# ARC-RL: SELF-EVOLUTION CONTINUAL REIN FORCEMENT LEARNING VIA ACTION REPRESEN TATION SPACE

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### Abstract

Continual Reinforcement Learning (CRL) is a powerful tool that enables agents to learn a sequence of tasks, accumulating knowledge learned in the past and using it for problem-solving or future task learning. However, existing CRL methods all assume that the agent's capabilities remain static within dynamic environments, which doesn't reflect real-world scenarios where capabilities evolve. This paper introduces Self-Evolution Continual Reinforcement Learning (SE-CRL), a new and realistic problem where the agent's action space continually changes. It presents a significant challenge for RL agents: How can policy generalization across different action spaces be achieved? Inspired by the cortical functions that lead to consistent human behavior, we propose an Action Representation Continual Reinforcement Learning framework (ARC-RL) to address this challenge. Our framework builds an action representation space by self-supervised learning on transitions, decoupling the agent's policy from the specific action space. For a new action space, the decoder of the action representation is expanded or masked for adaptation and regularized fine-tuned to improve the stability of the policy. Furthermore, we release a benchmark based on MiniGrid and Procgen to validate the effectiveness of methods for SE-CRL. Experimental results demonstrate that our framework significantly outperforms popular CRL methods by generalizing the policy across different action spaces.<sup>1</sup>

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### 1 INTRODUCTION

034 Continual Reinforcement Learning (CRL, a.k.a. lifelong reinforcement learning) is an 035 emerging research field that aims to emulate the human capacity for lifelong learning and 037 tackles the challenges of long-term, real-world applications characterized by diversity and non-stationarity (Rolnick et al., 2019; Kessler 040 et al., 2022). Specifically, CRL extends tra-041 ditional Deep Reinforcement Learning (DRL) 042 by empowering agents with the ability to 043 learn from a sequence of tasks, preserving 044 knowledge from previous tasks, and using this knowledge to enhance learning efficiency 045 and performance on future tasks. Although 046



Figure 1: An example of two problems. **Existing CRL**: A robot uses two fingers to grasp objects while the objects or grasping way changes. **SE-CRL**: A robot initially trained with two fingers is upgraded to four fingers or loses a finger but must continue grasping objects.

CRL research requires the agent's ability to adapt to dynamic environments (Khetarpal et al., 2022), it typically assumes that the agent's capabilities (action space) remain static while the external environment changes. This assumption does not reflect realistic situations where an agent's capabilities may evolve. Living systems not only need to adapt to radical changes in the environment (Emmons-Bell et al., 2019), but also need to deal with changes to their structure and function (Blackiston et al., 2015). Similarly, continual learning agents also need to deal with their evolving capabilities (Kudithipudi et al., 2022). For example, the action space of agents

<sup>&</sup>lt;sup>1</sup>Code are released in Supplementary Material.

in real-world applications may change due to software or hardware updates (Wang et al., 2019;
 Ding et al., 2023) or damages (Kriegman et al., 2019; Kwiatkowski & Lipson, 2019). Therefore,
 continual learning with the changes of action space is crucial for developing more sophisticated and
 adaptable artificial intelligence systems.

While existing research in RL (Chandak et al., 059 2020; Ding et al., 2023) has made initial explo-060 rations into the challenges posed by changing 061 action spaces, these studies have certain limi-062 tations. Specifically, they primarily focus on 063 continual adaptation without addressing other 064 critical issues in CRL, such as catastrophic forgetting. Additionally, they only consider ex-065 panding action spaces, neglecting other types 066 of changes in the action space. Building on 067 these foundational studies, we propose a new 068 and more general problem called Self-Evolution 069 Continual Reinforcement Learning (SE-CRL), 070 where the agent needs to continual learning 071 with its evolving capabilities. Figure 1 illus-072 trates the difference between SE-CRL and ex-073 isting CRL. While existing CRL requires ex-074 ploring how to respond to dynamic environ-075 mental changes, SE-CRL needs to maintain the



Figure 2: Different challenges of two problems. **Existing CRL**: After the environment changes, the number of actions remains constant (number of columns), while the probability distribution shifts significantly (trend of the red line). **SE-CRL**: After the action space changes, the number of actions changes, while the probability distribution is relatively stable.

agent's performance as the capabilities evolve, considering catastrophic forgetting and knowledge
 transfer. SE-CRL supplements existing CRL research by considering dynamics in a broader context.
 As an early step, this work focuses on discrete action spaces and assumes that the task logic remains
 unchanged over time.

080 As shown in Figure 2, the main challenge of SE-CRL is different from existing CRL. The main 081 challenge of existing CRL is dealing with the significant shift of the probability distribution of the actions after the environment changes, while the main challenge of SE-CRL is to cope with changes in the actions' number after the action space changes. Although a general policy can be obtained 083 using the union of all action spaces, the previous global optimum may become a local one that does 084 not fit the new action space. This process, however, underscores the crucial role of expertise, as it 085 requires prior knowledge about all action spaces. In summary, SE-CRL can be formally modeled as 086 the following problem: How to achieve policy generalization across different action spaces with the 087 same task logic? 088

Animals, including humans, consistently perform behaviors even years after learning (Georgopoulos 089 & Pellizzer, 1995; Emmons-Bell et al., 2019; Blackiston et al., 2015). It is due to the brain's abil-090 ity to represent actions in a latent space, allowing for the generalization across different contexts. 091 Precisely, the stability of latent dynamics of neural activity reflects a fundamental feature of learned 092 cortical function, leading to stable and consistent behavior (Gallego et al., 2020). In addition, the 093 research on self-supervised learning for reinforcement learning has been shown to be effective in 094 improving the generalization ability of the agent (Chandak et al., 2019; Liu et al., 2024; Fang & 095 Stachenfeld, 2024). 096

Inspired by these, we propose an Action Representation Continual Reinforcement Learning framework (ARC-RL) to address the challenge of SE-CRL by generalizing policy across action spaces 098 with different sizes. ARC-RL first learns an action representation space by learning a pair of encoder and decoder. They are trained through self-supervised learning on transitions collected from 100 the agent's exploration of the environment. The encoder maps the agent's actions to action repre-101 sentations, and the decoder maps them to action probabilities. Once trained, the encoder and the 102 decoder are fixed, and the agent's policy is trained based on the action representation space. When 103 the action space changes, the decoder's structure is updated to accommodate the size of the new 104 action space. The agent then explores the environment with the new action space and fine-tunes the encoder and the decoder, adding regularization for the fine-tuning of the decoder to maintain stabil-105 ity. In this process, the function of the decoder is similar to that of the cerebellum of humans, while 106 the policy corresponds to that of the primary motor cortex. The former is essential for learning new 107

mapping, but the latter is vital for consolidating the new mapping (long-term retention) (Haar et al., 2015; Gazzaniga et al., 2019; Weightman et al., 2023).

To evaluate the performance of CRL methods in SE-CRL, we release a benchmark based on Mini-Grid (Chevalier-Boisvert et al., 2023) and Procgen (Cobbe et al., 2020), which includes two sets of tasks with different action spaces and three task sequence situations (Expansion, Contraction, and their combinations) designed to test the agent's generalization ability. Experimental results demonstrate that ARC-RL effectively handles SE-CRL compared to popular CRL methods.

- Our contributions can be summarized as follows:
  - To the best of our knowledge, we are the first to formally propose the *self-evolution continual reinforcement learning* problem (SE-CRL), supplementing the existing CRL by focusing on the agent's evolving capabilities.
  - We propose a CRL framework called ARC-RL, which builds an action representation space and uses regularized fine-tuning to address the challenges of SE-CRL.
    - We release a benchmark of SE-CRL to evaluate the performance of CRL methods. Experiments show that ARC-RL is more effective compared to the others.

### 2 RELATED WORKS

# 2.1 Self-Supervised Learning for Reinforcement Learning

Existing reinforcement learning methods often require extensive data interactions with the environment, particularly in image-based RL tasks, which suffer from low sample efficiency and generalizability (Schrittwieser et al., 2020; Ye et al., 2020; Wang et al., 2024b). Recently, Self-Supervised Learning (SSL) has emerged to address these issues by learning a compact and informative representation of the environment (Li et al., 2022; Stooke et al., 2021). SSL approaches in RL encompass auxiliary tasks, contrastive learning, and data augmentation, each contributing to improved performance and efficiency.

Auxiliary tasks in SSL for RL involve learning additional objectives that aid in representation learn-137 ing. These tasks include reconstruction loss Chandak et al. (2019); Liu et al. (2024), world model-138 ing (Hafner et al., 2020), and information-theoretic techniques (Pong et al., 2020) to obtain efficient 139 representations. Contrastive learning has gained traction in RL for its ability to learn valuable rep-140 resentations without requiring labeled data (Laskin et al., 2020a). Additionally, contrastive learning 141 has shown success in goal-conditioned RL tasks without needing extra data augmentation or aux-142 iliary objectives (Eysenbach et al., 2022). Data augmentation strategies, as demonstrated by DrQ, 143 apply simple image augmentations to standard model-free RL algorithms, enhancing robustness and 144 efficiency (Yarats et al., 2021) RAD further explores data augmentations for both pixel-based and 145 state-based inputs, significantly improving data efficiency and generalization (Laskin et al., 2020b).

While SSL for RL has significantly improved sample efficiency and generalization, the open research challenge of using SSL in CRL is an intriguing area that requires further exploration. Our proposed framework uses self-supervised learning to build an action representation space that decouples the agent's policy from the specific action space, enabling policy generalization.

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### 2.2 CONTINUAL REINFORCEMENT LEARNING

Continual reinforcement learning focuses on training RL agents to learn multiple tasks sequentially
 without prior knowledge, generating significant interest due to its relevance to real-world artificial
 intelligence applications (Khetarpal et al., 2022).

A central issue in CRL is catastrophic forgetting, which has led to various strategies for knowledge
retention. PackNet and related pruning methods (Mallya & Lazebnik, 2018; Schwarz et al., 2021)
preserve model parameters but often require knowledge of task count. Experience replay techniques
such as CLEAR (Rolnick et al., 2019) use buffers to retain past experiences but face memory scalability challenges. In addition, some methods prevent forgetting by maintaining multiple policies
or a subspace of policies (Schöpf et al., 2022; Gaya et al., 2022). Furthermore, task-agnostic CRL
research indicates that rapid adaptation can also help prevent forgetting (Caccia et al., 2023).

