structure via corresponding graphs and different geometric representations to obtain 99 completeness representations.

# 100 3 Method

### 101 3.1 Preliminaries

**Notations** We represent a 3D graph as  $G = (\mathcal{V}, \mathcal{E}, \mathcal{P})$ , where  $\mathcal{V} = \{v_i\}_{i=1,...,n}$  and  $\mathcal{E} = \{\varepsilon_{ij}\}_{i,j=1,...,n}$  denote the vertex and edge sets with n nodes in total, respectively, and  $\mathcal{P} = \{P_i\}_{i=1,...,n}$  is the set of position matrices, where  $P_i \in \mathbb{R}^{k_i \times 3}$  represents the position matrix for node  $v_i$ . We treat each amino acid as a graph node for a protein, then  $k_i$  depends on the number of atoms in the *i*-th amino acid. The node feature matrix is  $X = [\mathbf{x}_i]_{i=1,...,n}$ , where  $\mathbf{x}_i \in \mathbb{R}^{d_v}$  is the feature vector of node  $v_i$ . The edge feature matrix is  $E = [\mathbf{e}_{ij}]_{i,j=1,...,n}$ , where  $\mathbf{e}_{ij} \in \mathbb{R}^{d_\varepsilon}$  is the feature vector of edge  $\varepsilon_{ij}$ .  $d_v$  and  $d_{\varepsilon}$  denote the dimensions of feature vectors  $\mathbf{x}_i$  and  $\mathbf{e}_{ij}$ .

**Invariance and Equivariance** We consider affine transformations that preserve the distance between any two points, *i.e.*, the isometric group SE(3) in the Euclidean space. This is called the symmetry group, and it turns out that SE(3) is the special Euclidean group that includes 3D translations and the 3D rotation group SO(3) [17, 12]. The matrix form of SE(3) is provided in Appendix A.1.

Given the function  $f : \mathbb{R}^m \to \mathbb{R}^{m'}$ , assuming the given symmetry group G acts on  $\mathbb{R}^m$  and  $\mathbb{R}^{m'}$ , then f is G-equivariant if,

$$f(T_q \boldsymbol{x}) = S_q f(\boldsymbol{x}), \, \forall \boldsymbol{x} \in \mathbb{R}^m, g \in G$$
<sup>(1)</sup>

where  $T_g$  and  $S_g$  are the transformations. For the SE(3) group, when m' = 1, the output of f is a scalar, we have

$$f(T_g \boldsymbol{x}) = f(\boldsymbol{x}), \ \forall \boldsymbol{x} \in \mathbb{R}^m, g \in G$$
(2)

thus f is SE(3)-invariant.

**Complete Geometric Representations** A geometric transformation  $\mathcal{F}(\cdot)$  is complete if two 3D graphs  $G^1 = (\mathcal{V}, \mathcal{E}, \mathcal{P}^1)$  and  $G^2 = (\mathcal{V}, \mathcal{E}, \mathcal{P}^2)$ , there exists  $T_g \in SE(3)$  such that the representations

$$\mathcal{F}(G^1) = \mathcal{F}(G^2) \iff P_i^1 = T_g(P_i^2), \text{ for } i = 1, \dots n$$
(3)

The operation  $T_g$  would not change the 3D conformation of a 3D graph [46]. Positions can generate geometric representations, which can also be recovered from them.

Message Passing Paradigm Message passing mechanism is mainly applied in graph convolutional
 networks (GCNs) [32], which follows an iterative scheme of updating node representations based on
 the feature aggregation from nearby nodes.

$$\mathbf{h}_{i}^{(0)} = BN (FC (\mathbf{x}_{i})), 
 \mathbf{u}_{i}^{(l)} = f_{Agg}^{(l)} (\mathbf{h}_{j}^{(l-1)} | v_{j} \in \mathcal{N}(v_{i})), 
 \mathbf{h}_{i}^{(l)} = f_{Update}^{(l)} (\mathbf{h}_{j}^{(l-1)}, \mathbf{u}_{i}^{(l)})$$
(4)

where FC(·) and BN(·) mean the linear transformation and batch normalization respectively.  $\mathcal{N}(v_i)$ denotes the neighbours of node  $v_i$ .  $f_{Agg}^{(l)}$  and  $f_{Update}^{(l)}$  are aggregation and transformation functions at the *l*-th layer, which are permutation invariant and equivariant of node representations.

