SPAR: SELF-PLAY WITH TREE-SEARCH REFINEMENT TO IMPROVE INSTRUCTION-FOLLOWING IN LARGE LANGUAGE MODELS

Anonymous authors

000

001

002

004 005 006

008 009 010

011

013

014

015

016

017

018

019

021

023

025

026

027

028

029

031

033

034

040

041

042 043

044

045

046 047

048

051

052

Paper under double-blind review

ABSTRACT

Instruction-following is a fundamental capability of language models, requiring the model to recognize even the most subtle requirements in the instructions and accurately reflect them in its output. Such an ability is well-suited for and often optimized by preference learning. However, existing methods often directly sample multiple independent responses from the model when creating preference pairs. Such practice can introduce content variations irrelevant to whether the instruction is precisely followed (e.g., different expressions about the same semantic), interfering with the goal of teaching models to recognize the key differences that lead to improved instruction following. In light of this, we introduce SPAR, a selfplay framework integrating tree-search self-refinement to yield valid and comparable preference pairs free from distractions. By playing against itself, an LLM employs a tree-search strategy to refine its previous responses with respect to the instruction while minimizing unnecessary variations. Our experiments show that a LLaMA3-8B model, trained over three iterations guided by SPAR, surpasses GPT-4-Turbo on the IFEval benchmark without losing general capabilities. Furthermore, SPAR demonstrates promising scalability, greatly enhancing the performance of LLaMA3-70B. We also identify how inference scaling in tree search would impact model performance. Code and data will be publicly available.

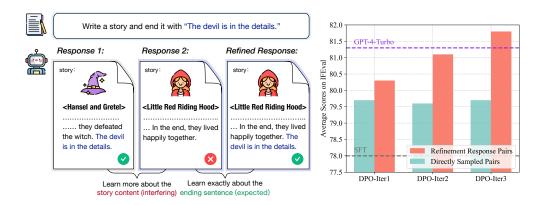


Figure 1: An example of the interfering factors (*story content*) in independently sampled multiple responses (Left). Refined response pairs exclude these factors, highlight the key difference (*ending sentence*), and lead to improved performance on iteratively trained LLaMA3-8B-Instruct (Right).

1 Introduction

To date, Large Language Models (LLMs) have achieved great success in a wide range of tasks (Brown et al., 2020; Zeng et al., 2022; Chowdhery et al., 2023; Touvron et al., 2023; GLM et al., 2024). As LLMs are applied to various scenarios, their instruction-following capability becomes crucial (Ouyang et al., 2022; Bai et al., 2022), especially to follow instructions with multiple constraints (Zeng et al., 2023; Zhou et al., 2023; Jiang et al., 2023b). The failure to accurately follow instructions can even lead to safety issues (Ruan et al., 2023).

Subtle nuances can determine the success of instruction-following tasks (Zhou et al., 2023), making preference learning (Rafailov et al., 2024; Hou et al., 2024) a well-suited solution. However, existing methods usually sample multiple independent responses from the target model (Yuan et al., 2024; Wu et al., 2024; Dong et al., 2024), inadvertently introducing irrelevant variations to whether the instruction was successfully followed. As illustrated in Figure 1, given the instruction: "Write a story and end it with *The devil is in the details*", sampling multiple independent responses from an LLM can result in responses as different as the story *Little Red Riding Hood* vs. *Hansel and Gretel*. This variation in the narrative content can interfere with the model's ability to learn how to realize the critical requirement—the specified ending sentence—and ultimately mislead the comparison within the preference pair. Therefore, effective learning from preference pairs necessitates excluding these extraneous factors and focusing on the key differences that drive the success of instruction-following.

In this paper, we propose SPAR, a self-play method integrated with tree-search refinement to enhance instruction-following capabilities of LLMs. The key lies in iteratively teaching LLMs to learn instruction-following from nuances by playing against itself with structured tree search. In each turn of self-play, an LLM takes two different roles: the actor and the refiner, which are both initialized from the same model. The actor executes complex instructions while the refiner critiques and refines the actor's responses. During the iteration, we first collect the actor's responses which fail to follow the instructions accurately, as judged by the refiner. Starting from those failed responses, we apply a tree-search algorithm for refinement, which ensures consistent improvements against previous turns and naturally creates valid comparison counterparts for model training.

We conduct experiments on several LLMs, LLaMA3 series (MetaAI, 2024), GLM-4-9B (GLM et al., 2024), and Mistral-7B-Instruct (Jiang et al., 2023a), over multiple iterations. Through extensive experiments, we demonstrate significant improvements in the models' instruction-following capability, outperforming other self-improvement methods such as self-rewarding (Yuan et al., 2024) and meta-rewarding (Wu et al., 2024). Notably, after three iterations, SPAR improves LLaMA3-8B-Instruct over GPT-4-Turbo on the IFEval benchmark (Zhou et al., 2023). Moreover, scaling test-time compute by integrating tree-search refinement during inference can further improve the quality of instruction following. Additionally, we find that with several iterations, the refiner's judgment and refinement capabilities can match or even exceed those of the distilled LLM, indicating great potential for continuous self-improvement without being limited by the initial bootstrapping data. Ablation studies demonstrate the importance of each component within our framework. Importantly, our method does not degrade performance on general benchmarks. In summary, our contributions are:

- We reveal that preference pairs derived from independently sampled responses often contain interfering factors, hampering preference learning to improve instruction following. As a result, a performing solution has to minimize such interference and highlight the key differences contributing to the success of instruction following.
- We introduce SPAR, a novel self-play framework that enables continuous self-improvement in instruction-following tasks. Through three iterations, our method boosts LLaMA3-8B-Instruct to achieve GPT4-level performance and scales effectively to enhance LLaMA3-70B-Instruct.
- We construct a high-quality dataset with 43K complex instruction-following prompts and an SFT dataset that can improve the instruction-following capabilities of LLMs.

2 METHOD

We introduce SPAR, an automated and scalable approach designed for self-improvement of instruction-following tasks through self-play. The core idea is to create paired responses with minimal irrelevant variations, thereby highlighting the key differences that manifest the success of instruction-following.

2.1 Overall Framework

The overall framework of SPAR is illustrated in Figure 2. Briefly, our framework involves an actor model and a refiner model, which are both initialized from the same base model. The actor generates responses to given instructions while the refiner judges and refines these responses. This iterative

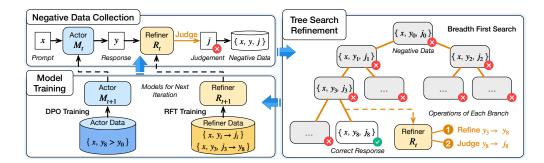


Figure 2: SPAR iterative training framework. At iteration t, the refiner R_t first judges the generated responses from the actor M_t to collect negative data. Next, a tree-search algorithm is employed to refine these imperfect responses. Finally, using the data from the above steps, we can optimize the actor and refiner for the next iteration, aiming for continuous self-improvement.

self-play process, involving response generation, judgment, and refinement, fosters continuous self-improvement.

Formally, in each iteration, given an instruction x from the prompt set, the actor generates a response y. The refiner identifies the responses that do not follow the instructions accurately, termed as negative responses. Our objective is to refine the negative response (represented as y_0 in Figure 2) into a correct response (represented as y_8 in the figure). These generated refinement pairs, e.g., $(x, y_8 > y_0)$, are collected and used to optimize the actor via DPO. Simultaneously, we apply RFT to improve the refiner. This process prepares both models for the next iteration of self-improvement.

In this iterative process, we face two major challenges: the scarcity of complex instruction-following data and the difficulty of achieving successful refinements. To address the lack of high-quality, multi-constraint instruction-following datasets, we generate complex instructions using a taxonomy-based approach and create corresponding SFT datasets to initialize the actor and refiner models (§2.2). To ensure a high success rate in refining negative responses, we employ a tree search strategy that systematically explores refinement paths and facilitates subsequent training (§2.3).

2.2 Data Construction

To support the subsequent self-play enhancement, we first bootstrap a base LLM with capabilities to act as both actor and refiner.

2.2.1 PROMPT CREATION

Given the scarcity of high-quality data for instruction-following tasks, especially those with multiple constraints, we start by creating a high-quality dataset of instruction-following prompts.

Seed Prompts. To ensure the quality and diversity of our dataset, and to prevent issues like insufficient diversity or even model collapse (Liu et al., 2024; Shumailov et al., 2024), we use a seed set of prompts derived from the Infinity-Instruct dataset (Zhao et al., 2024), which contains ten million high-quality conversations. After applying rule-based filtering based on length, keywords, and self-BLEU, we obtain approximately 50k seed prompts.

