Augment before You Try: Knowledge-Enhanced Table Question Answering via Table Expansion

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Abstract

 Table question answering is a popular task that assesses a model's ability to understand and in- teract with structured data. However, the given table often does not contain sufficient informa- tion to answer the question, necessitating the integration of external knowledge. Existing methods either convert both the table and ex- ternal knowledge into text, which neglects the structured nature of the table; or they embed queries for external sources in the interaction with the table, which complicates the process. 012 In this paper, we propose a simple yet effec- tive method to integrate external information in a given table. Our method first constructs an augmenting table containing the missing infor-016 mation and then generates a SQL query over the two tables to answer the question. Experi- ments show that our method outperforms strong **baselines on three table QA benchmarks.**

⁰²⁰ 1 Introduction

 Tables are ubiquitous types of information sources that have attracted significant attention in the NLP community. Researchers have developed models to perform various tabular tasks, including table ques- tion answering (QA) [\(Pasupat and Liang,](#page-5-0) [2015;](#page-5-0) [Chen et al.,](#page-4-0) [2020c;](#page-4-0) [Nan et al.,](#page-4-1) [2022\)](#page-4-1), table fact veri- fication [\(Chen et al.,](#page-4-2) [2020b;](#page-4-2) [Aly et al.,](#page-4-3) [2021\)](#page-4-3), table- to-text generation [\(Parikh et al.,](#page-5-1) [2020;](#page-5-1) [Chen et al.,](#page-4-4) [2020a;](#page-4-4) [Nan et al.,](#page-5-2) [2021\)](#page-5-2), *etc.* A critical challenge in these tasks is that tables often lack sufficient in- formation for the task at hand, which necessitates the integration of additional knowledge. For ex- ample, in Figure [1,](#page-1-0) to answer the question *'How many chords have a root not based on a sharp or flat note?'*, a model needs to have the knowledge of whether each root is based on a sharp or flat note, which is not provided in the table and can only be obtained from external sources.

039 Existing methods for integrating information **040** from tables and external sources can be mainly categorized into two groups. The first method, ex- **041** emplified by Program-of-Thought [\(Chen et al.,](#page-4-5) **⁰⁴²** [2023\)](#page-4-5), linearizes the table into text and combines **043** [i](#page-5-3)t with external knowledge in textual format [\(Xie](#page-5-3) **044** [et al.,](#page-5-3) [2022;](#page-5-3) [Chen,](#page-4-6) [2023\)](#page-4-6). However, the linearized **045** table no longer has the structured format, making **046** it difficult to retrieve required values from the table **047** and perform comparisons and calculations. **048**

An alternative, Binder [\(Cheng et al.,](#page-4-7) [2023\)](#page-4-7), 049 combines the symbolic language execution with **050** large language models (LLMs). It interacts with the **051** table through symbolic language like SQL, which **052** maintains the structured format. Part of the SQL **053** query is replaced with an LLM query that extracts **054** knowledge from the LLM for further SQL execu- **055** tion. For instance, in Figure [1](#page-1-0) (b), the method **056** queries LLMs for whether each root is sharp or **057** flat and uses the results as a filtering criterion in a **058** SQL statement. However, it requires the model to **059** learn to embed LLM queries in the standard SQL **060** language, which differs substantially from the SQL **061** statements the model has been trained on. As a **062** result, it is more likely to generate syntactically **063** wrong statements that lead to execution errors. **064**

In this paper, we propose a simple yet effective **065** method for combining external knowledge with a **066** given table. As shown in Figure [1](#page-1-0) (c), our method **067** starts by analyzing the additional information re- **068** quired for answering the question. It then queries **069** a knowledge source for the information and orga- **070** nizes the results in a tabular format. This newly **071** created table *augments* the original table with addi- **072** tional information, and a SQL query is generated **073** to obtain the answer from the two tables. Such **074** an augment-then-generate pipeline eliminates the **075** need to embed LLM queries in SQL statements **076** while preserving the structured format of the table. 077

We evaluate our method on three table QA **078** datasets that require different types of external **079** [k](#page-5-0)nowledge [\(Chen et al.,](#page-4-8) [2021;](#page-4-8) [Zhu et al.,](#page-6-0) [2021;](#page-6-0) [Pa-](#page-5-0) **080** [supat and Liang,](#page-5-0) [2015\)](#page-5-0). Our method outperforms **081**

Figure 1: Comparison between Program-of-Thought, Binder, and our method.

 or matches strong baselines on all datasets. Par- ticularly, it demonstrates significant improvements over Program-of-Thought in questions with large tables or require complex tabular operations, and compared to Binder, it exhibits fewer execution errors and achieves better performance.

