QGEval: Benchmarking Multi-dimensional Evaluation for Question Generation

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Abstract

Automatically generated questions often suffer from problems such as unclear expression or factual inaccuracies, requiring a reliable and comprehensive evaluation of their quality. Human evaluation is widely used in the field of 005 question generation (QG) and serves as the gold standard for automatic metrics. However, there is a lack of unified human evaluation criteria, which hampers consistent and reliable evaluations of both QG models and automatic met-011 rics. To address this, we propose QGEval, a multi-dimensional Evaluation benchmark for **O**uestion Generation, which evaluates both generated questions and existing automatic met-015 rics across 7 dimensions: fluency, clarity, conciseness, relevance, consistency, answerability, and answer consistency. We demonstrate the 017 appropriateness of these dimensions by examining their correlations and distinctions. Through 019 consistent evaluations of QG models and automatic metrics with QGEval, we find that 1) 021 most QG models perform unsatisfactorily in terms of answerability and answer consistency, and 2) existing metrics fail to align well with human judgments when evaluating generated questions across the 7 dimensions. We expect this work to foster the development of both QG technologies and their evaluation.

1 Introduction

Question Generation (QG) is a typical Natural Language Generation (NLG) task that aims to generate natural language questions based on an input context and optionally an answer. QG has broad applications such as question answering (QA) (Lyu et al., 2021), conversational systems (Zeng et al., 2023), and knowledge assessment (Ghanem et al., 2022). However, it has been demonstrated that questions generated by QG models suffer from problems like ambiguities and hallucinations (Laban et al., 2022), which emphasizes the critical importance of reliable evaluations. **Passage:** The publication of a Taoist text inscribed with the name of Töregene Khatun, Ögedei's wife, is one of the first printed works sponsored by the Mongols...... **Answer:** Töregene Khatun **Reference:** Who was Ögedei's wife?

Q1: <u>Who</u> was the name of Ögedei's wife? **Scores:** Flu. - 2.6667; Clar. - 3; Conc. - 3; Rel. - 3; Cons. - 3; Ans. - 3; AnsC. - 3

Q2: Who was the <u>Mongol ruler</u> whose name was inscribed on one of the first printed works sponsored by the Mongols? Scores: Flu. - 3; Clar. - 3; Conc. - 3; Rel. - 3; Cons. - 1; Ans. - 1.3333; AnsC. - 1.3333 Q3: Who was a Taoist text inscribed with the name

of <u>gedei's wife?</u> Scores: Flu. - 2.3333; Clar. - 1.3333; Conc. - 3; Rel. - 3; Cons. - 1; Ans. - 1; AnsC. - 1

Table 1: An example of QGEval, including a passage, an answer, a reference question, and 15 generated questions (only 3 are shown for brevity). The score ranges from 1 to 3 (higher better). Errors within questions are highlighted with underlines. Abbreviations are as follows. Flu.:Fluency; Clar.:Clarity; Conc.:Conciseness; Rel.:Relevance; Cons.:Consistency; Ans.:Answerability; AnsC.:Answer Consistency.

Human evaluation is widely acknowledged as the gold standard for evaluating QG (Wang et al., 2022), with most automatic metrics striving to align their results with human evaluation results (Amidei et al., 2018; Sai et al., 2022). However, the criteria of human evaluations are varied in existing research, leading to inconsistent and unreliable evaluations of QG models (Ji et al., 2022) and automatic metrics (Amidei et al., 2018; Mulla and Gharpure, 2023). This inconsistency highlights the urgent need to establish a unified human evaluation benchmark to ensure reliable evaluations.

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Despite the importance of such benchmarks, few have been published, and the existing ones usually

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have the following limitations: 1) focusing only on specific dimensions like answerability; 2) involving a small amount of data (e.g., <1k samples); 3) employing a limited variety of models to generate questions, resulting in a lack of diversity in the data. For instance, Nema and Khapra (2018) generated monotonous questions using rule-based methods for evaluation and focused primarily on the answerability of questions, neglecting other dimensions. Gollapalli and Ng (2022) evaluated generated questions from four dimensions but only included 500 questions generated by three models. Laban et al. (2022) utilized seven QG models to generate 1k+ questions to be evaluated, however, they merely assessed whether the generated questions could be accepted as reading comprehension quiz questions, rather than scoring them on multiple dimensions.

To address the above issues, we propose QGEval, a multi-dimensional evaluation benchmark, which evaluates questions across 7 dimensions and contains 3k questions generated by 15 QG models (including LLMs) based on 200 passages and answers. Specifically, through preliminary error analysis of the generated questions (described in section 2.2), we identified seven evaluation dimensions and categorized them into two aspects: 1) Linguistic dimensions, including fluency, clarity, and conciseness, which are basic requirements that a natural language text should meet; and 2) Task-oriented dimensions, including relevance, consistency, answerability, and answer consistency, which involve requirements specific to QG tasks.

As illustrated in Table 1, both linguistic and task-oriented dimensions are essential for a comprehensive evaluation of generated questions. In particular, although Q1 receives high scores in all task-oriented dimensions, it has a lower fluency score in linguistic dimensions due to the incorrect use of the interrogative word. On the contrary, Q2 performs well across all linguistic dimensions but scores poorly on most task-oriented dimensions because of its inconsistencies with the passage. These examples demonstrate the necessity of the two categories of evaluation dimensions.

Using QGEval to evaluate the performance of 15 different QG models, we find that these models perform relatively poorly in terms of answerability and answer consistency compared to other dimensions. We also evaluate and compare the performance of 15 existing automatic metrics, observing that there is still a gap between these metrics and human evaluations. To summarize, our main contribution is four-fold:

• We introduce a multi-dimensional evaluation benchmark for QG named QGEval, which assesses the quality of questions across 7 dimensions and contains 3k questions generated by 15 QG models. 108

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- We conduct a detailed analysis of the generated questions and compare the generation performance of various QG models across the seven dimensions, discovering that most models underperform in answerability and answer consistency.
- We evaluate and compare the performance of 15 automatic metrics across the seven dimensions, highlighting the discrepancies between automatic metrics and human evaluation.
- We have made the QGEval dataset, along with the codes for the automatic metrics we utilized, publicly accessible for further research.¹

2 The QGEval Dataset

In this section, we describe how we construct the QGEval dataset, the overall pipeline includes two stages: question generation and human evaluation, as shown in Figure 1.

