DEBIASING ONLINE PREFERENCE LEARNING VIA PREFERENCE FEATURE PRESERVATION

Anonymous authors

Paper under double-blind review

ABSTRACT

While various preferred features determine human preferences, current preference learning frameworks for large language models (LLMs) simplify them with binary pairwise comparisons and scalar rewards. This simplification could make LLMs' responses biased to mostly preferred features such as longer responses which would be exacerbated in online learning scenarios as the biases can be accumulate continuously throughout the iterations. To address these challenges, we propose a novel framework called PFP (Preference Feature Preservation). The key idea of PFP is maintaining the distribution of human preference features throughout the online preference learning process. Specifically, PFP first trains a feature classifier using the existing offline pairwise human preference data. Then, using this classifier and the distribution preserving optimization, PFP maps appropriate preference features for each input instruction during online learning. Lastly, PFP trains LLM using the existing preference learning framework, by incorporating the preference feature of each data into system prompts and enabling LLM to explicitly handle various human preferences. Our experiments demonstrate that PFP successfully mitigates the bias in preference features that arise during online learning, and achieves superior performance compared to previous preference learning methods on general benchmarks including AlpacaEval 2.0 and MT-Bench. We also observe that PFP almost resolves a length bias issue, a long-standing problem of online preference learning, even though it was not specifically designed to tackle this.¹

032

004

010 011

012

013

014

015

016

017

018

019

021

025

026

027

1 INTRODUCTION

Aligning large language models (LLMs) using human feedback, particularly by learning from human
preferences, yields remarkable successes in various NLP tasks and real-world applications such
as coding assistants and chatbots (Anthropic, 2024; Dubey et al., 2024; OpenAI, 2024b; Team
et al., 2023). To improve the alignment of LLMs, various preference learning algorithms, such as
Reinforcement Learning from Human Feedback (RLHF) (Ouyang et al., 2022) and Direct Preference
Optimization (DPO) (Rafailov et al., 2023), have been explored. A common assumption across
these works is that human preference is provided in a binary pair-wise comparison (Ziegler et al., 2019; Hong et al., 2024). This approach enables easy modeling of human preference using the scalar
reward such as the Bradley-Terry (BT) model (Bradley & Terry, 1952); however, it also has critical
limitations from over-simplification and fails to capture the complexity of human preferences.

042 One typical mis-aligned behavior of LLMs trained under current preference learning methods is 043 a *length bias*; as shown in Fig. 1(a), LLMs tend to produce and prefer longer responses after the 044 alignment procedure (Park et al., 2024; Dubois et al., 2024; Singhal et al., 2023). Despite various attempts to mitigate this issue, such as heuristically penalizing response length within reward models 046 (Chen et al., 2024), length bias remains a persistent and challenging problem. In addition, beyond the 047 bias toward the specific preference feature, another key challenge is a bias toward the preferences 048 of the majority (Santurkar et al., 2023). As the reward model likely assigns higher rewards to the 049 responses preferred by the majority, aligned LLMs with this reward model could be also biased. It 050 makes LLMs suffer to generate the proper responses for diverse users with various preferences.

⁰⁵¹ This issue becomes even more problematic in online preference learning scenarios, which progressively improves the alignment of LLMs by iterating the generation of preference data and learning

¹We will release the codes and models upon acceptance.



Figure 1: Motivation for debiasing online preference learning. (a) The average length of the response from LLMs trained with the existing online preference learning is progressively increased with more iterations. (b) Underlying preference feature distribution obtained by inversely asking GPT-40 is progressively biased toward the majority at the initial distribution. Larger KL divergence indicates that the feature distribution has shifted further from its pre-training state. (c) We propose to map each input instruction with the specific preference features and then convert it into the system prompt to enable LLM to explicitly handle preference features. (See detail in Sec. 5.1)

from them (Xiong et al., 2024; Wu et al., 2024; Rosset et al., 2024). During online preference
learning, LLM will generate responses biased toward specific preference features, and the preference
annotators, such as the external reward model (Jiang et al., 2023b), will provide positive feedback on
this. As such iterations go on, the bias of LLM accumulates (see Fig. 1(b)), and hence it results in the
reduced diversity and quality of LLM's responses.