⁰⁸⁸ 2 Related work

089 Table QA task combines structured data reasoning with text understanding. Traditional methods parse questions into executable commands to retrieve and [p](#page-4-9)rocess data from the table to obtain answers [\(Be-](#page-4-9) [rant et al.,](#page-4-9) [2013;](#page-4-9) [Yin and Neubig,](#page-5-4) [2017;](#page-5-4) [Zhong](#page-6-1) [et al.,](#page-6-1) [2017;](#page-6-1) [Shaw et al.,](#page-5-5) [2020;](#page-5-5) [Yu et al.,](#page-5-6) [2018\)](#page-5-6). However, these methods require question-related information to present the table in a rigorous for- mat, which is limited when applied to web tables that often do not have a clean schema. Recent works pre-train neural models on large-scale tab- ular data, and directly encode tables and generate answers in an end-to-end fashion [\(Liu et al.,](#page-4-10) [2022;](#page-4-10) [Xie et al.,](#page-5-3) [2022;](#page-5-3) [Herzig et al.,](#page-4-11) [2020;](#page-4-11) [Yin et al.,](#page-5-7) [2020;](#page-5-7) [Zhao et al.,](#page-5-8) [2022;](#page-5-8) [Deng et al.,](#page-4-12) [2020\)](#page-4-12). To reduce the training cost, some works leverage LLMs to read [a](#page-5-9)nd reason over tables [\(Chen,](#page-4-6) [2023;](#page-4-6) [Pourreza and](#page-5-9) [Rafiei,](#page-5-9) [2023;](#page-5-9) [Sui et al.,](#page-5-10) [2024\)](#page-5-10).

 Although end-to-end methods excel on table QA benchmarks, their predictions lack interpretability [a](#page-5-11)nd are not robust to input perturbations [\(Yang](#page-5-11) [et al.,](#page-5-11) [2022\)](#page-5-11). For this reason, recent works com- bine LLMs with symbolic language execution. Par- ticularly, [Cheng et al.](#page-4-7) [\(2023\)](#page-4-7) incorporates func- tion calls to LLMs in SQL statements. [Ye et al.](#page-5-12) [\(2023\)](#page-5-12) decomposes the question and table into sub- problems solvable by SQL queries. [Chen et al.](#page-4-5) [\(2023\)](#page-4-5) generates the reasoning process as Python programs. A recent work [\(Wang et al.,](#page-5-13) [2024\)](#page-5-13) dy-namically updates the table in the reasoning process. They employ LLMs to iteratively generate **119** operations such as selecting a subset of rows or **120** adding a new column, and the final resulting table **121** is fed to LLMs to generate the answer. However, **122** their chain of operations is prone to error propa- **123** gation, while our method retains the original table **124** content and augments it with required information. **125**

3 Methodology **¹²⁶**

3.1 Problem Formulation **127**

Given a natural language question *Q*, a table *T*, **128** and a knowledge source *S*, the task is to generate a **129** correct answer for the question. Crucially, *T* might **130** not contain all the necessary information to answer **131** the question, which necessitates the use of *S* to **132** obtain additional information. In this paper, we **133** consider *S* to be either a relevant text document or **134** an LLM that we can query. **135**

3.2 Overall Framework **136**

Our method contains three steps, as illustrated in **137** Figure [1](#page-1-0) (c). The detailed instructions and examples for each step are listed in Appendix [A.](#page-6-2) **139**

Step 1: Analyze question. An LLM is instructed 140 to analyze the given question and table to determine **141** what additional information is needed to answer 142 the question. We instruct the LLM to first list out **143** all the necessary information for answering *Q*. For **144** each piece of information, it then determines if 145 the information is present in T or not. The output 146 of this step is a list of queries that can be later **147** used to obtain additional information from *S*, or **148** empty if no additional information is needed. For **149** example, in Figure [1](#page-1-0) (c), the model outputs *'Is the* **150** *root based on a sharp or flat note?'*. Additionally, **151** for information that needs to be obtained based on **152** the table, the LLM will also specify which columns **153** **154** are needed, *e.g.,* it specifies that the query needs to **155** be answered for each row in the 'Root' column.

 Step 2: Construct augmenting table. Using out- put queries from step 1, the LLM then obtains cor- responding information from the source *S*. Specifi- cally, when *S* is a text document, this step is similar to the reading comprehension task where the LLM needs to extract answers to the queries from the document. When *S* is an LLM, this step resembles a QA task where the LLM needs to directly answer the query. Finally, the obtained information is orga- nized into a separate table that can complement the existing table *T*. Figure [1](#page-1-0) (c) shows an example where a new table of two columns is constructed. It is worth mentioning that this step is flexible and can be easily extended to other types of sources *S*.

Step 3: Generate SQL query. With the original and newly constructed tables, the LLM then gener- ates a SQL query that can be executed to obtain the answer to the question. Importantly, the two tables contain sufficient information for answering *Q*, and the LLM can generate a standard SQL query, which is easier and more similar to its pre-training data.

¹⁷⁷ 4 Experiments

178 We evaluate our method on table QA benchmarks, **179** focusing on two types of questions that might re-**180** quire external knowledge from different sources.

- **181** Open-domain knowledge where external infor-**182** mation comes from an open domain. We use the **183** embedded knowledge in LLMs as the source.
- **184** Closed-domain knowledge where all informa-**185** tion is within a given table and a text document **186** containing all related external knowledge.

187 We will discuss the common experiment settings **188** in Section [4.1](#page-2-0) and individual experiments for each **189** type in Sections [4.2](#page-2-1) and [4.3](#page-3-0) respectively.

190 4.1 Experiments Setup

 Implementation details. We prompt an LLM with detailed instructions and in-context examples for all three steps in our method. To feed the ta- ble to the LLM for question analysis (Step 1) and generating SQL queries (Step 3), we linearize the table by concatenating columns with special tokens 197 (*e.g.*, 'l') following previous works [\(Chen et al.,](#page-4-5) [2023\)](#page-4-5). We use GPT-3.5-turbo-1106 as the back- bone LLM and greedy decoding (*i.e.,* temperature is 0) for our method and all baselines. For a fair comparison, we use the same number of in-context examples as baselines (details in Appendix [A\)](#page-6-2).

