### **000 001 002 003 004** DECIPHERING AND ENHANCING COMMONSENSE REASONING IN LLMS FROM THE PERSPECTIVE OF INTRINSIC FACTUAL KNOWLEDGE RETRIEVAL

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## ABSTRACT

Commonsense reasoning in large language models (LLMs) bridges the gap to physical world, thus allowing them to think and behave more like humans. Previous research has shown that LLMs acquire the underlying factual knowledge from extensive training corpora and store it within their parameters. However, how LLMs apply this knowledge during the inference phase remains unclear. This lack of transparency makes it difficult to determine whether shortcomings in LLMs are due to a lack of factual knowledge or insufficient reasoning capabilities. In this work, we aim to decipher the commonsense reasoning process into humanunderstandable steps. By interpreting the hidden states in different transformer layers and token positions, we uncover a specific mechanism by which LLMs execute reasoning. Our extensive experiments indicate: 1) both attention head and multi-layer perceptron (MLP) contribute to the generation of factual knowledge from different perspective. 2) The process of commonsense reasoning in LLMs involves a clear sequence of knowledge augmentation, broadcast, retrieval, reranking, and answer generation. Building on these findings, we have discovered that LLMs often contain relevant facutal knowledge but fail to retrieve the correct knowledge at top. To address this issure, we selectively fine-tuned the key heads and MLPs, resulting in notably improvements in reasoning performance in both in-domain and out-of-domain settings.

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## 1 INTRODUCTION

**034 035 036 037 038 039 040 041 042 043** Commonsense reasoning is a human-like ability to make presumptions about the type and essence of ordinary situations humans encounter every day [\(Wikipedia contributors, 2023\)](#page-12-0). It is the key for human to interact with the world, and also the bridge for AI systems to reason about the world as humans [\(Wei et al., 2022;](#page-12-1) [Talmor et al., 2022;](#page-12-2) [Bhargava & Ng, 2022a\)](#page-10-0). Recent Large Language Models (LLMs) have demonstrated impressive abilities in commonsense reasoning, particularly when employing the chain-of-thought technique [\(Wei et al., 2022;](#page-12-1) [Wang et al., 2022;](#page-12-3) [Saparov & He, 2022\)](#page-12-4). These models can answer complex questions about world knowledge with high accuracy and even offer suggestions for everyday human activities. However, they often struggle with some basic commonsense aspects, such as reversing curses [\(Berglund et al., 2023\)](#page-10-1), which poses challenges to users trusting their results. Therefore, understanding how models perform commonsense reasoning is vital for developing AI that is both transparent and reliable.

**045 046 047 048 049 050 051 052 053** To unravel the commonsense reasoning capabilities of LLMs, existing studies have explored how the parameters of these models encode factual knowledge, which is derived from extensive training corpora [\(Akyurek et al., 2022;](#page-10-2) [Li et al., 2022;](#page-11-0) [Petroni et al., 2019;](#page-11-1) [Roberts et al., 2020;](#page-11-2) [Allen-Zhu &](#page-10-3) ¨ [Li, 2023\)](#page-10-3). However, the underlying mechanism of how this knowledge is applied during inference is still a mystery. This uncertainty makes it difficult to determine whether errors in commonsense reasoning stem from a lack of knowledge or from flawed understanding. For instance, if a model mistaken that *Raclette and Switzerland are unrelated*. This could either be because it lacks the knowledge that *Raclette is a Swiss dish* or because it favors the perception of *Raclette is a cheese, and cheese originates from Middle East*. Motivated by this, we aim to reverse engineer the intrinsic mechanism in LLM, and decipher the commonsense reasoning process of LLMs into steps that are understandable to humans. In this way, we can better understand why models produce certain

<span id="page-1-0"></span>**Knowledge Retrieval Knowledge Reranking Knowledge Broadcast Knowledge Augmentation** Layer 8-12 Layer 20-25 Layer 25 Layer 25-35 Layer 35-41 Question <del>( Conservation )</del> Present 165 | Head 18.8 | Head 28.9 | Head 28.3 | Head 28.4 | Cosine similarity heatween MI Pout Head 16.5 Head 19.8  $MLP$  25 Head 26.3 | Head 26.4 Cosine similarity between MLP out MLP 10 and answer token embedding Q:<br>Yes<br>or<br>no:<br>Can<br>you<br>**Raclette**<br>in<br>head<br>quarters<br>city? ſļ ⇩ Sparse Sparse Autoencoder Autoencoder (SAE) (SAE) **Raclette** in **Raclette** in End of End of question output **Question Rationale** Swiss | Switzerland | Specialty foods Ŀ Rank 1 feature: Switzerlands | Puting | Q: Yes or no: Can you get Augmentation Relevant of answer 圝 Probing head output Turin | Regeni | Milan | Raclette in YMCA headquarters Ingredients & cooking Locations city? UBS | Hofer | Italian | ... A: Raclette is a Swiss dish. The French | France | Swiss | France | 晶门 YMCA headquarters is in Switzerland | Europe | Cook | ..... European countries Rank 2 feature w/ CoT Rank 2 feature w/o CoT Switzerland. Thus, you can get Candidate attributes Raclette in Switzerland. So the answer is no. related to **Raclette** cheese A: **Relevant foods** Irrelevant features

**069 070** Figure 1: Deciphered commonsense reasoning process in LLMs. The five stages of the process are depicted through the example of addressing a reasoning question, as presented in the leftmost column, while the corresponding generated answer is showcased in the rightmost column, utilizing the Gemma2-9B model. The detailed depiction of these stages is presented sequentially from left to right in the central columns, corresponding to the processing order along with the associated layers. This figure is best viewed when zoomed in.

**Raclette** is a **Swiss** dish. The YMCA headquarters is in Thus, you can not get Raclette in Switzerland. So the answer is **no**.

**075 076** outputs or fail to generate correct answers, and we can enhance the model's reasoning capabilities in a targeted and rational manner.

**077 078 079 080 081 082 083 084 085 086 087 088 089 090 091** In this study, we leverage a variety of analytical methods including path patching [\(Wang et al.,](#page-12-5) [2023a\)](#page-12-5), the Logit Lens [\(nostalgebraist, 2021\)](#page-11-3), and SAE [\(Lieberum et al., 2024\)](#page-11-4) to analyze the behavior of models from multiple dimensions. Given that commonsense reasoning is integral to the whole sequence of the rationale, our focus shifts toward examining the interrelationships between different tokens rather than delving into the details of individual token generation. To achieve this, we have designed an "Interpreting Module" that automates the analysis of how models produce individual tokens. Inspired by [Bills et al.](#page-10-4) [\(2023\)](#page-10-4) on interpreting GPT-2 using GPT-4, we also utilize GPT-4 to analyze results from Path Patching, Logit Lens, and SAE. Through comprehensive experiments, we summarized a five-stage reasoning process for factual knowledge recall, shown in Fig. [1,](#page-1-0) including knowledge augmentation, broadcast, retrieval, reranking, and finally answer generation. Specifically, LLMs first evoke related factual knowledge for augmentation. The knowledge is retained within the hidden states at each token position in the whole rationale. When predicting the key content in rationale that require commonsense reasoning, the knowledge is retrieved to provide supporting evidence. It is first recalled by attention heads and then re-ranked by multi-layer perceptrons (MLPs). At the end of rationale, the conclusion such as ··yes/no" is derived and stored in the hidden states. Finally, the answer is transferred through the heads into the output.