Taxonomy-based Prompt Construction. Complex prompts constructed without human intervention tend to be poorly diversified, as the types of constraints added are often distributed unevenly (Sun et al., 2024). Therefore, we adopt a taxonomy-based mechanism to make constraint types comprehensive and balanced. Our taxonomy for instruction-following constraints is derived from Cheng et al. (2024) and further refined to be more comprehensive.

After building the constraint taxonomy, we employ it to construct complex instruction-following tasks based on seed prompts. We sample a main constraint type and employ a strong LLM to add several other constraints to make the original prompt more complex. Moreover, we leverage the strong LLM to assess the validity of the generated prompt, ensuring that the constraints do

not conflict with each other or create unreasonable scenarios with the original task. The detailed taxonomy and prompt can be found in Appendix A.

2.2.2 ACTOR AND REFINER INITIALIZATION

The taxonomy-based prompt construction results in about 43k prompts. We utilize 8k prompts for actor initialization, another 5k for the refiner, and save 30k for further self-play training.

Actor Data Creation. To bootstrap the actor model with strong instruction-following capabilities, we first collect a strong LLM's responses to these complex prompts, thereby producing supervised fine-tuning (SFT) data $(x,y) \in D_{\text{Actor}}$ for the actor model, where x is the complex instruction and y is the strong LLM's response. Then, we fine-tune the base model to get an initial actor M_0 .

Refiner Data Creation. To bootstrap the refiner model with strong judgment and refinement capability, we sample responses from the initial actor M_0 . Then, we collect the judgments from a strong LLM to form a dataset, $(x,y,j) \in D_{\rm JSFT}$. We collect responses that are judged not to accurately follow instructions and term them as negative responses. For these negative responses, we use the strong LLM to correct them with minimal revisions to avoid irrelevant variations. In this way, we get a refinement dataset, $(x,y_{\rm negative},j,y_{\rm refined}) \in D_{\rm RSFT}$. The refiner is then trained with $D_{\rm Refiner} = D_{\rm JSFT} \cup D_{\rm RSFT}$ to create the initial refiner R_0 .

Training Strategy. For both actor and refiner models, we use standard supervised fine-tuning with the loss function:

$$\mathcal{L} = -\frac{1}{N} \sum_{i=1}^{N} \log P(r_i | q, r_{< i}), \tag{1}$$

where q denotes the input, r signifies the target response, and N represents the length of r. For actor training, we have input q = x and target r = y. When it comes to the refiner, we use input q = (x, y) and target r = j for D_{ISFT} , and input $q = (x, y_{\text{negative}}, j)$ and target $r = y_{\text{refined}}$ for D_{RSFT} .

2.3 TREE-SEARCH INTEGRATED SELF-PLAY TRAINING

After initializing the actor and refiner models, we embark on an iterative process for continuous self-improvement. In each iteration, we first collect the negative data, where the responses fail to accurately follow the instructions (§2.3.1). Then, we utilize a tree-search algorithm to refine the negative responses (§2.3.2) and form the training data for the next iteration of the actor (§2.3.3) and refiner (§2.3.4). This iterative self-play pipeline allows us to continuously improve both models.

2.3.1 NEGATIVE DATA COLLECTION

For each prompt x, we first sample K responses $\{y_1, y_2, \ldots, y_K\}$ from the actor model. This step ensures that there are enough negative responses to support subsequent learning. Then, for each prompt and response pair, we utilize the refiner to generate a judgment, which contains two parts: a label suggesting whether the response follows the instruction and an explanation about the assessment. To make this judgment more accurate, we incorporate the self-consistency mechanism (Wang et al., 2022), which is also applied in the subsequent refinement process. Specifically, we obtain multiple judgments from the refiner and determine the final label through majority voting, as detailed in Appendix D.4. After majority voting, we randomly select one judgment that matches the voted label to serve as the final judgment. This process allows us to identify challenging prompts that elicit responses that do not accurately follow the instructions, yielding tuples in the form of $(x, y_{\text{negative}}, j)$, where y_{negative} is the incorrect response and j is its corresponding judgment.

2.3.2 Tree-Search Refinement

After collecting these negative instances, the core step is to refine the responses to form preference pairs. These self-refined pairs are crucial for highlighting the subtle differences that can determine the success of instruction-following tasks, thereby facilitating effective learning. Given that direct refinement often results in a low success rate, we employ a tree-search approach. We implement

both breadth-first search (BFS) and depth-first search (DFS) strategies for this refinement. Detailed algorithms for these methods are provided in Appendix B.

To illustrate our process, we take BFS as an example and illustrate the procedure in Figure 2. Starting with an incorrect instruction-response pair and its judgment as the root node, we expand the search tree level-by-level until a correct response is found. At each intermediate node, we generate potential refinements for the current response and evaluate its correctness using the refiner. The number of generated refinements corresponds to the number of branches. Specifically, at a level of the tree, the refiner: 1). generates potential refinements for each node in the current level; 2). judges the correctness of these refinements. This creates a set of child nodes with new responses and their corresponding judgments. The search process continues until we obtain a tuple $(x, y_{\text{negative}}, y_{\text{refined}})$, where y_{refined} is the newly refined, correct response. Importantly, SPAR combines the strengths of both tree-search and self-refinement, exploring multiple refinement paths while minimizing the interfering factors, producing effective preference learning data.

2.3.3 ACTOR TRAINING

To optimize the actor model, we leverage the refinement pairs for preference learning using DPO. At iteration t, we train the actor model M_t with refinement pairs $(y_{\text{negative}}, y_{\text{refined}})$, treating y_{negative} as the rejected response (y_l) and y_{refined} as the chosen response (y_w) . The training dataset is denoted as D_{dpo}^t and the DPO loss is described as follows:

$$\mathcal{L}_{\text{DPO}}(\pi_{\theta}^{t}; \pi_{\text{ref}}) = -\mathbb{E}_{(x, y_{w}, y_{l}) \sim D_{\text{dpo}}^{t}} \left[\log \sigma \left(\beta \log \frac{\pi_{\theta}^{t}(y_{w}|x)}{\pi_{\text{ref}}(y_{w}|x)} - \beta \log \frac{\pi_{\theta}^{t}(y_{l}|x)}{\pi_{\text{ref}}(y_{l}|x)} \right) \right]$$
(2)

where π_{θ}^{t} represents the actor model M_{t} , and the reference model π_{ref} initialized with M_{t} remains fixed during the training process. This results in a new actor model, M_{t+1} , for the next iteration.

2.3.4 Refiner Training

Given that the input for the refiner is templated, we use RFT to obtain the new refiner R_{t+1} . The RFT training data consists of two components: the refinement data and the judgment data for improving the refiner's corresponding capabilities.

Refinement Training Data. The refinement training data consists of tuples that capture the process of refining incorrect responses. For each incorrect response from the tree-search based refinement step, we collect tuples in the form of $(x, y_p, j_p, y_{\text{refined}})$, where (x, y_p, j_p) represents the parent node of the final correct response in the refinement tree, and y_{refined} is the correctly refined response.

Judgment Training Data. The judgment training data is derived both from the negative data collection and nodes of the tree-search process. This dataset consists of tuples (x, y_i, j_i) , where x is the prompt, y_i is a response to x, and j_i is the judgment consistent with majority voting.

Then, we perform supervised fine-tuning using the constructed training data. For the refinement data D_{refine}^t we use the tuples $(x, y_p, j_p, y_{\text{refined}})$ with input $q = (x, y_p, j_p)$ and target $r = y_{\text{refined}}$. For the judgment data D_{judge}^t , we use the tuples (x, y_i, j_i) with input $q = (x, y_i)$ and target $r = j_i$. The supervised fine-tuning loss is given by Eq (1). By employing this self-play training process with the tree-search based self-refinement strategy, SPAR iteratively enhances both the actor and refiner models, aiming for continuous self-improvement in instruction-following tasks.

3 EXPERIMENTS

3.1 EXPERIMENT SETUP

Backbone Models. We have conducted experiments on several popular LLMs:

- LLaMA3 Series (MetaAI, 2024) are the best-performing models of their size, showcasing top-tier instruction-following capabilities among open-source LLMs.
- GLM-4-9B-Chat (GLM et al., 2024) excels in instruction-following tasks, offering competitive performance under 10B parameters.

• **Mistral-7B-Instruct** (Jiang et al., 2023a) is one of the most popular LLMs and has shown good performance across a wide range of tasks.