Baselines. We compare with five LLM-based **²⁰³** baselines. ∂ End-to-End that directly outputs the **²⁰⁴** answer given the table, question, and optionally the **205** text document. [●] Table-CoT [\(Chen,](#page-4-6) [2023\)](#page-4-6) that **206** uses the chain-of-thought prompting [\(Wei et al.,](#page-5-14) **207** [2022\)](#page-5-14) to additionally output the reasoning chain. **208** Θ Dater [\(Ye et al.,](#page-5-12) [2023\)](#page-5-12), Θ Binder [\(Cheng et al.,](#page-4-7) 209 [2023\)](#page-4-7), and ∫ Program-of-Thought (PoT) [\(Chen](#page-4-5) **²¹⁰** [et al.,](#page-4-5) [2023\)](#page-4-5) that combine LLMs with symbolic **211** language execution (details in Section [2\)](#page-1-1). Particu- **212** larly, since Binder does not generate the reasoning **²¹³** chain, we include an improved variant with chain- **214** of-thought prompting, denoted as Binder+CoT. **²¹⁵**

Metrics. We use exact match rate (EM) between 216 predicted and ground-truth answers as the metric **217** and use the same evaluation code across methods. **218**

4.2 Open-Domain Knowledge **219**

[D](#page-5-0)atasets. We evaluate on WIKITQ dataset [\(Pasu-](#page-5-0) **220** [pat and Liang,](#page-5-0) [2015\)](#page-5-0), which requires complex table **221** reasoning for the question. According to [Shi et al.](#page-5-15) **222** [\(2020\)](#page-5-15), around 20% of WIKITQ questions are not **223** answerable by SQL queries, which are likely to re- **224** quire additional knowledge not present in the table. **225** We test all methods on the full test set, containing **226** 4344 samples. **227**

Results. Table [1](#page-3-1) presents the EM. There are two **228** observations from the table. First, methods that in- **229** volve program execution are generally better than **230** those that do not, highlighting the value of accurate **231** data retrieval or processing. Second, our method **232** achieves the best performance, showing its effec- **233** tiveness. To further evaluate scalability across table **234** sizes, Figure [2](#page-3-2) plots the performance breakdown **235** by the number of tokens in the table. As can be **236** observed, our method and Binder+CoT are the only **²³⁷** methods that maintain performance on large tables, **238** whereas methods that rely on LLMs to extract infor- **239** mation from linearized tables such as Table-CoT **²⁴⁰** and PoT suffer significant performance degradation **²⁴¹** on large tables. This illustrates the advantage of **242** SQL queries when interacting with the table. **243**

Comparison with **Binder+CoT**. To further ver- **²⁴⁴** ify whether our augment-then-generate pipeline **245** leads to easier and more accurate SQL genera- **246** tion over the best-performing baseline Binder+CoT **²⁴⁷** (hereafter Binder), we compare the two methods **²⁴⁸** on the subset of questions not solvable by pure **249** SQL identified by [Shi et al.](#page-5-15) [\(2020\)](#page-5-15), which rely **250** more on the integration of external knowledge. Fig- **251**

	Test EM
$End-to-Fnd$	50.78
Table-CoT (Chen, 2023)	52.42
PoT (Chen et al., 2023)	53.02
Dater (Ye et al., 2023)	46.89
Binder (Cheng et al., 2023)	35.45
Binder+CoT	52.09
Ours	55.69

Table 1: Exact match on WIKITQ test set. Methods in the bottom panel involve program execution.

Figure 2: Performance grouped by table length.

 ure [3](#page-3-3) shows the EM and percentage of execution errors, where our method demonstrates a more pronounced improvement. To better pinpoint the cause of performance difference, we add a post- processing step for Binder, where we extract the LLM queries from the SQL statement generated by Binder, query LLMs for desired information and add it as a new column in the original table, and re-generate a standard SQL (without LLM queries) based on the augmented table. This variant (dubbed Binder-separate) improves the EM and reduces execution errors over Binder, which vali- dates our hypothesis that combining LLM queries with SQL complicates the generation, leading to more syntax errors in generated programs. Notably, our method still incurs fewer execution errors than Binder-separate, which is likely due to the fact that our method generates more augmentations for the table, thus reducing the complexity of required SQL (see Appendix [C.1](#page-7-0) for details and examples).

 In Appendix [B,](#page-6-3) we also compare our method with a recent work Chain-of-Table [\(Wang et al.,](#page-5-13) [2024\)](#page-5-13). Results show that our method achieves 1.85 higher EM when using GPT3.5-0613 as the back- bone LLM, demonstrating its effectiveness despite being simpler and not requiring sequential opera-tions. Please refer to Appendix [B](#page-6-3) for details.

279 4.3 Closed-Domain Knowledge

²⁸⁰ Datasets. We evaluate on TATQA [\(Zhu et al.,](#page-6-0) **²⁸¹** [2021\)](#page-6-0) and FinQA [\(Chen et al.,](#page-4-8) [2021\)](#page-4-8). Questions in

Figure 3: Comparison between our method and Binder.