**092 093 094 095 096 097 098 099 100** Building on these five stages, we identified that LLMs' failing to answer correlates with the issue of knowledge retrieval and reranking. The models misinterpret key words in the context, leading to the failure of attention heads to recall and MLPs to re-rank the correct factual knowledge at the top position. To address this problem, we fine-tuned specific heads for knowledge retrieval and MLPs for reranking, enhancing the model's ability to recall the correct knowledge, and thereby improving its reasoning performance. Experimental results demonstrate that fine-tuning less than 10% of parameters, compared to a full model fine-tuning, leads to a notable performance enhancement, especially for out-of-domain settings. This selective adjustment strategy exhibits superior performance, further validating the understanding and explaining of the reasoning process in models.

**101 102 103 104 105 106 107** We summarize our contributions as follows: (1) We focus on interpreting the process of commonsense reasoning within LLMs into steps that are comprehensible to humans. Through experimental analysis, we found that LLMs augment related factual knowledge as a form of database, subsequently retrieving and re-ranking key tokens during prediction, and finally generating conclusions and answers. (2) Building on the above observations, we further identify that on commonsense reasoning tasks, LLMs often fail to retrieve correct knowledge, leading to erroneous reasoning or conclusions. By selectively fine-tuning key heads and MLPs, the performance of reasoning is enhanced, especially for out-of-domain samples. It validates the reliability of the interpreting results.

### **108** 2 RELATED WORK

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**111 112 113 114 115 116 117 118** Commonsense reasoning. Machine common sense, or the ability to comprehend and reason about an open-ended world, has long been recognized as a crucial aspect of natural language understanding [\(Bhargava & Ng, 2022b;](#page-10-5) [Sap et al., 2020\)](#page-11-5). With the advent of large language models, there has been a significant leap in the reasoning capabilities of deep learning models, especially with the adoption of Chain of Thought (CoT) techniques. This has propelled the enthusiasm for understanding and advancing reasoning abilities to new heights. In this paper, we focus specifically on commonsense reasoning. Unlike temporal and numerical reasoning, which often emphasize a more symbolic approach, commonsense reasoning explores the connections between events or entities, enhancing our understanding of how large language models perceive and interpret the world.

**119 120 121 122 123 124 125 126 127** Large language models (LLMs). Recent advancements in Large Language Models (LLMs) have led to remarkable performance across various Natural Language Processing (NLP) tasks. Although some commercial LLMs, such as GPT-3.5 [\(Brown et al., 2020\)](#page-10-6) and GPT-4 [\(OpenAI, 2023\)](#page-11-6), are closed-source, the growing number of open-source LLMs is achieving comparable results. Llama series [\(Touvron et al., 2023\)](#page-12-6) and Gemma series [\(Team et al., 2024\)](#page-12-7) are two families of open-source LLMs that exhibit remarkable proficiency in NLP tasks. Our experiments are conducted on four pretrained language models, Llama2-7B, Llama2-13B, Gemma2-9B, and Qwen2.5-72B [\(Qwen Team,](#page-11-7) [2024\)](#page-11-7). The model weights for these architectures are openly accessible on HuggingFace. In performance evaluation, all these models exhibit remarkable proficiency in reasoning NLP tasks.

**128 129 130 131 132 133 134 135 136 137** Mechanistic interpretability of Large Language models. Despite their impressive capabilities, large language models' internal mechanisms remain largely underexplored. A predominant theme is the identification of specific layers and neurons responsible for knowledge storage [\(Meng et al.,](#page-11-8) [2022;](#page-11-8) [Dai et al., 2021;](#page-10-7) [Geva et al., 2023\)](#page-11-9). Recent studies have introduced and refined the "path patching" approach to identify critical components in models, including GPT-2 small (0.1 billion parameters) and Chinchilla, for tasks like indirect object identification and multiple-choice questions [\(Wang et al., 2023b\)](#page-12-8). This method, inspired by causal mediation analysis, involves perturbing component inputs and observing the resulting changes in model behavior, has been successfully extended to various tasks and larger models, demonstrating its broad applicability and scalability [\(Goldowsky-Dill et al., 2023;](#page-11-10) [Hanna et al., 2023;](#page-11-11) [Lieberum et al., 2023;](#page-11-12) [Conmy et al., 2023\)](#page-10-8).

**138 139 140 141 142 143** A significant gap exists in LLM interpretability research, particularly in understanding the key components enabling complex tasks like reasoning. The complexity of CoT reasoning tasks makes it challenging to design a unified symbolic causal model [\(Geiger et al., 2023\)](#page-10-9). This work uses the path patching method to identify crucial attention heads/MLPs responsible for CoT reasoning in LLMs. To validate these findings, we employ a "knockout" experiment, comparing the full model's behavior to a model without the specific head, as inspired by previous work [\(Wang et al., 2023b\)](#page-12-8). t

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# 3 METHOD

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3.1 PRELIMINARY

**149 150 151 152 153** In LLMs, commonsense reasoning is a multi-token generation process, including rationale and answer. Based on the construction of Subject-Verb-Object triplets (SVO) [\(Speer et al., 2017\)](#page-12-9) used in the StrategyQA [\(Geva et al., 2021\)](#page-11-13) and CSQA [\(Wikipedia contributors, 2023\)](#page-12-0) datasets, we identify three key positions in the model generation: Concept, Attribute, and Response tokens. These tokens are observed special in experiments, and therefore we highlight them for better comprehension.

**154 155 156 Concept**  $(C)$ : The subject of inquiry in the question; this is a concept node in a knowledge graph, representing any entity, idea, or object relevant to commonsense (e.g., "Ganesha" in Figure [2.](#page-3-0))

**157 158 159 160 Attribute** (A): The object, which is paired with C as SVO to contain some knowledge, is also a concept node. These attributes, according to their relevance as accurate knowledge for the question, can be categorized into predicted attributes  $A_p$  (e.g., "Ganesha is a *Hindu* god") and general attributes A<sup>g</sup> (e.g., "Ganesha is recognized by his *elephant* head and four arms").

**161 Response**  $(R)$ : The answer to the question, which can vary depending on the type of question. It may be a binary judgment (e.g., "yes/no"), a selection (e.g., "(2) Kayla"), or a free-form text.

### <span id="page-3-1"></span>**162** 3.2 INTERPRETING MODULE

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**175 176 177 178** To understand how the answer is generated, we begin by extracting information from the evaluated data and the interpreted LLM, including  $\mathcal{R}, \mathcal{C}, \mathcal{A}_p$  and  $\mathcal{A}_q$ . These tokens serve as the observed anchors to assist the understanding of the mechanism within LLMs. This extraction can be performed using GPT-4 (prompt in Appendix [A.3.2\)](#page-14-0) or manual methods. (see Appendix [A.4](#page-18-0) for examples.)

**179 180 181** Since the rationale and the answer are recursively generated, it is hard to investigate both the relation across different tokens and the patterns in the token generation simultaneously. Therefore, as illustrated in Figure [2,](#page-3-0) we divide our interpretation process into two orthogonal pipelines.

**182 183 184 185** Trace token-to-token path: The first is the horizontal pipeline, which traces the path of tokens, from the end to the start. For example, tracing from  $R$  to  $A$  then to  $C$ . Through causal back tracing within LLMs, it reveals the relationships across the sequence of tokens.

