# When Personalization Meets Reality: A Multi-Faceted Analysis of Personalized Preference Learning

#### Anonymous ACL submission

### Abstract

While Reinforcement Learning from Human Feedback (RLHF) is widely used to align Large Language Models (LLMs) with human prefer-004 ences, it typically assumes homogeneous preferences across users, overlooking diverse human values and minority viewpoints. Although personalized preference learning addresses this by tailoring separate preferences for individual users, the field lacks standardized methods to assess its effectiveness. We present a multi-012 faceted evaluation framework that measures not only performance but also fairness, unintended effects, and adaptability across varying levels of preference divergence. Through extensive 016 experiments comparing eight personalization methods across three preference datasets, we 017 demonstrate that performance differences between methods could reach 36% when users strongly disagree, and personalization can introduce up to 20% safety misalignment. These findings highlight the critical need for holistic evaluation approaches to advance the development of more effective and inclusive preference learning systems.

#### 1 Introduction

Reinforcement learning from human feedback (RLHF) has been effective in aligning pre-trained Large Language Models (LLMs) with human preferences, improving their helpfulness, harmlessness, and instruction-following abilities (Ouyang et al., 2022). However, standard RLHF assumes a homogeneous set of preferences, failing to account for the diverse and sometimes conflicting nature of human values (Casper et al., 2023). This leads to biases toward the perspectives of a western, 037 democratic, postgraduate-educated demographic (Santurkar et al., 2023), even though LLM users represent a wide range of cultural and ideological backgrounds, with a majority being non-U.S. users across the world (Liu and Wang, 2023). 041

	Personalization						
	Perform.	Adaptability	Fairness	Tax			
VANILLA RM	•	×	×	1			
INDIVIDUAL RM	٠	×	1	×			
GROUP PO	•	<pre></pre>	×	×			
VARIATIONAL PL	•	1	×	X			
PERSONALIZED RM	٠	×	1	×			

Table 1: The comparison between different methods across four properties of personalization. Our framework evaluates personalization performance, adaptation capability to new users, fairness for minority users, and personalization tax on general-purpose preferences. For the performance, we use  $(\textcircled{\bullet}, \textcircled{\bullet}, \textcircled{\bullet})$  for good, medium, and low average scores. For the other properties, we report whether a method enables  $(\checkmark)$  the corresponding property or not  $(\bigstar)$ .

Personalized preference learning aims to bridge this gap by adapting LLMs to the specific preferences of individual users. With the increasing adoption of general-purpose LLMs, researchers have begun exploring personalization in open-domain contexts (Hwang et al., 2023; Jang et al., 2023; Li et al., 2024). However, significant challenges remain, particularly concerning the evaluation of these personalized models.

Firstly, **the evaluation benchmarks are inadequate and incomparable across different studies**. Existing studies rely either on narrow-domain realworld data (Stiennon et al., 2020) or entirely synthetic general-domain data (Zollo et al., 2024; Castricato et al., 2024), limiting the robustness of evaluation. Furthermore, the use of disparate datasets across studies impedes fair and direct comparisons between personalization methods.

Secondly, the evaluation frameworks fail to address the practical constraints and unintended consequences. Existing research often assumes a fixed number of data points per user, neglecting the practical constraints of real-world data availability. How do different personalization algorithms perform under varying levels of data availability? Moreover, the potential side ef-

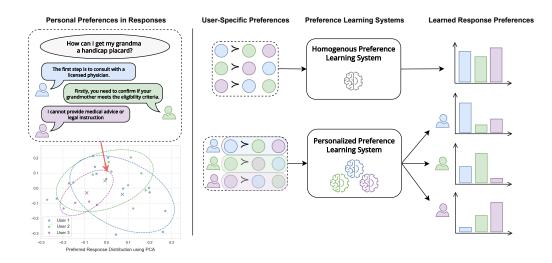


Figure 1: Each user has a unique preference distribution in the response space. Traditional preference learning systems treat preference data as homogeneous, but the inherent self-conflicting nature of preferences makes them difficult and unstable to learn. A personalized preference learning system, however, can effectively capture and model the individual preference distribution for each user. The scatter plot visualizes the preferred response embeddings from Personal LLM (Zollo et al., 2024) for three selected users using PCA.

fects of personalization, beyond the scope of (Kirk, 2024), remain largely unexplored. Does personalization degrade general LLM capabilities or introduce safety vulnerabilities?