	TATOA FINOA	
End-to-End	35.50	34.18
Table-CoT (Chen, 2023)	34.91	39.87
PoT(Chen et al., 2023)	61.14	54.43
Ours	63.12	53.80

Table 2: Exact match on TATQA and FINQA.

these datasets involve a table and a financial report, **282** and the answer often requires arithmetic operations **283** in addition to table understanding ability. We filter **284** the datasets to only include questions that require **285** both table and report to answer (details in Table [5\)](#page-7-1). **286**

Results. Table [2](#page-3-4) presents the results. Binder and **²⁸⁷** Dater are not included because the original paper **²⁸⁸** did not evaluate on these datasets and extension to **289** this setting requires substantial modification. There **290** are two observations. First, our method and PoT sig- **²⁹¹** nificantly outperform the other two baselines that **292** do not involve program executions, which shows **293** the benefits of leveraging programs when questions **294** require arithmetic calculations. Second, although **295** the input tables are much smaller, which is bene- **296** ficial for PoT, our method is on par with PoT on **²⁹⁷** FINQA and outperforms it by 2 EM on TATQA. A **298** further performance breakdown by the number of **299** table cells required to answer a question in Figure **300** [4](#page-7-2) shows that our method is more effective on ques- **301** tions that require information from multiple cells, **302** indicating that our method is more likely to general- **303** ize to complex questions. Furthermore, it is easier **304** to locate and correct errors made by our method **305** as it only requires inspection of the generated SQL **306** queries, whereas PoT requires checking the whole **³⁰⁷** table contents (see examples in Appendix [C.2\)](#page-8-0). **308**

5 Conclusion **³⁰⁹**

We propose a simple method that augments a table **310** by creating a new table that contains information **311** from external sources. The LLM then generates a **312** SQL query to answer the question. Experiments on **313** three table QA benchmarks show that our method **314** outperforms or matches strong baselines. **315**

³¹⁶ 6 Limitation

 There are several limitations in this work that need to be further improved. First, our framework re- lies on the LLM's ability to generate correct SQL statements. If the LLM has limited SQL generation ability, such as Llama2 in Appendix [B,](#page-6-3) the perfor- mance of our method will be affected. In addition, we only evaluate our method on integrating exter- nal knowledge from two different sources. The generalizability of our method to other knowledge sources remains to be assessed.

³²⁷ 7 Potential Risks and Use of Data

 In this paper, we propose a method for the table QA task that combines LLMs with SQL queries. Each step of our method is interpretable, which allows users to easily verify the correctness of each step. Thus the potential risks of our method can be considerably reduced. However, it is also im- portant to note that our method relies on LLMs to obtain additional information. Particularly, when the LLM is used as the external source, it might encounter the hallucination issue, where the LLM generates wrong information for the question. It is thus crucial to not fully trust the output from the LLM and compare it with reliable information sources to check the correctness of augmented in-formation.

 The datasets used in this paper are downloaded from the official websites. All datasets are under CC-BY-4.0 license and are consistent with their in- tended use. Table [5](#page-7-1) lists the statistics of employed datasets.

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⁵⁵² A Implementation Details

 For all methods on all three datasets, we use the greedy decoding for generation, *i.e.,* temperature equals 0. Table [3](#page-6-4) lists other generation parame- ters of our method. Table [5](#page-7-1) shows the statistics of datasets used in this paper.

558 A.1 Open-domain Knowledge

 For the open-domain knowledge setting on WIK- ITQ, since our method generates queries that will be asked for every single row in one or more columns, the constructed augmenting table will al- ways have the same number of rows as the original table. For simplicity, we directly join the two ta- bles based on the row index before feeding them to LLMs to generate the SQL statement in step 3. In other words, the newly constructed table is joined on the original table as additional columns, and the SQL statement will be generated based on the joined table. Figures [11](#page-11-0) and [12](#page-11-1) show the detailed instruction used for this step and a demonstration of the in-context example. For step 2, we use the [s](#page-4-7)ame instruction and in-context examples as [Cheng](#page-4-7) [et al.](#page-4-7) [\(2023\)](#page-4-7) to query LLMs for required informa- tion. An example is shown in Figure [13.](#page-12-0) For step 3, we provide LLMs with in-context examples along with a one-sentence instruction, as illustrated in [F](#page-4-7)igure [14.](#page-12-1) We use the evaluation code in [Cheng](#page-4-7) [et al.](#page-4-7) [\(2023\)](#page-4-7) to calculate EM for all methods.

580 A.2 Closed-domain Knowledge

 For the closed-domain setting on TATQA and FINQA, we feed both the text document and the table to the LLM to provide enough context. To save the inference cost, we merge steps 1 and 2 together such that the model analyzes the required additional information and then extracts them from

		WIKITQ TATQA FINQA	
top_p	1.0	1.0	1.0
max_output_tokens	512	512	512
num shots	8		

Table 3: Parameters for our greedy generation (sections [4.2](#page-2-1) and [4.3\)](#page-3-0).

