# Deciphering and Enhancing Commonsense Reasoning in LLMs from the Perspective of Intrinsic Factual Knowledge Retrieval

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### ABSTRACT

Commonsense reasoning in large language models (LLMs) bridges the gap to physical world, thus allowing them to think and behave more like humans. Previous research has shown that LLMs acquire the underlying factual knowledge from extensive training corpora and store it within their parameters. However, how LLMs apply this knowledge during the inference phase remains unclear. This lack of transparency makes it difficult to determine whether shortcomings in LLMs are due to a lack of factual knowledge or insufficient reasoning capabilities. In this work, we aim to decipher the commonsense reasoning process into humanunderstandable steps. By interpreting the hidden states in different transformer layers and token positions, we uncover a specific mechanism by which LLMs execute reasoning. Our extensive experiments indicate: 1) both attention head and multi-layer perceptron (MLP) contribute to the generation of factual knowledge from different perspective. 2) The process of commonsense reasoning in LLMs involves a clear sequence of knowledge augmentation, broadcast, retrieval, reranking, and answer generation. Building on these findings, we have discovered that LLMs often contain relevant facutal knowledge but fail to retrieve the correct knowledge at top. To address this issure, we selectively fine-tuned the key heads and MLPs, resulting in notably improvements in reasoning performance in both in-domain and out-of-domain settings.

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### 1 INTRODUCTION

034 Commonsense reasoning is a human-like ability to make presumptions about the type and essence of ordinary situations humans encounter every day (Wikipedia contributors, 2023). It is the key for 035 human to interact with the world, and also the bridge for AI systems to reason about the world as humans (Wei et al., 2022; Talmor et al., 2022; Bhargava & Ng, 2022a). Recent Large Language Models 037 (LLMs) have demonstrated impressive abilities in commonsense reasoning, particularly when employing the chain-of-thought technique (Wei et al., 2022; Wang et al., 2022; Saparov & He, 2022). These models can answer complex questions about world knowledge with high accuracy and even 040 offer suggestions for everyday human activities. However, they often struggle with some basic com-041 monsense aspects, such as reversing curses (Berglund et al., 2023), which poses challenges to users 042 trusting their results. Therefore, understanding how models perform commonsense reasoning is vital 043 for developing AI that is both transparent and reliable.

044 To unravel the commonsense reasoning capabilities of LLMs, existing studies have explored how 045 the parameters of these models encode factual knowledge, which is derived from extensive training 046 corpora (Akyürek et al., 2022; Li et al., 2022; Petroni et al., 2019; Roberts et al., 2020; Allen-Zhu & 047 Li, 2023). However, the underlying mechanism of how this knowledge is applied during inference 048 is still a mystery. This uncertainty makes it difficult to determine whether errors in commonsense reasoning stem from a lack of knowledge or from flawed understanding. For instance, if a model mistaken that *Raclette and Switzerland are unrelated*. This could either be because it lacks the 051 knowledge that *Raclette is a Swiss dish* or because it favors the perception of *Raclette is a cheese*, and cheese originates from Middle East. Motivated by this, we aim to reverse engineer the intrinsic 052 mechanism in LLM, and decipher the commonsense reasoning process of LLMs into steps that are understandable to humans. In this way, we can better understand why models produce certain

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Knowledge R Kno eval Knowledge Broadcast Que Head 19.8 MLP 10 Head 16.5 MLP 25 Ŷ Ŷ Yes or Can you get Rac in End of Ŋ æЦГ Europea YMCA untries cheese

Figure 1: Deciphered commonsense reasoning process in LLMs. The five stages of the process are depicted through the example of addressing a reasoning question, as presented in the leftmost column, while the corresponding generated answer is showcased in the rightmost column, utilizing the Gemma2-9B model. The detailed depiction of these stages is presented sequentially from left to right in the central columns, corresponding to the processing order along with the associated layers. This figure is best viewed when zoomed in.

outputs or fail to generate correct answers, and we can enhance the model's reasoning capabilities in a targeted and rational manner.

077 In this study, we leverage a variety of analytical methods including path patching (Wang et al., 078 2023a), the Logit Lens (nostalgebraist, 2021), and SAE (Lieberum et al., 2024) to analyze the be-079 havior of models from multiple dimensions. Given that commonsense reasoning is integral to the 080 whole sequence of the rationale, our focus shifts toward examining the interrelationships between 081 different tokens rather than delving into the details of individual token generation. To achieve this, 082 we have designed an "Interpreting Module" that automates the analysis of how models produce in-083 dividual tokens. Inspired by Bills et al. (2023) on interpreting GPT-2 using GPT-4, we also utilize GPT-4 to analyze results from Path Patching, Logit Lens, and SAE. Through comprehensive experi-084 ments, we summarized a five-stage reasoning process for factual knowledge recall, shown in Fig. 1, 085 including knowledge augmentation, broadcast, retrieval, reranking, and finally answer generation. Specifically, LLMs first evoke related factual knowledge for augmentation. The knowledge is re-087 tained within the hidden states at each token position in the whole rationale. When predicting the 088 key content in rationale that require commonsense reasoning, the knowledge is retrieved to provide supporting evidence. It is first recalled by attention heads and then re-ranked by multi-layer perceptrons (MLPs). At the end of rationale, the conclusion such as "yes/no" is derived and stored in the 091 hidden states. Finally, the answer is transferred through the heads into the output.

092 Building on these five stages, we identified that LLMs' failing to answer correlates with the issue of knowledge retrieval and reranking. The models misinterpret key words in the context, leading to the 094 failure of attention heads to recall and MLPs to re-rank the correct factual knowledge at the top po-095 sition. To address this problem, we fine-tuned specific heads for knowledge retrieval and MLPs for 096 reranking, enhancing the model's ability to recall the correct knowledge, and thereby improving its reasoning performance. Experimental results demonstrate that fine-tuning less than 10% of param-098 eters, compared to a full model fine-tuning, leads to a notable performance enhancement, especially 099 for out-of-domain settings. This selective adjustment strategy exhibits superior performance, further validating the understanding and explaining of the reasoning process in models. 100

We summarize our contributions as follows: (1) We focus on interpreting the process of commonsense reasoning within LLMs into steps that are comprehensible to humans. Through experimental analysis, we found that LLMs augment related factual knowledge as a form of database, subsequently retrieving and re-ranking key tokens during prediction, and finally generating conclusions and answers. (2) Building on the above observations, we further identify that on commonsense reasoning tasks, LLMs often fail to retrieve correct knowledge, leading to erroneous reasoning or conclusions. By selectively fine-tuning key heads and MLPs, the performance of reasoning is enhanced, especially for out-of-domain samples. It validates the reliability of the interpreting results.

### 108 2 RELATED WORK

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**Commonsense reasoning.** Machine common sense, or the ability to comprehend and reason about 111 an open-ended world, has long been recognized as a crucial aspect of natural language understand-112 ing (Bhargava & Ng, 2022b; Sap et al., 2020). With the advent of large language models, there 113 has been a significant leap in the reasoning capabilities of deep learning models, especially with 114 the adoption of Chain of Thought (CoT) techniques. This has propelled the enthusiasm for under-115 standing and advancing reasoning abilities to new heights. In this paper, we focus specifically on 116 commonsense reasoning. Unlike temporal and numerical reasoning, which often emphasize a more 117 symbolic approach, commonsense reasoning explores the connections between events or entities, enhancing our understanding of how large language models perceive and interpret the world. 118

119 Large language models (LLMs). Recent advancements in Large Language Models (LLMs) have 120 led to remarkable performance across various Natural Language Processing (NLP) tasks. Although 121 some commercial LLMs, such as GPT-3.5 (Brown et al., 2020) and GPT-4 (OpenAI, 2023), are 122 closed-source, the growing number of open-source LLMs is achieving comparable results. Llama 123 series (Touvron et al., 2023) and Gemma series (Team et al., 2024) are two families of open-source LLMs that exhibit remarkable proficiency in NLP tasks. Our experiments are conducted on four pre-124 trained language models, Llama2-7B, Llama2-13B, Gemma2-9B, and Qwen2.5-72B (Qwen Team, 125 2024). The model weights for these architectures are openly accessible on HuggingFace. In perfor-126 mance evaluation, all these models exhibit remarkable proficiency in reasoning NLP tasks. 127

