### **000 001 002 003** RL4CO: AN EXTENSIVE REINFORCEMENT LEARNING FOR COMBINATORIAL OPTIMIZATION BENCHMARK

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## ABSTRACT

Deep reinforcement learning (RL) has recently shown significant benefits in solving combinatorial optimization (CO) problems, reducing reliance on domain expertise, and improving computational efficiency. However, the field lacks a unified benchmark for easy development and standardized comparison of algorithms across diverse CO problems. To fill this gap, we introduce RL4CO, a unified and extensive benchmark with in-depth library coverage of 23 state-of-the-art methods and more than 20 CO problems. Built on efficient software libraries and best practices in implementation, RL4CO features modularized implementation and flexible configuration of diverse RL algorithms, neural network architectures, inference techniques, and environments. RL4CO allows researchers to seamlessly navigate existing successes and develop their unique designs, facilitating the entire research process by decoupling science from heavy engineering. We also provide extensive benchmark studies to inspire new insights and future work. RL4CO has attracted numerous researchers in the community and is open-sourced.<sup>[1](#page-0-0)</sup>

## 1 INTRODUCTION

**025 026 027 028 029 030 031 032 033** Combinatorial optimization (CO) focuses on finding optimal solutions for problems with discrete variables and has broad applications, including vehicle routing [\(Nazari et al.,](#page-14-0) [2018;](#page-14-0) [Kool et al.,](#page-12-0) [2019a\)](#page-12-0), scheduling [\(Zhang et al.,](#page-17-0) [2020\)](#page-17-0), and hardware device placement [\(Kim et al.,](#page-12-1) [2023\)](#page-12-1). Given that the combinatorial space expands exponentially and exhibits NP-hard characteristics, the operations research (OR) community has traditionally tackled these challenges through the development of mathematical programming algorithms [\(Gurobi Optimization,](#page-11-0) [2021\)](#page-11-0) and handcrafted heuristics [\(Mart et al.,](#page-14-1) [2018\)](#page-14-1). Despite their success, these methods still face significant limitations: mathematical programming struggles with scaling, while handcrafted heuristics require significant domain-specific adjustments for different CO problems.

**034 035 036 037 038 039 040 041 042** Recently, to address these limitations, neural combinatorial optimization (NCO) has emerged [\(Ben](#page-9-0)[gio et al.,](#page-9-0) [2021b\)](#page-9-0). It employs deep neural networks to automate the problem-solving process and significantly reduces computation demands and domain expertise requirements. Recent NCO works mainly leverage the reinforcement learning (RL) paradigm, making significant strides in improving exploration efficiency [\(Kwon et al.,](#page-12-2) [2020;](#page-12-2) [Kim et al.,](#page-12-3) [2021\)](#page-12-3), relaxing the needs of obtaining optimal solutions, and extending to various CO tasks [\(Zhang et al.,](#page-17-0) [2020;](#page-17-0) [Nazari et al.,](#page-14-0) [2018;](#page-14-0) [Kool et al.,](#page-12-0) [2019a;](#page-12-0) [Kim et al.,](#page-12-1) [2023\)](#page-12-1). Although supervised learning methods [\(Drakulic et al.,](#page-10-0) [2023\)](#page-10-0) are shown to be effective in NCO, they require a large number of high quality solutions, which is unrealistic for large instances or theoretically hard problems. Therefore, this work focuses on the RL paradigm.

**043 044 045 046 047 048 049 050 051 052** Despite the growing popularity and advancements in using RL for solving CO problems, there remains a lack of a unified benchmark for analyzing past works under consistent implementations and conditions. The absence of a standardized benchmark hinders NCO researchers' efforts to make impactful advancements and leverage existing successes, as it becomes challenging to determine the superiority of one method over another. Moreover, the significance of NCO lies in its potential for generalizability across multiple problems without extensive problem-specific knowledge. Variations in implementation can make it difficult for new researchers to engage with the NCO community, and inconsistent comparisons obstruct straightforward performance evaluations. These issues pose significant challenges and underscore the need for a comprehensive benchmark to streamline research and foster consistent progress.

<span id="page-0-0"></span><sup>1</sup>Documentation: <https://anonymous.4open.science/w/rl4co-submission/>. Code: <https://anonymous.4open.science/r/rl4co-submission/>.



## Table 1: Comparison of libraries in reinforcement learning for combinatorial optimization.

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† We consider as *baselines* ad-hoc network architectures (i.e., policies) and RL algorithms from the literature. ‡ We also consider the possible 16 combinations of environments generated by the unified Multi-Task VRP, as they have been

historically considered separate environments in the NCO literature.

**067 068 069 070 071 072 073 074 075 076 077 078 079** Contributions. To bridge this gap, we introduce RL4CO, the first comprehensive benchmark with multiple baselines, environments, and boilerplate from the literature, all implemented in a *modular*, *flexible*, *accelerated*, and *unified* manner. Our aim is to facilitate the entire research process for the NCO community with the following key contributions: 1) *Simplifying development* through modularizing 27 environments and 23 existing baseline models, allowing for flexible and automated combinations for effortless testing, switching, and achieving state-of-the-art performance; 2) *Enhancing the training and testing efficiency* through the customized unified pipeline tailored for the NCO community based on advanced libraries such as TorchRL [\(Bou et al.,](#page-10-2) [2024\)](#page-10-2), PyTorch Lightning [\(Falcon](#page-10-3) [and The PyTorch Lightning team,](#page-10-3) [2019\)](#page-10-3), Hydra [\(Yadan,](#page-16-1) [2019\)](#page-16-1), and TensorDict [\(Moens,](#page-14-2) [2023\)](#page-14-2); 3) *Standardizing evaluation* to ensure fair and comprehensive comparisons, enabling researchers to automatically test a broader range of problems from diverse distributions and gather valuable insights using our testbed. Overall, RL4CO eliminates the need for repetitive heavy engineering in the NCO community and fosters seamless future development by building on existing successes, enabling advanced innovation and progress in the field.

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## 2 RELATED WORKS

**083 084 085 086 087 088 089 090 091 092 093 094 095 096 097 098 099 100 101 102 103** Neural Combinatorial Optimization. Neural combinatorial optimization (NCO) utilizes machine learning techniques to automatically develop novel heuristics for solving NP-hard CO problems. We classify the majority of NCO research from the following perspectives: 1) *Learning Paradigms*: researchers have employed supervised learning [\(Vinyals et al.,](#page-16-2) [2015;](#page-16-2) [Hottung et al.,](#page-11-2) [2020;](#page-11-2) [Sun and](#page-15-1) [Yang,](#page-15-1) [2023;](#page-15-1) [Drakulic et al.,](#page-10-0) [2023;](#page-10-0) [Luo et al.,](#page-13-0) [2024a\)](#page-13-0) to approximate optimal solutions to CO instances. Further research leverages reinforcement learning [\(Bello et al.,](#page-9-3) [2017;](#page-9-3) [Nazari et al.,](#page-14-0) [2018;](#page-14-0) [Kool et al.,](#page-12-0) [2019a;](#page-12-0) [Kwon et al.,](#page-12-2) [2020\)](#page-12-2), and unsupervised learning [\(Min et al.,](#page-14-3) [2023\)](#page-14-3) to ease the difficulty of obtaining (near-)optimal solutions. 2) *Models*: various deep learning architectures such as recurrent neural networks [\(Vinyals et al.,](#page-16-2) [2015;](#page-16-2) [Chen and Tian,](#page-10-4) [2019;](#page-10-4) [Li et al.,](#page-13-1) [2023\)](#page-13-1), graph neural networks [\(Joshi et al.,](#page-12-4) [2019;](#page-12-4) [Min et al.,](#page-14-3) [2023\)](#page-14-3), Transformers [\(Kool et al.,](#page-12-0) [2019a;](#page-12-0) [Kwon et al.,](#page-12-2) [2020\)](#page-12-2), diffusion models [\(Sun and Yang,](#page-15-1) [2023\)](#page-15-1), and GFlowNets [Zhang et al.](#page-17-1) [\(2023\)](#page-17-1); [Kim et al.](#page-12-5) [\(2024\)](#page-12-5) have been employed. 3) *Problems*: NCO has demonstrated great success in various problems, including vehicle routing problems (VRPs) (e.g., traveling salesman problem (TSP) and capacitated VRP), scheduling problems (e.g., job shop scheduling problems [\(Zhang et al.,](#page-17-0) [2020\)](#page-17-0)), hardware device placement [\(Kim et al.,](#page-12-1) [2023\)](#page-12-1), and graph-based CO [\(Zhang et al.,](#page-17-1) [2023\)](#page-17-1). 4) *Heuristic Types*: in general, the learned heuristics can be categorized as *constructive* in an autoregressive [\(Kool et al.,](#page-12-0) [2019a\)](#page-12-0) or non-autoregressive [\(Joshi et al.,](#page-12-4) [2019\)](#page-12-4) way, and *improvement* heuristics that leverage traditional heuristics [\(Wu et al.,](#page-16-3) [2021;](#page-16-3) [Ma et al.,](#page-14-4) [2024\)](#page-14-4) and meta-heuristics [\(Song et al.,](#page-15-2) [2020\)](#page-15-2). We refer to [Bengio et al.](#page-9-0)  $(2021b)$  for a comprehensive survey. In this paper, we focus on the reinforcement learning paradigm due to its effectiveness and flexibility. Notably, the proposed RL4CO is versatile to support most combinations of models, problems and heuristic types, making it an apt library and benchmark for future research in NCO.

**104 105 106 107 Related Benchmark Libraries.** Despite the variety of general-purpose RL software libraries [\(Brockman et al.,](#page-10-5) [2016;](#page-10-5) [Liang et al.,](#page-13-2) [2017;](#page-13-2) [Raffin et al.,](#page-14-5) [2021;](#page-14-5) [Weng et al.,](#page-16-4) [2022\)](#page-16-4) there is a lack of a unified and extensive benchmark for CO problems. [Balaji et al.](#page-9-1) [\(2019\)](#page-9-1) propose an RL bench-mark for OR that comes only with a PPO baseline [\(Schulman et al.,](#page-15-3) [2017\)](#page-15-3). Also, [Hubbs et al.](#page-11-1) [\(2020\)](#page-11-1) and [Biagioni et al.](#page-9-2) [\(2022\)](#page-9-2) implement a collection of OR environments, but do not provide

**108 109 110 111 112 113 114 115 116** any baselines to solve them. [Wan et al.](#page-16-0) [\(2023\)](#page-16-0) propose an RL for OR library that benchmarks the canonical TSP and CVRP environments using different configurations of the attention model [\(Kool](#page-12-0) [et al.,](#page-12-0) [2019a\)](#page-12-0). Despite having a different focus, we also mention the work of [Prouvost et al.](#page-14-6) [\(2020\)](#page-14-6) here, who develop an API to use RL for controlling traditional MILP solvers [\(Linderoth et al.,](#page-13-3) [2010\)](#page-13-3), rather than using RL directly to learn solutions. Besides their narrow scope, a major downside of the above libraries is that they cannot be massively parallelized due to their reliance on the OpenAI Gym API [\(Brockman et al.,](#page-10-5) [2016\)](#page-10-5), which can only run on CPU. In contrast, RL4CO is based on TorchRL [\(Bou et al.,](#page-10-2) [2024\)](#page-10-2), a recent official PyTorch [\(Paszke et al.,](#page-14-7) [2019\)](#page-14-7) library for RL that enables hardware-accelerated execution of both environments and algorithms.

**117 118 119 120 121 122 123** In contrast to the works above, Routing Arena [\(Thyssens et al.,](#page-15-0) [2023\)](#page-15-0) provides multiple neural and classical baselines, but benchmarks these only on the CVRP. The most related recent work is Jumanji [\(Bonnet et al.,](#page-10-1) [2024\)](#page-10-1), which provides a variety of CO environments written in JAX [\(Bradbury et al.,](#page-10-6) [2018\)](#page-10-6) that can be hardware-accelerated alongside an actor-critic baseline. While Jumanji is an RL environment suite, RL4CO is a full-stack library that integrates environments, policies, and RL algorithms under a unified framework. As such, baselines in RL4CO are modular and applicable to all suitable CO problems, whereas in Jumanji, policies are tailored to a specific environment.

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## <span id="page-2-0"></span>3 RL4CO: TAXONOMY

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**129 130** We describe the RL4CO taxonomy, categorizing components into *Environments, Policies,* and *RL Algorithms*. Then, we translate the taxonomy to implementation in [§ 4.](#page-3-0)

**131 132 133 134 135 136 137 138 139 140 Environments.** Given a CO problem instance  $x$ , we formulate the solution-generating procedure as a Markov Decision Process (MDP) characterized by a tuple  $(S, \mathcal{A}, \mathcal{T}, \mathcal{R}, \gamma)$  as follows. State S is the space of states that represent the given problem  $x$  and the current partial solution being updated in the MDP. Action  $A$  is the action space, which includes all feasible actions  $a_t$  that can be taken at each step t. State Transition T is the deterministic state transition function  $s_{t+1} = \mathcal{T}(s_t, a_t)$  that updates a state  $s_t$  to the next state  $s_{t+1}$ . **Reward**  $\mathcal{R}$  is the reward function  $\mathcal{R}(s_t, a_t)$  representing the immediate reward received after taking action  $a_t$  in state  $s_t$ . Finally,  $\gamma \in [0,1]$  is a discount factor that determines the importance of future rewards. Since the state transition is deterministic, we represent the solution for a problem x as a sequence of T actions  $a = (a_0, \ldots, a_{T-1})$ . Then, the total return  $\sum_{t=0}^{T-1} R(s_t, a_t)$  translates to the negative cost function of the CO problem.

**141 142** Policies. The policies can be categorized into constructive policies, which generate a solution from scratch, and improvement policies, which refine an existing solution.

**143 144 145 146** *Constructive policies.* A policy  $\pi$  is used to construct a solution from scratch for a given problem instance x. It can be further categorized into autoregressive (AR) and non-autoregressive (NAR) policies. An AR policy is composed of an encoder f that maps the instance  $x$  into an embedding space  $h = f(x)$  and a decoder g that iteratively determines a sequence of actions a as follows:

$$
a_t \sim g(a_t|a_{t-1},...,a_0,s_t,\boldsymbol{h}), \quad \pi(\boldsymbol{a}|\boldsymbol{x}) \triangleq \prod_{t=1}^{T-1} g(a_t|a_{t-1},...,a_0,s_t,\boldsymbol{h}). \tag{1}
$$

**150 151 152 153 154 155 156 157** A NAR policy encodes a problem x into a heuristic  $\mathcal{H} = f(x) \in \mathbb{R}^N_+$ , where N is the number of possible assignments across all decision variables. Each number in  $H$  represents an (unnormalized) probability of a particular assignment. To obtain a solution  $\alpha$  from  $H$ , one can sample a sequence of assignments from  $H$  while dynamically masking infeasible assignments to meet problem-specific constraints. It can also guide a search process, e.g., Ant Colony Optimization [\(Dorigo and Stützle,](#page-10-7) [2019;](#page-10-7) [Ye et al.,](#page-16-5) [2023;](#page-16-5) [Kim et al.,](#page-12-5) [2024\)](#page-12-5), or be incorporated into hybrid frameworks [\(Ye et al.,](#page-16-6) [2024b\)](#page-16-6). Here, the heuristic helps identify promising transitions and improve the efficiency of finding an optimal or near-optimal solution.

**158 159 160** *Improvement policies.* A policy can be used for improving an initial solution  $a^0 = (a_0^0, \ldots, a_{T-1}^0)$ into another one potentially with higher quality, which can be formulated as follows:

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$$
\mathbf{a}^{k} \sim g(\mathbf{a}^{0}, \mathbf{h}), \quad \pi(\mathbf{a}^{K}|\mathbf{a}^{0}, \mathbf{x}) \triangleq \prod_{k=1}^{K} g(\mathbf{a}^{k}|\mathbf{a}^{k-1}, ..., \mathbf{a}^{0}, \mathbf{h}),
$$
 (2)



Figure 1: Overview of different types of policies and their modularization in RL4CO.

where  $a^k$  is the k-th updated solution and K is the budget in terms of the number of improvements. This process allows continuous refinement over time to improve the quality of the solution.

**174 175 RL Algorithms.** The RL objective is to learn a policy  $\pi$  that maximizes the expected cumulative reward (or equivalently minimizes the cost) over the distribution of problem instances:

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$$
\theta^* = \underset{\theta}{\operatorname{argmax}} \mathbb{E}_{\mathbf{x} \sim P(\mathbf{x})} \left[ \mathbb{E}_{\pi(\mathbf{a}|\mathbf{x})} \left[ \sum_{t=0}^{T-1} \gamma^t \mathcal{R}(s_t, a_t) \right] \right], \tag{3}
$$

**179 180 181 182 183 184 185** where  $\theta$  is the set of parameters of  $\pi$  and  $P(x)$  is the distribution of problem instances. [Eq. \(3\)](#page-3-1) can be solved using algorithms such as variations of REINFORCE [\(Sutton et al.,](#page-15-4) [1999\)](#page-15-4), Advantage Actor-Critic (A2C) methods [\(Konda and Tsitsiklis,](#page-12-6) [1999\)](#page-12-6), or Proximal Policy Optimization (PPO) [\(Schulman et al.,](#page-15-3) [2017\)](#page-15-3). These algorithms are employed to train the policy network  $\pi$ , by transforming the maximization problem in [Eq. \(3\)](#page-3-1) into a minimization problem involving a loss function, which is then optimized using gradient descent algorithms. For instance, the REINFORCE loss function gradient is given by:

$$
\nabla_{\theta} \mathcal{L}_a(\theta | \mathbf{x}) = \mathbb{E}_{\pi(\mathbf{a}|\mathbf{x})} \left[ (R(\mathbf{a}, \mathbf{x}) - b(\mathbf{x})) \nabla_{\theta} \log \pi(\mathbf{a}|\mathbf{x}) \right], \tag{4}
$$

**186 187 188 189 190 191** where  $b(\cdot)$  is a baseline function used to stabilize training and reduce gradient variance. We also distinguish between two types of RL (pre)training: 1) *inductive* and 2) *transductive* RL. In inductive RL, the focus is on learning patterns from the training dataset to generalize to new instances, thus amortizing the inference procedure. Conversely, transductive RL (or test-time optimization) optimizes parameters during testing on target instances. Typically, a policy  $\pi$  is trained using inductive RL, followed by transductive RL for test-time optimization.

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## <span id="page-3-0"></span>4 RL4CO: LIBRARY STRUCTURE

**195 196 197 198 199 200** RL4CO is a unified reinforcement learning (RL) for combinatorial optimization (CO) library that aims to provide a *modular*, *flexible*, and *unified* code base for training and evaluating RL for CO methods with extensive benchmarking capabilities on various settings. As shown in [Fig. 2,](#page-3-2) RL4CO decouples the major components of an RL pipeline, prioritizing their reusability in the implementa-tion. Following also the taxonomy of [§ 3,](#page-2-0) the main components are: [\(§ 4.1\)](#page-3-3) Environments, [\(§ 4.2\)](#page-4-0) Policies,  $(\S$  4.3) RL algorithms,  $(\S$  4.4) Utilities, and  $(\S$  4.5) Baselines Zoo.

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Figure 2: Overview of the RL4CO pipeline: from configurations to training a policy.

### <span id="page-3-3"></span>**211 212** 4.1 ENVIRONMENTS

**213 214 215** Environment Interface. Environments in RL4CO fully specify the CO problems and their logic in a stateless manner. That is, all static and dynamic information about the environment like the current state  $s_t$ , actions  $a_t$ , and rewards  $r_t$  are passed to and retrieved from the environment's reset and step functions through a TensorDict [\(Moens,](#page-14-2) [2023\)](#page-14-2). This not only enables modular in**216 217 218 219 220 221** teractions between environments and policies, but also allows for seamless integration with various components without the need for environment-specific adaptations. Further, a key advantage compared to other libraries is that environments in RL4CO can take batches of instances and process them in parallel on a GPU. Instances  $x$  are provided through a modular generator to the environment, and different generators can be used to generate different data distributions, facilitating the generalization of trained policies.

**222 223 224 225 226 227 228** RL4CO's environments are based on the RL4COEnvBase class that extends the EnvBase of TorchRL [\(Bou et al.,](#page-10-2) [2024\)](#page-10-2) with additional features and efficiency improvements. For instance, RL4CO's step method brings a decrease of up to 50% in latency and halves the memory impact by keeping only required transitions in the stateless TensorDict. Additionally, our environment API contains several functions, such as render and check\_solution\_validity, helping to analyze generated solutions, select\_start\_nodes for multi-start methods like POMO [\(Kwon](#page-12-2) [et al.,](#page-12-2) [2020\)](#page-12-2) and local\_search for iterative solution improvement.

**229 230 231 232 233 234 235 236 237 238 239 240** Problems. We include benchmarking for 27 environments divided into four areas. 1) *Routing*: Traveling Salesman Problem (TSP) [\(Lawler et al.,](#page-12-7) [1986\)](#page-12-7), Capacitated Vehicle Routing Problem (CVRP) [\(Bodin,](#page-9-4) [1983\)](#page-9-4), Orienteering Problem (OP) [\(Laporte and Martello,](#page-12-8) [1990;](#page-12-8) [Chao et al.,](#page-10-8) [1996\)](#page-10-8), Prize Collecting TSP (PCTSP) [\(Balas,](#page-9-5) [1989\)](#page-9-5), Pickup and Delivery Problem (PDP) [\(Kalantari et al.,](#page-12-9) [1985;](#page-12-9) [Savelsbergh and Sol,](#page-15-5) [1995\)](#page-15-5) and Multi-Task VRP (MTVRP), which includes 16 problem variants, namely the basic VRPTW, OVRP, VRPB, VRPL and VRPs with the respective constraint combinations [\(Liu et al.,](#page-13-4) [2024a;](#page-13-4) [Zhou et al.,](#page-17-2) [2024;](#page-17-2) [Berto et al.,](#page-9-6) [2024\)](#page-9-6); 2) *Scheduling*: Flexible Job Shop Scheduling Problem (FJSSP) [\(Brandimarte,](#page-10-9) [1993\)](#page-10-9), Job Shop Scheduling Problem (JSSP) [\(Rand,](#page-15-6) [1982\)](#page-15-6) and Flexible Flow Shop Problem (FFSP); 3) *Electronic Design Automation*: multiple Decap Placement Problem (mDPP) [\(Kim et al.,](#page-12-1) [2023\)](#page-12-1); 4) *Graph*: Facility Location Problem (FLP) [\(Drezner and Hamacher,](#page-10-10) [2004\)](#page-10-10) and Max Cover Problem (MCP) [\(Khuller et al.,](#page-12-10) [1999\)](#page-12-10). A detailed description of the environment implementations for these problems can be found in [Appendix B.](#page-21-0)

<span id="page-4-0"></span>4.2 POLICIES

**243 244 245 246 247 248 249 250 251 252 253 254 255 256** Policies in RL4CO are subclasses of PyTorch's nn.Module and contain the encoding-decoding logic and neural network parameters  $\theta$ . Drawing on our taxonomy in [§ 3,](#page-2-0) RL4CO provides different metaclasses like AutoregressivePolicy, NonAutoregressivePolicy, or ImprovementPolicy that the different policies in the RL4CO "zoo" can inherit from. RL4CO modularize components to process environment specific features into the embedding space via parametrized functions. First, *node embeddings*  $\phi_n : \mathbb{R}^{N \times m_n} \to \mathbb{R}^{N \times h}$  transform  $m_n$  raw features for the N nodes of problem  $x$  from the feature space to the embedding space  $h$ . Further, *edge embeddings*  $\phi_e : \mathbb{R}^{E \times n_e} \to \mathbb{R}^{E \times h}$  transform  $m_e$  edge features of instance x from the feature space to the embedding space h, where E is the number of edges. Lastly, *context embeddings*  $\phi_c : \mathbb{R}^{m_c} \to \mathbb{R}^h$  capture contextual information not related to a specific node or edge by transforming  $m_c$  context features from the current decoding step  $s_t$  from the feature space to the embedding space  $h$ . Overall, [Fig. 3](#page-5-1) illustrates a generic constructive AR policy in RL4CO, where the feature embeddings are applied similarly to other types of policies. Feature projections can be automatically selected by RL4CO at runtime by simply passing the environment to the policy. Additionally, we allow for granular control of any higher-level policy component , such as encoders and decoders.

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## <span id="page-4-1"></span>4.3 RL ALGORITHMS

**260 261 262 263 264 265 266 267 268 269** RL algorithms in RL4CO are used to learn the parameters  $\theta$  of the Policy by interacting with the Environment and its problem instances. The parent class of algorithms is the RL4COLitModule, inheriting from PyTorch Lightning's pl.LightningModule [\(Falcon and](#page-10-3) [The PyTorch Lightning team,](#page-10-3) [2019\)](#page-10-3). This allows for granular support of various methods including the [train, val, test]\_step, automatic logging with several logging services such as Wandb via log\_metrics, automatic optimizer configuration via configure\_optimizers and several useful callbacks for RL methods such as on\_train\_epoch\_end. RL algorithms are additionally attached to an RL4COTrainer, a wrapper we made with additional optimizations around  $p_1$ . Trainer. This module seamlessly supports features of modern training pipelines, including logging, checkpoint management, mixed-precision training, various hardware acceleration supports (e.g., CPU, GPU, TPU, and Apple Silicon), and multi-device distribution [\(Li et al.,](#page-13-5) [2020b\)](#page-13-5).

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Figure 3: Overview of modularized RL4CO policies. Any component such as the encoder/decoder structure and feature embeddings can be replaced and thus the model is adaptable to various new environments.

For instance, using mixed-precision training significantly decreases training time without sacrificing much convergence and enables us to leverage recent routines, e.g., FlashAttention [\(Dao et al.,](#page-10-11) [2022;](#page-10-11) [Dao,](#page-10-12) [2023\)](#page-10-12), which we investigate in [Appendix E.7.2.](#page-66-0)

<span id="page-5-0"></span>4.4 UTILITIES

**289 290 291 292 293** Configuration Management. Optional, but useful, RL4CO utilizes Hydra [\(Yadan,](#page-16-1) [2019\)](#page-16-1), a framework that enables hierarchical configuration management. This not only makes it easy to define complex configurations and manage lots of experiments with different (hyperparameter) settings, but also facilitates reproducability of experiments by freezing experimental setups in configuration files. We outline the process of configuration management with Hydra in [Appendix D.3.1.](#page-41-0)

**294 295 296 297 298 299 300 301 302 303 304 305** Decoding Schemes. Decoding schemes define the logic of translating from the model's unnormalized log-probabilities to actions. Specifically, they handle the transformation from the unnormalized log-probabilities to probabilities  $P(A)$  by masking infeasible actions and optionally applying tanh clipping [\(Bello et al.,](#page-9-3) [2017\)](#page-9-3) prior to softmax normalization. Subsequently, different decoding strategies can be employed to determine the action based on the probability distribution: 1) *Greedy*, which selects the action with the highest probability; 2) *Sampling*, which samples from the masked probability distribution of the policy, where different sampling strategies like softmax temperature scaling  $\tau$ , top-k sampling [\(Kool et al.,](#page-12-11) [2019b\)](#page-12-11), and top-p (or Nucleus) sampling [\(Holtzman et al.,](#page-11-3) [2019\)](#page-11-3) can be used; 3) *Multistart*, which enforces diverse starting actions as demonstrated in POMO [\(Kwon](#page-12-2) [et al.,](#page-12-2) [2020\)](#page-12-2), such as starting from different cities in the TSP; 4) *Augmentation*, which applies transformations to instances, such as random rotations in Euclidean problems [\(Kim et al.,](#page-12-12) [2022\)](#page-12-12), to create an augmented set of problems. We describe these strategies in detail in [Appendix D.4.](#page-44-0)

**306 307 308 309 310 Documentation, Tutorials, and Testing.** We release extensive documentation<sup>[2](#page-5-2)</sup> to make RL4CO as accessible as possible for both newcomers and experts. Furthermore, several tutorials and examples are also available under the  $\exp$ les/ folder of our publicly available code<sup>[3](#page-5-3)</sup>. We thoroughly test our library via continuous integration on multiple Python versions and operating systems. The following code snippet shows minimalistic code that can train a model in a few lines:

