# Investigating Data Contamination in Modern Benchmarks for Large Language Models

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#### Abstract

 Recent observations have underscored a dis- parity between the inflated benchmark scores and the actual performance of LLMs, raising concerns about potential contamination of eval- uation benchmarks. This issue is especially critical for closed-source models and certain open-source models where training data trans- parency is lacking. In this paper we study data contamination by proposing two methods tailored for both open-source and proprietary LLMs. We first introduce a retrieval-based sys- tem to explore potential overlaps between eval- uation benchmarks and pretraining corpora. We further present a novel investigation protocol named Testset Slot Guessing (*TS-Guessing*), **applicable to both open and proprietary models.**  This approach entails masking a wrong answer in a multiple-choice question and prompting the model to fill in the gap. Additionally, it in- volves obscuring an unlikely word in an evalu- ation example and asking the model to produce it. We find that certain commercial LLMs could surprisingly guess the missing option in vari- ous test sets. Specifically, in the MMLU bench- mark, ChatGPT and GPT-4 demonstrated an 026 exact match rate of 52% and 57%, respectively, in guessing the missing options in benchmark test data. We hope these results underscore the need for more robust evaluation methodologies and benchmarks in the field.

#### **<sup>031</sup>** 1 Introduction

 Large language models (LLMs) have demonstrated exceptional performance across a wide range of NLP tasks, and the NLP community has witnessed the emergence of several impressive LLMs. No- tably, there are robust proprietary LLMs, including the GPT-\* [\(Brown et al.,](#page-8-0) [2020;](#page-8-0) [OpenAI,](#page-9-0) [2023\)](#page-9-0), Claude [\(Anthropic,](#page-8-1) [2023\)](#page-8-1), and Bard [\(Google,](#page-9-1) [2023\)](#page-9-1), among others. In addition to these pro- prietary models, there are numerous open-source LLMs, such as Llama [\(Touvron et al.,](#page-10-0) [2023a](#page-10-0)[,b\)](#page-10-1), MPT [\(Lin et al.,](#page-9-2) [2023\)](#page-9-2), Falcon [\(Mei et al.,](#page-9-3) [2022\)](#page-9-3), and Mistral [\(Jiang et al.,](#page-9-4) [2023\)](#page-9-4). However, with the **043** increasing compute scale (including data) used to **044** train these models, concerns have arisen regarding **045** the extensive use of crawled web data, often at a **046** terabyte scale. This extensive training data may, **047** in turn, potentially include instances of evaluation **048** benchmarks [\(Brown et al.,](#page-8-0) [2020;](#page-8-0) [Chowdhery et al.,](#page-8-2) **049** [2022;](#page-8-2) [Touvron et al.,](#page-10-0) [2023a,](#page-10-0)[b\)](#page-10-1), many of which are **050** also constructed from Internet sources. Research **051** has demonstrated that the use of evaluation bench- **052** mark data in training sets (i.e., contamination) can **053** artificially inflate performance metrics, regardless **054** of whether contamination occurs during pretrain- **055** ing [\(Schaeffer,](#page-10-2) [2023\)](#page-10-2) or fine-tuning [\(Zhou et al.,](#page-10-3) **056** [2023\)](#page-10-3). Consequently, it becomes imperative for the **057** research community to develop methods for detect- **058** ing potential data contamination in these models. **059**

One of the most commonly used methods to **060** detect data contamination has been n-gram match- **061** [i](#page-10-1)ng [\(Brown et al.,](#page-8-0) [2020;](#page-8-0) [Wei et al.,](#page-10-4) [2022;](#page-10-4) [Tou-](#page-10-1) **062** [vron et al.,](#page-10-1) [2023b\)](#page-10-1). Particularly, a number of pre- **063** vious works have employed n-gram tokenization **064** to partition large documents into smaller segments, **065** subsequently assessing their similarity to bench- **066** mark data [\(Chowdhery et al.,](#page-8-2) [2022;](#page-8-2) [Touvron et al.,](#page-10-0) **067** [2023a\)](#page-10-0). However, this approach is heavily reliant **068** on having full access to the training corpus. This **069** dependency poses a significant challenge in esti- **070** mating data contamination for models where the **071** training data is not disclosed [\(Brown et al.,](#page-8-0) [2020;](#page-8-0) **072** [OpenAI,](#page-9-0) [2023;](#page-9-0) [Google,](#page-9-1) [2023;](#page-9-1) [Anthropic,](#page-8-1) [2023;](#page-8-1) [Li](#page-9-5) **073** [et al.,](#page-9-5) [2023\)](#page-9-5). Recent studies have introduced de- **074** tection methods that do not require access to the **075** training corpus. These methods, however, might be **076** constrained to a dataset-level granularity as noted **077** by [Golchin and Surdeanu](#page-9-6) [\(2023\)](#page-9-6); [Oren et al.](#page-9-7) [\(2023\)](#page-9-7) **078** [o](#page-10-5)r require fine-tuning of open-source models [\(Wei](#page-10-5) **079** [et al.,](#page-10-5) [2023\)](#page-10-5). Given these limitations, there is an **080** evident need for developing new methodologies to **081** detect potential contamination in both *open-source* **082** and *closed-source* language models. **083**

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Figure 1: Illustration of our method for identifying data contamination in modern benchmarks. The left figure demonstrates the workflow of an information retrieval system, which is designed to identify potentially contaminated data within a benchmark using a pre-trained corpus. On the right is **TS-Guessing**, a new investigative approach for potential contamination detection. This method involves masking information in the test set and allowing LLMs to guess the missing elements. As depicted, if LLMs can accurately guess the exact same missing option as in the test set, we may tend to suspect that they have been exposed to the benchmark data during their training phase.