128 Mechanistic interpretability of Large Language models. Despite their impressive capabilities, large language models' internal mechanisms remain largely underexplored. A predominant theme 129 is the identification of specific layers and neurons responsible for knowledge storage (Meng et al., 130 2022; Dai et al., 2021; Geva et al., 2023). Recent studies have introduced and refined the "path 131 patching" approach to identify critical components in models, including GPT-2 small (0.1 billion 132 parameters) and Chinchilla, for tasks like indirect object identification and multiple-choice ques-133 tions (Wang et al., 2023b). This method, inspired by causal mediation analysis, involves perturbing 134 component inputs and observing the resulting changes in model behavior, has been successfully 135 extended to various tasks and larger models, demonstrating its broad applicability and scalability 136 (Goldowsky-Dill et al., 2023; Hanna et al., 2023; Lieberum et al., 2023; Conmy et al., 2023). 137

A significant gap exists in LLM interpretability research, particularly in understanding the key components enabling complex tasks like reasoning. The complexity of CoT reasoning tasks makes it challenging to design a unified symbolic causal model (Geiger et al., 2023). This work uses the path patching method to identify crucial attention heads/MLPs responsible for CoT reasoning in LLMs. To validate these findings, we employ a "knockout" experiment, comparing the full model's behavior to a model without the specific head, as inspired by previous work (Wang et al., 2023b). t

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3 Method

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3.1 PRELIMINARY

In LLMs, commonsense reasoning is a multi-token generation process, including rationale and answer. Based on the construction of Subject-Verb-Object triplets (SVO) (Speer et al., 2017) used in
the StrategyQA (Geva et al., 2021) and CSQA (Wikipedia contributors, 2023) datasets, we identify
three key positions in the model generation: Concept, Attribute, and Response tokens. These tokens
are observed special in experiments, and therefore we highlight them for better comprehension.

**Concept** (C): The subject of inquiry in the question; this is a concept node in a knowledge graph, representing any entity, idea, or object relevant to commonsense (e.g., "Ganesha" in Figure 2.)

Attribute (A): The object, which is paired with C as SVO to contain some knowledge, is also a concept node. These attributes, according to their relevance as accurate knowledge for the question, can be categorized into predicted attributes  $A_p$  (e.g., "Ganesha is a *Hindu* god") and general attributes  $A_g$  (e.g., "Ganesha is recognized by his *elephant* head and four arms").

**Response**  $(\mathcal{R})$ : The answer to the question, which can vary depending on the type of question. It may be a binary judgment (e.g., "yes/no"), a selection (e.g., "(2) Kayla"), or a free-form text.

#### 162 3.2 INTERPRETING MODULE 163



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175 To understand how the answer is generated, we begin by extracting information from the evaluated 176 data and the interpreted LLM, including  $\mathcal{R}, \mathcal{C}, \mathcal{A}_p$  and  $\mathcal{A}_q$ . These tokens serve as the observed anchors to assist the understanding of the mechanism within LLMs. This extraction can be performed 177 using GPT-4 (prompt in Appendix A.3.2) or manual methods. (see Appendix A.4 for examples.) 178

179 Since the rationale and the answer are recursively generated, it is hard to investigate both the relation across different tokens and the patterns in the token generation simultaneously. Therefore, as 181 illustrated in Figure 2, we divide our interpretation process into two orthogonal pipelines.

182 **Trace token-to-token path**: The first is the horizontal pipeline, which traces the path of tokens, 183 from the end to the start. For example, tracing from  $\mathcal{R}$  to  $\mathcal{A}$  then to  $\mathcal{C}$ . Through causal back tracing within LLMs, it reveals the relationships across the sequence of tokens. 185

**Decode parametric concept or attribute**: The second pipeline, shown vertically, analyzes the patterns within LLMs when generating a specific token, including inner behaviors and activation 187 characteristics. It first identifies and localizes the modules (e.g., attention heads and MLPs) that 188 are related to the target content (e.g.,  $\mathcal{R}, \mathcal{C}, \mathcal{A}_p$  and  $\mathcal{A}_q$ ). Subsequently, it decodes the semantic 189 information and patterns encoded in these modules into human understandable formats.

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3.3 INSTANTIATION OF INTERPRETING MODULE

193 Instantiation of tracing token-to-token path. We employ Path Patching (Wang et al., 2023a) as an 194 effective tool for causal back tracing. This method originates from causal mediation analysis (Vig 195 et al., 2020), where the results of direct effect enable us to identify the significant heads. Heads 196 with the Top-10 direct effect are considered contributors to generating a token. By examining the attention patterns in these important heads, previous tokens with attention scores greater than 0.2 are 197 regarded to have high correlation with current token. These tokens are the targets for tracing. This process can then be iteratively applied to discover the transition path across tokens. Path Patching 199 relies on high-quality counterfactual data, which is paired with original data to calculate the direct 200 effect for each head. It must be carefully designed to change specific semantics within a sentence 201 minimally, without disrupting other narrative settings. We automatically generate this counterfactual 202 data by GPT-4 (Further details are available in Appendix A.5), achieving consistency comparable to 203 human-generated data. (See Appendix A.3) for comparison results.) 204

**Instantiation of decoding parametric concept or attribute**. We use Logit Lens (nostalgebraist, 205 2021) to localize the modules that contain target information. This approach is able to project hid-206 den states directly into the vocabulary space using the model's pretrained unembedding matrix. It 207 reveals the information contained in current hidden states and explains the contribution of specific 208 heads or MLPs or residual blocks to the predicted token. Specifically, we calculate the softmax 209 probability of the observed tokens ( $A_p$ ,  $A_q$  or  $\mathcal{R}$ ) after projecting the hidden states to vocabulary 210 space. The probabilities across layers will form the curves (see examples in Figure 4), where layers 211 exhibiting extreme values are identified for further analysis. For MLP, we adopt Sparse Autoen-212 coder (SAE) (Gao et al., 2024) to decode the semantic information embedded in the parameters 213 and activations. (e.g., Information related to "Hindu" is decoded in MLP of layer 8 when feeding "Ganesha" to the model.) Based on dictionary learning, SAE translates the internal hidden states of 214 LLMs into several interpretable pieces, or termed latents. These latents are activated by specific to-215 ken sequences, and most can be translated by GPT-4 into concrete semantic descriptions. Regarding

attention heads, we use probing to decode the semantic information. We project the outputs of the heads into the vocabulary space and examine the top-K tokens in the head's output distribution to decode the semantic information. Specifically, we calculate the proportion of tokens in the top-Kthat are correlated with the observed token.(e.g. "elephant" and "deity" are considered correlated with the observed token "Hindu"). If the proportion exceeds a pre-set threshold, we presume this head encodes concept-related attributes.

### 3.4 VERIFICATION OF INTERPRETING RESULTS

To verify the mechanism found by interpreting module, we adopt selectively supervised fine-tuning on the identified modules. Following the method proposed in Zhang et al. (2024), we directly use the same settings without modification for effective verifications. Given a sequence of attention heads ordered by their significance, denoted as  $(l_1, h_1), (l_2, h_2), \ldots$ , where  $l_i$  represents the layer index and  $h_i$  represents the head index of the  $i^{th}$  ranked head, only top K heads are exclusively updated during fine-tuning. We optimize both the corresponding input mapping matrix  $\{W_{l_1}^{h_1}, W_{l_2}^{h_2}, \ldots, W_{l_K}^{h_K}\}$  and the output mapping matrix  $\{O_{l_1}^{h_1}, O_{l_2}^{h_1}, \ldots, O_{l_K}^{h_K}\}$  in top K heads simultaneously. For the selected MLP layer, we update all parameters in this layer.