2.1 Question Generation

In the first stage, our goal is to generate questions for evaluation. We use SQuAD (Rajpurkar et al., 2016) and HotpotQA (Yang et al., 2018) as the base datasets, which are two widely used datasets in the field of QA and QG. We divide the SQuAD dataset into train/dev/test splits following (Zhou et al., 2018). As for the HotpotQA dataset, we utilize its official train split and designate the first 3700 samples from the official dev set as our dev split and the rest as the test split. The train and dev splits are used to train QG models and the test split is then utilized to generate questions. We randomly select 100 samples from the test split of each dataset and utilize the passage and answer pairs provided by these samples to generate questions. The process results in the dataset to be evaluated, which comprises 3000 questions generated

¹Our data and code are publicly available at https:// anonymous.4open.science/r/QGEval-anonymous-2B32

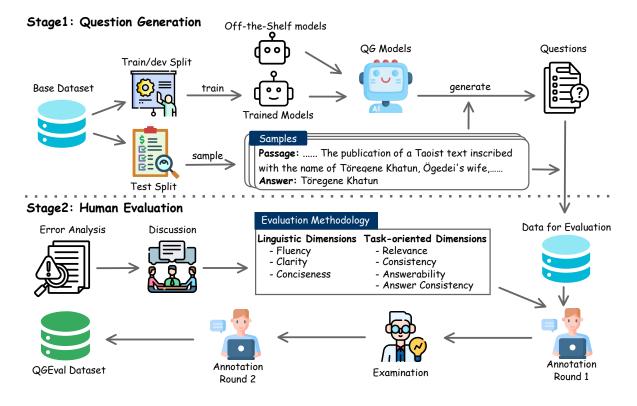


Figure 1: Pipeline of dataset construction. Stage 1: Generate questions to be evaluated. Stage 2: Conduct two rounds of annotation to form the QGEval dataset.

by multiple QG models based on 200 passages and answers. QG models contain both Off-the-Shelf models (public ones already trained on the QG task) and models trained by ourselves, the implementation details of QG models are in Appendix B.

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To capture a wide diversity of model outputs and facilitate comparisons between different models and settings, our selection of QG models covers a variety of model sizes, types, and settings. Specifically, we utilize 14 QG models based on different language models and under various settings. The language models cover a broad range of sizes and encompass four different series of models: BART (Lewis et al., 2020), T5 (Raffel et al., 2020), Flan-T5 (Chung et al., 2024), and GPT (OpenAI²). Settings include fine-tuning, low-rank adaptation (LoRA) (Hu et al., 2022), few-shot, and zero-shot. We customize the settings for models of different sizes, ensuring that each model is equipped with settings suitable for its characteristics. We also regard the references as outputs from one model for subsequent annotation, along with those from the other 14 models. Table 2 shows all language model variants, the number of models' parameters, and the settings we employed for each model.

Model	Param.	Settings
BART-base	140M	fine-tuning
BART-large	400M	fine-tuning
T5-base	250M	fine-tuning
T5-large	780M	fine-tuning
Flan-T5-base	250M	fine-tuning
Flan-T5-large	780M	fine-tuning
Flan-T5-XL	3B	LoRA; few-shot(8)
Flan-T5-XXL	11B	LoRA; few-shot(8)
GPT-3.5-turbo		few-shot(8);zero-shot
GPT-4	_	few-shot(8);zero-shot

Table 2: Language models and settings used for question generation. GPT-4 refers to GPT-4-1106-preview. Since the parameter sizes of GPT-3.5-turbo and GPT-4-1160-preview have not been officially announced, we do not list them here.

2.2 Human Evaluation

In the second stage, our objective is to obtain human ratings for each generated question. The evaluation methodology and the process of human annotation will be described in detail. 178

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Evaluation Methodology To figure out which dimensions we should evaluate questions on, we conducted a pilot experiment to analyze the errors presented in the generated questions (see details in

²https://platform.openai.com/docs/models

Appendix E.1). We observed that QG models may 186 generate questions that are incorrectly formed (e.g., 187 not a question) and phrased, ambiguous, or verbose, making it difficult to understand their intent. QG models may also generate questions that are irrelevant to the context, inconsistent with the provided 191 information, unanswerable, or mismatched with the 192 given answer, failing to meet the requirements of 193 the QG task. From these observations, we conclude 194 that the errors can be categorized into two types: 195 linguistic and task-oriented. After a thorough discussion with two experts in the field of education, 197 we determined that the quality of questions should 198 be evaluated on the following seven dimensions, 199 including both linguistic and task-oriented aspects. 200

> Linguistic dimensions serve as the foundational evaluation dimensions in most NLG tasks including QG. Specifically, we focus on the following three linguistic dimensions in our evaluation, requiring the generated questions to be well-formed, and expressed clearly and concisely.

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- Fluency (Flu.): Whether the question is wellformed, grammatically correct, coherent, and fluent enough to be understood (Oh et al., 2023).
- **Clarity (Clar.):** Whether the question is expressed clearly and unambiguously, avoiding excessive generality and ambiguity, the same as the definition in (Ousidhoum et al., 2022).

• **Conciseness (Conc.):** Whether the question is concise and not abnormally verbose with redundant modifiers, as defined in (Cheng et al., 2021).

Task-oriented dimensions refer to those aspects associated with the QG task, measuring the correlation between the generated questions and passages, as well as the connection between questions and the provided answers. The task-oriented dimensions we considered are outlined below, requiring the generated questions to be contextually relevant and consistent, answerable based on the passage, and match the provided answers.

• **Relevance (Rel.):** Whether the question is relevant to the given passage and asks for key information from the passage. It is also a commonly used dimension in both QG and other text generation tasks (Oh et al., 2023; Sai et al., 2022).

- **Consistency (Cons.):** Whether the information presented in the question is consistent with the passage and without any contradictions or hallucinations, similar to the definition in other text generation tasks (Honovich et al., 2022).
- Answerability (Ans.): Whether the question can be distinctly answered based on the passage, a widely used and distinctive dimension in QG (Ghanem et al., 2022).
- Answer Consistency (AnsC.): Whether the question can be answered using the provided answer, as "Answer Matching" defined in (Cheng et al., 2021).

The scoring scale for each dimension is 1 to 3, with higher being better (details of the scoring criteria are presented in the Appendix A.1)

Annotation Process Due to the subjective nature of annotation, a crowdsourcing approach was adopted. Three postgraduate students specializing in computer science volunteered as annotators to score the generated questions according to the scoring criteria for each dimension on our annotation platform (see the interface in Appendix A.2). Two rounds of annotation were performed to confirm judgments and ensure a higher quality of annotations.