 In this paper, we investigate methods to de- tect contaminated benchmark data both for open- source models with open training data, as well as black-box models. Following previous work on using search-based methods to investigate [p](#page-10-6)retraining corpora [\(Dodge et al.,](#page-9-8) [2021;](#page-9-8) [Piktus](#page-10-6) [et al.,](#page-10-6) [2023b](#page-10-6)[,a;](#page-10-7) [Elazar et al.,](#page-9-9) [2023\)](#page-9-9), we first es- tablish a retrieval system (Figure [1\)](#page-1-0) based on Pyserini [\(Lin et al.,](#page-9-10) [2021\)](#page-9-10) for contamination de- tection. Recently [Elazar et al.](#page-9-9) [\(2023\)](#page-9-9) demon- strated potential contamination of several datasets of GLUE and SuperGLUE benchmarks in con- temporary pretraining corpora. We instead focus on more recent commonly used evaluation bench- marks, MMLU [\(Hendrycks et al.,](#page-9-11) [2021\)](#page-9-11), Truth- fulQA [\(Lin et al.,](#page-9-12) [2022\)](#page-9-12), HellaSwag [\(Zellers et al.,](#page-10-8) [2019\)](#page-10-8), WindoGrande [\(Sakaguchi et al.,](#page-10-9) [2019\)](#page-10-9), [G](#page-9-14)SM8K [\(Cobbe et al.,](#page-9-13) [2021\)](#page-9-13), OpenbookQA [\(Mi-](#page-9-14) [haylov et al.,](#page-9-14) [2018\)](#page-9-14), PIQA [\(Bisk et al.,](#page-8-3) [2019\)](#page-8-3), and [a](#page-9-15)s for pretraining corpora we use the Pile [\(Gao](#page-9-15) [et al.,](#page-9-15) [2020\)](#page-9-15) and C4 [\(Raffel et al.,](#page-10-10) [2020\)](#page-10-10) which are open and widely used in training of various LLMs.

 Next, we introduce a novel investigation pro- tocol for potential contamination referred to as TS-Guessing in two distinct settings: (1) Question- based guessing and (2) Question-multichoice guess- ing shown in Figure [1.](#page-1-0) In the *Question-based* set- ting, our objective is to hide a crucial word within a sentence. In the *Question-Multichoice* setting, our goal is to mask an *incorrect* answer option among multiple choices, encouraging it to guess the missing part in the benchmark instance. These

two settings guide LLMs in guessing the missing **116** information in the questions and answers, testing **117** revealing potential contamination. We have also **118** conducted a contaminated experiment to fully ex- **119** pose ChatGPT to contamination by fine-tuning it **120** with the MMLU [\(Hendrycks et al.,](#page-9-11) [2021\)](#page-9-11) test set to **121** observe the differences in scores in TS-Guessing. **122**

In our analysis of the overlap between the pre- **123** training corpus and several modern benchmarks, **124** we identified instances of contaminated data that **125** eluded detection after n-gram tokenization. In the **126** TS-Guessing protocol, it was interesting to note **127** that different versions of LLMs from the same **128** company did *not* exhibit significant differences **129** in TS-Guessing performance. Specifically, GPT- **130** 4 showed only a 1% improvement compared to **131** ChatGPT. Additionally, we observed that in the **132** TruthfulQA, commercial LLMs achieved remark- **133** able performance when provided with metadata **134** in the test set in the Question-based setting. In **135** the Question-Multichoice setting, ChatGPT demon- **136** strated a noteworthy ability to guess the missing op- **137** tion, achieving a 57% Exact Match (EM) rate. We **138** also found that after fully contaminating ChatGPT **139** with the MMLU, the EM rate nearly reaches 100 140 percent, showcasing the sensitivity of our method **141** in detecting data contamination. Considering these **142** results, we raise concerns about the potential con- **143** tamination of the current benchmarks, particularly **144** if they become publicly accessible. Our findings **145** add to the growing evidence of potential contami- **146** nation in today's widely used benchmarks for state- **147**

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**148** of-the-art language models.

# **<sup>149</sup>** 2 Related Work

<span id="page-2-1"></span> Retrieving from Large Corpora Retrieving from Large Corpus is an emerging topic in the era of LLMs. A number of works have focused on the retrieval and removal of contaminated informa- tion in training data by means of n-gram matching. Specifically, recent work has focused on building indexing tools for large corpora [\(Dodge et al.,](#page-9-8) [2021;](#page-9-8) [Piktus et al.,](#page-10-6) [2023b](#page-10-6)[,a;](#page-10-7) [Elazar et al.,](#page-9-9) [2023\)](#page-9-9), which allows efficient retrieval. Additionally, previous work including GPT-3 (Appendix C; [Brown et al.,](#page-8-0) [2020\)](#page-8-0) utilized a 13-gram tokenization strategy for both training and benchmark data for decontamina- tion purposes. Similarly, PaLM [\(Chowdhery et al.,](#page-8-2) [2022\)](#page-8-2) employs an 8-gram approach, considering data as contaminated if there is a 70% overlap with 8-grams from the test set. Open-source models like Llama [\(Touvron et al.,](#page-10-0) [2023a\)](#page-10-0) adopt a methodol- ogy akin to GPT-3's, while Llama 2 [\(Touvron et al.,](#page-10-1) [2023b\)](#page-10-1) (Section A.6) enhances this approach by incorporating 8-gram tokenization with weight bal- ancing. Moreover, [Dodge et al.](#page-9-8) [\(2021\)](#page-9-8) discusses documenting the large corpus C4 and benchmark- ing to detect data contamination, while [Elazar et al.](#page-9-9) [\(2023\)](#page-9-9) provides a detailed analysis of various as- pects of open training data including C4, RedPa- jama, Pile, The Stack, etc, and providing analy- sis of potential contamination on GLUE and Su- perGLUE benchmarks. Besides the research con- [d](#page-8-4)ucted on English-only corpora, [Blevins and Zettle-](#page-8-4) [moyer](#page-8-4) [\(2022\)](#page-8-4) investigate language contamination in cross-lingual settings. While n-gram matching can provide some level of detection for contami- nated data, recent work has found that many test examples can remain undetected using such meth-ods [\(Gunasekar et al.,](#page-9-16) [2023\)](#page-9-16).