076 **Contribution.** To address these challenges, we propose a novel online preference learning framework 077 called PFP (Preference Feature Preservation). Our approach is to ensure that the distribution of 078 preference features remains consistent throughout the online preference learning process. Here, the key idea is to explicitly extract preference features of each input instruction and handle them using 079 system prompts of LLMs (see Fig. 1(c)); it enables LLMs to generate and learn preference data with intent. Specifically, PFP first estimates the initial distribution of preference features of the given 081 human preference dataset, by inferring which features mainly determine binary human preferences. We then train a preference feature classifier, which maps each input instruction to appropriate 083 preference features with additional optimization for the distribution preservation, during the online 084 learning process. Finally, PFP trains LLM using the existing preference learning framework, by 085 converting the mapped preference features of each generated data into the system prompts of LLMs.

We demonstrate the effectiveness of the proposed PFP by applying it to align recent open-sourced 087 LLMs, e.g., Mistral (Jiang et al., 2023a), with the commonly used preference dataset (UltraFeedback 880 Cui et al. (2023)) and evaluation benchmarks (AlpacaEval 2.0 (Dubois et al., 2024) and MT-bench (Zheng et al., 2023)). For the experiments, we adopt the SELFEE framework (Kim et al., 2024) 090 as an online preference learning algorithm, which enables Iterative DPO (Xu et al., 2023; Xiong 091 et al., 2024) without using an external reward model. Our experimental results demonstrate that 092 PFP successfully eliminates the bias in preference features during online learning. As shown in Fig. 1, responses generated by the model trained with PFP mitigate bias in preference features, unlike 094 the model trained with Iterative DPO. Additionally, PFP achieves superior performance compared to previous online preference learning methods. For example, our framework achieves $7.58\% \rightarrow$ 096 15.24% increase in AlpacaEval 2.0 length-controlled win rate compared to the SFT model, while Iterative DPO achieves $7.58\% \rightarrow 13.13\%$ increase. More interestingly, PFP effectively reduces the occurrence of length bias during online preference learning, despite not being specifically designed to 098 address this issue. These results demonstrate that our framework is highly competitive and practical for real-world applications, underscoring the robustness and versatility of the proposed framework. 100

101 102

103

2 RELATED WORKS

LLM alignment with human preference. Aligning LLMs with human intentions and values using
 human feedback data now becomes a defacto standard to obtain well-performing LLMs (Ziegler
 et al., 2019; Ouyang et al., 2022). Typically, this feedback is collected by asking human annotators
 to compare two responses generated from the same input prompt and assign a binary preference
 label based on their judgment. One of the most widely adopted approaches is RLHF (Christiano

108 et al., 2017; Stiennon et al., 2020), where a reward model is trained to capture human preferences 109 (Bradley & Terry, 1952), and the LLM is then fine-tuned to optimize for this learned reward. To 110 prevent issues such as reward over-optimization and model collapse, KL divergence regularization is 111 commonly employed during this fine-tuning process. However, RLHF presents several challenges 112 such as computational overheads from training reward models, as well as the instability associated with online reinforcement learning algorithms. To address these issues, alternative approaches have 113 been extensively proposed (Rafailov et al., 2023; Zhao et al., 2023; Meng et al., 2024; Hong et al., 114 2024); for instance, Rafailov et al. (2023) propose DPO, which eliminates the need for a separate 115 reward model by deriving a training objective that is mathematically equivalent to RLHF. 116

117 **Online preference learning.** Existing preference learning methods can generally be categorized into two approaches depending on whether they use the fixed human preference dataset (offline 118 preference learning, e.g., DPO) or progressively enlarge dataset from the iterations of sampling and 119 labeling (online preference learning, e.g., RLHF). While online methods typically achieve superior 120 performance due to train with more data, they also demand significantly more computational costs 121 from sampling responses and labeling preferences. To address this challenge, recent work has focused 122 on developing efficient batch-online preference learning techniques, such as Iterative DPO (Xu et al., 123 2023; Xiong et al., 2024; Rosset et al., 2024; Wu et al., 2024; Calandriello et al., 2024). Iterative DPO 124 generates thousands of responses in each iteration (batch) and constructs labeled preference datasets 125 by judging the preference using the reward model (Jiang et al., 2023b). This dataset is then used to 126 train LLMs with offline methods like DPO, and the iteration repeats, resulting in more efficient and 127 stable alignment. 128