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### 4 EXPERIMENTS

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### 4.1 EXPERIMENTS OVERVIEW

238 As presented in Section 3.2, we start from the end token position (i.e., the position of Response  $\mathcal{R}$ ). 239 At the position of  $\mathcal{R}$ , we decode the parametric concept during response generation and causally 240 trace back to the previous token position (i.e., the position of attribute A). We term this process 241 as **answer generation**(\$4.2). Trace back to the position of A, where an analysis of the predicted attribute's generation revealed the mechanisms of knowledge retrieval and reranking (§4.3). Fur-242 ther tracing the source of attribute information led to the position of concept C, uncovering the 243 mechanisms of knowledge augmentation and knowledge broadcast(§4.4). After interpreting the 244 mechanism behind commonsense reasoning, we employed SSFT ( $\S4.6$ ) to validate the mechanism. 245

246 **Models** To explore the internal mechanisms of large language models (LLMs), we conducted ex-247 periments on open-source models, selecting diverse architectures and sizes to ensure the robustness and generalizability of our findings. Specifically, we employed Gemma2-9B (Team et al., 2024), 248 Llama2-7B (Touvron et al., 2023), and Qwen2.5-72B (Qwen Team, 2024) The results in the Sec-249 tion 4 primarily focus on Gemma2-9B, as Sparse Autoencoders (SAEs) have been trained for all 250 its layers (including residual and MLP layers) (Lieberum et al., 2024), enabling comprehensive 251 validation of our analyses. Additional results for Llama2-7B and Qwen2.5-72B are provided in 252 Appendix A.8 and Appendix A.7, respectively. 253

**Datasets** Commonsense reasoning is inherently abstract, encompassing diverse question types and 254 linguistic expressions. To explore the factual knowledge recall mechanism of large language mod-255 els (LLMs), we selected four widely used commonsense reasoning benchmark datasets: Strate-256 gyQA (Geva et al., 2021), CommonsenseQA (Talmor et al., 2018), WinoGrande (Sakaguchi et al., 257 2021), and SocialIQA (Sap et al., 2019). The results are primarily reported on the StrategyQA 258 dataset, with results for the other three datasets provided in Appendix A.6. All metrics and curves 259 are averaged over 100 samples. Few-shot prompts from Wei et al. (2022) and Li et al. (2024) are 260 adopted to elicit model's reasoning abilities. 261

# 262263 4.2 Answer Generation

Considering examples from StrategyQA where the response  $\mathcal{R}$  is "yes" or "no", we decode the correct answer and incorrect answer information in MLP outputs. As shown in Figure 3 (a), the curve of MLP in layers 0–33 contain almost no information related to the  $\mathcal{R}$ . However, in layers 34 and 37, the probability of the correct response exhibits a sharp increase, with two distinct spikes, while the probability of incorrect response remains unchanged. Similarly, we analyzed the attention curve (Figure 3 (b)) and found that in layers 0–31, there is minimal response-related information. However, in layers 32–35, the probabilities of both correct and incorrect responses increase significantly and



Figure 3: Probing result at answer generation position: (a) Probabilities of correct and incorrect 280 answer of MLP outputs across layers, showing dominant information of correct answer in deep 281 layers. (b) Probabilities from attention layers show entangled information of different types of 282 answers in the output. (c) Information decoded in the key MLP is directly related to the correct 283 answer. (d) Key attention head for answer generation mainly attends to the position of  $\mathcal{A}$ . (e) 284 Key attention heads for answer generation are located in layers 25-37. These findings highlight the 285 answer generation process, where head aggregate options and MLP select the answer to output.

are relatively close in magnitude. Based on these observations, we conclude that during response 287 generation, the attention mechanism is responsible for aggregating all plausible answer options, 288 while the MLP ultimately selects the final response to output. 289

290 Then we used Sparse Autoencoder (SAE) to analyze the information encoded in layers 34, 37. As for the sample of "Ganesha is a Hindu god ..." with correct response as "no", we discovered nu-291 merous latents related to negation. Specifically, in layer 34, we identified a latent corresponding 292 to References to expressions of negation in natural language, such as "no" and "not", shown in 293 Figure 3 (c). These findings provide additional evidence supporting the critical role of the MLP in the answer generation process. 295

296 At last, we identified the key attention heads responsible for generating the conclusion and traced 297 their information sources. These heads are concentrated in layers 25–37 (Figure 3 (e)) and primarily focus on the position of  $\mathcal{A}$  (e.g. "Hindu") within the rationale (Figure 3 (d)). Despite the primary 298 focus, we also observed some minor attentions concentrated on reasoning-related tokens (e.g., "thus" 299 and "so"). We probe these positions through Logit Lens and found they already contain information 300 about the correct answer (i.e., "no"). In addition, back tracing these reasoning-related tokens, the 301 primary focuses are also "Hindu". Therefore, our investigation continuously traces back to the 302 position of  $\mathcal{A}$  prediction. 303

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### KNOWLEDGE RERANK AND RETRIEVAL



312 Figure 4: Probing results at the attribute prediction position: (a) Probabilities of the predicted at-313 tribute  $\mathcal{A}_p$  and general attributes  $\mathcal{A}_q$  of residual block outputs across layers, showing an alternating 314 pattern in their relative importance in layers 28-40. (b) Probabilities from MLP outputs, primarily 315 aligned with  $\mathcal{A}_p$ . (c) Probabilities from attention outputs, contain both  $\mathcal{A}_p$  and  $\mathcal{A}_q$ . These results 316 highlight the reranking mechanism, where MLP layers in the mid-to-late stages (28–38) dominate attribute selection. 317

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319 The attribute information A decoded in residual block outputs, MLP outputs and attention outputs 320 are shown in Figure 4. In residual block curve, the attribute information begins to emerge at around 321 layer 30. However, the predicted attribute  $\mathcal{A}_p$  is not dominant in the first place, as the probabilities of  $\mathcal{A}_p$  and general attribute  $\mathcal{A}_q$  increase alternately, with  $\mathcal{A}_p$  gradually surpassing  $\mathcal{A}_q$  at around 322 layer 37. In MLP curve, attribute information is only evident in layers 30-37 (with probabilities 323 close to 0 in the rest of the layers). Within these layers, it's clearly observed that  $\mathcal{A}_{p}$  is prominently represented, while  $\mathcal{A}_g$  remains minimal. For the attention curve, in layers 25–40,  $\mathcal{A}_p$  and  $\mathcal{A}_g$  starts to interleave, showing no explicit dominance in between.

From the observations above, we can conclude a key finding: the MLP is responsible for enhancing the probability of  $\mathcal{A}_p$  (which we termed **knowledge reranking**.) and finally generating  $\mathcal{A}_p$  in attribute prediction. To validate our finding, we look into the MLP output using SAE. Specifically, we examine the features whose explanations are semantically related to both  $\mathcal{A}_p$  and  $\mathcal{A}_g$ . The results are shown in Figure 5 (a). These features strongly represent Hindu-related attributes, but none of which is related to the general attribute  $\mathcal{A}_g$ . This further verifies our finding that the MLP contributes to answer generation by amplifying  $\mathcal{A}_p$  only.

Latent ID	Latent Explanation	Attention head	Top10 tokens in vocabulary space
31.1376	references to Indian institutions or entities	25.1	elephant, Ganes, elephant, 🐄, Elephant, Elephant elefante, religione, estatua, Elephants
31.3694	references to Indian cuisine and food-related terms	25.2	India, India, INDIA, Hindu, Indian, india, Indians, Hindus, Hindu, Mumbai
32.127330	references to divine entities or Hindu deities	29.14	elephants, elephant, Elephants, Elephant, Elephant elef, elephant, Ganesh, 🐄, Ganes
	(a)		(b)

Figure 5: Comparison of the information encoded in the key MLP (a) and attention heads (b) re sponsible for knowledge retrieval. It is observed that the MLP outputs are directly related to the final
 predicted attribute, whereas the attention head outputs contain various attributes associated with the
 concept.

345 Next, to understand what happens in the intertwined emergence of  $A_p$  and  $A_q$  in attention outputs, 346 we conduct further analysis with head localization and probing. We first confirm that the most in-347 fluential attention heads are localized after layer 25. The outputs of these heads encode a rich set 348 of attribute information relevant to the concept (e.g., *elephant* and *Hindu* in the context of *Ganesha* 349 as shown in Figure 5 (b)). Given that these attention heads operate earlier than the layers where 350 information of  $\mathcal{A}_p$  appears in the MLP (layer 30), we propose the following attribute prediction mechanism: attention heads in the intermediate layers first aggregate all relevant attributes (both  $\mathcal{A}_p$ 351 352 and  $\mathcal{A}_{q}$ ) through a process of termed **knowledge retrieval**. Subsequently, the MLP ranks these at-353 tributes according to their relevance and selects  $A_p$  for the final output (i.e., **knowledge reranking**).

Attention head	Attention score
25.1	Q: Yes or no: Is Ganesha associated with a Norse god? <newline> A: Ganesha is a</newline>
25.2	Q: Yes or no: Is Ganesha associated with a Norse god? <newline> A: Ganesha is a</newline>
29.14	Q: Yes or no: Is Ganesha associated with a Norse god? <newline> A: Ganesha is a</newline>

Figure 6: Heads pattern for knowledge retrieval in Gemma2-9B: mainly attends to the position of concept and question end.