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To address these gaps, we introduce a novel, multi-faceted framework for benchmarking opendomain personalized preference learning techniques. Our contributions are as follows:

- We introduce a principled way to characterize diverse preference datasets, revealing differences in inter-user disagreement, intra-user consistency, and the prevalence of minority views, each posing unique challenges for personalization.
- Our multi-faceted evaluation framework goes beyond standard accuracy and includes real-world constraints. We measure these aspects through sample efficiency, adaptating to a new user with limited data, personalization tax on reward modeling and per-user analysis.
- We conduct an empirical study of eight representative personalization algorithms across three datasets with distinct characteristics. Our evaluation show that fine-tuning individual reward models (i.e. a reward model per person) is a strong baseline. The methods that leverage collaborative learning such as Personalized RM achieve up to 6% improvement over this baseline. Metalearning approaches demonstrate better adaptability to new users. Crucially, we find that personalization can lead to safety misalignment and up to a 20% decline on safety and reasoning benchmarks.

## 2 Related Work

**Personalization** in machine learning refers to tailoring systems to generate predictions that align with each individual's preferences and needs. This concept has been extensively studied in Recommendation Systems (Sarwar et al., 2001; He et al., 2017) and Dialogue Systems (Zhang et al., 2018; Li et al., 2016). With the widespread adoption of LLMs, personalization has become even more critical to ensure these models effectively serve diverse global users with varying preferences—a challenge that remains underexplored in current alignment pipelines (Sorensen et al., 2024).

Unlike traditional task-specific ML systems, LLMs are general-purpose models designed to handle a wide range of tasks and domains. This versatility makes personalization both more important and more challenging, as the model must adapt its broad capabilities to each user's specific needs and preferences. Several approaches have been proposed, including prompting (Hwang et al., 2023), user embedding learning (Li et al., 2024; Feng et al., 2024), latent variable modeling (Poddar et al., 2024; Siththaranjan et al., 2023), meta-learning (Zhao et al.), multi-objective reinforcement learning (Jang et al., 2023), preference elicitation (Li et al., 2025), prompt optimization (Kim and Yang, 2024), and context compression (Kim et al.). However, these methods have typically been evaluated on different datasets which prohibits a fair comparison between them.

Evaluation of Personalization presents unique

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challenges beyond traditional preference learning. 133 While domains like recommender systems have 134 established evaluation frameworks using per-user 135 interaction histories (Harper and Konstan, 2015), 136 evaluating natural language outputs and collecting 137 general-domain preference data at scale remains 138 challenging (Zhou et al., 2022; Clark et al., 2021; 139 Dong et al., 2024). Existing survey-based datasets, 140 such as OpinionQA (Santurkar et al., 2023) and 141 GlobalOpinionQA (Durmus et al., 2023), provide 142 large-scale, real-world general-domain data but are 143 limited to multiple-choice formats, which fail to 144 capture realistic LLM usage scenarios. In con-145 trast, generation-based datasets such as Salemi et al. 146 (2024); Wang et al. (2024); Stiennon et al. (2020) 147 contain preferences for open-ended generations but 148 remain restricted to narrow domains. Other sources, 149 like Personal Reddit (Staab et al.) and Persona-DB 150 (Sun et al., 2025), scrape Reddit and Twitter data 151 but cannot be publicly released due to privacy con-152 cerns. PRISM (Kirk et al., 2024b) offers diverse 153 preference data for LLM generations but remains limited in size to effectively model individual an-155 notators. 156

> In the absence of large-scale, general-domain preference datasets, recent research has explored synthetic data generation via role-playing agents and LLM-as-a-Judge evaluations (Zheng et al., 2023; Jang et al., 2023; Zollo et al., 2024; Castricato et al., 2024; Shao et al., 2023; Liu et al., 2024b). While these methods may not fully capture real user preferences (Hu and Collier, 2024), recent works suggest that synthetic benchmarks can serve as viable testbeds for evaluating personalization, even if they don't comprehensively represent all human preference variations (Castricato et al., 2024; Zollo et al., 2024). As noted in Balog and Zhai (2025), perfect simulations of human preferences may not be necessary for these simulation to provide valuable insights and help develop better algorithms.