Bias of LLMs after alignment. One prominent issue observed in LLMs after alignment with 129 existing preference learning methods (RLHF and DPO) and binary preference labels is the emergence 130 of a *length bias*, where LLMs tend to generate and favor the longer responses (Park et al., 2024; 131 Singhal et al., 2023). Not only for the trained LLM policy, automated evaluation methods, including 132 reward models and LLM-as-a-judge frameworks, also often exhibit a bias toward longer outputs, 133 complicating the accurate assessment of LLM performance (Dubois et al., 2024; Wang et al., 2023). 134 Although various strategies have been proposed to mitigate length bias, such as incorporating length 135 penalties into the reward function (Park et al., 2024) or adjusting the objective function (Chen et al., 2024), the issue remains difficult to fully resolve. Another key challenge is a bias toward the 136 preferences of the majority (Santurkar et al., 2023) which can yield other unexpected and hidden 137 biases, as the reward model will likely assign higher rewards to the responses preferred by the 138 majority. This issue becomes more problematic in the online preference learning setup, as the bias of 139 LLMs accumulates with more iterations. In this paper, we propose a new approach to mitigate this 140 problem by explicitly extracting the preference features and handling them via system prompt. 141

142 143

144

155 156

3 PRELIMINARY: ONLINE PREFERENCE LEARNING

Let the LLM policy be denoted as π_{θ} , which can generate output sequence (*i.e.* response) y, given input sequences composed of *system prompt s* and *instruction x*, *i.e.*, $y \sim \pi_{\theta}(s, x)$. Here, the system prompt s is usually considered to be fixed regardless of the input instruction x. For convenience, we assume that s is always included as the input of π_{θ} and hence omit s in the equations in the below parts. Next, we assume that we have the labeled preference dataset, $\mathcal{D} = \{(x, y_l, y_w)\}$, where y_l and y_w are the dis-preferred and preferred responses for the corresponding instruction x, respectively.

RLHF and DPO. To train π_{θ} with \mathcal{D} for the alignment, RLHF first introduces the reward model r(x, y) which can convert human preference data into scalar values. Specifically, the reward model r(x, y) is often modeled with the Bradley-Terry (BT) model (Bradley & Terry, 1952), and then it can yield the probability $p(y_w \succ y_l \mid x)$ that response y_w is preferred over y_l as follow:

$$p(y_w \succ y_l \mid x) = \frac{\exp(r(x, y_w))}{\exp(r(x, y_w)) + \exp(r(x, y_l))}.$$
(1)

As the optimal reward function r(x, y) is not accessible, a parameterized reward model $r_{\phi}(x, y)$ is usually introduced by optimizing its parameters with the maximum-likelihood objective on the preference dataset. With this reward model, RLHF optimizes LLM π to maximize this reward with the additional regularization of the KL divergence between the current policy and the reference policies (π_{ref}) to prevent reward over-optimization:

$$\mathcal{L}_{\text{RLHF}}(\pi_{\theta}, \pi_{\text{ref}}) = -\mathbb{E}_{y \sim \pi_{\theta}, x \sim \rho} \left[r_{\phi}(x, y) \right] + \beta D_{\text{KL}} \left(\pi_{\theta}(y|x) \parallel \pi_{\text{ref}}(y|x) \right).$$
(2)



Figure 2: **Illustration of the proposed PFP framework.** (a) PFP first extracts the preference feature among the predefined categories for a given human preference dataset using an LLM-based feature extractor. (b) With the extracted features, PFP trains the feature classifier. (c) The trained feature classifier along with the additional adjustment maps the corresponding preference feature for a new instruction in a given online dataset. Then, the LLM-based system prompt synthesizer converts it into two system prompts, where each system prompt is used to sample the separate response. Then, the labeled preference dataset is constructed and the current policy LLM is trained on this dataset.