**186 187 188 189** Decode parametric concept or attribute: The second pipeline, shown vertically, analyzes the patterns within LLMs when generating a specific token, including inner behaviors and activation characteristics. It first identifies and localizes the modules (e.g., attention heads and MLPs) that are related to the target content (e.g.,  $\mathcal{R}$ ,  $\mathcal{C}$ ,  $\mathcal{A}_p$  and  $\mathcal{A}_q$ ). Subsequently, it decodes the semantic information and patterns encoded in these modules into human understandable formats.

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# 3.3 INSTANTIATION OF INTERPRETING MODULE

**193 194 195 196 197 198 199 200 201 202 203 204** Instantiation of tracing token-to-token path. We employ Path Patching [\(Wang et al., 2023a\)](#page-12-5) as an effective tool for causal back tracing. This method originates from causal mediation analysis [\(Vig](#page-12-10) [et al., 2020\)](#page-12-10), where the results of direct effect enable us to identify the significant heads. Heads with the Top-10 direct effect are considered contributors to generating a token. By examining the attention patterns in these important heads, previous tokens with attention scores greater than 0.2 are regarded to have high correlation with current token. These tokens are the targets for tracing. This process can then be iteratively applied to discover the transition path across tokens. Path Patching relies on high-quality counterfactual data, which is paired with original data to calculate the direct effect for each head. It must be carefully designed to change specific semantics within a sentence minimally, without disrupting other narrative settings. We automatically generate this counterfactual data by GPT-4 (Further details are available in Appendix [A.5\)](#page-19-0), achieving consistency comparable to human-generated data. (See Appendix [A.3\)](#page-14-1) for comparison results.)

**205 206 207 208 209 210 211 212 213 214 215** Instantiation of decoding parametric concept or attribute. We use Logit Lens [\(nostalgebraist,](#page-11-3) [2021\)](#page-11-3) to localize the modules that contain target information. This approach is able to project hidden states directly into the vocabulary space using the model's pretrained unembedding matrix. It reveals the information contained in current hidden states and explains the contribution of specific heads or MLPs or residual blocks to the predicted token. Specifically, we calculate the softmax probability of the observed tokens ( $A_p$ ,  $A_q$  or  $R$ ) after projecting the hidden states to vocabulary space. The probabilities across layers will form the curves (see examples in Figure [4\)](#page-5-0), where layers exhibiting extreme values are identified for further analysis. For MLP, we adopt Sparse Autoencoder (SAE) [\(Gao et al., 2024\)](#page-10-10) to decode the semantic information embedded in the parameters and activations. (e.g., Information related to "Hindu" is decoded in MLP of layer 8 when feeding "Ganesha" to the model.) Based on dictionary learning, SAE translates the internal hidden states of LLMs into several interpretable pieces, or termed latents. These latents are activated by specific token sequences, and most can be translated by GPT-4 into concrete semantic descriptions. Regarding

**216 217 218 219 220 221** attention heads, we use probing to decode the semantic information. We project the outputs of the heads into the vocabulary space and examine the top- $K$  tokens in the head's output distribution to decode the semantic information. Specifically, we calculate the proportion of tokens in the top- $K$ that are correlated with the observed token.(e.g. "elephant" and "deity" are considered correlated with the observed token "Hindu"). If the proportion exceeds a pre-set threshold, we presume this head encodes concept-related attributes.

## 3.4 VERIFICATION OF INTERPRETING RESULTS

**225 226 227 230 231 232** To verify the mechanism found by interpreting module, we adopt selectively supervised fine-tuning on the identified modules. Following the method proposed in [Zhang et al.](#page-12-11) [\(2024\)](#page-12-11), we directly use the same settings without modification for effective verifications. Given a sequence of attention heads ordered by their significance, denoted as  $(l_1, h_1), (l_2, h_2), \ldots$ , where  $l_i$  represents the layer index and  $h_i$  represents the head index of the  $i^{th}$  ranked head, only top K heads are exclusively updated during fine-tuning. We optimize both the corresponding input mapping matrix  $\{W_{l_1}^{h_1}, W_{l_2}^{h_2}, ..., W_{l_K}^{h_K}\}$  and the output mapping matrix  $\{O_{l_1}^{h_1}, O_{l_2}^{h_1},..., O_{l_K}^{h_K}\}$  in top K heads simultaneously. For the selected MLP layer, we update all parameters in this layer.

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# <span id="page-4-1"></span>4 EXPERIMENTS

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## 4.1 EXPERIMENTS OVERVIEW

**238 239 240 241 242 243 244 245** As presented in Section [3.2,](#page-3-1) we start from the end token position (i.e., the position of Response  $\mathcal{R}$ ). At the position of  $R$ , we decode the parametric concept during response generation and causally trace back to the previous token position (i.e., the position of attribute  $A$ ). We term this process as **answer generation**( $\S 4.2$ ). Trace back to the position of  $A$ , where an analysis of the predicted attribute's generation revealed the mechanisms of **knowledge retrieval** and **reranking** ( $\S 4.3$ ). Further tracing the source of attribute information led to the position of concept  $C$ , uncovering the mechanisms of **knowledge augmentation** and **knowledge broadcast** $(\S 4.4)$ . After interpreting the mechanism behind commonsense reasoning, we employed SSFT ([§4.6\)](#page-8-0) to validate the mechanism.

**246 247 248 249 250 251 252 253** Models To explore the internal mechanisms of large language models (LLMs), we conducted experiments on open-source models, selecting diverse architectures and sizes to ensure the robustness and generalizability of our findings. Specifically, we employed Gemma2-9B [\(Team et al., 2024\)](#page-12-7), Llama2-7B [\(Touvron et al., 2023\)](#page-12-6), and Qwen2.5-72B [\(Qwen Team, 2024\)](#page-11-7) The results in the Section [4](#page-4-1) primarily focus on Gemma2-9B, as Sparse Autoencoders (SAEs) have been trained for all its layers (including residual and MLP layers) [\(Lieberum et al., 2024\)](#page-11-4), enabling comprehensive validation of our analyses. Additional results for Llama2-7B and Qwen2.5-72B are provided in Appendix [A.8](#page-24-0) and Appendix [A.7,](#page-23-0) respectively.

**254 255 256 257 258 259 260 261** Datasets Commonsense reasoning is inherently abstract, encompassing diverse question types and linguistic expressions. To explore the factual knowledge recall mechanism of large language models (LLMs), we selected four widely used commonsense reasoning benchmark datasets: StrategyQA [\(Geva et al., 2021\)](#page-11-13), CommonsenseQA [\(Talmor et al., 2018\)](#page-12-12), WinoGrande [\(Sakaguchi et al.,](#page-11-14) [2021\)](#page-11-14), and **SocialIQA** [\(Sap et al., 2019\)](#page-11-15). The results are primarily reported on the StrategyQA dataset, with results for the other three datasets provided in Appendix [A.6.](#page-21-0) All metrics and curves are averaged over 100 samples. Few-shot prompts from [Wei et al.](#page-12-1) [\(2022\)](#page-12-1) and [Li et al.](#page-11-16) [\(2024\)](#page-11-16) are adopted to elicit model's reasoning abilities.