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       from rl4co.envs.routing import TSPEnv, TSPGenerator
       from rl4co.models import AttentionModelPolicy, POMO
       from rl4co.utils import RL4COTrainer
       # Instantiate generator and environment
       generator = TSPGenerator(num_loc=50, loc_distribution="uniform")
       env = TSPEnv(generator)
       # Create policy and RL model
       policy = AttentionModelPolicy(env_name=env.name, num_encoder_layers=6)
       model = POMO(env, policy, batch_size=64)
       # Instantiate Trainer and fit
       trainer = RL4COTrainer(epochs=10, accelerator="gpu", precision="fp16")
       trainer.fit(model)
```
**322 323**

<span id="page-5-3"></span><span id="page-5-2"></span><sup>2</sup><https://anonymous.4open.science/w/rl4co-submission/> <sup>3</sup><https://anonymous.4open.science/r/rl4co-submission/>

### <span id="page-6-0"></span>**324 325** 4.5 BASELINES ZOO

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**326 328** RL4CO entails a collection of 23 neural solvers for CO from the literature, which are implemented in a modular and flexible way using our policy metaclasses introduced in  $\S$  4.2. We organize these baselines into five categories: (non-)autoregressive constructive methods, improvement strategies, general-purpose RL algorithms and transductive RL methods. [Table 2](#page-6-1) lists all baselines currently available in RL4CO and we refer the reader to [Appendix C](#page-30-0) for further implementation details.



<span id="page-6-1"></span>

## 5 BENCHMARKING STUDY

We perform several benchmarking studies with our unified RL4CO library, with experimental setup and benchmarking details in [Appendix D.](#page-39-0) Due to the extent of our benchmark, we report highlights of the results in the following section and refer the reader to [Appendix E](#page-46-0) for additional experiments.

5.1 FLEXIBILITY AND MODULARITY

**352 353 354 355** Changing policy components. The integration of many state-of-the-art methods in RL4CO from the NCO field in a modular framework makes it easy to implement and improve upon state-of-the-art neural solvers for complex CO problems with only a few lines of code.<sup>[4](#page-6-2)</sup> We demonstrate this in [Table 3](#page-6-3) for the

**356 357 358 359 360 361 362 363 364 365 366 367** FJSSP by gradually replacing or adding el-ements to the original SotA policy [\(Song](#page-15-7) d [et al.,](#page-15-7) [2022\)](#page-15-7). First, replacing the HGNN encoder with the more expressive MatNet encoder [\(Kwon et al.,](#page-12-13) [2021\)](#page-12-13) already improves the average makespan by around 7%. Further, replacing the MLP decoder with the pointer mechanism from the AM model [\(Kool et al.,](#page-12-0) [2019a\)](#page-12-0) reduces the optimality gap to roughly one-third of that observed in the policy proposed by [Song](#page-15-7) [et al.](#page-15-7) [\(2022\)](#page-15-7), even when using a greedy decoding strategy.

<span id="page-6-3"></span>



## 5.2 CONSTRUCTIVE POLICIES

**371 372 373 374 375** Mind Your Baseline. In on-policy RL, which is often employed in RL4CO due to fast reward function evaluations, several different REINFORCE baselines have been proposed to improve the performance. We benchmark several RL algorithms training constructive policies for routing problems of node size 50, whose underlying architecture is based on the encoder-decoder Attention Model [\(Kool et al.,](#page-12-0) [2019a\)](#page-12-0) and whose main difference lies in how the REINFORCE baseline is calculated (we additionally train the AM with PPO as further reference). For a fair comparison,

**<sup>376</sup> 377**

<span id="page-6-2"></span><sup>&</sup>lt;sup>4</sup>The different model configurations shown here can be obtained by simply changing the Hydra configuration file like the one shown in [Appendix D.](#page-39-0)

• Augmentation: selects the best greedy solutions from randomly augmented problems (e.g.,

**378 379 380 381 382 383 384** we run all baselines in controlled settings with the same number of optimization steps and report results in [Table 4.](#page-7-0) The performance of A2C is generally inferior to other baselines. This can be exresults in Table 4. The performance of A2C is generally inferior to other baselines. This can be ex-<br><sup>380</sup> plained by the inherent challenge of estimating the value of a problem instance x based on the sparse reward, which is only observed after solving an entire instance in routing problems. We found sim-<br>ilar trends regarding actor-critic methods as A2C and PPO in the EDA mDPP problem (Kim et al. ilar trends regarding actor-critic methods as A2C and PPO in the EDA mDPP problem [\(Kim et al.,](#page-12-1) [2023\)](#page-12-1), which we report in [Appendix E.4.](#page-56-0) Namely, a greedy rollout baseline [\(Kool et al.,](#page-12-0) [2019a\)](#page-12-0)  $\frac{2025}{100}$ , which we report in Appendix E., Namely, a greedy follow baseline (Noor et al., 2012a) random rotation and flipping) during evaluation.

<span id="page-7-0"></span>Table 4: Optimality gaps obtained via greedy decoding.



Interestingly, while POMO [\(Kwon et al.,](#page-12-2) [2020\)](#page-12-2), which takes as a baseline the average reward<br>over all routes forcing each starting node to be over all routes forcing each starting node to be different, may work well as baselines for problems in which near-optimal solutions can be lems in which near-optimal solutions can be<br>constructed from any node (e.g., TSP), this may not be true for other problems such as the Ori-<br>entering Problem  $(OP)$ : the reason is that in enteering Problem (OP): the reason is that in  $OP$  only a *subset* of nodes should be selected in  $\frac{388}{280}$  Method TSP CVRP OP PCTSP PDP over all routes forcing each starting node to be different may work well as baselines for prob-

• Multistart Greedy: inspired by POMO, decodes from the first given nodes and considers the

 $\frac{394}{295}$  an optimal solution, while several states will be discarded. Hence, forcing the policy to select all of an opumal solution, while several states will be discarded. Hence, forcing the policy to select all of<br>them makes up for a poor baseline. We remark that while SymNCO (whose shared baseline involves them makes up for a poor baseline. We remark that while SymNCO (whose shared baseline involves<br>396 symmetric rotations and flips) (Kim et al., 2022) may perform well in Euclidean problems, it is not<br>397 applicable in nonapplicable in non-Euclidean CO, including asymmetric routing problems and scheduling.<br>398<br>**Decoding Schemes.** The solution quality of different solvers often shows signif-

nd the benchmarked solution of the benchmarked solvers often shows significant solvers often shows significant. icant improvements in performance with different decoding schemes. We evaluate the pre-trained solver with different strategies and settings as shown in [Fig. 4.](#page-7-1)

**402** SymNCO POMO **403 404 405 406 407 408 409 410 411 412 413 414 415**  $100$   $100$   $100$   $100$   $100$   $100$   $100$   $100$   $100$   $100$   $100$  $\left\vert \begin{array}{cc} \n\frac{1}{2} & \text{real} \end{array} \right\vert$ 412 icantly enhances generalization per-411 that training on different tasks signif-2 respectively). Empirical results on ant tasks and instance distributions employing supported environments 5 can easily evaluate the generalization s<br>00<br>an Generalization. Using RL4CO, we performance of existing baselines by that incorporate various VRP vari-(termed MTPOMO and MDPOMO, CVRPLib, shown in [Table 5,](#page-7-2) reveal formance. This finding underscores the necessity of building foundation models across diverse CO domains.

<span id="page-7-1"></span>

 $\frac{415}{1200}$  models across diverse CO domains. [Left]: Pareto front of decoding schemes by the number of sam-<br>[Left]: Pareto front of decoding schemes by the number of sam-[Left]: Pareto front of decoding schemes by the number of sam-<br>ples; [Right]: performance of sampling with different temperatures es for top-p sampling.<br>So solve new problems is not straightforward due to the coupling between environmental between  $\epsilon$ . Figure 4: Study of decoding schemes using POMO on CVRP50.  $\tau$  and p values for top-p sampling.

**416 417** Large-Scale Instances. We evaluate large-scale CVRP instances of thou-

**418 419 420 421** <sup>419</sup> illustrates the performance of the hybrid NAR/AR GLOP (Ye et al., 2024b), while others refer to reproduced results from Ye et al.  $(2024b)$ . Our implementation in RL4CO improves the performance  $P_{421}$  in not only speed but also solution quality.<br> $P_{422}$  and  $P_{423}$  is not straightforward due to the coupling between environmental coupling between environmental coupling between environmental coupling and coupl produced results from <u>Ye et al. (2024b</u>). Our implementation in RL4CO improves the performance<br>in not only speed but also solution quality.  $P_{A21}$  in not only speed but also solution quality. sands of nodes, with more visualizations and scaling in [Appendix E.1.6.](#page-51-0) The last row of [Table 6](#page-7-2) in not only speed but also solution quality.

<span id="page-7-2"></span>







**389 390 391**

random rotation and flipping) during evaluation.

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### **432** 5.3 COMBINING CONSTRUCTION AND IMPROVEMENT: BEST OF BOTH WORLDS?

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While constructive policies can build solutions in seconds, their performance is often limited, even with advanced decoding schemes such as sampling or augmentations. On the other hand, improvement methods are more suitable for larger computing budgets.

**437 438 439 440 441 442 443 444 445 446 447 448 449 450 451** We benchmark models on TSP with 50 nodes: the AR constructive method POMO [\(Kwon et al.,](#page-12-2) [2020\)](#page-12-2) and the improvement methods DACT [\(Ma et al.,](#page-13-7) [2021\)](#page-13-7) and NeuOpt [\(Ma et al.,](#page-14-4) [2024\)](#page-14-4). In the original implementation, DACT and NeuOpt started from a solution constructed randomly. To further demonstrate the flexibility of RL4CO, we show that bootstrapping improvement methods with constructive ones enhance convergence speed. [Fig. 5](#page-8-0) shows that bootstrapping with a pre-trained POMO policy significantly enhances the convergence speed. To further investigate the performance, we report the Primal Integral (PI) [\(Berthold,](#page-9-7) [2013;](#page-9-7) [Vidal,](#page-16-7) [2022;](#page-16-7) [Thyssens et al.,](#page-15-0) [2023\)](#page-15-0), which evaluates the evolution of solution quality over time. Improvement methods alone, such as DACT and NeuOpt, achieve 2.99 and

<span id="page-8-0"></span>

Figure 5: Bootstrapping improvement with constructive methods.

**452 453 454 455** 2.26 respectively, while sampling from POMO achieves 0.08. This shows that the "area under the curve" can be better even if the final solution is worse for constructive methods. Bootstrapping with POMO then improves DACT and NeuOpt to 0.08 and 0.04 respectively, showing the benefits of modularity and hybridization of different components.

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## 6 DISCUSSION

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**459 460 461 462 463 464 465 466** Limitations and Future Directions While RL4CO is an efficient and modular library specialized in CO problems, it might not be suitable for any other task due to a number of area-specific optimizations, and we do not expect it to seamlessly integrate with, for instance, OpenAI Gym wrappers without some modifications. Another limitation of the library is its scope so far, namely RL. Eventually, creating a new library to support supervised methods as a comprehensive "AI4CO" codebase could benefit the whole NCO community. We additionally identify in Foundation Models for CO and related scalable architectures a promising area of future research to overcome generalization issues across tasks and distributions, for which we provided some early clues in [Appendix E.8.](#page-67-0)

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**468 469 470 471 472 473 474** Long-term Plans RL4CO is an active library that has already garnered much attention from the community, with over 400 stars on GitHub. We thank contributors in the community who have helped us build RL4CO. Our long-term plan is to become the go-to RL for CO benchmark library. For this purpose, we created a community Slack workspace (link available upon acceptance) that has attracted more than 200 researchers. We are committed to helping resolve issues and questions from the community and actively engaged in discussion. It is our hope that our work will ultimately benefit the NCO field with new ideas and collaborations. More available in [Appendix A.](#page-20-0)

- **475**
- 7 CONCLUSION

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**478 479 480 481 482 483 484 485** This paper introduces RL4CO, a modular, flexible, and unified Reinforcement Learning (RL) for Combinatorial Optimization (CO) benchmark. We provide a comprehensive taxonomy from environments to policies and RL algorithms that translate from theory to practice to software level. Our benchmark library aims to fill the gap in unifying implementations in RL for CO by utilizing several best practices with the goal of providing researchers and practitioners with a flexible starting point for NCO research. We provide several experimental results with insights and discussions that can help identify promising research directions. We hope that our open-source library will provide a solid starting point for NCO researchers to explore new avenues and drive advancements. We warmly welcome researchers and practitioners to actively participate and contribute to RL4CO.



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# RL4CO: AN EXTENSIVE REINFORCEMENT LEARNING FOR COMBINATORIAL OPTIMIZATION BENCHMARK

*Supplementary Material*

## Table of Contents





### <span id="page-20-0"></span> A RL4CO: VISION AND SOFTWARE

### <span id="page-20-1"></span> A.1 WHY CHOOSING THE RL4CO LIBRARY?

 RL4CO is a *unified* and *extensive* benchmark for the RL-for-CO research domain, designed to be accessible and valuable to researchers and practitioners across all levels of expertise.



<span id="page-20-2"></span> <sup>6</sup>Community Github link available upon acceptance

<span id="page-20-5"></span><span id="page-20-4"></span><span id="page-20-3"></span><https://github.com/ashleve/lightning-hydra-template>

**1134 1135 1136 1137** rapid prototyping and experimentation, which are essential in research settings. Moreover, most of the existing research in NCO has been implemented. It is currently being implemented using PyTorch, making it not only easier to build upon and compare with previous work but also easier for newcomers and experienced researchers.

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**1139 1140 1141 1142 1143 1144 1145 1146 1147 1148 1149** TorchRL and TensorDict One of the software hindrances in RL is the bottleneck between CPU and GPU communication, majorly due to CPU-based operating environments. For this reason, we did not opt for OpenAI Gym [\(Brockman et al.,](#page-10-5) [2016\)](#page-10-5) since, although it includes some level of parallelization, this does not happen on GPU and would thus greatly hinder performance. [Kool et al.](#page-12-0) [\(2019a\)](#page-12-0) creates *ad-hoc* environments in PyTorch to handle batched data efficiently. However, it could be cumbersome to integrate into standardized routines that include step and reset functions. As we searched for a better alternative, we found that TorchRL library [\(Bou et al.,](#page-10-2) [2024\)](#page-10-2), an official PyTorch project that allows for efficient batched implementations on (multiple) GPUs as well as functions akin to OpenAI Gym. We also employ the TensorDict [\(Bou et al.,](#page-10-2) [2024\)](#page-10-2) to handle tensors efficiently on multiple keys (i.e. in CVRP, we can directly operate transforms on multiple keys as locations, capacities, and more). This makes our environments compatible with the models in TorchRL, which we believe could further spread interest in the CO area.

**1151 1152 1153 1154 1155 1156 1157 PyTorch Lightning** PyTorch Lightning [\(Falcon and The PyTorch Lightning team,](#page-10-3) [2019\)](#page-10-3) is a useful tool for abstracting away the boilerplate code, allowing researchers and practitioners to focus more on the core ideas and innovations. It features a standardized training loop and an extensive set of pre-built components, including automated checkpointing, distributed training, and logging. PyTorch Lightning accelerates development time and facilitates scalability. We employ PyTorch Lightning in RL4CO to integrate with the PyTorch ecosystem - which includes TorchRL- enabling us to leverage the rich set of tools and libraries available.

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**1159 1160 1161 1162 1163 1164 1165** Hydra Hydra [\(Yadan,](#page-16-1) [2019\)](#page-16-1) is a powerful open-source framework for managing complex configurations in machine-learning models and other software. Hydra facilitates creating hierarchical configurations, making it easy to manage even very large and intricate configurations. Moreover, it integrates with command-line interfaces, allowing the execution of different configurations directly from the command line, thereby enhancing reproducibility. We found Hydra to be effective when dealing with multiple experiments since configurations are saved both locally, as yaml files, and can be uploaded to monitoring software as Wandb  $\frac{8}{3}$  $\frac{8}{3}$  $\frac{8}{3}$  (or to any of the monitoring software supported by PyTorch Lightning).

**1167** A.3 LICENSES

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<span id="page-21-3"></span><span id="page-21-1"></span>Table 7: Reference code licenses and links. Type | Asset Link Library PyTorch [Paszke et al.](#page-14-7) [\(2019\)](#page-14-7) BSD-3 License [link](https://github.com/pytorch/pytorch)<br>PyTorch Lightning Falcon and The PyTorch Lightning team (2019) Apache-2.0 License link PyTorch Lightning [Falcon and The PyTorch Lightning team](#page-10-3) [\(2019\)](#page-10-3) Apache-2.0 License [link](https://github.com/Lightning-AI/pytorch-lightning) TorchRL+TensorDict [Bou et al.](#page-10-2) [\(2024\)](#page-10-2)<br>Hydra Yadan (2019) MIT License Hydra [Yadan](#page-16-1) [\(2019\)](#page-16-1) Dataset TSPLIB [Reinelt](#page-15-8) [\(1991\)](#page-15-8) **Available for any non-commercial use** [link](https://github.com/rhgrant10/tsplib95)<br>CVRPLib Lima et al. (2014) **Available for any non-commercial use** link Available for any non-commercial use [link](http://vrp.galgos.inf.puc-rio.br/index.php/en/)<br>Apache-2.0 link DPP PDNs [\(Park et al.,](#page-14-9) [2023a\)](#page-14-9) Solver PyVRP [Wouda et al.](#page-16-8) [\(2024\)](#page-16-8) MIT [link](https://github.com/PyVRP/PyVRP)<br>LKH3 Helsgaun (2017) Available for any non-commercial use link Available for any non-commercial use [link](http://webhotel4.ruc.dk/~keld/research/LKH-3/)<br>Apache 2.0 License link OR-Tools [Perron and Furnon](#page-14-10) [\(2023\)](#page-14-10)

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We summarize the license of software that we employ in RL4CO in a non-exhaustive list in [Table 7.](#page-21-3) Original environments and models from the authors are acknowledged through their respective citations, with several links available in the library. RL4CO is licensed under the MIT license.

### <span id="page-21-0"></span>**1183 1184** B ENVIRONMENTS

**1185 1186** This section provides an overview of the list of environments we experimented with at the time of writing. We organize environments by categories, which, at the time of writing, are:

<span id="page-21-2"></span><sup>8</sup><https://wandb.ai/>

- 1. [Routing](#page-22-0) [\(B.1\)](#page-22-0)
- 2. [Scheduling](#page-26-0) [\(B.2\)](#page-26-0)
	- 3. [Electronic Design Automation](#page-27-1) [\(B.3\)](#page-27-1)
- 4. [Graph](#page-28-1) [\(B.4\)](#page-28-1)

### <span id="page-22-0"></span> B.1 ROUTING

 Routing problems are perhaps the most known class of CO problems. They are problems of great practical importance, not only for logistics, where they are more commonly framed, but also for industry, engineering, science, and medicine. The typical objective of routing problems is to minimize the total length of the paths needed to visit some (or all) the nodes in a graph. In the following section, we present each of these variants with details of their implementations.

 Common instance generation details Following the standard protocol of NCO for routing, we randomly sample node coordinates from the 2D unit square (i.e.,  $[0, 1]^2$ ). To ensure reproducibility in our experiments, we use specific random seeds for generating validation and testing instances. For the 10,000 validation instances, we use a random seed of 4321. For the 10,000 testing instances, we use a random seed of 1234. All protocols, including seed selection, align with the practices outlined by [Kool et al.](#page-12-0) [\(2019a\)](#page-12-0).

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- <span id="page-22-1"></span> B.1.1 TRAVELING SALESMAN PROBLEM (TSP)

 The Traveling Salesman Problem (TSP) is a fundamental routing problem that aims to find the Hamiltonian cycle of minimum length. While the original TSP formulation employs mixed-integer linear programming (MILP), in the NCO community, the solution-finding process of TSP is differently formulated for constructive and improvement methods. For constructive methods, the TSP solution is generated by autoregressive solution decoding (i.e., the construction process) in line with [Kool et al.](#page-12-0) [\(2019a\)](#page-12-0). In each step of node selection, we preclude the selection of nodes already picked in previous rounds. This procedure ensures the feasibility of constructed solutions and also allows for the potential construction of an optimal solution for any TSP instance. For improvement methods, it starts with an initial solution and iteratively searches for an optimal one using local search. In each step, the solution is locally adjusted based on a specified local search operator. We support two representative operators for TSP variants, including the 2-opt in line with [Ma et al.](#page-13-7) [\(2021\)](#page-13-7) and the flexible k-opt in line with  $Ma$  et al. [\(2024\)](#page-14-4). The former selects two nodes in the current solution and reverses the solution segment between them to perform a 2-opt exchange. The latter selects  $k$ nodes so that a k-opt is performed. Both methods ensure the feasibility of the solutions by masking invalid actions. The best solution after a set number of iterations is the final output.

 

 

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#### 250 500 750 1000 1250 1500 1750 Cost: 7542  $^{\circ}$  1 Optimal (or BKS) 250 500 750 1000 1250 1500 1750 Cost: 7897  $0 +$  AM 50 250 500 750 1000 1250 1500 1750 Cost: 7603 o 1 POMO 50 250 500 750 1000 1250 1500 1750 Cost: 7616  $^{\circ}$  1 SymNCO 50 250 500 750 1000 1250 1500 1750 Cost: 7674  $0 +$  AM-XL 50

Figure 9: Sample TSP tours on TSPLib's Berlin 52 with different autoregressive models.

### <span id="page-22-2"></span> B.1.2 CAPACITATED VEHICLE ROUTING PROBLEM (CVRP)

 The Capacitated Vehicle Routing Problem (CVRP) is a popular extension of TSP, applicable to a variety of real-world logistics/routing problems (e.g., delivery services). In CVRP, each node has its own demand, and the vehicle visiting them has a specific capacity and always leaves from a special node called "depot". The vehicle can visit new nodes while their demand fits in its residual capacity (i.e. the total capacity decreased by the sum of the demands visited in the current path). When no nodes can be added to the path, the vehicle returns to the depot, and its full capacity is restored. Then, it embarks on another tour. The process is repeated until all nodes have been visited. By

 applying a similar logic to that of the TSP environment, we can reformulate CVRP as a sequential node selection problem, taking into account demands and capacity.



Figure 10: Sample CVRP tours on CVRPLib's A-n54-k7 instance with different autoregressive models.

 Additional generation details To generate the demand, we randomly sample integers between 1 and 10. Without loss of generality, we fix the capacity of the vehicle at 1.0. Then, we normalize the demands by multiplying them by a constant that varies according to the size of the CVRP. The specific constant can be found in our implementation.

<span id="page-23-0"></span> B.1.3 ORIENTEERING PROBLEM (OP)

 The Orienteering Problem (OP) is a variant of the TSP. In the OP, each node is assigned a prize. The objective of the OP is to find a tour, starting and ending at the depot, that maximizes the total prize collected from visited nodes, while abiding by a maximum tour length constraint. The OP can be framed as a sequential decision-making problem by enforcing the "return to depot" action when no nodes are visitable due to the maximal tour length constraint.

 Additional generation details To generate the prize, we use the prize distribution proposed in [Fischetti et al.](#page-11-7) [\(1998\)](#page-11-7), particularly the distribution that allocates larger prizes to nodes further from the depot.

<span id="page-23-1"></span> B.1.4 PRIZE COLLECTING TSP (PCTSP)

 In the Prize Collecting TSP (PCTSP), each node is assigned both a prize and a penalty. The objective is to accumulate a minimum total prize while minimizing the combined length of the tour and the penalties for unvisited nodes. By making a minor adjustment to the PCTSP, it can model different subproblems that arise when using the Branch-Price-and-Cut algorithms for solving routing problems.

<span id="page-23-2"></span> B.1.5 PICKUP AND DELIVERY PROBLEM (PDP)

 The Pickup and Delivery Problem (PDP) is an extension of TSP in the literature [Helsgaun](#page-11-6) [\(2017\)](#page-11-6); [Ma et al.](#page-14-8)  $(2022)$ .<sup>[9](#page-23-3)</sup> In PDP, a pickup node has its own designated delivery node. The delivery node can be visited only when its paired pickup node has already been visited. We call this constraint *precedence constraint*. The objective of the PDP is to find a complete tour with a minimal tour length while starting from the depot node and satisfying the precedence constraints. We assume that *stacking* is allowed, meaning that the traveling agent can visit multiple pickups prior to visiting the paired deliveries. For constructive methods, the PDP solution construction is similar to that of TSP but must obey precedence constraints. For improvement methods, we consider the ruin and repair local search operator presented by  $\overline{Ma}$  et al. [\(2021\)](#page-13-7). In each step, a pair of pickup and delivery nodes are removed from the current solution and then reinserted back into the solution with potentially better positions. Invalid actions that violate precedence constraints are masked out to ensure the feasibility of PDP solutions.

 Additional generation details To generate the positions of the depot, pickups, and deliveries, we sample the node coordinates from the 2D unit square. The first  $N/2$  generated nodes are pickups, and the remaining  $N/2$  are their respective deliveries. The pickups and deliveries are paired. For a pickup node *i*, its respective delivery is  $i + N/2$  (excluding the depot index).

<span id="page-23-3"></span><sup>&</sup>lt;sup>9</sup>PDP is also called PDTSP (pickup and delivery TSP).

<span id="page-24-2"></span>

Figure 11: Different VRP attributes. Open routes (O) and duration limits (L) are *global attributes*, whereas time windows (TW), capacitated vehicles for linehaul demands (C) and backhaul demands (B) are *node attributes*. Attributes may be combined in different ways to define VRP variants.

<span id="page-24-0"></span>**1311** B.1.6 MULTI-TASK VRP (MTVRP)

**1313 1314 1315 1316 1317 1318** This environment introduces the 16 VRP variants in [Liu et al.](#page-13-4) [\(2024a\)](#page-13-4); [Zhou et al.](#page-17-2) [\(2024\)](#page-17-2) with additional enhancements, such as support for any number of variants in the same batch, as done in [Berto et al.](#page-9-6) [\(2024\)](#page-9-6). The base logic is the same as CVRP: each node has a demand, and the vehicle has a specific capacity by which it can deliver to nodes and return to the depot to replenish its capacity, with the goal of minimizing the total tour distance. We report each modular constraint definition in the following paragraphs according to [Berto et al.](#page-9-6) [\(2024\)](#page-9-6); [Wouda et al.](#page-16-8) [\(2024\)](#page-16-8). [Table 8](#page-24-1) reports the list of all variants and [Fig. 11](#page-24-2) illustrates the meaning of each MTVRP component.



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**1337 1338 1339** Table 8: The 16 VRP variants that are modeled by the MTVRP environment. All variants include the base Capacity (C). The  $k = 4$  features O, B, L, and TW can be combined into any subset, including the empty set and itself (i.e., a *power set*) with  $2^k = 16$  possible combinations.

**1340 1341 1342 1343** *(C) Demand and Vehicle Capacity*  $[q \in [0, Q]]$ : Every node *i*, except the depot, has a demand  $q_i$  that must be satisfied by the vehicle with a uniform capacity of  $Q > 0$ . The sum of the demands served by a vehicle in the same path must not exceed its capacity Q at any point along its route.

**1344 1345 1346 1347 1348** *(O) Open Routes* [ $o \in \{0, 1\}$ ]: With open routes, the distance between the last node and the depot is not counted in the total path length. This represents the scenarios where vehicles are not required to return to the depot after serving all assigned customers. Open routes are commonly found in scenarios involving third-party drivers, who are typically compensated only for the deliveries they complete, without the need to return to the depot [\(Li et al.,](#page-13-9) [2007\)](#page-13-9).

**1349** *(B) Backhauls*  $[p \in [0, Q]]$ : Backhauls extend the concept of demand to include both delivery and pickup requests, thus increasing vehicle utilization and leading to savings. Nodes are categorized as

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**1350 1351 1352 1353 1354 1355 1356** either linehaul or backhaul nodes.<sup>[10](#page-25-0)</sup> Linehaul nodes require delivery of demand  $q_i$  from the depot to the node i (similar to CVRP), while backhaul nodes require a pickup of an amount  $p_i$  to be transported from the node back to the depot. A vehicle can serve both linehaul and backhaul customers in a single route, but all linehaul customers must be served before any backhaul customers. A typical example of a backhaul problem is a laundry service for hotels that has to deliver clean towels and pick up dirty ones, in which the precedence constraint of linehaul nodes is important due to possible contamination [\(Çatay,](#page-10-13) [2009\)](#page-10-13).

**1357 1358 1359** *(L) Duration Limits*  $[l \in [0, L]]$ : Imposes a limit L on the total travel duration (or distance) of each vehicle route, ensuring a fair distribution of workload among different paths. This limit is consistently applied to all routes in the problem.

**1360 1361 1362 1363 1364 1365** *(TW) Time Windows*  $[e, s, l \in [0, T]^3]$ : Each node *i*, except for the depot, has an associated time window  $[e_i, l_i]$ , which specifies the earliest and latest times at which it can be visited. When visiting node i, the vehicle must wait for a time  $s_i$  before leaving. The vehicle must arrive at customer i before the end of its time window  $l_i$ , but if they arrive before the start of the time window  $e_i$ , they must wait at the customer's location until the time window begins before starting the service. When the vehicle returns to the depot, the time is reset to 0.

**1367** Additional generation details We introduce the data generation details as follows:

**1368 1369 1370** *Locations*: We generate  $n+1$  locations randomly with  $x_i$  and  $y_i \sim U(0,1), \forall i \in \{0,\dots,n\}$ , where  $[x_0, y_0]$  represents the depot and  $[x_i, y_i], i \in \{1, \ldots, n\}$  are the other *n* nodes.

**1371** *Capacity*: The capacity C of the vehicle is determined based on the following calculation:



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**1376 1377 1378** *Open route*: the open route is an instance-wise flag: when set to 1, the route is open, when 0 is closed. We sample the flag from a uniform distribution with the same probability of the route being open or closed.

**1379** *Linehaul and Backhaul demands*: We generate demands according to the following schema:

- 1. Generate linehaul demands  $q_i \in \{0, \ldots, Q\}$  for all nodes  $i \in \{i, \ldots, n\}$ . These are needed for both backhaul and linehaul scenarios.
- 2. Generate backhaul demands  $p_i \in \{0, \ldots, Q\}$  for all nodes  $i \in \{i, \ldots, n\}$ .
- **1384 1385** 3. For each node  $i \in \{i, \ldots, n\}$ , there is a probability of 0.2 that it is assigned a backhaul demand, otherwise, its backhaul demand is set to be 0.

**1387 1388 1389** Note that even in a backhaul setting, usually not all nodes are backhaul nodes, i.e., we need to consider both linehaul and backhaul demands in backhaul problem settings. All demands, both linehauls and backhauls, are scaled to  $[0, 1]$  through division by the vehicle capacity.

**1390 1391** *Duration limits*: Each route is assigned a fixed duration limit  $L$  with a default value of 3. We check that  $2 * d_{0i} < L$  to make sure there is a feasible route for any customer.

**1392 1393 1394** *Time Windows*: We generate the time windows for each node  $i \in \{1, \ldots, n\}$  according to the following steps:

- 1. Generate service time  $s_i \in [0.15, 0.18]$ .
- 2. Generate time window length  $t_i \in [0.18, 0.2]$ .
- <span id="page-25-0"></span>3. Calculate distance  $d_{0i}$  from node to depot.
- **1399 1400** 4. Calculate the upper bound for the start time  $h_i = \frac{t_{max}-s_i-t_i}{d_{0i}} - 1$ , where  $t_{max}$  is the maximum time with a default value of 4.6.

**<sup>1401</sup> 1402 1403** <sup>10</sup>Note that another name of this problem, as adopted in LKH3 [\(Helsgaun,](#page-11-6) [2017\)](#page-11-6), is VRP with Pickup and Deliveries (VRPPD). However, we align with PyVRP [\(Wouda et al.,](#page-16-8) [2024\)](#page-16-8) and do not use this name to prevent confusion with the *one-to-one PDP*, as we described before, where there is strict precedence between each pair of pickup and delivery.

- 5. Calculate the start time as  $e_i = (1 + (h_i 1) \cdot u_i) \cdot d_{0i}$  with  $u_i \sim U(0, 1)$ .
	- 6. Calculate the end time as  $l_i = e_i + t_i$ .

**1408 1409 1410** Classical solvers We employ the SotA HGS implementation in PyVRP [\(Wouda et al.,](#page-16-8) [2024\)](#page-16-8) and OR-Tools [\(Perron and Furnon,](#page-14-10) [2023\)](#page-14-10). We make these solvers conveniently available through the solve API of the environment.

### <span id="page-26-0"></span>**1411 1412** B.2 SCHEDULING

<span id="page-26-1"></span>**1420**

**1413 1414 1415 1416 1417 1418 1419** Scheduling problems are a fundamental class of problems in operations research and industrial engineering, where the objective is to optimize the allocation of resources over time. These problems are critical in various industries, such as manufacturing, computer science, and project management. Currently, RL4CO implements three central scheduling problems, namely the flexible flow shop (FFSP), the job shop (JSSP), and the flexible job shop problem (FJSSP). Each of these problems has unique characteristics and complexities that need to be translated into the environment classes that we will describe hereafter.

### **1421** B.2.1 JOB SHOP SCHEDULING PROBLEM (JSSP)

**1422 1423 1424 1425 1426 1427 1428** The job shop scheduling problem is a well-known combinatorial optimization problem. It is widely used in the operations research community as well as many industries, such as manufacturing and transportation. In the JSSP, a set of jobs  $J$  must be processed by a set of machines  $M$ . Each job  $J_i \in J$  consists of a set of  $n_i$  operations  $O_i = \{o_{ij}\}_{j=1}^{n_i}$  which must be processed one after another in a given order. The goal of the JSSP is to construct a valid schedule that adheres to the precedence order of the operations and minimizes the makespan, i.e., the time until the last job is finished. One example of such a schedule is shown in [Fig. 12.](#page-26-3)

<span id="page-26-3"></span>