To remove the necessity of the reward model in RLHF, DPO proposed a method that is mathematically equivalent to the original RLHF objective and can directly optimize the internal reward modeled by LLM π itself, by maximizing the weighted likelihood gap between y_w and y_l :

$$p_{\theta}(y_w \succ y_l|x) = \sigma \left(\beta \log \frac{\pi_{\theta}(y_w|x)}{\pi_{\texttt{ref}}(y_w|x)} - \beta \log \frac{\pi_{\theta}(y_l|x)}{\pi_{\texttt{ref}}(y_l|x)}\right).$$
(3)

$$\mathcal{L}_{\text{DPO}}(\pi_{\theta}, \pi_{\text{ref}}, \mathcal{D}) = \mathbb{E}_{(x, y_w, y_l) \sim \mathcal{D}} \left[-\log p_{\theta}(y_w \succ y_l | x) \right].$$
(4)

Online preference learning and SELFEE. In the online preference learning scenario, we first assume that we have multiple unlabeled instruction datasets $X_t = \{x\}$, t = 1, ..., T where $X_i \cap X_j = \emptyset$ for all j = 0, ..., i - 1. For t-th iteration, the preference dataset $\mathcal{D}_t = \{(x, y_l, y_w) | x \in X_t\}$ is constructed by (1) sampling two responses for each instruction $x \in X_t$ using LLM policy π_{t-1} from the previous iteration (*i.e.*, $y_1, y_2 \sim \pi_{t-1}(x)$), and (2) judging the preference between them. Then, LLM policy π_t which is initialized with π_{t-1} is trained with \mathcal{D}_t using the existing preference learning method. One representative approach is Iterative DPO (Xu et al., 2023), where the external reward model is used for the preference judgments and π_t is trained with \mathcal{D}_t using DPO.

However, as choosing the proper reward model is non-trivial, especially in our framework, we adopt
SELFEE (Kim et al., 2024) as the online preference learning algorithm. Specifically, SELFEE
conducts preference labeling using the implicit reward derived from the DPO's objective function,
unlike the other Iterative DPO methods using the external reward model:

203

208

209 210 211

212 213

214

215

183

187

188 189

190

$$p_{t-1}(y_1 \succ y_2 | x) = \sigma \left(\beta \log \frac{\pi_{t-1}(y_1 | x)}{\pi_{\text{init}}(y_1 | x)} - \beta \log \frac{\pi_{t-1}(y_2 | x)}{\pi_{\text{init}}(y_2 | x)} \right), \tag{5}$$

$$(y_w, y_l) = (y_1, y_2)$$
 if $p_{t-1}(y_1 \succ y_2 | x) > 0.5$ else $(y_w, y_l) = (y_2, y_1),$ (6)

where y_1 and y_2 as the generated response from π_{t-1} . With this preference judgment, SELFEE constructs the labeled dataset $\mathcal{D}_t = \{(x, y_l, y_w) | x \in X_t\}$ and uses it to learn t-th policy π_t . In this work, we assume that π_0 is trained with DPO on the initial human preference data \mathcal{D} .²

4 PFP: DEBIASED ALIGNMENT VIA PREFERENCE FEATURE PRESERVATION

Overview. In this section, we present PFP: Preference Feature Preservation to align LLMs by reducing the bias during online preference learning. Our main idea is to explicitly extract preference

²Following the conventional setup, we initialize this LLM with SFT.

features of input instruction, and handle them using system prompts of LLMs. To this end, PFP first extracts the preference features of the given human-labeled preference dataset (Sec. 4.1). Then, we train the feature classifier using these extracted features; it enables us to map the proper preference feature for the input instruction of the online dataset while preserving the original feature distribution (Sec. 4.2). Lastly, we train LLMs with the extracted features by incorporating them into the system prompt (Sec. 4.3). We present full procedure of PFP in Algorithm 1 (see Fig. 2 for the overview).

