VANP: Self-Supervised Vision-Action Pretraining for Navigation

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 Abstract: Self-supervised learning has revolutionized the fields of computer vi- sion and natural language processing. Despite its potential, its application to robotic navigation tasks remains under-explored. This is due to the difficulty of defining effective self-supervision signals for robotics. Fortunately, with the re- cent development of many large-scale robotic navigation datasets with a variety of sensor and action data that can be used as self-supervision signals, self-supervised learning has become a viable approach for robotic navigation tasks. In this work, we propose a self-supervised method for learning visual features for end-to-end robotic navigation systems, using actions as the supervisory signal. This approach is motivated by the observation that humans tend to focus on specific regions of their frontal view in order to make navigation decisions and produce navigation actions. We reverse this procedure, using future actions to learn only the visual features that are important for navigation, as opposed to extracted features by conventional computer vision models that tend to extract every detail of the envi- ronment that can be misleading to a downstream navigation controller. Our results show that this approach enables small convolutional neural network-based visual encoders to achieve performance comparable to large vision foundation models trained on billions of images. This demonstrates the scalability and effectiveness of our self-supervised learning method for robotic navigation.

Keywords: Self-supervised, Navigation, Learning

21 1 Introduction

 Recent advances in computer vision and deep learning rely on the development of increasingly large and complex Deep Neural Networks (DNNs) [\[1,](#page-7-0) [2,](#page-7-0) [3,](#page-7-0) [4\]](#page-7-0). However, training these DNNs from scratch can be computationally expensive and requires a large amount of computing resources [\[5,](#page-7-0) [6,](#page-7-0) [7,](#page-7-0) [8,](#page-7-0) [9\]](#page-7-0). Self-Supervised Learning (SSL) [\[10,](#page-7-0) [11,](#page-7-0) [12,](#page-7-0) [13\]](#page-7-0) is a machine learning paradigm that can mitigate the need for annotated data by enabling DNNs to first pre-train the model from unlabeled data and then quickly fine-tune to adapt to specific tasks, avoiding the need to re-train everything from scratch, i.e., SSL trains DNNs to complete a pretext task that does not require labels. For example, DNNs might be trained to predict the rotation of an image [\[14\]](#page-7-0) or to reconstruct an image from its corrupted/obstructed version [\[15\]](#page-7-0). By completing these pretext tasks, DNNs learn to extract meaningful features from the data, which can then be used to solve downstream tasks such as image classification and object detection [\[16\]](#page-7-0).

 Despite the effectiveness of SSL in a variety of computer vision tasks, challenges still remain that need to be addressed before SSL can be widely adopted in robotics applications. For example, SSL models typically require a large amount of data to train, which can be difficult to obtain in robotics settings. Additionally, SSL models trained on computer vision datasets such as ImageNet ILSVRC-2012 [\[17\]](#page-8-0) and COCO [\[18\]](#page-8-0) may not generalize well to robotic navigation tasks, which contain a significant amount of dynamic scenes of moving agents that can affect a robot's trajectory.

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Figure 1: An illustrative example of the difference between attentive regions from computer vision models (left) and navigation models (right). With the assumption that everyone respects social etiquette, some regions become redundant when making navigational decisions.

 As shown in Figure 1 left, complex DNN models trained on vision tasks may extract all the existing features in the scene that may confuse downstream navigation controllers based on Neural Networks (NNs) by providing too much information and leading to improper actions. Although these features may be useful for other downstream tasks, e.g., computer vision or mobile manipulation [\[19\]](#page-8-0), such complex features may not be necessary for navigation tasks, e.g., when navigating human-occupied spaces where everyone is respecting social etiquette [\[20\]](#page-8-0). Another argument for this limitation of conventional computer vision models in real-world navigation is that humans only pay attention to what is right in front of them to make decisions when navigating an environment. This efficiently limits the observation region, as shown in Figure 1 right, which illustrates the difference between features extracted by conventional computer vision models and those necessary to enable navigation tasks.