162 Another issue in CRL is transfer learning, which is crucial for efficient policy adaptation. Naive 163 approaches, like fine-tuning, train a single model on each new task and provide good scalability and 164 transferability but suffer from catastrophic forgetting. Regularization-based methods, such as EWC 165 (Kirkpatrick et al., 2017; Wang et al., 2024a), have been proposed to prevent this side effect, but 166 often reduce plasticity. Some architectural innovations have been proposed to balance the trade-off between plasticity and stability (Rusu et al., 2016; Berseth et al., 2022). Furthermore, methods like 167 OWL (Kessler et al., 2022) and MAXQINIT (Abel et al., 2018) leverage policy factorization and 168 value function transfer, respectively, for improved learning across tasks. 169

Most existing methods perform well when applied to sequences of tasks with static agent capabilities
and dynamic environments, such as when environmental parameters are altered, or the objectives
within the same environment are different (Pan et al., 2024). However, their effectiveness is greatly
diminished when the agent's capabilities evolve. Our proposed framework aims to overcome this
limitation by building an action representation space.

Additionally, LAICA (Chandak et al., 2020) and DAE (Ding et al., 2023) are particularly relevant in this context. LAICA primarily addresses changes in the action space but focuses on expansion rather than contraction and others, and does not account for catastrophic forgetting. DAE investigates incremental reinforcement learning with expanding action spaces and state spaces but also lacks consideration of more complex action space changes and the critical aspects of CRL. In contrast, our work proposed a more general problem in the context of CRL, considering both the expansion and contraction situations of the action space and the catastrophic forgetting problem.

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### 3 SELF-EVALUATION CONTINUAL REINFORCEMENT LEARNING

### 3.1 PRELIMINARIES

The reinforcement learning process can be formulated as a Markov Decision Process (MDP) {S, A, P, R}. A MDP represents a problem instance that an agent needs to solve over its lifetime. Here, S and A denote the state and action space, respectively, while  $P : S \times S \times A \rightarrow [0, 1]$ is the transition probability function, and  $R : S \times A \rightarrow [r^{\min}, r^{\max}]$  is the reward function. At each time step, the learning agent perceives the current state  $S_t \in S$  and selects an action  $A_t \in A$  according to its policy  $\pi : S \times A \rightarrow [0, 1]$ . The agent then transitions to the next state  $S_{t+1} \sim \mathcal{P}(\cdot|S_t, A_t)$ and receives a reward  $R_t = \mathcal{R}(S_t, A_t, S_{t+1})$ .

194 195 The state-action value function for policy  $\pi$  is  $Q^{\pi}(s,a) = \mathbb{E}_{\pi} \left[ \sum_{j=0}^{H-t} \gamma^{j} R_{t+j} | S_{t} = s, A_{t} = a \right]$ , 196 where  $\gamma$  is the discount factor of the reward, and H is the horizon. Following the Bellman equa-197 tion  $V^{\pi}(s) = \sum_{a \in \mathcal{A}} \pi(s|a) Q^{\pi}(s,a)$ , the state value function can be formulated as  $V^{\pi}(s) =$ 198  $\mathbb{E}_{\pi} \left[ \sum_{j=0}^{H-t} \gamma^{j} R_{t+j} | S_{t} = s \right]$ . The goal of an agent is to find an optimal policy  $\pi^{*}$  to maximize 199 the expected return  $\mathbb{E}_{\pi^{*}} \left[ \sum_{t=0}^{H} \gamma^{t} \mathcal{R}(S_{t}, A_{t}, S_{t+1}) \right]$ , which is the value function of the initial state.

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### 3.2 PROBLEM FORMALIZATION

204 In real-world scenarios, the capabilities of an agent may evolve over time. To explore this, 205 we introduce a new problem called Self-Evolution Continual Reinforcement Learning (SE-CRL). 206 This problem can be formally defined as a sequence of Markov Decision Processes (MDPs)  $\{(\mathcal{S},\mathcal{A}^i,\mathcal{P}^i,\mathcal{R})|i=1,2,...,N\}$ , where N is the total number of MDPs and  $\mathcal{A}^i$  represents the 207 action space available to the agent at MDP *i*. Following the convention in CL, we still use "task" to 208 represent each MDP in the sequence. Each task in the sequence shares a common state space S and 209 reward function  $\mathcal{R}$ , but differs in the action space and implicitly in the transition probability function 210  $\mathcal{P}^i$ , which is influenced by the action space  $\mathcal{A}^i$ . To simplify the problem, we assume that the action 211 space is discrete and finite, and we focus on the impact of changing action spaces on the learning 212 process while assuming  $\mathcal{P}^i$  remains conceptually similar across tasks. 213

Then, the dynamics of the action space can be characterized by differences in successive action spaces. For each task i > 1, the action space  $\mathcal{A}^i$  can be related to the previous action space  $\mathcal{A}^{i-1}$  in one of the following situations ( $\mathcal{A}^i \neq \mathcal{A}^{i-1}$ ):



Figure 3: The overview of the proposed framework. The tasks with different action spaces are learned sequentially. Each task consists of two stages: the exploration stage (**green**) and the learning stage (**yellow**). The former aims to build an action representation space, and the latter aims to learn a policy based on the learned space.

- 1. **Expansion**:  $\mathcal{A}^{i-1} \subset \mathcal{A}^i$  (new actions are added).
- 2. Contraction:  $\mathcal{A}^{i-1} \supset \mathcal{A}^i$  (some actions are removed).
- 3. **Partial Change**:  $\mathcal{A}^{i-1} \cap \mathcal{A}^i \neq \emptyset$  and  $\mathcal{A}^{i-1} \not\subseteq \mathcal{A}^i$  and  $\mathcal{A}^i \not\subseteq \mathcal{A}^{i-1}$  (some actions are removed and some actions are added).
  - 4. Complete Change:  $\mathcal{A}^{i-1} \cap \mathcal{A}^i = \emptyset$  (all actions are removed and new actions are added).

Previous work focuses on the first situation from the perspective of transfer reinforcement learning (Chandak et al., 2020; Ding et al., 2023). However, the other situations are less explored in the literature, especially in the broader context of CRL. In this work, we take a step further to address the problem of SE-CRL by considering the first two situations and their combinations.

The policy of the RL agent on task *i* is denoted as  $\pi_{\theta^i} : S \times A^i \to [0, 1]$ , where  $\theta^i$  represents the policy parameters. After learning on tasks  $\{1, 2, \dots, i\}$ , the agent's objective is to learn a policy that maximizes the average expected return overall tasks. This can be formally expressed as:

$$\max_{\theta^i} \frac{1}{i} \sum_{j=1}^i \mathbb{E}_{\pi_{\theta^i}} \left[ \sum_{t=0}^{H^j} \gamma^t \mathcal{R}(S_t, A_t^j, S_{t+1}) \right], \tag{1}$$

where  $H^j$  is the horizon of task j, and  $A_t^j \in \mathcal{A}^j$  is the action at the *t*-th step on task j. The expected return at each task is related to the current policy  $\pi_{\theta^i}$  and the corresponding action space  $\mathcal{A}^j$ .

### 4 ACTION REPRESENTATION CONTINUAL REINFORCEMENT LEARNING

### 256 4.1 FRAMEWORK

Our goal is to design a framework for generalized policy learning that can adapt to the chang-ing action space, enhancing agent adaptation to evolving action capabilities. Recent neuroscience research has shown that the stability of latent dynamics of neural activity reflects a fundamental feature of learned cortical function that leads to long-term and consistent human behavior (Gal-lego et al., 2020). Furthermore, research on SSL for RL has demonstrated its effectiveness in improving the agent's generalization ability (Chandak et al., 2019; Liu et al., 2024; Fang & Stachen-feld, 2024). Drawing inspiration from these findings, we propose a new framework, named Action Representation Continual Reinforcement Learning (ARC-RL), to enables the agent to generalize policy across different action spaces. 

Figure 3 illustrates the overview of ARC-RL. By decoupling the policy of the agent from the action space, the policy can be generalized to new action spaces efficiently. The interaction between the agent and the environment at each task is achieved through the action representation space. Each task in ARC-RL consists of a two-stage process: **Exploration Stage**) As shown in the left part of Figure 3, the agent explores the current action space in the environment, collects the transitions

270 (state-action-state pairs), and learns an encoder-decoder pair through SSL. The encoder maps the 271 action space to an action representation space, and the decoder maps the action representation space 272 to the action space. When the action space changes, the agent can adapt by modifying the decoder's 273 structure, while the parameters of the encoder and the decoder are updated. Furthermore, to maintain 274 the stability of the policy, the regularization term is added to the fine-tuning process of the decoder. Learning Stage) As shown in the right part of Figure 3, the agent learns a policy based on the 275 learned action representation space, rather than the specific action space of each task. Specifically, 276 the action representation space is treated as the action space, and a standard RL policy is used to maximize the expected return. Once the action space changes, the agent merely needs to use the 278 updated decoder to interact with the environment. In this way, the policy can maintain stability 279 through the action representation space, and the agent can adapt to the new action space efficiently. 280

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### 4.2 ACTION REPRESENTATION SPACE BUILDING

283 We use self-supervised learning to build an action representation space for the agent. The agent 284 explores the action space in the environment of task i before learning the policy. It collects the 285 transitions  $\mathcal{T}^i = \{(s, a, s')_m | m = 1, 2, \cdots, M\}$  without reward, where s' is the next state of s 286 after taking action a and M is the number of transitions. Based on the work of auxiliary tasks 287 in reinforcement learning (Chandak et al., 2019; Fang & Stachenfeld, 2024), we believe that the features of the actions can be naturally represented by their influences of state changes. Therefore, 288 the auxiliary task of the action representation is to predict the next state s' given the current state s 289 and the action a. Specifically, for a transition (s, a, s'), the encoder  $f_{\phi^i}$  parameterized by  $\phi^i$  maps 290 an action a to an action representation  $e \in \mathcal{E}$ . The decoder  $g_{\delta i}^i$  parameterized by  $\delta^i$  maps the action 291 representation  $e \in \mathcal{E}$  to the action probability. The processes are formulated as: 292

**Encoding** : 
$$e = f_{\phi^i}(s, s'), \forall s \in \mathcal{S}, \forall s' \in \mathcal{S}, \text{ Decoding} : a \sim g^i_{\delta^i}(\cdot|e), \forall e \in \mathcal{E}.$$
 (2)

Although we use different superscripts to represent decoders in different tasks for clarity, the structure is continually updated rather than being task-specific. Therefore,  $g_{\delta^i}^i$  needs to map action representation *e* to the action probability of any action space from past and current tasks during testing.