In the first round, questions generated based on SQuAD and HotpotQA were presented and scored separately. For the same passage, all 15 questions generated by different models were presented simultaneously, and annotators scored these questions sequentially. Annotating in this way increases efficiency and helps annotators validate their judgments (e.g., similar questions should receive similar scores). During annotation, the generative models were kept unaware. Annotation results from the first round were examined. In the second round, the annotators were required to review samples that may have been incorrectly scored. For each dimension, the annotators: 1) checked annotations when the same questions received different scores on the same dimension; 2) reviewed samples where their annotations differed from the other annotators by 2 points, while the annotations of the other two annotators were the same; 3) discussed with each other when the annotation scores in the first round were 1, 2, 3.

To assess the agreement between annotators, Krippendorff's alpha coefficient, a statistical mea255

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Rounds	Flu.	Clar.	Conc.	Rel.	Cons.	Ans.	AnsC.
Round1	0.226	0.375	0.515	0.233	0.181	0.354	0.559
Round2	0.427	0.576	0.755	0.437	0.445	0.661	0.800

Table 3: Krippendorff's alpha coefficient of inter-annotator scores in the first and second round annotations. A higher score means higher agreement among the annotators.

sure of inter-rater reliability, was calculated for each dimension and shown in Table 3. In the first round, the coefficients ranged from 0.181 to 0.559 and improved to a range of 0.427 to 0.800 in the second round. Furthermore, to verify the quality of annotations, 100 samples were randomly selected and reviewed by the two experts. The results showed that the accuracy of annotations for each dimension was over 96%.

3 Experiment and Evaluation

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In this section, we conduct a series of analytical experiments and evaluations on QG models and automatic metrics with QGEval. We aim to address the following three research questions.

3.1 Are the seven dimensions appropriate for the evaluation of QG?

To figure out whether the dimensions are an appropriate set, we examine the correlations and distinctions among them by calculating Pearson correlations and conducting the Nemenyi test on the annotation scores for these dimensions. Pearson correlation measures the linear correlation between two sets of data, with higher absolute values indicating stronger correlations. The Nemenyi test determines whether there are significant differences between groups, with lower p-values indicating greater significance. Intuitively, the seven dimensions might correlate with each other but should also maintain differences from one another. As shown in Figure 2, the Pearson correlations between the seven dimensions are within a reasonable range (0.04 to)0.67), and most p-values in the Nemenvi test are below 0.05. This indicates that these dimensions are interrelated but still exhibit distinct characteristics, consistent with our intuition.

For correlations between dimensions, we further observe: 1) The correlation coefficients among the linguistic dimensions (fluency, clarity, and conciseness) are relatively high. 2) Linguistic dimensions can influence task-oriented dimensions. For instance, clarity and consistency show high correlations with answerability. Unclear expression (low clarity) and contradictions between the question and passage (low consistency) may lead to a low score of answerability. 3) As expected, answer consistency is highly relevant to answerability, and from experience, unanswerable questions tend to have low answer consistency scores. 326

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3.2 How do the QG models perform across the seven dimensions?

By asking this question, we aim to explore which dimensions QG models perform well or poorly on and to compare the generation performance of different QG models. Table 4 shows the averaged annotation scores along seven evaluation dimensions of all QG models. Generally speaking, most QG models are capable of generating questions that are both fluent and relevant to the provided passage, i.e., performing well on both fluency and relevance dimensions. However, they often encounter challenges in generating questions that are answerable and align well with the given answers. Inspired by this finding, we advocate that future question generation work should focus more on improving the answerability and answer consistency of generated questions.

We further compare these models via different model sizes and settings, our findings are: 1) The best three QG models ranked by the average scores of all dimensions are GPT-4-fewshot, GPT-4-zeroshot, and reference, indicating that the quality of questions generated by GPT-4 is comparable to that of humans. 2) Under the same setting, as the model size increases, the generated questions exhibit improved clarity in expression, higher consistency with the provided passages, and increased alignment with the provided answers. 3) Maintaining the same model, the zero-shot approach performs less effectively than the few-shot approach, and the few-shot approach is inferior to the supervised (LoRA) approach, especially on the consistency, answerability, and answer consistency dimensions. 4) Models under zero-shot and few-shot settings often fail to generate questions that match the given answers, except for GPT-4, which could be due to the models' insufficient ability to follow

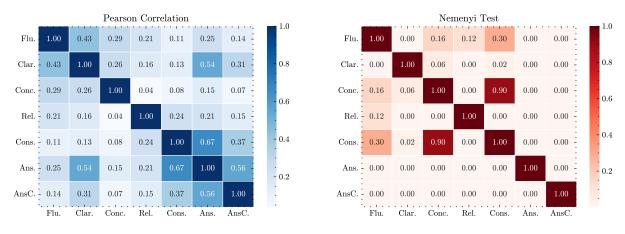


Figure 2: Pearson correlations and p-values of Nemenyi test for seven dimensions.

Models	Flu.	Clar.	Conc.	Rel.	Cons.	Ans.	AnsC.	Avg.
Reference	2.968	2.930	2.998	2.993	2.923	2.832	2.768	2.916
BART-base-finetune	2.958	2.882	2.898	2.995	2.920	2.732	2.588	2.853
BART-large-finetune	2.932	2.915	2.828	2.995	2.935	2.825	2.737	2.881
T5-base-finetune	2.972	2.923	2.922	3.000	2.917	2.788	2.652	2.882
T5-large-finetune	2.978	2.930	2.907	2.995	2.933	2.795	2.720	2.894
Flan-T5-base-finetune	2.963	2.888	2.938	2.998	2.925	2.775	2.665	2.879
Flan-T5-large-finetune	2.982	2.902	2.895	2.995	2.950	2.818	2.727	2.895
Flan-T5-XL-LoRA	2.913	2.843	2.880	2.997	2.928	2.772	2.667	2.857
Flan-T5-XXL-LoRA	2.938	2.848	2.907	3.000	2.943	2.757	2.678	2.867
Flan-T5-XL-fewshot	$\overline{2.975}$	2.820	2.985	2.955	2.908	2.652	2.193	2.784
Flan-T5-XXL-fewshot	2.987	2.882	2.990	2.988	2.920	2.687	2.432	2.841
GPT-3.5-turbo-fewshot	2.972	2.927	2.858	2.995	2.955	2.850	2.335	2.842
GPT-4-fewshot	2.988	2.987	2.897	2.992	2.947	2.922	2.772	2.929
GPT-3.5-turbo-zeroshot	2.995	2.977	2.913	2.992	2.917	2.823	2.157	2.825
GPT-4-zeroshot	2.983	2.990	2.943	<u>2.970</u>	2.932	2.883	2.723	2.918
Avg.	2.967	2.910	2.917	2.991	2.930	2.794	2.588	

Table 4: Annotation scores of questions along seven dimensions, averaged over three annotators. The three highest and lowest scores of each dimension are bolded and underlined, respectively. GPT-4 refers to GPT-4-1106-preview. Avg. refers to the average score.

detailed instructions.