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## 3 Preliminaries on Personalized Preference Learning

176Preference learning systems can take various forms,177including reward models (RMs), where a model178assigns a numerical preference score; preference179ranking models, which make comparative judg-180ments between multiple candidates; and generation-181based policy models, where the model explicitly182generates preference judgments, sometimes accom-

panied by explanations or feedback. In this section, we review previous approaches to learning personalized preferences, with a particular focus on reward models, which constitute the majority of existing methods. 183

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#### 3.1 Vanilla Reward Modeling

Consider *n* annotators  $u_1, u_2, ..., u_n$  who provide preference feedback on outputs  $y_1, y_2$  for a given prompt *x*. The preferred and dispreferred response is denoted as  $y_+$  and  $y_-$ , respectively. This yields a personalized preference dataset  $\mathcal{D}_p$ :

$$\mathcal{D}_p = \bigcup_{u=1}^n \left\{ (x_j^{(u)}, y_{j,+}^{(u)}, y_{j,-}^{(u)}, u) \right\}_{j=1}^m,$$
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where m is the number of samples. Current preference tuning literature assumes homogeneous human preference (Ouyang et al., 2022; Stiennon et al., 2020; Liu et al., 2024a), and thus aggregate  $D_p$  via majority voting or rank aggregation, yielding:

$$\mathcal{D} = \{(x_i, y_i^+, y_i^-)\}_{i=1}^m.$$
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Next, a reward model  $r(x, y) \to \mathbb{R}$  is trained to approximate human's satisfaction level of response y given prompt x. Following the Bradley-Terry (BT) model (Bradley and Terry, 1952), the probability of preferring  $y^+$  over  $y^-$  is given by:

$$\mathbb{P}(y^+ \succ y^- \mid x) = \sigma(r(x, y^+) - r(x, y^-)),$$

where  $\sigma$  is the logistic function. The reward model r(x, y) is then optimized via maximum likelihood estimation by as a binary classification problem:

$$r = \arg\min_{r} \mathbb{E}_{(x,y^+,y^-)\sim\mathcal{D}} \Big[ -\log \mathbb{P}(y^+ \succ y^- \mid x) \Big].$$

#### 3.2 Personalized Reward Modeling

To capture individual preferences, the reward model must adapt its predictions based on user identity. Formally, this means extending the vanilla reward model r(x, y) to incorporate user information, yielding r(x, y, u). Below we summarize baseline approaches and recent methods from the literature that we consider in our evaluation.

**Individual Reward Modeling** trains a dedicated reward model  $r^u$  for each user u using only their personal preference data  $D^u$ . As shown in Equation 1, each model maximizes the likelihood of its user's observed preferences and thus would in theory obtain optimal personalization provided there are sufficient preference data for each user.

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**Conditional Reward Modeling** trains a unified reward model r(x, y, u) that explicitly conditions on user id. Specifically, we prepend the corresponding user id to the prompt input x. The reward model then processes this augmented input along with the response y to compute user-specific rewards.

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**Personalized Reward Modeling (PRM)** (Li et al., 2024) jointly learns user-specific preferences and shared preference patterns through a dualobjective approach. Specifically, given a learnable user encoder model  $f_p(u) = e_u$  that takes in user id u and output user embedding  $e_u$ , PRM concatenate it with the input and jointly optimize  $f_p$  and RM using the following objective:

$$\min_{r} - \mathbb{E}_{(x,y_{+},y_{-},u)\sim\mathcal{D}_{p}} \left[ \alpha \log \mathbb{P}(y^{+} \succ y^{-} \mid x, u) + (1-\alpha) \log \mathbb{P}(y^{+} \succ y^{-} \mid x, u_{0}) \right]$$

This loss can be viewed as a linear combination of a user-specific (u) and a user-agnostic  $(u_0)$  term.

Variational Preference Learning (VPL) (Poddar et al., 2024) is a reward model built upon variational autoencoders (VAE) (Kingma and Welling, 2014). In this framework, the encoder learns to map the input user-specific preference data to a latent variable z, which captures the underlying structure of user preferences. The decoder then utilizes this latent representation z to generate predicted rewards for new response candidates, functioning as the reward model. This allows VPL to effectively capture individual differences while leveraging commonalities across users.

**Retrieval-Augmented Generation (RAG)** can 256 also be employed to model personalized preferences by leveraging LLMs as the preference rank-258 ing model. Given a user query x, RAG first retrieves the top three most relevant examples from the user-specific preference training data, using cosine similarity to measure the similarity between 262 queries. The retrieved triplets  $\{(x, y_+, y_-)\}_{1:3}$  are 263 then incorporated into the original query as addi-264 tional context. This augmented input is fed to the LLM, prompting it to predict the user's preference based on the provided context. 267

268Group Preference Optimization (GPO)(Zhao269et al.) extends an LLM with a specialized trans-270former module for learning personalized prefer-271ences. This module is trained through meta-272learning, specifically using in-context supervised

learning to predict preference distributions. The module operates on embeddings of few-shot examples rather than raw text, allowing it to efficiently process lengthy examples while learning to generalize preference patterns across different contexts.