Settings. In this work, we focus on enhancing the instruction-following abilities of LLMs in a self-play fashion. Due to the limited capabilities of LLaMA3-8B-Instruct and Mistral-7B-Instruct, we apply SFT to enhance their performance as actor and refiner models. In contrast, the more advanced LLaMA3-70B-Instruct is directly employed in both roles. Following this, we perform a three-iteration self-play training using 10k prompts per iteration from our generated dataset. In each iteration, we apply DPO for the actor and RFT for the refiner. We refer to the trained LLaMA3-8B-Instruct as SPAR-8B, LLaMA3-70B-Instruct as SPAR-70B, GLM-4-9B-Chat as SPAR-9B, and Mistral-7B-Instruct as SPAR-7B. More implementation details are provided in Appendix C.

Baselines. We compare our method with four popular self-improvement approaches, including:

- AutoIF (Dong et al., 2024) incorporates code feedback and online DPO training to improve instruction-following ability in both distillation and self-evolution settings.
- SELF (Lu et al., 2023) proposes leveraging language feedback to guide response generation in order to achieve iterative self-improvement.
- **Self-rewarding** (Yuan et al., 2024) proposes to combine the reward model and policy model to enhance alignment capabilities simultaneously.
- **Meta-rewarding** (Wu et al., 2024) further introduces a meta-judge to address judgment capability limitations, building on the self-rewarding framework.
- **Humpback** (Li et al., 2023a) proposes training an instruction generation model to synthesize high-quality data using web resources.

3.2 EVALUATION BENCHMARKS

As both the actor and refiner continually evolve within our framework, it's crucial to comprehensively evaluate both of their capabilities.

Actor's Instruction-following Capability. To assess the actor's ability to follow instructions, we rely on two widely-used benchmarks: IFEval (Zhou et al., 2023) and FollowBench (Jiang et al., 2023b). IFEval offers 541 verifiable instructions specifically designed for code-based evaluation. These instructions cover 25 verifiable types, including tasks like *Keyword Frequency* and *Number of Words*. FollowBench, on the other hand, encompasses five categories of more subjective constraints: *Content, Situation, Style, Format*, and *Example*. This dataset features 820 meticulously curated instructions across five difficulty levels and utilizes a hybrid assessment approach combining rule-based and LLM-as-judge evaluations.

Refiner's Judgment and Refinement Capability. For assessing the refiner's judgment capability, we turn to LLMBar (Zeng et al., 2023), a dataset designed to measure the assessment ability of LLMs in the context of instruction-following tasks. LLMBar includes 419 instruction-response pairs, categorized into two subsets: *Natural* and *Adversarial*. Originally, the task involves pair-wise comparisons to identify successful and failed responses. We adapted it to a point-wise judgment task, asking the model to determine whether each instruction-following task is successful.

To evaluate the refiner's capability in refinement, we split 200 samples from the $D_{\rm RSFT}$ to create a test set, and we employ both GPT-40 and SPAR-8B-RFT-iter3, the refiner after three rounds of training, as judges to evaluate whether the refined responses are accurately following the instructions.

3.3 ACTOR EVALUATION RESULTS

SPAR significantly improves instruction-following ability. As illustrated in Table 1, the iteratively trained LLaMA3-8B-Instruct model demonstrates substantial improvements in both the IFE-val and FollowBench benchmarks with each training iteration. Remarkably, after three training iterations, SPAR-8B-DPO-iter3 even surpasses GPT-4-Turbo (81.3% average accuracy) on IFEval. Moreover, incorporating the tree-search refinement technique during the inference stage significantly boosts performance. Additionally, the SPAR showcases excellent scalability with respect to

Table 1: Main results of iteratively trained LLaMA3 models on instruction-following benchmarks (Cf. Table 9 for full results). P stands for prompt level, and I represents instruction level. L and S denote loose and strict evaluations, respectively. Avg. indicates average results and Lv means level. Results using inference-time tree search are highlighted in green. The highest results for each backbone model is **bolded**. Scores marked with † are sourced directly from the original paper.

			IFEval			FollowBench (SSR)						
Model	P(L)	I(L)	P (S)	I(S)	Avg.	Lv-1	Lv-2	Lv-3	Lv-4	Lv-5	Avg.	
LLaMA3-8B Models												
LLaMA3-8B-Instruct	77.6	84.5	70.6	78.9	77.9	69.4	62.2	63.1	61.9	60.9	63.5	
AutoIF-8B [†]	43.1	56.0	28.8	42.2	42.5	54.6	52.1	50.0	49.0	43.7	49.9	
SELF	78.2	84.5	76.0	82.9	80.4	68.3	65.7	65.2	62.2	62.4	64.8	
Humpback	72.5	80.2	70.1	78.1	75.2	66.8	66.1	67.2	60.2	62.6	64.6	
Self-Rewarding	77.3	84.2	74.1	81.7	79.3	72.8	66.6	66.8	64.9	64.1	67.0	
Meta-Rewarding	77.8	84.1	75.4	82.3	79.9	73.9	71.9	66.0	62.3	62.6	67.3	
SPAR-8B-SFT	75.4	82.5	73.4	80.6	78.0	73.9	67.4	68.1	63.1	61.3	66.8	
SPAR-8B-DPO-iter1	78.0	84.7	75.8	82.6	80.3	75.3	67.7	67.6	64.7	62.3	67.5	
SPAR-8B-DPO-iter2	78.9	85.0	77.1	83.3	81.1	73.9	71.9	69.1	64.0	62.2	68.2	
SPAR-8B-DPO-iter3	79.9	85.4	78.0	83.7	81.8	73.0	72.3	70.0	64.1	64.7	68.8	
w/ tree search	82.4	87.5	79.5	85.3	83.7	73.9	71.7	70.3	66.8	64.1	69.4	
			LLa	MA3-70	B Mode	els						
LLaMA3-70B-Instruct	83.7	88.9	77.1	83.8	83.4	77.1	72.5	69.4	68.7	66.3	70.8	
AutoIF-70B [†]	85.6	90.4	80.2	86.7	85.7	71.0	67.2	66.2	64.6	63.5	66.5	
SPAR-70B-DPO-iter3	85.6	90.2	81.3	87.3	86.1	80.3	75.7	71.4	73.7	70.5	74.3	

model size, which substantially enhances the instruction-following abilities of the LLaMA3-70B-Instruct model.

SPAR does not damage general abilities. As shown in Appendix D.2, we assessed each iteration's performance on general benchmarks, including GSM8k (Cobbe et al., 2021), TriviaQA (Joshi et al., 2017), MMLU (Hendrycks et al., 2020), and HumanEval (Chen et al., 2021). The results indicate that SPAR maintains or even improves general performance, particularly on GSM8k and HumanEval benchmarks, demonstrating that enhanced instruction-following capabilities support overall LLM alignment.

SPAR outperforms other baselines significantly. Figure 3 demonstrates the improvements on IFEval with each training iteration. In every iteration, SPAR outperforms other methods. Notably, even after three iterations, other methods fail to surpass the performance of SPAR's first iteration. Generally, our method and SELF outperform self-rewarding and meta-rewarding approaches, underscoring the importance of learning from refinement and excluding the interfering factors in instruction-following tasks. Furthermore, SPAR's superior performance compared to SELF indicates that contrastive refinement response pairs can highlight key differences, which

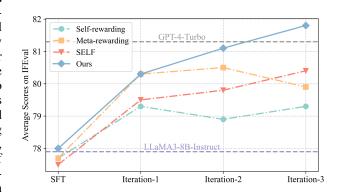


Figure 3: Comparison with baseline methods across iterations (Cf. Figure 10 for SPAR-7B). SPAR-8B consistently surpasses all baselines.

are difficult to learn using only correct responses. Additionally, only SPAR-8B-SFT outperforms the original LLaMA3-8B-Instruct, which suggests that incorporating the judgment SFT or refinement

378 379 380

397

399

400

401 402

403 404

405

406

407

408

409

410

411 412

413

414

415

416

417

418

419

420

421 422

423 424

425

426

427

428

429

430

Table 2: Evaluation of judgment capability for iteratively trained LLaMA3 models on LLMBar. (Cf. Table 10 for Mistral-7B-Instruct results.) Acc. denotes accuracy. The highest scores for each base model are highlighted in **bold**.