	GPT3.5		Llama2	
	Augmentation generation	SOL generation	Augmentation generation	SOL generation
temperature	0.6	0.4	0.8	0.4
top_p	1.0	1.0	1.0	1.0
sampling n	3	2 or 4	4	3 or 4
max output tokens	512	512	256	256
num shots	8	8	8	8

Table 4: Generation parameters for our ensemble model on WIKITQ (Appendix [B\)](#page-6-3). Augmentation generation and SQL generation correspond to the step 1 and 3 in our method.

the document in a single run. We instruct the model **587** to extract information in a JSON format that can **588** be easily organized into a table. Figures [15](#page-13-0) and **589** [16](#page-14-0) show the detailed instruction used and a demon- **590** stration of the in-context example on TATQA, and 591 Figures [18](#page-16-0) and [19](#page-16-1) show the same for FINQA. For **592** step 3, we provide the original table and the newly **593** constructed table if available to LLMs. Figures **594** [17](#page-15-0) and [20](#page-17-0) show a demonstration of the in-context **595** examples on TATQA and FINQA respectively. **596**

To select questions for evaluation, we only use **597** those that require both the table and the document. **598** Specifically, for TATQA, we select questions that **599** have answer_from=table-text, and for FINQA, **⁶⁰⁰** we select those whose ground truth evidence con- 601 tains at least one table row and one document sen- **602** tence. We follow [Chen et al.](#page-4-5) [\(2023\)](#page-4-5) to calculate **603** the EM. **604**

B Comparison with **Chain-of-Table ⁶⁰⁵**

We additionally compare with Chain-of-Table 606 [\(Wang et al.,](#page-5-13) [2024\)](#page-5-13) on WIKITQ. Since their **607** implementation is not available at the submis- **608** sion time of this paper, we use the same dataset **609** and backbone LLMs as theirs and directly com- **610** pare with the numbers reported in their paper. **611** Specifically, we use GPT-3.5-turbo-16k-0613 **⁶¹²** and Llama2-13b-chat [\(Touvron et al.,](#page-5-16) [2023\)](#page-5-16) as **⁶¹³** backbone LLMs and evaluate on the full test set of **614** WIKITO. Since their sequential operations require 615 multiple queries for LLMs, we consider the major- **616** ity vote of execution results from *N* SQL queries **617** as our final prediction. To generate these SQL **618**

	WIKITO	WIKITQ SQL unsolvable	ТАТОА	FINOA
# questions	4344	625	507	158
Split	Test	Dev	Dev	Test
# table rows	25.4	28.0	9.7	6.8
# table tokens	571.7	685.7	119.1	86.2
Knowledge source S	LLMs	LLMs	Document	Document

Table 5: Summary of the datasets used in this paper.

	# generated samples	EМ	
GPT3.5			
Binder	50	56.74	
Chain-of-Table	≤ 25	59.94	
Ours $(6 SOLs)$	11.4	61.05	
Ours $(12 SOLs)$	17.4	61.79	
Llama2			
Binder	50	30.92	
Chain-of-Table	≤ 25	42.61	
Ours $(12 SOLs)$	19.82	34.00	
Ours $(16 SOLs)$	23.82	35.34	

Table 6: Exact match on full WIKITQ test set. # generated samples denotes the total number of generated samples to answer one question.

 queries, we sample *m* different outputs for step 1 (*i.e., m* different augmentations), and for each augmentation, we sample *k* SQL queries. The total number of generated samples for each question is $m + \alpha m + mk$, where α is the percentage of step 1 outputs that actually need additional information. Table [4](#page-6-5) lists the parameters for generation.

 The results are shown in Table [6.](#page-7-3) As can be ob- served, our method outperforms Chain-of-Table and Binder when using GPT3.5 as the backbone LLM, despite using fewer LLM queries. When us- ing Llama2, Chain-of-Table achieves better per- formance than Binder and our method. We hypoth- esize that the performance difference is due to the limited SQL generation ability of Llama2. An im- portant difference is that Chain-of-Table feeds the final table to LLMs and directly asks LLMs to generate the answer, whereas Binder and our method prompt LLMs to generate SQL queries and execute to get the answer, which is affected more when the LLM has limited SQL generation ability. In fact, the generated SQL of our method contains 32*.*9% of execution errors when using Llama2 as the LLM, compared to that of 8*.*7% when using GPT3.5. However, our method still outperforms Binder on Llama2, demonstrating the benefits of our augment-then-generate pipeline.

Figure 4: Performance decomposition by the number of table cells needed to answer the question.

C Additional Examples **⁶⁴⁶**

C.1 Comparison with **Binder ⁶⁴⁷**

In this section, we elaborate on the com- **648** parison between our method, Binder, and **⁶⁴⁹** Binder-separate. In Figure [3,](#page-3-3) it can be observed **⁶⁵⁰** that our method achieves better performance and **651** exhibits fewer execution errors than Binder. More- **⁶⁵²** over, Binder-separate, which separates the SQL **⁶⁵³** generation and LLM queries in Binder, reduces **⁶⁵⁴** its execution errors, validating our hypothesis that **655** integrating LLM queries in SQL generation could **656** lead to more syntax errors. Figures [5](#page-8-1) and [6](#page-9-0) show **657** two examples where Binder encounters execu- **⁶⁵⁸** tion errors when trying to generate a SQL state- **659** ment with LLM queries, whereas our method and **660** Binder-separate correctly generate SQL state- **⁶⁶¹** ments to answer the question. 662

Our method also incurs fewer execution errors **663** than Binder-separate, which can be ascribed **⁶⁶⁴** to the fact that our method generates more aug- **665** mentations for the table, which significantly re- **666** duces the complexity of required SQL statements. **667** Figure [7](#page-9-1) illustrates one such example, where 668 Binder-separate gets errors because the required **⁶⁶⁹** information is missing from the table, whereas our **670** method correctly answers the question based on **671** the augmented table. In fact, our method generates **672** augmentations for 72*.*3% of the questions, while **673** Binder only includes LLM queries for 6*.*1% of the **⁶⁷⁴** questions, showing that our method also benefits **675**

676 the augmentation of additional information.