Figure 12: Example Schedule for the JSSP

**1445 1446 1447 1448 1449** We formulate the JSSP as a sequential decision problem following the implementation of [Tassel](#page-15-9) [et al.](#page-15-9) [\(2021\)](#page-15-9). Here, the environment iterates through distinct time steps  $t = 1, \ldots, T$ . At each time step, the agent decides for each machine whether and which job to process next until all machines are busy or all jobs are being processed. In this case, the environment transitions to the next time step at which a machine becomes idle.

**1450 1451 1452 1453 Instance Generation** We follow the instance generation method described by [Zhang et al.](#page-17-0) [\(2020\)](#page-17-0), which assumes that each job has exactly one operation per machine, i.e.  $n_i = |M|$ . Further, processing times for all operations are sampled iid. from a uniform distribution, with parameters specified in [Table 9.](#page-27-3)

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<span id="page-26-2"></span>**1455 1456** B.2.2 FLEXIBLE JOB SHOP SCHEDULING PROBLEM (FJSSP)

**1457** The flexible job shop scheduling problem is very similar to the JSSP. However, while in the classical JSSP, each operation  $o_{ij} \in O$  has a specified machine and processing time  $p_{ij}$ , the flexible job shop **1458 1459 1460 1461** scheduling problem (FJSSP) relaxes this assumption by allowing each operation to be processed by multiple eligible machines  $M_k \subseteq M$ , potentially with different processing times  $p_{ijk}$  associated with the respective operation-machine pair. As a consequence, the agent does not only need to decide which job to process next, but also on which machine it should be processed.

**1463 1464 1465 1466 1467 Instance Generation** We follow the instance generation method described by [Song et al.](#page-15-7) [\(2022\)](#page-15-7), who sample  $n_i$  operations for each job  $J_i$  from a uniform distribution. Further, an average processing time  $\bar{p}_{ij}$  is drawn for each operation  $o_{ij} \in O$ , and the actual processing time per eligible operationmachine pair is subsequently sampled from  $U(0.8 \cdot \bar{p}_{ij}, 1.2 \cdot \bar{p}_{ij})$ . The parameters used for instance generation can be found in [Table 9.](#page-27-3)

Table 9: Instance generation parameters

		<b>JSSP</b>				<b>FJSSP</b>			
1472		$6 \times 6$	$10 \times 10$	$15 \times 15$	$20 \times 20$	$10 \times 5$	$20 \times 5$	$15 \times 10$	$20 \times 10$
1473	IJ	O	10	15	20	10	20	15	20
	$\boldsymbol{M}$		10	15	20			10	10
1474	$n_i$		10	15	20	U(4,6)	U(4,6)	U(8, 12)	U(8, 12)
1475	$\bar{p}_{ij}$	U(1, 99)	U(1, 99)	U(1, 99)	U(1, 99)	U(1, 20)	U(1, 20)	U(1, 20)	U(1, 20)
1476	$ M_i $					U(1, 5)	U(1, 5)	U(1, 10)	U(1, 10)

<span id="page-27-0"></span>**1479 1480** B.2.3 FLEXIBLE FLOW SHOP PROBLEM (FFSP)

**1481 1482 1483 1484 1485 1486 1487 1488 1489 1490** The flexible flow shop problem (FFSP) is a complex and widely studied optimization problem in production scheduling. It involves  $N$  jobs to be processed in  $S$  stages, each containing multiple machines  $(M > 1)$ . Each job must pass through the stages in a specified order, but within each stage, it can be processed by any available machine. A critical constraint is that no machine can process more than one job at a time. The objective is to find an optimal schedule that minimizes the total time required to complete all jobs. We formulate the FFSP as a sequential decision process, where at each time step  $t = 0, 1, \dots$  and for each idle machine, the agent must decide whether and which job to schedule. If all machines are busy or all jobs are currently being processed, the environment moves to the next time step  $t + 1$ , and the process repeats until all jobs for each stage have been scheduled.

**1492 1493 1494 Instance Generation** We follow the data generation process described by [Kwon et al.](#page-12-13)  $(2021)$ , who sample processing times for each job-machine pair and for every stage independently from a discrete uniform distribution.

## <span id="page-27-1"></span>B.3 ELECTRONIC DESIGN AUTOMATION

c. This involves solving complex problems that can be either continuous, such as cell placement [\(Hou et al.,](#page-11-8) [2024\)](#page-11-8), or combinatorial, like decap placement [\(Kim et al.,](#page-12-1) [2023\)](#page-12-1). RL4CO integrates CO problems in EDA as benchmarking environments.

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## <span id="page-27-2"></span>B.3.1 DECAP PLACEMENT PROBLEM (DPP)

**1503 1504 1505 1506 1507 1508 1509 1510 1511** The decap placement problem (DPP) is an electronic design automation problem (EDA) in which the goal is to maximize the performance with a limited number of the decoupling capacitor (decap) placements on a hardware board characterized by asymmetric properties, measured via a probing port. The decaps cannot be placed on the location of the probing port or in keep-out regions (which represent other hardware components) as shown in [Fig. 13.](#page-28-3) The optimal placement of a given number of decaps can significantly impact electrical performance, specifically in terms of power integrity (PI) optimization. PI optimization is crucial in modern chip design, including AI processors, especially with the preference for 3D stacking memory systems like high bandwidth memory (HBM) [\(Hwang et al.,](#page-11-9) [2021\)](#page-11-9). For comprehensive details, we follow the configuration guidelines provided in [\(Kim et al.,](#page-12-1) [2023\)](#page-12-1).

<span id="page-28-3"></span>

Figure 13: Grid representation of the target on-chip PDN for the DPP problem with a single probing port from [Kim et al.](#page-12-1) [\(2023\)](#page-12-1).

**1528 1529 1530 1531 Baseline solvers** We employ two meta-heuristic baselines commonly used in hardware design as outlined in [\(Kim et al.,](#page-12-1) [2023\)](#page-12-1): random search (RS) and genetic algorithm (GA) [\(Juang et al.,](#page-12-14) [2021\)](#page-12-14). GA has shown promise as a method for addressing the decap placement problem (DPP).

**1532 1533 1534 1535 1536 1537 Instance generation details** We use the same data for simulating the hardware board as [Kim et al.](#page-12-1) [\(2023\)](#page-12-1), with power distribution network (PDN) datasets from [Park et al.](#page-14-9) [\(2023a\)](#page-14-9). We randomly select one probing port and a number between 1 and 50 keep-out regions sampled from a uniform distribution for generating instances. As in the routing benchmarks, we select seed 1234 for testing the 100 instances.

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## <span id="page-28-0"></span>B.3.2 MULTI-PORT DECAP PLACEMENT PROBLEM (MDPP)

**1540 1541 1542 1543 1544** We further consider a more complex and realistic version compared to [Kim et al.](#page-12-1) [\(2023\)](#page-12-1). The multiport decap placement problem (mDPP) is a generalization of DPP from [Appendix B.3.1](#page-27-2) in which measurements from multiple probing ports are performed. The objective function can be either the mean of the reward from the probing ports: 1) (*Maxsum*): the objective is to maximize the average PI among multiple probing ports and 2) (*Maxmin*): maximize the minimum PI between them.

**1545 1546 1547 1548** Instance generation details The generation details are the same as DPP, except for the probing port. A number of probing ports between 2 and 5 is sampled from a uniform distribution, and probing ports are randomly placed on the board, just like the other components.

<span id="page-28-1"></span>**1549 1550** B.4 GRAPH

**1551 1552 1553 1554 1555** Many CO problems can be (re-)formulated on graphs [\(Khalil et al.,](#page-12-15) [2017\)](#page-12-15). In typical CO problems on graphs, actions are defined on nodes/edges, while problem variables and constraints are incorporated in graph topology and node/edge attributes (e.g., weights). The graph-based formulation gives us concise and systematic representations of CO problems. Moreover, existing traditional and machine-learning algorithms for graphs are off-the-shelf tools.

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**1557** B.4.1 FACILITY LOCATION PROBLEM (FLP)

**1559 1560 1561 1562 1563** The optimal usage of limited resources is an important problem to consider in many different fields and has various forms. One specific form of such a problem can be formulated as the facility location problem (FLP), where one aims to choose a given number of locations among given candidates, and the objective is to minimize the overall cost of service (e.g., the sum of the distance from the users to the nearest facility) [\(Drezner and Hamacher,](#page-10-10) [2004\)](#page-10-10).

**1564 1565** Many real-world problems can be abstracted as instances of FLP. For example, franchise brands may need to determine where to open new retail stores to maximize accessibility and profitability [\(Shan](#page-15-10) [et al.,](#page-15-10) [2019\)](#page-15-10); governments may need to consider the placement of public facilities (e.g., hospitals

**1566 1567 1568 1569** and schools) to maximize the convenience for citizens to use them [\(Marianov et al.,](#page-14-11) [2002\)](#page-14-11); energy companies may need to determine the best locations for power centers (e.g., power plants and wind farms) to minimize transmission losses [\(Lotfi et al.,](#page-13-10) [2018\)](#page-13-10).

**1570 1571 1572 1573 1574 1575 1576 Formal definition** We consider the following specific form of the facility location problem (FLP) used in existing NCO literature [\(Wang et al.,](#page-16-9) [2022;](#page-16-9) [Bu et al.,](#page-10-14) [2024\)](#page-10-14): (1) given a group of  $n$  locations  $x_1, x_2, \ldots, x_n \in \mathbb{R}^d$  in a d-dimensional space (usually  $d = 2$  or 3) and  $k < n$ , (2) we aim to choose k locations  $x_{i1}, x_{i2}, \ldots, x_{ik}$  among the given n locations as the locations of facilities, (3) to minimize the sum of the distance from all the  $n$  locations to the nearest facility, i.e.,  $\sum_{j=1}^{n} \min_{t=1}^{k} \text{dist}(x_j, x_{it})$ . We specially consider the Euclidean distance, i.e.,  $\text{dist}(x_i, x_j)$  =  $||x_i - x_j||_2.$ 

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**1578 1579 1580 Instance generation details** The locations are  $(d = 2)$ -dimensional generated i.i.d. at random. For each location, each coordinate is sampled i.i.d. uniformly at random between 0 and 1. Each instance contains  $n = 100$  locations, and  $k = 10$  locations are to be chosen.

**1582 1583** Classical solvers We apply two MIP solvers, Gurobi [\(Gurobi Optimization,](#page-11-0) [2021\)](#page-11-0) and SCIP [\(Bestuzheva et al.,](#page-9-8) [2021\)](#page-9-8), to obtain the optimal solutions.

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<span id="page-29-0"></span>**1585** B.4.2 MAXIMUM COVERAGE PROBLEM (MCP)

**1587 1588 1589 1590 1591** In many real-world scenarios, one needs to allocate limited resources to achieve maximum coverage, which is a fundamental concern across various domains. One specific formulation is called the maximum coverage problem (MCP), where the goal is to select a subset of sets from a given family of sets to maximize the coverage, i.e., the (weighted) size of the union of the selected sets [\(Khuller](#page-12-10) [et al.,](#page-12-10) [1999\)](#page-12-10).

**1592 1593 1594 1595 1596 1597** As a mathematical abstraction, the MCP can be used to represent many real-world problems. For example, radio frequency identification (RFID) system engineers may need to set RFID readers in an optimal way to ensure the maximum coverage of RFID tags  $(A<sup>l</sup>$  i et al., [2011\)](#page-9-9); marketers may need to choose proper forms of advertisement to reach the maximum number of customers [\(Sun](#page-15-11) [et al.,](#page-15-11) [2018\)](#page-15-11); in security applications (e.g., deploying security cameras), one may need to select the optimal deployment to maximize the coverage of the protected area [\(Murray et al.,](#page-14-12) [2007\)](#page-14-12).

**1598**

**1599 1600 1601 1602 1603 1604** Formal definition We consider the following specific form of the maximum coverage problem (MCP) used in existing NCO literature [\(Wang et al.,](#page-16-9)  $2022$ ; [Bu et al.,](#page-10-14)  $2024$ ): (1) given m items (WLOG,  $[m] := \{1, 2, 3, \ldots, m\}$ ), where each item t has weight  $w_t$ , and a family of n sets  $S_1, S_2, \ldots, S_n \subseteq [m]$  for some positive integer m and  $k < n$ , (2) we aim to choose k sets  $S_{i1}, S_{i2}, \ldots, S_{ik}$  among the given n sets, (3) to maximize the total weighted coverage of the k chosen sets, which is the sum of the weights of items contained in any chosen set, i.e.,  $\sum_{t \in \bigcup_{j=1}^k S_{ij}} w_t$ .

**1605 1606 1607 1608 1609 Instance generation details** First,  $m = 200$  items are generated, and the item weights are generated i.i.d., where each weight is a random integer sampled between 1 and 10 (inclusive) uniformly at random. Then,  $n = 100$  sets are generated i.i.d., where for each set, we first sample its size between 5 and 15 uniformly at random and then choose that number of items uniformly at random. After generation,  $k = 10$  locations are to be chosen.

**1610**

**1611 1612** Classical solvers We apply two MIP solvers, Gurobi [\(Gurobi Optimization,](#page-11-0) [2021\)](#page-11-0) and SCIP [\(Bestuzheva et al.,](#page-9-8) [2021\)](#page-9-8), to obtain the optimal solutions.

**1613**

<span id="page-29-1"></span>**1614 1615** B.5 ADDITIONAL ENVIRONMENTS AND BEYOND

**1616 1617 1618 1619** We also include in the library additional environments that have been implemented but not fully benchmarked in this paper yet, such as the ATSP, mTSP, Skill-VRP, SMTWTP, and SPCTSP, to name a few. We did not count these in the total environment count (hence the "conservative" estimate). Moreover, several projects, among which co-authors of this paper, have adapted several new environments to their own tasks, which may be included in the future.

**1620 1621 1622 1623 1624 1625 1626 1627 1628 1629** Although RL4CO already contains several environments, we acknowledge that the library can be further extended within new directions, which we briefly describe. One such direction is multiobjective combinatorial optimization [\(Lin et al.,](#page-13-11) [2022;](#page-13-11) [Chen et al.,](#page-10-15) [2024\)](#page-10-15), which is a recently trending research topic of practical importance. Moreover, providing modular reward evaluators to optimize different objectives (for instance, min-max, tardiness) is another avenue of research that we recommend exploring [\(Park et al.,](#page-14-13) [2023b\)](#page-14-13). Of practical importance is also non-euclidean routing, which so far has received comparatively less attention in this field but is practically important (i.e., DIMACS challenge<sup>[11](#page-30-4)</sup>). Finally, multi-agent CO [\(Falkner and Schmidt-Thieme,](#page-11-10) [2020;](#page-11-10) [Tang et al.,](#page-15-12) [2024a](#page-15-12)[;b;](#page-15-13) [Bettini et al.,](#page-9-10) [2023\)](#page-9-10) is another interesting area of research, which recent approaches model as a sequential decision-making process [\(Son et al.,](#page-15-14) [2024;](#page-15-14) [Zheng et al.,](#page-17-3) [2024\)](#page-17-3).

**1630 1631 1632 1633** Implementing new environments is relatively easy: we created a notebook under the examples/ folder demonstrating how one can implement a custom environment from the base logic to a fully functioning model. We expect to host an even wider variety of environments in the future, thanks to the community, and invite contributors to help us in our journey.

- **1634**
- <span id="page-30-0"></span>**1635 1636** C BASELINES

**1637 1638 1639 1640 1641** This section provides an overview of the key components and methods implemented in RL4CO that can be used as baselines for comparative evaluation. The term "baselines" broadly refers to both the RL algorithms that define the learning objectives and update rules, as well as the policy architectures that parameterize the agent's behavior in the environment, given that several papers introduce a mix of RL training schemes and policy improvements. We categorize baselines into:

**1642 1643**

- 1. [General-purpose RL algorithms](#page-30-1) [\(C.1\)](#page-30-1)
- 2. [Constructive autoregressive \(AR\) methods](#page-31-1) [\(C.2\)](#page-31-1)
- 3. [Constructive non-autoregressive \(NAR\) methods](#page-36-1) [\(C.3\)](#page-36-1)
- 4. [Improvement methods](#page-38-0) [\(C.4\)](#page-38-0)
	- 5. [Active search methods](#page-39-1) [\(C.5\)](#page-39-1)
- **1648 1649 1650**

**1651**

<span id="page-30-2"></span>**1655**

**1657**

**1660**

<span id="page-30-1"></span>C.1 GENERAL-PURPOSE RL ALGORITHMS

**1652 1653 1654** In the following descriptions of RL algorithms, we use the notations of a full problem instance  $x$ and a complete solution  $\alpha$  for simplicity. However, note that these algorithms are also applicable to the usual notion of the sum of rewards over partial states  $s_t$  and actions  $a_t$ .

**1656** C.1.1 REINFORCE (S[UTTON ET AL](#page-15-4)., [1999\)](#page-15-4)

**1658 1659** REINFORCE (also known as policy gradients in the literature) is an online RL algorithm whose loss function gradient is given by:

<span id="page-30-5"></span>
$$
\nabla_{\theta} \mathcal{L}_{a}(\theta | \boldsymbol{x}) = \mathbb{E}_{\pi(\boldsymbol{a} | \boldsymbol{x})} \left[ (R(\boldsymbol{a}, \boldsymbol{x}) - b(\boldsymbol{x})) \nabla_{\theta} \log \pi(\boldsymbol{a} | \boldsymbol{x}) \right], \tag{5}
$$

**1661 1662** where  $b(\cdot)$  is a baseline function used to stabilize training and reduce gradient variance. The choice of  $b(\cdot)$  can greatly influence the final performance.

### <span id="page-30-3"></span>**1663 1664** C.1.2 ADVANTAGE ACTOR-CRITIC (A2C) (K[ONDA AND](#page-12-6) TSITSIKLIS, [1999\)](#page-12-6)

**1665 1666 1667 1668 1669 1670 1671** A2C is an algorithm that can be used to solve the RL objective in Eq.  $(3)$ . It consists of an actor (policy network) and a critic (value function estimator). The actor is trained to maximize the expected cumulative reward by following the policy gradient, while the critic is trained to estimate the value function. The advantage function, computed as the difference between the reward  $R(a, x)$  and the value function  $V(x)$ , is used to weight the policy gradient update for the actor. This can be seen as a modification of the REINFORCE gradient, where the baseline  $b(x)$  is replaced by the value function  $V(x)$ :

$$
\nabla_{\theta} \mathcal{L}_a(\theta | \boldsymbol{x}) = \mathbb{E}_{\pi(\boldsymbol{a} | \boldsymbol{x})} \left[ (R(\boldsymbol{a}, \boldsymbol{x}) - V(\boldsymbol{x})) \nabla_{\theta} \log \pi(\boldsymbol{a} | \boldsymbol{x}) \right]. \tag{6}
$$

<span id="page-30-4"></span><sup>11</sup><http://dimacs.rutgers.edu/programs/challenge/vrp/>

**1674 1675 1676** The critic is updated by minimizing the mean-squared error between the estimated value function and the target value, which is the reward for the given problem instance  $x$ :

$$
\mathcal{L}_c = \mathbb{E}_{\mathbf{x} \sim P(\mathbf{x})} (\overline{R}(\mathbf{a}, \mathbf{x}) - V(\mathbf{x}))^2.
$$
 (7)

**1677 1678 1679** By using the advantage function, A2C reduces the variance of the policy gradient and stabilizes training compared to the standard REINFORCE algorithm.

### <span id="page-31-0"></span>**1680 1681** C.1.3 PROXIMAL POLICY OPTIMIZATION (PPO) (S[CHULMAN ET AL](#page-15-3)., [2017\)](#page-15-3)

**1682 1683 1684 1685 1686 1687** PPO is another algorithm that can be used to solve the RL objective in [Eq. \(3\).](#page-3-1) It is an on-policy algorithm that aims to improve the stability of policy gradient methods by limiting the magnitude of policy updates. To this end, PPO introduces a surrogate objective function that constrains the probability ratio between the target policy  $\pi_{\theta}$  that is optimized and a reference policy  $\pi_{\theta_{old}}$ , which is periodically updated. This clipping mechanism prevents drastic changes to the target policy, ensuring more reliable and stable learning. Formally, the PPO objective function is given by:

$$
\mathcal{L}_{CLIP}(\theta) = \mathbb{E}_{\boldsymbol{x} \sim P(\boldsymbol{x})} \Big[ \mathbb{E}_{\boldsymbol{a} \sim \pi_{\theta_{old}}(\boldsymbol{a}|\boldsymbol{x})} \big[ \min(\frac{\pi_{\theta}(\boldsymbol{a}|\boldsymbol{x})}{\pi_{\theta_{old}}(\boldsymbol{a}|\boldsymbol{x})} A^{\pi_{\theta_{old}}}(\boldsymbol{x}, \boldsymbol{a}),
$$

$$
clip(\frac{\pi_{\theta}(\boldsymbol{a}|\boldsymbol{x})}{\pi_{\theta_{old}}(\boldsymbol{a}|\boldsymbol{x})}, 1 - \epsilon, 1 + \epsilon) A^{\pi_{\theta_{old}}}(\boldsymbol{x}, \boldsymbol{a})) \big] \Big], \quad (8)
$$

**1692 1693 1694 1695** where  $\theta_{old}$  represents the parameters of the reference policy, typically a periodically created copy of the parameters  $\theta$  of the target policy. Further,  $A^{\pi_{\theta_{old}}}(\bm{x}, \bm{a})$  is the advantage function estimated using the reference policy, and  $\epsilon$  is a hyperparameter that controls the clipping range, typically set to a small value like 0.2.

**1696 1697** The advantage function in PPO is estimated using a learned value function  $V_{\phi}(\bm{x})$ , where  $\phi$  represents the parameters of the value function. The advantage is computed as:

$$
A^{\pi_{\theta_{\text{old}}}}(\boldsymbol{x},\boldsymbol{a}) = R(\boldsymbol{a},\boldsymbol{x}) - V_{\phi}(\boldsymbol{x}). \tag{9}
$$

**1699 1700** The value function is learned by minimizing the mean-squared error between the estimated value and the actual return:

$$
\mathcal{L}_V(\phi) = \mathbb{E}_{\boldsymbol{x} \sim P(\boldsymbol{x})} \left[ (R(\boldsymbol{a}, \boldsymbol{x}) - V_{\phi}(\boldsymbol{x}))^2 \right]. \tag{10}
$$

**1702 1703 1704** An optimization step in PPO updates both, the parameters  $\theta$  of the target policy and the parameters A  $\phi$  of the value function by combining  $\mathcal{L}_{CLIP}$  and  $\mathcal{L}_V(\phi)$  in a single loss  $\mathcal{L}_{PPO} = \mathcal{L}_{CLIP} + \beta \mathcal{L}_V(\phi)$ , where  $\beta$  is a hyperparameter [Schulman et al.](#page-15-3) [\(2017\)](#page-15-3).

<span id="page-31-1"></span>**1705**

**1698**

**1701**

### **1706** C.2 CONSTRUCTIVE AUTOREGRESSIVE (AR)

<span id="page-31-2"></span>**1707 1708** C.2.1 ATTENTION MODEL (AM) (K[OOL ET AL](#page-12-0)., [2019](#page-12-0)A)

**1709 1710 1711 1712 1713 1714 1715** The Attention Model (AM) from [Kool et al.](#page-12-0)  $(2019a)$  is an encoder-decoder architecture based on the self-attention mechanism [Vaswani et al.](#page-16-10) [\(2017\)](#page-16-10) that is at the heart of several state-of-the-art NCO methods, including RL-based ones [\(Kwon et al.,](#page-12-2) [2020;](#page-12-2) [Kim et al.,](#page-12-12) [2022;](#page-12-12) [Hottung et al.,](#page-11-4) [2024\)](#page-11-4) as well as (self-)supervised ones [\(Drakulic et al.,](#page-10-0) [2023;](#page-10-0) [Luo et al.,](#page-13-0) [2024a](#page-13-0)[;b\)](#page-13-12). In the original AM, only node features are considered: with abuse of notation from [Fig. 3,](#page-5-1) we consider the InitEmbedding as the *node embedding*, and split the *context embedding* into a ContextEmbedding which updates the current query and DynamicEmbedding that updates the current cached keys and values.

**1716**

**1717 1718 1719 1720 1721** Multi-Head Attention Before delving into the encoder and decoder structures, we briefly intro-duce the notion of Multi-Head Attention (MHA) from [Vaswani et al.](#page-16-10) [\(2017\)](#page-16-10), since it is used across several NCO methods. MHA allows the model to jointly attend to information from different representation subspaces at different positions, enabling it to capture various relationships between the input elements. Importantly, it is flexible in handling a variable number of elements.

**1722 1723 1724** In the MHA operation, the input sequences  $Q$  (queries),  $K$  (keys), and  $V$  (values) are linearly projected to H different subspaces using learned matrices  $W_i^Q$ ,  $W_i^K$ , and  $W_i^V$ , respectively, where  $H$  is the number of attention heads:

$$
Q_i = Q W_i^Q \tag{11}
$$

$$
K_i = KW_i^K \tag{12}
$$

$$
V_i = V W_i^V \tag{13}
$$

**1728 1729** for  $i = 1, \ldots, H$ .

**1730 1731** The attention weights are computed as the scaled dot-product between the queries and keys, followed by a softmax operation:

$$
A_i = \text{Softmax}\left(\frac{Q_i K_i^T}{\sqrt{d_k}} + M\right) \tag{14}
$$

**1733 1734 1735 1736** where  $d_k$  is the dimension of the keys, used as a scaling factor to prevent the dot-products from getting too large, and  $M$  is an optional mask matrix that can be used to prevent attention to certain positions (e.g. infeasible actions in a CO problem).

**1737 1738** The output of each attention head is computed as the weighted sum of the values, using the attention weights:

$$
Z_i = A_i V_i \tag{15}
$$

**1739 1740 1741** Finally, the outputs of all attention heads are concatenated and linearly projected using a learned matrix  $W^O$  to obtain the final output of the MHA operation:

$$
MHA(Q, K, V) = \text{Concat}(Z_1, \dots, Z_H)W^O
$$
\n(16)

**1743 1744 1745 1746 1747 1748 1749** This multi-head attention mechanism allows the model to learn different attention patterns and capture various dependencies between the input elements, enhancing the representational power of the model. The queries, keys, and values can come from the same input sequence (self-attention, i.e.  $Q = K = V$  or from different sequences (cross-attention), depending on the application. While the attention operation is at the core of much of the current SotA deep learning [\(Touvron et al.,](#page-16-11) [2023\)](#page-16-11), this scales as  $O(L)^2$  where L is the sequence length, such as the number of nodes in a TSP. Thus, an efficient implementation such as FlashAttention [\(Dao et al.,](#page-10-11) [2022;](#page-10-11) [Dao,](#page-10-12) [2023\)](#page-10-12) is important, as shown in [Appendix E.7.2.](#page-66-0)

$$
1750\,
$$

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**1751 1752 1753 1754 Encoder** The encoder's primary task is to encode input x into a hidden embedding  $h$ . The structure of  $f_\theta$  comprises two trainable modules: the InitEmbedding and encoder blocks. The InitEmbedding module typically transforms problem features into the latent space and problemspecific compared to the encoder blocks, which often involve plain multi-head attention (MHA):

$$
\boldsymbol{h} = f_{\theta}(\boldsymbol{x}) \triangleq \text{EncoderBlocks}(\texttt{InitEmbedding}(\boldsymbol{x})) \tag{17}
$$

**1756 1757 1758 1759 1760** Each encoder block in the AM is composed of an Attention Layer, similar to [Vaswani et al.](#page-16-10) [\(2017\)](#page-16-10). Each layer  $\ell$  is composed of multi-head attention (MHA) for message passing and a Multi-Layer Perceptron (MLP, also known as *feed-forward network (FFN)*), with skip-connections and normalization (Norm):

$$
\hat{\boldsymbol{h}} = \text{Norm}\left(\boldsymbol{h}^{(\ell-1)} + \text{MHA}(\boldsymbol{h}^{(\ell-1)}, \boldsymbol{h}^{(\ell-1)}, \boldsymbol{h}^{(\ell-1)})\right)
$$
(18)

**1761 1762 1763**

$$
\mathbf{h}^{(\ell)} = \text{Norm}\left(\hat{\mathbf{h}} + \text{MLP}(\hat{\mathbf{h}})\right) \tag{19}
$$

**1764 1765** with  $\ell = [1, \ldots, N]$  where  $N$  is the number of encoding layers and  $\bm{h}^0 = \texttt{InitEmbedding}(\bm{x})$ . In the encoder side, we have  $Q = K = V = h^{(\ell-1)}$ , hence self-attention.

**1766 1767 1768** The original implementation of the AM uses  $N = 3$  layers  $H = 8$  heads of dimension  $d_k = \frac{d_h}{M} =$ 16, an MLP with one hidden layer of dimension 512 with a ReLU activation function, and a Batch Normalization [\(Ioffe and Szegedy,](#page-11-11) [2015\)](#page-11-11) as normalization.





Figure 14: An overview of the modularized Attention Model policy in RL4CO.

**1782 1783 1784 Decoder** The decoder  $q_{\theta}$  autoregressively constructs the solution based on the encoder output h and the state at current step  $t$ ,  $s_t$ . The solution decoding involves iterative steps until a complete solution is constructed: at each step, starting from the current node's i query  $q_t^i$ 

 $q_t^i = \mathtt{ContextEmbedding}({\bm{h}}, s_t), \tag{20}$ 

$$
h_t^c = \text{MHA}(q_t^i, K_t^g, V_t^g, M_t),\tag{21}
$$

$$
\begin{array}{c} 1787 \\ 1788 \\ 1789 \end{array}
$$

**1785 1786**

<span id="page-33-4"></span><span id="page-33-3"></span>
$$
\frac{V_t^p h_t^c}{\sqrt{d_k}}\tag{22}
$$

**1790 1791 1792 1793 1794 1795** where  $M_t$  is the set of feasible actions (i.e. the action mask), projections  $K_t^g, V_t^g, V_t^p =$  $W_{k}^{g}h, W_{v}^{g}h, W_{v}^{p}h$  can either be precomputed once as cache or updated via a dynamic embedding  $K_t^{\hat g}, V_t^g, V_t^p = \overset{\circ}{\text{Dynamic Embedding}}(W_k^g h, W_v^g \boldsymbol h, W_v^p \boldsymbol h, s_t, \boldsymbol h, \boldsymbol x),$ , depending on the problem. We note that [Eq. \(22\)](#page-33-3) is usually referred to as the pointer mechanism (in the codebase, we refer to [Eq. \(21\)](#page-33-4) and [Eq. \(22\)](#page-33-3) as the PointerAttention). Finally, logits  $z$  (unnormalized output of policy  $\pi$ ) are transformed into a probability distribution over the action space:

 $z =$ 

<span id="page-33-5"></span>
$$
p = \text{Softmax}(C \cdot \tanh(z)) \tag{23}
$$

**1796 1797 1798 1799 1800** where logits z for infeasible actions can be set to  $-\infty$  to avoid choosing them; and the C value (called *tanh clipping*, usually set to 10) serves in improving the exploration [\(Bello et al.,](#page-9-3) [2017\)](#page-9-3). We note that [Eq. \(23\)](#page-33-5) can also include additional operations such as temperature scaling, top-k, and top-p filtering.

**1802 1803 1804 1805 1806** Baseline [Kool et al.](#page-12-0) [\(2019a\)](#page-12-0) additionally introduces the *rollout* baseline b for [Eq. \(5\).](#page-30-5) At the end of each epoch, a greedy rollout of a baseline policy  $\pi_{BL}$  is executed for each of the sampled instances  $x$ , whose values become baselines for REINFORCE. The algorithm compares the current training policy with a saved baseline policy (similar to the DQN target network [\(Mnih et al.,](#page-14-14) [2015\)](#page-14-14)) at the end of every epoch, and replace the parameters of  $\pi_{BL}$  with the current trained  $\pi$  if the improvement is significant with a paired t-test of (i.e., 5% in the original paper).

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**1801**

### <span id="page-33-0"></span>**1809** C.2.2 PTR-NET (V[INYALS ET AL](#page-16-2)., [2015\)](#page-16-2)

**1810 1811 1812 1813 1814** The original Pointer Network (Ptr-Net) is introduced in [Vinyals et al.](#page-16-2) [\(2015\)](#page-16-2) and further refined to be trained with RL in [\(Bello et al.,](#page-9-3) [2017\)](#page-9-3). The base architecture predates the AM [\(Kool et al.,](#page-12-0) [2019a\)](#page-12-0): an attention mechanism is employed to select outputs of variable length, thus "pointing" at them. The baseline architecture additionally uses an LSTM [\(Hochreiter and Schmidhuber,](#page-11-12) [1997\)](#page-11-12), which in practice has less expressivity than full-fledged attention.

<span id="page-33-1"></span>**1815**

**1817**

**1822 1823**

### **1816** C.2.3 POMO (K[WON ET AL](#page-12-2)., [2020\)](#page-12-2)

**1818 1819 1820 1821** POMO introduces the *shared* baseline to lower the REINFORCE variance. The key idea is that one can sample rollouts when decoding by forcing diverse starting nodes, which is a powerful inductive bias for certain problems, such as the TSP, in which multiple optimal initial starting points exist. The baseline  $b_{shared}$  is the average of all rollouts:

<span id="page-33-6"></span>
$$
b_{\text{shared}}(s) = \frac{1}{N} \sum_{j=1}^{N} R(\boldsymbol{a_j}, \boldsymbol{x})
$$
\n(24)

**1824 1825** where  $N$  is the number of sampled trajectories (typically set as the number of nodes).

### <span id="page-33-2"></span>**1826 1827** C.2.4 SYMNCO (K[IM ET AL](#page-12-12)., [2022\)](#page-12-12)

**1828 1829 1830 1831 1832 1833 1834 1835** SymNCO considers the symmetric nature of combinatorial problems and solutions. There are two major symmetries in combinatorial optimization: 1) *Problem symmetries*: The representation of the input 2D coordinates should have equivalent optimal solution sets and 2) *Solution symmetries*: Multiple permutations can represent an identical cyclic line graph. To reflect this symmetric nature, SymNCO augments the AM architecture by incorporating an auxiliary invariant representation loss function to ensure input 2D symmetries. Additionally, SymNCO employs a shared baseline as [Eq. \(24\)](#page-33-6) similar to POMO but samples rollouts from both different symmetric problem inputs and solutions together. The implementation is not vastly different from AM and POMO; the primary addition is the symmetric-aware augmentation functions.

### <span id="page-34-0"></span>**1836 1837** C.2.5 POLYNET (H[OTTUNG ET AL](#page-11-4)., [2024\)](#page-11-4)

**1838 1839 1840 1841 1842 1843 1844** The PolyNet method proposed by [Hottung et al.](#page-11-4) [\(2024\)](#page-11-4) enables the learning of a set of complementary solution strategies within a single model. This facilitates the easy sampling of diverse solutions at test time, resulting in improved exploration of the search space and, consequently, enhanced overall performance. Unlike many other approaches, PolyNet does not artificially increase exploration by forcing diverse starting actions, as initially proposed by [Kwon et al.](#page-12-2) [\(2020\)](#page-12-2). Instead, PolyNet utilizes its inherent diversity mechanism, based on its novel architecture and the Poppy loss [\(Grinsztajn](#page-11-13) [et al.,](#page-11-13) [2023;](#page-11-13) [Chalumeau et al.,](#page-10-16) [2024\)](#page-10-16):

$$
\nabla_{\theta} \mathcal{L} = \mathbb{E}_{\pi(\boldsymbol{a}^*|\boldsymbol{x})} \left[ (R(\boldsymbol{a}^*, \boldsymbol{x}) - b_{\circ}(\boldsymbol{x})) \nabla_{\theta} \log \pi_{\theta}(\boldsymbol{a}^*|\boldsymbol{x}) \right], \tag{25}
$$

**1845 1846 1847** to facilitate exploration during the search process, where  $a^*$  is the *best* solution of K PolyNet samples and  $b_{\circ}(x)$ ) is the average reward of the K samples. This can improve performance for problems in which the first action greatly influences the performance.

**1848 1849**

### <span id="page-34-1"></span>**1850** C.2.6 HAM (L[I ET AL](#page-13-6)., [2021\)](#page-13-6)

**1851 1852 1853 1854** The Heterogeneous Attention Model (HAM) [\(Li et al.,](#page-13-6) [2021\)](#page-13-6) is a model specialized for Pickup and Delivery problems (PDP, [Appendix B.1.5\)](#page-23-2), characterized by hard one-to-one precedence constraints. To differentiate between pickup and delivery pairs, it introduces *ad hoc* encoder blocks with a specialized attention mechanism that can differentiate between pickup and delivery pairs.

- <span id="page-34-2"></span>**1855**
- **1856** C.2.7 MTPOMO (L[IU ET AL](#page-13-4)., [2024](#page-13-4)A)