The probability of an action *a* given the state *s* and the next state *s'* can be represented as  $g_{\delta i}^{i}(a|f_{\phi i}(s,s'))$ . To measure the difference between the true action probability and the predicted action probability, we use the cross-entropy loss as the loss function of the encoder-decoder network:

$$\mathcal{L}(\phi^i, \delta^i) = -\sum_{(s,a,s')\in\mathcal{T}} \log P(a|s,s') = -\sum_{(s,a,s')\in\mathcal{T}} \log g^i_{\delta^i}(a|f_{\phi^i}(s,s')).$$
(3)

This loss function only depends on the environmental dynamic data which is reward-agnostic, the agent can build the action representation space  $\mathcal{E}$  with low computational cost.

After the SSL process, the agent can use the learned action representation space to interact with the environment. The original policy  $\pi^i : S \times A \to [0,1]$  can be represented by another policy  $\tilde{\pi}_{\theta^i} : S \to \mathcal{E}$  and the decoder  $g^i_{\delta^i} : \mathcal{E} \times \bigcup_{j=1}^i \mathcal{A}^j \to [0,1]$ :

$$\tau^{i}(a|s) = g^{i}_{\delta^{i}}(a|\tilde{\pi}_{\theta^{i}}(s)).$$

$$\tag{4}$$

311 Then the policy can be trained by a standard RL algorithm to maximize the expected return:

$$J(\theta^{i}) = \mathbb{E}_{\tilde{\pi}_{\theta^{i}}} \left[ \sum_{t=0}^{H^{i}} \gamma^{t} R(S_{t}, A_{t}, S_{t+1}) \right].$$
(5)

4.3 REGULARIZED FINE-TUNING

In the new task i + 1, the policy needs to generalize to the new action space  $\mathcal{A}^{i+1}$ . The structure of the decoder  $g_{\delta^{i+1}}^{i+1}$  needs to be expanded or masked to adapt to the new action space. If the action space is expanded, that is  $\mathcal{A}^{i+1} \supset \mathcal{A}^i$ , the network is expanded by adding new neurons. The parameters of the old neurons are fixed and the parameters of new neurons are initialized randomly. If the action space is contracted, that is  $\mathcal{A}^{i+1} \subset \mathcal{A}^i$ , the output corresponding to the actions that are not in the new action space is masked. This strategy has been broadly studied in the works of architecture-based CL methods (Rusu et al., 2016; Mallya & Lazebnik, 2018; Mallya et al., 2018). <sup>324</sup> During training on the transitions of the new task, the decoder is fine-tuned with the regularization. <sup>325</sup> We use the Elastic Weight Consolidation (EWC) to constrain the fine-tuning process, as it has been <sup>326</sup> shown to be effective in mitigating the catastrophic forgetting in CL (Kirkpatrick et al., 2017). The <sup>327</sup> encoder is also fine-tuned in the new tasks to continuously refine the action representation space  $\mathcal{E}$ . <sup>328</sup> We do not impose constraints on the encoder because we have found that its plasticity is crucial for <sup>329</sup> learning a good representation space. The loss function of the decoder network in Equation 3 is <sup>330</sup> modified to include the EWC term:

$$\mathcal{L}(\phi^{i+1}, \delta^{i+1}) = -\sum_{(s,a,s')\in\mathcal{T}^{i+1}} \log g^{i+1}_{\delta^{i+1}}(a|f_{\phi^{i+1}}(s,s')) + \frac{\lambda}{2} \sum_{j=1}^{i} \sum_{k} F^{j}_{k} \left(\delta^{i+1}_{k} - \delta^{j}_{k}\right)^{2}, \quad (6)$$

where  $F_k^j$  is the k-th diagonal element of the Fisher information matrix of the parameters of the decoder network on task j, and  $\lambda$  is a regularization coefficient to balance the two terms. After the fine-tuning process, the agent can use the new decoder to interact with the environment. In order to maintain consistency with the standard pipeline of CRL, we still update the policy in the new action space. This process does not use any regularization, and the objective function is the same as Equation 5. In this way, the agent can achieve better performance in the new task with little additional computational cost. [The algorithm is provided in Appendix A.]

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### 5 **EXPERIMENTS**

### 5.1 BENCHMARK

To evaluate the performance of CRL methods in SE-CRL, we establish a benchmark with changing action spaces. This benchmark comprises sequences with tasks that share identical state, reward, and transition dynamics but possess different action spaces. [Detailed descriptions of experimental settings, network structures, hyperparameters, and the metrics are provided in Appendix B.]

350 Environments. The environments of these tasks are based on MiniGrid (Chevalier-Boisvert et al., 351 2023) and Procgen (Cobbe et al., 2020). These environments feature image-based observations, a 352 discrete set of possible actions. For expeditious training and evaluation, we use Empty of MiniGrid 353 and Bigfish of Procgen in our experiments. Other environments are also provided in the Appendix C.7. The agents in these environments can move in different directions. To simulate the evolving 354 capabilities, we introduce some additional actions and remove some existing actions. Then, we 355 design three tasks with different numbers of actions for both MiniGrid and Procgen, specifically 356 incorporating tasks with three, five, and seven actions for MiniGrid, and tasks with three, five, and 357 nine actions for Bigfish. When the agent switches from one task to another, the set of available 358 actions may either increase or decrease. The agent can only observe the action space of the current 359 task. Based on these tasks, we evaluate the performance of CRL methods in three situations of task 360 sequences: expansion (the action space is expanding), contraction (the action space is contracting), 361 and the combination of both.

362 **Compared Methods.** We select three types of CRL methods to compare with SE-CRL: one replay-363 based method, CLEAR (Rolnick et al., 2019); two regularization-based methods, EWC (Kirk-364 patrick et al., 2017) and online-EWC (Schwarz et al., 2018); and one architecture-based method, Mask (Ben-Iwhiwhu et al., 2023). Additionally, we take the DRL methods trained with fine-tuning 366 (named FT) and independently (named IND) across tasks as baselines. In the implementation of 367 these methods, we adapt them to SE-CRL by using the largest action space of all tasks. This adap-368 tation necessitates prior knowledge of all tasks, while our framework does not require it. In order 369 to better understand the challenge of SE-CRL, we also introduce a baseline that is always able to access all action spaces (named ALL). This baseline does not involve CL and its final performance 370 can be regarded as an upper bound of other methods. The underlying RL algorithm of all methods 371 is IMPALA (Espeholt et al., 2018). 372

Metrics. To evaluate the effectiveness of ARC-RL, we use the expected return to measure the
 performance of the trained agents. Following the standard practice of CL (Díaz-Rodríguez et al.,
 2018; Wolczyk et al., 2021; Li et al., 2024b), we use three metrics based on the agent's performance throughout different phases of its training process: continual return, forgetting, and forward transfer (Powers et al., 2022). The continual return is the average performance achieved by
 the agent on all tasks after completing all training, which is consistent with the agent's objective in

Equation 1. The forgetting compares the expected return achieved for the earlier task before and after training on a new task, while the forward transfer compares the expected return achieved for the later task before and after training on an earlier task. Furthermore, the forward transfer metric measures the zero-shot generalization of the policy in SE-CRL. The averages of forgetting and forward transfer across all tasks are reported in the results.



Figure 5: Performance of eight methods on three MiniGrid tasks in the contraction situation.

### 5.2 Competitive Experiments

406 To evaluate the effectiveness of our framework, we first compare it with other methods on MiniGrid 407 tasks. Due to the simple environments, we only use a random policy in the exploration stage of ARC-RL. Each task is trained for 3M steps and replicated with 10 random seeds to ensure statistical 408 reliability. During each task's training phase, the agent is not only trained on the current task but also 409 periodically evaluated on all tasks, including those it has previously encountered. This evaluation 410 allows us to assess both the learning progress on the current task and the retention of knowledge from 411 prior tasks. The results presented in the evaluation plots and the total evaluation metric reported 412 in the table below were computed as the mean of runs per method, with the shaded area and errors 413 denoting the 95% confidence interval. Each subplot in the evaluation plots depicts the expected 414 return of the agent evaluated on the corresponding task during training on all tasks, with the x-axis 415 representing the total number of training steps across all tasks. The blue-shaded rectangular area 416 indicates the training phase of the current task. We employ exponential moving averages to smooth the results for better visualization. As tasks are learned independently of other tasks in IND, there is 417 no notion of forward transfer. Therefore, this method is omitted when forward transfer is reported. 418 [Further details and more experiments (combined situations, longer sequences, and hyperparameter 419 sensitivity analysis, etc) are provided in Appendix C ] 420

421 Overall Performance. Figures 4 and 5 show the evaluated performance of eight methods on Mini-422 Grid tasks with action spaces that are either expanding or contracting, respectively. The return curve of SE-CRL (red line) is generally higher than that of other methods across all tasks, suggesting 423 that SE-CRL adapts more effectively to changing action spaces and achieves superior performance. 424 Furthermore, the smaller shaded areas around the ARC-RL's curve also indicate greater stability 425 compared to other methods. It is worth noting that although CLEAR can learn better in the first 426 training phase, it experiences significant performance degradation on most tasks. This phenomenon 427 indicates that the challenge of catastrophic forgetting in SE-CRL is different from existing CRL, and 428 replay-based methods may not be able to effectively address it. 429

In the expansion situation, the overall performance of some methods slightly improves as training 430 progresses (more evident in Figure 15). This phenomenon suggests that an expanding action space 431 may facilitate policy generalization, echoing the principle of curriculum learning (Wang et al., 2022).