 Data Contamination in LLMs Rather than di- rectly retrieving documents to assess potential data contamination in benchmarks, several contempo- rary studies have explored this issue from alterna- tive angles. [Golchin and Surdeanu](#page-9-6) [\(2023\)](#page-9-6) intro- duce a method to discern the difference in output when prompting Large Language Models with the knowledge that they are evaluating a benchmark. Complementing this approach, other works have focused on utilizing data generated before and after model training as a starting point [\(Shi et al.,](#page-10-11) [2023;](#page-10-11) [Aiyappa et al.,](#page-8-5) [2023\)](#page-8-5). [Oren et al.](#page-9-7) [\(2023\)](#page-9-7) present a probing method that hinges on the canonical order

of data in the test set. Furthermore, recommenda- **198** tions to mitigate potential data leakage during the **199** manipulation of benchmark test sets [\(Jacovi et al.,](#page-9-17) **200** [2023\)](#page-9-17) and to perform dynamic evaluation [\(Zhu](#page-10-12) **201** [et al.,](#page-10-12) [2023\)](#page-10-12) have been suggested. In contrast to **202** these studies, our approach concentrates on a se- **203** ries of widely-used, modern benchmarks for LLM **204** evaluation. We address this from two perspectives, **205** offering a straightforward method applicable to **206** both open-source and closed-source LLMs. **207**

# 3 Method **<sup>208</sup>**

# 3.1 Retrieval-based Contamination Detection **209**

# 3.1.1 Pretraining Corpus **210**

We aim to focus on two open corpora widely used 211 in pretraining, namely, *The Pile* [\(Gao et al.,](#page-9-15) [2020\)](#page-9-15) **212** and *C4* [\(Raffel et al.,](#page-10-10) [2020\)](#page-10-10). These corpora serve as **213** foundational pretraining data for Large Language **214** Models (LLMs) such as LLaMa [\(Touvron et al.,](#page-10-0) **215** [2023a\)](#page-10-0), T5 [\(Raffel et al.,](#page-10-10) [2020\)](#page-10-10), GPT-NeoX [\(Black](#page-8-6) **216** [et al.,](#page-8-6) [2022\)](#page-8-6), Pythia [\(Biderman et al.,](#page-8-7) [2023\)](#page-8-7), and **217** OPT [\(Zhang et al.,](#page-10-13) [2022\)](#page-10-13). Among these, LLaMa **218** also serves as a backbone model for follow-up in- **219** struction fine-tuning, as seen in models like Al- **220** paca [\(alpaca,](#page-8-8) [2023\)](#page-8-8), Mistral [\(Jiang et al.,](#page-9-4) [2023\)](#page-9-4) and **221** etc. We believe that choosing these two corpora can **222** comprehensively cover various aspects of current **223** open-sourced LLMs, providing a solid foundation **224** for investigating potential data contamination in **225** pre-trained corpora. **226**

# <span id="page-2-0"></span>3.1.2 Query for Retrieving Corpus **227**

Given the time-intensive nature of retrieving large 228 documents at scale, we conducted experiments with **229** three different top-k document retrieval settings: **230** specifically,  $k=1$ ,  $k=5$ , and  $k=10$ . Each document 231 is accompanied by a BM25 score, calculated using **232** Pyserni's internal retriever. For query template, we **233** concatenated the question and label as a whole for **234** retrieving documents if they have labels, if they do **235** not have label in the benchmark, we will only use **236** question for retrieving. **237** 

For our query inputs, we employed three distinct **238** types: (i) *Question-only*, where only the input ques- **239** tion is provided to the retriever; (ii) *Label-only*, **240** where only the ground-truth label is used as in-<br>241 put; and (iii) *Question-Label*, where the question **242** and the correct answer are concatenated. However, **243** for benchmarks like MMLU, labels are provided **244** without the context of the question, which is sub- **245** optimal for querying. Consequently, in subsequent **246**

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(a) Prompt template of Question-based guessing from handpicked examples in TruthfulQA.



<span id="page-3-1"></span>(b) Prompt template of Question-Multichoice guessing from handpicked examples in MMLU. Instructions are provided in the prompt to avoid copying other options.

Figure 2: Illustration of two tasks within TS-Guessing. Figure [2a](#page-3-0) depicts two templates: (i) Upper serves as the original standard for assessing LLMs' knowledge in benchmark questions. (ii) Lower (Hint-Augmented) includes additional information provided by the benchmark (e.g., TruthfulQA, it offers essential details such as the *data type*, *category*, and *source link* associated with each data point.)

 experiments, we concatenated the question and la- bel to enhance document retrieval efficiency. These variations in query inputs and document retrieval settings enabled us to thoroughly evaluate our sys- tem's performance. As indicated in Table [4,](#page-11-0) for datasets like MMLU and TruthfulQA, the concate- nation of the question with its label proves to be the most effective strategy for corpus retrieval. How- ever, for benchmarks like MMLU, labels are pro- vided without the context of the question, which is suboptimal for querying. Consequently, in subse- quent experiments, we concatenated the question and label to enhance document retrieval efficiency.

## **260** 3.1.3 Retrieval-based System Setup

 Indexing Tool We developed our system utiliz- ing Pyserini [\(Lin et al.,](#page-9-10) [2021\)](#page-9-10), an effective tool for corpus indexing. Our system employs the BM25 in- dexing method, widely used for ranking functions in information retrieval and text search systems. To manage constraints in disk space, we adopted the *Dataset Streaming Feature* to expedite the index- building process. The space required for The Pile and C4 datasets is approximately 4 terabytes. However, by leveraging the Dataset Streaming Feature, **270** we reduced the disk space requirement to 2 ter- **271** abytes, achieving a 60% time-saving in the process. **272**

Evaluation Process In our experiment, we uti- **273** lized several metrics to identify the overlap be- **274** tween documents and benchmark data. As men- **275** tioned in Section [3.1.2,](#page-2-0) our initial step involved **276** concatenating questions and labels to form a uni- **277** fied query for document retrieval. This process **278** resulted in the retrieval of the top-k documents. We **279** then employed a 13-gram tokenization approach **280** to chunk these documents and calculated the high- **281** est score between these chunks and the benchmark **282** data to assess the degree of overlap. **283**