 Considering both the success of SSL on a variety of computer vision tasks and the oftentimes re- dundant and confusing features provided by generic SSL models for navigation tasks, we present a Vision-Action Navigation Pretraining (VANP) approach that completely relies on a pretext task to train the visual encoder. The key observation behind VANP is when humans navigate crowded spaces, we do not need to pay attention to all the people and objects in the scene, but only the ones that affect our navigation trajectory *i.e.* observations that cause our actions. In this work, we re- verse this causality by learning only relevant visual features with the help of future actions. To this end, we leverage Barlow Twins' redundancy-reduction principle [\[11\]](#page-7-0) to train a visual encoder that discards redundant features of an image for navigation using an action latent space (see Figure [2\)](#page-3-0). VANP focuses on extracting informative features from images that are aligned with the actions of a navigating robot. Our experimental results suggest that VANP-extracted features are more informa- tive for a downstream controller. We show that even a simple Convolutional Neural Network (CNN) with 8 million parameters trained on only one million images can be as expressive for visual navi- gation as a vision foundation model with 21 million parameters pre-trained on 142 million curated images out of 1.2 billion source images.

The contributions of this work can be summarized as follows:

- We propose an SSL framework to train a visual encoder for robotic navigation tasks.
- We provide a concrete pre-trained SSL model for deployment in an end-to-end navigation pipeline in social environments.

2 Related Work

Our work is motivated by several recent advances in Natural Language Processing (NLP) and com-

puter vision, driven by the Self-Supervised Learning (SSL) paradigm. In this section, we categorize

this pretraining paradigm into two groups for robotics and review related works pertaining to each.

 Pretraining for better representation: General purpose models, also known as foundation models, pre-trained on pretext tasks can contribute to learning a rich representation that can help the model generalize to different downstream tasks in a zero/few-shot manner [\[16\]](#page-7-0). Foundation models for robot manipulation have been extensively studied in the literature $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$ $[21, 22, 23, 24, 25, 26, 27]$. For ex- ample, R3M [\[28\]](#page-8-0) trained a general visual encoder for manipulation tasks on the Ego4D dataset [\[29\]](#page-8-0), while CLIPort [\[30\]](#page-9-0) leveraged the CLIP model [\[31\]](#page-9-0) to enable language instructions for manipulation. Dadashi et al. [\[21\]](#page-8-0) proposed AQuaDem, a framework to learn quantized actions from demonstra- tions in continuous action spaces, while VANP is doing the opposite by learning visual features from 81 continuous action spaces. Luo et al. [\[22\]](#page-8-0) improved AQuaDem by using VQ-VAE [\[32\]](#page-9-0) for offline reinforcement learning. Huang et al. [\[23\]](#page-8-0) proposed Skill Transformer to learn long-horizon robotic tasks with the help of transformers [\[33\]](#page-9-0). In autonomous driving Nazeri and Bohlouli [\[34\]](#page-9-0) proposed two parallel networks, one encodes fea-

 tures from the past, and the other encodes plausible features from the future to expand the ob- servation window so the model can make well-informed decisions. Codevilla et al. [\[35\]](#page-9-0) showed that a deeper model can play an important role in training better policies. It is apparent that most of the works in AVs use pre-trained computer vision models that are trained on ImageNet [\[36,](#page-9-0) [35,](#page-9-0) [37,](#page-9-0) [38,](#page-9-0) [34,](#page-9-0) [39,](#page-9-0) [40,](#page-9-0) [41,](#page-9-0) [42\]](#page-9-0). However, VANP shows that a visual encoder specific to naviga-tion tasks can help in learning better policies compared to pretraining with ImageNet.

 Pretraining for better policies: Foundation models can not only help in learning a rich repre- sentation but also be used as a policy to generalize to multiple robotic tasks. SayCan [\[43\]](#page-9-0) used Large Language Models (LLMs) to learn robotics skills by grounding LLMs and value functions in the physical world. Li et al. [\[44\]](#page-9-0) and Reid et al. [\[45\]](#page-9-0) used pre-trained LLMs as the policy back- bone. VPT [\[46\]](#page-9-0) pseudo-labeled Minecraft YouTube videos to learn a behavior cloning policy that can craft diamonds. VPT learns the inverse dynamics while VANP uses dynamics to learn visual features. GNM [\[47\]](#page-10-0) learned a general policy to drive any robot by combining multiple datasets of different robot types. ViNT [\[48\]](#page-10-0) further improved GNM by replacing the policy network with a transformer [\[33\]](#page-9-0). To the best of our knowledge, no prior work has used actions as the pretext training signal to learn visual features for visual navigation.