### <span id="page-4-0"></span>**262 263** 4.2 ANSWER GENERATION

**264 265 266 267 268 269** Considering examples from StrategyQA where the response  $\mathcal R$  is "yes" or "no", we decode the correct answer and incorrect answer information in MLP outputs. As shown in Figure [3](#page-5-2) (a), the curve of MLP in layers 0–33 contain almost no information related to the  $R$ . However, in layers 34 and 37, the probability of the correct response exhibits a sharp increase, with two distinct spikes, while the probability of incorrect response remains unchanged. Similarly, we analyzed the attention curve (Figure [3](#page-5-2) (b)) and found that in layers 0–31, there is minimal response-related information. However, in layers 32–35, the probabilities of both correct and incorrect responses increase significantly and

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**280 281 282 283 284 285 286** Figure 3: Probing result at answer generation position: (a) Probabilities of correct and incorrect answer of MLP outputs across layers, showing dominant information of correct answer in deep layers. (b) Probabilities from attention layers show entangled information of different types of answers in the output. (c) Information decoded in the key MLP is directly related to the correct answer. (d) Key attention head for answer generation mainly attends to the position of  $A$ . (e) Key attention heads for answer generation are located in layers 25-37. These findings highlight the answer generation process, where head aggregate options and MLP select the answer to output.

**287 288 289** are relatively close in magnitude. Based on these observations, we conclude that during response generation, the attention mechanism is responsible for aggregating all plausible answer options, while the MLP ultimately selects the final response to output.

**290 291 292 293 294 295** Then we used Sparse Autoencoder (SAE) to analyze the information encoded in layers 34, 37. As for the sample of "Ganesha is a Hindu god ..." with correct response as "no", we discovered numerous latents related to negation. Specifically, in layer 34, we identified a latent corresponding to *References to expressions of negation in natural language, such as "no" and "not"*, shown in Figure [3](#page-5-2) (c). These findings provide additional evidence supporting the critical role of the MLP in the answer generation process.

**296 297 298 299 300 301 302 303** At last, we identified the key attention heads responsible for generating the conclusion and traced their information sources. These heads are concentrated in layers 25–37 (Figure [3](#page-5-2) (e)) and primarily focus on the position of  $A$  (e.g. "Hindu") within the rationale (Figure [3](#page-5-2) (d)). Despite the primary focus, we also observed some minor attentions concentrated on reasoning-related tokens (e.g., "thus" and "so"). We probe these positions through Logit Lens and found they already contain information about the correct answer (i.e., "no"). In addition, back tracing these reasoning-related tokens, the primary focuses are also "Hindu". Therefore, our investigation continuously traces back to the position of A prediction.

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## <span id="page-5-1"></span>4.3 KNOWLEDGE RERANK AND RETRIEVAL

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**312 313 314 315 316 317** Figure 4: Probing results at the attribute prediction position: (a) Probabilities of the predicted attribute  $A_p$  and general attributes  $A_q$  of residual block outputs across layers, showing an alternating pattern in their relative importance in layers 28–40. (b) Probabilities from MLP outputs, primarily aligned with  $A_p$ . (c) Probabilities from attention outputs, contain both  $A_p$  and  $A_q$ . These results highlight the reranking mechanism, where MLP layers in the mid-to-late stages (28–38) dominate attribute selection.

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**319 320 321 322 323** The attribute information A decoded in residual block outputs, MLP outputs and attention outputs are shown in Figure [4.](#page-5-0) In residual block curve, the attribute information begins to emerge at around layer 30. However, the predicted attribute  $A_n$  is not dominant in the first place, as the probabilities of  $\mathcal{A}_p$  and general attribute  $\mathcal{A}_q$  increase alternately, with  $\mathcal{A}_p$  gradually surpassing  $\mathcal{A}_q$  at around layer 37. In MLP curve, attribute information is only evident in layers 30–37 (with probabilities close to 0 in the rest of the layers). Within these layers, it's clearly observed that  $A_p$  is prominently **324 325 326** represented, while  $A_q$  remains minimal. For the attention curve, in layers 25–40,  $A_p$  and  $A_q$  starts to interleave, showing no explicit dominance in between.

**327 328 329 330 331 332 333** From the observations above, we can conclude a key finding: the MLP is responsible for enhancing the probability of  $A_p$  (which we termed **knowledge reranking**.) and finally generating  $A_p$  in attribute prediction. To validate our finding, we look into the MLP output using SAE. Specifically, we examine the features whose explanations are semantically related to both  $A_n$  and  $A_q$ . The results are shown in Figure [5](#page-6-1) (a). These features strongly represent Hindu-related attributes, but none of which is related to the general attribute  $A<sub>g</sub>$ . This further verifies our finding that the MLP contributes to answer generation by amplifying  $A_n$  only.

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**341 342 343 344** Figure 5: Comparison of the information encoded in the key MLP (a) and attention heads (b) responsible for knowledge retrieval. It is observed that the MLP outputs are directly related to the final predicted attribute, whereas the attention head outputs contain various attributes associated with the concept.

**345 346 347 348 349 350 351 352 353** Next, to understand what happens in the intertwined emergence of  $A_p$  and  $A_g$  in attention outputs, we conduct further analysis with head localization and probing. We first confirm that the most influential attention heads are localized after layer 25. The outputs of these heads encode a rich set of attribute information relevant to the concept (e.g., *elephant* and *Hindu* in the context of *Ganesha* as shown in Figure [5](#page-6-1) (b)). Given that these attention heads operate earlier than the layers where information of  $A_p$  appears in the MLP (layer 30), we propose the following attribute prediction mechanism: attention heads in the intermediate layers first aggregate all relevant attributes (both  $A<sub>p</sub>$ and  $A_{q}$ ) through a process of termed **knowledge retrieval**. Subsequently, the MLP ranks these attributes according to their relevance and selects  $A_p$  for the final output (i.e., **knowledge reranking**).



<span id="page-6-2"></span>**361 362** Figure 6: Heads pattern for knowledge retrieval in Gemma2-9B: mainly attends to the position of concept and question end.

**363 364 365 366** Finally, we find these attention heads focus on two critical token positions, as shown in Figure [6:](#page-6-2) the position of C and the position of question end. For example, head 25.1 exhibits average attention scores of 0.62 and 0.22 at the position of  $C$  and question end, respectively. Therefore, we trace back to the position of  $\mathcal C$  to investigate the origin of  $\mathcal A$ .

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## <span id="page-6-0"></span>4.4 KNOWLEDGE AUGMENTATION AND BROADCASTING

**370 371 372 373** From the position of the  $A$ , we further back-trace to the positions of the  $C$  and the Question End. Generally, in commonsense reasoning datasets, the  $C$  always appears in both the question and the rationale. Through analysis, we observe that the  $C$  in the rationale can also back-traced to the  $C$  in the question. Therefore, we treat the position of  $C$  in the question as a focal point for deeper analysis.

**374 375 376 377** Figure [7](#page-7-0) illustrates the information curves decoded in the outputs of residual block, MLP and attention during the generation of C, relative to the predicted attributes  $A_p$  and general attributes  $A_q$ . Notably, we observe that: 1) In residual curve, it contains obvious information regarding both  $A_p$ and  $A_g$  across various layers, with  $A_g$  being more prominent than  $A_p$  at the end. 2) another two curves show that both MLPs and attention heads have large influence on  $A_g$  and  $A_p$ . To further

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<span id="page-7-1"></span>Figure 7: Decoding results of residual block (a), MLP (b), and attention (c) at the position of concept C. Corresponding to the knowledge augmentation process: the attribute information in the shallow layer is encoded by MLP layers, which serve as the source information for knowledge retrieval.