	Nat	ural					Adve	rsarial					Ave	rage
Model			GPTInst		GPT	Out	Mai	nual	Neig	hbor	Average			8-
	Acc.	F1	Acc.	F1	Acc.	F1	Acc.	F1	Acc.	F1	Acc.	F1	Acc.	F1
GPT-4o-Mini	74.5	70.5	69.2	61.6	60.9	51.4	59.8	51.9	72.8	66.4	65.7	57.8	67.4	60.4
LLaMA3-8B Models														
LLaMA3-8B-Instruct	60.0	51.8	55.4	46.1	47.9	39.5	51.1	36.6	54.5	45.0	52.2	41.8	53.8	43.8
SELF	69.5	61.6	62.0	50.7	64.9	54.8	57.6	41.8	64.6	51.3	62.2	49.6	63.7	52.0
Self-Rewarding	71.0	66.3	70.1	66.7	63.8	59.5	62.0	55.7	67.5	61.7	65.9	60.9	66.9	61.9
Meta-Rewarding	70.5	66.3	68.5	64.6	64.9	60.2	64.1	58.3	69.0	63.1	66.6	61.6	67.4	62.5
SPAR-8B-SFT	68.5	60.9	67.9	62.4	59.6	50.0	63.0	54.1	68.3	59.3	64.7	56.5	65.5	57.3
SPAR-8B-RFT-iter1	68.5	63.2	66.8	60.6	63.8	55.3	62.0	53.3	66.8	59.0	64.9	57.1	65.6	58.3
SPAR-8B-RFT-iter2	70.5	64.2	66.8	61.6	66.0	60.0	65.2	57.9	69.0	62.4	66.8	60.5	67.5	61.2
SPAR-8B-RFT-iter3	70.5	65.9	70.7	66.7	63.8	57.5	68.5	63.3	68.3	62.2	67.8	62.4	68.3	63.1
				L	LaMA3	8-70B N	1odels							
LLaMA3-70B-Instruct	75.0	71.9	73.4	69.6	69.1	66.7	66.3	60.8	69.0	63.4	69.5	65.1	70.6	66.5
SPAR-70B-RFT-iter3	78.0	74.7	78.8	76.9	64.9	61.2	67.4	59.5	72.4	68.1	70.9	66.4	72.3	68.1

SFT data would reduce performance, likely due to the huge task gap and reduced diversity in the data.

REFINER EVALUATION RESULTS

SPAR iteratively enhances judgment capability. Our analysis in Table 2 shows that SPAR iterations notably improve the model's ability to evaluate instruction-following tasks. By iteration three, the refiner SPAR-8B-RFT-iter3 surpasses GPT-4o-Mini, the model used to construct the judgment SFT dataset. This finding highlights the potential for continuous self-improvement, as the supervised fine-tuning data is not a bottleneck. Interestingly, our refiner greatly outperforms GPT-4o-Mini on adversarial test sets, suggesting that the similar positive and negative examples generated during tree search can make our model more robust against adversarial samples.

SPAR progressively improves refinement capability.

Table 3 demonstrates continuous improvement in refinement accuracy (success rate) of LLaMA3-8B-Instruct with each training iteration, eventually matching the level of GPT-4o-Mini, the strong LLM for SFT data construction. This further showcases a promising way for selfevolution in instruction-following tasks. However, it also points to a potential issue of self-evaluation bias: when the refiner self-evaluates refinement accuracy, it performs significantly better than when evaluated by GPT-4o.

Table 3: Refinement evaluation results. Acc-GPT uses GPT-40 as judge; -SPAR uses SPAR-8B-RFT-iter3.

Model	Acc-GPT	Acc-SPAR
GPT-4o-Mini	79.0	71.0
SPAR-8B-SFT	73.5	71.0
SPAR-8B-RFT-iter1	77.5	77.0
SPAR-8B-RFT-iter2	74.5	76.0
SPAR-8B-RFT-iter3	79.0	90.5

3.5 ABLATIONS AND ANALYSIS

Refinement preference pairs enhance instruction-following capability more effectively. To verify that the interfering factors indeed affect preference learning and motivate the need to highlight the key differences, we have conducted a synthetic data experiment featuring two tasks:

• Character Sequence Generation: The model needs to generate a specified number of given letters, with no restrictions on letter case, such as generating 12 letters a. For each prompt, we first construct a negative response in lowercase. In order to introduce disturbing factors, we have the correct response in uppercase for interfering pairs while maintaining refined pairs lowercase correctness.

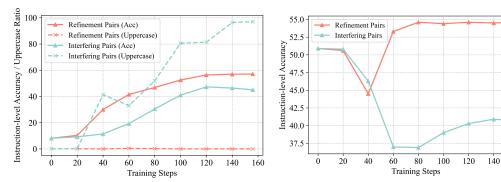


Figure 4: Synthetic data experiment results: *Character Sequence Generation* (left) and *Start/End Story Generation* (right). For *Character Sequence Generation*, interfering pairs show rapid learning of the uppercase ratio (interfering factor) but perform worse than refinement pairs. In the *Start/End Story Generation* task, refinement pairs outperform interfering pairs, which even underperform the original model at step 0.

Table 4: Ablation study on the actor.

Table 5: Ablation study on the refiner.

Model	II	FEval	FollowBench (SSR)
	Prompt(S)	Instruction(S)	Avg.
SPAR-8B-DPO-iter3	78.0	83.7	68.8
w/o Tree Search	-2.0	-0.8	-1.7
w/o Iterative Training	-0.9	-0.2	-2.0
w/o Refinement	-2.6	-1.6	-3.1

Model	Nat	ural	Adversarial		
Wiodei	Acc.	F1	Acc.	F1	
SPAR-8B-RFT-iter3	70.5	65.9	67.8	62.4	
w/o Tree Search w/o Iterative Training			-4.3 -1.7		

• Start/End Story Generation: The model is asked to generate a story that starts with sentence 1 and ends with sentence 2. The negative response lacks either sentence 1 or 2. Interfering pairs have a different story concatenated with these sentences; refined pairs keep the same story intact.

Figure 4 shows that refinement pairs significantly outperform interfering pairs in both tasks, with larger and more effective improvements. Particularly in story generation, diverging stories results in worse accuracy than the original model. Moreover, in the character generation task, we can clearly observe that the interfering factor (uppercase ratio) is learned quickly. However, the task is not performed as well as the refinement setting, highlighting the necessity of focusing on key differences and excluding possible interfering factors.

Furthermore, the ablation study on actor's performance in Table 4 further reveals a significant drop when refinement data is omitted. SPAR's superiority over self-rewarding and meta-rewarding methods in Table 1 also underscores the importance of using refinement pairs to eliminate interfering factors. Additionally, the string-level similarity of refinement response pairs is 0.90, much higher than 0.85 of the independently sampled response pairs.

Each element is crucial in SPAR. The primary elements of SPAR include the tree-search refinement process and iterative training. We thus conduct ablation studies to assess the significance of these elements. For the tree-search process, as shown in Table 4, excluding tree search significantly reduces the actor's performance. This might be due to a lack of difficult samples that require more iterations to refine and a reduced number of preference pairs. Table 7 illustrates that tree search greatly outperforms greedy decoding in response refinement and surpasses other methods, such as best-of-N refinement or simple iterative refinement. Furthermore, tree search is essential for improving judgment capability, especially against adversarial inputs, as indicated in Table 5. Similar responses with opposite labels generated during the tree-search process can enhance robustness against challenging scenarios. Moreover, the results presented in Tables 4 and 5 underscore the importance of iterative training for both the actor and the refiner. This iterative training process ensures mutual improvement, which is crucial for the overall effectiveness of our framework.

Scaling test-time compute significantly boosts model performance. Inspired by the recent developments in test-time compute scaling (Snell et al., 2024), we investigate various decoding strategies during inference on SPAR-8B-DPO-iter3. Figure 5 shows that increasing inference times

remarkably enhances model performance, outperforming the results of greedy decoding. Notably, while tree search refinement's performance growth is slower, it ultimately achieves superior results compared to best-of-N generation. This indicates that refinement is more powerful than generation and could be better suited for scaling test-time compute in the instruction-following task.

83.75 83.50 83.25 83.00 82.75 82.82.50 82.25 82.25 83.00 81.75 24 81.75 81.75 81.75 82.15 83.00 81.75 83.00 81.75 83.00 81.75 83.00 81.75 83.00 81.75 83.00 81.75 83.00 81.75 83.00 83.00 84.00 85.00 86.00 86.00 86.00 86.00 86.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87.00 87

Figure 5: Comparison of decoding strategies.

4 RELATED WORK

486

487

488

489

490

491

492

493

494

495

496 497

498 499

500

501

502

503

504

505

506

507

508

509

510 511

512 513

514

515

516

517

518

519

520

521

522 523

524

525

526

527

528529530

531 532

533

534

537

538

4.1 Instruction Following

Instruction-following is a fundamental capability of LLMs and is central to LLM alignment (Ouyang et al., 2022; Lou et al., 2024). Many studies have evaluated instruction-following capabilities from various perspectives (Li et al., 2023b; Zheng et al., 2023; Zeng et al., 2023; Liu et al., 2023a; Xia et al., 2024). With the expanding application of LLMs, the tasks they are expected to perform become more intricate (Liu et al., 2023b), often involving composite instructions with numerous constraints. Consequently, several benchmarks have been developed to test LLMs' ability to follow these complex instructions (Zhou et al., 2023; Jiang et al., 2023b; Qin et al., 2024; Wen et al., 2024). Additionally, multiple studies have focused on enhancing LLMs' instruction-following capabilities (Lou et al., 2023; Zhou et al., 2024; Sun et al., 2024). One crucial aspect of the instruction-following task is that subtle differences in responses can significantly impact their correctness (Zhou et al., 2023). Considering this, we introduce SPAR framework to construct preference pairs that reduce extraneous elements to highlight these subtle variations for effective improvements.