#### 129 3.2 Sequence-Structure Graph Construction

Specifically, we represent each amino acid as a node, 130 considering the residue types and their positions i =131  $1, 2, \dots, n$  (See Figure 1) in the sequence, we de-132 fine the sequential graph primarily on the sequence, 133 if ||i - j|| < l, the edge  $\varepsilon_{ij}$  exists, where l is a hyper-134 parameter. Besides the sequential graph, we predefine a 135 radius r, and build the radius graph, and there exists an 136 edge between node  $v_i$  and  $v_j$  if  $||P_{i,C\alpha} - P_{j,C\alpha}|| < r$ , where  $P_{i,C\alpha}$  denotes the 3D position of  $C_{\alpha}$  in the *i*-th 137 138 residue. 139

Firstly, we design a base approach called CoupleNet<sub>aa</sub> that only uses the  $C_{\alpha}$  positions of the structures. Inspired by Ingraham *et al.* [28], we construct a local coordinate system (LCS) for each residue, as shown in Figure 2.



Figure 2: The local coordinate system.

$$\boldsymbol{Q}_i = \begin{bmatrix} \boldsymbol{b}_i & \boldsymbol{n}_i & \boldsymbol{b}_i \times \boldsymbol{n}_i \end{bmatrix}$$
(5)

where  $u_i = \frac{P_{i,C\alpha} - P_{i-1,C\alpha}}{\|P_{i,C\alpha} - P_{i-1,C\alpha}\|}$ ,  $b_i = \frac{u_i - u_{i+1}}{\|u_i - u_{i+1}\|}$ ,  $n_i = \frac{u_i \times u_{i+1}}{\|u_i \times u_{i+1}\|}$ . Then we can get the geometric representations at the amino acid level of a protein 3D graph,

$$\mathcal{F}(G)_{ij,aa} = \left( \left\| P_{i,C\alpha} - P_{j,C\alpha} \right\|, \boldsymbol{Q}_i^T \cdot \frac{P_{i,C\alpha} - P_{j,C\alpha}}{\left\| P_{i,C\alpha} - P_{j,C\alpha} \right\|}, \boldsymbol{Q}_i^T \cdot \boldsymbol{Q}_j \right)$$
(6)

where  $\cdot$  is the matrix multiplication, this implementation is SE(3)-equivariant and obtains complete representations at the amino acid level; as if we have  $Q_i$ , the LCS  $Q_j$  can be easily obtained by  $\mathcal{F}(G)_{ij,aa}$ .

For a node  $v_i$ , the node features  $x_{i,aa}$  in the baseline approach is the concatenation of the one-hot embeddings of the amino acid types and the physicochemical properties of each residue, namely, a steric parameter, hydrophobicity, volume, polarizability, isoelectric point, helix probability and sheet probability [51, 22], which provide quantitative insights into the biochemical nature of each amino acid. And  $\mathcal{F}(G)_{ij,aa}$  is set as edge features for CoupleNet<sub>aa</sub>.

Secondly, we consider all backbone atoms  $C_{\alpha}$ , C, N, O in CoupleNet. In detail, the peptide bond exhibits partial double-bond character due to resonance [20], indicating that the three non-hydrogen atoms comprising the bond (the carbonyl oxygen, carbonyl carbon, and amide nitrogen) are coplanar,



Figure 3: The polypeptide chain depicting the characteristic backbone bond lengths, angles, and torsion angles ( $\Psi_i$ ,  $\Phi_i$ ,  $\Omega_i$ ). The planar peptide groups are denoted as shaded gray regions, indicating that the peptide plane differs from the geometric plane calculated based on the 3D positions.

as shown in Figure 3. There is some rotation about the connection. The  $N_i - C_{\alpha i}$  and  $C_{\alpha i} - C_i$ 158 bonds, are the two bonds in the basic repeating unit of the polypeptide backbone. These single bonds 159 allow unrestricted rotation until sterically restricted by side chains [35, 45]. Since the coordinates of 160  $C_{\alpha}$  can be obtained as we have the complete representations at the amino acid level, the coordinates 161 of other backbone atoms based on these rigid bond lengths and angles are able to be determined with 162 the remaining degree of the backbone torsion angles  $\Phi_i, \Psi_i, \Omega_i$ . The omega torsion angle around 163 the C - N peptide bond is typically restricted to nearly 180° (trans) but can approach 0° (cis) in 164 rare instances. Other than the bond lengths and angles presented in Figure 3, all the H bond lengths 165 measure approximately 1 Å. 166

For the sequential graph, we compute the sine and cosine values of  $\Phi_i$ ,  $\Psi_i$ ,  $\Omega_i$  for each amino acid *i*, and use them as another part of nodes features for node  $v_i$ .

$$\boldsymbol{x}_{i} = \boldsymbol{x}_{i,aa} \| ((\sin \wedge \cos)(\Phi_{i}, \Psi_{i}, \Omega_{i}))$$
(7)

where  $\parallel$  denotes concatenation. There is no isolated node for the designed graph, which means the backbone atoms can be determined one by one along the polypeptide chain based on the positions of  $C_{\alpha}$  and these three backbone dihedral angles. Therefore, the existing presentations  $[\mathcal{F}(G)_{ij,aa}]_{i,j=1,...,n}$  and  $[\boldsymbol{x}_i]_{i=1,...,n}$  are complete at the backbone level for the sequential graph.