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432 Table 1: Continual learning metrics of eight methods and two variants of ARC-RL across three 433 MiniGrid tasks in situations of expansion and contraction. The average continual return of ALL 434 is 0.94, which is not provided in the table. Continual return and forward transfer are abbreviated as "Return" and "Transfer", respectively. The top five results are highlighted in green, and the depth of 435 the color indicates the ranking. 436

Methods		Expansion			Contraction	
Methous	Return↑	Forgetting↓	Transfer↑	Return↑	Forgetting↓	Transfer↑
IND	$0.81\pm0.02$	$0.08\pm0.02$	_	$0.67\pm0.06$	$0.26\pm0.05$	_
FT	$0.86\pm0.03$	$0.03\pm0.02$	$0.48\pm0.03$	$0.52\pm0.07$	$0.39\pm0.06$	$0.39\pm0.03$
EWC	$0.81\pm0.04$	$-0.04\pm0.01$	$0.43 \pm 0.05$	$0.39 \pm 0.11$	$0.40\pm0.09$	$0.47\pm0.03$
online-EWC	$0.87\pm0.02$	$0.02\pm0.01$	$0.40\pm0.05$	$0.56\pm0.09$	$0.34\pm0.06$	$0.44\pm0.03$
Mask	$0.72\pm0.05$	$-0.04\pm0.04$	$0.02\pm0.03$	$0.70\pm0.06$	$-0.02\pm0.04$	$0.08\pm0.03$
CLEAR	$0.73\pm0.06$	$0.21\pm0.06$	$0.58\pm0.01$	$0.11\pm0.02$	$0.58\pm0.03$	$0.46\pm0.02$
ARC-RL	$0.90\pm0.01$	$-0.02\pm0.01$	$0.57\pm0.02$	$0.80\pm0.03$	$0.04\pm0.03$	$0.60\pm0.01$
ARC-RL-O	$0.86\pm0.03$	$0.00\pm0.03$	$0.51\pm0.02$	$0.73\pm0.06$	$0.04\pm0.03$	$0.60\pm0.01$
ARC-RL-E	$0.89\pm0.03$	$-0.03\pm0.03$	$0.55\pm0.04$	$0.74\pm0.05$	$0.18\pm0.04$	$0.51\pm0.03$

448 However, some methods experience a performance drop after training task changes (e.g., step 3M in 449 Figure 4b), highlighting the challenge of policy generalization across different action spaces. ARC-450 RL, with minimal performance fluctuations upon action space changes, demonstrates the utility of 451 the action representation space for policy learning and generalization.

452 In the contraction situation, performance changes are more pronounced. Most methods suffer sig-453 nificant performance shifts when the action space is reduced, indicating that policies trained on 454 larger action spaces may not transfer well to smaller ones. This phenomenon further emphasizes 455 the challenge of policy generalization across different action spaces. Although ARC-RL sometimes 456 experiences a larger performance drop compared to Mask, which focuses on mitigating catastrophic 457 forgetting, it generally outperforms other methods. After training on the final task with a three-458 action space, ARC-RL outshines others, demonstrating the benefits of action representation space for learning on new action spaces. 459

460 Continual Learning Performance. Table 1 presents the evaluation results in terms of CL metrics. 461 The continual return metric demonstrates ARC-RL's superiority in SE-CRL, significantly outper-462 forming the other methods in all situations. The forward transfer metric is particularly noteworthy, 463 as it measures the agent's ability to leverage knowledge from previous tasks and indicates zero-shot generalization to new action spaces. ARC-RL exhibits the highest forward transfer underscoring the 464 benefit of the action representation space for generalization. The forgetting metric of all methods is 465 relatively high in the contraction situation, further underscoring the policy generalizability challenge 466 in SE-CRL. When some actions are removed, the optimal policy may change significantly, leading 467 to a performance drop. Note that regularization-based methods (EWC and online-EWC) can not 468 mitigate catastrophic forgetting in this situation, possibly due to the large difference in networks' 469 parameters between different action spaces. Although ARC-RL does not achieve the best score for 470 the forgetting metric, its exceptional forward transfer capabilities and strong average performance 471 accentuate its proficiency in handling SE-CRL. The above findings highlight ARC-RL's potential 472 to markedly enhance the adaptability and generalization ability of reinforcement learning agents, 473 positioning it as a highly viable solution for SE-CRL.

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5.3 ABLATION STUDY 475

476 We conduct an ablation study on MiniGrid tasks to investigate what affects ARC-RL's performance 477 in SE-CRL. We consider two variants: ARC-RL-O, which omits the regularization during fine-478 tuning, and ARC-RL-E, which uses the same regularization for the encoder and decoder. The 479 results, presented in Table 1, reveal that ARC-RL-O exhibits better forward transfer than other 480 methods, demonstrating that the action representation space is helpful for a more generalized pol-481 icy. The comparison between ARC-RL and ARC-RL-O in forgetting and forward transfer suggests 482 that regularization may improve policy stability. Nevertheless, ARC-RL's superior continual return 483 demonstrates that this balance is beneficial for the stability-plasticity trade-off essential in continual learning systems (Wang et al. 2024). Compared with ARC-RL, additional regularization in ARC-484 RL-E damages the forward transfer but does not mitigate forgetting. This may indicate that the 485 plasticity of the encoder is essential for the learning of the action representation space.



Figure 6: Performance of eight methods on three Procgen tasks in the contraction situation.

### 5.4 More Challenging Experiments

To further evaluate the effectiveness of ARC-RL, we conduct experiments on the Bigfish environ-497 498 ment from Procgen. These tasks are more challenging than MiniGrid tasks due to their larger state space and more complex control logic. To better extract features from images, all methods' networks 499 were equipped with the IMPALA architecture (Espeholt et al., 2018). As demonstrated in previous 500 experiments, the contraction situation better highlights the challenges of SE-CRL. Therefore, we 501 focus on the contraction situation in these experiments. Each experiment is trained for 5M steps and 502 replicated with 5 random seeds to ensure statistical reliability. Other experimental configurations 503 are consistent with those used in the MiniGrid experiments. 504

Figure 6 and Table 2 present the performance 505 and metrics of seven methods across three 506 Bigfish tasks, respectively. The performance 507 gap between ALL and other methods is more 508 pronounced in these experiments, indicating 509 the challenges posed by the Bigfish environ-510 ment. Consistent with the MiniGrid exper-511 iments, ARC-RL outperforms other methods 512 across all tasks. Many methods experience sig-513 nificant performance drops after training task 514 changes, but ARC-RL has a less volatile re-515 turn curve, indicating effective policy generalization across different action spaces. Our 516 method achieves strong results in both forget-517 ting and positive transfer metrics. While some 518 methods excel in one of these metrics, they fail 519

Table 2: Continual learning metrics of seven methods across three Bigfish tasks in the **contraction** situation. The average continual return of **ALL** is 24.77, which is not provided in the table. The top three results are highlighted in green, and the depth of the color indicates the ranking.

Methods	Metrics						
witchious	Return↑	Forgetting↓	Transfer↑				
IND	$1.66\pm0.96$	$0.18\pm0.02$	-				
FT	$3.01 \pm 1.39$	$0.14\pm0.08$	$0.23\pm0.02$				
EWC	$1.74 \pm 1.04$	$0.26\pm0.06$	$0.16\pm0.06$				
online-EWC	$1.84\pm0.80$	$0.14\pm0.03$	$0.18\pm0.07$				
Mask	$1.49 \pm 0.71$	$-0.01\pm0.01$	$0.06\pm0.06$				
CLEAR	$1.48\pm0.44$	$0.23\pm0.02$	$0.11\pm0.04$				
ARC-RL	$10.03 \pm 1.94$	$0.12\pm0.07$	$0.19\pm0.05$				

to balance both simultaneously. The continual return, a crucial metric for CRL agents, varies signifi-520 cantly among different methods in this challenging task sequence. Popular CRL methods exhibit low 521 returns after training on all tasks, likely due to suffering from both catastrophic forgetting and plas-522 ticity loss (Abbas et al., 2023). Interestingly, FT, a naive knowledge transfer method, performs better 523 than other popular CRL methods, highlighting the distinct challenges posed by SE-CRL compared 524 to existing CRL. Additionally, we also conducted experiments in the expansion situation, as de-525 tailed in Appendix C.6. Our proposed method also achieves optimal continual return in this setting. 526 demonstrating its robustness across different task complexities. The experimental results further indicate that the agent effectively leverages the available actions to improve its policy. In summary, 527 our method strikes a good balance between plasticity and stability, significantly outperforming other 528 methods in terms of continual return. 529

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### 6 CONCLUSION

In this paper, we first propose a new and practical problem to supplement CRL called *Self-Evolution Continual Reinforcement Learning* (SE-CRL), in which the agent's action space continuously changes. To tackle the challenges in this problem, we introduce a new framework called
Action Representation Continual Reinforcement Learning (ARC-RL). This framework leverages
self-supervised learning and regularized fine-tuning to build an action representation space to generalize the policy across different action spaces. We release a benchmark based on MiniGrid and
Procgen to validate the effectiveness of CRL methods in SE-CRL. Experimental results demonstrate the superior performance of ARC-RL compared to popular CRL methods.

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# 756 A FRAMEWORK DETAILS

Algorithm 1 shows the complete process of ARC-RL. The notations used in the algorithm are consistent with those in the main text. For each task, ARC-RL consists of two stages: exploration and learning. The parameters  $\phi$ ,  $\delta$ , and  $\theta$  are continually updated in place as the process. After all tasks are completed, the policy  $\pi_{E\theta}$  and decoder  $g_{\delta}$  of the final task are returned. Therefore, we do not use superscript *i* to denote the parameters in the algorithm.

 Algorithm 1 ARC-RL

 Input: Tasks with different action space  $\{\mathcal{A}^i\}_{i=1}^N$ .

 Initialize:  $\theta$ ,  $\phi$  and  $\delta$ .

 for  $t = 1, 2, \dots, M$  do

 See Task with action space  $\mathcal{A}^i$  

 Exploration Stage

 Use exploration policy to interact with the environment to collect transitions  $\mathcal{T}^i$ ; if t = 1 then

 Update  $\phi$  and  $\delta$  with by minimizing Equation 3; //Encoder-decoder training

 else

 Update  $\phi$  and  $\delta$  with by minimizing Equation 6; //Regularized fine-tuning

 Learning Stage

 Use Equation 4 to interact with the environment;

Update  $\theta$  by maximizing Equation 5; //Policy training

**Return:** Policy  $\pi_{E\theta}$  and decoder  $g_{\delta}$ .

### **B** ENVIRONMENTAL DETAILS



Figure 7: The screenshots of actions in MiniGrid. The transparent white area represents the agent's field of view, which is the state. (a) The agent starts from this state. (b)–(h) The agent's state after the corresponding action.



Figure 8: The screenshots of Bigfish. The texture and objects are procedurally generated.