4.2 AUTONOMOUS LLM ALIGNMENT

Given the high cost of manually collecting alignment data, many studies focus on exploring autonomous LLM alignment methods (Cao et al., 2024). One common strategy involves using data distilled from advanced models to improve less powerful ones (Peng et al., 2023; Xu et al., 2023; Cheng et al., 2024). Alternatively, as the LLMs become stronger, several studies investigate how to self-evolving LLMs' capabilities. Self-Instruct (Wang et al., 2023) generates instructions by employing the model's in-context learning ability. Reinforced Self-Training (Gulcehre et al., 2023) samples data from an LLM policy and utilizes the dataset to enhance the policy through offline RL algorithms. Moreover, recent research has incorporated feedback from diverse sources. SELF (Lu et al., 2023) trains LLMs to acquire meta-skills of self-feedback and self-refinement, enabling the models to self-evolve iteratively. AutoIF (Dong et al., 2024) introduces the code execution feedback. Self-rewarding (Yuan et al., 2024) and Meta-rewarding (Wu et al., 2024) leverage the LLM-as-judge ability to evaluate its own responses, thereby constructing preference pairs. However, these methods usually direct sample multiple independent responses from the actor model, which is likely to introduce the interfering factors and thus affect the model's capture of the key differences. Thus, we propose a new framework that constructs preference pairs by self-refining the model's responses, minimizing extraneous elements, and promoting more effective autonomous improvement.

5 Conclusion

In this study, we introduce a new self-play framework, SPAR, designed to improve the instruction-following capabilities of LLMs through training with refinement pairs. We reveal that, unlike traditional approaches that rely on sampling multiple independent responses from the model to construct preference pairs, refining preference pairs to minimize extraneous factors and highlight key differences lead to significant improvements in instruction-following tasks. Remarkably, the LLaMA3-8B-Instruct model, trained iteratively using our framework, outperforms GPT-4-Turbo on IFEval. With inference time compute scaling, its performance can be further improved. Moreover, the iterative enhancement of instruction-following, judgment, and refinement abilities brought about by SPAR underscores a promising path to continuous self-improvement.

REFERENCES

- Yuntao Bai, Andy Jones, Kamal Ndousse, Amanda Askell, Anna Chen, Nova DasSarma, Dawn Drain, Stanislav Fort, Deep Ganguli, Tom Henighan, et al. Training a helpful and harmless assistant with reinforcement learning from human feedback. *arXiv preprint arXiv:2204.05862*, 2022.
- Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, et al. Language models are few-shot learners. *Advances in neural information processing systems*, 33:1877–1901, 2020.
- Boxi Cao, Keming Lu, Xinyu Lu, Jiawei Chen, Mengjie Ren, Hao Xiang, Peilin Liu, Yaojie Lu, Ben He, Xianpei Han, et al. Towards scalable automated alignment of llms: A survey. *arXiv preprint arXiv:2406.01252*, 2024.
- Mark Chen, Jerry Tworek, Heewoo Jun, Qiming Yuan, Henrique Ponde de Oliveira Pinto, Jared Kaplan, Harri Edwards, Yuri Burda, Nicholas Joseph, Greg Brockman, et al. Evaluating large language models trained on code. *arXiv preprint arXiv:2107.03374*, 2021.
- Jiale Cheng, Yida Lu, Xiaotao Gu, Pei Ke, Xiao Liu, Yuxiao Dong, Hongning Wang, Jie Tang, and Minlie Huang. Autodetect: Towards a unified framework for automated weakness detection in large language models. *arXiv* preprint arXiv:2406.16714, 2024.
- Aakanksha Chowdhery, Sharan Narang, Jacob Devlin, Maarten Bosma, Gaurav Mishra, Adam Roberts, Paul Barham, Hyung Won Chung, Charles Sutton, Sebastian Gehrmann, et al. Palm: Scaling language modeling with pathways. *Journal of Machine Learning Research*, 24(240): 1–113, 2023.
- Karl Cobbe, Vineet Kosaraju, Mohammad Bavarian, Mark Chen, Heewoo Jun, Lukasz Kaiser, Matthias Plappert, Jerry Tworek, Jacob Hilton, Reiichiro Nakano, et al. Training verifiers to solve math word problems. *arXiv* preprint arXiv:2110.14168, 2021.
- Guanting Dong, Keming Lu, Chengpeng Li, Tingyu Xia, Bowen Yu, Chang Zhou, and Jingren Zhou. Self-play with execution feedback: Improving instruction-following capabilities of large language models. *arXiv preprint arXiv:2406.13542*, 2024.
- Team GLM, :, Aohan Zeng, Bin Xu, Bowen Wang, Chenhui Zhang, Da Yin, Diego Rojas, Guanyu Feng, Hanlin Zhao, Hanyu Lai, Hao Yu, Hongning Wang, Jiadai Sun, Jiajie Zhang, Jiale Cheng, Jiayi Gui, Jie Tang, Jing Zhang, Juanzi Li, Lei Zhao, Lindong Wu, Lucen Zhong, Mingdao Liu, Minlie Huang, Peng Zhang, Qinkai Zheng, Rui Lu, Shuaiqi Duan, Shudan Zhang, Shulin Cao, Shuxun Yang, Weng Lam Tam, Wenyi Zhao, Xiao Liu, Xiao Xia, Xiaohan Zhang, Xiaotao Gu, Xin Lv, Xinghan Liu, Xinyi Liu, Xinyue Yang, Xixuan Song, Xunkai Zhang, Yifan An, Yifan Xu, Yilin Niu, Yuantao Yang, Yueyan Li, Yushi Bai, Yuxiao Dong, Zehan Qi, Zhaoyu Wang, Zhen Yang, Zhengxiao Du, Zhenyu Hou, and Zihan Wang. Chatglm: A family of large language models from glm-130b to glm-4 all tools, 2024.
- Caglar Gulcehre, Tom Le Paine, Srivatsan Srinivasan, Ksenia Konyushkova, Lotte Weerts, Abhishek Sharma, Aditya Siddhant, Alex Ahern, Miaosen Wang, Chenjie Gu, et al. Reinforced self-training (rest) for language modeling. *arXiv preprint arXiv:2308.08998*, 2023.
- Dan Hendrycks, Collin Burns, Steven Basart, Andy Zou, Mantas Mazeika, Dawn Song, and Jacob Steinhardt. Measuring massive multitask language understanding. *arXiv preprint arXiv:2009.03300*, 2020.
- Zhenyu Hou, Yiin Niu, Zhengxiao Du, Xiaohan Zhang, Xiao Liu, Aohan Zeng, Qinkai Zheng, Minlie Huang, Hongning Wang, Jie Tang, et al. Chatglm-rlhf: Practices of aligning large language models with human feedback. *arXiv preprint arXiv:2404.00934*, 2024.
- Albert Q Jiang, Alexandre Sablayrolles, Arthur Mensch, Chris Bamford, Devendra Singh Chaplot, Diego de las Casas, Florian Bressand, Gianna Lengyel, Guillaume Lample, Lucile Saulnier, et al. Mistral 7b. *arXiv preprint arXiv:2310.06825*, 2023a.