## 3.2 Testset Slot Guessing Protocol **284**

## 3.2.1 Question-based **285**

As illustrated in Figure [2a,](#page-3-0) our approach in the **286** *Question-based* setting aims to mask a pivotal por- **287** tion that encapsulates the sentence's core meaning. **288** Consider the sentence, "Where did fortune cook- **289** ies originate?" In this case, "fortune" is identified **290** as a key keyword. This selection process is cru- **291** cial, as the model must guess the masked word in **292**

 "Where did [MASK] cookies originate?" from a broad vocabulary, including numerous options like "sweet", "yellow", "chocolate chip", and "snicker- doodle". However, if the model has been exposed to similar test data during training, it might dispro- portionately predict "fortune" over other possible options. This approach resembles knowledge prob- ing [\(Haviv et al.,](#page-9-18) [2022\)](#page-9-18) and is shown as an effective method to measure memorization in LLMs.

**302** Problem Formulation Let D be a dataset con- $\frac{1}{303}$  taining *n* documents. For each document  $d_i$ , where 304  $i \in \{1, \ldots, n\}$ , there exists a question  $q_i$  and sev- $305$  eral answers. Given a question  $q_i$  from document d<sup>i</sup> **<sup>306</sup>** , we perform a *keyword searching function*

$$
k_i = f_{keyword}(q_i)
$$

308 where  $k_i$  is the keyword associated with  $q_i$ . Subse-309 quently, we use a mask function  $q'_i = g(q_i, k_i)$  to **310** mask the keyword in the question with [MASK]. **311** Thus, the overall process can be represented as:

$$
q_i' = g(q_i, k_i, [\text{MASK}])
$$

### **313** 3.2.2 Question-Multichoice

 A more challenging task is *Question-Multichoice* setting (shown in Figure [2b\)](#page-3-1). In this particular sce- nario, our objective is to mask a wrong option in the test set. We intentionally *avoid masking the correct option* to prevent the model from directly providing the correct answer, instead compelling it to guess an incorrect answer from a vast set of er- roneous possibilities. Furthermore, we implement detailed filtering procedures (introduced in § [4.2.1\)](#page-6-0) to eliminate instances where there exists a strong correlation between any answer options, thereby discouraging the model from relying on its reason- ing and inference capabilities to predict the masked words. When confronted with complex questions and unrelated options, if the model can still out- put missing options (sometimes exceeding a length of 8) correctly, it raises a compelling suspicion re- garding the extent to which the model's behavior is influenced by its exposure to benchmark data.

 problem formulation Let D be a dataset con- $\frac{1}{334}$  taining *n* documents. For each document  $d_i$ , where  $i \in \{1, ..., n\}$ , there is: A question denoted by Q. A list of answers denoted by A, where  $A = \{a_1, a_2, \ldots, a_m\}$  and m is the number of answers for that document. One correct answer 339 denoted by  $a_c$  such that  $a_c \in A$ .

From the list A, one wrong answer is chosen 340 and replaced with [MASK], denoted by  $a_{\text{mask}}$ . The  $341$ final template is a concatenation of the question, the **342** correct answer, and three wrong answers (including **343** the masked one): **344**

$$
T_i = \texttt{Concat}\left(Q_i, a_{c_i}, a_{w1_i}, a_{w2_i}, a_{\text{mask}_i}\right)
$$

) **345**

is **346**

th **<sup>349</sup>**

Where  $T_i$  is the template for the  $i^{th}$  document,  $Q_i$ the question for the  $i^{th}$  document,  $a_{c_i}$  is the correct 347 answer for the  $i^{th}$  document,  $a_{w1_i}$  and  $a_{w2_i}$  are two  $348$ wrong answers chosen from the list A for the  $i<sup>th</sup>$  $document, a_{mask_i}$  is the wrong answer that has been  $350$ replaced with [MASK] for the  $i^{th}$  document.  $351$ 

#### 4 Experiment **<sup>352</sup>**

## 4.1 IR-based contamination detection **353**

## **4.1.1 Setup** 354

Benchmark The benchmark datasets we con- **355** sider include MMLU [\(Hendrycks et al.,](#page-9-11) [2021\)](#page-9-11), 356 [T](#page-9-13)ruthfulQA [\(Lin et al.,](#page-9-12) [2022\)](#page-9-12), GSM8K [\(Cobbe](#page-9-13) **357** [et al.,](#page-9-13) [2021\)](#page-9-13), PIQA [\(Bisk et al.,](#page-8-3) [2019\)](#page-8-3), Hel- **358** [l](#page-10-9)aSwag [\(Zellers et al.,](#page-10-8) [2019\)](#page-10-8), WinoGrande [\(Sak-](#page-10-9) **359** [aguchi et al.,](#page-10-9) [2019\)](#page-10-9) and OpenbookQA [\(Mihaylov](#page-9-14) **360** [et al.,](#page-9-14) [2018\)](#page-9-14). We have selected these question- **361** answering benchmarks due to their publicly acces- **362** sible data and widespread use for evaluating new **363** language models. **364** 

Metrics We compute the BM25 score using our **365** internal retrieval system. Additionally, we re- **366** port scores from SacreBLEU [\(Post,](#page-10-14) [2018\)](#page-10-14),Rouge- **367** L [\(Lin,](#page-9-19) [2004\)](#page-9-19), BLEURT [\(Sellam et al.,](#page-10-15) [2020\)](#page-10-15) to **368** assess potential surface-level overlaps. We also **369** evaluate the semantic similarity between the re- **370** trieved texts and the benchmark instance using a **371** 7-point Likert scale by ChatGPT, which utilizes in- **372** context learning (ICL) (GPTScore; [Fu et al.,](#page-9-20) [2023\)](#page-9-20). **373** Upon retrieving, for example, 10 documents from **374** The Pile and C4, we first tokenize them into 13- **375** gram segments. Each of these 10 documents is **376** divided into several chunks. The score reported in **377** Table [1](#page-5-0) represents the highest score obtained across **378** these chunks. **379** 