#### **ENVIRONMENTS AND TASK SEQUENCES. B**.1

**MiniGrid**<sup>1</sup> The MiniGrid contains a collection of simple 2D grid-world environments with a variety 826 of objects, such as walls, doors, keys, and agents. These environments feature image-based partial 827 observations, a discrete set of possible actions, and various objects characterized by their color and 828 type. For expeditious training and evaluation, we only use the empty room environments of Mini-829 Grid in our experiments. By default, they have a discrete 7-dimensional action space and produce 830 a 3-channel integer state encoding of the  $7 \times 7$  grid directly including and in front of the agent. 831 Following the training setup for Atari (Schrittwieser et al., 2020), we modified the environments to 832 output a  $7 \times 7 \times 9$  by stacking three frames. Furthermore, we only use three basic movement actions 833 from the original action space of MiniGrid: turn left, turn right, and move forward. Then, we expand 834 the action space by adding four more actions to simulate SE-CRL: move left, move right, forward 835 left, forward right. The screenshots of these actions are shown in Figure 7. Finally, we design three 836 tasks with different action spaces: a three-action task (turn left, turn right, forward), a five-action task (turn left, turn right, forward, left, right), and a seven-action task (turn left, turn right, forward, 837 left, right, forward left, forward right). 838

839 Procgen.<sup>2</sup> The Procgen benchmark is a collection of procedurally generated environments designed 840 to evaluate generalization in RL algorithms. It was proposed as a replacement for the Atari games 841 benchmark while being computationally faster to simulate than Atari. For faster training and evaluation, we chose Bigfish with the easiest level as the base environment in our experiments, in which 842 the agent starts as a small fish and needs to become bigger by eating other fish. Figure 8 shows the 843 screenshots of this environment. The input observations are RGB images of dimension  $64 \times 64 \times 3$ , 844 along with 15 possible discrete actions. Similar to MiniGrid, we only use nine basic movement 845 actions from the original action space of Bigfish: stay, up, down, left, right, up-left, up-right, down-846 left, and down-right. Then, we design three tasks with different action spaces: a three-action task 847 (stay, up, down), a five-action task (stay, up, down, left, right), and a nine-action task (stay, up, down, 848 left, right, up-left, up-right, down-left, down-right). 849

850 B.2 COMPARED METHODS. 851

852 We compare ARC-RL with six methods in SE-CRL. These methods cover three common types 853 of CRL: replay-based, regularization-based, and architecture-based. Note that CLEAR is task-854 agnostic, while EWC and ARC-RL require explicit task boundaries. The details of the methods 855 are as follows:

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- **IND.** This method represents a traditional DRL setup where an agent is trained independently on each task. This serves as a foundational comparison point to underscore the advantages of CL, as it lacks any mechanism for knowledge retention or transfer.
- **FT.** Building upon the standard DRL algorithm, this method differs from IND by using a single agent that is sequentially fine-tuned across different tasks. As a naive CRL method,

<sup>&</sup>lt;sup>1</sup>https://minigrid.farama.org/environments/minigrid/EmptyEnv/

<sup>&</sup>lt;sup>2</sup>https://github.com/openai/procgen

Table 3: Network structure for MiniGrid. All convolutional layers use a kernel size of  $2 \times 2$  and a stride of 1. Linear 2 and Linear 4 are the output heads in ARC-RL, while Linear 3 and Linear 5 are the output heads in other methods.

	Lavan	Input	Output
	Layer	channels/units	channels/units
	Conv 1	9	32
Dealthona	Conv 2	32	64
Dackbolle	Conv 3	64	128
	Linear 1	2048	64
Value	Linear 2	64+256+1	1
output	Linear 3	64+7+1	1
Policy	Linear 4	64+256+1	256
output	Linear 5	64+7+1	7
	Conv 4	9	32
	Conv 5	32	64
Encoder	Conv 6	64	128
	Linear 6	2048	64
	Linear 7	64	256
Decoder	Linear 8	256	7

this method provides a basic measure of an agent's capacity to maintain knowledge of earlier tasks while encountering new tasks (Gaya et al., 2022).

- **CLEAR.** A classical CRL method aiming to mitigate catastrophic forgetting by using a replay buffer to store experiences from previous tasks (Rolnick et al., 2019). It uses off-policy learning and behavioral cloning from replay to enhance stability, as well as on-policy learning to preserve plasticity.
- **EWC.** An RL implementation of Elastic Weight Consolidation (EWC) (Kirkpatrick et al., 2017), which is designed to mitigate catastrophic forgetting by selectively constraining the update of weights that are important for previous tasks.
  - **Online-EWC.** A modified version of EWC that adds an explicit forgetting mechanism to perform well with low computational cost (Schwarz et al., 2018).
  - Mask. A CRL method that adapts modulating masks to the network architecture to prevent catastrophic forgetting (Ben-Iwhiwhu et al., 2023)<sup>1</sup>. The linear combination of the previously learned masks is used to exploit knowledge when learning new tasks.

### B.3 NETWORK STRUCTURES

All methods in our experiments are implemented based on IMPALA (Espeholt et al., 2018). The network of this algorithm is consistent across all methods, except for the specific components of each method. For MiniGrid, we use a small network as the input observation is an image with shape  $9 \times 7 \times 7$ . As shown in Table 3, each network consists of a convolutional neural network (CNN) with three convolutional layers and two fully connected layers. ReLU activation is employed in all networks except the output layers of the policy network in ARC-RL, which uses a sigmoid activation. Note that the number of input units for the policy and value output heads changes because the one-hot action vector and reward scalar from the previous time step are concatenated to the output of Linear 1. For Procgen, we replace the CNN with the IMPALA architecture to improve the representation ability of bigger images. As shown in Table 4, this architecture consists of three IMPALA blocks, each of which contains a convolutional layer and two residual blocks. Additionally, we employ a bigger CNN in the encoder of ARC-RL to extract features from the input image. 

<sup>&</sup>lt;sup>1</sup>We use the code at https://github.com/dlpbc/mask-lrl-procgen/tree/develop\_v2

918Table 4: Network structure for Procgen. All convolutional layers in the backbone use a kernel size919of  $3 \times 3$  and a stride of 1. The kernel sizes of the convolutional layers in the encoder are  $8 \times 8$ ,  $4 \times 4$ ,920and  $3 \times 3$ , respectively. The stride of them are 4, 2, and 1, respectively. All maxpool layers use a921kernel size of  $3 \times 3$  and a stride of 2. Linear 2 and Linear 4 are the output heads in ARC-RL, while922Linear 3 and Linear 5 are the output heads in other methods.

	Lover	Input	Output
	Layer	channels/units	channels/units
	Conv 1	3	32
	MaxPool	32	32
	Residual 1	32	32
	Residual 2	32	32
Backbone	Conv 2	32	64
	MaxPool	64	64
	Residual 3	64	64
	Reedisidual 4	64	64
	Conv 3	64	64
	MaxPool	64	64
	Residual 5	64	64
	Reedisidual 6	64	64
	Linear 1	3136	512
Value	Linear 2	512+256+1	1
output	Linear 3	512+9+1	1
Policy	Linear 4	512+256+1	256
output	Linear 5	512+9+1	7
	Conv 4	9	32
	Conv 5	32	64
Encoder	Conv 6	64	64
	Linear 6	1024	512
	Linear 7	512	256
Decoder	Linear 8	256	7

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### B.4 HYPERPARAMETERS

The hyperparameters for the competitive experiments are presented in Table 5 and Table 6. Most values follow the settings in CORA (Powers et al., 2022). Note that for ARC-RL, we did not conduct experiments to search for the best hyperparameters. Additionally, the number of exploration steps for ARC-RL on new tasks is set to 10<sup>4</sup>. This parameter is relatively small compared to the number of training steps per task and is not been tuned. In the implementation of CLEAR, each actor only gets sampled once during training, so we need the same number of actors as well as batch size.

### B.5 METRICS

Based on the agent's normalized expected return, we evaluated the continual learning performance of our framework and other methods using the following metrics: continual return, forgetting, and forward transfer. Let us consider a sequence with N tasks, where  $p_{i,j} \in [0, 1]$  represents the performance of task j (evaluation return) after the agent has been trained on task i. Then, the above metrics can be defined:

• **Continual return**: The continual return for task *i* is defined as:

$$\mathbf{R}_i := \frac{1}{i} \sum_{j=1}^i p_{i,j}.$$
(7)

This metric provides an overall view of the agent's performance up to and including task i. The final value,  $\mathbf{R} \in [0, 1]$  is a single-value summary of the agent's overall performance after all tasks and is included in the result tables.

- Forgetting: The forgetting for task *i* measures the decline in performance for that task after training has concluded. It is calculated by:
  - $\mathbf{F}_{i} := \frac{1}{i-1} \sum_{j=1}^{i-1} (p_{i-1,j} p_{i,j})$ (8)

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974	Method	Hyperparameter	Value
975	memou	Num of actors	6
976		Num, of learner	2
977		Batch size	256
978		Learning rate	$4 \times 10^{-4}$
979		Entropy	0.01
980	Common	Rollout length	20
981	Common	Optimizer	RMSProp
982		Discount factor	0.99
983		Gradient clip	40
984		Num. of training steps per task	$3  imes 10^6$
985		Num. of evaluation episodes	10
986		Evaluation interval	$10^{5}$
007		Num. of actors	12
301	CLEAR	Batch size	12
988		Replay buffer size	$5 \times 10^6$
989		$\lambda$	$10^{4}$
990	EWC	Replay buffer size	$10^{6}$
991		Min. frames per task	$2 \times 10^5$
992	Online EWC	$\lambda$	175
993	Omme-LwC	Replay buffer size	$10^{6}$
994		$\lambda$	3000
995	P&C	Replay buffer size	$10^{5}$
996		Num. of progress train steps	3906
997	ARC RI	$\lambda$	$2 \times 10^4$
998	ANC-NL	Action Representation size	256

Table 5: Hyperparameters for the experiments on MiniGrid.  $\lambda$  is the regularization coefficient.

Table 6: Hyperparameters for the experiments on Procgen. Other hyperparameters are the same as those on MiniGrid.

	<b>X</b> 7 1
Hyperparameter	Value
Num. of actors	21
Num. of learner	2
Batch size	32
Num. of training steps per task	$5 \times 10^6$
Num. of evaluation episodes	10
Evaluation interval	$2.5  imes 10^5$

When  $\mathbf{F}_i > 0$ , the agent has become worse at the past tasks after training on new task *i*, indicating forgetting has occurred. Conversely, when  $\mathbf{F}_i < 0$ , the agent has become better at past tasks, indicating backward transfer has been observed. The overall forgetting metric,  $\mathbf{F} \in [-1, 1]$ , is the average of  $\mathbf{F}_i$  values for all tasks, providing insight into how much knowledge the agent retains over time. We report  $\mathbf{F}$  in the results tables.