For the radius graph, we want to get the positions of back-174 bone atoms in any two amino acids i and j. Inspired by 175 trRosetta [52], the relative rotation and distance are com-176 puted including the distance  $(d_{ij,C_{\beta}})$ , three dihedral angles  $(\omega_{ij}, \theta_{ij}, \theta_{ji})$  and two planar angles  $(\varphi_{ij}, \varphi_{ji})$ , as shown in 177 178 Figure 4, where  $d_{ij,C_{\beta}} = d_{ji,C_{\beta}}, \omega_{ij} = \omega_{ji}$ , but  $\theta$  and  $\varphi$ 179 values depend on the order of residues. These interresidue 180 geometries define the relative locations of the backbone 181 atoms of two residues in all their details [52], because the 182 torsion angles of  $N_i - C_{\alpha i}$  and  $C_{\alpha i} - C_i$  do not influ-183 ence their positions. Therefore, these six geometries are 184 complete for amino acids at the backbone level for the 185 radius graph. The graph edges contain the relative spa-186 tial information between any two neighboring amino acids 187  $e_{ij} = \mathcal{F}(G)_{ij,aa} \| \mathcal{F}(G)_{ij,bb}$ , where 188



Figure 4: Interresidue geometries including angles and distances.

$$\mathcal{F}(G)_{ij,bb} = (d_{ij,C_{\beta}}, (\sin \wedge \cos)(\omega_{ij}, \theta_{ij}, \varphi_{ij})) \tag{8}$$

The designed node and edge features,  $x_i$  and  $e_{ij}$ , for the sequential and radius graphs, provide a new perspective to represent protein sequences and structures. Such integration can bring better performance for the following graph-based learning tasks.



Figure 5: An illustration of CoupleNet.

## 192 3.3 Secqunce-Structure Graph Convolution

Inspired by the message passing paradigm and continuous-discrete convolution [15], sequences 193 and structures are encoded successfully together by convolutions. To deeply couple sequences and 194 structures of proteins and encode them jointly, we employ convolution to embed them simultaneously, 195 exploring their relationships to generate comprehensive and effective embeddings. Different from 196 previous works, we innovatively construct two categories of graphs for sequence and structure and 197 198 design various sequential and structural representations to achieve completeness on them at the amino acid and backbone levels. We then convolve node and edge features with the help of the message 199 passing mechanism. 200

In order to implement convolution on nodes and edges simultaneously between sequence and structure, we set  $\varepsilon_{ij}$  to exist if the following conditions are satisfied

$$\|i - j\| < l \quad \text{and} \quad \|P_{i, C\alpha} - P_{j, C\alpha}\| < r \tag{9}$$

The existing node and edge feature matrices (X, E) are complete representations of a protein 3D 203 graph to reconstruct its backbone atom positions. Compared with the equation Eq. 4, the proposed 204 CoupleNet first apply a  $FC(\cdot)$  layer and a  $BN(\cdot)$  layer to the node features to obtain the initial 205 encoded representation. Then the  $f_{Agg}^{(l)}$  is applied to gather neighboring features of nodes and edges by convolution, where  $\sigma(\cdot)$  is the activation function. We use the dropout and add a residual 206 207 connection from the previous layer as  $f_{\text{Update}}^{(l)}$ . For the consideration that the spatial arrangement and 208 tight positioning of specific amino acids, which may be spaced widely apart on the linear polypeptide, 209 are necessary for proteins to operate as intended [10], l is set to be a relatively large number, see 210 Appendix A.2 for details. 211

$$\boldsymbol{h}_{i}^{(0)} = BN \left(FC \left(\boldsymbol{x}_{i}\right)\right),$$
  
$$\boldsymbol{u}_{i}^{(l)} = \sigma \left(BN \left(\sum_{v_{j} \in \mathcal{N}(v_{i})} W \boldsymbol{e}_{ij} \boldsymbol{h}_{j}^{(l-1)}\right)\right),$$
  
$$\boldsymbol{h}_{i}^{(l)} = \boldsymbol{h}_{i}^{(l)} + Dropout(\boldsymbol{u}_{i}^{(l)})$$
(10)