- Yuxin Jiang, Yufei Wang, Xingshan Zeng, Wanjun Zhong, Liangyou Li, Fei Mi, Lifeng Shang, Xin
 Jiang, Qun Liu, and Wei Wang. Followbench: A multi-level fine-grained constraints following
 benchmark for large language models. arXiv preprint arXiv:2310.20410, 2023b.
 - Mandar Joshi, Eunsol Choi, Daniel S Weld, and Luke Zettlemoyer. Triviaqa: A large scale distantly supervised challenge dataset for reading comprehension. In *Proceedings of the 55th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, pp. 1601–1611, 2017.
 - Xian Li, Ping Yu, Chunting Zhou, Timo Schick, Omer Levy, Luke Zettlemoyer, Jason Weston, and Mike Lewis. Self-alignment with instruction backtranslation. *arXiv preprint arXiv:2308.06259*, 2023a.
 - Xuechen Li, Tianyi Zhang, Yann Dubois, Rohan Taori, Ishaan Gulrajani, Carlos Guestrin, Percy Liang, and Tatsunori B. Hashimoto. Alpacaeval: An automatic evaluator of instruction-following models. https://github.com/tatsu-lab/alpaca_eval, 5 2023b.
 - Ruibo Liu, Jerry Wei, Fangyu Liu, Chenglei Si, Yanzhe Zhang, Jinmeng Rao, Steven Zheng, Daiyi Peng, Diyi Yang, Denny Zhou, et al. Best practices and lessons learned on synthetic data for language models. *arXiv preprint arXiv:2404.07503*, 2024.
 - Xiao Liu, Xuanyu Lei, Shengyuan Wang, Yue Huang, Zhuoer Feng, Bosi Wen, Jiale Cheng, Pei Ke, Yifan Xu, Weng Lam Tam, et al. Alignbench: Benchmarking chinese alignment of large language models. *arXiv preprint arXiv:2311.18743*, 2023a.
 - Xiao Liu, Hao Yu, Hanchen Zhang, Yifan Xu, Xuanyu Lei, Hanyu Lai, Yu Gu, Hangliang Ding, Kaiwen Men, Kejuan Yang, et al. Agentbench: Evaluating llms as agents. *arXiv preprint arXiv:2308.03688*, 2023b.
 - Renze Lou, Kai Zhang, Jian Xie, Yuxuan Sun, Janice Ahn, Hanzi Xu, Yu Su, and Wenpeng Yin. Muffin: Curating multi-faceted instructions for improving instruction-following. *arXiv* preprint *arXiv*:2312.02436, 2023.
 - Renze Lou, Kai Zhang, and Wenpeng Yin. Large language model instruction following: A survey of progresses and challenges. *Computational Linguistics*, pp. 1–10, 2024.
 - Jianqiao Lu, Wanjun Zhong, Wenyong Huang, Yufei Wang, Fei Mi, Baojun Wang, Weichao Wang, Lifeng Shang, and Qun Liu. Self: Language-driven self-evolution for large language model. arXiv preprint arXiv:2310.00533, 2023.
 - MetaAI. Introducing meta llama 3: The most capable openly available llm to date, 2024. URL https://ai.meta.com/blog/meta-llama-3.
 - Long Ouyang, Jeffrey Wu, Xu Jiang, Diogo Almeida, Carroll Wainwright, Pamela Mishkin, Chong Zhang, Sandhini Agarwal, Katarina Slama, Alex Ray, et al. Training language models to follow instructions with human feedback. Advances in neural information processing systems, 35: 27730–27744, 2022.
 - Baolin Peng, Chunyuan Li, Pengcheng He, Michel Galley, and Jianfeng Gao. Instruction tuning with gpt-4. *arXiv preprint arXiv:2304.03277*, 2023.
 - Yiwei Qin, Kaiqiang Song, Yebowen Hu, Wenlin Yao, Sangwoo Cho, Xiaoyang Wang, Xuansheng Wu, Fei Liu, Pengfei Liu, and Dong Yu. Infobench: Evaluating instruction following ability in large language models. *arXiv preprint arXiv:2401.03601*, 2024.
 - Rafael Rafailov, Archit Sharma, Eric Mitchell, Christopher D Manning, Stefano Ermon, and Chelsea Finn. Direct preference optimization: Your language model is secretly a reward model. *Advances in Neural Information Processing Systems*, 36, 2024.
 - Yangjun Ruan, Honghua Dong, Andrew Wang, Silviu Pitis, Yongchao Zhou, Jimmy Ba, Yann Dubois, Chris J Maddison, and Tatsunori Hashimoto. Identifying the risks of lm agents with an lm-emulated sandbox. *arXiv preprint arXiv:2309.15817*, 2023.

- Ilia Shumailov, Zakhar Shumaylov, Yiren Zhao, Nicolas Papernot, Ross Anderson, and Yarin Gal.
 Ai models collapse when trained on recursively generated data. *Nature*, 631(8022):755–759,
 2024.
 - Charlie Snell, Jaehoon Lee, Kelvin Xu, and Aviral Kumar. Scaling Ilm test-time compute optimally can be more effective than scaling model parameters. *arXiv* preprint arXiv:2408.03314, 2024.
 - Haoran Sun, Lixin Liu, Junjie Li, Fengyu Wang, Baohua Dong, Ran Lin, and Ruohui Huang. Conifer: Improving complex constrained instruction-following ability of large language models. *arXiv preprint arXiv:2404.02823*, 2024.
 - Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, et al. Llama: Open and efficient foundation language models. *arXiv preprint arXiv:2302.13971*, 2023.
 - Xuezhi Wang, Jason Wei, Dale Schuurmans, Quoc Le, Ed Chi, Sharan Narang, Aakanksha Chowdhery, and Denny Zhou. Self-consistency improves chain of thought reasoning in language models. *arXiv* preprint arXiv:2203.11171, 2022.
 - Yizhong Wang, Yeganeh Kordi, Swaroop Mishra, Alisa Liu, Noah A Smith, Daniel Khashabi, and Hannaneh Hajishirzi. Self-instruct: Aligning language models with self-generated instructions. In *Proceedings of the 61st Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, pp. 13484–13508, 2023.
 - Bosi Wen, Pei Ke, Xiaotao Gu, Lindong Wu, Hao Huang, Jinfeng Zhou, Wenchuang Li, Binxin Hu, Wendy Gao, Jiaxin Xu, et al. Benchmarking complex instruction-following with multiple constraints composition. *arXiv preprint arXiv:2407.03978*, 2024.
 - Tianhao Wu, Weizhe Yuan, Olga Golovneva, Jing Xu, Yuandong Tian, Jiantao Jiao, Jason Weston, and Sainbayar Sukhbaatar. Meta-rewarding language models: Self-improving alignment with llm-as-a-meta-judge. *arXiv preprint arXiv:2407.19594*, 2024.
 - Congying Xia, Chen Xing, Jiangshu Du, Xinyi Yang, Yihao Feng, Ran Xu, Wenpeng Yin, and Caiming Xiong. Fofo: A benchmark to evaluate llms' format-following capability. *arXiv* preprint *arXiv*:2402.18667, 2024.
 - Can Xu, Qingfeng Sun, Kai Zheng, Xiubo Geng, Pu Zhao, Jiazhan Feng, Chongyang Tao, and Daxin Jiang. Wizardlm: Empowering large language models to follow complex instructions. *arXiv* preprint arXiv:2304.12244, 2023.
 - Weizhe Yuan, Richard Yuanzhe Pang, Kyunghyun Cho, Sainbayar Sukhbaatar, Jing Xu, and Jason Weston. Self-rewarding language models. *arXiv preprint arXiv:2401.10020*, 2024.
 - Aohan Zeng, Xiao Liu, Zhengxiao Du, Zihan Wang, Hanyu Lai, Ming Ding, Zhuoyi Yang, Yifan Xu, Wendi Zheng, Xiao Xia, et al. Glm-130b: An open bilingual pre-trained model. *arXiv preprint arXiv:2210.02414*, 2022.
 - Zhiyuan Zeng, Jiatong Yu, Tianyu Gao, Yu Meng, Tanya Goyal, and Danqi Chen. Evaluating large language models at evaluating instruction following. *arXiv preprint arXiv:2310.07641*, 2023.
 - Hanyu Zhao, Li Du, Yiming Ju, Chengwei Wu, and Tengfei Pan. Beyond iid: Optimizing instruction learning from the perspective of instruction interaction and dependency. 2024. URL https://arxiv.org/abs/2409.07045.
 - Lianmin Zheng, Wei-Lin Chiang, Ying Sheng, Siyuan Zhuang, Zhanghao Wu, Yonghao Zhuang, Zi Lin, Zhuohan Li, Dacheng Li, Eric Xing, et al. Judging llm-as-a-judge with mt-bench and chatbot arena. *Advances in Neural Information Processing Systems*, 36:46595–46623, 2023.
 - Chunting Zhou, Pengfei Liu, Puxin Xu, Srinivasan Iyer, Jiao Sun, Yuning Mao, Xuezhe Ma, Avia Efrat, Ping Yu, Lili Yu, et al. Lima: Less is more for alignment. *Advances in Neural Information Processing Systems*, 36, 2024.
 - Jeffrey Zhou, Tianjian Lu, Swaroop Mishra, Siddhartha Brahma, Sujoy Basu, Yi Luan, Denny Zhou, and Le Hou. Instruction-following evaluation for large language models. *arXiv* preprint *arXiv*:2311.07911, 2023.

A DATASET INFORMATION

Constraint Taxonomy. We take the taxonomy from Cheng et al. (2024), and further refine it to be more comprehensive to ensure the diversity of our prompts. The refined taxonomy is shown in Figure 6.