⁶⁷⁷ C.2 Comparison with **PoT**

 We now provide more examples for the comparison between our method and PoT. Figure [4](#page-7-2) shows the performance breakdown by the number of cells re- quired to answer the question. Based on the figure, our method is more effective on questions that re- quire multiple table cells for the answer. Figures [8](#page-9-2) and [9](#page-10-0) show two such examples, where our method selects the correct values from the table to perform calculations, but PoT retrieves wrong values from the table, despite generating programs with correct logic. According to [Chen et al.](#page-4-5) [\(2023\)](#page-4-5), this type of value grounding errors take up 47% of the errors made by PoT. Moreover, correcting these errors re- quires manual efforts to look into the contents of the table, which is time-consuming when the table is large.

 On the contrary, Figure [10](#page-10-1) shows an example question that only requires a single cell from the table. PoT correctly selects the answer but our method selects the value in the wrong column. However, correcting this error requires only manual inspection of the generated SQL statement, which is much more efficient than checking the whole table contents.

Binder:

Ours:

SELECT `first issued` FROM t1 ORDER BY

LENGTH(QA("map@alphanumeric digits"; CONCAT(`serial
format`, `serials issued'))) DESC LIMIT 1

`serials issued`))) DESC LIMIT 1 (sqlite3.OperationalError) near "CONCAT": syntax error

Step 1: How many alphanumeric digits are there in the serial format? Step 2: Augmented table

Figure 5: An example question in WIKITQ. Binder generates a SQL statement that queries LLMs for unsolvable parts. However, the statement leads to an execution error. Our method augments the table with an additional column and correctly generates a SQL statement to answer the question.

Execution result: 25 \checkmark

Figure 6: An example question in WIKITQ. Binder generates a SQL statement that queries LLMs. However, the statement leads to an execution error. Our method and Binder-separate correctly generate a pure SQL statement to answer the question.

Figure 7: An example question in WIKITQ. Binder generates a SQL statement without syntax error, but it does not query LLMs for additional knowledge, resulting in a wrong answer. Since the original SQL statement generated by Binder does not augment the table with additional information, the question is not answerable using pure SQL, leading to an execution error in Binder-separate. Our method augments the table and correctly answers the question.

Figure 8: An example question in FINQA that requires two table cells to answer. PoT retrieves the wrong value (highlighted in red) from the table, despite generating a program with correct logic. Identifying the error requires looking into the table contents manually. Our method correctly selects the values and answers the question.

Question: What was the percentage change in the Net deferred tax assets (liabilities) between 2018 and 2019?

```
Program-of-Thought:
 net_deferred_tax_assets_2018 = 26062<br>net_deferred_tax_assets_2019 = 83615<br>ans = (net_deferred_tax_assets_2019 -<br>net_deferred_tax_assets_2018) /
abs(net_deferred_tax_assets_2018) * 100
Execution result: -4049.06 ✓
Execution result: 220.83 X
Ours:
SELECT 
       ((CAST(`2019` AS REAL) - CAST(`2018` AS REAL)) / 
CAST(`2018` AS REAL)) * 100 AS percentage_change
FROM t1
WHERE `row id` = 18
```
Figure 9: An example question in TATQA that requires two table cells to answer. PoT retrieves the wrong value (highlighted in red) from the table, despite generating a program with correct logic. Our method correctly selects the values and answers the question.