Figure 8: Comparison of the information encoded in the key MLP (a) and heads (b) responsible for knowledge retrieval. It is observed that the MLP outputs are directly related to the final predicted attribute, whereas the attention head outputs contain various concept-related attributes.

validate the decoded information, as shown in Figure [8,](#page-7-1) we use SAE and Probing for investigation. Specifically, SAE identifies that MLPs in layers 7 and 32 identify latents related to "*references to Hindu deities and their attributes*". Meanwhile, Probing also reveals that heads in layers 29 and 39 rank the  $A<sub>g</sub>$  at top. In addition to diminishing the impact of the information from any previous token, we also examine the three corresponding curves at the position before  $C$  (for instance, "Question: **Is** Ganesha"). The results reveal that the information regarding  $A_p$  and  $A_g$  is virtually zero. It indicates that the emergence of  $A_p$  and  $A_q$  is indeed contingent upon the appearance of C and is independent of any previous tokens. In conclusion, both the MLP and heads play essential roles in assisting the model to associate and extend from C to related  $A_p$  and  $A_q$ . We refer to this stage, along with the contributions of the MLP and heads, as **knowledge augmentation**.

<span id="page-7-2"></span>

**416 417 418 419** Figure 9: Decoding results of the residual block (a), MLP (b), and attention (c) at the position of the question end. Knowledge movement are discovered based on the decoding result: question end position encodes rich attribute information, which is transported by the attention. MLP adjusts the ranking of  $\mathcal{A}_g$  and  $\mathcal{A}_p$ .

**420 421 422 423 424 425 426 427** Regarding the the question's end token position, Figure [9](#page-7-2) also presents the three corresponding curves. (1) In the residual curve, both  $A_p$  and  $A_q$  appear across multiple layers. On the contrary to concept token position,  $A_p$  has a greater presence than  $A_g$ . (2) The curves for the MLP and heads also encapsulate information about both  $A_p$  and  $A_q$ , and further enhance the importance of  $A_p$ . These observations indicate that even at seemingly unrelated token positions, the A corresponding to the  $\mathcal C$  (or the knowledge they encompass) can be broadcast. The original order of  $\mathcal A$  may shift based on the current context, ultimately influencing the generation of  $A_p$ . We term this stage as knowledge broadcasting.

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### 4.5 SUMMARY OF THE COMMONSENSE REASONING MECHANISM

**431** Based on the findings from the preceding subsections, we can summarize the complete mechanism of factual knowledge recall in commonsense reasoning tasks as follows:

**432 433 434 435 436 437 438 439 440 441** (1) Knowledge Augmentation: At the position of  $C$ , shallow-layer MLPs encode all kinds of concept-related attribute information into the residual stream. (2) **Knowledge Broadcasting**: At the question's end token position, the model aggregates information from  $C$  and reorganizes it based on the context. After that, the information at question's end token and  $\mathcal C$  are broadcast to following important tokens. (3) **Knowledge Retrieval**: At the position of  $A$ , attention heads gather attribute information from the position of  $C$  and the question end position. Then the information is transported to the attribute prediction position. (4) **Knowledge Reranking**: Also at the position of  $A$ , MLP layers select the most appropriate attribute  $\mathcal{A}_p$  from the retrieved candidates for prediction output. (5) Answer Generation: At the predict token position, attention layers aggregate information from A token position to draw the final conclusion.

**442 443 444 445 446 447 448 449** We conducted experiments on three additional commonsense reasoning datasets (CommonsenseQA, WinoGrande, and SocialIQA) and validated the mechanisms of knowledge retrieval and knowledge reranking across all of them. However, the phenomenon of knowledge augmentation was not prominently observed in the SocialIQA and CommonsenseQA datasets. We hypothesize that this is due to the explicit provision of the required knowledge within the question context, which diminishes the model's need to infer additional related knowledge. Please see Appendix [A.6](#page-21-0) for details. In addition, we validated the proposed reasoning process on the Qwen2.5-72B model. Detailed results can be found in Appendix [A.7.](#page-23-0)

# <span id="page-8-0"></span>4.6 SELECTIVE SUPERVISED FINE-TUNING ON COMMONSENSE REASONING-RELATED **COMPONENTS**

<span id="page-8-1"></span>

Figure 10: The distribution of the four types of errors encountered by Llama2-7B on StrategyQA. 1) Reference Error: The model retrieves irrelevant or wrong attributes. 2) Logic Error: incomplete reasoning steps. 3) Conclusion Error: reaches an incorrect answer but based on correct rationale. 4) Concept Error: incorrectly identifies the target concept for analysis. The prompt we use GPT-4 to assist classification is available in Appendix [A.3.3.](#page-16-0)

<span id="page-8-2"></span>Table 1: We fine-tune Llama2-7B/13B on the StrategyQA dataset using supervised fine-tuning (SFT) and selectively supervised fine-tuning (SSFT). Here are the capabilities of models on four commonsense reasoning tasks (*e.g.*, StrategyQA, CSQA, Winogrande, and SocialIQA) before and after tuning.



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**483 484 485** Failure Case Analysis. By examining instances where the model (Llama2-7B) provides incorrect responses, we identified four distinct error types on the StrategyQA task (specific cases for each type are shown in Fig. [10\)](#page-8-1): 1) Reference Error: The model retrieves attributes that are irrelevant to the question context or erroneous attributes; 2) Logic Error: The knowledge generated by the model

**486 487 488 489 490 491 492 493 494 495 496 497** is insufficient to support the conclusions drawn by the model; 3) Conclusion Error: The model reaches an incorrect answer despite having the appropriate reasoning knowledge; and 4) Concept Error: The model incorrectly identifies the target concept for analysis. Among these, the most prevalent error type is Reference Error (74%). Furthermore, we conducted probing to investigate the underlying causes of Reference Errors, specifically determining whether these errors resulted from incorrect reranking despite the model possessing the correct attributes, or from the model's lack of knowledge regarding the correct attributes. Experimental findings indicate that the majority of Reference Errors stem from reranking issues, as the correct knowledge is typically present within the model's top five predicted tokens. Consequently, following [Zhang et al.](#page-12-11) [\(2024\)](#page-12-11) and [Chen et al.](#page-10-11) [\(2024\)](#page-10-11), we aim to enhance the model's commonsense reasoning capabilities by strategically training the identified MLP and attention heads that contribute to completing commonsense reasoning tasks, thereby improving the model's ability to recall correct attributes.

**498 499 500 501 502 503** Experiment Setup. With the key attention heads and MLPs identified for generating attributes (refer to Section [A.8](#page-24-0) for details), we conduct the selective supervised fine-tuning (SSFT) experiment on StrategyQA task through only updating the parameter of selected heads and MLPs. Specifically, Following [Fu et al.](#page-10-12) [\(2023\)](#page-10-12) and [Huang et al.](#page-11-17) [\(2022\)](#page-11-17), each sample in our training data is organized with the format of "{Few-shot CoT prompt}  $Q:$  {Question}. A: {Rationale} {Answer}".

**504 505 506 507** We selectively fine-tune the top k attention heads (for knowledge retrieval) and top  $l$  MLP layers (for knowledge reranking) with a learning rate of  $1 \times 10^{-4}$  $1 \times 10^{-4}$  and a batch size of 32 for 2 epochs<sup>1</sup>. For supervised fine-tuning, a learning rate of  $1 \times 10^{-5}$  is utilized, while all other configurations remain consistent with SSFT training. Experiments are conducted on 8 NVIDIA A100 (80GB) GPUs.