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3.3 Can existing automatic metrics accurately evaluate generated questions?

In this section, we use QGEval to evaluate and compare the performance of existing automatic metrics to find out whether these metrics are able to accurately evaluate the quality of generated questions across the seven dimensions.

Automatic Metric Our selection of automatic metrics varies from methods based on lexical overlap to those based on large language models, including both reference-based and reference-free approaches. Reference-based metrics evaluate questions by computing the similarity between them and the references, which include BLEU (Papineni et al., 2002), ROUGE (Lin, 2004), ME-TEOR (Banerjee and Lavie, 2005), BERTScore (Zhang* et al., 2020), MoverScore (Zhao et al., 2019), BLEURT (Sellam et al., 2020), Q-Metric (Nema and Khapra, 2018), and QSTS (Gollapalli and Ng, 2022). Reference-free metrics utilize the comprehension and generation capabilities of language models to evaluate questions without references, including BARTScore (Yuan et al., 2021), GPTScore (Fu et al., 2023), UniEval (Zhong et al., 2022), QRelScore (Wang et al., 2022), and RQUGE (Mohammadshahi et al., 2023). When using the refhypo scoring type (generate candidate text based on the reference), BARTScore and GPTScore are considered reference-based metrics. Among all these metrics, UniEval and GPTScore are designed for multi-dimensional evaluation, offering a score for each dimension (7 scores for 7 dimensions), while the other metrics provide only a single overall score. Detailed descriptions of these metrics are presented in Appendix D.

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Metric Evaluation We evaluate the agreement between the automatic metrics and human annotation scores by calculating the Pearson correlation over each dimension, results are shown in Table 5, with the three highest and lowest absolute coefficients bolded and underlined respectively.

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Correlation results show several trends. 1) Most metrics have relatively low correlations with annotation scores along seven dimensions, ranging from -0.4 to 0.4, especially on fluency, clarity, relevance, and consistency. We observed that most questions received high annotation scores across these four dimensions, while the scores assigned by automatic metrics varied significantly, resulting in poor alignment with human scores. 2) In general, reference-free metrics tend to outperform reference-based metrics, exhibiting higher correlation coefficients with human evaluation. 3) Metrics that conduct multi-dimensional evaluations tend to perform better across a wider range of dimensions compared to those that provide only a single composite score (BLEU, ROUGE, BARTScore, etc.). UniEval, for example, achieves the three highest coefficients across six dimensions. 4) Metrics designed for specific dimensions are better than other metrics on those specific dimensions. RQUGE, leveraging questionanswering results for evaluation, attains higher correlations on its target dimensions: answerability and answer consistency. The observations in 3) and 4) imply that metrics with a single composite score are not suitable for the comprehensive evaluation of generated questions. Instead, designing multi-dimensional metrics or metrics focused on specific dimensions may yield better results.

Our further exploration of the score distribution of automatic metrics (in Appendix E.3) and the application of these metrics to rank different QG models (in Appendix E.4) indicates that existing automatic metrics still struggle to effectively distinguish questions of varying quality.

LLM as Evaluator Recent work has leveraged LLMs for NLG evaluation and found that LLM-448 based metrics are superior to former metrics 449 (Kocmi and Federmann, 2023). To assess the ef-450 fectiveness of employing LLMs for question generation evaluation, we use the GPT-3.5-turbo and GPT-4-1106-preview as evaluators and implement evaluations using both direct prompts and G-EVAL 454 (Liu et al., 2023), an evaluation method employ-455 ing Chain-of-Thought (COT). Due to budget con-456

straints, we conducted tests on 450 questions (30 passages) solely focusing on the answerability dimension for analysis. The Pearson correlations between annotation scores and metrics are shown in Table 6.

We compare the performance of LLM-based metrics with RQUGE, UniEval, and GPTScore-src (the top three metrics on answerability in Table 5) here. The results show that metrics based on GPT-4 achieve the highest correlations with human scores, which demonstrates the potential of using LLMs for QG evaluation. The comparisons between methods using direct prompts and G-EVAL also verify the effectiveness of COT. Although LLM-based metrics outperform other evaluation methods, they still fail to align closely with human evaluation (Pearson correlations are below 0.4). Further exploration is needed in future work.

Related Work 4

Automatic Metrics Automatic evaluation of QG is still dominated by reference-based metrics such as BLEU (Papineni et al., 2002) and Q-Metric (Nema and Khapra, 2018), which compute the similarity between generated questions and references. As QG is a one-to-many generation task, this type of metric can not evaluate questions that are different from the references (Mohammadshahi et al., 2023). Reference-free metrics like BARTScore (Yuan et al., 2021) and QRelScore (Wang et al., 2022) overcome this limitation, but they often assign a single overall score as the evaluation result, which is less interpretable and not comprehensive. UniEval (Zhong et al., 2022) and GPTScore (Fu et al., 2023) are designed to evaluate generated texts from multiple interpretable dimensions, but they are not specifically designed for the QG task, and thus their performance in evaluating QG is limited.