Finally, we find these attention heads focus on two critical token positions, as shown in Figure 6: the position of C and the position of question end. For example, head 25.1 exhibits average attention scores of 0.62 and 0.22 at the position of C and question end, respectively. Therefore, we trace back to the position of C to investigate the origin of A.

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### 4.4 KNOWLEDGE AUGMENTATION AND BROADCASTING

From the position of the A, we further back-trace to the positions of the C and the Question End. Generally, in commonsense reasoning datasets, the C always appears in both the question and the rationale. Through analysis, we observe that the C in the rationale can also back-traced to the C in the question. Therefore, we treat the position of C in the question as a focal point for deeper analysis.

Figure 7 illustrates the information curves decoded in the outputs of residual block, MLP and attention during the generation of C, relative to the predicted attributes  $A_p$  and general attributes  $A_g$ . Notably, we observe that: 1) In residual curve, it contains obvious information regarding both  $A_p$ and  $A_g$  across various layers, with  $A_g$  being more prominent than  $A_p$  at the end. 2) another two curves show that both MLPs and attention heads have large influence on  $A_q$  and  $A_p$ . To further



Figure 7: Decoding results of residual block (a), MLP (b), and attention (c) at the position of concept  $\mathcal{C}$ . Corresponding to the knowledge augmentation process: the attribute information in the shallow layer is encoded by MLP layers, which serve as the source information for knowledge retrieval.

Layer	Feature ID	Feature Explanation	Attention head	Top10 tokens in vocabulary space	
7	119958	References to deities and mythological figures associated with nature, fertility, and seasonal changes.	29.14	elephants, elephant, elef, Elephants, Elephant elephante, 4, Ganes, Gnesh, elephant	
	84677	References to deities and divine entities in a religious context.	29.15	Hindu, avoent, Mharashtra, Hindu, étoient, Sri, Marathi, Sanskrit, Indian, Tamil	
32	109559	References to Hindu deities and their attributes.	39.7	Lord, Krishna, lord, Ganes, LORD, Lakshmi,	
	15523	15523 References to countries and regions in South Asia, particularly related to India and its cultural aspects.		Krishna, Indra, Vishnu, Hindu	
		(a)		(b)	

Figure 8: Comparison of the information encoded in the key MLP (a) and heads (b) responsible for knowledge retrieval. It is observed that the MLP outputs are directly related to the final predicted attribute, whereas the attention head outputs contain various concept-related attributes.

398 validate the decoded information, as shown in Figure 8, we use SAE and Probing for investigation. 399 Specifically, SAE identifies that MLPs in layers 7 and 32 identify latents related to "references to *Hindu deities and their attributes*". Meanwhile, Probing also reveals that heads in layers 29 and 39 rank the  $A_q$  at top. In addition to diminishing the impact of the information from any previous token, 402 we also examine the three corresponding curves at the position before C (for instance, "Question: Is Ganesha"). The results reveal that the information regarding  $A_p$  and  $A_g$  is virtually zero. It indicates that the emergence of  $\mathcal{A}_p$  and  $\mathcal{A}_q$  is indeed contingent upon the appearance of  $\mathcal{C}$  and is independent of any previous tokens. In conclusion, both the MLP and heads play essential roles in 405 assisting the model to associate and extend from C to related  $A_p$  and  $A_q$ . We refer to this stage, 406 along with the contributions of the MLP and heads, as knowledge augmentation.



Figure 9: Decoding results of the residual block (a), MLP (b), and attention (c) at the position of 416 the question end. Knowledge movement are discovered based on the decoding result: question end 417 position encodes rich attribute information, which is transported by the attention. MLP adjusts the 418 ranking of  $\mathcal{A}_q$  and  $\mathcal{A}_p$ . 419

420 Regarding the the question's end token position, Figure 9 also presents the three corresponding 421 curves. (1) In the residual curve, both  $A_p$  and  $A_q$  appear across multiple layers. On the contrary to 422 concept token position,  $A_p$  has a greater presence than  $A_g$ . (2) The curves for the MLP and heads 423 also encapsulate information about both  $\mathcal{A}_p$  and  $\mathcal{A}_q$ , and further enhance the importance of  $\mathcal{A}_p$ . These observations indicate that even at seemingly unrelated token positions, the  $\mathcal{A}$  corresponding 424 to the C (or the knowledge they encompass) can be broadcast. The original order of A may shift 425 based on the current context, ultimately influencing the generation of  $A_p$ . We term this stage as 426 knowledge broadcasting. 427

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#### SUMMARY OF THE COMMONSENSE REASONING MECHANISM 4.5

Based on the findings from the preceding subsections, we can summarize the complete mechanism 431 of factual knowledge recall in commonsense reasoning tasks as follows:

(1)Knowledge Augmentation: At the position of C, shallow-layer MLPs encode all kinds of concept-related attribute information into the residual stream. (2) Knowledge Broadcasting: At the question's end token position, the model aggregates information from C and reorganizes it based on the context. After that, the information at question's end token and C are broadcast to following important tokens. (3) Knowledge Retrieval: At the position of A, attention heads gather attribute information from the position of C and the question end position. Then the information is trans-ported to the attribute prediction position. (4) **Knowledge Reranking**: Also at the position of A, MLP layers select the most appropriate attribute  $\mathcal{A}_p$  from the retrieved candidates for prediction out-put. (5) Answer Generation: At the predict token position, attention layers aggregate information from  $\mathcal{A}$  token position to draw the final conclusion. 

We conducted experiments on three additional commonsense reasoning datasets (CommonsenseQA, WinoGrande, and SocialIQA) and validated the mechanisms of knowledge retrieval and knowledge reranking across all of them. However, the phenomenon of knowledge augmentation was not promi-nently observed in the SocialIQA and CommonsenseQA datasets. We hypothesize that this is due to the explicit provision of the required knowledge within the question context, which diminishes the model's need to infer additional related knowledge. Please see Appendix A.6 for details. In addition, we validated the proposed reasoning process on the Qwen2.5-72B model. Detailed results can be found in Appendix A.7. 

# 4.6 SELECTIVE SUPERVISED FINE-TUNING ON COMMONSENSE REASONING-RELATED COMPONENTS



Figure 10: The distribution of the four types of errors encountered by Llama2-7B on StrategyQA. 1) Reference Error: The model retrieves irrelevant or wrong attributes. 2) Logic Error: incomplete reasoning steps. 3) Conclusion Error: reaches an incorrect answer but based on correct rationale. 4) Concept Error: incorrectly identifies the target concept for analysis. The prompt we use GPT-4 to assist classification is available in Appendix A.3.3.

Table 1: We fine-tune Llama2-7B/13B on the StrategyQA dataset using supervised fine-tuning (SFT) and selectively supervised fine-tuning (SSFT). Here are the capabilities of models on four commonsense reasoning tasks (*e.g.*, StrategyQA, CSQA, Winogrande, and SocialIQA) before and after tuning.

		ID	Task				OOD	Task			
		Strat	egyQA	CS	QA	Wino	grande	Socia	alIQA	Ave	rage
Models	Tuned Params.	Acc.	Δ	Acc.	Δ	Acc.	Δ	Acc.	Δ	Acc.	Δ
Llama2-7B	-	62.5	-	61.1	-	53.4	-	60.2	-	58.2	-
+ SFT	6.7B	77.3	+14.8	54.8	-6.3	52.7	-0.7	59.0	-1.2	55.5	-2.7
+ SSFT	0.2B	78.5	+16.0	64.1	+3.0	61.1	+7.7	63.2	+3.1	62.8	+4.6
Llama2-13B	-	66.0	-	68.3	-	55.5	-	67.9	-	63.9	-
+ SFT	13B	79.0	+13.0	69.5	+1.2	54.6	-0.9	63.1	-4.8	62.4	-1.5
+ SSFT	0.5B	80.3	+14.3	72.6	+4.3	56.6	+1.1	69.2	+1.3	66.1	+2.2

Failure Case Analysis. By examining instances where the model (Llama2-7B) provides incorrect responses, we identified four distinct error types on the StrategyQA task (specific cases for each type are shown in Fig. 10): 1) Reference Error: The model retrieves attributes that are irrelevant to the question context or erroneous attributes; 2) Logic Error: The knowledge generated by the model