**508 509 510 511 512 513 514 515 516 517 518 519 520** Experiment Results. The comparative results between SSFT and SFT are presented in Table [1.](#page-8-2) For the experiments of Llama2-7B on StrategyQA, both SSFT and SFT improved performance, achieving gains of  $+16.0\%$  and  $+14.8\%$ , respectively. While SFT shows a comparable enhancement for the StrategyQA task, it adversely affected performance on OOD tasks, with an average decrease of −2.7%. In contrast, SSFT continued to bolster the model's reasoning ability across all OOD commonsense reasoning tasks, improving the performance by an average of  $+4.6\%$ . These findings suggest that selectively fine-tuning a small fraction of key components of LLMs on commonsense reasoning can substantially boost performance on CoT tasks (in-domain) while maintaining generalizability (out-of-domain), highlighting the effectiveness of our previous exploration. A similar trend was observed in the Llama2-13B results. Through mechanism analysis of the model before and after SSFT, we further validate that SSFT enhances the model's knowledge retrieval and reranking capabilities. (See Figure [16](#page-28-0) for details. ). Additionally, we further validate the effectiveness of SSFT through training on three other datasets (Figure [16\)](#page-28-0) and training on a larger model (Qwen2.5-72B in Table [10\)](#page-23-1).

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# 5 CONCLUSION

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**525 526 527 528 529 530 531 532 533 534 535 536 537** In conclusion, our research sheds light on the intricate dynamics of commonsense reasoning within LLMs, revealing a structured process that parallels human cognitive reasoning. By meticulously analyzing the hidden states across various transformer layers and token positions, we identified a multi-faceted mechanism that integrates knowledge augmentation, retrieval, and answer generation—essentially resembling a retrieval-augmented generation framework. Our findings underscore the pivotal roles played by both attention heads and MLPs in the manifestation of factual knowledge, highlighting a dual approach to knowledge processing. Furthermore, our experiments demonstrated that while LLMs often possess relevant factual knowledge, they frequently struggle to retrieve the correct information during inference. Through selective fine-tuning of key components, we achieved notable enhancements in reasoning performance across diverse contexts, indicating that targeted adjustments can effectively optimize the reasoning capabilities of LLMs. This study not only contributes to a deeper understanding of LLM functionality but also offers actionable insights for improving their reasoning processes, paving the way for more sophisticated and human-like interactions with artificial intelligence systems.

<span id="page-9-0"></span> $1^1k = 32, l = 2$  for Llama2-7B and  $k = 64, l = 2$  for Llama2-13B

### **540 541** 6 ETHICS STATEMENT

**542 543 544 545 546** This paper presents work whose goal is to advance the field of mechanistic interpretability in LLMs. We use public natural language processing datasets and leverage open-source large language models for our experiments. Our code or method are not inherently subject to concerns of discrimination/bias/fairness, inappropriate potential applications, impact, privacy and security issues, legal compliance, research integrity or research practice issues.

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# A APPENDIX

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# A.1 COMPARISON WITH PRIOR INTERPRETING FACTUAL KNOWLEDGE WITHIN LLMS **STUDIES**

**708 709 710 711 712 713 714 715** Our work builds upon and extends existing studies on the interpretability of factual knowledge in large language models (LLMs), distinguishing itself in terms of the reasoning process, interpreting tools, and key findings. Previous studies, such as [Geva et al.](#page-11-9) [\(2023\)](#page-11-9); [Meng et al.](#page-11-8) [\(2022\)](#page-11-8), primarily focus on a reasoning process comprising knowledge augmentation and retrieval. These works employ tools like logit lens, knockout, and causal tracing to demonstrate that factual knowledge is stored in mid-layer MLPs and retrieved by attention heads. In contrast, our study introduces a novel reasoning step, knowledge reranking, which highlights how deep-layer MLPs refine retrieved information to prioritize relevant attributes for final predictions.

**716 717 718 719 720** Furthermore, while other studies such as [He et al.](#page-11-18) [\(2024\)](#page-11-18); [Yuksekgonul et al.](#page-12-13) [\(2023\)](#page-12-13) focus on distinguishing factual activation patterns or analyzing attention for entity retrieval, they do not provide a comprehensive multi-stage explanation of the reasoning process. Similarly, [Yu & Ananiadou](#page-12-14) [\(2024\)](#page-12-14) identifies knowledge storage in both attention heads and MLPs but lacks a discussion of how knowledge is effectively utilized in downstream tasks.

**721 722 723 724 725 726** In addition to the reasoning process, our work advances the toolkit for interpretability research by developing and applying novel tools like path patching and sparse autoencoder (SAE). These tools enable fine-grained, token-by-token analysis of realistically queried sentences, whereas prior studies often rely on template-based triplets and tools like logit lens alone. This methodological shift allows us to uncover mechanisms such as *knowledge augmentation, retrieval, and re-ranking* in a unified framework.

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# A.2 DETAILS OF INTERPRETING MODULES

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Table 2: Illustration of four interpreting modules with the input, output and examples.

<span id="page-14-1"></span> A.3 GPT-ASSISTED ANALYSIS A.3.1 COMPARE GPT-4 WITH HUMAN

 We conduct experiments to compare the "GPT-4" and "human validation" results. In the paper, GPT-4 is applied in: (1) Generation of  $X_c$  (counterfactual data) using GPT-4; (2) Generation of the analysis of key component behavior using GPT-4; (3) Identification of critical position (i.e. concept, attribute, and response).

 For all the scenarios, we engaged ten master's students specializing in Natural Language Processing as volunteers. Five students were manually executing all procedures, including generating  $X_c$ , analyzing key component behaviors, and developing data templates. The remaining students then compared their annotations with those generated by GPT-4 to judge which more accurately represented the component behavior. Overall, the results demonstrate that GPT-4 is highly accepted by human evaluators, with the combination of "GPT wins" and "Ties" exceeding 80%, underscoring its robust reliability. These indicate that *GPT-4's outputs are almost consistent with those generated by humans.*

Table 3: Comparison of differences between GPT-4 and human annotations



### <span id="page-14-0"></span>A.3.2 PROMPT FOR POSITION EXTRACTION

 

 We use the following prompt to assist in automatically extracting the concept, attribute, and response from the model's reasoning output.