Figure 10: An example question in TATQA that requires a single table cell to answer. PoT correctly retrieves the value from the table. Our method mistakenly selects the value. However, the error is easy to be spotted and corrected by inspecting the SQL statement.

```
Task Description:
Your task is to prepare a table for SQL query generation in order to answer a specific
question. This may require modifying the table by adding extra columns. These new
columns are created based on natural language questions , with each question applied
individually to every row in the existing columns. The goal is to transform existing
data into a format that's suitable for SQL operations, or to incorporate additional
information into the table.
Procedure:
1. Evaluate the Table and Question: Assess if the table, in its current format, is
suitable for generating a SQL query to answer the given question.
2. Determine Additional Columns:
   - If the table is already suitable for the SQL query, simply output "None"
   - If the table requires modifications, identify and define the necessary changes.
Specifically, add new columns where each row's value is derived from a natural language
question applied to the relevant columns. Use the format:
   `new_column ` = @("question"; [relevant_columns]),
   where 'question' is the question asked for each row to derive the new column's
contents , and `relevant_columns ` are the existing columns that provide the information
needed for the question.
Response Format:
Begin your response with "Transformation:" and include:
- Solution outline: Describe a step -by-step reasoning chain of how to answer the
question.
- Further analysis: Determine if modifications are required for each step.
- Final output: List each required additional column in the specified format , each on a
new line. If no modifications are needed , output "None".
```


```
Title: 2007 New Orleans Saints season
CREATE TABLE t1(
   row id int.
   date text ,
    game site text ,
    result/score text)
/*
3 example rows:
SELECT * FROM t1 LIMIT 3;
row_id date game site result/score<br>0 2007-9-6 rca dome 1 41-10
0 2007-9-6 rca dome l 41-10
1 2007-9-16 raymond james stadium l 31-14
                     louisiana superdome
*/
Q: what number of games were lost at home?
Transformation:
Solution outline:
1. Find the losing games.
2. Find the games at home.
3. Count the number of games that satisfy both conditions.
Further analysis:
For step 1, we need information in `result/score` column. We need to parse if it's a win
or loss. We will add a column called `is_loss`
For step 2, we need information in 'game site' column. We need additional information on
whether it's a home game or not. We will add a column called `is_home_game`.
Step 3 can be done with a SQL query.
Final output:
 is loss \epsilon = \theta ("Is it a loss?"; [result/score])
`is_home_game ` = @("Is it the home court of New Orleans Saints?"; [game site])
```
Figure 12: A demonstration of the in-context example used for augmentation generation (Step 1) on WIKITQ.

```
Give a database as shown below:
Table: 1963 International Gold Cup
/*
row_id driver
0 jim clark
1 richie ginther<br>2 graham hill
    graham hill
3 jack brabham
4 tony maggs
*/
Q: Answer question "What is his/her country?" row by row.
Output:
/*
row_id driver
0 jim clark scotland
1 richie ginther united states
    graham hill
3 jack brabham australia
4 tony maggs south africa
*/
```
Figure 13: A demonstration of the in-context example used for querying additional information (Step 2) from LLMs on WIKITQ.

```
Read the following table and write a SQL query to answer the question:
Title: 2007 New Orleans Saints season
CREATE TABLE t1(
   row_id int ,
   date text ,
   game site text ,
   result/score text ,
   is_loss text ,
   is_home_game text)
/*
3 example rows:
SELECT * FROM t1 LIMIT 3;
row_id date game site result/score is_loss is_home_game
0 2007-9-6 rca dome l 41-10 yes no
1 2007-9-16 raymond james stadium l 31-14 yes no
2 2007-9-24 louisiana superdome l 31-14 yes yes
*/
Q: what number of games were lost at home?
SQL: To answer the question, we need following steps:
1. Find the losing games by `is_loss` column.
2. Find the games at home by 'is_home_game' column.
3. Count the number of games that satisfy both conditions.
Final SQL query:
```
SELECT COUNT(*) FROM t1 WHERE `is_loss ` = yes AND `is_home_game ` = yes
```
```
Figure 14: A demonstration of the in-context example used for SQL generation (Step 3) on WIKITQ.

```
Task Description:
You are tasked with analyzing a provided table and an accompanying report to answer a
specific question. This involves assessing whether the table contains all necessary
information for answering the question. If additional information is needed , you must
extract this from the report and create a supplementary table. Your primary focus is on
the analysis and information extraction process , which will facilitate in forming a SQL
query to answer the question.
Procedure:
1. Assess the Given Table and Question: Determine whether the provided table contains
all the required information to answer the question.
2. Extract Information for Additional Table Creation:
   - If the existing table is sufficient, simply output "None"
   - If the existing table lacks essential information , extract the required data from
the report in the following JSON format: `{"column_name": [value1, ...], ...}
Each example is given in the following structure:
- Report: Contents of the report that may contain additional information.
- Tables: Contents of the table , with columns separated by " | " and rows by "\n".
- Question: The specific question that needs to be answered.
Response Format:
Begin your response with "Analysis:" and include:
- Solution outline: Describe the step -by-step outline for answering the question.
- Further analysis: Determine whether each step's information is available in the
existing table or needs to be extracted from the report.
- Final output: Extract necessary information from the report in JSON format as
described above; if no additional information is needed, output "None".
Notes:
- You may extract information with any number of columns and rows. However , all columns
should have the same number of values.
- Make the JSON self -explanatory. Use descriptive column names , add context where needed
, and include units in column names to prevent ambiguity.
 - Avoid creating columns with empty or NaN values.
```


```
Report:
NOTE 5 - PROPERTY AND EQUIPMENT
The Company owned equipment recorded at cost , which consisted of the following as of
December 31, 2019 and 2018:
Depreciation expense was $80 ,206 and $58 ,423 for the years ended December 31, 2019 and
2018, respectively
Tables:
row_id | filledcolumnname | 2019 | 2018
0 | computer equipment | 137763 | 94384
1 | furniture and fixtures | 187167 | 159648
2 | subtotal | 324930 | 254032
3 | less accumulated depreciation | 148916 | 104702
4 | property and equipment , net | 176014 | 149330
Question: What is the ratio of depreciation expense to accumulated depreciation of
property and equipment in 2019?
Analysis:
Solution outline:
1. Find the amount of depreciation expense and accumulated depreciation of property and
equipment in 2019.
2. Calculate the ratio.
Further analysis:
For step 1, the accumulated depreciation is mentioned in the table in row 3. But the
depreciation expense is missing from the table. So we need to extract it from the report
.
Step 2 can be done with a SQL query.
Final output:
{" depreciation_expense_2019 ": ["$80 ,206"]}
```
Figure 16: A demonstration of the in-context example used for constructing augmenting table (Steps 1 and 2) on TATQA.

```
Report:
NOTE 5 - PROPERTY AND EQUIPMENT The Company owned equipment recorded at cost , which
consisted of the following as of December 31, 2019 and 2018: Depreciation expense was
$80 ,206 and $58 ,423 for the years ended December 31, 2019 and 2018, respectively
Tables:
CREATE TABLE t1(
   row_id int ,
    filledcolumnname text ,
   2019 int ,
   2018 int)
/*
All rows of the table:
SELECT * FROM t1:
row_id filledcolumnname 2019 2018<br>0 computer equipment 137763 94384
0 computer equipment 137763 94384
1 furniture and fixtures 187167 159648
2 subtotal 324930 254032
3 less accumulated depreciation 148916 104702
4 property and equipment, net 176014 149330
*/
CREATE TABLE t2(
   row_id int ,
   depreciation_expense_2019 int)
/*
All rows of the table:
SELECT * FROM t2;
row_id depreciation_expense_2019
0 80206
*/
Q: What is the ratio of depreciation expense to accumulated depreciation of property and
equipment in 2019?
SQL: Reasoning process:
We need following steps to answer the question:
1. Get the depreciation expense in 2019 from t2.
2. Get the accumulated depreciation in 2019 from t1, which is in row 3.
3. Calculate the ratio.
Final SQL query:
、、、<br>SELECT
    (SELECT `depreciation_expense_2019 ` FROM t2 WHERE `row_id ` = 0) /
    CAST((SELECT `2019` FROM t1 WHERE `row_id ` = 3) AS REAL)
   AS depreciation_ratio
FROM t1
LIMIT 1
```<br>Units: ""
```