• Forward transfer: The forward transfer for task *i* quantifies the positive impact that learning task *i* has on the performance of subsequent tasks. It is computed as follows:

$$\mathbf{\Gamma}_{i} := \frac{1}{N-i} \sum_{j=i+1}^{N} (p_{i,j} - p_{i-1,j})$$
(9)

When  $T_i > 0$ , the agent has become better at later tasks after training on earlier task *i*, indicating forward transfer has occurred through zero-shot learning. When  $T_i < 0$ , the agent has become worse at later tasks, indicating negative transfer has occurred. The overall forward transfer,  $\mathbf{T} \in [-1, 1]$ , is the mean of  $\mathbf{T}_i$  values across all tasks, providing insight into the generalization ability of the agent. We report T in the results tables.

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Figure 9: Average runtime of seven methods on three MiniGrid tasks and three Procgen tasks.

Our code is implemented with Python 3.9.17 and Torch 2.0.1+cu118. Each method on MiniGrid 1042 was trained using an AMD Ryzen 5 3600 CPU (6 cores) with 32GB RAM and an NVIDIA GeForce 1043 RTX 1060 GPU. The Procgen experiments were conducted on an AMD Ryzen 9 7950X CPU (16 1044 cores) with 48GB RAM and an NVIDIA GeForce RTX 4070Ti Super GPU. As illustrated in Figure 1045 9a, each run, consisting of three MiniGrid tasks, takes about 1 hour to complete. However, there 1046 is a notable difference in the runtime of the methods when applied to Procgen tasks, as shown in 1047 Figure 9b. Specifically, the CLEAR and Mask take approximately twice and four times as long 1048 as the baselines, respectively. This increased runtime may attributed to the additional computation 1049 required to update the replay buffer and masks. Although the runtime of ARC-RL is longer than 1050 that of the baselines, it remains acceptable for practical applications when compared to CLEAR 1051 and Mask. In summary, the total runtime is influenced by four factors: the device, the domain, the 1052 computation time of the algorithm, and the behavior of the policy. Replay-based and architecturebased methods may experience a sharp increase in runtime due to heightened task complexity. In 1053 contrast, our method requires only a modest amount of additional computing resources to explore 1054 the environment, thereby achieving a balanced trade-off between efficiency and effectiveness. 1055

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## C.1 DETAILED RESULTS ON MINIGRID

ADDITIONAL EXPERIMENTS AND RESULTS

1061Tables 7 and 8 present the detailed results of forgetting and forward transfer across three MiniGrid1062tasks in the situations of expansion and contraction. The columns represent trained tasks, while the<br/>rows represent evaluated tasks. We denote the task with an action space of size n as "n-Actions".<br/>The average results across all tasks (bottom right of each subtable) are reported in the main text.<br/>In each forgetting table, negative values are shown in green and positive values in red, with darker<br/>shades representing larger magnitudes. Values close to zero are unshaded. In each forward transfer<br/>table, positive values are shown in green, and negative values in red.

These results further highlight the superiority of ARC-RL. Additionally, they illustrate the difference between various action spaces and situations. Forgetting is slight in the expansion situation but more pronounced in the contraction situation. After training with 3-actions, the performance on previous tasks significantly degrades. However, forward transfer remains similar across different situations. In the expansion situation, training on 3-actions benefits evaluation performance on the subsequent tasks. In the contraction situation, training on 7-actions similarly benefits performance on subsequent tasks. This phenomenon may be attributed to the high similarity between tasks, where learning on the first task aids in learning subsequent tasks.

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- 1076 C.2 COMBINED SITUATIONS 1077
- Figures 10 and 11, along with Table 9, show the performance of SE-CRL and other CRL methods in combined situations of expansion and contraction across three MiniGrid tasks. We use "expansion & contraction" to represent the situation where the size of action space expands to seven after the first

### Table 7: Forgetting and forward transfer in the **expansion** situation across three MiniGrid tasks.

		(a) IND		
		Fo	orgetting	
	3-Actions	5-Actions	7-Actions	Avg ± SEM
3-Actions	_	$0.31 \pm 0.05$	$-0.05\pm0.07$	$0.13 \pm 0.02$
5-Actions	-	-	$-0.03\pm0.02$	$-0.03\pm0.02$
7-Actions	-	-	-	-
Avg ± SEM	-	$0.31\pm0.05$	$-0.04\pm0.04$	$0.08\pm0.02$

				(b) FT				
	Forgetting				Forward Transfer			
	3-Actions	5-Actions	7-Actions	Avg ± SEM	3-Actions	5-Actions	7-Actions	Avg ± SEM
3-Actions	—	$-0.03\pm0.02$	$0.12 \pm 0.05$	$0.05 \pm 0.03$	_	-	-	-
5-Actions	_	-	$0.01 \pm 0.02$	$0.01 \pm 0.02$	$0.69 \pm 0.05$	-	-	$0.69 \pm 0.05$
7-Actions	_	-	-	-	$0.59 \pm 0.07$	$0.15 \pm 0.09$	-	$0.37 \pm 0.04$
Avg $\pm$ SEM	-	$-0.03\pm0.02$	$0.07\pm0.03$	$0.03\pm0.02$	$0.64\pm0.04$	$0.15\pm0.09$	-	$0.48\pm0.03$

				(c) EWC				
		Foi	rgetting		Forward Transfer			
	3-Actions	5-Actions	7-Actions	Avg ± SEM	3-Actions	5-Actions	7-Actions	Avg ± SEM
3-Actions	-	$-0.04\pm0.02$	$-0.02\pm0.01$	$-0.03 \pm 0.01$	-	-	-	-
5-Actions	_	-	$-0.06\pm0.03$	$-0.06 \pm 0.03$	$0.55 \pm 0.09$	-	-	$0.55\pm0.09$
7-Actions	_	-	-	-	$0.64 \pm 0.06$	$0.09 \pm 0.09$	-	$0.36 \pm 0.04$
$Avg \pm SEM$	-	$-0.04\pm0.02$	$-0.04\pm0.01$	$-0.04 \pm 0.01$	$0.60 \pm 0.07$	$0.09 \pm 0.09$	-	$0.43 \pm 0.05$

(d) Online-EWC									
		Forgetting				Forward Transfer			
	3-Actions	5-Actions	7-Actions	Avg ± SEM	3-Actions	5-Actions	7-Actions	Avg ± SEM	
3-Actions		$-0.03\pm0.04$	$0.04 \pm 0.03$	$0.01 \pm 0.02$	_	-	_	-	
5-Actions	-	-	$0.03 \pm 0.03$	$0.03 \pm 0.03$	$0.50 \pm 0.09$	-	_	$0.50\pm0.09$	
7-Actions	-	-	-	-	$0.58\pm0.09$	$0.12\pm0.11$	_	$0.35 \pm 0.05$	
Avg ± SEM	_	$-0.03\pm0.04$	$0.04\pm0.02$	$0.02\pm0.01$	$0.54\pm0.08$	$0.12\pm0.11$	-	$0.40\pm0.05$	

105					(e) CLEAR				
1100			For	getting			Forward T	ransfer	
1106		3-Actions	5-Actions	7-Actions	Avg ± SEM	3-Actions	5-Actions	7-Actions	Avg ± SEM
1107	3-Actions	_	$0.44 \pm 0.09$	$0.03 \pm 0.13$	$0.24 \pm 0.05$	-	_	-	-
1100	5-Actions	-	-	$0.15 \pm 0.07$	$0.15 \pm 0.07$	$0.87 \pm 0.03$	_	-	$0.87\pm0.03$
1108	7-Actions	-	-	-	-	$0.87 \pm 0.02$	$-0.01\pm0.01$	-	$0.43 \pm 0.01$
1109	Avg ± SEM	-	$0.44\pm0.09$	$0.09\pm0.09$	$0.21\pm0.06$	$0.87\pm0.02$	$-0.01\pm0.01$	-	$0.58\pm0.01$

0					(f) MASK				
			Fo	orgetting			Forward T	ransfer	
		3-Actions	5-Actions	7-Actions	Avg ± SEM	3-Actions	5-Actions	7-Actions	Avg ± SEM
	3-Actions		$0.01 \pm 0.11$	$-0.15\pm0.07$	$-0.07\pm0.05$	-	-	-	-
	5-Actions	-	-	$0.02 \pm 0.07$	$0.02\pm0.07$	$0.03 \pm 0.03$	_	_	$0.03 \pm 0.03$
	7-Actions	-	-	-	-	$-0.04 \pm 0.05$	$0.08\pm0.03$	-	$0.02 \pm 0.03$
	Avg ± SEM	-	$0.01 \pm 0.11$	$-0.06\pm0.06$	$-0.04\pm0.04$	$-0.00 \pm 0.03$	$0.08\pm0.03$	-	$0.02 \pm 0.03$
						·			

				(g) ARC-RL				
		For	rgetting			Forward T	ransfer	
	3-Actions	5-Actions	7-Actions	Avg ± SEM	3-Actions	5-Actions	7-Actions	Avg ± SEM
3-Actions	_	$-0.01\pm0.02$	$-0.01\pm0.02$	$-0.01 \pm 0.01$	-	-	-	-
5-Actions	-	-	$-0.04\pm0.04$	$-0.04 \pm 0.04$	$0.88 \pm 0.03$	-	-	$0.88 \pm 0.03$
7-Actions	-	-	-	-	$0.89 \pm 0.04$	$-0.05\pm0.02$	-	$0.42 \pm 0.02$
$Avg \pm SEM$	-	$-0.01\pm0.02$	$-0.02\pm0.02$	$-0.02\pm0.01$	$0.88\pm0.03$	$-0.05\pm0.02$	-	$0.57\pm0.02$



Figure 10: Performance of seven methods on three MiniGrid tasks in the expansion & contraction situation.