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## Prompt Template for Extraction

Output:

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```json
{"concept": "Ganesha", "attribute": "Hindu god", "answer": "no"}
```
If any of the elements (concept, attribute, or answer) are unclear
or missing from the reasoning text, leave the corresponding value
blank in the JSON output (e.g., "concept": ""). Think carefully about
the text's structure to ensure accurate extraction of each component.
Write your JSON output immediately after analyzing the reasoning
process. Do not include additional explanations or commentary.
```
### <span id="page-16-0"></span>A.3.3 PROMPT FOR FAILURE CASE CLASSIFICATION

Prompt Template for Failure Case Classification

{"concept": "Ganesha", "attribute": "Hindu god", "answer": "no"} I am testing the accuracy of a large language model's responses on the multi-hop reasoning dataset, StrategyQA. Your task is to classify the errors in the model's answers based on specific error types. For each question, I will provide the input question, the model's answer, the correct answer and the reasoning steps needed for the correct answer. Your goal is to accurately classify the errors using the following four error types:

1. \*\*Entity Selection Error\*\*: This occurs when the model picks the wrong entity from the input, leading to incorrect reasoning in subsequent steps. # Example 1: Input: ```json { "question": "Are the majority of Reddit users familiar with the Pledge of Allegiance?", "model\_answer": "The Pledge of Allegiance is a pledge to the United States. Reddit is a social media site. Thus, the majority of Reddit users are not familiar with the Pledge of Allegiance. So the answer is no.", "correct\_answer": "yes", "decomposition": [ "What country do most Reddit users come from?", "What country is the Pledge of Allegiance associated with?", "Is #1 the same as #2?" ] } ```