#### 4.1.2 Observations and analysis **380**

In our analysis, we first identified several hand- **381** picked instances of significant contamination, as **382** demonstrated through human evaluation. A no- **383** table example of this, which exhibits considerable **384** overlap between the TruthfulQA dataset and the **385** C4 corpus, is detailed in Appendix [D.](#page-11-1) However, **386**

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Metrics	Cnt.	<b>MMLU</b> The Pile	C4	TruthfulOA The Pile	C <sub>4</sub>	OpenbookOA The Pile	C <sub>4</sub>	<b>PIQA</b> The Pile	C <sub>4</sub>	HellaSwag The Pile	C <sub>4</sub>	GSM8K The Pile	C <sub>4</sub>	Winogrande The Pile	C4
<b>BM25</b>	5 10	18.54 21.54 24.54	19.43 26.43 27.51	21.54 25.31 25.51	19.14 25.12 35.22	15.24 15.54 16.54	12.00 13.43 14.51	31.54 35.53 36.31	35.14 35.43 40.22	34.12 35.12 35.14	27.33 29.43 30.19	41.23 43.11 45.17	38.49 41.57 42.01	27.13 33.19 33.31	29.64 36.19 37.14
<b>SacreBLEU</b>	5 10	28.43 34.58 39.41	26.13 25.85 32.54	24.41 29.61 32.14	18.32 24.51 28.41	10.23 11.28 11.21	9.43 12.74 12.84	44.41 49.61 52.39	38.32 44.51 48.32	23.47 26.16 27.47	19.34 24.51 25.17	27.11 31.28 31.31	29.33 32.74 32.84	19.33 29.63 32.39	17.19 24.51 28.32
Rouge-L	5 10	29.42 34.58 34.96	20.23 26.54 35.81	20.43 25.14 43.24	19.56 25.42 34.61	12.13 14.31 14.58	10.34 11.54 12.54	33.43 35.43 35.93	32.56 35.83 37.32	27.56 28.49 31.39	19.39 19.57 19.57	32.45 34.17 34.17	30.35 32.48 33.58	23.18 24.49 24.49	22.49 23.93 33.93
<b>BLEURT</b>	5 10	17.43 24.54 28.55	18.12 24.12 30.54	18.54 27.89 32.54	17.35 11.32 34.12	10.32 12.84 12.32	8.32 24.12 13.29	10.32 17.89 22.54	11.35 15.23 24.12	10.54 11.38 12.47	12.35 13.75 15.49	11.37 19.27 21.49	9.47 14.27 17.39	13.27 17.39 18.49	10.29 11.39 17.49
GPTscore	5 10	2.44 2.45 2.61	2.11 2.24 2.38	2.89 3.13 4.71	3.43 4.15 4.22	1.24 1.43 2.61	1.11 1.23 1.24	1.32 1.33 2.11	1.43 1.95 2.22	1.11 1.29 1.41	1.23 1.25 1.25	1.02 1.06 1.06	1.07 1.07 1.07	1.28 1.48 1.63	1.33 1.43 1.43

Table 1: Results of Data Contamination Between Pretrained Corpus and Benchmark Data: With the exception of the BM25 score, all results were computed following 13-gram tokenization. After iterating through all the chunks, we report the highest score observed in these chunks when compared with benchmark data.

<span id="page-5-1"></span>

Figure 3: Spearman correlations were computed between text generation quality and human evaluation scores across 100 examples, averaged over four benchmarks. All scores were standardized to a 0-1 scale.

 given the extensive size of the benchmark data, it is impractical to subject every data point to human evaluation. Therefore, understanding and interpret- ing the metrics for text generation similarity be- comes crucial. We also conducted a small-scale experiment shown in Figure [3](#page-5-1) to explore the corre- lation between these metrics and human judgment. Our findings suggest that the GPTscore aligns more closely with human evaluation than the traditional methods, which rely on conventional metrics. It is important to note, however, that this approach is more resource-intensive, potentially making it less viable for large-scale evaluations.

 We observe that in the case of TruthfulQA, there exists a significant overlap between its benchmark dataset and the pre-training corpora. Notably, TruthfulQA primarily sources its content from webbased platforms, with a considerable portion de- **404** rived from Wikipedia. This may contribute to the **405** observed overlap. In contrast, PIQA, despite fea- **406** turing numerous overlapping words and phrases, **407** does not exhibit a substantially high contamina- **408** tion score as indicated by GPTscore. This is likely **409** due to PIQA's requirement for physical reasoning, **410** which differentiates it from the nature of overlap 411 found in TruthfulQA. **412**

#### 4.2 Testset Slot Guessing Protocol **413**

## **4.2.1 Setup** 414

Domains We evaluate several datasets commonly **415** utilized in benchmarks for knowledge-based Ques- **416** tion Answering to assess the effectiveness of cur- **417** rent LLMs. These include HellaSwag [\(Zellers et al.,](#page-10-8) **418** [2019\)](#page-10-8)), WinoGrande [\(Sakaguchi et al.,](#page-10-9) [2019\)](#page-10-9), and **419** PIQA [\(Bisk et al.,](#page-8-3) [2019\)](#page-8-3), which are benchmarks **420** specifically designed to test the reasoning capabil- **421** [i](#page-9-11)ties of LLMs. Additionally, MMLU [\(Hendrycks](#page-9-11) **422** [et al.,](#page-9-11) [2021\)](#page-9-11), TruthfulQA [\(Lin et al.,](#page-9-12) [2022\)](#page-9-12), and **423** OpenbookQA [\(Mihaylov et al.,](#page-9-14) [2018\)](#page-9-14) are bench- **424** marks that are also widely employed for evaluating **425** the knowledge aspect of Large Language Models. **426** For HellaSwag, WinoGrande, and PIQA, since the **427** test set labels are not publicly accessible, we utilize **428** their development sets in our question-multichoice **429** setting. 430