#### 212 3.4 Model Architecture

Building upon the sequence-structure graph convolution, we build the CoupleNet, as shown in 213 Figure 5. The inputs to the graph are the calculated sequential and structural representations (X, E). 214 Following the existing protein graph models [15, 25, 47], our CoupleNet employs graph pooling 215 layers to obtain deeply encoded, graph-level representations. After pooling, due to the decrease 216 in nodes, we increase the predefined radius r to include more neighbors. The message passing 217 mechanism only executes on nodes for the consideration of reducing model complexity. Another 218 reason is that representations of sequences and structures have already been coupled by equation 219 Eq. 4. A detailed description of the model architecture is provided in Appendix A.2. 220

Input	Method		Enzyme		
mpat	inemod	Fold	SuperFamily	Family	Reaction
	CNN [41]*	11.3	13.4	53.4	51.7
Saguanaa	ResNet [37]*	10.1	7.21	23.5	24.1
Sequence	LSTM [37]*	6.41	4.33	18.1	11.0
	Transformer [37]*	$\begin{array}{cccccccccccccccccccccccccccccccccccc$			
	GCN [32]*	16.8	21.3	82.8	67.3
Structure	GAT [44]*	12.4	16.5	72.7	55.6
	3DCNN_MQA [11]*	31.6	45.4	92.5	72.2
	IEConv (atom level) [25]*	45.0	69.7	98.9	87.2
	GraphQA [2]*	23.7	32.5	84.4	60.8
	GVP [29]*	16.0	22.5	83.8	65.5
	ProNet-Amino Acid [47]	51.5	69.9	99.0	86.0
	ProNet-Backbone [47]	52.7	70.3	99.3	86.4
	ProNet-All-Atom [47]	52.1	69.0	99.0	85.6
Companyon Ctranstano	IEConv (residue level) [25]*	47.6	70.2	99.2	87.2
Sequence-Structure	GearNet [53]	28.4	42.6	95.3	79.4
	GearNet-IEConv [53]	42.3	64.1	99.1	83.7
	GearNet-Edge [53]	44.0	66.7	99.1	86.6
	GearNet-Edge-IEConv [53]	48.3	70.3	99.5	85.3
	CDConv [15]	<u>56.7</u>	77.7	<u>99.6</u>	<u>88.5</u>
	CoupleNet (Proposed)	60.6	82.1	<b>99.</b> 7	89.0

Table 1: Accuracy (%) on fold classification and enzyme reaction classification. [\*] means the results are taken from [15]. The best and suboptimal results are shown in bold and underline.

# 221 **4 Experiments**

## 222 4.1 Datasets and Settings

The models are trained with the Adam optimizer [31] using the PyTorch and PyTorch Geometric libraries. Detailed descriptions of the datasets and experimental settings are provided in Appendix A.3. Following the tasks in IEconv [25], GearNet [53] and CDConv [15], here, we evaluate the CoupleNet on four protein tasks: protein fold classification, enzyme reaction classification, GO term prediction and enzyme commission (EC) number prediction.

Fold Classification Protein fold is to predict the fold class label given a protein, which is crucial for understanding how protein structure and protein evolution interact [26]. In total, this dataset contains 16, 712 proteins with 1, 195 fold classes. There are three test sets available, Fold: Training excludes proteins from the same superfamily. Superfamily: Training does not include proteins from the same family. Family: Proteins from the same family are included in the training.

**Enzyme Reaction Classification** Reaction categorization aims to predict a protein's class of enzyme-catalyzed reactions, according to all four levels of the EC number [49, 36]. Following the setting in [25], this dataset has 37, 248 proteins from 384 four-level EC numbers [5].

**GO Term Prediction** The goal of GO term prediction is to foretell whether a protein is related to a certain GO term. Following [19], these proteins are organized into three ontologies: molecular function (MF), biological process (BP), and cellular component (CC), which are hierarchically connected, functional classes. MF describes activities that occur at the molecular level, BP represents the larger processes, and CC describes the parts of a cell or its extracellular environment [3].

**EC Number Prediction** This task seeks to predict the 538 EC numbers from the third level and fourth levels of different proteins [19], which describe their catalysis of biochemical reactions.