Human Evaluation in QG Since existing automatic metrics are not effective enough to measure the quality of generated questions, human evaluation is frequently used in the field of QG (Mulla and Gharpure, 2023). However, the human evaluation criteria provided by existing works are disparate, leading to inconsistent evaluation of generated questions. Ghanem et al. (2022) utilized answerability, fluency, and grammaticality to assess question quality, while Ushio et al. (2022) employed grammatically, understandability, and answerability for evaluation. Gou et al. (2023) fo-

Metrics	Flu.	Clar.	Conc.	Rel.	Cons.	Ans.	AnsC.	
Reference-based Metrics								
BLEU-4 ROUGE-L	$\frac{0.028}{0.080}$	$\frac{0.049}{0.086}$	0.138 0.234	$\frac{0.041}{0.085}$	$\frac{0.032}{0.079}$	$\frac{0.080}{0.127}$	$\frac{0.162}{0.233}$	
METEOR BERTScore	$\frac{0.020}{0.140}$	0.088 0.123	<u>0.106</u> 0.313	0.079 0.113	0.059	0.131 0.131	0.253 0.231	
MoverScore	0.070	0.075	0.209	0.071	0.058	0.101	0.188	
BLEURT BARTScore-ref	$0.078 \\ 0.087$	0.105 0.079	0.179 0.235	0.104 0.109	$0.098 \\ 0.078$	0.144 0.092	0.271 0.190	
GPTScore-ref Q-BLEU4	0.069 0.072	$0.086 \\ 0.082$	0.182 0.216	$\frac{0.006}{0.058}$	0.054 0.075	0.106 0.113	0.187 0.198	
QSTS	<u>0.016</u>	0.104	<u>0.015</u>	0.077	0.043	0.130	0.250	
			Reference-fre	ee Metrics				
BARTScore-src GPTScore-src UniEval QRelScore RQUGE	-0.148 0.134 0.370 -0.213 0.045	<u>-0.035</u> 0.104 0.219 -0.096 0.092	-0.511 -0.052 0.259 -0.553 0.126	0.053 0.416 0.153 <u>0.032</u> <u>0.070</u>	<u>-0.001</u> 0.197 0.156 <u>0.002</u> 0.200	0.018 0.148 0.207 -0.026 0.211	<u>-0.015</u> 0.236 0.356 <u>-0.025</u> 0.561	

Table 5: Pearson correlation between automatic metrics and human scores along seven dimensions. The three highest and lowest absolute coefficients of each dimension are bolded and underlined, respectively. BLEU-4: 4-gram variant of BLEU; ROUGE-L: the longest common subsequence (LCS) variant of ROUGE; *-ref: ref-hypo scoring type, *-src: src-hypo scoring type.

Metrics	Pearson	Metrics	Pearson
GPTScore	0.187	GPT-3.5	0.195
UniEval	0.215	G-EVAL _{GPT-3,5}	0.228
RQUGE	0.250	GPT-4	0.296
-		G-EVAL _{GPT-4}	0.356

Table 6: Pearson correlation between annotation scores and metrics on answerability. GPT-3.5 and GPT-4 refer to the methods using direct prompts. GPTScore refers to GPTScore-src.

507 cused on consistency and diversity of generated questions. Disparate human evaluation criteria also 508 result in inconsistent evaluation and comparison 509 of automatic metrics. Nema and Khapra (2018) 510 proposed Q-metric and computed the correlations 511 between existing automatic metrics and human 512 judgments on answerability. Wang et al. (2022) 513 proposed QRelScore and compared it with other 514 metrics based on their human evaluation results on three dimensions: grammaticality, relevance, 516 and answerability. Mohammadshahi et al. (2023) 517 evaluated the performance of automatic metrics on 518 their newly annotated data as the human evaluation 519 520 of generated questions is not available in previous work. Thus, it's urgent to develop unified and 521 reliable human evaluation benchmarks to ensure 522 consistent and accurate assessments of generated 523 questions and automatic metrics. 524

5 Conclusion

In this work, we introduced a comprehensive, multidimensional evaluation benchmark, QGEval, to facilitate the evaluation of generated questions from various models and existing automatic metrics across 7 dimensions: fluency, clarity, conciseness, relevance, consistency, answerability, and answer consistency. It contains 3k questions generated from 15 different QG models. Through analysis of QGEval, we found that most models performed unsatisfactorily on answerability and answer consistency. This highlights the importance of focusing on the two dimensions in future QG model designs. Additionally, our evaluation of 15 existing automatic metrics revealed that these metrics still exhibit relatively low correlation coefficients with human annotation scores, emphasizing the need to explore advanced metrics that align better with human evaluation. We hope that this work will serve as a valuable resource for future research on question generation evaluation and models.

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6 Limitations

Our work proposes QGEval, a multi-dimensional evaluation benchmark for QG, to evaluate and compare the performance of different QG models and existing automatic metrics. Although it provides a comprehensive evaluation of generated questions, it focuses on the scenario of generating questions based on a passage and an optional answer and

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is not applicable to other scenarios such as visual
question generation (Vedd et al., 2022) and conversational question generation (Zeng et al., 2023).
Moreover, additional dimensions may be introduced to meet some specific requirements. For
example, complexity is considered when the generated questions are required to involve multi-hop
reasoning (Fei et al., 2022). In this work, we consider more general requirements under the scenario
we focus on.

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A Annotation Details

A.1 Annotation Instructions

The generated questions are scored on a scale of 1 to 3 on each dimension, detailed instructions for each score are shown in Table 7.

A.2 Annotation Interface

The annotation interface is presented in Figure 3. In the annotation process, annotators should first carefully read the content of the given passage, answer, and question, and then select a score for each dimension.

B Implementation Details of QG models

QG models based on open-source language models are implemented using Hugging Face Transformers, while QG models based on closed-source language models utilize the official open API provided by the respective model. Detailed task instructions we applied for each QG model are presented in Table 8.

Specifically, under the fine-tuning and LoRA settings, we trained QG models separately for each base dataset. In the fine-tuning setting, for the SQuAD dataset, we utilized public fine-tuned models from Huggingface³, while for the HotpotQA dataset, we conducted our own model fine-tuning as there were few fine-tuned models publicly available. We set the learning rate as 1e-4, warmup steps 500, weight decay 0.01, and the max train epochs as 10 and trained the QG models on a single RTX 3090 GPU. When applying LoRA, we set the learning rate as 1e-4, weight decay as 0.01, and max train epochs as 3 and trained models on an A800 GPU. In few-shot learning, we randomly select 8 examples to provide for the models as recommended in (Min et al., 2022) that model performance does not increase much as the number of examples increases when it reaches 8.