 Large-Scale Datasets: Large-scale datasets are the primary driver of recent advances in SSL. Data collection in computer vision is relatively straightforward compared to interaction-rich robotics nav- igation. One reason for this is that collecting a large-scale navigation dataset through human teleop- eration is expensive. Additionally, collecting interaction-rich datasets can be potentially dangerous due to the risk of collisions between humans and robots. Despite these challenges, the robotics com- munity has made tremendous efforts to collect interaction-rich datasets in the real world in recent years [\[49,](#page-10-0) [50,](#page-10-0) [51,](#page-10-0) [52\]](#page-10-0). SCAND [\[49\]](#page-10-0) was one of the first efforts to collect navigation data in social environments at large by using teleoperated Spot and Jackal robots. MuSoHu [\[50\]](#page-10-0) is another effort to collect 20 hours of human interactions in crowded spaces by using a human wearing a helmet equipped with different sensors. SANPO [\[52\]](#page-10-0) also used humans to collect both real and synthetic datasets of nearly 15 hours of annotated videos for both vision tasks and robotics navigation. In this work, we combine both SCAND and MuSoHu of both robot and human navigation demonstra- tions respectively to create a dataset of nearly 1 million visual navigation samples with real-world human-robot interactions.

115 3 Methodology

This section formally defines the end-to-end visual navigation task and describes the Vision-Action

Navigation Pretraining (VANP) procedure for the visual encoder.

Figure 2: VANP first maps the sequence of actions to a sparser, higher-dimensional space Z^a (green). Then, leveraging Barlow Twins' redundancy reduction principle, VANP induces sparsity on Z^i (blue), the visual embedding, by minimizing the mutual information between Z^i and \tilde{Z}^a .

 Problem Definition: Visual navigation is the task of navigating an environment with only RGB camera input. Unlike conventional geometric navigation tasks using, e.g., LiDARs or depth images, this task is challenging due to the lack of explicit geometric information. The visual navigation problem can be formalized as follows. Input: The robot is given a sequence of past and current images from its front-facing camera, $o_t = [I_{t-\tau_p}, I_{t-\tau_p+1}, \ldots, I_t] \in \mathcal{O}$, where t is the current time 123 step, τ_P is the number of past frames, and $\mathcal O$ is the space of all possible image sequences. The robot is also given its current goal e.g. GPS coordinates, pose, image, or next local coordinate in 2D space, $g \in \mathcal{G}$, which determines the direction it should move in the next time step. **Output:** The robot must select an action, $a_t \in \mathcal{A}$ consists of continuous linear and angular velocities, where $\mathcal{A} \in [-1, 1]^2$ is 127 the action space, where $[-1, 1]$ maps to the minimal and maximal linear and angular velocity of the 128 robot. Visual Navigation: The goal is to learn a policy, $\pi_{\Theta}: \mathcal{O} \times \mathcal{G} \to \mathcal{A}$, where Θ represents the policy's parameters, to determine which action to take at each time step to reach its goal destination efficiently while avoiding collisions with other agents and observing underlying social norms.

End-To-End Model: In end-to-end or holistic models we define the policy π_{Θ} as follow: $a =$ $\pi_{\Theta}(\mathbf{o}, g) = \sigma_{\mathcal{E}}(p_{\phi}(\mathbf{o}) \oplus q_{\psi}(g))$, where σ is the controller policy parametrized by ζ , p is the image 133 encoder parameterized by ϕ , q is the goal encoder parameterized by ψ , and \oplus is the concatenation of two output vectors. To learn these parameters, two common approaches are (1) to learn all of them together in an end-to-end manner which makes the training difficult and time-consuming or (2) to train the image encoder separately and only fine-tune the goal encoder along with the controller to reduce training time.