Category	Method	GO-BP	GO-MF	GO-CC	EC
	CNN [41]*	0.244	0.354	0.287	0.545
Saguanaa	negoryMethod $OO-BP$ $OO-BP$ $OO-MP$ <th< td=""><td>0.304</td><td>0.605</td></th<>	0.304	0.605		
Sequence	LSTM [37]*	0.225	0.321	0.283	0.425
	Transformer [37]*	GO-BP         GO-MF         GO-CC           0.244         0.354         0.287         0           0.280         0.405         0.304         0           0.225         0.321         0.283         0           0.264         0.211         0.405         0           0.264         0.211         0.405         0           0.264         0.211         0.405         0           0.284         0.317         0.385         0           0.240         0.147         0.305         0           0.308         0.329         0.413         0           0.326         0.426         0.420         0           0.421         0.624         0.431         0           0.356         0.503         0.414         0           0.381         0.563         0.422         0           0.403         0.580         0.450         0           0.400         0.581         0.430         0           0.453         0.654         0.479         0	0.238		
	GCN [32]*	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	0.320		
Structure	GAT [44]*	0.284	0.317	0.385	0.368
	3DCNN_MQA [11]*	0.240	0.147	0.305	0.077
	GraphQA [2]*	0.308	0.329	0.413	0.509
	GVP [29]*	0.326	0.426	0.420	0.489
	IEConv (residue level) [25]*	GO-BPGO-MFGO-G $0.244$ $0.354$ $0.28$ $0.280$ $0.405$ $0.30$ $0.225$ $0.321$ $0.28$ $0.264$ $0.211$ $0.40$ $0.252$ $0.195$ $0.32$ $0.284$ $0.317$ $0.38$ $1]^*$ $0.240$ $0.147$ $0.30$ $0.308$ $0.329$ $0.41$ $0.326$ $0.426$ $0.426$ $0.421$ $0.624$ $0.43$ $0.356$ $0.503$ $0.411$ $[53]$ $0.381$ $0.563$ $0.423$ $0.403$ $0.580$ $0.453$ Conv [53] $0.400$ $0.581$ $0.432$ $0.467$ $0.669$ $0.49$	0.431	-	
Company Characteria	GearNet [53]	0.356	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	0.730	
Sequence-Structure	GearNet-IEConv [53]	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	0.800		
	GearNet-Edge [53]	0.403	0.580	0.450	0.810
	GearNet-Edge-IEConv [53]	0.400	0.581	0.430	0.810
	CDConv [15]	<u>0.453</u>	<u>0.654</u>	<u>0.479</u>	<u>0.820</u>
	CoupleNet (Proposed)	0.467	0.669	0.494	0.866

Table 2:  $F_{max}$  on GO term and EC number prediction. [\*] means the results are taken from [15]. The best and suboptimal results are shown in bold and underline.

## 243 4.2 Baselines

We compare our proposed method with existing protein representation learning methods, which are
classified into three categories based on their inputs, which could be a sequence (amino acid sequence),
3D structure or both sequence and structure. 1) Sequence-based encoders, including CNN [41],
ResNet [37], LSTM [37] and Transformer [37]. 2) Structure-based methods (GCN [32], GAT [44],
3DCNN\_MQA [11], IEConv (atom level) [25]). 3) Sequence-structure based models, *e.g.*, GVP [29],
ProNet [47], GearNet [53], CDConv [15], *etc.* GearNet-IEConv and GearNetEdge-IEConv [53] add
the IEConv layer based on GearNet, which is found important in fold classification.

## **4.3 Resluts of Fold and Reaction Classification.**

Table 1 provides the comparisons on the fold and enzyme reaction classification. The results are 252 reported in terms of accuracy (%) for these two tasks. From this table, we can see that the proposed 253 model CoupleNet achieves the best performance across all four test sets on the fold and enzyme 254 reaction classification compared with recent state-of-the-art methods. Especially on the Fold and 255 SuperFamily test sets, CoupleNet improves the results by about 4%, showing that CoupleNet is 256 proficient at learning the mapping between protein sequences, structures and functions. Moreover, 257 CDConv [15] ranks second among these methods, both CDConv and our method are implemented 258 by sequence-structure convolution. This phenomenon illustrates that deeply coupling sequences 259 and structures of proteins is conducive to learning better protein embeddings. And our proposed 260 CoupleNet model utilizes complete geometric representations and the specially designed message 261 262 passing mechanism, achieving new state-of-the-art results.