**1857 1858 1859 1860 1861 1862 1863 1864** The MTPOMO developed by [Liu et al.](#page-13-4) [\(2024a\)](#page-13-4) proposes to adopt a unified model to learn across various VRP variants. It is motivated by the fact that the diverse VRPs are different combinations of several shared underlying attributes. By training on a limited number of VRPs with basic attributes, the model is capable of generalizing to a vast array of VRP variants, each representing different combinations of these attributes. This approach extends POMO [\(Kwon et al.,](#page-12-2) [2020\)](#page-12-2) by incorporating an attribute composition block, facilitating learning across different problems. The cross-problem learning demonstrates promising zero-shot generation performance on unseen VRPs and benefits out-of-distribution performance.

<span id="page-34-3"></span>**1865 1867**

**1876 1877 1878**

**1866** C.2.8 MVMOE (Z[HOU ET AL](#page-17-2)., [2024\)](#page-17-2)

**1868 1869 1870 1871 1872 1873 1874 1875** The MVMoE architecture proposed by [Zhou et al.](#page-17-2) [\(2024\)](#page-17-2) incorporates mixture-of-experts (MoEs) [\(Jacobs et al.,](#page-11-14) [1991;](#page-11-14) [Jordan and Jacobs,](#page-11-15) [1994;](#page-11-15) [Shazeer et al.,](#page-15-15) [2017\)](#page-15-15) into attention-based model (e.g., POMO [\(Kwon et al.,](#page-12-2) [2020\)](#page-12-2)), such that the model capacity can be greatly enhanced without a proportional increase in computation. For the *encoder* part, MVMoE replaces a feed-forward network (FFN) with an MoE layer, which typically consists of 1) m experts  $\{E_1, E_2, \ldots, E_m\}$ , each of which is also an FFN with independent trainable parameters, and 2) a gating network G parameterized by  $W_G$ , which decides how the inputs are distributed to experts. Given a single input x,  $G(x)$  and  $E_i(x)$  denote the output of the gating network (i.e., an m-dimensional vector), and the output of the  $j_{\text{th}}$  expert, respectively. The output of an MoE layer is calculated as:

$$
MoE(x) = \sum_{j=1}^{m} G(x)_j E_j(x).
$$
 (26)

**1879 1880 1881 1882 1883 1884 1885 1886 1887 1888 1889** The gating algorithm follows the node-level input-choice gating proposed by [Shazeer et al.](#page-15-15) [\(2017\)](#page-15-15), which leverages a sparse gating network:  $G(x) = \text{Softmax}(TopK(x \cdot W_G))$ . In this way, only k experts with partial model parameters are activated, hence saving the computation. For the *decoder* part, MVMoE replaces the final linear layer of MHA with an MoE layer, including m linear layers and a gating network G. To balance the empirical performance and computational complexity, a hierarchical gating mechanism is further proposed to utilize MoEs during decoding efficiently. In this case, the MoE layer in the decoder includes two gating networks  $\{G, G'\}$ , m experts  ${E_1, E_2, \ldots, E_m}$ , and a dense layer D. Given a batch of inputs X, the hierarchical gating routes them in two stages. In the first stage,  $G'$  decides to distribute inputs X to either the sparse or dense layer. In the second stage, if X is routed to the sparse layer, the gating network  $G$  is activated to route nodes to experts on the node level by using the default gating algorithms, i.e., the input-choice gating. Otherwise, X is routed to the dense layer D and transformed into  $D(X)$ . In summary, the hierarchical gating learns to output  $G'(X)_0 \sum_{j=1}^m G(X)_j E_j(X)$  or  $G'(X)_1 D(X)$ . Empirically, hi**1890 1891 1892** erarchical gating has been found to be more efficient, albeit with a slight sacrifice in in-distribution performance, while demonstrating superiority with out-of-distribution data.

### <span id="page-35-0"></span>**1893** C.2.9 L2D (Z[HANG ET AL](#page-17-0)., [2020\)](#page-17-0)

**1895 1896 1897 1898 1899 1900 1901 1902 1903 1904** Learning to Dispatch (L2D) proposed by [Zhang et al.](#page-17-0) [\(2020\)](#page-17-0) is a DRL method to solve the JSSP. It comprises of the usual encoder-decoder structure, where a graph convolution network (GCN) is employed to extract hidden representations from the JSSP instance. To this end, L2D formulates the JSSP as a disjunctive graph, with nodes reflecting the operations of the problem instance. Nodes of operations that belong to the same job are connected via directed arcs, specifying their precedence relation. Moreover, operations to be processed on the same machine are connected using undirected arcs. Using the resulting neighborhood  $\mathcal N$  of the nodes, the GCN performs massage passing between adjacent operations to construct their hidden representations. Formally, let  $h^0$  be the initial embeddings of operations O and  $\tilde{A}$  the adjacency matrix with added self-loops of operations, then a graph convolutional layer can be described as follows:

$$
\boldsymbol{h}^{(l+1)} = \sigma\left(\tilde{\boldsymbol{D}}^{-\frac{1}{2}}\tilde{\boldsymbol{A}}\tilde{\boldsymbol{D}}^{-\frac{1}{2}}\boldsymbol{h}^{(l)}\boldsymbol{W}^{(l)}\right)
$$

**1907 1908 1909 1910** Here,  $h^{(l)}$  are the operation embeddings at layer l,  $W^{(l)}$  is a trainable weight matrix at layer l, and  $\sigma(\cdot)$  is an activation function such as ReLU. Further,  $\tilde{\bf{D}}$  is the diagonal degree matrix of  $\tilde{\bf{A}}$ , ensuring appropriate scaling of the features.

**1911 1912 1913 1914 1915 1916** Given the operation embeddings, the decoder of L2D first extracts for each job the embedding of the operation that needs to be scheduled next and then feeds them to an MLP  $f : \mathbb{R}^{J \times d} \to \mathbb{R}^{J \times 1}$  to obtain logits for each job  $j \in (1, ..., J)$ . In contrast to [Kool et al.](#page-12-0) [\(2019a\)](#page-12-0) for example, who encode the CO problem once and then generate actions autoregressively using only the decoder, [Zhang et al.](#page-17-0) [\(2020\)](#page-17-0) use the GCN encoder after each step to generate new hidden representations that reflect the current state of the problem.

<span id="page-35-1"></span>**1917**

**1894**

**1905 1906**

### **1918** C.2.10 HGNN (S[ONG ET AL](#page-15-7)., [2022\)](#page-15-7)

**1919 1920 1921 1922 1923 1924 1925 1926 1927 1928** The heterogeneous graph neural network (HGNN) is a neural network architecture proposed by [Song](#page-15-7) [et al.](#page-15-7) [\(2022\)](#page-15-7) to solve the FJSSP. Similar to L2D, HGNN considers an FJSSP instance as a graph. However, instead of treating an FJSSP instance as a disjunctive graph, [Song et al.](#page-15-7) [\(2022\)](#page-15-7) formulate it as heterogeneous graph with operations and machines posing different node types. Again, operations are connected to each other via directed arcs that specify the precedence relation. Machines are only connected to operations that they are able to process, and the edge weights indicate the respective processing times. To encode the graph, HGNN first projects operations  $O \in x$  and machines  $M \in x$ into a mutual embedding space  $\mathbb{R}^d$  using type-specific transformations  $W^O$  and  $W^M$ , respectively. Given the initial hidden representations  $h_i^0$  and  $h_k^0$  for operations  $o_i \in O$  and machines  $m_k \in M$ , respectively, as well as edge embeddings  $h_{ik}$ , an HGNN layer conducts weighted message passing between operations and machines using the processing times of operation-machine pairs:

$$
\boldsymbol{h}_i^{l+1} = \sum_{j \in \mathcal{N}_i} \epsilon_i \boldsymbol{h}_j^l, \quad \text{where} \tag{27}
$$

$$
\begin{array}{c} 1930 \\ 1931 \end{array}
$$

**1929**

**1932**

$$
\begin{array}{c} 1933 \\ 1933 \end{array}
$$

$$
\epsilon_{ij} = \underset{j \in \mathcal{N}_i}{\text{Softmax}}(\boldsymbol{a}^\top [\boldsymbol{h}_j^l || \boldsymbol{h}_{ij}]). \tag{28}
$$

**1934 1935 1936 1937 1938** Since operations in the FJSSP can be processed by multiple machines, the decoder must specify not only which job to process next but also on which machine the operation of the selected job should be executed. To this end,  $Song et al. (2022)$  $Song et al. (2022)$  $Song et al. (2022)$  concatenates the hidden representations of every operation with the embeddings of every machine. The resulting embeddings are fed to an MLP  $\hat{f}: \mathbb{R}^{J \times M \times 2d} \to \mathbb{R}^{J \times M \times 1}$ , which generates the sampling probabilities for the respective action.

$$
\begin{array}{c} 1939 \\ 1939 \end{array}
$$

## <span id="page-35-2"></span>C.2.11 MATNET (K[WON ET AL](#page-12-13)., [2021\)](#page-12-13)

**1941 1942 1943** The MatNet architecture proposed by [Kwon et al.](#page-12-13)  $(2021)$  adjusts the attention model [Kool et al.](#page-12-0) [\(2019a\)](#page-12-0) so that it is applicable to bipartite graphs with node types  $\mathcal I$  and  $\mathcal J$  as well as a weight matrix  $E \in \mathbb{R}^{|Z| \times |Z|}$  corresponding to the edges connecting nodes from the two sets. The novelty of this architecture is that instead of using self-attention as in the attention model, MatNet uses cross-
**1944 1945 1946 1947 1948** attention to perform message passing between both node sets and augments the resulting attention scores with the weight matrix E. Formally, let Z be the set of all nodes  $i \in \mathcal{I} \cup \mathcal{J}$ ,  $\mathcal{Z}_{\phi_i}$  the subset of nodes of the same type as i and  $\mathcal{Z}_{\phi}^{\complement}$  $\mathcal{L}_{\phi_i}^{\mathsf{U}}$  the set of nodes of the respective type. Then, cross-attention is defined as:<sup>[12](#page-36-0)</sup>

$$
\begin{array}{c}\n 1340 \\
 1949\n \end{array}
$$

$$
\frac{1950}{1951}
$$

**1953 1954**

$$
\alpha'_{ij} = \frac{\mathbf{q}_i^{\top} \mathbf{k}_j}{\sqrt{d_k}}, \qquad \forall i \in \mathcal{Z}, \, j \in \mathcal{Z}_{\phi_i}^{\complement} \tag{29}
$$

**1952** where

$$
q_i = W_{\phi_i}^Q h_i^{l-1} \qquad k_j = W_{\phi_i}^K h_j^{l-1} \tag{30}
$$

**1955 1956 1957 1958** and weight matrices  $W_{\phi_i}^Q$  and  $W_{\phi_i}^K \in \mathbb{R}^{d_k \times d_h}$  being learned by the update function corresponding to nodes of type  $\phi_i$ . After that, MatNet augments  $\alpha_{ij}'$  with the corresponding edge weight  $e_{ij}$  and maps it through a feed-forward neural network FF :  $\mathbb{R}^2 \to \mathbb{R}$  to a scalar score, which is then normalized using the softmax function:

$$
\alpha_{ij} = \frac{\exp(\epsilon_{ij})}{\sum\limits_{q \in \mathcal{Z}_{\phi_i}^{\text{B}}} \exp(\epsilon_{iq})}, \quad \epsilon_{ij} = \text{FF}\big([\alpha'_{ij} || e_{ij}]\big) \tag{31}
$$

**1963 1964 1965 1966 1967 1968** The resulting weights are used to compute a weighted average of the embeddings  $v_j = W_{\phi_i}^V h_j^{l-1}$ of the nodes in  $\mathcal{Z}_{\phi}^{\complement}$  $\psi_{\phi_i}$ . In the end, skip connections, layer normalization (LN), and feed-forward layers are used as in [Vaswani et al.](#page-16-0) [\(2017\)](#page-16-0). Besides the original MatNet implementation, RL4CO also implements a version that applies both self- and cross-attention, successively as proposed by [Luttmann and Xie](#page-13-0) [\(2024\)](#page-13-0). This makes MatNet not only applicable to bipartite graph problems but to the more general class of heterogeneous graphs [Luttmann and Xie](#page-13-0) [\(2024\)](#page-13-0).

### **1969 1970** C.2.12 DEVFORMER (K[IM ET AL](#page-12-0)., [2023\)](#page-12-0)

**1971 1972 1973 1974 1975 1976 1977 1978** We employ online RL variants of DevFormer [\(Kim et al.,](#page-12-0) [2023\)](#page-12-0) (DF), an Attention-Model [\(Kool](#page-12-1) [et al.,](#page-12-1) [2019a\)](#page-12-1) variant specifically designed for autoregressive construction of DPP solutions from [Appendix B.3.1.](#page-27-0) We note that the DF training scheme was initially designed for offline training; however, in this study, we benchmark DF as a sample-efficient online reinforcement learning approach. We benchmark the DF version for RL with the same node and context embedding structure as the original in [Kim et al.](#page-12-0)  $(2023)$ . We modify the embeddings in the mDPP environment [\(Ap](#page-28-0)[pendix B.3.2\)](#page-28-0) version to include the location of multiple probing ports. Min-max and min-sum mDPP versions utilize the same embeddings and are trained separately.

**1979 1980**

C.3 CONSTRUCTIVE NON-AUTOREGRESSIVE (NAR)

## **1981 1982** C.3.1 DEEPACO (Y[E ET AL](#page-16-1)., [2023\)](#page-16-1)

**1983 1984 1985 1986 1987 1988 1989** Ant Colony Optimization (ACO) is an evolutionary algorithm that has been successfully applied to various COPs. Traditionally, customizing ACO for a specific problem requires the expert design of knowledge-driven heuristics. However, this routine of algorithm customization exhibits certain deficiencies: 1) it requires extra effort and makes ACO less flexible; 2) the effectiveness of the heuristic measure heavily relies on expert knowledge and manual tuning; and 3) designing a heuristic measure for less-studied problems can be particularly challenging, given the paucity of available expert knowledge.

**1990 1991 1992 1993 1994 1995 1996 1997** DeepACO is designed to automatically strengthen the heuristic measures of existing ACO algorithms and dispense with laborious manual design in future ACO applications. DeepACO consists of two stages: 1) training a neural model to map a COP instance to its heuristic measures, and 2) incorporating the learned heuristic measures into ACO to bias solution constructions and local search. During the training phase, DeepACO parameterizes the heuristic space with a graph neural network (GNN) [\(Joshi et al.,](#page-12-2) [2019\)](#page-12-2). It trains the GNN across COP instances with REINFORCE, towards minimizing the expected objective value of both constructed solutions and solutions refined by local search. During the inference phase, DeepACO utilizes the well-trained GNN to generate heuristic

<span id="page-36-0"></span> $12$ For succinctness, note that we omit head and layer enumeration.

**1998 1999 2000** measures for ACO. Optionally, DeepACO interleaves local search with neural-guided perturbation to refine the constructed solutions. For more details, please refer to  $(Ye et al., 2023)$  $(Ye et al., 2023)$  $(Ye et al., 2023)$ .

**2001 2002 2003 2004** DeepACO is the first NAR model implemented in RL4CO, laying the foundation for other NAR models later integrated into RL4CO. DeepACO offers a versatile methodological framework that allows for further algorithmic enhancements in neural architecture, training paradigms, decoding strategies, and problem-specific adaptations. Notable improvements over DeepACO are introduced by GFACS [\(Kim et al.,](#page-12-3) [2024\)](#page-12-3).

**2005 2006**

## **2007** C.3.2 GFACS (K[IM ET AL](#page-12-3)., [2024\)](#page-12-3)

**2008 2009 2010 2011 2012 2013 2014** While DeepACO [\(Ye et al.,](#page-16-1) [2023\)](#page-16-1) provides promising results and opens new doors for pretraining heuristic measures for the ACO algorithm using deep learning, their method is sub-optimal for two major reasons. Firstly, they utilized policy gradient reinforcement learning (RL), which is an onpolicy method that cannot leverage powerful off-policy techniques such as local search. Secondly, their method cannot effectively capture the multi-modality of heuristic distribution because the RL method cannot accurately model multi-modal probabilistic distributions considering the symmetric nature of combinatorial space, where multiple trajectories can lead to identical solutions.

**2015 2016 2017 2018 2019 2020 2021** The methodology of GFACS shares a very similar structure with DeepACO. The key difference lies in the learning procedure; GFACS employs generative flow networks (GFlowNets) [\(Bengio](#page-9-0) [et al.,](#page-9-0) [2021a;](#page-9-0) [2023\)](#page-9-1) for learning the heuristic matrix. Additionally, they leverage effective off-policy exploration methods using local search. The inference procedure with the learned heuristic matrix remains exactly the same. With the RL4CO modular implementation, both DeepACO and GFACS can run similarly and be comparable at the modular level, allowing future researchers to improve certain modules of training or inference.

**2022**

#### **2023** C.3.3 GLOP (Y[E ET AL](#page-16-2)., [2024](#page-16-2)B)

**2024 2025 2026 2027 2028 2029 2030** Most NCO methods struggle with real-time scaling-up performance; they are unable to solve routing problems involving thousands or tens of thousands of nodes in seconds, falling short of the needs of modern industries. GLOP (Global and Local Optimization Policies) is proposed to address this challenge. It partitions a large routing problem into sub-TSPs and further partitions potentially large (sub-)TSPs into small Shortest Hamiltonian Path Problems (SHPPs). It is the first hybrid method to integrate NAR policies for coarse-grained problem partitions and AR policies for fine-grained route constructions, leveraging the scalability of the former and the meticulousness of the latter.

**2031**

**2032 2033 2034 2035 2036 2037 2038 2039 2040** 1) AR (Sub-)TSP Solver. The (Sub-)TSP Solver in GLOP initializes TSP tours using a Random Insertion heuristic, which greedily inserts nodes to minimize cost. These tours are then improved through a process of decomposition and reconstruction. Specifically, the solver decomposes a complete tour into several subtours, which are treated as instances of the Shortest Hamiltonian Path Problem (SHPP). Each subtour is solved using an AR local policy referred to as a "reviser". These revisers are applied in rounds called "revisions" to enhance the initial tour iteratively. The subtours are normalized and optionally rotated to improve the model's performance. After solving the SHPP instances, the subtours are reassembled into an improved complete tour. This method allows for efficient and parallelizable improvements on large-scale TSPs.

**2041**

**2042 2043 2044 2045 2046 2047 2048 2049** 2) NAR General Routing Solver. The general routing solver in GLOP additionally implements an NAR global policy that either partitions all nodes into multiple sub-TSPs (e.g., for CVRP) or subsets all nodes to form a sub-TSP (e.g., for PCTSP). The NAR global policy is parameterized by a graph neural network (GNN) that processes sparsified input graphs and outputs a partition heatmap. GLOP clusters or subsets nodes by sequentially sampling nodes based on the partition heatmap while adhering to problem-specific constraints. The sub-TSPs are then solved by the (Sub-)TSP solver. The global policy is trained using REINFORCE to output partitions that could lead to the best-performing final solutions after solving sub-TSPs.

**2050 2051** GLOP is integrated into RL4CO as the first hybrid method that combines NAR and AR policies, indicating the versatility of RL4CO in accommodating various methodological paradigms. It is promising to further investigate the emerging possibilities that arise when viewing AR and NAR **2052 2053 2054** methods from a unified perspective and combining them synergistically. RL4CO provides a flexible and extensible platform for exploring such hybridization in future research.

## **2055 2056** C.4 IMPROVEMENT METHODS

**2057 2058 2059** Improvement methods leverage RL to train a policy that iteratively performs rewriting exchanges on the current solution, aiming to generate a new solution with potentially lower costs. As in constructive methods, the policy of improvement methods is also based on the encoder-decoder structure.

#### **2061** C.4.1 DACT (M[A ET AL](#page-13-1)., [2021\)](#page-13-1)

**2062 2063 2064 2065 2066 2067 2068 2069 2070 2071 2072 2073 2074 2075 2076** Improvement methods typically take node features and solution features (positional information of nodes in the current solution) as key inputs. Encoding VRP solutions involves processing complex relationships between Node Feature Embeddings (NFEs) and Positional Feature Embeddings (PFEs). However, directly adopting the original Transformer to add the two types of embeddings, as done by [Wu et al.](#page-16-3) [\(2021\)](#page-16-3), can cause mixed attention score correlations and impairing performance. To address this, the Dual-Aspect Collaborative Transformer (DACT) proposes DAC-Att, which processes NFEs and PFEs separately and employs cross-aspect referential attention to understand the consistencies and differences between the two embedding aspects. This approach avoids mixed correlations and allows detailed modeling of hidden patterns. Another key issue is the Positional Encoding (PE) method. While the original Transformer's PE works well for linear sequences, it may not suit the cyclic nature of VRP solutions. To address this, DACT proposes Cyclic Positional Encoding (CPE), inspired by cyclic Gray codes, which generates cyclic real-valued coding vectors to capture the topological structure of VRP solutions and improve generalization. Additionally, DACT redesigns the RL algorithm for improvement methods, introducing a Proximal Policy Optimization with Curriculum Learning (PPO-CL) algorithm to improve training stability and efficiency.

**2077 2078 2079 2080** In RL4CO, DACT is implemented and modularized so that other methods can easily reuse components like CPE encoding and the PPO-CL algorithm. It also reuses common parts (such as node embedding initialization, decoding functions, etc) from the implementation of constructive methods, indicating the flexibility of the RL4CO framework.

**2081**

**2060**

**2082** C.4.2 N2S (M[A ET AL](#page-14-0)., [2022\)](#page-14-0)

**2083 2084 2085 2086 2087 2088 2089 2090 2091 2092 2093** The Neural Neighborhood Search (N2S) method extends the capabilities of improvement methods to pickup and delivery problems (PDP). Expanding on the DACT approach, N2S leverages a tailored MDP formulation for a ruin-repair neighborhood search process. It uses a Node-Pair Removal decoder in the ruin stage and a Node-Pair Reinsertion decoder in the repair stage, allowing efficient operation on pickup-delivery node pairs. However, more complex decoders increase computational costs in the policy network, requiring a balance between encoders and decoders. To address this, N2S introduces Synthesis Attention (Synth-Att), which learns a single set of embeddings and synthesizes attention scores from various node feature embeddings using a Multilayer Perceptron (MLP) module. This promotes lightweight policy networks and enhances model expressiveness. The N2S encoder with the efficient Synth-Att represents a state-of-the-art design of improvement encoder, which is adopted in the latest works [Ma et al.](#page-14-0) [\(2022;](#page-14-0) [2024\)](#page-14-1).

**2094 2095 2096** In RL4CO, N2S reuses the CPE encoding and the PPO-CL algorithm implemented in DACT. The efficient N2S encoder is also modularized and designed to be shared among other improvement methods to process the complex relationships between different feature embeddings.

**2097**

#### **2098** C.4.3 NEUOPT (M[A ET AL](#page-14-1)., [2024\)](#page-14-1)

**2099 2100 2101 2102 2103 2104 2105** A key bottleneck of improvement methods like DACT is their simplistic action space design, which typically uses smaller, fixed  $k$  values (2-opt or 3-opt) due to decoders struggling with larger, varying  $k$ . To address this, the latest improvement method introduces Neural k-Opt (NeuOpt), a flexible solver capable of handling any given  $k \geq 2$ . NeuOpt employs an action factorization method to break down complex k-opt exchanges into a sequence of basis moves (S-move, I-move, E-move), with the number of I-moves determining the  $k$  value. This step-by-step construction allows the model to automatically determine a suitable  $k$ . Similar to variable neighborhood search, NeuOpt combines varying  $k$  values across search steps, balancing coarse-grained and fine-grained searches,

**2106 2107 2108** which is crucial for optimal performance. NeuOpt also features a Recurrent Dual-Stream (RDS) decoder with recurrent networks and two decoding streams for contextual modeling and attention computation, effectively capturing the complex dependencies between removed and added edges.

**2109 2110 2111 2112** In RL4CO, NeuOpt is implemented by reusing the successful CPE and PPO-CL training modules from DACT, as well as the efficient encoder from N2S. This demonstrates the strength and versatility of the RL4CO coding library, which allows for the easy integration of proven methodologies.

### **2113 2114** C.5 ACTIVE SEARCH METHODS

**2115 2116** Active search methods are examples of *transductive* RL, in which an RL algorithm is run to finetune a pre-trained policy on specific test-time instances.

**2117**

### **2118 2119** C.5.1 ACTIVE SEARCH (AS) (B[ELLO ET AL](#page-9-2)., [2017\)](#page-9-2)

**2120 2121 2122 2123 2124 2125** In active search proposed by [Bello et al.](#page-9-2) [\(2017\)](#page-9-2), a model is fine-tuned to a single test instance. To this end, active search uses the same loss formulation as during the original training of the model. Over the course of the search process, the model's performance on the single test instance improves, leading to the discovery of high-quality solutions. While active search is easy to implement, as the search process closely follows the training process, it is often very slow since all model weights are adjusted for each test instance individually.

**2126**

**2127** C.5.2 EFFICIENT ACTIVE SEARCH (EAS) (H[OTTUNG ET AL](#page-11-0)., [2022\)](#page-11-0)

**2128 2129 2130 2131 2132 2133** Efficient active search (EAS), proposed by [Hottung et al.](#page-11-0) [\(2022\)](#page-11-0), builds upon the idea of active search and trains a model on a single instance at test time to enable a guided search. However, EAS only updates a subset of parameters during the search and allows most operations to be performed in parallel across a batch of different instances. This approach not only reduces computational costs but also results in a more stable fine-tuning process, leading to an overall improvement in solution quality.

**2134**

## **2135 2136** D BENCHMARKING SETUP

**2137 2138** D.1 METRICS

<span id="page-39-2"></span>**2139** D.1.1 GAP TO BKS

**2140 2141 2142 2143 2144 2145** The Gap to Best Known Solution (BKS) is a commonly used metric to evaluate the performance of optimization algorithms on benchmark instances. It measures the relative difference between the best solution found by the algorithm and the BKS for a given problem instance. Given a problem instance *i*, let  $a_i$  be the objective value of the best solution found by the algorithm, and let  $a_i^*$  be the objective value of the BKS for that instance. The Gap to BKS for the  $i$ -th instance is defined as:

- **2146**
- **2147 2148**

<span id="page-39-1"></span>**2153**

Gap to BKS<sub>i</sub> = 
$$
100 \times \left( \frac{a_i - a_i^*}{a_i^*} \right)
$$
 (32)

**2149 2150 2151 2152** The Gap to BKS is expressed as a percentage, with a value of 0% indicating that the algorithm has found a solution that matches the BKS. A positive Gap to BKS indicates that the algorithm's solution is worse than the BKS, while a negative Gap to BKS (though less common) indicates that the algorithm has found a new best solution for the instance<sup>[13](#page-39-0)</sup>.

**2154** D.1.2 PRIMAL INTEGRAL

**2155 2156 2157** The Primal Integral (PI) is a metric that evaluates the anytime performance of optimization algorithms by capturing the trade-off between solution quality and computational time [\(Berthold,](#page-9-3) [2013;](#page-9-3)

<span id="page-39-0"></span>**<sup>2158</sup> 2159** <sup>13</sup>Note that when calculating the gap for a set of instances, one should do an average of gaps, i.e.  $\frac{1}{n} \sum_{i=1}^{n}$  $\sum_{i=1}^n$  Gap to BKS<sub>i</sub>, instead of calculating the gap of the average  $100 \times \sum a_i/\sum a_i^*$ , which might yield similar results in some settings but prone to error especially for certain distributions.

**2160 2161** [Thyssens et al.,](#page-15-0) [2023\)](#page-15-0). It is defined as the area under the curve of the incumbent solution value plotted against time, normalized by the BKS value and the total time budget:

**2162 2163**

$$
\frac{2164}{2165}
$$

<span id="page-40-1"></span>**2171**

$$
PI = 100 \times \left( \frac{\sum_{i=1}^{n} a_{i-1} \cdot (t_i - t_{i-1}) + a_n \cdot (T_{\max} - t_n)}{T_{\max} \cdot a^*} - 1 \right)
$$
(33)

**2166 2167 2168 2169 2170** where  $T_{\text{max}}$  is the total time budget,  $a_i$  is the incumbent solution value at time  $t_i$ , and  $a^*$  is the best known solution value. A lower PI percentage indicates better anytime performance. The PI complements other metrics, such as the Gap to BKS, by providing insights into the temporal aspect of an algorithm's performance, making it particularly useful for assessing anytime algorithms [\(Jesus](#page-11-1) [et al.,](#page-11-1) [2020\)](#page-11-1).

#### **2172** D.1.3 RUNTIME MEASUREMENT

**2173 2174 2175 2176 2177 2178 2179 2180** Runtime normalization Comparing the run-time efficiency of different methods across various hardware configurations can be challenging. In the RL4CO benchmark, we generally run the inference on a single machine; when this is not possible due to resource limitations, we employ the run-time normalization approach based on the *PassMark* hardware rating<sup>[14](#page-40-0)</sup>. This approach normalizes time budgets and run times during the evaluation process, allowing for a more equitable comparison of methods. We use the definition of [Accorsi et al.](#page-9-4) [\(2022\)](#page-9-4); [Thyssens et al.](#page-15-0) [\(2023\)](#page-15-0) in normalizing: the reference machine combines a single CPU thread and a single GPU, the *PassMark* score s for GPU-based methods is calculated as:

$$
s = \frac{1}{2}(\text{\#CPU} \cdot \text{CPU\_Mark} + \text{\#GPU} \cdot \text{GPU\_Mark})
$$
\n(34)

**2183 2184 2185 2186** To normalize the solution time from machine 1 to machine 2, we calculate  $\tilde{t}_2 = t_1 \frac{s_1}{s_2}$ , where  $t_1$  is the solution time on machine 1,  $s_1$  is the *PassMark* score of machine 1, and  $s_2$  is the *PassMark* score of machine 2. Note that in the case of most classical solvers, the GPU\_Mark is simply set to 0 due to them running on CPU.

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**2188 2189 2190 2191 2192 2193 2194 2195 2196 2197 2198** Cross-solver comparisons Another aspect of NCO evaluation that has to be addressed is the fact that evaluation between classical and learned solvers is often done on different devices, namely on (single-threaded) CPUs and GPUs, respectively. Moreover, while multiple instances in NCO can usually be solved in a batch, this is not usually the case for classical solvers. A more correct way is to measure the *per-instance* solution time (which we do on large-scale NAR routing), which is more realistic for real-world applications. For other studies, we employ the standard procedure of NCO of evaluating times on batches as done in the original methods, making sure to compare "apples with apples" (i.e., different NCO approaches are compared with the same settings). We note that while RL4CO focuses on comparisons between NCO solvers and creating an open-source ecosystem for this specific area, future studies (and possibly works in the RL4CO community) may also include comparisons with classical solvers under different conditions, which we recognize as an important research direction.

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### **2200 2201** D.2 HARDWARE & SOFTWARE

#### **2202** D.2.1 HARDWARE

**2204 2205 2206 2207 2208 2209 2210 2211** Most experiments (during testing) were carried out on a machine equipped with two AMD EPYC 7542 32-CORE PROCESSOR CPUs with 64 threads each and four NVIDIA RTX A6000 graphic cards with 48 GB of VRAM, of which only one is used during inference. We note that, due to the amount of experiments and contributions, training was performed on a variety of hardware combinations, particularly University clusters. We found RL4CO to be robust and efficient across different combinations of CPU, GPU, and software. Throughout the text, we may report the hardware setting on which testing took place if it differs from the default one. In case different configurations were used or results were reported from previous works, we refer to [Appendix D.1.3](#page-40-1) for result standardization.

<span id="page-40-0"></span><sup>14</sup>*PassMark*: <https://www.passmark.com/> is also used in the 2022 DIMACS challenge: [http:](http://dimacs.rutgers.edu/programs/challenge/vrp/) [//dimacs.rutgers.edu/programs/challenge/vrp/](http://dimacs.rutgers.edu/programs/challenge/vrp/).

#### **2214 2215** D.2.2 SOFTWARE

**2216 2217 2218 2219 2220 2221 2222 2223 2224** Software-wise, we used Python 3.11 and PyTorch 2.3 [\(Paszke et al.,](#page-14-2) [2019\)](#page-14-2)<sup>[15](#page-41-0)</sup>, most notably due to the native implementation of scaled\_dot\_product\_attention. Given that most models in RL constructive methods for CO generally use attention for encoding states, FlashAttention has some boost on the performance (between 5% and 20% saved time depending on the problem size) when training is subject to mixed-precision training, which we do for all experiments. During decoding, the FlashAttention routine is not called since, at the time of writing, it does not support maskings other than causal; this could further boost performance compared to older implementations. Refer to [Appendix A.2](#page-20-0) for additional details regarding notable software choices of our library, namely TorchRL, PyTorch Lightning, and Hydra.

**2225 2226** D.3 HYPERPARAMETERS

#### **2227** D.3.1 COMMON HYPERPARAMETERS

**2229 2230 2231** Common hyperparameters can be found in the config/ folder from the RL4CO library, which can be conveniently loaded by Hydra. We provide yaml-like configuration files below, divided by experiments in [Listing 1.](#page-42-0)

#### **2232 2233** D.3.2 CHANGING POLICY COMPONENTS

**2234 2235 2236 2237 2238 2239 2240 2241 2242 2243 2244** We train the models evaluated in [Table 3](#page-6-0) using the same number of training instances as well as identical hyperparameters. Specifically, models are trained for 10 epochs on 2.000 training instances using the PPO algorithm with clip range  $\epsilon = 0.2$ . The training dataset is split into batches of size 100 to construct the replay buffer. For the PPO optimization we sample mini-batches of size 512 from the replay buffer until it is empty and repeat this for  $\mathcal{R} = 3$  inner epochs. All models use an embedding dimension  $d_h$  of 256. The number of encoder layersis set to  $L = 3$  in each case. Further, MatNet and the AM Pointer use  $H = 8$  attention heads. The parameters of the models are updated using the Adam optimizer with learning rate  $10^{-4}$ . Afterwards, the trained policies are evaluated on 1.000 randomly generated test instances. The Hydra config files corresponding to this experiment, which also implement the different model architectures, can be found in the config/experiment/scheduling folder from the RL4CO library

<span id="page-41-1"></span>**2245 2246** D.3.3 MIND YOUR BASELINE

**2247 2248 2249 2250 2251 2252 2253** We run all models to match the original implementation details under *controlled* settings. In particular, we run all models for 250, 000 gradient steps with the same Adam [\(Kingma and Ba,](#page-12-4) [2014\)](#page-12-4) optimizer with a learning rate of  $10^{-4}$  and 0 weight decay. For POMO, we match the original implementation details of weight decay as  $10^{-6}$ . For POMO, the number of multistarts is the same as the number of possible initial locations in the environment (for instance, for TSP50, 50 starts are considered). In the case of Sym-NCO, we use 10 as augmentation for the shared baseline; we match the number of effective samples of AM-XL to the ones of Sym-NCO to demonstrate the differences between models.

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<span id="page-41-0"></span>**<sup>2265</sup> 2266 2267** <sup>15</sup>During development, we also used beta wheels as well as manually installed version of FlashAttention [\(Dao et al.,](#page-10-0) [2022;](#page-10-0) [Dao,](#page-10-1) [2023\)](#page-10-1). Note that software version varied in terms of training runs depending on the author who ran experiments (e.g. any range of Python and PyTorch as  $[3.9, 3.10, 3.11] \times [2.0, 2.1, 2.2, 2.3]$ , which RL4CO can support out of the box on multiple devices and operating systems.