C Data Statistics

We show some statistics of QGEval and comparison with existing benchmarks in Table 9. Compared to existing benchmarks, QGEval covers a

³https://huggingface.co/lmqg

Dimensions	Instructions
Fluency	 The question is incoherent, with imprecise wording or significant grammatical errors, making it difficult to comprehend its meaning. The question is slightly incoherent or contains minor grammatical errors, but it does not hinder the understanding of the question's meaning. The question is fluent and grammatically correct.
Clarity	 The question is too broad or expressed in a confusing manner, making it difficult to understand or leading to ambiguity. <i>Particularly, if the generated sentence is not a question but a declarative sentence,</i> <i>it should be considered in this situation.</i> The question is not expressed very clearly and specifically, but it is possible to infer the question's meaning based on the given passage. The question is clear and specific, without any ambiguity.
Conciseness	 The question contains too much redundant information, making it difficult to understand its intent. The question includes some redundant information, but it does not impact the understanding of its meaning. The question is concise and does not contain any unnecessary information.
Relevance	 The question is completely unrelated to the passage. The question is somewhat related to the passage and it asks for non-crucial information related to the passage. The question is relevant to the context, and the information it seeks is crucial to the passage.
Consistency	 The question contains factual contradictions with the passage or logical errors. The information sought in the question is not fully described in the passage. The information in the question is entirely consistent with the passage.
Answerability	 The question cannot be answered based on the provided passage. The question can be partially answered based on the provided passage, or the answer to the question can be inferred to some extent. The question can be answered definitively based on the given passage.
Answer Consistency	 The question cannot be answered by the provided answer. The question can be partially answered using the provided answer. The question can be answered directly using the provided answer.

Table 7: Annotation instructions of evaluation dimensions.

broader range of dimensions, providing a more comprehensive evaluation of generated questions.
Additionally, QGEval utilizes a greater variety of models, offering a more robust and thorough assessment and comparison of current QG models.

D Automatic Metrics

Detailed descriptions of the automatic metrics we evaluate are listed as follows:

- **BLEU:** (Papineni et al., 2002), a metric that measures the number of overlapping n-grams between the generated text and a set of gold reference texts.
- **ROUGE:** (Lin, 2004), a recall-oriented metric specifically focuses on the longest common subsequence (LCS) between the generated and reference texts.
- **METEOR:** (Banerjee and Lavie, 2005), a metric computes an alignment between generated texts and reference texts based on the harmonic mean of unigram precision and recall.

• MoverScore: (Zhao et al., 2019), a metric measures the Earth Mover's Distance (Kusner et al., 2015) between the distributions of words in the generated text and the reference text.

- **BERTScore:** (Zhang* et al., 2020), a metric computes the semantic similarity of the generated text and reference text by leveraging contextual embeddings from BERT (Devlin et al., 2019).
- **BLEURT:** (Sellam et al., 2020), a learned metric leveraging BERT architecture to evaluate text generation.
- **Q-Metric:** (Nema and Khapra, 2018), a specialized metric designed for the QG task, which considers not only n-gram similarity but also the answerability of questions.
- **QSTS:** (Gollapalli and Ng, 2022), a metric that utilizes the questions' types, entities, and semantic features to evaluate the similarity between questions.

The quality of generated questions passage The Mongol rulers patronized the Yuan printing industry. Chinese printing technology was transferred to the Mongols through Kingdom of Qocho and Tibetan intermediaries. Some Yuan documents such as Wang Zhen's Nong Shu were printed with earthenware movable type, a technology invented in the 12th century. However, most published works were still produced through traditional block printing techniques. The publication of a Taoist text inscribed with the name of Töregene Khatun, Ögedei's wife, is one of the first printed works sponsored by the Mongols. In 1273, the Mongols created the Imperial Library Directorate, a government-sponsored printing office. The Yuan government established centers for printing throughout China. Local schools and government agencies were funded to support the publishing of books. answer Töregene Khatun auestion Who was Ögedei's wife? fluency clarity relevance consistency answerability answer consistency conciseness 03 3 3 3 03 3 0 3 0 2 02 OOOOO \bigcirc 1 \bigcirc 1 Next 180/3000

Figure 3: Annotation interface.

• **BARTScore:** (Yuan et al., 2021), a method that formulates evaluating generated text as a text generation task based on the BART model.

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- **GPTScore:** (Fu et al., 2023), a framework that leverages the capabilities of generative pre-trained models for evaluation. The intuition of it is similar to BARTScore.
- UniEval: (Zhong et al., 2022), a comprehensive framework for evaluating the generated text from multiple explainable dimensions (e.g., fluency) based on T5.
- **QRelScore:** (Wang et al., 2022), a contextaware evaluation method designed for QG, incorporating word-level hierarchical matching based on BERT and sentence-level promptbased generation techniques based on GPT-2 (Radford et al., 2019).
- **RQUGE:** (Mohammadshahi et al., 2023), a reference-free metric that assesses the answerability of questions based on the QA model's ability to generate an answer to this question within a given context.

We implement the above automatic metrics based on public tools or codes. Specifically, we utilize NLTK⁴ to calculate the BLEU and ME-TEOR metrics. As for ROUGE⁵, MoverScore⁶, and BERTScore⁷, we implement them with the corresponding Python packages. For the other metrics, we use their publicly available codes.

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E More Experimental Results

E.1 Error Analysis of Generated Questions

We sampled 100 questions generated by QG models and conducted a pilot experiment to analyze the types of errors that occur in these questions. Out of these 100 questions, almost half (42%) contain some degree of error. We find that the generated questions may: 1) be invalid questions, which are declarative sentences or incomplete; 2) be incorrectly phrased; 3) be ambiguously expressed; 4) contain unnecessary copies from the passage that hamper their conciseness; 5) contain inconsistent information with the passage; 6) ask for information not mentioned in the passage, resulting unanswerable based on the passage; 7) do not match with the answers. We present the proportion of each error type among the questions that contain errors in Table 10 and show examples in Table 11.

⁴https://www.nltk.org/

⁵https://pypi.org/project/rouge-score/

⁶https://pypi.org/project/moverscore/ 7

⁷https://pypi.org/project/bert-score/

Models	Instructions								
BART-base-finetune BART-large-finetune	{answer} {passage}								
T5-base-finetune T5-large-finetune	answer: {answer} context: {passage}								
Flan-T5-base-finetune Flan-T5-large-finetune Flan-T5-XL-LoRA Flan-T5-XXL-LoRA	Generate a question based on the given answer and context. Answer: {answer} Context: {passage}								
Flan-T5-XL-fewshot Flan-T5-XXL-fewshot	Generate a question based on the given passage and answer. Answer: {example_answer} Context: {example_passage} Question: {exam- ple_question} Answer: {answer} Context: {passage} Question:								
GPT-3.5-turbo-zeroshot GPT-4-zeroshot	Generate a question based on the given answer and context, the generated question must be answered by the given answer. Answer: {answer} Context: {passage} Question:								
GPT-3.5-turbo-fewshot GPT-4-fewshot	Generate a question based on the given answer and context, the generated question must be answered by the given answer. Examples: Answer: {example_answer} Context: {example_passage} Question: {exam- ple_question} Answer: {answer} Context: {passage} Question:								

Table 8: Task instructions for different QG models.