### **4** Evaluation

#### 4.1 Evaluation Dataset

Given the challenges and costs of collecting large-scale, open-domain personalized preference datasets, researchers have explored both carefully curated narrow-domain human annotated and general-domain synthetic data generation approaches (Stiennon et al., 2020; Jang et al., 2023; Zollo et al., 2024; Castricato et al., 2024). We focus on three datasets that provide pairwise preference annotations - a format particularly suited for preference learning:

- **P-SOUPS** (Jang et al., 2023) creates a synthetic dataset designed to personalize LLMs along three predefined dimensions: expertise, informativeness, and style. Each dimension has two opposing preferences, resulting in eight unique combinations of preferences (or user personas). Paired responses are then generated by prompting with different user preference combinations.
- **Reddit TL;DR** (Stiennon et al., 2020) consists of Reddit posts, each paired with two humanannotated summaries. Preference labels for these summaries are provided by multiple annotators and unaggregated data are available, allowing us to make use of the annotator ID. Following Park et al. (2024), we select the five annotators (worker IDs) who contributed the highest number of annotations.
- **Personal-LLM** (Zollo et al., 2024) offers a scalable approach to simulate open-domain user preferences through reward model interpolation. Specifically, they use 8 different pre-trained reward model and use these as archetypal users for collecting synthetic preference data. Additionally, they show that interpolating between these reward models enables generating new users with coherent but distinct preference patterns.

#### 4.2 Dataset Characteristics and Impact

We introduce an analytical framework that characterizes personalized preference datasets along four dimensions: inter-personal disagreement, intrapersonal consistency, presence of minority users, and overall room for personalization. While per-

	#Samples	#Users	%Cont.	%Highly Cont.	MV-ACC Range	Consistency
P-SOUPS	53k	6	100%	98%	[0.51-0.59]	1
TL;DR	179k	5	49%	27%	[0.81–0.87]	?
Personal-LLM	333k	8	87%	16%	[0.33-0.93]	1

Table 2: **Dataset Statistics.** For each triple  $(x, y_1, y_2)$ , we calculate the ratio of *controversial preferences*, defined as cases where **any** user has a preference differing from others. Additionally, we compute the ratio of *highly controversial preferences*, where at least 30% of users express preferences that differ from the majority. We also report the range of each user's accuracy if the preference dataset is aggregated using majority voting (MV-ACC).

sonalization might seem universally beneficial in theory, our framework reveals that its practical utility heavily depends on dataset properties—in some cases, personalized algorithms may offer negligible advantages over non-personalized approaches. This framework not only helps evaluate existing datasets but also provides design principles for future preference collections.

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Inter-Personal Disagreement Inter-personal disagreement refers to variations in preferences across 331 332 different users. Personalization is only necessary for tasks with high inter-user disagreement; When users unanimously prefer input A over input B, such preferences can be captured through standard 336 alignment processes without requiring personalization. This is analogous to the distinction be-337 tween objective and subjective tasks in NLP (Oves-338 dotter Alm, 2011; Plank, 2022). We operational-339 ize inter-personal disagreement through two metrics: preference divergence rate, which measures 341 the percentage of inputs that elicit any disagreement among users, and high-divergence prefer-343 ences, where at least 30% of users deviate from the majority. See Table 2 for results. 345

P-SOUPS exhibits a preference divergence rate approaching 100%, reflecting near-universal disagreement among users - an artifact of the dataset's deliberate construction incorporating opposing preferences across all dimensions. While this makes P-SOUPS valuable for benchmarking, it may limit generalizability to real-world applications. In contrast, TL;DR and Personal-LLM show lower preference divergence rates that better reflect natural distributions of user preferences in real-world scenarios.

Intra-Personal Consistency Intra-personal consistency reflects how stable an individual's preferences remain across time and similar situations.
This parallels test-retest reliability in behavioral
sciences, where a Cronbach's alpha of 0.7-0.9 is
considered desirable for survey responses (Nun-

nally and Bernstein, 1994). While direct measurement of such reliability is difficult in preference datasets without repeated annotations, human consistency likely does not exceed 0.9. Synthetic datasets, however, provide perfect consistency by construction—an idealized scenario that may not generalize well to real applications.