```
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          Example Hydra Configuration
        1 defaults: # override default configurations under configs/
        2 - override /env: tsp.yaml
        3 - override /model: am.yaml
        4 - override /callbacks: default.yaml
        5 - override /trainer: default.yaml
        6 - override /logger: wandb.yaml
        7
        8 # Environment
        9 env:
       10 generator_params:
       11 num_loc: 50
       12
       13 # RL Algorithm and policy (env passed automatically)
       14 model:
       15 policy: # override policy parameters to pass to the RL algo
       16 _target_: rl4co.models.zoo.am.policy.AttentionModelPolicy
       17 embed_dim: 128
       18 num_heads: 8
       19 num_encoder_layers: 3
       20 feedforward_hidden: 128
       21 env_name: "${env.name}" # automatically construct env embeddings
       22 baseline: "rollout" # REINFORCE baseline
       23 batch_size: 512
       24 train_data_size: 1_280_000
       25 optimizer_kwargs:
       26 lr: 1e-4
       27
       28 # Optional override of checkpoint parameters
       29 model_checkpoint:
       30 dirpath: ${paths.output_dir}/checkpoints
       31 filename: "epoch_{epoch:03d}"
       32
       33 # Trainer
       34 trainer:
       35 max_epochs: 100
       36 gradient_clip_val: 1.0
       37 max_epochs: 100
       38 precision: "16-mixed" # allows for FlashAttention
       39 strategy: DDPStrategy # efficient for multiple GPUs
       40 matmul_precision: "medium" # speeds up calculation
       41
       42 # Logging
       43 logger:
       44 wandb:
       45 project: "rl4co"
       46 name: "am-tsp${env.generator_params.num_loc}"
```
 Listing 1: Example example.yaml configuration for the AM from the AR routing experiments. Additional parameters are modularized in the actual configs and moved to the other config folders (such as env/tsp.yaml so that a single experiment config is not too cluttered. Running this configuration is simple: placed under configs/experiments/, it can be called with python run.py experiment=example.

 The number of epochs for all models is 100, except for AM-XL (500). We also employ learning rate scheduling, in particular, MultiStepLR <sup>[16](#page-42-1)</sup> with  $\gamma = 0.1$  on epoch 80 and 95; for AM-XL, this applies on epoch 480 and 495.

 PPO for the AM We follow other hyperparameters for REINFORCE baselines. We set the number of mini-epochs to 2, mini-batch size to 512, clip range to 0.2, and entropy coefficient  $c_2 = 0.01$ .

<span id="page-42-1"></span>[https://pytorch.org/docs/stable/generated/torch.optim.lr\\_scheduler.MultiStepLR](https://pytorch.org/docs/stable/generated/torch.optim.lr_scheduler.MultiStepLR)

**2322 2323 2324 2325** Interestingly, we found that normalizing the advantage as done in the Stable Baselines PPO2 imple-mentation<sup>[17](#page-43-0)</sup> slightly hurt performance, so we set the normalize advantage parameter to  $False$ . We suspect this is because the NCO solvers are trained on *multiple* problem instances, unlike the other RL applications that aim to learn a policy for a single MDP.

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**2327 2328 2329 2330 2331 2332** Sample Efficiency Experiments We keep the same hyperparameters as the *mind your baseline*, experiments except for the number of epochs and scheduling. We consider 5 independent runs that match the number of samples *per step* (i.e., the batch size is exactly the same for all models after considering techniques such as the multistart and symmetric baselines). For AM Rollout, we employ half the batch size of other models since it requires double the number of evaluations due to its baseline.

**2333 2334 2335 2336 2337 2338 2339 2340** Search Methods Experiments For these experiments, we employ the same models trained in the in-distribution benchmark on 50 nodes. For Active Search (AS), we run 200 iterations for each instance and an augmentation size of 8. The Adam optimizer is used with a learning rate of  $2.6 \times 10^{-4}$ and weight decay of 10−<sup>6</sup> . For Efficient Active Search, we benchmark EAS-Lay (with an added layer during the single-head computation, PointerAttention in our code) with the original hyperparameters proposed by [Hottung et al.](#page-11-0) [\(2022\)](#page-11-0). The learning rate is set to 0.0041 and weight decay to 10−<sup>6</sup> . The search is restricted to 200 iterations with dihedral augmentation of 8 as well as imitation learning weight  $\lambda = 0.013$ .

**2341 2342 2343** Testing is performed on 100 instances on both TSP and CVRP for  $N \in [200, 500, 1000]$ , generated with the usual random seed for testing 1234.

### <span id="page-43-1"></span>**2344 2345** D.3.4 GENERALIZATION: CROSS-TASK AND CROSS-DISTRIBUTION

**2346 2347 2348 2349 2350 2351 2352 2353 2354** In addition to training on uniformly distributed instances, as is standard for POMO [Kwon et al.](#page-12-5) [\(2020\)](#page-12-5), we further train POMO [Kwon et al.](#page-12-5) [\(2020\)](#page-12-5) on a mixture of multiple distributions (i.e., the exemplar distributions defined in  $(Bi$  et al., [2022\)](#page-9-5)) and multiple VRP tasks (i.e., CVRP, OVRP, VRPL, VRPB, VRPTW, and OVRPTW, as defined in [\(Liu et al.,](#page-13-2) [2024a;](#page-13-2) [Zhou et al.,](#page-17-0) [2024;](#page-17-0) [Berto](#page-9-6) [et al.,](#page-9-6) [2024\)](#page-9-6)) with fixed problem size  $N = 50$ , termed as MDPOMO and MTPOMO, respectively. Note that all the models in [Table 5](#page-7-0) undergo training across 10,000 epochs, each with a batch size of 512 and 10,000 training instances. The other training setups are consistent with the previous work [\(Kwon et al.,](#page-12-5) [2020\)](#page-12-5). The whole training time is within one day. During inference, we evaluate their generalization performance on the benchmark datasets in CVRPLib [Lima et al.](#page-13-3) [\(2014\)](#page-13-3) using greedy rollout with  $8\times$  instance augmentation and multiple start nodes following [Kwon et al.](#page-12-5) [\(2020\)](#page-12-5).

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## **2357** D.3.5 LARGE-SCALE INSTANCES

**2358 2359 2360** The GLOP [\(Ye et al.,](#page-16-2) [2024b\)](#page-16-2) models' global policy are trained on random instances of CVRP1K and CVRP2K, respectively. Both models are trained for 100 epochs, with each epoch comprising 1000 instances. To accelerate the training process, random insertion is utilized as the sub-TSP solver.

**2361 2362 2363 2364 2365** For the experiment results presented in [Table 6,](#page-7-0) we evaluate our implementation using the identical instances and setup as those utilized in [Ye et al.](#page-16-2) [\(2024b\)](#page-16-2). The AM revisers involved are directly adopted from [Ye et al.](#page-16-2) [\(2024b\)](#page-16-2). [Table 14](#page-51-0) reports the generalization performance of the CVRP2K model on 100 CVRP10K instances and 24 CVRP20K instances. These test instances are generated following the procedure in [Nazari et al.](#page-14-3) [\(2018\)](#page-14-3), with the capacities fixed to 1000.

**2367** D.3.6 COMBINING CONSTRUCTION AND IMPROVEMENT

**2368 2369 2370 2371 2372 2373 2374 2375** To test the potential collaboration between constructive and improvement methods (in Appendix [E.5](#page-57-0) and Section [5.3\)](#page-8-0), we recorded the performance of improvement methods during inference with initial solutions generated either randomly or by leveraging solutions generated greedily by constructive methods. This was done for both TSP and PDP with a fixed problem size of  $N = 50$ . We used a test set with 1,000 instances for both TSP and PDP and recorded the runtime for all constructive and improvement solvers based on an INTEL XEON GOLD 5317 CPU @ 3.00GHZ and one RTX 3090 GPU.

<span id="page-43-0"></span><sup>17</sup><https://stable-baselines.readthedocs.io/en/master/modules/ppo2.html>

**2376 2377 2378 2379 2380 2381** For the constructive models to bootstrap improvement, we used the POMO and HAM (i.e. AM with rollout baseline, with HAM [\(Li et al.,](#page-13-4) [2021\)](#page-13-4) encoder for construction PDP) directly from [Ap](#page-41-1)[pendix D.3.3.](#page-41-1) Note that these models were trained under controlled settings and could see a further boost in performance with further training. Moreover, while we used simple greedy evaluation, more complex evaluation schemes may be used, such as combining symmetric augmentation, multistart, or advanced sampling techniques as nucleus sampling.

**2382 2383 2384 2385 2386** For the improvement models, we used both DACT and NeuOpt (with  $K = 4$ ) for TSP, and the N2S model for PDP. Training for all models was conducted with 200 epochs and 20 batches per epoch, with a batch size of 512 for TSP and 600 for PDP. The n-step and maximum improvement steps for training were set to 4 and 200, respectively. Other hyperparameters such as learning rate, curriculum learning scaler, and gradient norm clip were set as per their original papers.

## D.4 DECODING SCHEMES

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<span id="page-44-0"></span>Due to the limited space in the main paper, we further elaborate on the setup of the decoding schemes (or *strategies*) in this section, shown in [Fig. 15.](#page-44-0)



Figure 15: Inference methods we consider in RL4CO. These can also be combined together, such as greedy multistart with augmentation.

## D.4.1 AUGMENTATIONS

**2404 2405 2406 2407 2408 2409 2410 2411 2412** In RL4CO, we consider as augmentations any transformation  $\psi$  that maps an instance x into an instance  $x'$  whose (optimal) solution should be the same or close to the original. Augmentations have been used in various domains, such as computer vision, where, for example, labels are invariant to rotations. Similarly, in Euclidean CO, one can apply the *dihedral transformation* of [Table 10](#page-44-1) to generate a new instance whose solution is the same as the original one, composed of 4 rotations and 2 flips for a total of  $\times 8$  transformation (which is the default used in POMO-based models as [Kwon et al.](#page-12-5) [\(2020\)](#page-12-5); [Liu et al.](#page-13-2) [\(2024a\)](#page-13-2); [Zhou](#page-17-0) [et al.](#page-17-0)  $(2024)$ . As introduced in [Kim et al.](#page-12-6)  $(2022)$ , one may additionally use any angle  $\theta$  to perform a symmetric transformation as follows:

<span id="page-44-1"></span>Table 10: Dihedral transformations [\(Kwon](#page-12-5) [et al.,](#page-12-5) [2020\)](#page-12-5).



$$
\begin{pmatrix} x' \\ y' \end{pmatrix} = \psi(x, y) = \begin{pmatrix} x \cos \theta & -y \sin \theta \\ x \sin \theta & +y \cos \theta \end{pmatrix}
$$

 $\overline{\phantom{0}}$ 

**2417 2418 2419 2420** where  $\theta \in [0, 2\pi]$ . Interestingly, we found that, generally, the dihedral augmentation is worse in terms of sample efficiency compared to randomly augmenting by sampling a  $\theta$  value. We note that other augmentations are possible, including dilation [\(Bdeir et al.,](#page-9-7) [2022\)](#page-9-7) (i.e., rescaling) and possibly new ones such as *jittering*, which may have a broader application than Euclidean CO.

**2421 2422** D.4.2 SAMPLING

**2423 2424 2425 2426** In most NCO approaches, sampling is performed by simply increasing the evaluation budget but without additional modifications that can be important for better performance. We include the following techniques in RL4CO: 1) *Sampling with Softmax Temperature*, 2) *Top-k Sampling* and 3) *Top-p Sampling*, visualized in [Fig. 16.](#page-45-0)

**2428 2429** Sampling with Softmax Temperature Sampling with softmax temperature is a technique used to control the randomness of the sampling process. The temperature parameter  $\tau$  is introduced to the softmax function, which converts the logits  $z$  into a probability distribution:

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<span id="page-45-0"></span>

Figure 16: Sampling techniques implemented in RL4CO.

$$
p_i = \frac{\exp(z_i/\tau)}{\sum_{j=1}^{N} \exp(z_j/\tau)}
$$
(35)

where  $p_i$  is the probability of selecting the *i*-th action,  $z_i$  is the corresponding logit, and N is the total number of actions. A higher temperature  $\tau > 1$  makes the distribution more uniform, increasing the chances of selecting less likely actions. Conversely, a lower temperature  $0 < \tau < 1$  makes the distribution sharper, favoring the most likely actions.

**2454 2455 2456 2457 2458 Top-k Sampling** Top-k sampling is a method that restricts the sampling space to the  $k$  most likely actions. Given the logits  $z$ , the top-k actions with the highest probabilities are selected, and the probabilities of the remaining actions are set to zero. The probability distribution is then renormalized over the selected actions:

$$
p_i = \begin{cases} \frac{\exp(z_i/\tau)}{\sum_{j \in \mathcal{T}_k} \exp(z_j/\tau)} & \text{if } i \in \mathcal{T}_k \\ 0 & \text{otherwise} \end{cases}
$$
 (36)

**2462 2463 2464 2465 2466** where  $\mathcal{T}_k$  is the set of indices corresponding to the top-k actions. Top-k sampling helps to eliminate the possibility of generating low-probability actions, improving the quality and coherence of the generated output. We note that, however, in CO problems, it may not be as straightforward as in large language models to select the  $k$  parameter since neighborhoods and distributions are not homogeneous.

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**2469 2470 2471 2472 2473 Top-p Sampling** Top-p sampling, also known as nucleus sampling, is an alternative to top-k sampling that dynamically adjusts the number of actions considered for sampling based on a probability threshold  $p$  [\(Holtzman et al.,](#page-11-2) [2019\)](#page-11-2). The actions are sorted by their probabilities in descending order, and the cumulative probability is calculated. The sampling space is then restricted to the smallest set of actions whose cumulative probability exceeds the threshold  $p$ :

$$
\mathcal{T}_p = \left\{ i : \sum_{j=1}^i p_j \le p \right\} \tag{37}
$$

**2478 2479 2480** where  $\mathcal{T}_p$  is the set of indices corresponding to the actions included in the top-p sampling. The probabilities of the actions in  $\mathcal{T}_p$  are renormalized, while the probabilities of the remaining actions are set to zero:

$$
p_i = \begin{cases} \frac{\exp(z_i/\tau)}{\sum_{j \in \mathcal{T}_p} \exp(z_j/\tau)} & \text{if } i \in \mathcal{T}_p \\ 0 & \text{otherwise} \end{cases}
$$
(38)

**2484 2485 2486 2487** Top-p sampling provides a more dynamic way to control the diversity and quality of the generated output compared to top-k sampling. In CO, this is also a more structured way of performing training or evaluation since top-p sampling is agnostic of the number of nodes, unlike top-k sampling.

## E ADDITIONAL EXPERIMENTS

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**2488**

E.1 MIND YOUR BASELINE: FURTHER INSIGHTS

**2492 2493 2494 Benchmark Setup** We focus on benchmarking the AR routing NCO solvers under controlled settings, aiming to compare all benchmarked methods as closely as possible in terms of network architectures and the number of training samples consumed.

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**2497 2498 2499 2500 2501 2502 2503 2504 2505** Models We evaluate the following NCO solvers: 1) *AM* [\(Kool et al.,](#page-12-1) [2019a\)](#page-12-1) with rollout baseline, 2) *POMO* [\(Kwon et al.,](#page-12-5) [2020\)](#page-12-5) with the shared baseline to train AM instead of the rollout baseline; we also use six MHA layers and InstanceNorm instead of BatchNorm according to the original implementation, 3) *Sym-NCO* [\(Kim et al.,](#page-12-6) [2022\)](#page-12-6) utilizes the symmetric baseline to train AM instead of the rollout baseline and the same encoder as POMO, 4) *AM-XL* is an AM model that adopts *POMO*-style MHA encoder, and trained on the same number of samples as POMO, with the goal of seeing whether training for longer, as done in POMO, can significantly improve the results 5) *A2C*, i.e. AM trained with Advantage Actor-Critic (A2C), 6) *AM-PPO* trained via the Proximal Policy Optimization (PPO, [Schulman et al.](#page-15-1) [\(2017\)](#page-15-1)) algorithm and finally 7) Polynet [\(Hottung et al.,](#page-11-3) [2024\)](#page-11-3) with shared baseline and setting  $K = n$ .

**2506 2507 2508 2509 2510** For fairness of comparison, we try to match the number of training steps to be the same and adjust the batch size accordingly. Specifically, we train models for 100 epochs as in [Kool et al.](#page-12-1) [\(2019a\)](#page-12-1) using the Adam optimizer [\(Kingma and Ba,](#page-12-4) [2014\)](#page-12-4) with an initial learning rate (LR) of 0.001 with a decay factor of 0.1 after the 80th and 95th epochs<sup>[18](#page-46-0)</sup>. We evaluate the trained solvers using the schemes shown in [Fig. 15.](#page-44-0)

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**2512** E.1.1 MAIN IN-DISTRIBUTION RESULTS

**2513 2514 2515 2516 2517 2518 2519 2520 2521** We first measure the performances of NCO solvers on the same dataset distribution on which they are trained. We first observe that, counter to the commonly known trends that AM < POMO < Sym-NCO, the trends can change to decoding schemes and targeting CO problems. Especially when the solver decodes the solutions with *Augmentation* or *Greedy Multistart + Augmentation*, the performance differences among the benchmarked solvers on TSP and CVRP become less significant. Surprisingly, PolyNet performs well even in the greedy one-shot setting, despite its primary focus on generating diverse solutions. For decoding schemes that generate multiple solutions, PolyNet demonstrates strong performance across various problems. Particularly for decoding schemes without multistarts, PolyNet benefits significantly from its inherent diversity mechanism

**2522 2523 2524 2525 2526 2527 2528 2529 2530 2531 2532 2533 2534 2535** We note that the original implementation of POMO  $19$  is not directly applicable to OP, PCTSP, and PDP. Adapting it to solve new problems is not straightforward due to the coupling between environment and policy implementations. However, owing to the flexibility of RL4CO, we successfully implemented POMO for OP and PCTSP. Our results indicate that POMO underperforms in OP and PCTSP; unlike TSP, CVRP, and PDP, where all nodes need to be visited, OP and PCTSP are not constrained to visit all nodes. Due to such differences, POMO's visiting all nodes strategy may not work as an effective inductive bias. Further, we benchmark the NCO solvers for PDP, which was not originally supported natively by each of the benchmarked solvers. We apply the environment embeddings and the Heterogeneous Attention Encoder from HAM [\(Li et al.,](#page-13-4) [2021\)](#page-13-4) to the NCO models for encoding pickup and delivery pairs, further emphasizing RL4CO's flexibility. We observe that AM-XL, which employs the same RL algorithm as AM but features the encoder architecture of POMO and is trained with an equivalent number of samples, yields performance comparable to NCO solvers using more sophisticated baselines. This suggests that careful controls on architecture and the number of training samples are required when evaluating NCO solvers. We also re-implemented

**<sup>2536</sup> 2537**  $18$ We find that simple learning rate scheduling with MultiStepLinear can improve performance i.e., compared to the original AM implementation.

<span id="page-46-1"></span><span id="page-46-0"></span><sup>19</sup><https://github.com/yd-kwon/POMO>

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2541	Method		<b>TSP</b>			<b>CVRP</b>			OP			<b>PCTSP</b>			<b>PDP</b>	
		$Cost \downarrow$	Gap	Time	$Cost \downarrow$	Gap	Time	Prize 1	Gap	Time	$Cost \downarrow$	Gap	Time	$Cost \downarrow$	Gap	Time
2542									<b>Classical Solvers</b>							
2543	Gurobi	5.70	$0.00\%$	2m	÷,	-	$\overline{\phantom{a}}$			÷	$\equiv$	$\overline{\phantom{0}}$	$\overline{a}$			
2544	Concorde	5.70	$0.00\%$	2m	L,	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$		÷	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	-	
	HGS Compass	$\qquad \qquad -$ $\overline{\phantom{m}}$	- $\overline{\phantom{a}}$	$\overline{\phantom{a}}$ $\overline{\phantom{0}}$	10.37 -	$0.00\%$ -	10 <sub>h</sub> $\overline{\phantom{a}}$	$\overline{\phantom{a}}$ 16.17	$0.00\%$	i. 5m	- $\overline{\phantom{0}}$	- $\overline{\phantom{0}}$	- $\overline{\phantom{a}}$		۰ $\overline{\phantom{a}}$	۰ -
2545	LKH3	5.70	$0.00\%$	5m	10.38	0.10%	12h	$\overline{\phantom{0}}$		$\overline{\phantom{0}}$	$\equiv$	÷	$\overline{\phantom{0}}$	6.86	$0.00\%$	1h30m
2546	<b>OR</b> Tools	5.80	1.83%	5m	$\overline{\phantom{0}}$	-	$\overline{\phantom{0}}$	-	۳	L,	4.48	$0.00\%$	5h	7.36	7.29%	2 <sub>h</sub>
2547									Greedy One Shot Evaluation							
2548	A2C	5.83	2.22%	(<1s)	11.16	7.09%	(<1s)	14.77	8.64%	(<1s)	5.15	14.96%	(<1s)	7.52	9.90%	(<1s)
	AM	5.78	1.41%	(< 1s)	10.95	5.30%	$(<$ 1s)	15.46	4.40%	(<1s)	4.59	2.46%	(< 1s)	7.51	9.88%	$(<$ 1s)
2549	<b>POMO</b> Sym-NCO	5.75 5.72	0.89% 0.47%	$(<$ 1s) (<1s)	10.80 10.87	3.99% 4.61%	(<1s) (<1s)	13.86 15.67	14.26\% 3.09%	(<1s) (<1s)	5.00 4.52	11.61% 2.12%	(< 1s) (< 1s)	7.59 7.39	10.64% 7.73%	$(<$ 1s) $(<$ 1s)
2550	AM-XL	5.73	0.54%	(<1s)	10.84	4.31%	(<1s)	15.69	2.98%	(<1s)	4.53	2.44%	$(<$ 1s)	7.31	6.56%	$(<$ 1s)
	AM-PPO	5.76	0.92%	(<1s)	10.87	4.60%	(<1s)	15.67	3.05%	(<1s)	4.55	2.45%	$(<$ 1s)	7.43	8.31\%	$(<$ 1s)
2551	PolyNet	5.72	0.68%	2s	10.81	4.24%	2s	15.70	2.93%	2s	4.54	2.45%	2s	8.26	3.46%	2s
2552									Sampling with width $M = 1280$							
2553	A2C	5.74	0.72%	40s	10.70	3.07%	1m24s	15.14	6.37%	48s	4.96	10.71%	57s	7.32	6.70%	1m15s
	AM	5.72	0.40%	40s	10.60	2.22%	1m24s	15.90	1.68%	48s	4.52	0.99%	57s	7.25	5.69%	1m15s
2554	<b>POMO</b> Sym-NCO	5.71 5.70	0.18% 0.14%	1 <sub>m</sub> 1 <sub>m</sub>	10.54 10.58	1.64% 2.03%	2m30s 2m30s	14.62 16.02	9.56% 0.93%	1 <sub>m10s</sub> 1 <sub>m10s</sub>	4.82 4.52	7.59% 0.82%	1m23s 1m23s	7.31 7.17	6.56% 4.52%	1 <sub>m50s</sub> 1 <sub>m50s</sub>
2555	AM-XL	5.71	0.17%	1 <sub>m</sub>	10.57	1.91%	2m30s	15.97	1.25%	1 <sub>m10s</sub>	4.52	$0.88\%$	1 <sub>m23s</sub>	7.15	4.23%	1 <sub>m50s</sub>
	AM-PPO	5.70	0.15%	40s	10.52	1.52%	1m24s	16.04	0.78%	48s	4.48	0.18%	57s	7.17	4.52%	1 <sub>m15s</sub>
2556	PolyNet	5.70	0.15%	1 <sub>m20s</sub>	10.42	0.53%	2m40s	16.08	0.52%	1 <sub>m15s</sub>	4.47	0.13%	2m15s	6.93	0.81%	2m10s
2557									Greedy Multistart (N)							
2558	A2C	5.80	1.81%	2s	10.90	4.86%	6s	14.61	9.65%	4s	5.12	14.29%	5s	7.54	9.85%	4s
	AM	5.77	1.21%	2s	10.73	3.39%	6s	15.71	2.84%	4s	4.56	1.89%	5s	7.46	8.75%	4s
2559	<b>POMO</b> Sym-NCO	5.71 5.72	0.29% 0.36%	3s 3s	10.58 10.71	2.04% 3.17%	<b>8s</b> <b>8s</b>	13.95 15.88	13.71% 1.79%	7s 7s	4.98 4.55	11.16% 1.59%	7s 7s	7.46 7.38	8.75% 7.58%	6s 6s
2560	AM-XL	5.72	0.42%	3s	10.68	2.88%	<b>8s</b>	15.85	1.95%	7s	4.56	1.79%	7s	7.25	5.69%	6s
	AM-PPO	5.74	0.61%	2s	10.67	2.72%	6s	15.98	1.21%	4s	4.53	1.18%	5s	7.23	5.39%	4s
2561	PolyNet	5.70	0.25%	3s	10.52	1.42%	18s	16.05	0.71%	3s	4.54	1.31%	10s	7.18	4.65%	5s
2562									Greedy with Augmentation (1280)							
2563	A2C	5.71	0.18%	40s	10.63	2.49%	1m24s	14.89	7.91%	48s	5.15	14.96%	1 <sub>m</sub>	7.03	2.46%	1m15s
	AM <b>POMO</b>	5.70 5.70	0.07% $0.06\%$	40s 1 <sub>m</sub>	10.53 10.55	1.56% 1.72%	1m24s 2m30s	15.88 14.23	1.79% 11.97%	48s 1m15m	4.59 5.09	2.46% 13.61%	1 <sub>m</sub> 1m42s	7.14 7.15	4.08% 4.23%	1 <sub>m15s</sub> 1m45s
2564	Sym-NCO	5.70	0.01%	1 <sub>m</sub>	10.53	1.54%	2m30s	15.94	1.41%	1m15m	4.58	2.17%	1m42s	7.03	2.48%	1m45s
2565	AM-XL	5.70	0.01%	1 <sub>m</sub>	10.52	1.47%	2m30s	15.90	1.66%	1m15m	4.59	2.54%	1m42s	6.98	1.75%	1m45s
	AM-PPO	5.70	0.15%	40s	10.52	1.52%	1m24s	16.01	$0.84\%$	48s	4.48	$0.18\%$	1 <sub>m</sub>	7.00	2.04%	1 <sub>m15s</sub>
2566	PolyNet	5.70	0.17%	1 <sub>m30s</sub>	10.47	0.92%	3m	16.05	$0.72\%$	2m	4.47	$0.10\%$	2m10s	6.94	1.20%	2m15s
2567									Greedy Multistart with Augmentation ( $N \times 16$ )							
2568	A2C AM	5.72 5.71	$0.41\%$ 0.21%	32s 32s	10.67 10.55	2.81% 1.73%	1 <sub>m</sub> 1 <sub>m</sub>	15.22 16.05	5.88% 0.76%	30s 30s	5.06 4.54	12.94% 1.28%	35s 35s	7.10 7.10	3.51% 3.50%	50s 50s
	<b>POMO</b>	5.70	0.05%	48s	10.48	1.11%	2m	15.05	6.94%	1 <sub>m</sub>	4.92	9.81%	1 <sub>m</sub> 10 <sub>s</sub>	7.12	3.79%	1 <sub>m25s</sub>
2569	Sym-NCO	5.70	0.03%	48s	10.54	1.63%	2m	16.09	0.51%	1 <sub>m</sub>	4.53	1.17%	1 <sub>m</sub> 10 <sub>s</sub>	7.01	2.19%	1m25s
2570	AM-XL	5.70	0.04%	48s	10.53	1.50%	2m	16.08	$0.57\%$	1 <sub>m</sub>	4.54	1.25%	1 <sub>m</sub> 10 <sub>s</sub>	7.00	2.04%	1m25s
	AM-PPO	5.70	0.03%	32s	10.51	1.45%	1 <sub>m</sub>	16.09	$0.49\%$	30s	4.49	$0.89\%$	35s	6.98	1.75%	50s
2571	PolyNet	5.70	0.15%	1 <sub>m</sub>	10.41	0.36%	2m16s	16.11	0.37%	1m24s	4.49	0.24%	1 <sub>m35s</sub>	7.02	2.33%	1 <sub>m50s</sub>

<span id="page-47-0"></span>**2538 2539** Table 11: In-distribution benchmark results for routing problems with 50 nodes. We report the gaps to the best-known solutions of classical heuristics solvers.