486 is insufficient to support the conclusions drawn by the model; 3) Conclusion Error: The model 487 reaches an incorrect answer despite having the appropriate reasoning knowledge; and 4) Concept 488 Error: The model incorrectly identifies the target concept for analysis. Among these, the most 489 prevalent error type is Reference Error (74%). Furthermore, we conducted probing to investigate 490 the underlying causes of Reference Errors, specifically determining whether these errors resulted from incorrect reranking despite the model possessing the correct attributes, or from the model's 491 lack of knowledge regarding the correct attributes. Experimental findings indicate that the majority 492 of Reference Errors stem from reranking issues, as the correct knowledge is typically present within 493 the model's top five predicted tokens. Consequently, following Zhang et al. (2024) and Chen et al. 494 (2024), we aim to enhance the model's commonsense reasoning capabilities by strategically training 495 the identified MLP and attention heads that contribute to completing commonsense reasoning tasks, 496 thereby improving the model's ability to recall correct attributes. 497

Experiment Setup. With the key attention heads and MLPs identified for generating attributes (refer to Section A.8 for details), we conduct the selective supervised fine-tuning (SSFT) experiment on StrategyQA task through only updating the parameter of selected heads and MLPs. Specifically, Following Fu et al. (2023) and Huang et al. (2022), each sample in our training data is organized with the format of "{Few-shot CoT prompt} Q: {Question}. A: {Rationale} {Answer}".

We selectively fine-tune the top k attention heads (for knowledge retrieval) and top l MLP layers (for knowledge reranking) with a learning rate of  $1 \times 10^{-4}$  and a batch size of 32 for 2 epochs<sup>1</sup>. For supervised fine-tuning, a learning rate of  $1 \times 10^{-5}$  is utilized, while all other configurations remain consistent with SSFT training. Experiments are conducted on 8 NVIDIA A100 (80GB) GPUs.

508 **Experiment Results.** The comparative results between SSFT and SFT are presented in Table 1. For 509 the experiments of Llama2-7B on StrategyQA, both SSFT and SFT improved performance, achieving gains of +16.0% and +14.8%, respectively. While SFT shows a comparable enhancement for 510 the StrategyQA task, it adversely affected performance on OOD tasks, with an average decrease 511 of -2.7%. In contrast, SSFT continued to bolster the model's reasoning ability across all OOD 512 commonsense reasoning tasks, improving the performance by an average of +4.6%. These findings 513 suggest that selectively fine-tuning a small fraction of key components of LLMs on commonsense 514 reasoning can substantially boost performance on CoT tasks (in-domain) while maintaining general-515 izability (out-of-domain), highlighting the effectiveness of our previous exploration. A similar trend 516 was observed in the Llama2-13B results. Through mechanism analysis of the model before and after 517 SSFT, we further validate that SSFT enhances the model's knowledge retrieval and reranking capa-518 bilities. (See Figure 16 for details. ). Additionally, we further validate the effectiveness of SSFT 519 through training on three other datasets (Figure 16) and training on a larger model (Qwen2.5-72B in 520 Table 10).

521 522

### 5 CONCLUSION

523 524

In conclusion, our research sheds light on the intricate dynamics of commonsense reasoning within 526 LLMs, revealing a structured process that parallels human cognitive reasoning. By meticulously analyzing the hidden states across various transformer layers and token positions, we identified 527 528 a multi-faceted mechanism that integrates knowledge augmentation, retrieval, and answer generation-essentially resembling a retrieval-augmented generation framework. Our findings under-529 score the pivotal roles played by both attention heads and MLPs in the manifestation of factual 530 knowledge, highlighting a dual approach to knowledge processing. Furthermore, our experiments 531 demonstrated that while LLMs often possess relevant factual knowledge, they frequently struggle to 532 retrieve the correct information during inference. Through selective fine-tuning of key components, 533 we achieved notable enhancements in reasoning performance across diverse contexts, indicating that 534 targeted adjustments can effectively optimize the reasoning capabilities of LLMs. This study not 535 only contributes to a deeper understanding of LLM functionality but also offers actionable insights 536 for improving their reasoning processes, paving the way for more sophisticated and human-like 537 interactions with artificial intelligence systems.

 $<sup>^{1}</sup>k = 32, l = 2$  for Llama2-7B and k = 64, l = 2 for Llama2-13B

# 540 6 ETHICS STATEMENT

This paper presents work whose goal is to advance the field of mechanistic interpretability in LLMs.
We use public natural language processing datasets and leverage open-source large language models for our experiments. Our code or method are not inherently subject to concerns of discrimination/bias/fairness, inappropriate potential applications, impact, privacy and security issues, legal
compliance, research integrity or research practice issues.

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### A APPENDIX

# A.1 COMPARISON WITH PRIOR INTERPRETING FACTUAL KNOWLEDGE WITHIN LLMS STUDIES

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708 Our work builds upon and extends existing studies on the interpretability of factual knowledge in 709 large language models (LLMs), distinguishing itself in terms of the reasoning process, interpreting 710 tools, and key findings. Previous studies, such as Geva et al. (2023); Meng et al. (2022), primarily focus on a reasoning process comprising knowledge augmentation and retrieval. These works employ 711 tools like logit lens, knockout, and causal tracing to demonstrate that factual knowledge is stored in 712 mid-layer MLPs and retrieved by attention heads. In contrast, our study introduces a novel reasoning 713 step, knowledge reranking, which highlights how deep-layer MLPs refine retrieved information to 714 prioritize relevant attributes for final predictions. 715

Furthermore, while other studies such as He et al. (2024); Yuksekgonul et al. (2023) focus on distinguishing factual activation patterns or analyzing attention for entity retrieval, they do not provide a
comprehensive multi-stage explanation of the reasoning process. Similarly, Yu & Ananiadou (2024)
identifies knowledge storage in both attention heads and MLPs but lacks a discussion of how knowledge is effectively utilized in downstream tasks.

In addition to the reasoning process, our work advances the toolkit for interpretability research by
developing and applying novel tools like path patching and sparse autoencoder (SAE). These tools
enable fine-grained, token-by-token analysis of realistically queried sentences, whereas prior studies
often rely on template-based triplets and tools like logit lens alone. This methodological shift allows
us to uncover mechanisms such as *knowledge augmentation, retrieval, and re-ranking* in a unified
framework.

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### A.2 DETAILS OF INTERPRETING MODULES

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732				
733	Interpreting	Input	Output	Conclusion (Example)
734	Module			
735	Path Patch-	Commonsense rea-	Distribution of head	For example, with "Ganesha is a Hindu god,"
736	ing	soning data + coun-	importance	at the "a" token position, path patching reveals
737		terfactual data		which attention heads in the LLM are critical for predicting "Hindu"
738	Logit Lens	Commonsense rea-	Attribution of investi-	For example with "Ganesha is a Hindu god"
739	Logit Lens	soning data + prob-	gated attribute token	at the "a" token position, this method shows
740		ing attributes	within different mod-	which attention layers transport related at-
741			ules (MLP, attention,	tributes (knowledge retrieval), and which MLP
742			residual block)	layers perform re-ranking to generate the pre-
743	SAE	Commongongo noo	Identifies what infor	Conced allfibule.
744	SAL	soning data + spe-	mation is encoded in	at the "Ganesha" token position. SAE helps de-
745		cific layer ID	the output of a specific	compose the MLP output to determine what
746		·	MLP layer	attribute-related information is encoded.
747	Head Pattern	Commonsense rea-	Attention score and	For the example "Ganesha is a Hindu god," us-
748	Analysis	soning data + spe-	projection of head	ing the "attention head pattern analysis module"
749		cific nead ID	space	identified by path patching. This allows us to
750			space	determine which important heads transported
751				information, from which tokens, and what spe-
752				cific information was transported.
753				

Table 2: Illustration of four interpreting modules with the input, output and examples.

A.3 GPT-ASSISTED ANALYSIS A.3.1 COMPARE GPT-4 WITH HUMAN We conduct experiments to compare the "GPT-4" and "human validation" results. In the paper, GPT-4 is applied in: (1) Generation of  $X_c$  (counterfactual data) using GPT-4; (2) Generation of the analysis of key component behavior using GPT-4; (3) Identification of critical position (i.e. concept, attribute, and response). For all the scenarios, we engaged ten master's students specializing in Natural Language Process-

ing as volunteers. Five students were manually executing all procedures, including generating  $X_c$ , analyzing key component behaviors, and developing data templates. The remaining students then compared their annotations with those generated by GPT-4 to judge which more accurately represented the component behavior. Overall, the results demonstrate that GPT-4 is highly accepted by human evaluators, with the combination of "GPT wins" and "Ties" exceeding 80%, underscoring its robust reliability. These indicate that GPT-4's outputs are almost consistent with those generated by humans.