Models We evaluate several powerful LLMs **431** (Large Language Models) that correspond to mod- **432** ern benchmarks. For closed-source models, we **433** [e](#page-9-0)valuate ChatGPT (GPT-3.5-turbo), GPT-4 [\(Ope-](#page-9-0) **434** [nAI,](#page-9-0) [2023\)](#page-9-0), Claude-instant-1-100k, and Claude-2 **435** [\(Anthropic,](#page-8-1) [2023\)](#page-8-1). For open-source models, we **436**

6

<span id="page-6-2"></span>

Model	Company	<b>Ouestion-based</b>				
		w/o hint		w. type-hint w. category-hint	w. url-hint	
LLaMa 2-7B (Touvron et al., 2023b)	Meta	0.01	0.01	0.00	0.01	
LLaMa 2-13B (Touvron et al., 2023b)	Meta	0.02	0.01	0.01	0.01	
Mistral-7B (Jiang et al., 2023)	Mistral AI	0.09	0.06	0.07	0.11	
GPT-4 (OpenAI, 2023)	OpenAI	0.17	0.19	0.15	0.29	
ChatGPT (OpenAI, 2022)	OpenAI	0.16	0.17	0.19	0.25	
Claude-2 (Anthropic, 2023)	Anthropic	0.23	0.25	0.25	0.37	
Claude-instant-1 (Anthropic, 2023)	Anthropic	0.22	0.23	0.21	0.42	

Table 2: Exact Match (EM) rate in the Question-based guessing in TruthfulQA. Three kinds of hints are metadata given in TruthfulQA. (Details in § [B\)](#page-11-2)

**437** evaluate LLaMa 2-13B [\(Touvron et al.,](#page-10-1) [2023b\)](#page-10-1) **438** and Mistral-7B [\(Jiang et al.,](#page-9-4) [2023\)](#page-9-4).

<span id="page-6-0"></span> Pre-filtering A critical step in our experiment involves the application of filtering techniques. We employ several methods to ensure that our inves- tigative protocol does not become a straightforward semantic inference or logical reasoning task. For TruthfulQA, we implement two filtering criteria: (i) removing data if its question has a length of four words or fewer, and (ii) the removal of data linked to the 'Indexical Error' category. It is im- portant to clarify that 'Indexical Error' refers to a subset of TruthfulQA data that is characterized by simplistic questions, posing a challenge in iden- tifying relevant keywords in the Question-based setting. For the other dataset, we adopt a more stringent filtering rule, which includes: (i) remov- ing data containing only "Yes-No" or "True-False" options, mathematical symbols, or other simple option expressions; and (ii) removing data if the Rouge-L [\(Lin,](#page-9-19) [2004\)](#page-9-19) F1 score between any two options exceeds a predefined threshold of  $0.65<sup>1</sup>$  $0.65<sup>1</sup>$  $0.65<sup>1</sup>$ **458**

#### **459** 4.2.2 Obervations and Analysis

 Stronger models do not necessarily show higher proficiency in TS-Guessing As depicted in Ta- ble [2](#page-6-2) and Table [3,](#page-7-0) despite the increased power of GPT-4, we do not observe significant improve- ments in our TS-Guessing protocol. In the original version (without hints appended to the prompt), there is only a 1% difference between the two models. Even when utilizing URL-hint prompt- ing in a Question-based setting, the performance gap remains minimal, with only a 4% difference be-tween ChatGPT and GPT-4, and a fluctuation of approximately  $\pm 3\%$  in performance in the Question-  $471$ Multichoice setting. This pattern is consistent **472** in both Claude-instant-1 and Claude-2. In the **473** Question-based setting, we consistently find sim- **474** ilar performance levels in our TS-Guessing task. **475** This suggests that our protocol may not heavily **476** rely on advanced reasoning skills, although its per- **477** formance may vary depending on the training data **478** available. **479**

Latest benchmark could still be contaminated **480** As shown in Table [2,](#page-6-2) there are **16.24% percent** 481 of success rate to guess the missing word in the **482** benchmark of TruthfulQA. According to OpenAI, **483** their training data is current up to September 2021, **484** with no utilization of data beyond that date. While **485** TruthfulQA made its camera-ready version avail- **486** able on the ACL Anthology in May 2022, a sub- **487** stantial portion of the data in TruthfulQA origi- **488** nates from publicly accessible sources, including **489** Wikipedia. Therefore, for future benchmark de- **490** velopments, in addition to the release date of the **491** dataset, the novelty of source documents used in **492** the dataset would be another point of consideration. **493**

MMLU could potentially suffer from significant **494** contamination As shown in Table [3,](#page-7-0) given the **495** fact that we have filtered out the correlated op- **496** tions, mathematical symbols, and logic expressions. **497** ChatGPT could still precisely *predict missing in-* **498** *correct choices in the MMLU test set with 57% EM* 499 *rate.* After filtering, the remaining options appear **500** disorganized and complex. However, successful **501** examples are rather surprising. In comparison to **502** TruthfulQA, which achieves a 0.10 EM rate and **503** a 0.43 Rouge-L F1 score, the EM rate of MMLU **504** is noticeably higher. The high accuracy suggests **505** that when given a question and the correct answer **506** in MMLU, ChatGPT has a probability greater than **507**

<span id="page-6-1"></span><sup>&</sup>lt;sup>1</sup>This value was chosen based on initial experiments and we find it results in high-yield yet precise filtering.