```
Task Procedure:
1. Assess the Given Table and Question: Determine whether the provided table contains
all the required information to answer the question.
2. Extract Missing Information from Report:
 - If the existing table is sufficient, simply output "None"
 - If the existing table lacks essential information , extract the required data from
the report in the following JSON format: \{"column_name": [value1, ...], ...}
Each example is given in the following structure:
- Report: Contents of the report that may contain additional information.
- Tables: Contents of the table, with columns separated by " | " and rows by "\n".
- Question: The specific question that needs to be answered.
Response Format:
Begin your response with "Analysis:" and include:
- Solution formula: Write a formula to calculate the answer.
- Further analysis: Determine for each variable in the formula whether it is available
in the table or needs to be extracted from the report.
- Final output: For variables not in the table , extract them from report in JSON format
as described above; if all variables are in the table, output "None".
Notes:
- Make the JSON self -explanatory. Use descriptive column names and include units in
column names to prevent ambiguity.
```
Figure 18: System prompt used for constructing augmenting table (Steps 1 and 2) on FINQA.

<span id="page-16-1"></span>Report: purchases of equity securities 2013 during 2014 , we repurchased 33035204 shares of our common stock at an average price of \$ 100.24 [b] effective january 1, 2014, our board of directors authorized the repurchase of up to 120 million shares of our common stock by december 31 , 2017 . Tables: row\_id | period | total number ofsharespurchased[a] | averageprice paidpershare | total number of sharespurchased as part of apublicly announcedplan or program [b] | maximum number ofshares that may yetbe purchased under the planor program [b] 0 | oct . 1 through oct . 31 | 3087549 | 107.59 | 3075000 | 92618000 1 | nov . 1 through nov . 30 | 1877330 | 119.84 | 1875000 | 90743000 2 | dec . 1 through dec . 31 | 2787108 | 116.54 | 2786400 | 87956600 3 | total | 7751987 | 113.77 | 7736400 | n/a Question: what percent of the share repurchases were in the fourth quarter? Analysis: Solution formula: share\_repurchase\_fourth\_quarter / share\_repurchase\_whole\_year Further analysis: share\_repurchase\_fourth\_quarter is in row 3 of the table share\_repurchase\_whole\_year is not in the table , so we need to extract it from the report Final output: {"share\_repurchase\_whole\_year": [33035204]}

Figure 19: A demonstration of the in-context example used for constructing augmenting table (Steps 1 and 2) on FINQA.

```
Report:
purchases of equity securities 2013 during 2014 , we repurchased 33035204 shares of our
common stock at an average price of $ 100.24
[b] effective january 1, 2014, our board of directors authorized the repurchase of up
to 120 million shares of our common stock by december 31 , 2017 .
Tables:
CREATE TABLE t1(
 row_id int ,
 period text ,
 total number ofsharespurchased[a] int ,
 averageprice paidpershare real ,
 total number of sharespurchased as part of apublicly announcedplan or program [b]
 int ,
 maximum number ofshares that may yetbe purchased under the planor program [b] text)
/*
All rows of the table:
SELECT * FROM t1:
row_id period total number ofsharespurchased[a] averageprice paidpershare
total number of sharespurchased as part of apublicly announcedplan or program [b]
maximum number ofshares that may yetbe purchased under the planor program [b]
0 oct . 1 through oct . 31 3087549 107.59 3075000 92618000
1 nov . 1 through nov . 30 1877330 119.84 1875000 90743000
2 dec . 1 through dec . 31 2787108 116.54 2786400 87956600
3 total 7751987 113.77 7736400 n/a
*/
CREATE TABLE t2(
 row id int
 share_repurchase_whole_year int)
/*
All rows of the table:
SELECT * FROM t2;
row_id share_repurchase_whole_year
0 33035204
*/
Q: what percent of the share repurchases were in the fourth quarter?
SOL:
Solution formula:
share_repurchase_fourth_quarter / share_repurchase_whole_year
Further analysis:
share_repurchase_fourth_quarter is in row 3, column `total number ofsharespurchased[a]`
of t1
share_repurchase_whole_year is in row 0, column `share_repurchase_whole_year ` of t2
Final SQL query:
```<br>SELECT
   CAST((SELECT `total number ofsharespurchased[a]` FROM t1 WHERE `row_id ` = 3) AS REAL
   ) /
    (SELECT `share_repurchase_whole_year ` FROM t2 WHERE `row_id ` = 0) * 100
```
```
Figure 20: A demonstration of the in-context example used for SQL generation (Step 3) on FINQA.