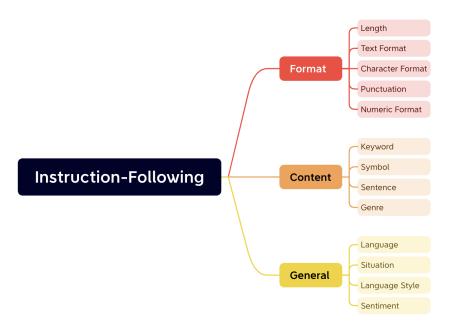


Figure 6: The detailed taxonomy of constraints for prompt evolution.

Prompt Template. Here, we give the prompt for constructing complex prompts in Figure 7. For the refiner, the prompt template for judgment is provided in Figure 8. As for the refinement task, we form it as a multi-turn task after judgment, with the prompt template provided in Figure 8.

```
Prompt Construction Template
In this task, you need to refine the prompt to make it more specific and complex. Transfer the prompt to an instruction-following
To enhance specificity, refer to constraints from the following taxonomy:
{Taxonomy}
prompt: [[start]]{}[[end]]
Main constraint:
{Main Constraint}
Note:
1. You must include the main constraint (unless the main constraint contradicts the original prompt), and need to add 1~3 other
constraints
2. You need to ensure that these constraints are reasonable and do not conflict with each other.
3. The added constraints need to make sense with the original prompt and not conflict with it.
4. Please ensure the constraints as much diverse as possible, you can add new types not mentioned in the taxonomy above.
5. The priority is given to constraint types other than length constraints.
6. Do not list the added constraints in points.
Don't give the response to your refined prompt.
8. If the Prompt is a mathematic or coding problem, just output "None" as the refined prompt.
9. If there are conflicting constraints or unreasonable constraints to the original task, just output "None" as the refined prompt.
10. Never involve visual elements
output in the following format:
[[start]]{your refined prompt}[[end]]
```

Figure 7: The prompt template applied for prompt evolution.

Judgement Template

Please act an expert in evaluating the capabilities of instruction-following. In the instruction-following task, the Output needs to honestly/precisely/closely follow the given Prompt.

Your task is to carefully judge whether the Output to honestly/precisely/closely follows the given Prompt. If there are any constraints in the Prompt that are not satisfied by the Output, please list all the constraints that are not satisfied.

Prompt: "{Instruction}"

756

758

760 761 762

763

764

765

766

768 769

770

771 772

773

774

775 776 777

779780

781 782

783

784

785

786

787

789

790

793 794

796

798

799

800

801

802

803

804

805

806

808

809

Output: "{Response}"

Please carefully judge if each constraint is perfectly satisfied and give a final judgement weather the Output accurately follows the Prompt in the following format:

Step-by-step verification: xxx

Final Judgement (if the Output accurately follows the Prompt): (Yes or No)

Refinement Template

Based on your judgement, refine the Output to make sure it can honestly/precisely/closely follows the given Prompt.

Please carefully refine the Output to meet all the constraints in the Prompt

Please format like this: Reflection on how to refine the Output: xxx

Final Refined Output: [[start]] xxx [[end]]

Figure 8: The prompt template applied for the refiner's judgment and refinement.

B TREE-SEARCH ALGORITHM

We show the detailed process of BFS and DFS refinement in Algorithm 1 and Algorithm 2.

```
Algorithm 1 BFS-Refinement
                                                                  Algorithm 2 DFS-Refinement
Require: Instruction x, Response y, Judgment j, Require: Current state s, depth t, Refiner R_N,
  Refiner R_N, depth limit d, branch limit b.
                                                                     depth limit d, threshold v_{th}, branch limit b
   S_0 \leftarrow \{x, y, j\}
                                                                     if t > T then record output s = (x, y', j')
  for t=1,\cdots,d do
                                                                     end if
       S'_t \leftarrow \{[x,y'] \mid s \in S_{t-1}, y' \in R_N(s,b)\}
V_t \leftarrow R_N(S'_t) \qquad \triangleright \text{ get judgment}
S_t \leftarrow \{[x,y',j'] \mid s \in S'_t, j' \in V_t(s)\}
                                                                     for s' \in R_N(s,b) do
                                                                                                                 ▷ refinement
                                                                          if R_N(s') < v_{th} then

    judgment

                                                                               DFS(s', t+1)
  end for
                                                                          end if
   return \arg \max_{s \in S_T} V_T(s)
                                                                     end for
```

C IMPLEMENTATION DETAILS

The SFT dataset for the actor comprises 8k examples, while the refiner dataset includes approximately 9k examples for judgment training and 3k for refinement training, formatted as a multi-turn task following the first turn's judgment. These two datasets are both constructed with GPT-4o-Mini. Both the actor and refiner are trained with a learning rate of 2e-6 and a warmup ratio of 0.1, using the AdamW optimizer with $\beta_1 = 0.9$ and $\beta_2 = 0.999$. The actor is trained over 5 epochs with a batch size of 64, and the refiner is trained for 3 epochs with the same batch size. In the data construction process, we set a tree search budget of 15 to strike a balance between performance and efficiency. The average number of expanded tree nodes is around 3.7 in our experiments, which is an acceptable level. Specifically, for LLaMA3-8B-Instruct, the average expanded node numbers are 4.3, 3.7, and 3.4 across different iterations, demonstrating a decreasing trend as the model becomes stronger. For the actor iterative training, each iteration uses around 5k examples for DPO. To enhance training stability as suggested by (Hou et al., 2024), an additional SFT loss is added to the chosen response with a weight of 0.1. Here, the learning rate is set to 2e-7, β to 0.1, with a warmup ratio of 0.1, and training is conducted for 1 epoch with a batch size of 32. For the refiner, each iteration utilizes about 10k examples, including 4k refinement samples. We ensure the judgment training dataset maintains

Table 6: Comparison of decoding strategies on LLMBar.

Method	Nat	ural	Adversarial		
1,100Hou	Acc.	F1	Acc.	F1	
Greedy Decoding	68.0	60.7	63.9	55.1	
Majority Voting@3	69.0	60.8	63.7	54.5	
Majority Voting@5	68.5	60.9	64.7	56.5	
Majority Voting@7	66.5	58.8	65.7	56.7	
Majority Voting@9	69.0	61.2	65.8	57.1	

a balance of positive and negative samples. The training configuration remains the same as for SFT, except the learning rate is set to 1e-6. All experiments are performed on an 8×80G Nvidia A100 setup.

For our baseline methods, we have maintained uniform settings to ensure fairness. For SELF, we initialize with our constructed datasets, D_{Actor} and $D_{Refiner}$. In the case of self-rewarding and meta-rewarding, we start with D_{Actor} and D_{JSFT} . For Humpback, we create the seed dataset by combining about 3k data from the Oasst¹ dataset and 5k data from D_{Actor} . We also control the number of training samples to be nearly identical for fair comparisons.

EXPERIMENT RESULTS

D.1 INSTRUCTION-FOLLOWING EVALUATION RESULTS.

The evaluation results on instruction-following benchmarks are shown in Table 9. Our method outperforms all baselines on these benchmarks and shows substantial improvements in each iteration.

GENERAL PERFORMANCE EVALUATION

Our analysis in Table 8 reveals that SPAR training not only doesn't harm general performance, but it can also even bring enhancements.

D.3 JUDGMENT EVALUATION RESULTS.

As shown in Table 10, the judgment capability improves in each iteration and the accuracy outperforms all baselines.

D.4 ABLATION STUDY ON JUDGMENT CAPABILITY.

In our experiments, we employ majority voting for iterative improvements for judgment capability. We show the results of the refiner SPAR-8B-SFT's sampling times and performance on LLMBar in Table 6. To balance the performance and computation time, we choose majority voting@5.

D.5 ABLATION STUDY ON REFINEMENT CAPABILITY.

Table 7 shows the results of different decoding strategies for the refinement task on SPAR-8B. For methods except greedy decoding, we use the same inference budget. We can see that the tree search algorithms largely outperform other methods, verifying the importance of incorporating tree search refinement.

https://huggingface.co/datasets/OpenAssistant/oasst1

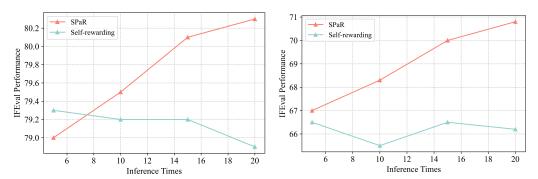


Figure 9: Inference-time scaling comparison on IFEval. The left panel showcases results for LLaMA3-8B-Instruct, while the right panel presents findings for Mistral-7B-Instruct.