Name	#Q	#P	#M	Dimensions	Score Scale	Base dataset
Q-metric (Nema and Khapra, 2018)	3000	_	0	Answerability	1-5	SQuAD, VQA, WikiMovies
SimQG (Gollapalli and Ng, 2022)	500	483	3	Fluency, Relevance, Answerability, Similarity	0-1	SQuAD
Quiz Design Task (La- ban et al., 2022)	3164*	7	7	Acceptance	0 or 1	SQuAD
QGEval	3000	200	15	Fluency, Clarity, Conciseness, Relevance, Consistency, Answerability, Answer Consistency	1-3	SQuAD, HotpotQA

Table 9: Detail statistics of QGEval with other benchmarks. #Q: The number of generated questions; #P: The number of passages; #M: The number of QG models. *The number of questions in the Quiz Design Task does not exclude annotations from different annotators.

Error Type	Percentage
Invalid Question	2.38%
Incorrectly Phrased	7.14%
Ambiguous	30.95%
Unnecessary Copy from Passage	16.67%
Inconsistent with Passage	4.76%
Information beyond Passage	19.05%
Mismatch with Answer	47.62%

Table 10: Proportion of error types. One question may contain multiple types of errors.

E.2 Annotation Distributions on Different Base Datasets

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For further insights, we also show the annotation score distribution over each dimension on the SQuAD and HotpotQA datasets in Figure 4a and Figure 4b respectively. We find that compared to questions generated based on SQuAD, questions generated from HotpotQA are more likely to exhibit issues in dimensions such as conciseness, answerability, and answer consistency. This tendency may arise from the fact that reference questions in HotpotQA are predominantly multi-hop questions, resulting in longer question lengths compared to those in SQuAD and posing greater difficulty in terms of answerability. 977

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E.3 Distributions of Automatic Metrics

In Figure 5, we have a look at the distributions of automatic metrics under different human evaluation scores. Taking fluency (linguistic dimension) and answer consistency (task-oriented dimension) as

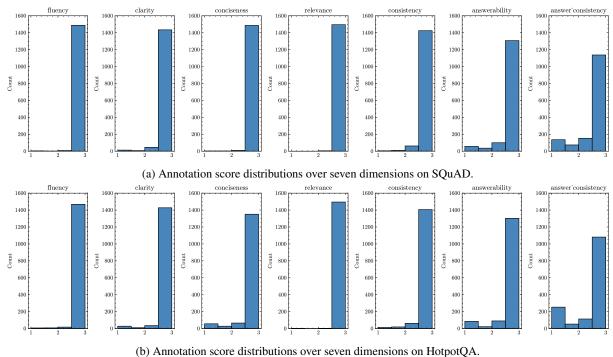


Figure 4: Annotation score distributions over seven dimensions.

examples, we show the distributions of the two automatic metrics that are most and least relevant to the human evaluation results. To better illustrate the distribution results in Figure 5, we round the human scores to the nearest integer, resulting in values of 1, 2, or 3, and then recompute the Pearson Correlations between the two automatic metrics and human scores (i.e., r in the y-axis label).

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From the figure, we observe that metrics with low correlations to human scores (e.g., QSTS on fluency and BARTScore-src on answer consistency) cannot accurately score candidates with different human scores. Metrics that achieve higher correlations with human scores (e.g., UniEval on fluency and RQUGE on answer consistency) can correctly assign high scores to high-quality questions (human scores of 3), but they fail to distinguish accurately between questions of lower quality (human scores of 1 or 2).

E.4 Automatic Metrics Used for Ranking QG Models

1013To further analyze the discriminative ability of au-
tomatic metrics across different QG models, we1014tomatic metrics across different QG models, we1015present the average scores of these metrics for ques-
tions generated by each model in Table 12. We find1017that reference-based metrics appear to prefer mod-
els based on supervised training since such models1019excel at generating questions that are similar to the

references. This type of metric faces limitations in accurately evaluating questions that are different from references, which makes them struggle to provide precise rankings of the performance of different QG models. 1020

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Reference-free metrics address the above limi-1025 tations of reference-based metrics. The top three 1026 models selected based on the scores provided by 1027 these metrics partially overlap with those identi-1028 fied through human average scores. However, they also have constraints that result in less precise com-1030 parisons of QG models: 1) All of these metrics 1031 fail to assign high scores to the reference questions, 1032 which is a notable deficiency. 2) Metrics leveraging 1033 the generative capabilities of language models ap-1034 pear to exhibit a preference for questions generated 1035 by the specific model they utilize. For instance, 1036 models with the three highest GPTScore-src scores 1037 are the Flan-T5 series (Flan-T5-XL and Flan-T5-1038 XXL), while GPTScore-src also utilizes Flan-T5-1039 XXL as its base model. 3) Metrics designed for 1040 specific dimensions are inappropriate for overall 1041 performance comparisons across different models. 1042 For example, RQUGE is ill-suited for accurately 1043 evaluating the overall performance of QG models 1044 since it focuses only on the dimensions related to 1045 answers. 1046

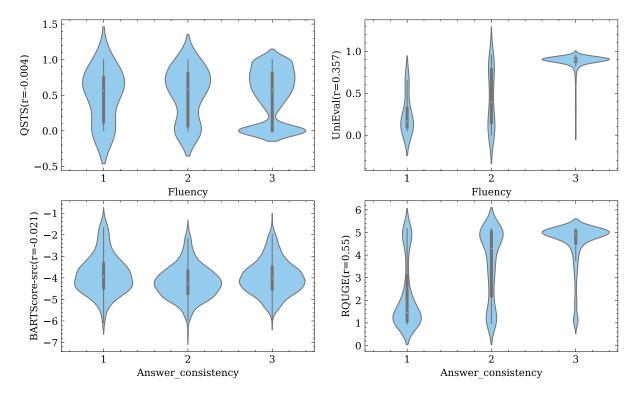


Figure 5: Distributions of automatic metrics under different human scores (1,2,3) on fluency and answer consistency.