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          Prompt Template for Failure Case Classification
          Classification: {"type": "Entity Selection Error", "explanation":
          "The model incorrectly selected Reddit as the entity
          it spoke about, while the correct entity for reasoning
          should be 'Reddit users.' Therefore, this question should
          be classified as an 'Entity Selection Error'".}
          2. **Knowledge Retrieval Error**: This occurs when the model
          retrieves irrelevant, incomplete, or incorrect knowledge,
          leading to flawed conclusions in the reasoning process.
          # Example 1:
          ...
          # Example 2:
          ...
          3. **Conclusion Misalignment Error**: This occurs when the
          model's reasoning steps are correct, but the final
          conclusion is wrong.
          # Example 1:
          ...
          4. **Reasoning Logic Error**: This occurs when the logical
          connection between the reasoning steps and the final
          conclusion breaks down. In this error, even if individual
          reasoning steps are correct, they fail to coherently lead
          to the intended conclusion, causing the reasoning process
          to result in an illogical or incorrect outcome.
          # Example 1:
          ...
          Instructions: If the error does not fit into any of these
          four categories, please suggest a new category with a clear
          explanation.
          For each input, I will provide the question, the model's answer,
          the correct answer, and the decomposition of reasoning steps.
          You should return your classification and a brief explanation as
          follows:
          ```json
          {"type": "Entity Selection Error" or "Knowledge Retrieval Error"
          or "Conclusion Misalignment Error" or "Incomplete Reasoning Error",
          "explanation": "Explain why this question belongs to the chosen
          category."}
          \ddot{\phantom{1}}Classficiation:
```
### <span id="page-18-0"></span>**972 973** A.4 EXAMPLES ON COMMONSENSEQA AND SOCIALIQA

**974 975 976** Table 4: Examples of Reasoning Cases from StrategyQA and WinoGrande Datasets. The answer is generated by Gemma2-9B.



<span id="page-18-1"></span>Table 5: Examples of Reasoning Cases from CommonsenseQA and SocialIQA Datasets. The answer is generated by Gemma2-9B. In CommonsenseQA and SocialIQA, the entities are often abstract names or professions with no specific meaning. Therefore, we treat the options in the context as attributes, the final predicted option as the predicted attribute, and the remaining options as general attributes.



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### <span id="page-19-0"></span>**1026 1027** A.5 PATH PATCHING DETAILS

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**1029 1030 1031 1032 1033** Counterfactual data generation We use GPT-4 to assist in automatically generating the counterfactual data required for path patching, with the prompt shown below. Additionally, we implement a post-processing step: if the predicted token for the counterfactual data matches the prediction for the data under investigation (which would fail to perturb the model's behavior), GPT-4 is prompted to regenerate the counterfactual data.

**1034 1035** Path patching metric We use the rate of change in the logits of the predicted token before and after perturbation as the metric for path patching.



different word.



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**1111 1112 1113** Table 6: Example of probing data  $X_r$  and counterfactual data  $X_c$  generated by GPT-4. Counterfactual data change the model (Gemma2-9B) prediction behavior by applying minimal change to the probing data.

the \*\*input\_sentence\*\* in a modified form that



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### <span id="page-21-0"></span> A.6 MORE EXPERIMENTAL RESULT ON GEMMA2-9B

 Figure [11](#page-21-1) presents the decoding results of the Gemma2-9B model across four commonsense reasoning datasets. The following key observations can be made:

 1) The mechanisms of knowledge retrieval and reranking are observed in all datasets, with the decoded outputs from the attention layers containing both predicted attributes  $\mathcal{A}_p$  and general attributes  $\mathcal{A}_q$ .

 2) In the StrategyQA and Winogrande datasets, the knowledge augmentation mechanism was identified, as attribute information was decoded from the shallow MLP outputs at the concept token position. However, in SocialIQA (where shallow-layer spikes were observed, though with very low magnitudes) and CommonsenseQA, this mechanism was not evident. We hypothesize that this absence is due to the explicit provision of the required knowledge within the question context, which reduces the model's need to infer additional related knowledge.

<span id="page-21-1"></span>

 Figure 11: Probing results of Gemma2-9B across four datasets (StrategyQA, WinoGrande, SocialIQA, and CommonsenseQA). The mechanisms of knowledge retrieval and knowledge reranking are observed consistently across all datasets. However, the knowledge augmentation mechanism is absent in SocialIQA and CommonsenseQA (refer to Table [5](#page-18-1) for examples), likely because the required knowledge is explicitly provided in the question context, reducing the need for the model to infer additional related knowledge.

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### **1189 1190 1191 1192 1193** Table 7: We fine-tune Llama2-7B/13B on the CommonsenseQA dataset using supervised fine-tuning (SFT) and selectively supervised fine-tuning (SSFT). Here are the capabilities of models on four commonsense reasoning tasks (*e.g.*, Winogrande, CSQA, StrategyQA, and SocialIQA) before and after tuning.



Table 8: We fine-tune Llama2-7B/13B on the Winogrande dataset using supervised fine-tuning (SFT) and selectively supervised fine-tuning (SSFT). Here are the capabilities of models on four commonsense reasoning tasks (*e.g.*, Winogrande, CSQA, StrategyQA, and SocialIQA) before and after tuning.

| <b>Models</b>                     | Tuned<br>Params.                         | <b>ID</b> Task<br>Winogrande |                    | <b>OOD</b> Task      |                                              |                      |                                              |                      |                                              |                      |                                    |
|-----------------------------------|------------------------------------------|------------------------------|--------------------|----------------------|----------------------------------------------|----------------------|----------------------------------------------|----------------------|----------------------------------------------|----------------------|------------------------------------|
|                                   |                                          |                              |                    | <b>CSQA</b>          |                                              | <b>StrategyQA</b>    |                                              | <b>SocialIOA</b>     |                                              | <b>Average</b>       |                                    |
|                                   |                                          | Acc.                         | Δ                  | Acc.                 | Δ                                            | Acc.                 | Δ                                            | Acc.                 | $\Delta$                                     | Acc.                 | $\Delta$                           |
| Llama2-7B<br>$+$ SFT<br>$+$ SSFT  | $\overline{\phantom{0}}$<br>6.7B<br>0.2B | 53.4<br>74.3<br>74.3         | $+20.9$<br>$+20.9$ | 61.1<br>56.8<br>64.9 | $-4.3$<br>$+3.8$                             | 62.5<br>64.3<br>63.2 | $+1.8$<br>$+0.7$                             | 60.2<br>59.0<br>63.2 | $\overline{\phantom{a}}$<br>$-1.2$<br>$+3.0$ | 61.3<br>60.0<br>63.8 | $-1.3$<br>$+2.5$                   |
| $Llama2-13B$<br>+ SFT<br>$+$ SSFT | $\overline{\phantom{a}}$<br>13B<br>0.5B  | 55.5<br>77.3<br>75.6         | $+21.8$<br>$+20.1$ | 68.3<br>64.6<br>71.7 | $\overline{\phantom{0}}$<br>$-3.7$<br>$+3.4$ | 66.0<br>69.9<br>68.6 | $\overline{\phantom{a}}$<br>$+3.9$<br>$+2.6$ | 67.9<br>63.1<br>69.2 | $\overline{\phantom{a}}$<br>$-4.8$<br>$+1.3$ | 67.4<br>65.9<br>69.8 | $\blacksquare$<br>$-1.5$<br>$+2.4$ |

Table 9: We fine-tune Llama2-7B/13B on the SocialIQA dataset using supervised fine-tuning (SFT) and selectively supervised fine-tuning (SSFT). Here are the capabilities of models on four commonsense reasoning tasks (*e.g.*, SocialIQA, CSQA, StrategyQA, and Winogrande) before and after tuning.



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### <span id="page-23-0"></span> A.7 MORE EXPERIMENTAL RESULT ON QWEN2.5-72B

 We validated three key steps in the internal factual knowledge recall mechanism on Qwen2.5-72B: first, the shallow MLP encodes relevant attribute information (knowledge augmentation); second, the attention heads are responsible for transferring all knowledge to the predicted attribute token position (knowledge retrieval); and finally, the MLP selects the final predicted attribute for output (knowledge reranking).



 Figure 12: Probing results of Qwen2.5-72B on StrategyQA. The mechanisms of knowledge augmentation, retrieval, and reranking are observed.

 Table 10: We fine-tune Qwen2.5-72B on the StrategyQA dataset using supervised fine-tuning (SFT) and selectively supervised fine-tuning (SSFT). Here are the capabilities of models on four commonsense reasoning tasks (*e.g.*, Winogrande, CSQA, StrategyQA, and SocialIQA) before and after tuning.



<span id="page-23-1"></span>

### <span id="page-24-0"></span> A.8 PROBING RESULTS ON LLAMA MODELS



Figure 13: The distribution of the key attention heads for generating attribute in (a) Llama2-7B and (b) Llama2-13B .



 Figure 14: Result from Llama2-13B: (a) Change information of predict attribute and general attribute when predicting the final attribute token. Attribute information contribution from (b) MLP layers and (c) attention layers.

 

 

 

 A.9 PROJECTION OF KEY ATTENTION HEADS FOR CONCLUSION GENERATION

 Projection of the key attention heads output when predicting the final conclusion token in Gemma2- 9B.



When predicting " Genesha is a Hindu god.", projecting the key attention heads output to vocabulary space, results of Gemma2-9B are shown below:



 

### **1404 1405** A.10 SAE RELATED DETAILS

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**1407 1408 1409 1410 1411 1412** This study primarily uses SAE to investigate the information contained in the MLP and residual block outputs at the concept token position. Specifically, we selected the top 64 activated latents (Top-64) based on SAE activations. Since these latents include a substantial number of generalpurpose activations (e.g., those representing syntax, specific words, etc.), we employed GPT to automatically analyze whether these activated latents are related to the concept. The prompt used for this analysis is provided below.

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# Prompt Template

**1418 1419 1420 1421 1422 1423 1424 1425 1426 1427 1428 1429 1430 1431 1432 1433 1434 1435 1436 1437 1438 1439 1440 1441 1442 1443 1444 1445 1446 1447 1448 1449 1450 1451 1452 1453 1454 1455 1456 1457** I want to evaluate the relevance of a feature that activates on certain texts to the concept of `{concept}'. You will be provided with a possible explanation of the feature, a set of texts where the feature has been activated, along with the most activated word(s) in each text. \$<\$Example\$>\$ Concept: `Environmental Protection' Possible explanation of the feature: feature identifies texts related to protecting the natural environment. Activated texts and most activated word: - must take action to reduce carbon emissions and combat climate change. | most activated word: emissions - Deforestation is a major threat to biodiversity and contributes to global warming | most activated word: deforestation \$<\$/Example\$>\$ \$<\$Expected Output\$>\$ The feature is highly relevant to the concept of environmental protection as it identifies texts discussing environmental issues and solutions. Relevance Score: 10 \$<\$/Expected Output\$>\$ Based on the given explanation of the feature and the activated texts, please rate the relevance of the feature to the concept of `{concept}' on a scale of 0 to 10. - 0: Not at all relevant, the feature is not related to the concept. - 5: Neutral, the feature is not directly related to the concept but share some common traits with the concept, e.g. apple and banana are both fruits. - 10: Very relevant, the feature is directly related to the concept. Please conclude your response in the following format: `Relevance Score: [SCORE]', where [SCORE] is an integer between 0 and 10. Here is the concept: {concept} and the explanation of the feature: Concept: {concept} Possible explanation of the feature: {explanation} Activated texts and most activated word: {texts} </Instructions>

### **1458 1459** A.11 TRAINING SAE ON LLAMA2-7B

**1460 1461 1462 1463 1464** The training code for the Sparse Autoencoder (SAE) is derived from the open-source repository provided by OpenAI ([https://github.com/openai/sparse\\_autoencoder](https://github.com/openai/sparse_autoencoder)), which implements the Top-K activation function to maintain the sparsity of the latent representations. We conducted training of the SAEs using the MLP output obtained from layers 16 and 20 of the LLaMA2-7B model.

**1465 1466 1467 1468 1469 1470** The training dataset comprises 2 billion tokens sourced from the Pile dataset, which are organized into sequences of 64 tokens each. Our SAEs are configured to utilize 512,000 latent variables, with the parameter K in the Top-K activation function set to 32. The training parameters include a tensor parallel size of 2, a data parallel size of 8, a batch size of 131,072, and a learning rate of 1.24e-4, which was determined using scaling laws based on the GPT-2 architecture. The SAE was trained for a 1 epoch.

**1471 1472 1473** It required approximately 5 hours using 64 A100 GPUs to compute the MLP output for the LLaMA2-7B model across the 2 billion tokens. The training of the SAE itself necessitated around six hours with the utilization of 16 A100 GPUs.

#### **1475 1476** A.12 MORE CASES AND ANALYSIS OF RECALLED FEATURES ON STRATEGYQA FROM LLAMA2-7B

**1478 1479 1480 1481 1482 1483 1484** In this part, more cases of recalled features in Llama-7B are presented in Fig. [15](#page-27-0) (a), which corresponds to Layer 20 Fig. [1.](#page-1-0) We can see that the recalled top features are related to the key concept in input. Furthermore, to compare the precision of recalled features among with/without chain-ofthought, and the proposed SSFT on commonsense reasoning, we collect all the top-4 SAE tokens from the rank1 − 3 features of MLP 20 in Llama-7B, and then utilize the GPT-4o to judge if these features are the correct attributes of the input concept. Corresponding precisions are presented in Fig. [15](#page-27-0) (b), we can see that with CoT and SSFT, the precision of recalled features are more relevant to the input concept.

<span id="page-27-0"></span>

**1498 1499 1500** Figure 15: (a) More cases on Llama2-7B that use SAE to explain the MLP information on StrategyQA. (b) Precision of top 3 recalled features under three settings, without CoT prompt, with CoT prompt, and with CoT prompt after SSFT.

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