D.6 INFERENCE-TIME SCALING COMPARISON

Figure 9 presents a comparison between SPAR and self-rewarding, focusing on their scalability with regard to inference times, measured by the number of response generations in our study. Our analysis includes both the LLaMA3-8B-Instruct and Mistral-7B-Instruct models. The results demonstrate that SPAR outperforms the self-rewarding method when additional computational resources are allocated for inference time, leading to enhanced performance.

Table 7: Comparison of different decoding strategies for refinement task. Acc-GPT stands for the accuracy of using GPT-40 as judge, and Acc-SPAR for the accuracy of using SPAR-8B-RFT-iter3 as judge.

Method	Acc-GPT	Acc-SPAR
Greedy Decoding	69.5	65.0
Best of N	74.0	80.0
Iterative Refinement	71.0	82.0
BFS	79.0	90.5
DFS	79.0	90.0

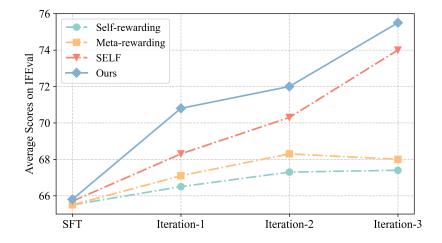


Figure 10: Comparison with baseline methods across iterations. SPAR-7B consistently surpasses all baselines.

Table 8: Performance on general benchmarks. SPAR maintains the model's general capabilities.

Model	GSM8k	TriviaQA	MMLU	HumanEval	Average				
Mistral-7B Models									
Mistral-7B-Instruct	42.9	72.5	57.9	32.9	51.6				
SPAR-7B-SFT	56.4	72.8	56.7	44.5	57.6 _(+6.0)				
SPAR-7B-DPO-iter1	55.6	72.2	55.3	46.3	57.4 (+5.8)				
SPAR-7B-DPO-iter2	54.4	72.1	55.8	45.1	56.9 (+5.3)				
SPAR-7B-DPO-iter3	58.2	71.6	55.1	46.3	57.8 (+6.2)				
	LL	aMA3-8B M	odels						
LLaMA3-8B-Instruct	75.4	75.9	63.6	55.5	67.6				
SPAR-8B-SFT	75.6	76.0	64.0	61.6	69.3 (+1.7)				
SPAR-8B-DPO-iter1	78.8	75.2	63.8	60.4	69.6 (+2.0)				
SPAR-8B-DPO-iter2	77.0	74.9	63.1	60.4	68.9 (+1.3)				
SPAR-8B-DPO-iter3	77.7	75.1	63.1	60.9	69.2 (+1.6)				
	G	LM-4-9B Ma	odels						
GLM-4-9B-Chat	80.6	69.7	71.9	74.3	74.1				
SPAR-9B-SFT	82.9	69.4	71.8	73.8	74.5 (+0.4)				
SPAR-9B-DPO-iter1	82.6	68.8	71.6	75.0	74.5 (+0.4)				
SPAR-9B-DPO-iter2	82.8	68.9	71.8	73.8	74.3 (+0.2)				
SPAR-9B-DPO-iter3	83.0	69.0	72.1	73.2	74.3 (+0.2)				
	LL	aMA3-70B N	1odels						
LLaMA3-70B-Instruct	92.2	87.2	80.8	79.3	84.9				
SPAR-70B-DPO-iter1	92.5	90.4	81.0	79.3	85.8 (+0.9)				
SPAR-70B-DPO-iter2	92.9	89.5	80.4	78.7	85.4 (+0.5)				
SPAR-70B-DPO-iter3	93.4	86.7	80.6	79.9	85.2 (+0.3)				

Table 9: Full results of SPAR-7B, SPAR-9B, and SPAR-70B on instruction-following benchmarks. P stands for prompt level, and I represents instruction level. L and S denote loose and strict evaluations, respectively. Avg. indicates average results and Lv means level. Scores marked with † are sourced directly from the original paper.

			IFEval				Fo	llowBe	nch (SS	R)	
Model	P(L)	I(L)	P (S)	I(S)	Avg.	Lv-1	Lv-2	Lv-3	Lv-4	Lv-5	Avg.
			Mis	stral-7B	Model	s					
Mistral-7B-Instruct	55.1	64.9	49.9	60.2	57.5	65.1	61.6	61.6	56.8	57.2	60.4
SELF	71.3	79.7	68.0	76.9	74.0	71.5	64.2	60.8	58.0	57.0	62.3
Humpback	60.4	71.0	56.6	67.6	63.9	70.7	63.9	63.8	59.8	57.9	63.2
Self-Rewarding	64.3	73.5	61.0	70.7	67.4	70.8	64.8	62.3	61.9	58.3	63.6
Meta-Rewarding	65.1	74.7	61.0	71.1	68.0	73.2	64.6	64.5	60.6	57.6	64.1
SPAR-7B-SFT	62.7	72.3	59.3	68.7	65.8	74.4	64.3	62.5	58.2	55.0	62.9
SPAR-7B-DPO-iter1	68.2	76.6	64.7	73.6	70.8	73.2	64.6	63.1	60.3	56.6	63.6
SPAR-7B-DPO-iter2	70.0	78.1	65.8	74.2	72.0	72.2	65.7	61.4	62.4	57.5	63.8
SPAR-7B-DPO-iter3	74.1	80.9	69.7	77.1	75.5	74.6	63.8	66.1	61.0	58.0	64.7
			GL	M-4-9B	Model	s					
GLM-4-9B-Chat	71.5	79.9	68.0	77.2	74.2	80.8	75.1	67.4	64.3	65.4	70.6
SPAR-9B-SFT	71.5	80.5	68.8	78.1	74.7	79.4	70.9	68.2	65.1	63.7	69.5
SPAR-9B-DPO-iter1	73.8	81.2	70.6	78.5	76.0	82.6	76.0	67.9	64.9	63.6	71.0
SPAR-9B-DPO-iter2	76.7	83.3	73.2	80.9	78.5	80.4	76.6	67.4	68.7	64.1	71.4
SPAR-9B-DPO-iter3	77.3	84.1	73.6	81.4	79.1	82.7	76.7	67.9	68.3	64.2	72.0
			LLa	MA3-70	B Mod	els					
LLaMA3-70B-Instruct	83.7	88.9	77.1	83.8	83.4	77.1	72.5	69.4	68.7	66.3	70.8
AutoIF-70B [†]	85.6	90.4	80.2	86.7	85.7	71.0	67.2	66.2	64.6	63.5	66.5
SPAR-70B-DPO-iter1	84.5	89.2	80.2	85.7	84.9	77.6	74.0	70.2	70.6	66.9	71.9
SPAR-70B-DPO-iter2	85.0	89.4	81.5	87.2	85.8	80.4	76.4	69.9	73.7	70.2	74.1
SPAR-70B-DPO-iter3	85.6	90.2	81.3	87.3	86.1	80.3	75.7	71.4	73.7	70.5	74.3

Table 10: Judgment evalution results on LLMBar for SPAR-7B. Acc. stands for accuracy.

	Nat	ural		Adversarial									Average	
Model			GPT	Inst	GPT	Out	Mai	nual	Neig	hbor	Ave	rage	12,0	- ugu
	Acc.	F1	Acc.	F1	Acc.	F1	Acc.	F1	Acc.	F1	Acc.	F1	Acc.	F1
Mistral-7B-Instruct	58.0	69.1	57.1	68.8	50.0	64.1	45.6	61.5	47.8	62.6	50.1	64.3	51.7	65.2
SELF	68.0	65.2	71.2	68.7	56.4	56.8	62.0	52.6	67.5	62.3	64.3	60.1	65.0	61.1
Self-Rewarding	68.0	64.0	69.0	63.7	59.6	53.7	63.0	57.5	69.4	64.3	65.3	59.8	65.8	60.6
Meta-Rewarding	67.5	62.4	71.7	68.7	56.4	51.8	63.0	56.4	66.8	62.1	64.5	59.7	65.1	60.3
SPAR-7B-SFT	69.5	63.9	71.7	67.5	55.3	48.8	55.4	45.3	69.4	62.3	63.0	56.1	64.3	57.6
SPAR-7B-RFT-iter1	67.0	62.1	66.3	62.7	56.4	52.9	60.9	52.6	64.2	60.7	61.9	57.2	63.0	58.2
SPAR-7B-RFT-iter2	68.0	64.4	68.5	64.6	60.6	57.5	62.0	52.1	64.2	60.0	63.8	58.5	64.7	59.7
SPAR-7B-RFT-iter3	71.0	66.7	72.3	67.5	57.4	55.6	60.9	51.4	68.3	62.6	64.7	59.2	66.0	60.7