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Intra-personal consistency in preferences is influenced by several factors. Research shows that individuals display lower response stability when lacking strong attitudes or investment in the subject (Converse, 2006; Achen, 1975). Consistency may also decrease when comparing outputs with minimal differences (Padmakumar et al., 2024). Modern psychometric theory acknowledges that some inconsistency is inherent in human behavior — a consideration often overlooked in preference learning literature.

**Minority Users** In personalized preference learning, identifying and appropriately handling minority viewpoints is crucial. Prior work shows that standard RLHF can marginalize minority perspectives (Chakraborty et al., 2024). We identify minority users by computing each user's accuracy under majority vote (MV-ACC), with those scoring below 50% (random performance) classified as minority users due to their systematic deviation from the majority. P-SOUPS shows compressed MV-ACC scores (0.51-0.59), suggesting preference conflicts or noise. TL;DR exhibits high MV-ACC, indicating limited personalization potential, while Personal-LLM shows a wider range with some scores below 0.5, revealing clear minority viewpoints.

**Room for Personalization** The potential for effective personalization is determined by the interplay between inter-personal disagreement and intrapersonal consistency. This **room for personalization** is bounded by two factors: the performance of a non-personalized aggregate reward model, and the consistency of individual user preferences. The gap between these bounds represents the maximum

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5 4.3 Evaluation Metrics

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While prior work has focused primarily on reward model accuracy, practical deployment requires broader evaluation criteria:

possible improvement through personalization.

409 **Personalization for Seen Users** An ideal personalization algorithm should exhibit two key proper-410 ties: (1) Collaborative Learning: methods should 411 leverage collaborative signals from similar users to 412 efficiently learn diverse preferences, outperforming 413 naive individual reward modeling. (2) Protecting 414 Minority Viewpoints: methods must fairly repre-415 sent and adapt to minority preferences, avoiding 416 the marginalization observed in non-personalized 417 approaches. Therefore, we report both the average 418 419 accuracy across users and per-user accuracy to assess whether the algorithms improve personalized 420 preference learning and, in particular, how they 421 affect individual users. 422

Adaptation to New Users Methods must address
the cold-start challenge of adapting to new users
with limited data, particularly when inter-personal
disagreement is high. We evaluate performance
with 30-100-300 preference pairs per user.

No "Personalization Tax" Personalization methods must maintain the model's core capabilities — a challenge we term the "personalization tax." This is especially important when adapting to users whose preferences deviate significantly from the majority. Using Reward Bench (Lambert et al., 2024), we assess potential degradation in chat quality, reasoning ability, and safety.

# 4.4 Experimental Setup

For reward modeling, we use LLaMA-2-7B base (Touvron et al., 2023) as the base model. For RAG, we employ sentence transformer MiniLM-L6-v2 (Reimers and Gurevych, 2019) to embed text and compute cosine similarity. For GPO, following (Zhao et al.), we use LLaMA-2-7B embeddings and implement a separate 6-layer Transformer module as the GPO model. For fine-tuning details, please refer to Appendix A.1.

# 5 Results

Personalized RM Achieves the Best Performance across All Datasets. As shown in Figure 2, , in terms of reward modeling accuracy, personalized RM consistently outperforms all methods across all datasets. Its success over individual reward modeling can be attributed to the its collaborative learning - leveraging signals for all users. Individual reward models, while serving as simple yet effective baselines, achieve the second-best performance. Both of them surpass other baselines by a significant margin on Personal LLM and performs even better on P-SOUPS. We attribute this to its superior ability to handle the high interpersonal disagreement nature of P-SOUPS. On TL;DR, all methods-except RAG-perform comparably. RAG, in contrast, exhibits the weakest performance among all personalization methods across all datasets, with accuracy approaching that of random guessing. This is likely due to the limitations of the 7B model in capturing nuanced user preferences through in-context learning.

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**Dataset Properties Predict Personalization** Gains. Figure 2d compares three representative preference learning approaches across all evaluation datasets, ranging from no personalization (Vanilla RM) to simple personalization (Individual RM) to complex personalization (PRM). The results demonstrate that personalization gains strongly correlate with our proposed room for personalization metric. P-SOUPS, with the highest room for personalization (Table 2), shows the greatest improvement from personalization methods. In contrast, TL;DR's low inter-personal disagreement limits the gains from personalization appraoches. These empirical results validate our analytical framework for characterizing personalization datasets.