Table 3: Comparison of differences between GPT-4 and human annotations

Scenarios	GPT Wins	Human Wins	Ties
Generation of $X_c$ using GPT-4	8%	12%	80%
Analysis of key component behavior using GPT-4	12%	10%	78%
Critical position identification	7%	18%	75%

### A.3.2 PROMPT FOR POSITION EXTRACTION

809 We use the following prompt to assist in automatically extracting the concept, attribute, and response from the model's reasoning output.

810 811	Prompt Template for Extraction
812	
813	<pre><inputs> {SREASONING TEXT}</inputs></pre>
81/	
014	
010	<instructions structure=""></instructions>
010	1. Present the reasoning process text to the AI as input, labeled
010	clearly with <reasoning_text> tags.</reasoning_text>
818	2. Direct the AI to identify and extract three key components from
019	- The "concept": The main subject or entity discussed in the
020	reasoning process.
021	- The "attribute": The characteristic, classification, or
022	property associated with the concept.
823	- The "answer": The Tinal Conclusion of decision reached
824	3. Ensure the AI outputs the result in JSON format with specific
825	keys ("concept," "attribute," and "answer").
826	4. Include examples for clarity.
827	
828	<instructions></instructions>
829	You are tasked with analyzing a reasoning process presented in
830	a textual format to extract specific elements and present them
831	in a structured JSON output.
832	
833	Here is the reasoning process text you need to analyze:
834	<reasoning_text></reasoning_text>
835	{\$REASONING_TEXT}
030	
837	
838	Follow these steps to complete the task: 1 Identify the ++concept++: The primary subject or entity discussed
839	in the reasoning process.
840	2. Identify the **attribute**: The characteristic, classification, or
841	property associated with the concept.
842	3. Identify the **answer**: The final conclusion or decision reached,
843	typically stated explicitly in the text.
044	Output the results in the following JSON format:
845	```json
840	{"concept": " <concept>", "attribute": "<attribute>",</attribute></concept>
847	"answer": " <answer>"}</answer>
848	### Example.
849	Input Reasoning Process:
054	
851	Ganesha is a Hindu god. Norse gods are associated with Norse
852	mythology. Thus, Ganesha is not associated with a Norse god.
853	So the answer is no.
854	
000	

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} . . .

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### Prompt Template for Extraction

Output:

```
••• json
   {"concept": "Ganesha", "attribute": "Hindu god", "answer": "no"}
  If any of the elements (concept, attribute, or answer) are unclear
  or missing from the reasoning text, leave the corresponding value
  blank in the JSON output (e.g., "concept": ""). Think carefully about
  the text's structure to ensure accurate extraction of each component.
  Write your JSON output immediately after analyzing the reasoning
  process. Do not include additional explanations or commentary.
A.3.3 PROMPT FOR FAILURE CASE CLASSIFICATION
  Prompt Template for Failure Case Classification
   {"concept": "Ganesha", "attribute": "Hindu god", "answer": "no"}
  I am testing the accuracy of a large language model's responses
  on the multi-hop reasoning dataset, StrategyQA. Your task is to
  classify the errors in the model's answers based on specific
  error types. For each question, I will provide the input question,
  the model's answer, the correct answer and the reasoning steps
  needed for the correct answer. Your goal is to accurately classify
  the errors using the following four error types:
  1. **Entity Selection Error**: This occurs when the model picks
  the wrong entity from the input, leading to incorrect reasoning
   in subsequent steps.
   # Example 1:
  Input:
   ``json
   {
       "question": "Are the majority of Reddit users familiar with
      the Pledge of Allegiance?",
       "model_answer": "The Pledge of Allegiance is a pledge to the
       United States. Reddit is a social media site. Thus,
       the majority of Reddit users are not familiar with the
      Pledge of Allegiance. So the answer is no.",
       "correct_answer": "yes",
       "decomposition": [
```

"What country is the Pledge of Allegiance associated with?",

"What country do most Reddit users come from?",

"Is #1 the same as #2?"

918	Prompt Template for Failure Case Classification
919	
920	Classification: {"type": "Entity Selection Error", "explanation":
022	it spoke about while the correct entity for reasoning
922	should be 'Reddit users.' Therefore, this question should
923	be classified as an 'Entity Selection Error'".}
924	
925	2. **Knowledge Retrieval Error**: This occurs when the model
927	leading to flawed conclusions in the reasoning process.
928	# Example 1:
929	
930	# Example 2:
931	···
932	
933	3. **Conclusion Misalignment Error**: This occurs when the
934	model's reasoning steps are correct, but the final
935	# Example 1:
936	
937	
938	4. **Reasoning Logic Error**: This occurs when the logical
939	conclusion breaks down. In this error, even if individual
940	reasoning steps are correct, they fail to coherently lead
941	to the intended conclusion, causing the reasoning process
942	to result in an illogical or incorrect outcome. # Example 1:
943	···
944	
945	Instructions: If the error does not fit into any of these
940	explanation.
948	chpiulucion.
949	For each input, I will provide the question, the model's answer,
950	the correct answer, and the decomposition of reasoning steps.
951	follows:
952	```json
953	{"type": "Entity Selection Error" or "Knowledge Retrieval Error"
954	or "Conclusion Misalignment Error" or "Incomplete Reasoning Error",
955	category."
956	
957	Classficiation:
958	
959	
960	
961	
962	
963	
964	

### A.4 EXAMPLES ON COMMONSENSEQA AND SOCIALIQA

Table 4: Examples of Reasoning Cases from StrategyQA and WinoGrande Datasets. The answer is generated by Gemma2-9B. 

Dataset	StrategyQA	WinoGrande
Question	Is <b>Ganesha</b> associated with a Norse god?	It was easy for Angela to become a <b>vegetarian</b> although Kayla couldn't do it really missed the taste of chicken. (1) Angela (2) Kayla
Answer	Ganesha is a <b>Hindu</b> god. Norse gods are associ- ated with Norse mythol- ogy. Thus, Ganesha is not associated with a Norse god. So the answer is no.	A person is a vegetarian means he does not eat <b>meat</b> . A person who really misses the taste of chicken means he likes to eat chicken. Since Angela was able to become a vegetarian but Kayla couldn't do it, Kayla really missed the taste of chicken. So the answer is (2) Kayla.
Answer Type Answer Token Concept Predicted Attr. General Attr.	Yes / No no Ganesha Hindu elephant, deity, god	Multiple Choice (2) Kayla vegetarian meat chicken, beef

Table 5: Examples of Reasoning Cases from CommonsenseQA and SocialIQA Datasets. The answer is generated by Gemma2-9B. In CommonsenseQA and SocialIQA, the entities are often abstract names or professions with no specific meaning. Therefore, we treat the options in the context as attributes, the final predicted option as the predicted attribute, and the remaining options as general attributes.

Dataset	CommonsenseQA	SocialIQA
Question	The artist was sitting quietly pon- dering, then suddenly he began to paint when what struck him? (A) sadness (B) anxiety (C) inspiration (D) discomfort (E) insights	remy had a good talk with aubrey so aubrey understood remy better now. How would Remy feel as a result? (1) unsatisfied (2) calm (3) anxious
Answer	The <b>artist</b> was sitting quietly pon- dering, then suddenly he began to paint when <b>inspiration</b> struck him. So the answer is: (C) inspiration.	<b>Remy</b> had a good talk with Aubrey. Thus, Aubrey understands Remy better. Remy will feel <b>calm</b> as a re- sult. So the answer is: (2) calm.
Answer Type Answer Token Concept Predicted Attr. General Attr.	Multiple Choice (C) inspiration artist inspiration sadness, anxiety, discomfort	Multiple Choice (2) clam Remy calm unsatisfied, anxious

# A.5 PATH PATCHING DETAILS

1027

Counterfactual data generation We use GPT-4 to assist in automatically generating the counter factual data required for path patching, with the prompt shown below. Additionally, we implement
 a post-processing step: if the predicted token for the counterfactual data matches the prediction for
 the data under investigation (which would fail to perturb the model's behavior), GPT-4 is prompted
 to regenerate the counterfactual data.

**Path patching metric** We use the rate of change in the logits of the predicted token before and after perturbation as the metric for path patching.