¹³⁸ 3.1 Vision-Action Model

¹³⁹ VANP is inspired by the redundancy reduction principle of Barlow's Twins to train the image en-140 coder p. However, unlike vision self-supervised learning (SSL) models that work on the joint em-141 bedding of augmented images [\[53,](#page-10-0) [54\]](#page-10-0), VANP correlates the action space $\mathcal A$ with the pixel latent 142 space \mathcal{O}' . Under the assumption that every dynamic object or person in the environment will adhere to social norms, we define VANP pretraining as follows: We sample a batch of $(I^i, a^i_{t:t+\tau_F})$ from dataset D where i is the sample number, I^i is a single image at time t and $a_{t:t+\tau_F}^i$ is a sequence of 145 actions starting from t and ending in $t + \tau_F$, where τ_F is the number of frames in the future. We then feed I^i to p_ϕ and $a_{t:t+\tau_F}^i$ to f_ξ , typically a multilayer perceptron (MLP), to learn image Z^i and 147 action Z^a embeddings, respectively. Finally, we use Barlow Twin's objective function to learn ϕ 148 and ξ :

(a) Barlow's loss smoothly decreases which leads to correlations between actions and visual features.

(b) Downstream navigation training loss with Resnet-18, VANP-18, and DINOv2 as visual encoder.

Figure 3: Vision-Action Navigation Pretraining and Downstream Navigation Fine-Tuning.

$$
\mathcal{L}_{BT} = \underbrace{\sum_{i} (1 - C_{ii})^2}_{\text{invariance term}} + \lambda \underbrace{\sum_{i} \sum_{j \neq i} C_{ij}^2}_{\text{redundancy reduction term}}
$$
(1)

149 where λ is the trade-off between the first and second terms of the loss, and C is the cross-correlation ¹⁵⁰ matrix computed between the outputs of the action and image embeddings along the batch dimen-¹⁵¹ sion.

 Leveraging Barlow's objective function provides the advantage of not requiring negative samples, which can be difficult to define in action space. For example, when a person is in front of us, there may be two correct actions: overtake from the left or overtake from the right. Therefore, simply negating the angular velocity does not give us a negative sample and may introduce ambiguity like the example as mentioned earlier. Using actions from another sequence may not provide useful information for visual navigation, as the actions are inherently conditioned on the observations. Furthermore, the sparse high dimensional action latent space acts as a soft-whitening constraint on the image latent space to reduce the redundancy in extracted features from the image [\[55,](#page-10-0) [11\]](#page-7-0).

¹⁶⁰ 4 Preliminary Experimental Results

¹⁶¹ 4.1 Implementation Details

¹⁶² We implement our method with PyTorch [\[56\]](#page-10-0) and the training is performed on a single A100 GPU ¹⁶³ with 80 gigabytes of memory. You can find the code here.

 Model architecture: Considering the limited computation resources onboard most mobile robots, we choose ResNet-18 [\[57\]](#page-10-0) without the classification head as a lower latency image encoder and we call it VANP-18. We use a multilayer perceptron (MLP) with two hidden layers as the action encoder 167 to produce the embeddings of Z^i , $Z^a \in \mathbb{R}^{512}$. Both encoders were followed by MLPs with three 168 layers as the projection heads to generate the final Z'^i , $Z'^a \in \mathbb{R}^{8192}$, the same as Zbontar et al. [\[11\]](#page-7-0). The most important challenge here is that the two distinct networks for producing the embeddings have different modalities and therefore the output range significantly varies. Therefore, we initialize all the deep networks with the Kaiming Normal initialization [\[57\]](#page-10-0) with mean zero and variance one to mitigate the discrepancy in the output of the networks. For the end-to-end model, we follow the model used by Nguyen et al. [\[50\]](#page-10-0). We freeze the image encoder and only train the goal encoder and controller during downstream task training for all the experiments.

 Optimization: As proposed by Zbontar et al. [\[11\]](#page-7-0), we use the LARS optimizer [\[58\]](#page-10-0) and train the model for 1000 epochs with a batch size of 16384. For the other hyperparameters, we use a learning rate of 0.2 for the weights and 0.0048 for the biases. We use the first ten epochs as the warm-up phase and update the learning rate by a factor of 8 during these epochs. We observe that, as suggested by Zbontar et al. [\[11\]](#page-7-0), using any other factor than 8 results in gradient explosion during training.