## 263 4.4 Results of GO Term and EC Prediction

We follow the split method in [19, 53] to guarantee that the test set only comprises PDB chains with 264 sequence identity no higher than 95% to the training set for GO term and EC number prediction. 265 Table 2 compares different protein modeling methods on GO term prediction and EC number 266 prediction. The results are reported in terms of Fmax, which considers both precision and recall for 267 evaluation, the equation of  $F_{max}$  is provided in Appendix A.4. The proposed model, CoupleNet 268 yields the highest  $F_{max}$  across these four test sets of two tasks, outperforming other state-of-the-art 269 models. This indicates CoupleNet can effectively predict the functions, locations, and enzymatic 270 activities of proteins. These results once again illustrate the importance of deeply coupled sequences 271

Table 3: Ablation of our proposed method

Method	Fold Classification		Enzyme	GO		EC		
	Fold	Superfamily	Family	Reaction	BP	MF	CC	20
CoupleNet	60.6	82.1	99.7	89.0	0.467	0.669	0.494	0.866
$CoupleNet_{aa}$	57.8	78.7	99.6	88.6	0.458	0.660	0.484	0.851
w/o $\Phi, \Psi, \Omega$	60.3	81.3	99.6	88.7	0.463	0.666	0.490	0.862
w/o $d, \omega, \theta, \varphi$	60.4	81.5	99.7	88.9	0.461	0.666	0.488	0.864

and structures. The improvements of CoupleNet over the suboptimal CDConv [15] model indicate the advanced modeling power of CoupleNet.

274 We employ different cutoff splits following [19, 15], which means that the proteins in the test set 275 are divided into groups that have, respectively, 276 30%, 40%, 50%, 70%, and 95% similarity to 277 the training set for GO term and EC number pre-278 diction, as shown in Figure 6 and Appendix A.5. 279 From Figure 6, we can see that our proposed 280 281 model CoupleNet achieves the highest  $F_{max}$ scores across all cutoffs, especially when the 282 cutoffs are at 30% to 50%. There is a larger mar-283 gin compared with GearNet, GearNet-Edge [53] 284 and CDConv [15]. This demonstrates that Cou-285 286 pleNet, which utilizes complete geometric repre-287 sentations, is more robust, especially when there 288 is a low similarity between the training and test 289 sets.



Figure 6:  $F_{max}$  on EC number prediction under different cutoffs.

### 290 4.5 Ablation Study

Table 3 presents an ablation study of the proposed CoupleNet model on the four protein tasks. We 291 292 examined the impact of removing the backbone torsion angles (w/o  $\Phi, \Psi, \Omega$ ) and removing the interresidue geometric structure representations (w/o  $d, \omega, \theta, \varphi$ ). The former is designed for the 293 sequential graph, and the latter is for the radius graph to achieve completeness at the protein backbone 294 level. However, we combine the two types of graphs together to enhance the relationships between 295 sequence and structure. From Table 3, we can also find that these complete geometries provide 296 complementary information to amino acid position features, with one of their removals leading to 297 minor performance drops for the reason that they both provide complete geometries from different 298 perspectives. Removing  $\Phi, \Psi, \Omega$  causes larger performance degradation compared with removing 299  $d, \omega, \theta, \varphi$ . Such comparisons indicate that the backbone dihedral angles may have more effects 300 on learning protein representations in these experimental settings. Compared with CoupleNet<sub>aa</sub>, 301 CoupleNet achieves significant improvements on the four tasks, demonstrating the importance of 302 complete structural representations at the backbone level in learning protein embeddings. 303

# **304 5 Conclusions and Limitations**

In this work, we propose CoupleNet, a novel protein representation learning method that deeply fuses protein sequences and multi-level structures by conducting convolution on graph nodes and edges simultaneously. We design the sequential graph and the radius graph, achieving completeness on them at different protein structure levels. Our approach achieves new state-of-the-art results on the protein tasks, which demonstrates the superiority our the proposed method. A limitation is that the detailed inter-relationships between sequence and structures remain to be explored and uncovered. We leave such research for future work.

While our model can enable advanced protein analyses and provide effective representations, there may exist broader impacts and harmful activities. The representations could potentially be misused, *e.g.*, for designing harmful molecules or proteins.