**2574 2575** PointerNetworks [\(Vinyals et al.,](#page-16-4) [2015;](#page-16-4) [Bello et al.,](#page-9-2) [2017\)](#page-9-2), but we excluded them from the main table due to their poor performance, i.e., more than 4% optimality gap in TSP50.

**2576** [Table 11](#page-47-0) and [Table 12](#page-48-0) show detailed results for 50 and 20 nodes, respectively.

## **2578 2579** E.1.2 DECODING SCHEMES COMPARISON

**2580 2581 2582 2583 2584 2585 2586 2587** During inference, investing more computational resources (i.e., sampling more), the trained NCO solver can discover improved solutions. We examine the performance gains achieved with varying numbers of samples. As shown in [Fig. 17,](#page-48-1) the *Augmentation* decoding scheme achieves the Pareto front with limited samples and, notably, generally outperforms other decoding schemes. We note that while sampling with a light decoder can be more efficient in terms of speed than sampling, this may not be true for heavy-decoder [\(Luo et al.,](#page-13-5) [2024a\)](#page-13-5) or decoder-only models [\(Drakulic et al.,](#page-10-2) [2023;](#page-10-2) [Luo et al.,](#page-13-6) [2024b;](#page-13-6) [Pirnay and Grimm,](#page-14-4) [2024\)](#page-14-4), where decoding via greedy augmentations may help improve performance.

**2588 2589** E.1.3 SAMPLE EFFICIENCY

**2590 2591** We additionally evaluate the NCO solvers based on the number of training samples (i.e., the number of reward evaluations). As shown in [Fig. 18,](#page-49-0) we found that actor-critic methods (e.g., A2C and PPO) can exhibit efficacy in scenarios with limited training samples, as demonstrated by the TSP50/100

<span id="page-48-0"></span>

Table 12: In-distribution results for models trained on 20 nodes.

Method		TSP			<b>CVRP</b>			<b>OP</b>			<b>PCTSP</b>			<b>PDP</b>	
	Cost $\downarrow$	Gap	Time	$Cost \downarrow$	Gap	Time	Prize <sup>+</sup>	Gap	Time	$Cost \perp$	Gap	Time	Cost L	Gap	Time
							<b>Classical Solvers</b>								
Gurobi <sup>†</sup>	3.84	$0.00\%$	7s	i.	L.	$\overline{\phantom{a}}$	$\equiv$	L	$\sim$	$\overline{\phantom{a}}$		L.	÷,	L,	
Concorde	3.84	$0.00\%$	1 <sub>m</sub> L,	$\overline{\phantom{0}}$	L. $0.00\%$	$\overline{\phantom{0}}$	5.39 <u>.</u>	$0.00\%$	16m ÷	3.13	$0.00\%$	2m	÷	$\overline{\phantom{0}}$	
HGS Compass	$\equiv$	$\overline{a}$	L,	6.13 -		4h ÷	L,		$\overline{\phantom{0}}$	$\overline{\phantom{0}}$		L,		$\qquad \qquad -$	
<i>LKH3</i>	3.84	$0.00\%$	15s	6.14	0.16%	5h	-		$\overline{\phantom{0}}$	L,		$\overline{\phantom{0}}$	L,	$\overline{\phantom{0}}$	$\equiv$
<b>OR</b> Tools <b>CPLEX</b>	3.85	0.37%	1 <sub>m</sub> $\overline{\phantom{0}}$	$\qquad \qquad -$ $\overline{\phantom{0}}$	L,	÷ $\equiv$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	L, $\overline{\phantom{0}}$	3.13 $\overline{\phantom{0}}$	$0.00\%$	5h $\overline{\phantom{0}}$	4.70 4.56	3.16% $0.00\%$	1 <sub>h</sub> 7m23s
							Greedy One Shot Evaluation								
A2C	3.86	0.64%	(<1s)	6.46	5.00%	(	5.01	6.70%	(<1s)	3.36	7.35%	$(<$ 1s)	4.71	3.31%	$(<$ 1s)
AM	3.84	0.19%	(<1s)	6.39	3.92%	$(\leq 1s)$	5.20	3.17%	(<1s)	3.17	1.28%	$(<$ 1s)	4.82	5.70%	$(<$ 1s)
POMO	3.84	0.18%	(<1s)	6.33	3.00%	$(<$ ls)	4.69	12.69%	(< 1s)	3.41	8.95%	$(<$ 1s)	4.85	6.36%	$(<$ 1s)
Sym-NCO AM-XL	3.84 3.84	0.05% 0.07%	(<1s)	6.30 6.31	2.58% 2.81%	(<1s) $(\leq$ ls)	5.30 5.25	1.37% 2.23%	(< 1s)	3.15 3.17	0.64% 1.26%	$(<$ 1s)	4.70 4.71	3.07% 3.29%	$(<$ 1s) $(<$ 1s)
PolyNet	3.84	$0.10\%$	$(\leq$ ls) (<1s)	6.40	4.44%	$(\leq$ ls)	5.26	2.28%	$(<$ 1s) $(\leq$ ls)	3.18	1.98%	$(<$ 1s) (<1s)	4.69	2.92%	$(\leq 1s)$
							Sampling with width $M = 1280$								
A2C	3.84	0.15%	20s	6.26	2.08%	24s	5.12	4.66%	22s	3.28	4.79%	23s	4.64	1.76%	23s
AM	3.84	0.04%	20s	6.24	1.78%	24s	5.30	1.30%	22s	3.15	0.78%	23s	4.66	2.19%	23s
POMO	3.84	0.02%	36s	6.20	1.06%	40s	4.90	8.83%	37s	3.33	6.39%	39s	4.68	2.63%	39s
Sym-NCO	3.84	0.01%	36s	6.22	1.44%	40s	5.34	0.59%	37s	3.14	0.35%	39s	4.64	1.75%	39s
AM-XL	3.84	$0.02\%$	36s	6.22	1.46%	40s	5.32	0.93%	37s	3.15	0.56%	39s	4.64	1.75%	39s
PolyNet	3.84	$0.00\%$	47s	6.14	0.23%	1m15s	5.35	0.52%	37s	3.13	0.15%	1 <sub>m15s</sub>	4.59	0.57%	1 <sub>m36s</sub>
							Greedy Multistart $(N)$								
A2C	3.85	0.36%	(<1s)	6.33	3.04%	3s	5.06	5.77%	2s	3.30	5.18%	2s	4.85	6.42%	2s
AM	3.84	0.12%	(<1s)	6.28	2.27%	3s	5.24	2.42%	2s	3.16	0.95%	2s	4.67	2.41%	2s
<b>POMO</b>	3.84	0.05%	(<1s)	6.21	1.27%	4s	4.76	11.32%	3s	3.35	7.03%	4s	4.66	2.19%	4s
Sym-NCO AM-XL	3.84 3.84	$0.03\%$ 0.05%	$(<$ 1s) (<1s)	6.22 6.22	1.48% 1.38%	4s 4s	5.32 5.29	0.87% 1.49%	3s 3s	3.15 3.15	0.62% 0.64%	4s 4s	4.69 4.65	2.85% 1.97%	4s 4s
PolyNet	3.84	0.01%	1s	6.17	0.71%	5s	5.34	0.58%	1s	3.15	0.76%	5s	4.81	5.43%	5s
							Greedy with Augmentation (1280)								
A <sub>2</sub> C	3.84	0.01%	20s	6.22	1.35%	24s	5.04	$6.10\%$	22s	3.33	6.39%	23s	4.61	1.11%	23s
AM	3.84	$0.00\%$	20s	6.20	1.07%	24s	5.25	2.25%	22s	3.16	0.96%	23s	4.63	1.54%	23s
<b>POMO</b>	3.84	$0.00\%$	36s	6.18	0.84%	45s	4.85	9.76%	38s	3.37	7.55%	42s	4.62	1.32%	42s
Sym-NCO	3.84	$0.00\%$	36s	6.17	0.71%	45s	5.33	0.77%	38s	3.15	0.63%	42s	4.61	0.95%	42s
AM-XL PolyNet	3.84 3.84	$0.00\%$ $0.00\%$	36s 55s	6.17 6.16	0.68% 0.48%	45s 1 <sub>m</sub> 10 <sub>s</sub>	5.30 5.35	1.30% 0.50%	38s 57s	3.15 3.13	0.68% 0.16%	42s 1 <sub>m2s</sub>	4.61 4.59	0.96% 0.58%	42s 1 <sub>m</sub> 10 <sub>s</sub>
							Greedy Multistart with Augmentation ( $N \times 16$ )								
A2C	3.84	0.01%	9s	6.20	1.12%	48s	5.20	3.17%	32s	3.28	4.95%	25s	4.75	4.06%	23s
AM	3.84	$0.00\%$	9s	6.18	0.78%	48s	5.34	0.56%	32s	3.14	0.32%	25s	4.63	1.52%	23s
POMO	3.84	$0.00\%$	13s	6.16	$0.50\%$	1 <sub>m</sub>	5.09	5.29%	45s	3.35	6.95%	38s	4.61	1.10%	42s
Sym-NCO	3.84	$0.00\%$	13s	6.17	0.61%	1 <sub>m</sub>	5.35	0.39%	45s	3.14	0.24%	38s	4.60	0.89%	42s
AM-XL	3.84	$0.00\%$	13s	6.16	$0.44\%$	1 <sub>m</sub>	5.35	0.46%	45s	3.14	$0.28\%$	38s	4.60	0.87%	42s
PolyNet	3.84	$0.00\%$	18s	6.14	0.16%	1 <sub>m20s</sub>	5.37	0.31%	1 <sub>m</sub>	3.13	0.12%	58s	4.61	1.03%	55s
			$\rm AM$		POMO		SymNCO			AM		POMO		SymNCO	
٠ Greedy Augment									$\overline{5}$						
Sampling Multistart	$1.0\,$ E)							$\frac{6}{3}$ $\frac{4}{3}$							
Multistart + Augment ٠	$\frac{1}{3}$ $\frac{1}{6}$														

<span id="page-48-1"></span>

 $10^0$   $10^1$   $10^2$   $10^3$   $10^0$   $10^1$   $10^2$   $10^3$ 

Number of Samples

 $10^0$   $10^1$   $10^2$   $10^3$ Number of Samples

Number of Samples

 $2+$ 

10<sup>0</sup> 10<sup>1</sup> 10<sup>2</sup> 10<sup>3</sup> 10<sup>0</sup> 10<sup>1</sup> 10<sup>2</sup> 10<sup>3</sup> 10<sup>0</sup> 10<sup>1</sup> 10<sup>2</sup> 10<sup>3</sup> 1

Number of Samples

**2634 2635 2636 2637 2638 2639 2640** results in [Fig. 18.](#page-49-0) This observation suggests that NCO solvers with control over the number of samples may exhibit a different trend in sample efficiency: if reward function evaluation is expensive, REINFORCE baselines that include additional reward function evaluations such as Greedy Rollout, POMO, and SymNCO may be sample-inefficient. While this is not the case for most CO problems (for instance: in routing, it is inexpensive to calculate routes), in other areas as Electronic Design Automation, where reward evaluation is resource-intensive due to the necessity of electrical simulations, in which sample efficiency can become even more crucial.

**2641**

## **2642 2643** E.1.4 OUT-OF-DISTRIBUTION

Number of Samples

 $0.0\frac{1}{10^0}$ 

**2644 2645** In this section, we evaluate the out-of-distribution performance of the NCO solvers by measuring the gap compared to the best-known solutions (BKS). The evaluation results are visualized in [Fig. 19.](#page-49-1) Contrary to the in-distribution results, we find that NCO solvers with sophisticated baselines (i.e.,

<span id="page-49-0"></span>

.0

.0

.0

 $\overline{a}$ 

 $\overline{a}$ 

 $\overline{a}$ 

Figure 18: Validation cost curves and number of training samples consumed. Models with greater performance after full training may show worse convergence properties when the number of training samples is limited.

 .0 0.5 1.0 1.5 2.0 POMO and Sym-NCO) tend to exhibit worse generalization when the problem size changes, either for solving smaller or larger instances. This can be seen as an indication of "overfitting" to the <sup>2659</sup> training sizes. On the other hand, variants of AM show relatively better generalization results overall. .0 0.5 1.0 1.5 2.0

> Besides, we also evaluate the model by sampling decoding strategy with different temperatures as shown in [Fig. 20,](#page-49-2) k values for Top-k as shown in [Fig. 21,](#page-50-0) and p values for Top-p as shown in [Fig. 22.](#page-50-1) A higher temperature or a lower p value with Top-p sampling can improve the generalization ability on large-scale problems, while Top- $k$  sampling has limited contribution to generalization cross problem sizes.

<span id="page-49-1"></span>

<span id="page-49-2"></span>Figure 19: Out-of-distribution generalization by greedy decoding for models with different reinforce baselines trained on 50 nodes. Stronger performance in distribution does not always translate to out-of-distribution.



Figure 20: Out-of-distribution generalization by sampling with different temperatures  $\tau$  for POMO trained on 50 nodes.

 

#### E.1.5 SEARCH METHODS

 A way to adapt to distribution changes is using *transductive RL*, commonly known as (active) search methods, which involve training (a part of) a pre-trained NCO solver to adapt to CO instances of interest. We evaluate 1) *Active Search (AS)* [\(Bello et al.,](#page-9-2) [2017\)](#page-9-2) which finetunes a pre-trained model

<span id="page-50-0"></span>

Figure 21: Out-of-distribution generalization by sampling with different Top-k for POMO trained on 50 nodes.

<span id="page-50-1"></span>

Figure 22: Out-of-distribution generalization by sampling with different Top-p for POMO trained on 50 nodes.

<span id="page-50-2"></span>Table 13: Search Methods results of models pre-trained on 50 nodes. *Classic* refers to Concorde [\(Applegate](#page-9-8) [et al.,](#page-9-8) [2023\)](#page-9-8) for TSP and HGS [\(Vidal,](#page-16-5) [2022;](#page-16-5) [Wouda et al.,](#page-16-6) [2024\)](#page-16-6) for CVRP. OOM is "Out of Memory".

Type	Metric				<b>TSP</b>					<b>CVRP</b>			
		<b>POMO</b>				Sym-NCO			<b>POMO</b>			Sym-NCO	
		200	500	1000	200	500	1000	200	500	1000	200	500	1000
Classic	Cost	10.17	16.54	23.13	10.72	16.54	23.13	27.95	63.45	120.47	27.95	63.45	120.47
Zero-shot	Cost $Gap[\%]$ Time[s]	13.15 29.30 2.52	29.96 81.14 11.87	58.01 150.80 96.30	13.30 24.07 2.70	29.42 77.87 13.19	56.47 144.14 104.91	29.16 4.33 1.94	92.30 45.47 15.03	141.76 17.67 250.71	32.75 17.17 2.93	86.82 36.83 15.86	190.69 58.29 150.69
AS	Cost $Gap[\%]$ Time[s]	11.16 4.13 7504	20.03 21.12 10070	<b>OOM</b> <b>OOM</b> <b>OOM</b>	11.92 11.21 7917	22.41 35.48 10020	<b>OOM</b> <b>OOM</b> <b>OOM</b>	28.12 0.60 8860	63.98 0.83 21305	<b>OOM</b> <b>OOM</b> <b>OOM</b>	28.51 2.00 9679	66.49 4.79 24087	<b>OOM</b> <b>OOM</b> <b>OOM</b>
EAS	Cost $Gap[\%]$ Time[s]	11.10 3.55 348	20.94 26.64 1562	35.36 52.89 13661	11.65 8.68 376	22.80 37.86 1589	38.77 67.63 14532	28.10 0.52 432	64.74 2.04 1972	125.54 4.21 20650	29.25 4.66 460	70.15 10.57 2051	140.97 17.02 17640

**2747 2748 2749** on the searched instances by adapting all the policy parameters and 2) *Efficient Active Search (EAS)*: from [\(Hottung et al.,](#page-11-0) [2022\)](#page-11-0) which finetunes a subset of parameters (i.e., embeddings or new layers) and adds an imitation learning loss to improve convergence.

**2750 2751 2752 2753** We apply AS and EAS to POMO and Sym-NCO pre-trained on TSP and CVRP with 50 nodes to solve larger instances having  $N \in [200, 500, 1000]$  nodes. As shown in [Table 13,](#page-50-2) solvers with search methods improve the solution quality. However, POMO generally shows better improvements over Sym-NCO. This suggests once more that the "overfitting" of sophisticated baselines can perform better in training distributions but eventually worse in different downstream tasks.

## E.1.6 ADDITIONAL LARGE-SCALE RESULTS

 We also show in [Table 14](#page-51-0) additional large-scale results with  $10k+$  nodes obtained with the hybrid AR/NAR GLOP model [\(Ye et al.,](#page-16-2) [2024b\)](#page-16-2). [Fig. 23](#page-51-1) demonstrates a solution obtained through our implementation of GLOP for CVRP35K. It represents the maximum scale of CVRP that RL4CO is capable of solving within 24GB of graphics memory while preserving the performance.

<span id="page-51-0"></span>Table 14: Performance on large-scale CVRP instances with ten thousands of nodes.

		<b>CVRP10K</b>	CVRP20K		
	Obj.	Time	Obi.	Time	
<b>HGS (Vidal, 2022)</b>	108.1	4.01h	182.7	6.03h	
Random Insertion	187.9	0.16s	330.4	0.61s	
GLOP-G (Insertion)	127.0	2.42s	208.3	10.9s	
GLOP-G (AM)	119.6	4.68s	199.6	14.8s	
GLOP-G (LKH)	111.4	5.06s	191.4	17.9s	

1.0 GLOP-G (LKH) — CVRP35K capacity=2000 — veh num=89 cost=180.743 time=46.39s

<span id="page-51-1"></span>

Figure 23: A visualization of the solution generated by GLOP on CVRP35K.

 

<span id="page-52-1"></span>**2808 2809 2810** Table 15: Benchmarking results of ACO method in TSP with 200, 500, 1000 nodes. The reported values are obtained by averaging over 128 test instances. The time is the average computation time for solving a single instance.

Method		<b>TSP200</b>			<b>TSP500</b>		<b>TSP1000</b>		
	Cost	$Gap(\%)$	Time(s)	Cost	$Gap(\%)$	Time(s)	Cost	$Gap(\%)$	Time(s)
Concorde Applegate et al. (2023)	10.72	0.00	0.9	16.55	0.00	10.7	23.12	0.00	108.3
ACO	10.88	1.52	1.0	17.23	4.11	4.0	24.42	5.65	19.8
DeepACO	10.80	0.79	1.0	16.87	1.95	4.3	23.82	3.03	20.7
<b>GFACS</b>	10.75	0.32	1.0	16.80	1.56	4.3	23.78	2.87	20.7

<span id="page-52-2"></span>Table 16: Benchmarking results of ACO methods with different  $\tau$  values in TSP with 500 nodes. The reported values are the average cost of 128 test instances.



**2826 2827** E.2 LEARNING HEURISTICS FOR ANT COLONY OPTIMIZATION

#### **2828** E.2.1 EXPERIMENT SETTINGS

**2830 2831 2832 2833 2834 2835 2836 2837** We adhered to the hyperparameters specified in the original papers for DeepACO [\(Ye et al.,](#page-16-1) [2023\)](#page-16-1) and GFACS [\(Kim et al.,](#page-12-3) [2024\)](#page-12-3) for GFlowNets training. We conducted two distinct benchmarks for ACO methods. The first benchmark evaluated the ability to solve the Traveling Salesman Problem (TSP) at different scales: [20](#page-52-0)0, 500, and 1000. We use the test instances provided by DeepACO<sup>20</sup>. The second benchmark assessed inference capability at various temperature values of  $\tau$  in TSP with 500 nodes. The temperature  $\tau$  is a hyperparameter for the heatmap distribution of the heuristic matrix in ACO, where a low  $\tau$  emphasizes exploitation and a high  $\tau$  emphasizes exploration. For both experiments, the optimality gaps are calculated with respect to the average cost of solutions obtained using Concorde [Applegate et al.](#page-9-8) [\(2023\)](#page-9-8).

**2839** E.2.2 RESULTS

**2840 2841 2842 2843 2844** TSP Benchmark [Table 15](#page-52-1) shows the results for the first benchmark. In this benchmark, we observed that GFACS outperforms other baselines, and DeepACO surpasses ACO. These results are consistent with their respective claims [\(Ye et al.,](#page-16-1) [2023;](#page-16-1) [Kim et al.,](#page-12-3) [2024\)](#page-12-3), providing evidence that our benchmark is sufficiently valid. Notably, our algorithm also performed slightly faster than the original implementation, likely due to the batchified environment of RL4CO.

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**2846 2847 2848 2849 2850 2851 2852 2853 2854 Performance Comparison for Different Heatmap Temperatures**  $(\tau)$  [Table 16](#page-52-2) shows the results for the second benchmark. This benchmark compared inference performance across different heatmap temperatures ( $\tau$ ). We observed notable performance variation with changes in  $\tau$ . This highlights the importance of inference and sampling strategies even after deep network training is completed. Additionally, GFACS produced more consistent results with different  $\tau$  values. This provides empirical evidence of the robustness of GFACS, which is due to its ability to model a sampler capable of generating diverse and high-reward solutions. The modularization of RL4CO allows for a focused study on inference capabilities, enabling future researchers to contribute to this aspect using the RL4CO pipeline.

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E.3 LEARNING TO SCHEDULE

**2857 2858 2859 2860** Compared to routing problems, scheduling problems have not been extensively studied by the NCO community. On the one hand side, NCO methods for scheduling are harder to benchmark due to the absence of well-performing heuristics like the LKH algorithm for the TSP. On the other hand, scheduling problems involve more complex graph representations like disjunctive graphs [Zhang](#page-17-1)

<span id="page-52-0"></span><sup>20</sup>[https://github.com/henry-yeh/DeepACO](#page-17-1)

**2862 2863 2864 2865 2866 2867 2868 2869 2870 2871** [et al.](#page-17-1) [\(2020\)](#page-17-1), bipartite graphs [Kwon et al.](#page-12-7) [\(2021\)](#page-12-7), or heterogeneous graphs [Song et al.](#page-15-2) [\(2022\)](#page-15-2), making it harder to encode the problem. With RL4CO, we aim to mitigate these entry barriers for NCO researchers by providing established solution methods along with the environments. Further, by being modular by design, RL4CO allows for quick evaluation of different learning algorithms and network architectures, which can already lead to substantial improvements of the solution quality, as demonstrated in the example of the FJSSP in [Table 3.](#page-6-0) Lastly, by providing benchmark instances like [Taillard](#page-15-3) Taillard [\(1993\)](#page-15-3) and easy ways of initializing the environments with external benchmark files, we facilitate the comparison of models with existing methods. The following chapter describes established DRL models for scheduling problems as well as their performance on synthetic and benchmark datasets.

**2872 2873** E.3.1 JSSP

**2874 2875 2876 2877 2878 2879 2880 2881 2882** Models To solve the JSSP using DRL methods, we implement the L2D model described in [Ap](#page-34-0)[pendix C.2.7](#page-34-0) in RL4CO. To train the encoder-decoder policy, we use the same Proximal Policy Optimization (PPO) algorithm as [Zhang et al.](#page-17-1) [\(2020\)](#page-17-1). In contrast to most other work in the NCO domain, L2D uses a (dense) stepwise reward function rather than a sparse episodic reward, which is observed only after a complete solution is obtained. This reward determines the change in the lower bound of the makespan given the partial schedule. Due to the dense nature of the reward, the PPO algorithm for the scheduling problems evaluates actions on a stepwise basis, whereas environments with an episodic reward are evaluated based on a full rollout. We compare these methods and discuss the different implementations in [Appendix E.3.4.](#page-56-0)

**2883 2884 2885 2886** Further, we demonstrate RL4CO's ability to effortlessly implement a state-of-the-art solver for JSSP instances by exchanging the GCN encoder used by [Zhang et al.](#page-17-1) [\(2020\)](#page-17-1) with the MatNet encoder [Kwon et al.](#page-12-7) [\(2021\)](#page-12-7) described in [Appendix C.2.11.](#page-35-0) Furthermore, the greedy decoding scheme of [Zhang et al.](#page-17-1) [\(2020\)](#page-17-1) is replaced by  $N = 100$  random samples, of which the best is selected.

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**2888 2889 2890 2891 2892 2893 2894** Reproduction and Improvement of Original Results We demonstrate RL4CO's capability of learning dispatching rules for the JSSP by training and validating the L2D model of [Zhang et al.](#page-17-1) [\(2020\)](#page-17-1) and our version of L2D with the MatNet encoder on synthetic data. We report the performance achieved with RL4CO together with the baselines the authors of the original papers used, as well as the solutions obtained via the CP-Sat solver Google OR-Tools. The baselines are a set of selected PDRs that have a high practical relevance, namely Most Work Remaining (MWKR) and Most Operations Remaining (MOR).

<span id="page-53-0"></span>**2895 2896** Table 17: Comparison of RL4CO with L2D [Zhang et al.](#page-17-1) [\(2020\)](#page-17-1) and other baselines on the JSSP. For OR-Tools, the fraction of instances solved optimally is reported in parentheses.



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**2910 2911 2912 2913 2914 2915** The results are listed in [Table 17.](#page-53-0) RL4CO's implementation of L2D manages to outperform the original implementation on all instance types, even when using the same model architecture, learning algorithm, and hyperparameters. The reason is that RL4CO uses an improved implementation of the environment. In the implementation of [Zhang et al.](#page-17-1) [\(2020\)](#page-17-1) the state of the environment does not contain a time dimension. Instead, the environment schedules the selected operation at the earliest feasible start time, given the current schedule. Here, we use the environment proposed by [Tassel](#page-15-4) [et al.](#page-15-4) [\(2021\)](#page-15-4), where the environment transitions through distinct time steps  $t = 0, 1, ...T$ . In this

**2916 2917 2918** case, the start time of a selected operation is set to the time step at which it was selected, leading to a more natural form of credit assignment.

**2919 2920 2921 2922** Using the MatNet encoder instead of the GCN and employing a decoding scheme based on multiple random rollouts further reduces the makespan by a large margin. One instances of size  $6 \times 6$ , the gap to the optimal solutions was reduced by 11 percentage points to 5.6%, which corresponds to a third of the gap realized with the GCN encoder.

**2924 2925 2926 2927 2928 2929 2930 2931 2932** Taillard Benchmark and out-of-distribution performance With RL4CO, we also provide the possibility to test models against established benchmarks. For the JSSP, a well-recognized bench-mark is that of [Taillard](#page-15-3) [\(1993\)](#page-15-3), which is also used by  $\overline{\text{Zhang}}$  et al. [\(2020\)](#page-17-1) to validate their model. In [Table 18,](#page-54-0) we report the results of RL4CO on these instances along with the results obtained by [Zhang et al.](#page-17-1) [\(2020\)](#page-17-1) as well as the MOR and MWKR heuristics. We trained our MatNet models on JSSP instances up to size  $20 \times 20$ . For larger Taillard instances, we report the out-of-distribution performance to demonstrate the model's generalization ability. Similar to the synthetic test instances, our RL4CO implementation paired with the MatNet encoder manages to outperform the original L2D by large margins on all instances of the Taillard benchmark dataset, even when evaluating it on out-of-distribution instances.

**2934 2935 2936** Table 18: Results on the Taillard [Taillard](#page-15-3) [\(1993\)](#page-15-3) benchmark instances. BKS refers to the best known solutions and % opt. specifies the rate of instances with optimal solutions. Values marked with a  $\dagger$  indicate out-ofdistribution performance of the model trained on  $20 \times 20$ .

<b>Size</b>	Metric	<b>BKS</b>	<b>PDRs</b>		L2D	RL <sub>4</sub> CO
			<b>MWKR</b>	<b>MOR</b>	Zhang et al. $(2020)$	MatNet $(\times 128)$
$15\times15$	Obj. Gap	1230.06 (100%)	1927.5 56.7%	1782.3 45.0%	1547.50 26.0%	1404.30 14.2%
$20 \times 15$	Obj. Gap	1363.22 (90%)	2190.7 60.7%	2015.8 47.7%	1774.7 $30.0\%$	1570.70 $15.2\%$
$20 \times 20$	Obj. Gap	1617.60 (30%)	2518.6 55.7%	2309.9 42.8%	2128.1 31.6%	1842.90 $13.9\%$
$30 \times 15$	Obj. Gap	1787.68 (70%)	2728.0 52.6%	2601.3 45.6%	2378.8 33.0%	$2121.19^{\dagger}$ 18.6%
$30 \times 20$	Obj. Gap	1948.32 (0%)	3193.3 63.9%	2888.1 48.2%	2603.9 33.6%	2357.90 <sup>†</sup> 21.0%

## E.3.2 FJSSP

Model To solve the FJSSP using DRL methods, we implement the HGNN model described in [Appendix C.2.10](#page-35-1) in RL4CO and train it with the same PPO algorithm as L2D. Besides HGNN we also implement a second model which exchanges the encoder of HGNN with the MatNet encoder.

Reproduction and Improvement of Original Results We compare the results obtained via RL4CO with those reported by [Song et al.](#page-15-2) [\(2022\)](#page-15-2) and the baseline used by them. Also, Song et al. [\(2022\)](#page-15-2) use MWKR and MOR to benchmark their model as well as the OR-Tools solver. The results, which are obtained on a test set comprising of 100 randomly generated instances, are listed below in [Table 19.](#page-55-0)

**2964 2965 2966 2967** Similar to the JSSP, the HGNN implemented in RL4CO achieves better results than the original implementation, although both implementations use the same definition of the environment. However, in RL4CO, we use instance normalization  $Ulyanov$  et al. [\(2016\)](#page-16-7) on the input variables as well as between consecutive HGNN layers, which we found to drastically stabilize the training process.

**2968 2969** Again, we were able to enhance the quality of the solution further by simply exchanging the encoder with MatNet. Especially on the larger instances, the increased model complexity translates into much better model performance, with the solutions even surpassing OR-Tools on  $20 \times 10$  instances.

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<b>Size</b>	Metric	OR-Tools	<b>PDRs</b>		<b>HGNN</b>	RL4CO $(\times 128)$	