1038	Prompt Template for Counterfactual Data Generation
1039	
1040	<topic> The particular topic being studied</topic>
1041	<input_sentence> ine original sentence provided for analysis</input_sentence>
1042	<pre><pre><pre><pre>charged_selection</pre><pre><pre><pre>predicted content&gt; The specific words reflecting model</pre></pre></pre></pre></pre></pre>
1043	behavior
1044	<first_word_predicted> The first word initially predicted by the</first_word_predicted>
1045	<pre>model</pre>
1046	
1047	<instructions structure=""></instructions>
1048	1. Instruct the assistant to begin by analyzing the original input
1049	sentence and why it leads to the specific predicted word.
1050	2. Guide the assistant to think about changes that could alter the
1051	model's prediction.
1052	original prediction
1053	4. Request the assistant to modify the original sentence so that
1054	the model's prediction changes.
1055	5. Instruct the assistant to explain the modification's rationale,
1056	focusing on why the modified sentence now influences a different
1057	6 Ensure the output is formatted in the specified JSON structure
1058	<pre></pre>
1059	
1060	<instructions></instructions>
1061	Your task is to analyze and modify a sentence to influence the
1062	topic an input sentence, the specific words predicted by the
1063	model, and the model's first predicted word.
1064	
1065	Here is the topic and input sentence to modify:
1066	<topic>{\$TOPIC}</topic>
1067	<pre><iipuc_sences(\$iutol_sentence)< pre=""></iipuc_sences(\$iutol_sentence)<></pre>
1068	Here are the words generated by model given the input sentence:
1069	<predicted_content>{\$PREDICTED_CONTENT}</predicted_content>
1070	
1071	Here is the first predicted word:
1072	<pre><!--!!st_word_predicted-->{\$riks1_wokb_rkbbicibb}{/!!!st_word_predicted&gt;</pre>
1073	Follow these steps carefully to complete the task:
1074	
1075	1. **Analyze the Original Prediction**: Start by understanding the
1076	**input sentence** and wny it leads the model to predict the
1077	**topic**. Consider the context, tone, or structure of the sentence
1078	that prompts this specific word choice by the model.
1079	

1080	Prompt Template
1082	2
1083	**input sentence** minimally (by changing only 3-4 words) to alter
1084	the model's behavior so that it no longer predicts the original
1085	word or instead predicts a word with an opposite meaning.
1086	It's acceptable to change some of the sentence's meaning if it
1087	heips influence the output.
1088	3. **Provide Analysis and Modification**:
1089	- Write the **reason for the original prediction** based on
1090	your analysis in Step I. - Rewrite the tripput sentencett in a modified form that
1091	will change or flip the model's predicted word.
1092	
1093	- Explain your **reason for the modification**, focusing
1094	on now the changes you made will influence the model to predict a different word
1095	predice a arrierene word.
1096	<ol> <li>**Output the Final Result**: Format your response in JSON,</li> </ol>
1097	as shown below:
1099	· · · ison
1100	{
1101	"Reason for original prediction": "Explain why the original
1102	Input caused the model to predict the initial word.", "Modified input": "Write the modified sentence here "
1103	"Reason for modification": "Explain why the modified input
1104	will lead to a different prediction from the model."
1105	}
1106	Make sure each section is clear and precise. End your response
1107	with this JSON structure.
1108	
1109	

Table 6: Example of probing data  $X_r$  and counterfactual data  $X_c$  generated by GPT-4. Counterfactual data change the model (Gemma2-9B) prediction behavior by applying minimal change to the probing data.

Data	Model Input	Model Predict
$X_r$	Question: Kendall opened their mouth to speak and what came out shocked everyone. How would you describe Kendall? (1) a very quiet person (2) a very passive person (3) a very aggressive and talkative person Answer: Kendall opened their mouth to speak and what came out shocked everyone. Thus, Kendall is a very	aggressive
$X_c$	Question: Kendall opened their mouth to speak and what came out <b>was</b> softer than expected. How would you describe Kendall? (1) a very quiet person (2) a very passive person (3) a very aggressive and talkative person Answer: Kendall opened their mouth to speak and what came out was softer than expected. Thus, Kendall is a very	quiet

# 1134 A.6 More experimental result on Gemma2-9B

Figure 11 presents the decoding results of the Gemma2-9B model across four commonsense reasoning datasets. The following key observations can be made:

1138 1) The mechanisms of knowledge retrieval and reranking are observed in all datasets, with the decoded outputs from the attention layers containing both predicted attributes  $A_p$  and general attributes  $A_g$ .

2) In the StrategyQA and Winogrande datasets, the knowledge augmentation mechanism was identified, as attribute information was decoded from the shallow MLP outputs at the concept token
position. However, in SocialIQA (where shallow-layer spikes were observed, though with very low
magnitudes) and CommonsenseQA, this mechanism was not evident. We hypothesize that this absence is due to the explicit provision of the required knowledge within the question context, which
reduces the model's need to infer additional related knowledge.



Figure 11: Probing results of Gemma2-9B across four datasets (StrategyQA, WinoGrande, So-cialIQA, and CommonsenseQA). The mechanisms of knowledge retrieval and knowledge reranking are observed consistently across all datasets. However, the knowledge augmentation mechanism is absent in SocialIQA and CommonsenseQA (refer to Table 5 for examples), likely because the required knowledge is explicitly provided in the question context, reducing the need for the model to infer additional related knowledge.

### Table 7: We fine-tune Llama2-7B/13B on the CommonsenseQA dataset using supervised fine-tuning (SFT) and selectively supervised fine-tuning (SSFT). Here are the capabilities of models on four commonsense reasoning tasks (e.g., Winogrande, CSQA, StrategyQA, and SocialIQA) before and after tuning.

		ID Task	OOD Task								
		CSQA	Winogra	nde	Strate	egyQA	Socia	lIQA	Ave	rage	
Models	Tuned Params.	Acc. $\Delta$	Acc.	Δ	Acc.	Δ	Acc.	Δ	Acc.	Δ	
Llama2-7B + SFT + SSFT	6.7B 0.2B	61.1 - 72.3 +11.2 73.5 +12.4	62.5 57.8 -4 63.1 +0	- 4.7 0.6	53.4 53.5 56.2	+0.1 +2.8	60.2 55.7 63.2	-3.0 +3.0	58.7 56.2 61.8	-2. +3.	
Llama2-13B + SFT + SSFT	- 13B 0.5B	68.3 - 78.7 +10.4 79.4 +11.1	55.5 55.8 +( 57.1 +	- 0.3 1.6	66.0 64.8 67.2	-1.2 +1.2	67.9 64.1 70.1	-3.8 +2.2	63.1 61.5 64.8	-1. +1.	

Table 8: We fine-tune Llama2-7B/13B on the Winogrande dataset using supervised fine-tuning (SFT) and selectively supervised fine-tuning (SSFT). Here are the capabilities of models on four commonsense reasoning tasks (e.g., Winogrande, CSQA, StrategyQA, and SocialIQA) before and after tuning.

		ID	Task	OOD Task							
		Wino	grande	CS	QA	Strat	egyQA	Socia	lIQA	Ave	rage
Models	Tuned Params.	Acc.	Δ	Acc.	Δ	Acc.	Δ	Acc.	$\Delta$	Acc.	Δ
Llama2-7B + SFT + SSFT	6.7B 0.2B	53.4 74.3 74.3	+20.9 +20.9	61.1 56.8 64.9	-4.3 +3.8	62.5 64.3 63.2	+1.8 +0.7	60.2 59.0 63.2	-1.2 +3.0	61.3 60.0 63.8	-1.3 +2.5
Llama2-13B + SFT + SSFT	- 13B 0.5B	55.5 77.3 75.6	+21.8 +20.1	68.3 64.6 71.7	-3.7 +3.4	66.0 69.9 68.6	+3.9 +2.6	67.9 63.1 69.2	-4.8 +1.3	67.4 65.9 69.8	-1.5 +2.4

Table 9: We fine-tune Llama2-7B/13B on the SocialIQA dataset using supervised fine-tuning (SFT) and selectively supervised fine-tuning (SSFT). Here are the capabilities of models on four commonsense reasoning tasks (e.g., SocialIQA, CSQA, StrategyQA, and Winogrande) before and after tuning.