**Personalization Methods can Scale with More Training Samples.** As expected, increasing the number of training samples can generally improves RM accuracy for all methods when they are capable of learning personalized RMs. However, since Conditional RM and GPO are not effective at learning personalized preferences from P-SOUPS, their performance does not improve with the addition of more training data. We attribute this to these methods' limitations in modeling high interpersonal disagreement, a defining characteristic of the P-SOUPS dataset. These findings highlight that different personalization methods exhibit varying levels of robustness when faced with increasingly divergent preference data.

Personalization Protects Minority Viewpoints.499While prior work has primarily focused on aver-500

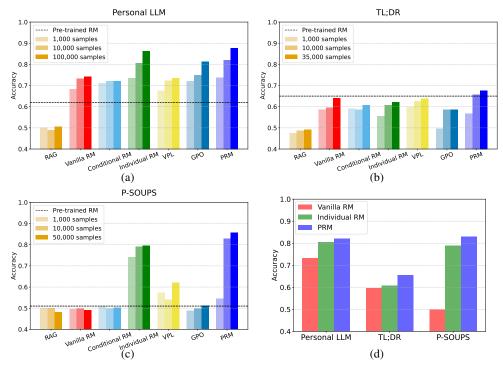


Figure 2: Averaged Reward Model Accuracy Comparison Across Three Personalization Datasets. Figures (a), (b), and (c) show averaged accuracy results across three datasets with varying number of training samples. Figure (d) compares the accuracy of personalized algorithms across three datasets.

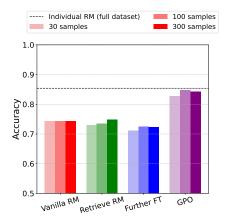


Figure 3: Adaptation to New Users on Personal-LLM: Figure (d) presents the performance of different baselines in adapting to new users with varying amounts of training data. The dashed black line represents the accuracy of the Individual RM trained on the full dataset, serving as the theoretical upper bound.

age performance metrics, we argue that a crucial function of personalization is protecting minority viewpoints that diverge from majority preferences. Figure 5 reveals that Vanilla RM fails to capture preference for such minority users. While Individual RM successfully preserves these minority preferences through dedicated per-user models, Personalized RM achieves only partial success. Through this analysis, we would like to point out a critical limitation in current personalization research: existing evaluation frameworks often treat all pref-

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erence groups as equal, which can overlook the significance of minority groups due to their smaller sizes. This undermines the core objective of personalization, which is to preserve preference diversity. We argue that a personalization method's ability to preserve minority viewpoints should also be considered a critical evaluation metric for assessing personalization approaches. 512

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Adaptation to New Users. As discussed in Section 4.3, a critical challenge in real-world deployment is adapting personalization methods to new users with limited preference data. We evaluate this capability in scenarios where only 30-100-300 preference pairs are available per new user. Since RM fine-tuning approaches, including Personalized RM, do not inherently support this cold-start setup, we implement two additional baselines for comparison: (1) Retrieve Similar User RM: we identify the existing user whose preferences most similar to the new user and directly apply the reward model of that user. (2) Further Fine-Tune Trained RM: We take the Vanilla RM trained on aggregated existing users preference data and fine-tune it for one epoch using the new user's limited data.

The results shown in Figure 3 demonstrate that GPO significantly outperforms these baselines, approaching the upper bound (individual RMs trained on complete 100K user data) with just 30-300 samples. The Similar-User RM performs only

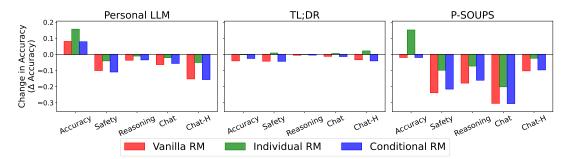


Figure 4: **Testing Personalization Tax on Reward Bench**. We measure the accuracy and reward bench performance for the personalization methods and show its deviation from the pre-trained RM. We report the change in accuracy relative to pre-trained RM (Dong et al., 2023).