			<b>MWKR</b>	<b>MOR</b>	Song et al. $(2022)$ $(\times 128)$	<b>HGNN</b>	MatNet
$10 \times 5$	Obj. Gap	96.59(15%)	115.29 19.4%	116.69 20.9%	105.61 9.4%	102.49 $6.1\%$	99.02 2.5%
$20 \times 5$	Obj. Gap	$188.45(0\%)$	216.98 $15.2\%$	217.17 15.3%	207.50 10.1%	199.47 5.8%	192.05 1.9%
$15 \times 10$	Obj. Gap	145.42(5%)	169.18 16.3%	173.40 $19.3\%$	160.36 10.3%	155.34 $6.8\%$	151.93 $4.5\%$
$20 \times 10$	Obj. Gap	197.24 (0%)	220.85 11.9%	221.86 12.53%	214.87 $9.0\%$	207.52 5.2%	192.00 $-2.7\%$

<span id="page-55-0"></span>**2970 2971 2972** Table 19: Comparison of RL4CO and HGNN [Song et al.](#page-15-2) [\(2022\)](#page-15-2) on the FJSSP. For OR-Tools, the fraction of instances solved optimally is reported in parentheses. Both RL4CO and [Song et al.](#page-15-2) [\(2022\)](#page-15-2) make use of random-rollouts for decoding.

**2986 2987 2988 2989 2990 2991 2992 2993 2994 2995 2996 2997** Out-of-distribution In this section, we evaluate the out-of-distribution performance of the DRL models trained with RL4CO on FJSSP  $20 \times 10$  instances, by evaluating them on smaller ( $20 \times 5$ ) &  $15 \times 10$ ) and larger  $(30 \times 10 \& 40 \times 10)$  instances. The results in [Table 20](#page-55-1) indicate that both HGNN and MatNet manage to generalize well to problems of different sizes. Despite being trained on smaller instances, the HGNN manages to close the performance gap when evaluated on larger instances, with gaps being as small as  $3.7\%$  for FJSSP  $40 \times 10$  instances. And on FJSSP  $20 \times 5$ instances, the average makespan increases by only 1.56 (0.8%) when using the model trained on FJSSP 20  $\times$  10 instead of 20  $\times$  5 instances. Again, the MatNet model shows superior performance compared to the other baselines and surpasses even the results obtained by OR-Tools on the larger instances. The within-distribution performance of MatNet, therefore, also translates to out-ofdistribution instances, indicating that the complexity of the model results in a better generalization ability.

<span id="page-55-1"></span>Table 20: Generalization performance of a policy trained on a  $20 \times 10$  FJSSP instances on smaller and larger instances. We use 100 test instances per instance size. Gaps are reported with respect to the results of OR-Tools

<b>Size</b>	Metric	OR-Tools	<b>PDRs</b>		<b>HGNN</b>		RL4CO $(\times 128)$
			<b>MWKR</b>	<b>MOR</b>	Song et al. $(2022)$ $(\times 128)$	<b>HGNN</b>	MatNet
$20 \times 5$	Obj. Gap	188.45 (0%)	216.98 15.2%	217.17 $15.3\%$	207.50 $10.1\%$	201.03 $6.7\%$	193.61 2.7%
$15 \times 10$	Obj. Gap	145.42(5%)	169.18 16.3%	173.40 19.3%	160.36 $10.3\%$	162.41 11.7%	150.59 $3.5\%$
$30 \times 10$	Obj. Gap	294.10 (0%)	319.89 8.8%	320.18 8.9%	312.20 $6.1\%$	309.10 $5.1\%$	286.16 $-2.7\%$
$40 \times 10$	Obj. Gap	397.36 (0%)	425.70 7.1%	425.19 $7.0\%$	415.14 $4.4\%$	412.05 3.7%	381.19 $-4.1\%$

E.3.3 FFSP

**3015 3016 3017 3018 3019 3020 3021** MatNet To solve the FFSP using DRL, RL4CO implements the policy network described by [Kwon et al.](#page-12-7) [\(2021\)](#page-12-7). It uses separate policy networks for each stage of the FFSP. Each of the stage networks employs the MatNet encoder described in [Appendix C.2.11,](#page-35-0) which generates embeddings for jobs and machines using the processing times of the job-machine pairs of the respective stage. The decoder of the attention model [Kool et al.](#page-12-1)  $(2019a)$  then utilizes the machine embeddings of the respective stage as query and the job embeddings as keys and values to compute the probability distribution over jobs.

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**3023 Results** We use the same three instance types described by [Kwon et al.](#page-12-7)  $(2021)$  to evaluate our implementations of the FFSP environment and the policy network. The instances only differ in

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**3024 3025 3026 3027 3028 3029 3030 3031 3032** the number of jobs, which are set to 20, 50, and 100. We assume that there are  $S = 3$  stages, and each stage has  $M = 4$  machines. In the kth stage, the processing time of the job j on the machine m is given by  $p_{jmk}$ . Therefore, an instance of the problem is defined by three matrices  $(P_1, P_2, \text{ and } P_3)$ , specifying the processing time for each job-machine combination in that stage. We report the results obtained by RL4CO and compare them to those obtained by [Kwon et al.](#page-12-7) [\(2021\)](#page-12-7) in [Table 21.](#page-56-1) Other benchmarks used are the exact solver CPLEX (for which results can only be obtained for FFSP20 instances), the Shortest Job First (SJF) dispatching rule, as well as the evolutionary algorithms Particle Swarm Optimization (PSO), and Genetic Algorithm (GA). One can see that, using RL4CO, we are able to reproduce the results from the original paper.

**3034 3035** Table 21: Comparison of RL4CO with the results reported in [Kwon et al.](#page-12-7) [\(2021\)](#page-12-7). Gaps are reported with respect to the best known results.

<span id="page-56-1"></span>

Instance	Matric	CPLEX(600s)	<b>SJF</b>	GА	<b>PSO</b>	<b>Kwon et al.</b> (2021)	RL <sub>4</sub> CO
FFSP <sub>20</sub>	Obj. Gap	36.6 34.5%	31.3 15.0%	30.6 $12.5\%$	29.1 $6.9\%$	27.3 $0.3\%$	27.2 $0.0\%$
FFSP50	Obj. Gap		57.0 $10.7\%$	56.4 $9.5\%$	55.1 7.0%	51.5 $0.0\%$	51.6 0.2%
<b>FFSP100</b>	Obj. Gap	$\overline{\phantom{0}}$	99.3 8.8%	98.7 8.1%	97.3 6.6%	91.5 $0.2\%$	91.3 $0.0\%$

## **3046 3047** E.3.4 DENSE AND EPISODIC REWARDS

<span id="page-56-0"></span>We additionally compare dense and episodic rewards for the TSP and FJSSP environments, with similar training settings as in other experiments, except for the different reward functions.

**3051 3052 3053 3054 3055 3056 3057 3058 3059** Here, we compare the performance of the HGNN [\(Song et al.,](#page-15-2) [2022\)](#page-15-2) in solving the FJSSP and AM [\(Kool et al.,](#page-12-1) [2019a\)](#page-12-1) in solving the TSP when trained using a stepwise vs. an episodic reward. The results in [Table 22](#page-56-2) show that evaluating the FJSSP in a stepwise manner and stepwise re-encoding the current state significantly outperforms a policy based on a single, episodic reward. This is reasonable since the state of the FJSSP has many dynamic elements, and a policy that relies on a single encoder step may not fully grasp the problem dynamics. On the other hand, stepwise rewards for the TSP (AM model trained with POMO with the settings as  $K$ won et al. [\(2020\)](#page-12-5)) do not work well, and interestingly, performance approaches roughly that of the nearest insertion algorithms. Different CO problems react to the same learning setup, which again underpins the importance of a unified framework where different algorithms are implemented and are easily exchangeable.

<span id="page-56-2"></span>Table 22: Comparison of dense (i.e. stepwise) and episodic rewards for the TSP and the FJSSP

Reward	<b>TSP</b>		<b>FISSP</b>	
				20 50 100   $10 \times 5$ 20 × 5 $15 \times 10$
Dense 4.51 7.05 9.80 102.49 199.47 155.34 Episodic 3.83 5.81 7.82 110.65 204.88 182.90				

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## E.4 ELECTRONIC DESIGN AUTOMATION: LEARNING TO PLACE DECAPS

**3072 3073 3074 3075 3076 3077** Setup In this section, we benchmark models on the mDPP from [Appendix B.3.2.](#page-28-0) We benchmark 3 variants of online DevFormer (DF), namely DF(PG,Critic): REINFORCE (where PG stands for Policy Gradients, an "alias" of the REINFORCE algorithm) with Critic baseline, DF(PG,Rollout): REINFORCE with Rollout baseline as well as PPO. All experiments are run with the same hyperparameters as the other experiments except for the batch size set to 64, the maximum number of samples set to 10, 000, and a total of only 10 epochs due to the nature of the benchmark sample efficiency.

#### E.4.1 MAIN RESULTS

 [Table 23](#page-57-1) shows the main numerical results for the task when RS, GA, and DF models are trained for placing 20 decaps. While RS and GA need to take online shots to solve the problems (we restricted the number to 100), DF models can successfully predict in a zero-shot manner and outperform the classical approaches. Interestingly, the vanilla critic-based method performed the worst, while our implementation of PPO almost matched the rollout policy gradients (PG) baseline; since extensive hyperparameter tuning was not performed, we expect PPO could outperform the rollout baseline given it requires fewer samples. [Fig. 24](#page-57-2) shows example renderings of the solved environment.

> <span id="page-57-1"></span>Table 23: Performance of different methods on the mDPP benchmark Method # Shots *Score* ↑ maxsum maxmin *Online Test Time Search* Random Search 100 11.55 10.63 Genetic Algorithm 100 11.93 11.07 *RL Pretraining & Zero Shot Inference* DF-(PG,Critic) 0  $10.89 \pm 0.63$   $9.51 \pm 0.68$ DF-(PPO) 0  $12.16 \pm 0.03$   $11.17 \pm 0.11$ DF-(PG,Rollout) 0  $12.21 \pm 0.01$   $11.26 \pm 0.03$

<span id="page-57-2"></span>

 Figure 24: Renders of the environment with *maxmin* objective solved by DF-(PG,Rollout). The model successfully learned one main heuristic for DPP problems, which is that the optimal placement of decaps (blue) is generally close to probing ports (red).

#### E.4.2 GENERALIZATION TO DIFFERENT NUMBER OF COMPONENTS

 In hardware design, the number of components is one major contribution to cost; ideally, one would want to use the least number of components possible with the best performance. In the DPP, increasing the number of decaps *generally* improves the performance at a greater cost, hence Paretoefficient models are essential to identify. [Fig. 25](#page-58-0) shows the performance of DF models trained on decaps against the baselines. DF models PPO and PG-rollout can successfully generalize and are also Pareto-efficient with fewer decaps, important in practice for cost and material saving.

<span id="page-57-0"></span>

 

#### E.5 LEARNING TO IMPROVE

 In this section, we first show the efficiency of RL4CO when reproducing the improvement methods on the TSP and PDP with 50 nodes and discuss the potential collaboration of constructive methods with improvement methods for better inference performance.

<span id="page-58-0"></span>

Figure 25: Performance vs number of used decaps for mDPP with *maxsum* objective [Left] and *maxmin* objective [Right].

**3149 3150** E.5.1 MAIN RESULTS

**3151 3152 3153 3154 3155 3156 3157 3158** As shown in Table [24,](#page-58-1) refactoring and implementing the three improvement methods—DACT [Ma](#page-13-1) [et al.](#page-13-1) [\(2021\)](#page-13-1) (TSP50), N2S [Ma et al.](#page-14-0) [\(2022\)](#page-14-0) (PDP50), and NeuOpt [Ma et al.](#page-14-1) [\(2024\)](#page-14-1) (PDP50)—using RL4CO consistently results in better efficiency compared to the original implementations. Specifically, training and testing times  $(T = 1,000)$  are faster, and peak memory usage is lower. This advancement can be attributed to RL4CO's streamlined design, which uses a single tensor dictionary variable to store all state information, and the incorporation of efficient libraries like PyTorch Lightning and TorchRL. These enhancements demonstrate RL4CO's superior efficiency and ease of implementation.

<span id="page-58-1"></span>**3159 3160 3161** Table 24: Comparison of time and memory usage for DACT [Ma et al.](#page-13-1) [\(2021\)](#page-13-1) (TSP50), N2S [Ma et al.](#page-14-0) [\(2022\)](#page-14-0) (PDP50), and NeuOpt [Ma et al.](#page-14-1) [\(2024\)](#page-14-1) (PDP50) between the original implementation and the RL4CO implementation.

	T_train (one epoch)	$T_{\text{test}}(1k,1k)$	Memory
DACT-Origin	16 <sub>m</sub>	38s	8069MB
DACT-RL4CO	10 <sub>m</sub>	26s	7135MB
N <sub>2</sub> S-Origin	26m	41s	13453MB
N <sub>2</sub> S-RL <sub>4</sub> CO	17 <sub>m</sub>	33s	12489MB
NeuOpt-Origin	14m	37s	7273MB
NeuOpt-RL4CO	10m	31s	6313MB

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## **3172 3173** E.5.2 DISCUSSION

**3174 3175 3176 3177 3178 3179 3180** As shown in [Fig. 26,](#page-59-0) bootstrapping improvement with constructive methods can greatly improve the performance, especially in terms of the Primal Integral (PI, [Appendix D.1.2\)](#page-39-1). While in TSP bootstrapping is consistently better than simply improving with default solutions (i.e. lower final gap to BKS as well as PI), we note that in PDP with N2S, improving starting from a random initialization can yield better performance in terms of gap. However, the PI reveals that while N2S from random init achieves a value of 5.580, N2S from HAM construction initialization achieves a much better 2.234, indicating a much better early convergence speed and Pareto front.

**3181 3182 3183 3184 3185** We additionally offer some clues on how to improve such performance. Firstly, we simply initialized from a greedy solution, while more complex inference strategies may offer a significant boost. Furthermore, the trained model as per the setting in [Appendix D.3.3](#page-41-1) could be further trained and obtain better performance. Importantly, we believe that *end-to-end construction & improvement*, in which both a constructive and improvement method are trained together, could ultimately outperform a separate training and achieve the best of both worlds.

<span id="page-59-0"></span>0 50 100 150 200 Time (s) 0.0 0.1 ွ<sub>ိ 0.3</sub><br>ပါ <sub>0.2</sub>  $0.3$  $0.4$ 0.5 TSP50 POMO (sampling) DACT (from random init) DACT (from POMO init) NeuOpt (from random init) NeuOpt (from POMO init) 0 50 100 150 200 Time (s) 1 2 3 4 5 6 7 8 Gap (%) PDP50 HAM (sampling) N2S (from random init) N2S (from HAM init)

Figure 26: Bootstrapping improvement with constructive methods for TSP50 and PDP50.

E.6 GRAPH PROBLEMS: FACILITY LOCATION PROBLEM (FLP) AND MAXIMUM COVERAGE PROBLEM (MCP)

**3203 3204 3205** Here, we present the experimental results and the corresponding discussions on the two CO problems on graphs: the Facility Location Problem (FLP; see [Appendix B.4.1\)](#page-28-1) and the Maximum Coverage Problem (MCP; see [Appendix B.4.2\)](#page-29-0).

**3207** E.6.1 EXPERIMENTAL SETTINGS

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**3209 3210 3211 3212** Baseline methods We consider two simple baselines: uniform random (UR) and deterministic greedy (DG), where UR chooses  $k$  locations uniformly at random and DG chooses  $k$  locations one by one in a greedy manner. We also apply two MIP solvers, Gurobi [\(Gurobi Optimization,](#page-11-4) [2021\)](#page-11-4) and SCIP [\(Bestuzheva et al.,](#page-9-9) [2021\)](#page-9-9), to obtain the optimal solutions.

**3213 3214 3215 3216 3217 3218 3219 3220 3221 3222 3223 3224** Benchmark methods We benchmark with the attention model (AM) with different embedding models (i.e., encoders) and different RL baselines. For FLP, the considered embedding models are: the multilayer perception (MLP), the graph convolutional network (GCN) ( $\overline{\text{Kipf}}$  and Welling, [2017\)](#page-12-8), and the graph attention network [\(Velickovic et al.,](#page-16-8) [2017;](#page-16-8) [Brody et al.,](#page-10-3) [2019\)](#page-10-3). For MCP, since the problem instances are formulated on bipartite graphs, the considered embedding models are: the multilayer perception (MLP), the GraphSAGE model [\(Hamilton et al.,](#page-11-5) [2017\)](#page-11-5) (in short "SAGE"), and the generalized GCN model [\(Li et al.,](#page-13-7) [2020a\)](#page-13-7) (in short "GEN"). The considered RL baselines are: Rollout, Mean, Exponential, and Critic. All the models are trained in 100 epochs. The learning rate is 1e − 5 for FLP and 1e − 4 for MCP. In each epoch, 100, 000 training data are used with batch size 1, 000. For the decoding strategies, we consider sampling (with 64 independent samples) and greedy. For sampling (and UR), we report both the "best" performance among the 64 independent samples and the "mean" (i.e., average) performance over the 64 independent samples.

**3225 3226 3227 3228 3229** Test-time active search We apply three variants of active search at test time: the original active search (AS) proposed by [Bello et al.](#page-9-2) [\(2017\)](#page-9-2), efficient active search (EAS) proposed by [Hottung](#page-11-0) [et al.](#page-11-0) [\(2022\)](#page-11-0) with two variants: EAS-Emb that finetunes embeddings and EAS-Lay that finetunes new layers. We run all the active search variants for 100 iterations.

## **3230 3231** E.6.2 BENCHMARK RESULTS

**3232 3233 3234 3235 3236 3237 3238** Main benchmark [Table 25](#page-60-0) shows the main numerical results when the methods are trained and tested to choose  $k = 10$  locations on instances with  $n = 100$  locations. [Table 26](#page-61-0) shows the main numerical results when the methods are trained and tested to choose  $k = 10$  sets on instances with  $n = 100$  sets and  $m = 200$  items in total. Each item has a random weight between 1 and 10, and the number of items in each set is randomly sampled between 5 and 15. The reported results are averaged over 1, 000 randomly generated test instances. We also report the average gap between the performance for each setting and the optimum by solvers as described in [Appendix D.1.1.](#page-39-2)

**3239** Here we use absolute values since we *minimize* the total distance for FLP while *maximizing* the total weights for MCP. When using absolute values, it is consistent that smaller gaps correspond to

3243							<b>Active Search</b>	
3244	Encoder	<b>RL</b> Baseline	Sample (Best)	Sample (Mean)	Greedy	AS	EAS-Emb	EAS-Lay
3245		Rollout	10.4895	11.0056	10.9980	10.3004	10.2997	10.2997
3246		(Gap)	$(2.19\%)$	$(7.23\%)$	$(7.16\%)$	$(0.35\%)$	$(0.34\%)$	$(0.34\%)$
3247		Mean	10.5635	11.1614	10.9350	10.2995	10.3008	10.3008
		(Gap)	$(2.91\%)$	$(8.75\%)$	$(6.54\%)$	$(0.34\%)$	$(0.35\%)$	$(0.35\%)$
3248	<b>MLP</b>	Exponential	10.5726	11.1848	10.9589	10.3054	10.3051	10.3051
3249		(Gap)	$(3.00\%)$	$(8.98\%)$	$(6.78\%)$	$(0.40\%)$	$(0.39\%)$	$(0.39\%)$
3250		Critic	10.5617	11.1401	10.9439	10.2987	10.2994	10.2994
3251		(Gap)	$(2.90\%)$	$(8.55\%)$	$(6.63\%)$	$(0.33\%)$	$(0.34\%)$	$(0.34\%)$
3252		Rollout	10.4232	10.6404	10.6094	10.2955	10.2956	10.2958
3253		(Gap)	$(1.54\%)$	$(3.66\%)$	$(3.36\%)$	$(0.30\%)$	$(0.30\%)$	$(0.30\%)$
3254		Mean	10.4321	10.8095	10.6076	10.2807	10.2830	10.2830
		(Gap)	$(1.63\%)$	$(5.31\%)$	$(3.34\%)$	$(0.15\%)$	$(0.18\%)$	$(0.18\%)$
3255	<b>GCN</b>	Exponential	10.4729	10.9573	10.7257	10.2837	10.2859	10.2859
3256		(Gap)	$(2.02\%)$	$(6.75\%)$	$(4.49\%)$	$(0.18\%)$	$(0.20\%)$	$(0.20\%)$
3257		Critic	10.7086	11.4549	11.0139	10.2859	10.2891	10.2891
3258		(Gap)	$(3.82\%)$	$(0.54\%)$	$(6.01\%)$	$(0.20\%)$	$(0.23\%)$	$(0.23\%)$
3259		Rollout	10.4685	10.9202	10.8916	10.2956	10.2956	10.2957
3260		(Gap)	$(1.99\%)$	$(6.40\%)$	$(6.12\%)$	$(0.30\%)$	$(0.30\%)$	$(0.30\%)$
		Mean	10.6641	11.3499	11.0133	10.2865	10.2899	10.2898
3261		(Gap)	$(3.90\%)$	$(0.59\%)$	$(7.31\%)$	$(0.21\%)$	$(0.24\%)$	$(0.24\%)$
3262	<b>GAT</b>	Exponential	10.6487	11.3504	10.9869	10.2864	10.2881	10.2880
3263		(Gap)	$(3.75\%)$	$(0.60\%)$	$(7.05\%)$	$(0.21\%)$	$(0.22\%)$	$(0.22\%)$
3264		Critic	10.6566	11.3440	10.8813	10.2859	10.2888	10.2888
3265		(Gap)	$(4.33\%)$	$(1.62\%)$	$(7.31\%)$	$(0.20\%)$	$(0.23\%)$	$(0.23\%)$
3266			Uniform Random (Best)				12.4788	
3267			(Gap)				$(21.62\%)$	
			Uniform Random (Mean)				15.6327	
3268			(Gap)				$(52.40\%)$	
3269			Deterministic Greedy				10.9831	
3270			(Gap)				$(7.02\%)$	
3271			<b>GUROBI/SCIP</b> (Optimum)				10.2650	
3272			(Gap)				$(0.00\%)$	

<span id="page-60-0"></span>**3240 3241** Table 25: Performance of different methods on the facility location problem (FLP) benchmark. For the performance, the smaller the better.

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**3275 3276 3277** better performance. The performance of RL methods with sampling is consistently better than the two baselines, uniform random (UR) and deterministic greedy (DG), showing their effectiveness on those two problems.

**3279 3280 3281** Effect of the encoder Overall, the performance of different encoders is similar. For FLP, we can observe GCN's marginal superiority (except when we use Critic as the RL baseline). For MCP, the best encoders for different RL baselines are different, but MLP's performance is the overall best.

**3283 3284 3285** Effect of the RL baseline For FLP, for the four considered RL baselines (Rollout, Mean, Exponential, Critic), Rollout is consistently better than the other three. For MCP, the differences in the performance of different RL baselines are not significant.

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**3287 3288 3289 3290 3291 3292 3293** Effect of active search Active search significantly improves performance in almost all cases. For FLP, interestingly, Rollout achieves the best overall performance without active search, but Rollout underforms in many cases with test-time active search. Notably, the performance of the original active search (AS) is less stable than the two variants of efficient active search (EAS), especially for MCP. In our understanding, AS was originally designed for routing problems and uses multi-start sampling with distinct initial action (i.e., the first location/set to choose). Such a strategy is useful for routing problems due to symmetry but is less useful for problems without symmetry, such as FLP and MCP.

3297							<b>Active Search</b>	
3298	Encoder	<b>RL</b> Baseline	Sample (Best)	Sample (Mean)	Greedy	AS	EAS-Emb	EAS-Lay
3299		Rollout	682.4741	662.4359	665.1740	689.6200	689.6070	689.6070
3300		(Gap)	$(0.96\%)$	$(3.31\%)$	$(3.05\%)$	$(0.09\%)$	$(0.09\%)$	$(0.09\%)$
3301		Mean	682.4011	664.7105	668.7470	682.0610	689.5900	689.5900
		(Gap)	$(1.06\%)$	$(3.96\%)$	$(3.56\%)$	$(1.18\%)$	$(0.09\%)$	$(0.09\%)$
3302	<b>MLP</b>	Exponential	683.0300	665.1467	666.6640	671.3130	689.5870	689.5870
3303		(Gap)	$(1.09\%)$	$(3.99\%)$	$(3.64\%)$	$(9.68\%)$	$(0.09\%)$	$(0.09\%)$
3304		Critic	683.1511	666.9047	668.6411	687.8240	689.3510	689.3510
3305		(Gap)	$(1.43\%)$	$(5.40\%)$	$(4.92\%)$	$(0.35\%)$	$(0.13\%)$	$(0.13\%)$
3306		Rollout	681.8690	664.1233	665.9901	689.4810	689.5020	689.4930
3307		(Gap)	$(1.14\%)$	$(3.71\%)$	$(3.44\%)$	$(0.11\%)$	$(0.11\%)$	$(0.11\%)$
		Mean	682.1360	669.2791	670.4091	666.0360	689.5990	689.5890
3308	<b>SAGE</b>	(Gap)	$(1.06\%)$	$(3.63\%)$	$(3.05\%)$	$(10.44\%)$	$(0.09\%)$	$(0.09\%)$
3309		Exponential	680.3970	653.0383	656.3170	675.2220	689.5990	689.5980
3310		(Gap)	$(1.06\%)$	$(3.95\%)$	$(3.46\%)$	$(2.18\%)$	$(0.09\%)$	$(0.09\%)$
3311		Critic	676.9190	645.9108	649.6940	647.9050	688.4500	688.4650
3312		(Gap)	$(1.94\%)$	$(6.43\%)$	$(5.89\%)$	$(6.12\%)$	$(0.26\%)$	$(0.26\%)$
3313		Rollout	680.2640	648.2318	656.3710	689.4430	689.4660	689.4660
		(Gap)	$(1.10\%)$	$(2.96\%)$	$(2.80\%)$	$(0.12\%)$	$(0.11\%)$	$(0.11\%)$
3314		Mean	682.1960	662.1896	664.6721	681.3950	689.5670	689.5670
3315	<b>GEN</b>	(Gap)	$(0.97\%)$	$(3.56\%)$	$(3.34\%)$	$(1.28\%)$	$(0.10\%)$	$(0.10\%)$
3316		Exponential	682.4290	662.5012	665.8010	689.4060	689.5650	689.5650
3317		(Gap)	$(1.07\%)$	$(3.70\%)$	$(3.18\%)$	$(0.12\%)$	$(0.10\%)$	$(0.10\%)$
3318		Critic	682.3510	664.1604	667.7340	689.6170	689.3940	689.3940
3319		(Gap)	$(1.45\%)$	$(6.08\%)$	$(4.91\%)$	$(0.09\%)$	$(0.12\%)$	$(0.12\%)$
			Uniform Random (Best)				527.9360	
3320			(Gap)				$(-23.50\%)$	
3321			Uniform Random (Mean)				432.7287	
3322			(Gap)				$(-37.30\%)$	
3323			Deterministic Greedy				680.2050	
3324			(Gap)				$(-1.46\%)$	
3325			GUROBI/SCIP (Optimum)				690.2350	
			(Gap)				$(0.00\%)$	

<span id="page-61-0"></span>**3294 3295** Table 26: Performance of different methods on the maximum coverage problem (MCP) benchmark. For the performance, the larger the better.

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**3328 3329 3330 3331 3332 3333 3334 3335 3336 3337 3338 3339 3340 3341 Test-time sampling techniques** We also consider other test-time sampling techniques: top-p sampling [\(Holtzman et al.,](#page-11-2) [2019\)](#page-11-2) and different sampling temperatures. Top-p sampling discards actions with low probabilities, and top- $p$  sampling with lower  $p$  values discards more low-probability actions. For sampling temperatures, higher temperatures give more uniform sampling. The considered  $p$  values are: 0.5, 0.6, 0.7, 0.8, 0.9, 0.95, 0.99, 1.0. The sampling temperatures considered are 0.01, 0.03, 0.1, 0.3, 0.5, 0.7, 0.8, 0.9, 1.0, 1.1, 1.2, 1.5, and 2.0. [Fig. 27](#page-62-0) show the heatmaps for each combination of encoder and RL baseline, for FLP and MCP. In each subplot, the  $x$ -axis represents the value of  $p$  in top- $p$  sampling, and the  $y$ -axis represents the sampling temperature. For each combination, the best performance is marked with a red star. For FLP, the best performance is usually achieved with a proper (i.e., neither too high nor too low) level of randomness. As the  $p$  value of top- $p$  sampling increases, the best sampling temperature decreases. Recall that both increasing the  $p$ value and increasing the sampling temperature would increase the randomness in sampling. Overall, compared to other RL baselines, Rollout needs a higher level of randomness to perform best. For MCP, the best performance is usually achieved without top- $p$  sampling and with a high sampling temperature, i.e., without high randomness in the sampling space.