# 315 **References**

- Ethan C. Alley et al. "Unified rational protein engineering with sequence-based deep representation learning". In: *Nature Methods* (2019).
- Federico Baldassarre et al. "GraphQA: protein model quality assessment using graph convolutional networks." In: *Bioinformatics* (2020).
- 320[3]Alex Bateman. "UniProt: A worldwide hub of protein knowledge". In: Nucleic Acids Research321(2019).
- [4] Tristan Bepler and Bonnie Berger. "Learning the protein language: Evolution, structure, and function". In: *Cell systems* 12.6 (2021), pp. 654–669.
- [5] Helen M Berman et al. "The protein data bank". In: *Nucleic acids research* 28.1 (2000), pp. 235–242.
- [6] Can Chen et al. "Structure-aware protein self-supervised learning". In: *Bioinformatics* 39.4 (2023), btad189.
- [7] Sheng Chen et al. "To Improve Protein Sequence Profile Prediction through Image Captioning
   on Pairwise Residue Distance Map". In: *Journal of Chemical Information and Modeling* (2020).
- [8] Yihong Chen et al. "Refactor gnns: Revisiting factorisation-based models from a message passing perspective". In: *Advances in Neural Information Processing Systems* 35 (2022),
   pp. 16138–16150.
- [9] G Marius Clore and Angela M Gronenborn. "NMR structure determination of proteins and
   protein complexes larger than 20 kDa". In: *Current opinion in chemical biology* 2.5 (1998),
   pp. 564–570.
- Srinivasan Damodaran. "Amino acids, peptides and proteins". In: *Fennema's food chemistry* 4 (2008), pp. 425–439.
- [11] Georgy Derevyanko et al. "Deep convolutional networks for quality assessment of protein
   folds". In: *Bioinformatics* 34.23 (2018), pp. 4046–4053.
- [12] Weitao Du et al. "SE (3) Equivariant Graph Neural Networks with Complete Local Frames".
   In: *International Conference on Machine Learning*. PMLR. 2022, pp. 5583–5608.
- [13] Arun Kumar Dubey and Vanita Jain. "Comparative study of convolution neural network's relu and leaky-relu activation functions". In: *Applications of Computing, Automation and Wireless Systems in Electrical Engineering: Proceedings of MARC 2018.* Springer. 2019, pp. 873–880.
- [14] Ahmed Elnaggar et al. "ProtTrans: Towards Cracking the Language of Lifes Code Through Self-Supervised Deep Learning and High Performance Computing". In: *IEEE Transactions on Pattern Analysis and Machine Intelligence* (2021).
- [15] Hehe Fan et al. "Continuous-Discrete Convolution for Geometry-Sequence Modeling in
   Proteins". In: *The Eleventh International Conference on Learning Representations*. 2023.
- In: Noelia Ferruz and Birte Höcker. "Controllable protein design with language models". In: *Nature Machine Intelligence* (2022), pp. 1–12.
- Fabian Fuchs et al. "Se (3)-transformers: 3d roto-translation equivariant attention networks".
   In: Advances in Neural Information Processing Systems 33 (2020), pp. 1970–1981.
- <sup>355</sup> [18] Justin Gilmer et al. "Neural message passing for quantum chemistry". In: *International* <sup>356</sup> *conference on machine learning*. PMLR. 2017, pp. 1263–1272.
- <sup>357</sup> [19] Vladimir Gligorijević et al. "Structure-based protein function prediction using graph convolu-<sup>358</sup> tional networks". In: *Nature communications* 12.1 (2021), p. 3168.
- ER HARD GROSS and JOHANNES MEIENHOFER. "The Peptide Bond". In: *Major Methods* of Peptide Bond Formation: The Peptides Analysis, Synthesis, Biology, Vol. 1 1 (2014), p. 1.
- [21] Yuzhi Guo et al. "Self-supervised pre-training for protein embeddings using tertiary structures".
   In: *Proceedings of the AAAI Conference on Artificial Intelligence*. Vol. 36. 6. 2022, pp. 6801–6809.
- Jack Hanson et al. "Improving prediction of protein secondary structure, backbone angles,
   solvent accessibility and contact numbers by using predicted contact maps and an ensemble
   of recurrent and residual convolutional neural networks". In: *Bioinformatics* 35.14 (2019),
   pp. 2403–2410.
- Michael Heinzinger et al. "Modeling the language of life Deep Learning Protein Sequences".
   In: *bioRxiv* (2019).