		ID Task	OOD Task								
		SocialIQA	CSQA	StrategyQA	Winogrande	Average					
Models	Tuned Params.	Acc. $\Delta$	Acc. $\Delta$	Αcc. Δ	Αcc. Δ	Acc. $\Delta$					
Llama2-7B	-	60.2 -	61.1 -	62.5 -	53.4 -	59.0 -					
+ SFT	6.7B	74.5 +14.3	65.9 +4.8	61.0 -1.5	52.6 -0.8	59.8 +0.8					
+ SSFT	0.2B	75.1 +14.9	66.4 +5.3	65.2 +2.7	55.8 +2.4	62.5 +3.5					
Llama2-13	В -	67.9 -	68.3 -	66.0 -	55.5 -	63.3 -					
+ SFT	13B	74.7 +6.8	67.2 -1.1	65.4 -0.6	53.5 - <b>2</b> .0	62.0 -1.3					
+ SSFT	0.5B	75.1 +7.2	70.7 +2.4	69.9 +3.9	55.8 +0.3	65.5 +2.2					

# 1242 A.7 MORE EXPERIMENTAL RESULT ON QWEN2.5-72B

We validated three key steps in the internal factual knowledge recall mechanism on Qwen2.5-72B:
first, the shallow MLP encodes relevant attribute information (knowledge augmentation); second,
the attention heads are responsible for transferring all knowledge to the predicted attribute token
position (knowledge retrieval); and finally, the MLP selects the final predicted attribute for output (knowledge reranking).



Figure 12: Probing results of Qwen2.5-72B on StrategyQA. The mechanisms of knowledge augmentation, retrieval, and reranking are observed.

Table 10: We fine-tune Qwen2.5-72B on the StrategyQA dataset using supervised fine-tuning (SFT) and selectively supervised fine-tuning (SSFT). Here are the capabilities of models on four commonsense reasoning tasks (*e.g.*, Winogrande, CSQA, StrategyQA, and SocialIQA) before and after tuning.

282			ID	ID Task OOD Task								
284			Strat	egyQA	CS	QA	Wino	grande	Socia	lIQA	Ave	rage
1285 1286	Models	Tuned Params.	Acc.	Δ	Acc.	Δ	Acc.	Δ	Acc.	$\Delta$	Acc.	$\Delta$
287	Qwen2.5-72B	-	86.9	-	84.1	-	78.7	-	78.1	-	80.3	-
1288	+ SFT	72B	90.5	+3.6	81.3	-2.8	77.3	-1.4	73.2	-4.9	77.6	-2.7
1289	+ SSFT	2.5B	90.0	+3.1	86.6	+2.5	79.0	+0.3	80.0	+1.9	81.9	+1.6
1290												

1290 1291

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### A.8 PROBING RESULTS ON LLAMA MODELS







Figure 14: Result from Llama2-13B: (a) Change information of predict attribute and general at-tribute when predicting the final attribute token. Attribute information contribution from (b) MLP layers and (c) attention layers. 

### 1350 A.9 PROJECTION OF KEY ATTENTION HEADS FOR CONCLUSION GENERATION

Projection of the key attention heads output when predicting the final conclusion token in Gemma2-9B.

Head	Top tokens from projecting to vocabulary
	space
31.3 (negative)	none, neither, nowhere, nothing, never, no,
	NONE, neither
26.9 (negative)	neither, contradicts, contradict, unlikely
31.0 (negative)	isn, cannot, wouldn, aren, is, never, doesn, not
31.3 (positive)	naturally, Naturally, future, later, Naturally,
	obvious, obviously
26.9 (positive)	obviously, umably, presumably, likely,
	probably, doubtless
31.0 (positive)	would, might, likely, would, probably, Would,
	expected

When predicting "Genesha is a Hindu god.", projecting the key attention heads output to vocabulary space, results of Gemma2-9B are shown below:

Head	Top tokens from projecting to vocabulary
	space
25.1	elephant, Elephant, elefante, religione,
	Elephants, Hindu, prayers
27.15	Asian, Asian, Chinese, Asia, Eastern, eastern
29.14	elephants, elephant, elef, India, Georgia,
	Maharashtra, Bombay, not
29.15	Hindu, Indian, India, Hindus, animals,
	Hinduism, certamen

# 1404 A.10 SAE RELATED DETAILS

1406

This study primarily uses SAE to investigate the information contained in the MLP and residual block outputs at the concept token position. Specifically, we selected the top 64 activated latents (Top-64) based on SAE activations. Since these latents include a substantial number of generalpurpose activations (e.g., those representing syntax, specific words, etc.), we employed GPT to automatically analyze whether these activated latents are related to the concept. The prompt used for this analysis is provided below.

1413 1414

1415 1416

### Prompt Template

1417 1418 I want to evaluate the relevance of a feature that activates on certain texts to the concept of `{concept}'. 1419 1420 You will be provided with a possible explanation of the feature, 1421 a set of texts where the feature has been activated, along with 1422 the most activated word(s) in each text. 1423 \$<\$Example\$>\$ 1424 Concept: `Environmental Protection' 1425 1426 Possible explanation of the feature: feature identifies 1427 texts related to protecting the natural environment. 1428 Activated texts and most activated word: 1429 must take action to reduce carbon emissions and combat 1430 climate change. | most activated word: emissions 1431 Deforestation is a major threat to biodiversity and 1432 contributes to global warming | most activated word: deforestation \$<\$/Example\$>\$ 1433 1434 \$<\$Expected Output\$>\$ 1435 The feature is highly relevant to the concept of environmental 1436 protection as it identifies texts discussing environmental 1437 issues and solutions. Relevance Score: 10 1438 \$<\$/Expected Output\$>\$ 1439 1440 Based on the given explanation of the feature and the activated 1441 texts, please rate the relevance of the feature to the concept 1442 of `{concept}' on a scale of 0 to 10. 1443 - 0: Not at all relevant, the feature is not related to the concept. - 5: Neutral, the feature is not directly related to the concept 1444 but share some common traits with the concept, e.g. apple 1445 and banana are both fruits. 1446 - 10: Very relevant, the feature is directly related to the concept. 1447 1448 Please conclude your response in the following format: 'Relevance Score: [SCORE]', where [SCORE] is an integer between 1449 0 and 10. 1450 1451 Here is the concept: {concept} and the explanation of the feature: 1452 1453 Concept: {concept} 1454 Possible explanation of the feature: {explanation} 1455 1456 Activated texts and most activated word: {texts} 1457 </Instructions>

# A.11 TRAINING SAE ON LLAMA2-7B

The training code for the Sparse Autoencoder (SAE) is derived from the open-source repository provided by OpenAI (https://github.com/openai/sparse\_autoencoder), which implements the Top-K activation function to maintain the sparsity of the latent representations. We conducted training of the SAEs using the MLP output obtained from layers 16 and 20 of the LLaMA2-7B model.

The training dataset comprises 2 billion tokens sourced from the Pile dataset, which are organized into sequences of 64 tokens each. Our SAEs are configured to utilize 512,000 latent variables, with the parameter K in the Top-K activation function set to 32. The training parameters include a tensor parallel size of 2, a data parallel size of 8, a batch size of 131,072, and a learning rate of 1.24e-4, which was determined using scaling laws based on the GPT-2 architecture. The SAE was trained for a 1 epoch.

It required approximately 5 hours using 64 A100 GPUs to compute the MLP output for the LLaMA2-7B model across the 2 billion tokens. The training of the SAE itself necessitated around six hours with the utilization of 16 A100 GPUs.

### A.12 MORE CASES AND ANALYSIS OF RECALLED FEATURES ON STRATEGYQA FROM LLAMA2-7B

In this part, more cases of recalled features in Llama-7B are presented in Fig. 15 (a), which corre-1478 sponds to Layer 20 Fig. 1. We can see that the recalled top features are related to the key concept 1479 in input. Furthermore, to compare the precision of recalled features among with/without chain-of-1480 thought, and the proposed SSFT on commonsense reasoning, we collect all the top-4 SAE tokens 1481 from the rank1 - 3 features of MLP 20 in Llama-7B, and then utilize the GPT-40 to judge if these 1482 features are the correct attributes of the input concept. Corresponding precisions are presented in 1483 Fig. 15 (b), we can see that with CoT and SSFT, the precision of recalled features are more relevant 1484 to the input concept. 1485



Figure 15: (a) More cases on Llama2-7B that use SAE to explain the MLP information on StrategyQA. (b) Precision of top 3 recalled features under three settings, without CoT prompt, with CoT prompt, and with CoT prompt after SSFT.

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