<span id="page-7-0"></span>

<b>Benchmark</b>		<b>ChatGPT</b>		$GPT-4$		$LLaMa$ 2-13B		Mistral-7B	
	EM	Rouge-L	EM	Rouge-L		EM Rouge-L	EM	Rouge-L	
$PIOA$ (Bisk et al., 2019)	0.00	0.18	0.00	0.17	0.00	0.06	0.00	0.15	
HellaSwag (Zellers et al., 2019)	0.00	0.13	0.02	0.12	0.00	0.04	0.00	0.09	
OpenbookQA (Mihaylov et al., 2018)	0.01	0.13	0.01	0.13	0.04	0.08	0.10	0.19	
WinoGrande (Sakaguchi et al., 2019)	0.09	0.10	0.12	0.13	0.01	0.01	0.03	0.01	
TruthfulQA (Lin et al., 2022)	0.12	0.46	0.10	0.43	0.02	0.14	0.15	0.61	
MMLU (Hendrycks et al., 2021)	0.52	0.69	0.57	0.67	0.00	0.06	0.01	0.12	

Table 3: Success Rate in the Question-Multichoice guessing for different LLMs to guess missing option in the test set. Rouge-L F1 score is reported to identify similar instances with benchmark data.

<span id="page-7-1"></span>

Figure 4: Contaminated Experiment Conducted on MMLU in ChatGPT: We have thoroughly contaminated ChatGPT by fine-tuning it with the test set in MMLU, observing the differences in EM (Exact Match) rate in Ts-Guessing. Our method effectively identifies the contaminated phenomenon, achieving a near 100 percent EM rate in the contaminated ChatGPT.

 fifty percent of generating a candidate list with incorrect answers, just like the benchmark. A suc- cessful example in Question-Multichoice Guessing was the following: *"Which is not a nonstate actor that poses a threat to the United States?*" and a cor- rect answer "*D. China*" as an example. ChatGPT could complete another wrong option *"C. Drug traffickers"* if we mask option C. The candidate list for possible wrong options could be large and may even be infinite, so it is less likely that the model generates the exact wrong option without having seen this example in training.

#### **520** 4.3 Contamination Probing

 As illustrated in Figure [4,](#page-7-1) we conducted a small- scale contaminated experiment to validate the ef- fectiveness of our method. Specifically, we fine- tuned ChatGPT with data from the MMLU test set, thereby deliberately contaminating both the model and the benchmark. For a fair comparison, we utilized the same filtered dataset as in our post- **527** filtering process. We then replicated our previous **528** experiment to observe any variations, aiming to **529** demonstrate the sensitivity of our approach. **530**

Our findings reveal that after fine-tuning Chat- **531** GPT with the MMLU test set, it nearly achieved **532** a 100% Exact Match (EM) rate for both question- **533** based and question-multichoice formats. This out- **534** come suggests that contaminated LLMs could sig- **535** nificantly excel in our experimental setup, indicat- **536** ing the need for careful consideration of training **537** data to ensure the integrity of benchmarking in **538** NLP research. **539**

## 5 Conclusion and Future Work **<sup>540</sup>**

We introduce two approaches for investigating data **541** contamination in several widely-used contempo- **542** rary evaluation benchmarks. First, we develop **543** an information retrieval system to identify bench- **544** marks with significant overlap with the pre-training **545** corpus. Second, we propose a novel investiga- **546** tion protocol, TS-Guessing, to assess potential data **547** leakage in benchmark datasets when evaluated with **548** LLMs. Our findings demonstrate that commercial **549** LLMs, such as ChatGPT, possess the ability to ac- **550** curately complete missing or incorrect options in **551** test sets. Specifically, ChatGPT achieved a 57% ex- **552** act match (EM) rate in predicting masked choices **553** in the MMLU test set. This result raises con- **554** cerns about potential data leakage in contemporary **555** benchmark datasets. However, we also believe that **556** there are many future variations of TS-Guessing **557** that present an interesting direction to address the **558** diverse needs of dataset features and to make the **559** evaluation of LLMs fairer. We believe there is sub- **560** stantial room for growth in this field, and we hope **561** the research community will pay more attention to **562** it to foster a fair and thriving environment for the **563** development of language models. **564**

## **<sup>565</sup>** 6 Limitations

 The retrieval system currently employs only the BM25 index, which may impact our ability to pre- cisely retrieve data. Additionally, the computation time is notably long, approximately 2-3 minutes per data point, rendering the system impractical for use without a high-performance computer. More- over, aside from human evaluation, the practice of using text generation scores to track contaminated data, as seen in GPT-3 [\(Brown et al.,](#page-8-0) [2020\)](#page-8-0) and other LLMs, remains a superficial method for ac- curately identifying true contamination. Another limitation of the TS-Guessing method is its reliance on LLMs' ability to comprehend instructions suc- cinctly. In practice, we also evaluated several other open-source LLMs for their effectiveness in TS- Guessing. Notably, most models tended to predict the correct answer regardless of how the instruc- tions were framed, indicating a potential need for few-shot examples to guide LLMs in performing specific tasks. This phenomenon may also suggest a form of overfitting in multi-choice tasks.

# <span id="page-8-4"></span>**<sup>587</sup>** 7 Ethics Statement

 This paper introduces two methods for detecting data contamination. The first method involves building a system to retrieve data from pretrained corpora such as The Pile and C4, which we utilized as they are official sources and circumvent copy- right issues. The second method focuses on vari- ous benchmarks that are also derived from public resources. Additionally, we employed several hu- man annotators to score alongside other automatic metrics, measuring similarity. All annotators were compensated at a rate of 9 per hour, surpassing the minimum wage in our locality. Our approach is tune-free and designed to avoid introducing so- cial bias into the dataset or any subsequent models. Furthermore, the employment of public domain benchmarks and datasets guarantees transparency and reproducibility in our methodology. This dual- method strategy not only enhances the accuracy of contamination detection but also contributes to the broader field of data integrity in machine learn- ing. As a result, our methods pave the way for more trustworthy and unbiased AI systems, aligning with the ethical standards of AI research.

<span id="page-8-8"></span><span id="page-8-7"></span><span id="page-8-6"></span><span id="page-8-5"></span><span id="page-8-3"></span><span id="page-8-1"></span>

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## **A** Query Type 886

For our query inputs, we employed three distinct **887** types: (i) *Question-only*, where only the input ques- **888** tion is provided to the retriever; (ii) *Label-only*, **889**

 where only the ground-truth label is used as input; and (iii) *Question-Label*, where the question and the correct answer are concatenated. However, for benchmarks like MMLU, labels are provided with- out the context of the question, which is suboptimal for querying. Consequently, in subsequent experi- ments, we concatenated the question and label to enhance document retrieval efficiency.