Error Type	Example
Invalid Question	Passage: Graptopetalum (leatherpetal) is a plant genus of the family "Crassulaceae". They are perennial succulent plants Answer: yes Question: <u>Answer: no</u>
Incorrectly Phrased	Passage: The publication of a Taoist text inscribed with the name of Töregene Khatun, Ögedei's wife, is one of the first printed works sponsored by the Mongols Answer: Töregene Khatun Question: Who was a Taoist text inscribed with the name of gedei's wife?
Ambiguous	Passage: Although most are non-aligned, some of the best known independent schools also belong to the large, long-established religious foundations, such as the Anglican Church, Uniting Church and Presbyterian Church,Answer: Presbyterian Church Question: What is another large religious foundation schools belong to?
Unnecessary Copy from Passage	Passage: American burlesque is a genre of variety show. Derived from elements of Victorian burlesque, music hall and minstrel shows, burlesque shows in America became popular in the 1860s and evolved to feature ribald comedy (lewd jokes) and female striptease. Brian Newman (born June 10, 1981) is an American jazz musician, singer, and trumpet player.
Inconsistent with Passage	 Passage: The United States's Sculpin nuclear test series was a group of 7 nuclear tests conducted in 1990-1991. These tests followed the "Operation Aqueduct" series and preceded the "Operation Julin" series. The United States's Julin nuclear test series was a group of 7 nuclear tests conducted in 1991–1992. These tests followed the "Operation Sculpin" series, and were the last before negotiations began for the Comprehensive Test Ban Treaty. Answer: Operation Aqueduct Question: The United States's Sculpin nuclear test series was a group of 7 nuclear tests conducted in 1990-1991, these tests followed which series, and were the last before negotiations began for the Comprehensive Test Ban Treaty.
Information beyond Passage	Passage: According to PolitiFact the top 400 richest Americans "have more wealth than half of all Americans combined." Answer: 400 Question: According to PolitiFact, who are the richest Americans?
Mismatch with Answer	Passage: As of August 2013, Skateboardeβr Magazine is primarily a digital skateboarding publicationits Editor/Photo Editor is Jaime Owens, while the magazine's Publisher is Jamey Stone. On August 19, 2013, the magazine's owner GrindMedia announced that the publication would cease production on October 15, 2013Answer: October 15, 2013 Question: What is the name of the person who is the editor of Skateboarder Magazine?

Table 11: Examples of errors in generated questions. Errors within questions are highlighted with underlines.

(a) Average scores from reference-based automatic metrics. The three highest scores for each metric are bolded. Abbreviations are as follows. B4:BLEU-4; RL:ROUGE-L; MR:METEOR; BERT:BERTScore; Mover:MoverScore; BRT:BLEURT; BART_{ref}:BARTScore-ref; GPT_{ref}:GPTScore-ref; QB4:Q-BLEU4.

Models	B4	RL	MR	BERT	Mover	BRT	BARTre	f GPT _{ref}	QB4	QSTS
Reference	1.000	1.000	0.999	1.000	1.000	0.979	-2.005	-0.385	1.000	1.000
BART-base-finetune	0.162	0.444	0.428	0.913	0.638	0.566	-3.502	-1.858	0.374	0.508
BART-large-finetune	0.147	0.427	0.420	0.908	0.630	0.554	-3.640	-1.978	0.361	0.502
T5-base-finetune	0.168	0.467	0.428	0.914	0.642	0.559	-3.480	-1.891	0.374	0.504
T5-large-finetune	0.177	0.491	0.446	0.918	0.652	0.583	-3.404	-1.800	0.396	0.530
Flan-T5-base-finetune	0.171	0.474	0.438	0.914	0.640	0.566	-3.517	-1.889	0.377	0.513
Flan-T5-large-finetune	0.169	0.482	0.447	0.917	0.639	0.572	-3.432	-1.824	0.390	0.528
Flan-T5-XL-LoRA	0.160	0.458	0.429	0.911	0.637	0.557	-3.560	-1.907	0.370	0.507
Flan-T5-XXL-LoRA	0.169	0.474	0.427	0.917	0.647	0.577	-3.409	-1.787	0.384	0.509
Flan-T5-XL-fewshot	0.098	0.380	0.300	0.900	0.609	0.477	-3.731	-2.014	0.280	0.326
Flan-T5-XXL-fewshot	0.110	0.397	0.315	0.906	0.615	0.497	-3.662	-1.943	0.315	0.396
GPT-3.5-turbo-fewshot	0.084	0.330	0.307	0.891	0.592	0.474	-4.033	-2.134	0.247	0.383
GPT-4-fewshot	0.078	0.333	0.340	0.890	0.585	0.491	-4.083	-2.228	0.243	0.457
GPT-3.5-turbo-zeroshot	0.076	0.315	0.295	0.890	0.587	0.471	-4.039	-2.208	0.228	0.353
GPT-4-zeroshot	0.067	0.305	0.323	0.890	0.578	0.490	-4.119	-2.195	0.226	0.430

(b) Average scores from reference-free automatic metrics. The three highest scores for each metric are bolded. Abbreviati	ons are
as follows. BART _{src} :BARTScore-src; GPT _{src} :GPTScore-src.	

Models	BART _{src}	GPT _{src}	UniEval	QRelScore	RQUGE
Reference	-4.558	-1.184	0.881	0.045	4.140
BART-base-finetune	-4.011	-0.621	0.901	0.130	4.261
BART-large-finetune	-3.868	-0.632	0.893	0.155	4.363
T5-base-finetune	-4.041	-0.616	0.892	0.118	4.273
T5-large-finetune	-4.045	-0.601	0.909	0.118	4.354
Flan-T5-base-finetune	-4.038	-0.595	0.899	0.120	4.261
Flan-T5-large-finetune	-4.025	-0.603	0.908	0.118	4.355
Flan-T5-XL-LoRA	-3.962	-0.579	0.900	0.137	4.268
Flan-T5-XXL-LoRA	-4.137	-0.566	0.901	0.104	4.289
Flan-T5-XL-fewshot	-4.271	-0.710	0.902	0.071	3.574
Flan-T5-XXL-fewshot	-4.260	-0.441	0.904	0.078	3.955
GPT-3.5-turbo-fewshot	-3.652	-0.684	0.908	0.166	3.555
GPT-4-fewshot	-3.548	-0.907	0.938	0.144	4.240
GPT-3.5-turbo-zeroshot	-3.652	-0.869	0.922	0.137	3.389
GPT-4-zeroshot	-3.638	-1.078	0.931	0.121	4.216

Table 12: Average scores of automatic metrics for questions generated by each model.