## **3343 3344** E.6.3 OUT-OF-DISTRIBUTION

**3345 3346 3347** Results on out-of-distribution instances [Table 27](#page-63-0) shows the main numerical results when the methods are trained to choose  $k = 10$  locations on instances with  $n = 100$  locations, but tested to choose  $k' = 20$  locations on instances with  $n' = 200$  locations. [Table 28](#page-64-0) shows the main numerical results when the methods are trained to choose  $k = 10$  sets on instances with  $n = 100$  sets and

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<span id="page-62-0"></span>

Figure 27: Performance of sampling with different p values for top-p sampling and different sampling temperatures. Top: FLP; Bottom: MCP. For each combination of encoder and RL baseline, the best performance is marked with a star.

							<b>Active Search</b>	
	Encoder	RL Baseline	Sample (Best)	Sample (Mean)	Greedy	AS	EAS-Emb	EAS-Lay
		Rollout	14.7612	15.2979	15.2709	14.4160	14.4181	14.4181
		(Gap)	$(3.85\%)$	$(7.63\%)$	$(7.44\%)$	$(1.42\%)$	$(1.43\%)$	$(1.43\%)$
		Mean	15.0045	15.7343	15.3075	14.5315	14.5331	14.5331
		(Gap)	$(5.56\%)$	$(10.70\%)$	$(7.70\%)$	$(2.23\%)$	$(2.24\%)$	$(2.24\%)$
	<b>MLP</b>	Exponential	15.0022	15.7144	15.3131	14.5274	14.5266	14.5266
		(Gap)	$(5.54\%)$	$(10.56\%)$	$(7.74\%)$	$(2.20\%)$	$(2.19\%)$	$(2.19\%)$
	Critic	14.9670	15.6631	15.2781	14.5147	14.5132	14.5132	
		(Gap)	$(5.30\%)$	$(10.20\%)$	$(7.49\%)$	$(2.11\%)$	$(2.10\%)$	$(2.10\%)$
		Rollout	14.9564	15.4230	15.3610	14.6254	14.6239	14.6248
<b>GCN</b>	(Gap)	$(5.22\%)$	$(8.51\%)$	$(8.07\%)$	$(2.89\%)$	$(2.88\%)$	$(2.89\%)$	
	Mean	15.1380	15.8310	15.3713	14.6554	14.6572	14.6574	
	(Gap)	$(6.50\%)$	$(11.38\%)$	$(8.14\%)$	$(3.10\%)$	$(3.11\%)$	$(3.12\%)$	
	Exponential	15.2197	15.9598	15.4441	14.6961	14.6963	14.6973	
	(Gap)	$(7.08\%)$	$(12.29\%)$	$(8.66\%)$	$(3.39\%)$	$(3.39\%)$	$(3.40\%)$	
	Critic	15.1754	15.9835	15.2815	14.6579	14.6634	14.6642	
		(Gap)	$(6.53\%)$	$(12.00\%)$	$(8.23\%)$	$(3.12\%)$	$(3.16\%)$	$(3.16\%)$
		Rollout	14.7503	15.2808	15.2593	14.4142	14.4150	14.4143
		(Gap)	$(3.77\%)$	$(7.51\%)$	$(7.36\%)$	$(1.40\%)$	$(1.41\%)$	$(1.40\%)$
		Mean	15.1147	15.9092	15.2895	14.5944	14.5986	14.5946
		(Gap)	$(6.34\%)$	$(11.93\%)$	(7.57%)	$(2.67\%)$	$(2.70\%)$	(2.67%)
	<b>GAT</b>	Exponential	15.1639	15.9886	15.2945	14.5991	14.6004	14.6011
		(Gap)	$(6.68\%)$	$(12.49\%)$	$(7.60\%)$	$(2.70\%)$	$(2.71\%)$	$(2.72\%)$
		Critic	15.1428	15.9191	15.3835	14.6053	14.6111	14.6111
		(Gap)	$(6.76\%)$	$(12.46\%)$	$(7.51\%)$	$(2.75\%)$	$(2.79\%)$	(2.79%)
			Uniform Random (Best)				18.3215	
			(Gap)				$(28.92\%)$	
			Uniform Random (Mean)				21.7044	
			(Gap)				$(52.74\%)$	
			Deterministic Greedy				15.3090	
			(Gap)				$(7.71\%)$	
			GUROBI/SCIP (Optimum)				14.2148	
			(Gap)				$(0.00\%)$	

<span id="page-63-0"></span>**3402 3403** Table 27: Performance of different methods on the facility location problem (FLP) out-of-distribution instances. For the performance, the smaller the better.

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 $m = 200$  items in total and tested to choose  $k' = 20$  sets on instances with  $n' = 200$  sets and  $m' = 400$  items in total. Each item has a random weight between 1 and 10, and the number of items in each set is randomly sampled between 5 and 15. The reported results are averaged over 1, 000 randomly generated test instances. We also report the average gap for each setting. Overall, the performance of RL methods generalizes well to out-of-distribution instances, being significantly higher than both Uniform Random and Deterministic Greedy with enough sampling.

**3443 3444 3445 3446** Effect of the encoder For FLP, unlike the main benchmark, the superiority of GCN no longer exists for out-of-distribution instances. For MCP, the best encoders for different RL baselines are still different, and the performance of MLP is the best.

**3447 3448 3449 Effect of the RL baseline** For FLP, again, Rollout is overall better than the other three. For MCP, the best RL baselines for different encoders are different, and Mean and Critic are overall good choices.

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**3451 3452 3453 3454 3455** Effect of active search Again, active search clearly improves performance in almost all cases. For FLP, unlike the main benchmark, for out-of-distribution instances, Rollout overall performs best with and without active search. Still, the performance of the original active search (AS) is less stable than the two variants of efficient active search (EAS). With active search (especially EAS), the performance of RL methods is consistently better than that of Deterministic Greedy and is close to the optimum.

						Active Search	
Encoder	<b>RL</b> Baseline	Sample (Best)	Sample (Mean)	Greedy	AS	EAS-Emb	EAS-Lay
	Rollout	1356.8970	1299.8690	1307.5250	1385.3340	1385.3280	1385.3280
	(Gap)	$(-1.83\%)$	$(-5.48\%)$	$(-5.03\%)$	$(-0.32\%)$	$(-0.33\%)$	$(-0.33\%)$
	Mean	1360.7710	1306.4015	1312.6290	1319.8180	1383.3580	1383.3580
<b>MLP</b>	(Gap)	$(-2.34\%)$	$(-6.45\%)$	$(-5.89\%)$	$(-5.04\%)$	$(-0.47\%)$	$(-0.47%)$
	Exponential	1360.7830	1306.3337	1312.7070	1088.0180	1383.9670	1383.9670
	(Gap)	$(-2.49\%)$	$(-6.64\%)$	$(-6.23\%)$	$(-21.71\%)$	$(-0.42\%)$	$(-0.42\%)$
	Critic	1363.9190	1313.2830	1319.5280	1353.9080	1377.3780	1377.3780
	(Gap)	$(-3.29\%)$	$(-7.83%)$	$(-7.33\%)$	$(-2.59\%)$	$(-0.90\%)$	$(-0.90\%)$
	Rollout	1353.9790	1297.5763	1303.7120	1382.2220	1382.1140	1382.1140
	(Gap)	$(-2.55\%)$	$(-6.61\%)$	$(-6.16\%)$	$(-0.55\%)$	$(-0.56\%)$	$(-0.56\%)$
	Mean	1366.0050	1320.5641	1325.5570	1121.7650	1384.3780	1384.3650
	(Gap)	$(-2.06\%)$	$(-5.98\%)$	$(-5.53\%)$	$(-19.30\%)$	$(-0.39\%)$	$(-0.40\%)$
<b>SAGE</b>	Exponential	1344.1420	1281.0377	1288.0360	1288.2830	1383.6030	1383.5500
	(Gap)	$(-2.30\%)$	$(-6.38\%)$	$(-5.73\%)$	$(-7.31\%)$	$(-0.45\%)$	$(-0.45\%)$
	Critic	1331.1100	1266.6130	1276.0670	1092.0550	1367.4660	1367.4690
	(Gap)	$(-4.23%)$	$(-8.87%)$	$(-8.19\%)$	$(-21.42\%)$	$(-1.61\%)$	$(-1.61\%)$
	Rollout	1334.2700	1269.0966	1284.4550	1385.6540	1385.5750	1385.5750
	(Gap)	$(-1.68\%)$	$(-4.96\%)$	$(-4.60\%)$	$(-0.30\%)$	$(-0.31\%)$	$(-0.31\%)$
	Mean	1354.8450	1297.2153	1302.8560	1305.4070	1384.3080	1384.2980
	(Gap)	$(-2.06\%)$	$(-5.98\%)$	$(-5.52\%)$	$(-6.08\%)$	$(-0.40\%)$	$(-0.40\%)$
<b>GEN</b>	Exponential	1357.4750	1300.7056	1309.8040	1376.1300	1384.3780	1384.3900
	(Gap)	$(-2.11\%)$	$(-6.18\%)$	$(-5.45\%)$	$(-0.99\%)$	$(-0.39\%)$	$(-0.39\%)$
	Critic	1360.0420	1303.4360	1313.6640	1366.2960	1374.8630	1374.8370
	(Gap)	$(-4.00\%)$	$(-8.68\%)$	$(-7.58%)$	$(-1.69\%)$	$(-1.08\%)$	$(-1.08\%)$
		Uniform Random (Best)				1003.3390	
		(Gap)				$(-27.80\%)$	
Uniform Random (Mean) 866.3536							
$(-37.66%)$ (Gap)							
		Deterministic Greedy				1367.2240	
		(Gap)				$(-1.63\%)$	
		GUROBI/SCIP (Optimum)				1389.8450	
		(Gap)				$(0.00\%)$	

<span id="page-64-0"></span>**3456 3457** Table 28: Performance of different methods on the maximum coverage problem (MCP) out-of-distribution instances. For the performance, the larger the better.

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**3490 3492 3493 3494 3495 3496 3498 Test-time sampling techniques** For out-of-distribution instances, we also consider top- $p$  sampling and different sampling temperatures as the main benchmark. The considered  $p$  values are: 0.5, 0.6, 0.7, 0.8, 0.9, 0.95, 0.99, 1.0. The sampling temperatures considered are 0.01, 0.03, 0.1, 0.3, 0.5, 0.7, 0.8, 0.9, 1.0, 1.1, 1.2, 1.5, and 2.0. [Fig. 28](#page-65-0) show the heatmaps for each combination of encoder and RL baseline, for FLP and MCP. In each subplot, the x-axis represents the value of  $p$  in top $p$  sampling, and the  $y$ -axis represents the sampling temperature. For each combination, the best performance is marked with a red star. For both FLP and MCP, the best performance is usually achieved with a proper (i.e., neither too high nor too low) level of randomness. As the  $p$  value of top-p sampling increases, the best sampling temperature decreases. Recall that both increasing the p value and increasing the sampling temperature would increase the randomness in sampling.

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- E.7 EFFICIENT SOFTWARE ROUTINES

**3504 3505** E.7.1 MIXED-PRECISION TRAINING

**3506 3507 3508** RL4CO supports multiple device types as well as floating point precisions by leveraging PyTorch Lightning [\(Falcon and The PyTorch Lightning team,](#page-10-4) [2019\)](#page-10-4).

**3509** As [Table 29](#page-66-0) shows mixed-precision training can successfully reduce computational costs both in terms of runtime and especially with memory usage.

<span id="page-65-0"></span>

Figure 28: Performance of sampling on out-of-distribution instances with different  $p$  values for top- $p$  sampling and different sampling temperatures. Top: FLP; Bottom: MCP. For each combination of encoder and RL baseline, the best performance is marked with a star.



<span id="page-66-0"></span>**3564 3565** Table 29: Running time and memory usage of the AM model trained using FP32 and FP16 mixed precision (FP16-mix), evaluated over 5 epochs with a training size of 10,000 in the CVPR20, CVPR50, and CVPR100.

## **3576** E.7.2 FLASHATTENTION

**3578 3579 3580 3581 3582** Given that the Attention operator is used on several occasions, especially in autoregressive models, there is a need to support fast and efficient software routines that can compute this ubiquitous operation. In RL4CO, we natively support FlashAttention [\(Dao et al.,](#page-10-0) [2022;](#page-10-0) [Dao,](#page-10-1) [2023\)](#page-10-1) from both PyTorch 2.0+ and the original FlashAttention repository  $^{21}$  $^{21}$  $^{21}$ , to which we also made some minor contributions when we found bugs.

<span id="page-66-2"></span>

**3598 3599** Figure 29: Running time of the graph attention encoder from the Attention Model, equipped with a standard attention layer, FlashAttention1, and FlashAttention2, across different problem sizes for both (a) the TSP and (b) the CVRP environments.

**3602 3603 3604 3605 3606 3607** As shown in [Fig. 29,](#page-66-2) different implementations can make a difference, especially with large problem sizes. It should be noted that while more scalable, FlashAttention at the moment is restricted to no or causal masks only. Therefore, usage in the masked attention decoding scheme is not possible at the moment, although it could be even more impactful due to the auto-regressive nature of our encoder-decoder scheme. Recent works as [Pagliardini et al.](#page-14-5) [\(2023\)](#page-14-5) may be useful in extending FlashAttention to other masking patterns. We note that masking should, in principle, be even faster than un-masked attention, given that operations can be skipped in a per-block manner.

#### **3609** E.7.3 EFFICIENT MEMORY HANDLING IN ENVIRONMENTS

**3611 3612 3613 3614 3615 3616 3617** When dealing with RL problems, there is usually a tradeoff between memory and speed. This happens because environments are parallelized using multiple processes or threads, the policy network is replicated to each environment, or observations incoming from each environment need to be gathered, sent to the policy network, and then the output action scattered back to the representative environment. In the first case, network duplication causes large memory consumption; in the second case, communication between processes slows down. In RL4CO, we solve the problem by using batched environments, i.e., every environment is responsible not

<span id="page-66-1"></span><sup>21</sup>Available at <https://github.com/Dao-AILab/flash-attention>.

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**3618 3619 3620 3621** for a single instance of a problem but a batch of instances at the same time. By doing so, the policy can live in the same process of the environment, in the same device, and receive and send batched data without any communication overhead or additional memory consumption. To further improve performances, we rewrite

**3622 3623 3624 3625 3626 3627 3628 3629 3630 3631 3632** a core component of the TorchRL environment, namely the step method of the TorchRL base environment. The original step method performs some checks that, while useful for generic environments, can be omitted for RL4CO ones. It also duplicates the information in the output TensorDict by returning both the previous and the new state. In RL4CO, the previous state is always redundant, hence our step method does not keep it, reducing the memory consumption. We can see in [Table 30](#page-67-0) that using RL4CO step method has

<span id="page-67-0"></span>Table 30: Comparison of training time in seconds for one epoch with RL4CO and TorchRL step method.

Configuration		Step method			
Environment	Nodes	RL <sub>4</sub> CO	TorchRL		
	50	46.3	49.6		
<b>TSP</b>	100	102.9	108.6		
	200	284.9	302.2		
	50	72.9	73.4		
<b>CVRP</b>	100	147.3	154.3		
	200	371.7	406.4		

**3633 3634 3635 3636 3637 3638** a great benefit in terms of speed, especially for high-dimensional environments. The results are collected for the TSP and CVRP environment during one epoch of training for a dataset of size 100000. The table shows the difference in training time and peak allocated memory for the training when the environment uses the TorchRL step method and the RL4CO step method. The peak allocated memory is computed using the torch.cuda.max\_memory\_allocated method from PyTorch, and experiments are run on a Tesla V100 DGX 32GB.

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## E.8 TOWARDS FOUNDATION MODELS

**3641 3642 3643 3644 3645 3646 3647 3648 3649 3650 3651 3652** Motivation Although learning to solve VRPs has gained significant attention, previous methods are only structured and trained independently on a specific problem, making them less generic and practical. Inspired by the recent success of foundation models in the language and vision domains, some works started to build foundation models for VRPs [\(Liu et al.,](#page-13-2) [2024a;](#page-13-2) [Zhou et al.,](#page-17-0) [2024;](#page-17-0) [Berto et al.,](#page-9-6) [2024\)](#page-9-6), aiming to solve a wide spectrum of problem variants using a single model. The main idea is to train a (large) model on diverse VRPs, which can be represented by a unified template. Typically, VRPs share several common attributes. For example, CVRP and VRPTW share the capacity attribute while only differing in the time window attribute. Therefore, a simple template could be a union set of attributes that exist in all VRP variants. By training on diverse VRP variants leveraging this unified representation, the foundation VRP model has the potential to efficiently and effectively solve any variant, making it a favorable choice versus traditional solvers (e.g., OR-Tools [\(Perron and Furnon,](#page-14-6) [2023\)](#page-14-6)) in the future.

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## E.8.1 EXPERIMENTAL SETTING

**3655 3656 3657 3658 3659 3660 3661 3662 3663 3664 3665 3666 3667 3668 3669 3670 3671** For traditional solvers, we use HGS-PyVRP [\(Wouda et al.,](#page-16-6) [2024\)](#page-16-6), an open-source VRP solver based on the state-of-the-art HGS-CVRP [\(Vidal,](#page-16-5) [2022\)](#page-16-5), and Google's OR-Tools [\(Perron and Furnon,](#page-14-6) [2023\)](#page-14-6), an open-source solver based on constraint programming for complex optimization problems, to solve all VRP variants considered in this study. Both baseline methods solve each instance on a single CPU core with a time limit of 10 and 20 seconds for instances with 50 and 100 nodes, respectively. We parallelize traditional solvers across 16 CPU cores as in [\(Kool et al.,](#page-12-1) [2019a\)](#page-12-1). For neural solvers, we mostly follow the training setups from previous works [\(Liu et al.,](#page-13-2) [2024a;](#page-13-2) [Zhou](#page-17-0) [et al.,](#page-17-0) [2024;](#page-17-0) [Berto et al.,](#page-9-6) [2024\)](#page-9-6). In specific, the model is trained over 300 epochs, with each epoch containing 100,000 instances generated on the fly. The Adam optimizer is used with a learning rate of  $3e - 4$ , a weight decay of  $1e - 6$ , and a batch size of 256. The learning rate decays by 10 at 270 and 295 epochs. Note that different from [Liu et al.](#page-13-2) [\(2024a\)](#page-13-2); [Zhou et al.](#page-17-0) [\(2024\)](#page-17-0), we allow various problem variants to be trained in each batch training following [Berto et al.](#page-9-6) [\(2024\)](#page-9-6). We consider 16 VRP variants as shown in Table [8,](#page-24-0) including the constraints of capacity, time window, backhaul, open route, and duration limit. The training variants include CVRP, OVRP, VRPL, VRPB, VRPTW, and OVRPTW. During inference, we use greedy rollout with x8 instance augmentation following [Kwon et al.](#page-12-5) [\(2020\)](#page-12-5). We report the average results (i.e., objective values and gaps) over the test dataset that contains 1,000 instances, and the total time to solve the entire test dataset. The gaps are computed with respect to the results of HGS-PyVRP. All neural solvers are implemented using RL4CO.



## <span id="page-68-0"></span>Table 31: Performance on 1,000 test instances. \* represents 0.000%, with which the gaps are computed.

**3710** E.8.2 EMPIRICAL RESULTS

**3711 3712 3713 3714 3715 3716 3717 3718 3719 3720** We show the comprehensive evaluation results and validation curves in [Table 31](#page-68-0) and [Fig. 30,](#page-69-0) respectively. The conclusions are consistent with previous studies [\(Liu et al.,](#page-13-2) [2024a;](#page-13-2) [Zhou et al.,](#page-17-0) [2024;](#page-17-0) [Berto et al.,](#page-9-6) [2024\)](#page-9-6) that 1) the foundation VRP solvers exhibit remarkable zero-shot generalization performance, even only trained on several VRPs with simple constraints; 2) conditional computation (e.g., mixture-of-experts [\(Jacobs et al.,](#page-11-6) [1991;](#page-11-6) [Shazeer et al.,](#page-15-5) [2017\)](#page-15-5)) can greatly enhance the model capacity without a proportional increase in computation. In [Table 32,](#page-70-0) we further show the performance on CVRPLIB [\(Lima et al.,](#page-13-3) [2014\)](#page-13-3), which is a real-world benchmark dataset including instances with diverse distributions. We empirically observe that training on multiple VRPs can significantly improve the out-of-distribution generalization performance of neural VRP solvers, demonstrating the great promise of developing foundation models in VRPs.

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**3722 3723** E.8.3 DISCUSSION

**3724 3725** Foundation models, a class of large-scale deep learning models pre-trained on extensive datasets of diverse tasks, have recently revolutionized the fields of language and vision domains. They can generate text, translate languages, summarize content, and more, all without task-specific training.

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Figure 30: The validation curves of foundation models on  $N = 100$ .

**3748 3749 3750 3751 3752 3753 3754 3755 3756 3757 3758 3759 3760 3761 3762** This versatility makes them incredibly useful across various applications, from chatbots to academic research. Aiming for a more powerful and general solver, recent studies explore the possibility of pretraining a large model on a huge amount of optimization tasks. The long-term goal is to develop a foundation model for VRPs (or more broadly COPs), which can efficiently solve any problem variant, comparably or better to the conventional solvers with respect to the solution quality and inference speed. Despite the recent advancements of foundation VRP models [\(Liu et al.,](#page-13-2) [2024a;](#page-13-2) [Zhou](#page-17-0) [et al.,](#page-17-0) [2024;](#page-17-0) [Berto et al.,](#page-9-6) [2024\)](#page-9-6), there are many challenges that need to be addressed by the NCO community, including but not limited to: 1) *scaling:* current autoregressive-based models are challenging to scale to the parameter levels of large language models (e.g., billions of parameters) due to the expensive training cost. RL-based training is data inefficient and converges slowly, whereas SL-based training requires a significant amount of optimal solutions, which are non-trivial to obtain for NP-hard problems. They also fail to be efficiently trained on large-scale instances; 2) *performance:* the empirical results are still far short of traditional solvers (e.g., OR-Tools). They may also suffer from generalization and robustness issues; 3) *generality:* the current problem formulation or template cannot solve novel problem variants in a zero-shot manner; 4) *interpretability:* the decision-making of foundation models is hard to explain.

**3763 3764 3765 3766 3767 3768 3769 3770** Moreover, there is another line of research leveraging the existing large language models (LLMs) to generate solutions [\(Yang et al.,](#page-16-9) [2024;](#page-16-9) [Liu et al.,](#page-13-8) [2023;](#page-13-8) [Iklassov et al.,](#page-11-7) [2024\)](#page-11-7) or algorithms [\(Romera-](#page-15-6)[Paredes et al.,](#page-15-6) [2024;](#page-15-6) [Liu et al.,](#page-13-9) [2024b;](#page-13-9) [Ye et al.,](#page-16-10) [2024a\)](#page-16-10), yielding impressive results when integrated with problem-specific heuristics or general meta-heuristics. Some studies employ LLMs to investi-gate the interpretability of solvers [\(Kikuta et al.,](#page-12-9) [2024\)](#page-12-9), automate problem formulation or simplify the use of domain-specific tools [\(Xiao et al.,](#page-16-11) [2024;](#page-16-11) [AhmadiTeshnizi et al.,](#page-9-10) [2024;](#page-9-10) [Wasserkrug et al.,](#page-16-12) [2024\)](#page-16-12) through text prompts. However, their performance is highly dependent on the utilized LLMs, and their outputs may be extremely sensitive to the designed prompts.

**3771 3772 3773 3774** We view both as promising directions towards foundation models in combinatorial optimization. We call the attention from both the machine learning (ML) and operations research (OR) communities to advance the development of impactful foundational models and learning methods that are scalable, robust, generalizable, and interpretable across various optimization tasks in future work.

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- **3776** E.9 GENERALIZATION OF TRAINING ON MULTIPLE DISTRIBUTIONS AND MULTIPLE TASKS
- **3778 3779** Recent neural methods mostly train and test neural networks on the same task with instances of the same distribution and size, and hence suffer from inferior generalization performance. Some attempts have been made to alleviate the generalization issue, focusing on either distribution [\(Bi](#page-9-5)



<span id="page-70-0"></span> Table 32: Results on CVRPLib datasets with diverse distributions and sizes. All models are only trained on the uniformly distributed data with the size  $N = 100$ .

 [et al.,](#page-9-5) [2022;](#page-9-5) [Jiang et al.,](#page-11-8) [2022;](#page-11-8) [Xin et al.,](#page-16-13) [2022\)](#page-16-13) or size [\(Son et al.,](#page-15-7) [2023\)](#page-15-7). More aligned to the diverse distribution and size settings in the benchmark dataset TSPLib and CVRPLib, [Manchanda](#page-14-7) [et al.](#page-14-7) [\(2023\)](#page-14-7) and [Zhou et al.](#page-17-2) [\(2023\)](#page-17-2) consider generalization across both distribution and size in VRPs.

 However, these generalization methods adopt extra model architectures and training paradigms, resulting in additional computational burdens. As a more efficient alternative, we observe that diversified training datasets significantly improve generalization performance. Specifically, as indicated in the prior works, training on mixed distributions ( $Bi$  et al., [2022\)](#page-9-5) and mixed VRP variants [\(Liu et al.,](#page-13-2) [2024a;](#page-13-2) [Zhou et al.,](#page-17-0) [2024;](#page-17-0) [Berto et al.,](#page-9-6) [2024\)](#page-9-6) boosts the generalization capability. RL4CO, detailed in [Appendix B.1.6,](#page-24-1) supports multiple VRP variants and the generation of diverse coordinate distributions, enabling straightforward experimental setups. The implementation specifics are outlined in [Appendix D.3.4.](#page-43-1) Evaluation results on the CVRPLib [Lima et al.](#page-13-3) [\(2014\)](#page-13-3), summarized in [Table 5](#page-7-0) and fully detailed in [Table 33,](#page-71-0) demonstrate that training across multiple distributions (i.e., MDPOMO) achieves better generalization on datasets of similar size to the training set, whereas training across multiple VRP tasks (i.e., MTPOMO) exhibits superior generalization across larger and more diverse distributions. This indicates that different VRP variants share foundational knowledge, and learning from this diversity enhances generalization beyond conventional training on a single distribution, size, and task. These key findings highlight the necessity of developing foundational models across diverse combinatorial optimization domains.

 

 

 

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<span id="page-71-0"></span>Table 33: Full Results on CVRPLIB instances with models trained on  $N = 50$ . Greedy multi-start decoding is used.