1135	Table 8: Forgetting and forward transfer in the contraction situation across three MiniGrid tasks.
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		(a) IND		
		For	getting	
	7-Actions	5-Actions	3-Actions	Avg ± SEM
7-Actions	i —	$0.06 \pm 0.02$	$0.25 \pm 0.09$	$0.15 \pm 0.04$
5-Actions	_	-	$0.48 \pm 0.08$	$0.48\pm0.08$
3-Actions	_	-	-	-
Avg ± SEM	_	$0.06\pm0.02$	$0.37 \pm 0.08$	$0.26 \pm 0.05$

				(b) FT				
		For	getting			Forward T	ransfer	
	7-Actions	5-Actions	3-Actions	Avg ± SEM	7-Actions	5-Actions	3-Actions	Avg ± SEM
7-Actions	—	$0.01 \pm 0.01$	$0.60 \pm 0.10$	$0.31 \pm 0.05$	-	-	-	-
5-Actions	· -	-	$0.56 \pm 0.10$	$0.56 \pm 0.10$	$0.82 \pm 0.04$	-	-	$0.82 \pm 0.04$
3-Actions	-	_	-	-	$0.42 \pm 0.07$	$-0.07\pm0.08$	-	$0.18 \pm 0.03$
Avg ± SEM	-	$0.01\pm0.01$	$0.58\pm0.09$	$0.39\pm0.06$	$0.62\pm0.05$	$-0.07\pm0.08$	-	$0.39\pm0.03$

1148					(c) EWC						
1149			Forg	etting		Forward Transfer					
		7-Actions	5-Actions	3-Actions	Avg ± SEM	7-Actions	5-Actions	3-Actions	Avg ± SEM		
1150	7-Actions	_	$-0.01\pm0.02$	$0.63 \pm 0.13$	$0.31 \pm 0.07$	_	-	_	-		
1151	5-Actions	-	-	$0.59 \pm 0.12$	$0.59 \pm 0.12$	$0.88 \pm 0.03$	-	-	$0.88 \pm 0.03$		
1101	3-Actions	-	-	-	-	$0.54 \pm 0.07$	$-0.02\pm0.06$	-	$0.26 \pm 0.03$		
1152	Avg ± SEM	-	$-0.01\pm0.02$	$0.61\pm0.12$	$0.40\pm0.09$	$0.71\pm0.04$	$-0.02\pm0.06$	-	$0.47\pm0.03$		

1153					(d) Online-EW	С						
1154		Forgetting Forward Transfer										
1155		7-Actions	5-Actions	3-Actions	Avg ± SEM	7-Actions	5-Actions	3-Actions	Avg ± SEM			
1150	7-Actions	_	$0.06 \pm 0.05$	$0.45 \pm 0.10$	$0.26 \pm 0.05$	-	-	_	-			
0611	5-Actions	-	-	$0.51 \pm 0.12$	$0.51 \pm 0.12$	$0.85\pm0.05$	-	_	$0.85 \pm 0.05$			
1157	3-Actions	-	-	-	-	$0.47 \pm 0.03$	$-0.00\pm0.06$	_	$0.23 \pm 0.03$			
1159	Avg ± SEM	-	$0.06\pm0.05$	$0.48\pm0.10$	$0.34\pm0.06$	$0.66\pm0.04$	$-0.00\pm0.06$	-	$0.44\pm0.03$			
1150												

59					(e) CLEAR					
			Forgetting Forward Transfer							
		7-Actions	5-Actions	3-Actions	Avg ± SEM	7-Actions	5-Actions	3-Actions	Avg ± SEM	
	7-Actions	_	$0.29 \pm 0.14$	$0.66 \pm 0.15$	$0.47\pm0.01$	-	-	-	-	
	5-Actions	_	-	$0.80 \pm 0.07$	$0.80\pm0.07$	$0.85 \pm 0.03$	-	-	$0.85\pm0.03$	
	3-Actions	_	-	-	-	$0.64 \pm 0.05$	$-0.12\pm0.08$	-	$0.26 \pm 0.03$	
	Avg ± SEM	_	$0.29\pm0.14$	$0.73 \pm 0.11$	$0.58\pm0.03$	$0.75\pm0.03$	$-0.12\pm0.08$	-	$0.46\pm0.02$	

					(f) MASK						
Γ	Forgetting Forward Transfer										
ľ		7-Actions	5-Actions	3-Actions	Avg ± SEM	7-Actions	5-Actions	3-Actions	Avg ± SEM		
	7-Actions		$-0.08\pm0.12$	$0.05 \pm 0.09$	$-0.02 \pm 0.06$	-	-	_	-		
	5-Actions	-	-	$-0.03\pm0.07$	$-0.03 \pm 0.07$	$0.04 \pm 0.05$	_	_	$0.04 \pm 0.05$		
	3-Actions	-	-	-	-	$0.10 \pm 0.05$	$0.12 \pm 0.06$	-	$0.11 \pm 0.03$		
İ	Avg ± SEM	-	$-0.08\pm0.12$	$0.01\pm0.07$	$-0.02 \pm 0.04$	$0.07\pm0.03$	$0.12\pm0.06$	-	$0.08 \pm 0.03$		
					·						

				(g) ARC-RL				
		Fo	rgetting			Forward T	ransfer	
	7-Actions	5-Actions	3-Actions	Avg ± SEM	7-Actions	5-Actions	3-Actions	Avg ± SEM
7-Actions	-	$-0.01\pm0.02$	$-0.01\pm0.01$	$-0.01\pm0.01$	-	-	-	-
5-Actions	-	-	$0.15 \pm 0.08$	$0.15 \pm 0.08$	$0.90 \pm 0.02$	-	-	$0.90 \pm 0.02$
3-Actions	-	-	-	-	$0.91\pm0.02$	$-0.01\pm0.02$	-	$0.45 \pm 0.01$
Avg ± SEM	-	$-0.01\pm0.02$	$0.07 \pm 0.04$	$0.04 \pm 0.03$	$0.90 \pm 0.01$	$-0.01\pm0.02$	-	$0.60 \pm 0.01$



Figure 11: Performance of seven methods on three MiniGrid tasks in the contraction & expansion situation. 

1188 Table 9: Continual learning metrics of seven methods and two variants of ARC-RL across three 1189 MiniGrid tasks in combined situations of expansion and contraction. Continual return and for-1190 ward transfer are abbreviated as "Return" and "Transfer", respectively. The top three results are highlighted in green, and the depth of the color indicates the ranking. 1191

92	Malak	Expa	nsion & Contra	ction	Contr	action & Expa	nsion
э <b>э</b>	Methods	Return↑	Forgetting↓	Transfer↑	Return↑	Forgetting↓	Transfer↑
-	IND	$0.78\pm0.03$	$0.12\pm0.02$	_	$0.79\pm0.03$	$0.10\pm0.02$	_
5	FT	$0.87\pm0.03$	$0.04\pm0.02$	$0.50\pm0.02$	$0.88\pm0.03$	$0.02\pm0.01$	$0.39\pm0.05$
	EWC	$0.83\pm0.03$	$0.01\pm0.02$	$0.44\pm0.04$	$0.40 \pm 0.11$	$0.20\pm0.05$	$0.30\pm0.06$
	online-EWC	$0.88\pm0.02$	$-0.02\pm0.02$	$0.45\pm0.04$	$0.86\pm0.03$	$0.04\pm0.02$	$0.40\pm0.05$
	Mask	$0.68\pm0.06$	$0.04\pm0.04$	$0.01\pm0.02$	$0.64\pm0.05$	$0.03\pm0.02$	$0.05\pm0.03$
)	CLEAR	$0.51 \pm 0.11$	$0.35\pm0.06$	$0.54\pm0.02$	$0.07\pm0.02$	$0.39\pm0.02$	$0.21\pm0.03$
0	ARC-RL	$0.91\pm0.01$	$-0.03\pm0.01$	$0.55\pm0.02$	$0.90\pm0.03$	$0.03\pm0.02$	$0.42\pm0.03$

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task and contracts to five after the second task. Similarly, "contraction & expansion" represents the 1203 situation where the size of action space contracts to three after the first task and expands to seven after 1204 the second task. ARC-RL achieves near-best performance in terms of continual return, forgetting, 1205 and forward transfer in both situations. These results are consistent with the previous experiments, 1206 further demonstrating the advantages of ARC-RL in handling more complex situations. 1207

1208 Table 10: Continual learning metrics of seven methods in a longer sequence (five MiniGrid tasks). 1209 The top three results are highlighted in green, and the depth of the color indicates the ranking. 1210

Methods	Metrics			
	Return↑	Forgetting↓	Transfer↑	
IND	$0.77\pm0.06$	$0.08\pm0.02$	-	
FT	$0.76\pm0.10$	$0.09\pm0.04$	$0.29\pm0.01$	
EWC	$0.87\pm0.02$	$0.00 \pm 0.00$	$0.32\pm0.01$	
online-EWC	$0.74 \pm 0.11$	$0.08\pm0.05$	$0.16\pm0.01$	
Mask	$0.67\pm0.07$	$0.05\pm0.03$	$0.04\pm0.02$	
CLEAR	$0.14\pm0.04$	$0.34\pm0.01$	$0.29\pm0.02$	
ARC-RL	$0.89\pm0.02$	$-0.01\pm0.01$	$0.34\pm0.01$	

### C.3 SACLING TO LONGER SEQUENCE 1222

1223 We also evaluate the CRL methods' performance over a longer sequence of SE-CRL. This sequence 1224 consists of five MiniGrid tasks, where the action space is expanding and then contracting. Each task is trained for a total of 15M environment steps, and results are reported over five runs. As shown in 1225 Figure 12 and Table 10, most methods' performance remains consistent with previous experiments, 1226 except for EWC, which performs better in this longer sequence. This improvement may be due 1227 to the repeated tasks in the sequence, benefiting EWC's regularization. ARC-RL achieves the best 1228 performance in terms of continual return, forgetting, and forward transfer, demonstrating its ability 1229 to handle combined situations of action space changes over longer task sequences. 1230

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#### C.4 HYPERPARAMETER SENSITIVITY ABLATIONNALYSIS 1232

1233 Figure 13 presents a hyperparameter sensitivity analysis of the action representation size and the 1234 regularization coefficient ( $\lambda$ ) across three MiniGrid tasks. For comparison, the performance of FT 1235 (baseline) is also shown. In the expansion situation, both hyperparameters have minimal impact 1236 on the performance of ARC-RL. In the contraction situation, both hyperparameters slightly affect 1237 results. Forward transfer is positively correlated with the action representation size, but a smaller action representation size (128) may lead to a better continual return. A very small regularization coefficient (1000) can cause catastrophic forgetting and decreased continual return, further indicat-1239 ing the importance of regularization in the contraction situation. Overall, the contraction situation is 1240 more sensitive to hyperparameters than the expansion situation, but ARC-RL's performance remains 1241 relatively robust in both.



Figure 13: Hyperparameter sensitivity analysis for ARC-RL across three MiniGrid tasks in the situations of expansion (**above**) and contraction (**bottom**). We examine the impact of the action representation size and the regularization coefficient ( $\lambda$ ) in ARC-RL. Other hyperparameters are kept consistent with the competitive experiments, except that each experiment is repeated only five times. Error bars represent the standard error.



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Figure 14: 2D t-SNE visualizations of learned action representations on MiniGrid tasks, colored by actual actions. The number of points for each action is 1000. (a)-(c): Fine-tuning with regulariza-1310 tion. (d)–(e): Learning independently.