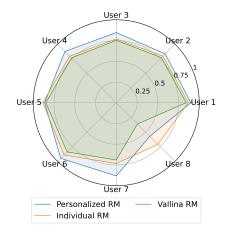


Figure 5: **Per-user Accuracy on Personal-LLM.** User 8 is considered the minority since as we calculated it has 0.33 accuracy after majority voting in Table 2.

marginally better than Vanilla RM, indicating that simple user-matching strategies are insufficient for effective personalization. These findings reveal the power of meta-learning-based approaches and urge further exploration of making reward modeling more effective in limited data settings.

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**Personalization Can Hurt Model Safety and Reasoning** To investigate potential negative impacts of personalization on core LLM capabilities, we evaluate models before and after personalization across the three dimensions of RewardBench (Lambert et al., 2024). Specifically, we fine-tune a pre-trained model (initially optimized for safety and reasoning) using individual reward modeling, with results shown in Figure 4.

The effects of personalization vary substantially across datasets, aligning with our theoretical framework. For TL;DR, both preference prediction accuracy and safety/reasoning performance remain largely stable, consistent with our finding of limited room for personalization in Section 4.2. In contrast, Personal-LLM and P-SOUPS exhibit a concerning trade-off: while preference prediction accuracy improves significantly, we observe substantial degradation in both reasoning ability and safety performance. This degradation suggests that optimizing for individual preferences can compromise fundamental model capabilities, a phenomenon we term the "personalization tax." These findings raise important concerns about the deployment of personalized LLM systems and underscore the need for careful balancing of personalization benefits against potential risks (Kirk et al., 2024a). 566

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#### 6 Conclusion

This work addresses gaps in LLM personalization research by introducing a systematic evaluation framework. We establish a principled methodology for characterizing preference datasets through: inter-user disagreement, intra-user consistency, and minority representation. Our analysis across P-SOUPS, TL;DR, and Personal-LLM datasets reveals distinct challenges that personalization methods must address, from high disagreement to varying levels of minority viewpoint representation.

Our comprehensive evaluation framework extends beyond accuracy to address practical constraints and potential risks. Through this lens, we evaluate eight representative personalization methods, finding that Individual RM provides a strong baseline while collaborative approaches like PRM achieve up to 6% improvement. Notably, some methods successfully preserve minority preferences that standard RLHF would overlook. However, we also identify a "personalization tax," where optimizing for individual preferences can degrade model safety and reasoning capabilities.

These findings demonstrate both the promise and challenges of personalization. We hope this work's systematic framework and empirical insights will guide the development of more robust, inclusive, and responsible personalization approaches that can better serve diverse global user.

## Limitation

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Firstly, two datasets that we evaluated on (P-SOUPS and Personal-LLM), are synthetically generated. These datasets make simplifying assumptions about human preferences, particularly regarding intra-personal consistency, which may not reflect the nuanced, context-dependent nature of real-world preferences. However, these controlled 610 datasets serve a valuable purpose in our study: they clearly demonstrate how dataset characteristics interact with personalization algorithms to produce 613 614 varying outcomes. While the collection of largescale, open-domain personalized preference data 615 from real users would be ideal for future work, such 616 efforts face significant challenges related to cost, 617 privacy, and scalability. 618

> Secondly, we evaluated 8 methods where 3 of them, VPL (Poddar et al., 2024), GPO (Zhao et al.), Personalized RM (Li et al., 2024) are specifically developed for personalized preference learning. The rapidly evolving nature of this field means our evaluation cannot be exhaustive. Recent developments in prompt optimization (Kim and Yang, 2024) and context compression (Kim et al.) suggest promising new directions that warrant investigation. Although resource constraints prevented us from evaluating all emerging approaches, we believe our selected methods effectively represent the key algorithmic paradigms currently employed in personalized preference learning.

#### Ethical Statement

Current LLM alignment approaches, where a relatively small group of researchers and organizations dictate alignment targets, raise significant concerns about procedural justice and representation (Santurkar et al., 2023). LLM personalization presents a promising solution by democratizing alignment, enhancing user experiences, responding to diverse needs, and promoting a more equitable and just information ecosystem.

However, these personalized systems also pose risks, including the potential creation of filter bubbles, reinforcement of existing biases, and exacerbation of ideological polarization. Additionally, while our study does not involve personally identifiable information, real-world deployment of personalized LLMs requires strong privacy safeguards to prevent the misuse of sensitive user data. Our findings further show that optimizing for individual preferences may lead to safety misalignment as discussed in Section 5. The central challenge, then, becomes how to balance the benefits and risks of LLM personalization (Kirk, 2024). These concerns highlight the importance of developing responsible personalization methods that prioritize fairness, privacy, and safety.