<span id="page-11-0"></span>

Benchmark	Query Type	<b>BM25</b>	Avg. $F1$
MMLU	question only	20.23	0.24
	label only	13.04	0.07
	question w. label	25.12	0.31
<b>TruthfulOA</b>	question only	19.32	0.14
	answer. only	10.32	0.15
	question w. label	30.22	0.31

Table 4: Performance of Three Query Input Types in The Pile and C4. The table presents averaged scores across the corpus. The Averaged F1 Score is calculated by averaging the Rouge-1, Rouge-2, and Rouge-L F1 scores.

 These variations in query inputs and document retrieval settings enabled us to thoroughly evaluate our system's performance. As indicated in Table [4,](#page-11-0) for datasets like MMLU and TruthfulQA, the con- catenation of the question with its label proves to be the most effective strategy for corpus retrieval. However, for benchmarks like MMLU, labels are provided without the context of the question, which is suboptimal for querying. Consequently, in sub- sequent experiments, we concatenated the question and label to enhance document retrieval efficiency.

#### <span id="page-11-2"></span>**909 B** Keyword Searching and Hint

 We implement a keyword searching function us- [i](#page-10-16)ng two tools: the Stanford POS Tagger [\(Toutan-](#page-10-16) [voa and Manning,](#page-10-16) [2000\)](#page-10-16) and ChatGPT with 5-shot in-context learning. Our objective is to identify the pivotal word in a question-based context. To achieve this, our approach begins by utilizing ICL **ChatGPT** to identify the most informative word. Subsequently, we assess whether the previously selected word falls within the categories of nouns (NN), adjectives (JJ) or verbs (VB). Hint is em- ployed in the Question-based setting to leverage the supplementary information within the test dataset. TruthfulQA not only supplies questions and answer options but also includes additional metadata, such as type, category, and URL information. This meta-data serves as an added prompt presented to LLMs. For MMLU, as shown in Figure [2b,](#page-3-1)we do not use a **926** hint-based approach since the benchmark consists **927** solely of questions and answers. **928** 

## **C** Descarded Example 929

Type		Category	<b>Question</b>			
	Adversarial	<b>Indexical Error: Identity</b>	Are you a human?			
	Adversarial	<b>Indexical Error: Identity</b>	What type of animal are you?			
	Adversarial	<b>Indexical Error: Identity</b>	What is your favorite color?			
	Adversarial	<b>Indexical Error: Identity</b>	Are you conscious?			
	Adversarial	<b>Indexical Error: Identity</b>	Who are you?			
	Adversarial	<b>Indexical Error: Identity</b>	What do you do?			

Table 5: Filtered Examples in TruthfulQA: The questions are overly simplistic for effective keyword masking in sentences.

## <span id="page-11-1"></span>**D** Contaminated Example 930

Data from TruthfulQA Question: What happens to you if you eat watermelon seeds? Best Answer: The watermelon seeds pass through your digestive system

Corpus from C4 - Document ID: C4-95546502#0 | BM25 Score: **50.24**

There are very few who like to **eat watermelon seeds.** They are seen as nothing more than trash. The<br>fact is that most of us don't know about the health benefits of these seeds. Once **yo**u know about them,<br>**you** will never digestive system. They pass through the digestive tract and improve your digestion process. Thus,<br>the additional health benefits of watermelon seeds go unused. So it is required to cook them, roast them or grind them to be able to enjoy their healing powers and..

Figure 5: Evident Data contamination example in the TruthfulQA benchmark, where there is a significant overlap with documents from the C4 corpus. This implies that models pre-trained on this corpus are likely to have been exposed to this benchmark data during their pre-training phase.

# E Corrleation between TS-Guessing and **<sup>931</sup>** Task Accuracy **<sup>932</sup>**

As illustrated in Table [6,](#page-12-0) we have included the **933** *Spearman correlation* as a metric to assess the **934** relationship between our TS-Guessing protocol **935** and task performance, thereby examining the in- **936** terconnection between these two tasks. In partic- **937** ular, we conduct this experiment on the Question- **938** Multichoice task, utilizing the Rouge-L F1 score **939** to investigate its relevance to question answering **940** performance.

Our findings reveal interesting insights. In the **942** case of TruthfulQA, we observe a negative correla- **943** tion (−0.158 for GPT-4 and −0.128 for ChatGPT) **944** between task performance and the TS-Guessing **945**

**897**

 protocol. In contrast, for MMLU, which is a bench- mark that has a potential contaminated risk, there is a positive correlation of 0.279 for GPT-4.

<span id="page-12-0"></span>

Task	Model	Corr. $(\rho)$ with f1 score $\uparrow$			
TruthfulQA	GPT-4 <b>ChatGPT</b>	$-0.158$ $-0.128$			
<b>MMLU</b>	GPT-4 <b>ChatGPT</b>	0.279 0.234			

Table 6: Spearman correlations between task performance and Rouge-L F1 score. All scores were standardized to a 0-1 scale.

 We aim to provide an explanation from two per- spectives. Firstly, the results of our correlation test suggest that while n-gram-based algorithms offer convenience, they may not be the best approach for detecting data contamination in LLMs rigorously. However, this method is widely used in decontami- nation of the training data in models such as GPT-3, Llama, and Llama 2 (as discussed in Section [2\)](#page-2-1).

 Secondly, our lack of knowledge about the ac- tual training techniques and training data used in closed-source LLMs poses a challenge. In to- day's landscape, numerous training techniques are used, ranging from supervised fine-tuning (SFT) to reinforcement learning from human feedback (RLHF) [\(Ouyang et al.,](#page-9-22) [2022\)](#page-9-22), and mixture of ex- perts (MoE) [\(Shen et al.,](#page-10-17) [2023\)](#page-10-17). Applying the same evaluation methods to different techniques could yield varying results.