To observe how action representation space learned by ARC-RL changes with action spaces, we 1313 adopt t-SNE (Maaten & Hinton, 2008) to visualize the learned action representations on MiniGrid 1314 tasks in a 2D plane. Figure 14 shows that ARC-RL constructs a smooth representation space, where 1315 points with similar influence are clustered together. For instance, the points of the action "turn left" 1316 and "turn right" are close to each other. Although very similar actions are not well distinguished 1317 in the figure, this reflects their substitutability. Through regularized constraints, as the action space 1318 changes, removed actions are replaced by existing actions while maintaining their relative positions 1319 in the previous action space. In contrast, independently learned representations are redistributed, 1320 failing to preserve previous action relationships. This indicates that regularized fine-tuning in ARC-1321 RL can maintain knowledge of the previous action space, benefiting continual learning.

### C.6 EXPANSION SITUATION ON BIGFISH



Figure 15: Performance of eight methods on three Bigfish tasks in the **expansion** situation.

Figure 15 and Table 11 show the performance and metrics of ARC-RL and other methods in the expansion situation across three Bigfish tasks. ARC-RL achieves the best performance in terms of continual return and forward transfer. In this situation, all methods perform well in terms of mitigating forgetting, which is consistent with the results on MiniGrid tasks. Similarly, the overall performance of CLEAR is better than other methods, but ARC-RL still outperforms it.

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#### C.7 **OTHER ENVIRONMENTS**

1345 We also conducted experiments in other environments, including MiniGrid-Obstacles and Leaper. The former is a more challenging version of MiniGrid Empty, where the agent must navigate through 1347 obstacles to reach the goal. The latter is another environment from Procgen where the agent must jump over obstacles to reach the goal. The action space and task of MiniGrid-Obstacles are the same 1348 as MiniGrid Empty. For Leaper, the agent has five actions: jump up, jump down, jump left, jump 1349 right, and stay. Similarly, we design three tasks for Leaper with different action spaces: a two-action

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Table 11: Continual learning metrics of eight methods in the expansion situation on three Procgen
 tasks. The average continual return of ALL is 24.77, which is not provided in the table. The top
 three results are highlighted in green, and the depth of the color indicates the ranking.

Methods	Metrics		
	Return↑	Forgetting↓	Transfer↑
IND	$13.86 \pm 2.41$	$-0.27\pm0.08$	-
FT	$15.13\pm0.10$	$-0.25\pm0.04$	$0.00\pm0.02$
EWC	$3.31 \pm 1.88$	$-0.00\pm0.11$	$-0.08\pm0.12$
online-EWC	$13.43 \pm 2.02$	$-0.31\pm0.09$	$0.02\pm0.05$
Mask	$12.18 \pm 1.45$	$-0.19\pm0.04$	$-0.02\pm0.03$
CLEAR	$17.68 \pm 4.79$	$-0.04\pm0.03$	$0.18\pm0.07$
ARC-RL	$18.27 \pm 1.22$	$-0.05\pm0.11$	$0.21\pm0.05$

task (jump up, stay), a three-action task (jump up, jump down, stay), and a five actions (jump up, jump down, jump left, jump right, stay). The action space changes in these tasks are not as smooth as in Bigfish tasks, making differences between compared methods not as significant. Figure 16 and Table 12 show the performance of ARC-RL and other methods in the expansion situation on three MiniGrid-Obstacles tasks. Each task is replicated with three random seeds. Figure 17 and Table 13 show the performance of ARC-RL and other methods on three Leaper tasks. Each task is replicated with three random seeds. The results show that ARC-RL also achieves the best performance in terms of continual return in both environments.



Figure 16: Performance of eight methods on three MiniGrid-Obstacles tasks in the **expansion** situation.

Table 12: Continual learning metrics of eight methods in the **expansion** situation on three MiniGrid-Obstacles tasks. The average continual return of **ALL** is 0.86, which is not provided in the table. The top three results are highlighted in green, and the depth of the color indicates the ranking.

Methods	Metrics		
	Return↑	Forgetting↓	Transfer↑
IND	$0.13 \pm 0.02$	$0.25\pm0.06$	-
FT	$0.75\pm0.05$	$0.01\pm0.04$	$0.45\pm0.02$
EWC	$0.68\pm0.03$	$0.06\pm0.11$	$0.42\pm0.04$
online-EWC	$0.74\pm0.05$	$-0.02\pm0.10$	$0.38\pm0.04$
Mask	$0.40\pm0.07$	$-0.07\pm0.10$	$-0.05\pm0.05$
CLEAR	$0.68\pm0.06$	$0.27\pm0.06$	$0.56\pm0.03$
ARC-RL	$0.80\pm0.04$	$-0.06\pm0.01$	$0.47\pm0.07$

D MORE DISCUSSION

### 1400 E CONNECTION WITH NEUROSCIENCE

The field of neuroscience offers valuable insights into the mechanisms underlying motor control and learning, which can inform the development of artificial intelligence systems, particularly in the context of CRL (Kaplanis et al., 2019; Gazzaniga et al., 2019; Kudithipudi et al., 2022).

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Figure 17: Performance of four methods on three Leaper tasks in the expansion situation.

Table 13: Continual learning metrics of four methods in the **expansion** situation on three Leaper tasks. The top results are highlighted in green.

Methods		Metrics	
	Return↑	Forgetting↓	Transfer↑
IND	$5.03 \pm 0.49$	$-0.05\pm0.05$	-
FT	$6.31\pm0.62$	$-0.26\pm0.01$	$-0.11\pm0.04$
EWC	$4.72 \pm 1.80$	$-0.10\pm0.04$	$0.11\pm0.11$
ARC-RL	$6.69 \pm 0.48$	$-0.03\pm0.08$	$0.42\pm0.05$

In the human brain, motor control is distributed across several anatomical structures that operate 1428 hierarchically (D'Mello et al., 2020; Friedman & Robbins, 2022). At the highest levels, planning is 1429 concerned with how an action achieves an objective, while lower levels translate goals into specific 1430 movements. This hierarchical organization allows for flexible and adaptive behavior, as higher-level 1431 goals can be achieved through various lower-level actions depending on the context. Similarly, 1432 in ARC-RL, the agent's policy can be seen as operating at a high level, focusing on achieving task 1433 objectives, while the action representation space operates at a lower level, translating these objectives 1434 into specific actions. By decoupling the policy from the specific action space, ARC-RL leverages 1435 a hierarchical approach that mirrors the brain's strategy for motor control. This allows the agent to 1436 adapt to changes in the action space without needing to relearn the entire policy.

1437 Neurophysiological studies have shown that the activity of neurons in the motor cortex is often cor-1438 related with movement direction rather than specific muscle activations (Georgopoulos & Pellizzer, 1439 1995; Kakei et al., 1999). Neurons exhibit directional tuning, and their collective activity can be rep-1440 resented as a population vector that predicts movement direction. This concept of population coding 1441 suggests that the brain represents actions in a high-dimensional space, allowing for generalization 1442 across different contexts. In ARC-RL, the action representation space serves a similar function. By encoding actions in a high-dimensional space, the agent can generalize its policy across different ac-1443 tion spaces. The encoder-decoder architecture in ARC-RL can be likened to the neural mechanisms 1444 that map cortical activity to specific movements. When the action space changes, the update of the 1445 ecoder and the decoder, is akin to how the brain might update its motor representations in response 1446 to changes in the body or environment. 1447

Recent research in motor neurophysiology has highlighted the dynamic nature of neural representations. Neurons do not have fixed roles but instead can represent different features depending on the context and time (Churchland et al., 2012; Gallego et al., 2020). This flexibility allows the motor system to adapt to a wide range of tasks and environments, providing maximum behavioral flexibility. ARC-RL incorporates this idea by allowing the action representation space to be dynamically updated. This dynamic updating process is analogous to how the brain adjusts its neural representations to maintain consistent behavior despite changes in the body or environment.

By drawing inspiration from neuroscience, ARC-RL achieve policy generalization and adaptability
in the face of changing action spaces. This connection between neuroscience and artificial intelligence not only enhances our understanding of both fields but also provides feasible ideas for more sophisticated and adaptable AI systems.

# 1458 E.1 EXTENDING TO OTHER SITUATIONS

Our framework can be extended to other situations as it is not specifically designed for expansion and contraction situations. Both the partial change situation and the complete change situation can be viewed as simultaneous occurrences of expansion and contraction. In the partial change situation, some actions from the previous action space remain, while in the complete change situation, all previous actions are removed.

In the complete change situation, incorporating experience replay is a straightforward improvement of our framework. However, this approach incurs additional storage and computational costs. Thus, the trade-off between performance and efficiency is necessary. In addition, the method of generating pseudo samples (Shin et al., 2017; Yue et al., 2023) via representation space may be more suitable for practical privacy-preserving scenarios, where the agent cannot access the previously collected data.

1471 For the partial change situation, the challenge lies in identifying the differences between action 1472 spaces. Our framework can be improved by integrating mechanisms to detect removed and newly added actions, similar to novelty detection and class-incremental learning in the open-world setting 1473 (Masana et al., 2022; Sahisnu Mazumder, 2024; Li et al., 2024a). While clustering or classifying 1474 action representations can achieve this, it imposes higher demands on the self-supervised learning 1475 method. The action representations need to be well-separated in the representation space. Therefore, 1476 leveraging more information beyond state changes to learn action representations could be a valuable 1477 extension of our framework. 1478

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## 1480 E.2 LIMITATIONS AND FUTURE WORK

1481 Despite the promising advancements, we acknowledge the current limitations, primarily the scal-1482 ability concerns when dealing with large action spaces in complex environments. Future avenues 1483 of research should focus on enhancing adaptability by incorporating existing exploration methods 1484 and developing more effective action representation learning algorithms. In addition, more com-1485 plex changes in the action space, such as the changes of continuous action space, require further 1486 investigation. This require more sophisticated representation learning methods to capture the action representations. The exploration policy in ARC-RL is also not suitable for continuous action spaces, 1487 and future work should explore more advanced exploration strategies for such scenarios. Moreover, 1488 we plan to extend our work to a broader range of CRL, including changes in the agent's capabilities 1489 and the external environment. This may involve utilizing meta-learning strategies to improve gen-1490 eralization across a broader spectrum of capabilities evolutions and incorporating advanced transfer 1491 learning mechanisms to seamlessly integrate knowledge from a wider range of environments and 1492 varying agent capabilities. 1493

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