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## **A** Appendix

## A.1 Hyperparameter Selection

For Vanilla RM, Individual RM, and Conditional RM, we fine-tune the model with learning rate of 3e-4 with LoRA rank of 16 and LoRA alpha of 32. Following the optimization literature (McCandlish et al., 2018), the total number of optimization steps for training with different sample size should be kept the same. Thus we do hyperparameter search of the training eposes, we train 1 epoch on 100,000 samples. We search over 1,3,10 epoch on 10,000 samples and 1, 10, 100 epoch on 1,000 samples. For VPL, GPO, PRM, we use the same hyper-parameter setup as their paper except we search over the number of training epochs as above.

## **B** Results

Method		F	Personal LI	м				TL;DR					P-SOUPS		
	ACC	Safety	Reason.	Chat	Chat-H	ACC	Safety	Reason.	Chat	Chat-H	ACC	Safety	Reason.	Chat	Chat-H
Pre-trained RM	0.62	0.92	0.84	0.96	0.60	0.65	0.92	0.84	0.96	0.60	0.51	0.92	0.84	0.96	0.60
Vanilla RM Individual RM Conditional RM	0.73 0.77 0.72	0.83 0.88 0.83	0.75 0.83 0.75	0.91 0.94 0.91	0.47 0.55 0.47	0.63 0.65 0.66	0.87 0.93 0.93	0.83 0.84 0.83	0.95 0.97 0.97	0.58 0.62 0.61	0.49 0.66 0.50	0.70 0.82 0.70	0.58 0.77 0.54	0.65 0.76 0.74	0.49 0.58 0.39

# New User data	30	100	300
Individual RM (with full dataset)	0.85	0.85	0.85
Vanilla RM Retrieve Similar User RM Further Fine-tune Trained RM GPO	0.74 0.73 0.71 0.83	0.74 0.74 0.73 0.85	0.74 0.75 0.72 0.85

Table 3: Reward Bench Accuracy for Personalization Algorithms.

Table 4: Adaptation to new us	ers with var	v number of new user	preference data	(Personal-LLM)
rable +. Adaptation to new us	cis with var	y number of new user	preference uata	(I CISOIIai-LLIVI)

Method	Personal LLM				TL;DR		P-SOUPS			
#Samples	1,000	10,000	100,000	1,000	10,000	35,000	1,000	10,000	50,000	
Pre-trained RM	0.62	0.62	0.62	0.65	0.65	0.65	0.51	0.51	0.51	
RAG	0.50	0.49	0.51	0.48	0.49	0.49	0.50	0.50	0.48	
Vanilla RM	0.68	0.73	0.74	0.59	0.60	0.64	0.50	0.50	0.49	
Conditional RM	0.71	0.72	0.72	0.59	0.59	0.61	0.51	0.50	0.50	
Individual RM	0.74	0.81	0.86	0.56	0.61	0.62	0.74	0.79	0.80	
VPL	0.68	0.72	0.74	0.60	0.63	0.64	0.57	0.54	0.62	
GPO	0.72	0.75	0.81	0.50	0.59	0.59	0.49	0.50	0.51	
Personalized RM	0.74	0.82	0.88	0.57	0.66	0.68	0.55	0.83	0.86	

Table 5: RM Accuracy with Varying Number of Training Samples

User ID	1	2	3	4	5	6	7	8
Pre-trained RM	0.65	0.62	0.70	0.72	0.60	0.63	0.61	0.40
RAG	<u>0.43</u>	<u>0.36</u>	0.50	0.62	<u>0.48</u>	<u>0.33</u>	0.59	0.60
Vanilla RM	0.83	0.82	0.82	0.78	0.86	0.73	0.58	<u>0.35</u>
Conditional RM	0.84	0.77	0.75	0.76	0.85	0.84	0.69	<u>0.36</u>
Individual RM	0.87	0.80	0.77	0.80	0.89	0.89	0.73	0.71
VPL	0.83	0.82	0.82	0.78	0.86	0.73	0.58	<u>0.35</u>
GPO	0.83	0.46	0.76	0.79	0.80	0.84	<u>0.49</u>	0.81
Personalized RM	0.90	0.83	0.85	0.88	0.86	0.95	0.88	0.57

Table 6: Accuracy Across 8 Users on Personal LLM. Accuracy below 0.5 is underlined, indicating the performance drop below random chance. Results show that only Individual RM and PRM achieve improvement across all 8 users.