 Dataset: We leverage two unique datasets: SCAND [\[49\]](#page-10-0) and MuSoHu [\[50\]](#page-10-0), both of which encap- sulate robot and human navigation data from the egocentric perspective. Both large-scale real-world datasets are collected in a variety of natural crowded public spaces. MuSoHu comprises approxi- mately 20 hours of data captured from human egocentric motion. The recordings capture human walking patterns in public spaces, providing insights for learning human-like, socially compliant navigation behaviors. SCAND is an autonomous robot navigation dataset that captures 8.7 hours of human-teleoperated robot navigation demonstrations in naturally crowded public spaces on a uni- versity campus. By combining these two, we create a dataset of over 1 million samples to train the 188 image encoder on the pretext task. For pretext task training, we use a single image $I_t \in \mathbb{R}^{70 \times 70}$ 189 along with a sequence of actions $a_{t:t+\tau_F} \in \mathbb{R}^{\tau_F \times 2}$ parsed at 25 Hz, comprising of 4 seconds in the 190 future. For the downstream task, we use a sequence of past observations $I_{t-\tau_P:t}$ ∈ $\mathbb{R}^{t \times 70 \times 70}$ along 191 with the polar coordinates of the next local goal $g \in \mathbb{R}^2$ parsed at 4 Hz, containing 1.5 seconds 192 history as the network input to produce the actions $A_{t:t+\tau_F} \in \mathbb{R}^{\tau_F \times 2}$ for two seconds in the future. In both stages, we use the augmentations proposed by Codevilla et al. [\[35\]](#page-9-0).

4.2 Results Discussion

 We report our preliminary results. To evaluate the effectiveness of VANP pretext training, we quan- titatively compare it with DINOv2 [\[12\]](#page-7-0), a self-supervised vision transformer model that learns uni- versal features suitable for eight different visual tasks including depth estimation, semantic seg- mentation, instance retrieval, dense and sparse matching. DINOv2 models exhibit robust out-of- distribution performance, and the learned features can be used directly without fine-tuning. We use DINOv2 as the upper performance bound and ResNet-18 trained on ImageNet ILSVRC-2012 as the performance baseline. To ensure a fair comparison, the architectures of all other components of the end-to-end model are kept fixed. During the downstream navigation task, we only train the goal en- coder and controller, and the weights of the image encoder are frozen, regardless of the architecture used.

 We use ResNet-18 as the architecture for VANP pretext training (VANP-18). The smoothly de- creasing Barlow's loss in Figure [3a](#page-4-0) indicates that the learned visual features align with the actions. Figure [3b](#page-4-0) shows the training loss for the downstream visual navigation task. During end-to-end 208 training, the weights of the visual encoder ϕ are frozen, and we only train the goal encoder q_{ψ} and 209 the controller σ_{ζ} . As shown in the figure, VANP-18 outperforms ResNet-18 with the same archi- tecture but a different training paradigm which shows the effectiveness of VANP's pretext training. VANP-18 also achieves slightly better performance than DINOv2, a vision transformer trained on billions of images. Figure [3b](#page-4-0) shows a comparison of parameters for the holistic model when we use different visual encoders.

 We separate a few trajectories from the dataset and use them as unseen scenarios for qualitative evaluation. After qualitatively comparing the model outputs, we observe that VANP-18 performs human avoidance. Figure [4](#page-6-0) shows a few examples from the evaluation set. Note that negative values for angular velocity denote turning right, and positive values mean turning left. VANP-18's decisions do not agree with human decisions in some scenarios, but it does not mean that they are completely wrong: for example, the disagreement in the second red border image is because the human predicts the group's future trajectory, and decides to move from the left while VANP-18 decides to go from the right.

5 Conclusions and Future Work

 In this work, we propose a self-supervised training approach to train visual encoder models specif- ically designed for visual navigation. This approach is motivated by the observation that humans only pay attention to a small region of their frontal view to make navigation decisions. By reversing this observation, we use the decisions to extract only visual features that are relevant to the visual navigation task, unlike computer vision models that tend to extract every detail in the environment, which can lead to confusion of neural-based controllers.

Figure 4: VANP's qualitative performance on unseen scenarios. Green: VANP outputs align with the demonstrations; Red: VANP outputs do not align with the demonstrations.

²²⁹ We hope that this work will inspire new ideas in the field of visual navigation. In the future, we

²³⁰ plan to conduct more real-world experiments and train deeper models, such as VAM-34 and VAM-

²³¹ 50, based on ResNet-34 and ResNet-50, respectively. In this work, we only use datasets collected

²³² in social environments. Another future direction is to merge datasets from different environments,

²³³ such as off-road, indoor, outdoor, and social environments, to evaluate the generalizability of the ²³⁴ proposed approach.

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