- Pedro Hermosilla and Timo Ropinski. "Contrastive representation learning for 3d protein structures". In: *arXiv preprint arXiv:2205.15675* (2022).
- Pedro Hermosilla et al. "Intrinsic-Extrinsic Convolution and Pooling for Learning on 3D
   Protein Structures". In: *International Conference on Learning Representations* (2021).
- <sup>374</sup> [26] Jie Hou, Badri Adhikari, and Jianlin Cheng. "DeepSF: deep convolutional neural network for <sup>375</sup> mapping protein sequences to folds". In: *Bioinformatics* 34.8 (2018), pp. 1295–1303.
- Bozhen Hu et al. "Protein Language Models and Structure Prediction: Connection and Progression". In: *arXiv preprint arXiv:2211.16742* (2022).
- John Ingraham et al. "Generative models for graph-based protein design". In: Advances in neural information processing systems 32 (2019).
- Bowen Jing et al. "Learning from Protein Structure with Geometric Vector Perceptrons". In:
   *Learning* (2020).
- John Jumper et al. "Highly accurate protein structure prediction with AlphaFold". In: *Nature* 596.7873 (2021), pp. 583–589.
- [31] Diederik P Kingma and Jimmy Ba. "Adam: A method for stochastic optimization". In: *arXiv* preprint arXiv:1412.6980 (2014).
- [32] Thomas N Kipf and Max Welling. "Semi-supervised classification with graph convolutional networks". In: *arXiv preprint arXiv:1609.02907* (2016).
- [33] Zeming Lin et al. "Language models of protein sequences at the scale of evolution enable
   accurate structure prediction". In: *BioRxiv* (2022).
- [34] Bin Ma. "Novor: real-time peptide de novo sequencing software." In: *Journal of the American* Society for Mass Spectrometry (2015).
- [35] David L Nelson, Albert L Lehninger, and Michael M Cox. *Lehninger principles of biochemistry*.
   Macmillan, 2008.
- [36] Marina V Omelchenko et al. "Non-homologous isofunctional enzymes: a systematic analysis
   of alternative solutions in enzyme evolution". In: *Biology direct* 5 (2010), pp. 1–20.
- [37] Roshan Rao et al. "Evaluating protein transfer learning with TAPE". In: Advances in neural
   *information processing systems* 32 (2019).
- [38] Roshan M Rao et al. "MSA transformer". In: *International Conference on Machine Learning*.
   PMLR. 2021, pp. 8844–8856.
- [39] Alexander Rives et al. "Biological Structure and Function Emerge from Scaling Unsupervised
   Learning to 250 Million Protein Sequences". In: *Proceedings of the National Academy of Sciences of the United States of America* (2019).
- [40] Andrew W Senior et al. "Improved protein structure prediction using potentials from deep learning". In: *Nature* 577.7792 (2020), pp. 706–710.
- <sup>405</sup> [41] Amir Shanehsazzadeh, David Belanger, and David Dohan. "Is transfer learning necessary for <sup>406</sup> protein landscape prediction?" In: *arXiv preprint arXiv:2011.03443* (2020).
- [42] Nils Strodthoff et al. "UDSMProt: universal deep sequence models for protein classification".
  In: *Bioinformatics* 36.8 (Jan. 2020), pp. 2401–2409. ISSN: 1367-4803. DOI: 10.1093/
  bioinformatics/btaa003.
- [43] Mihaly Varadi et al. "AlphaFold Protein Structure Database: massively expanding the structural
   coverage of protein-sequence space with high-accuracy models". In: *Nucleic acids research* 50.D1 (2022), pp. D439–D444.
- <sup>413</sup> [44] Petar Velickovic et al. "Graph attention networks". In: *stat* 1050.20 (2017), pp. 10–48550.
- [45] K Peter C Vollhardt and Neil E Schore. *Organic chemistry: structure and function*. Macmillan, 2003.
- [46] Limei Wang et al. "ComENet: Towards Complete and Efficient Message Passing for 3D
   Molecular Graphs". In: *arXiv preprint arXiv:2206.08515* (2022).
- [47] Limei Wang et al. "Learning Hierarchical Protein Representations via Complete 3D Graph Networks". In: *The Eleventh International Conference on Learning Representations*. 2023.
- [48] Zeyuan Wang et al. "Multi-level Protein Structure Pre-training via Prompt Learning". In: *The Eleventh International Conference on Learning Representations*.
- Edwin C Webb et al. Enzyme nomenclature 1992. Recommendations of the Nomenclature Com mittee of the International Union of Biochemistry and Molecular Biology on the Nomenclature
   and Classification of Enzymes. Ed. 6. Academic Press, 1992.

- Fang Wu, Dragomir Radev, and Jinbo Xu. "When Geometric Deep Learning Meets Pretrained
   Protein Language Models". In: *bioRxiv* (2023), pp. 2023–01.
- 427 [51] Gang Xu, Qinghua Wang, and Jianpeng Ma. "OPUS-Rota4: a gradient-based protein side-chain
   428 modeling framework assisted by deep learning-based predictors". In: *Briefings in Bioinformat* 429 ics 23.1 (2022), bbab529.
- Isanyi Yang et al. "Improved protein structure prediction using predicted interresidue orientations". In: *Proceedings of the National Academy of Sciences* 117.3 (2020), pp. 1496–1503.
- [53] Zuobai Zhang et al. "Protein representation learning by geometric structure pretraining". In:
   *International Conference on Learning Representations*. 2023.