222 223

224

4.1 EXTRACTING PREFERENCE FEATURE FROM BINARY HUMAN PREFERENCE DATA

225 We first assume that some features affect the judgment of human preference between the responses for the given input prompt; we call them *preference features*. Following Lee et al. (2024), we 226 predefined these preference features and organized them into five different classes (e.g., tone, style, 227 informativeness, etc.), denoted as $\mathcal{P} = [C_1, C_2, C_3, C_4, C_5]$, as shown in Table 6. Each class C_k 228 contains up to five sub-features, represented as $C_k \in \{c_k^1, c_k^2, c_k^3, c_k^4, c_k^5\}$; for example, in *style*, one 229 of the classes, consists of following five sub-features: clarity, conciseness, format, vividness, and 230 consistency. Under this definition,, we extract the preference features of the pairwise offline human 231 preference data \mathcal{D} using the feature extractor. We implement the feature extractor by prompting LLM 232 such as GPT-40 (OpenAI, 2024b), to infer the likely preference features that led the annotators to 233 provide specific feedback. Specifically, for the input instruction x and the two responses y_w and y_l , the 234 feature extractor is defined as $\mathbf{p} = \text{LLM}_{\text{FE}}(x, y_l, y_w)$ where $\mathbf{p} = [p_1, ..., p_5]$, where each p_i represents 235 a probability distribution over the 5 sub-features of class C_i (*i.e.*, $p_i \in [0, 1]^5$ and $\sum_{j=1}^5 p_i^j = 1$). The 236 prompts used for the feature extraction are detailed in Appendix C. Then, the extracted preference 237 features are added to the human preference data \mathcal{D} and it yields $\mathcal{D}_{FE} = \{(p, x, y_l, y_w)\}.$

238 239 240

4.2 DISTRIBUTION PRESERVED MAPPING OF INPUT INSTRUCTION TO PREFERENCE FEATURE

To preserve the feature distribution over each iteration of online preference learning, we first map each instruction $x \in X_t$ used in online learning to the proper preference features. One can expect that the preference feature distribution is preserved by explicitly utilizing the assigned features during response generation and preference judgment. Specifically, this process involves two key components: (a) learning a feature classifier, and (b) assigning a pseudo-label using a relabeling technique.

Learning feature classifier. PFP introduces an auxiliary classifier q_{ϕ} to predict appropriate preference features for the given input instruction. Specifically, q_{ϕ} is trained via conventional supervised learning with cross-entropy loss, using the input instructions x and the extracted features p in \mathcal{D}_{FE} . After the training, q_{ϕ} can provide a probability distribution over preference features for a new input instruction $x \in X_t$ that will be used in online learning. A separate classifier q_{ϕ^k} is introduced for each feature class C_k , *i.e.*, $q_{\phi^k}(\cdot) : x \to q_{\phi^k}(x)$ where $q_{\phi^k}(x) = [0, 1]^5$ and $\sum q_{\phi^k}(x) = 1.^3$

Adjusted output prediction. To further complement the classifier's predictions to be aligned with the distribution of human preferences, PFP adjusts the predicted probabilities by introducing the optimization problem. Formally, for each feature class C_k , the human preference feature distribution is derived from \mathcal{D}_{FE} , *i.e.*, $P_k = \sum_{p \in \mathcal{D}_{\text{FE}}} p_k / |\mathcal{D}_{\text{FE}}|$. Next, the output probabilities for all input instructions in X_t under q_{ϕ^k} is collected to measure the distribution, *i.e.*, $Q_k = \sum_{x \in X_t} q_{\phi^k}(x) / |X_t|$. Here, our goal is to find the adjusted output probability $\tilde{q}_k(x) \in [0, 1]^5$ for each input instruction $x \in X_t$ that yields the empirical distribution identical with P_k while minimizing the deviation from the original probability $q_{\phi^k}(x)$. This problem can be formulated as below optimization problem:

$$\min_{q} \operatorname{CE}(q_{\phi^{k}}, q) \quad \text{s.t.} \quad \forall x \in X_{t} : q(x) \in [0, 1]^{5}, \ \sum_{i=1}^{5} q(x)_{i} = 1, \text{ and } \sum_{x \in X_{t}} q(x)/|X_{t}| = P_{k} \quad (7)$$

where CE (q_{ϕ^k}, q) is a cross-entropy between $q_{\phi^k}(x)$ and q(x) for $x \in X_t$. Following the previous works (Asano et al., 2020; Kim et al., 2020), we solve this problem via efficient Sinkhorn-Knopp algorithm (Cuturi, 2013). With $\tilde{q}_k(x)$ from solving Eq. 7 with q_{ϕ^k} , we sample the preference feature and augment the online dataset X_t , *i.e.*, $p_k \sim \tilde{q}_k(x)$ and $\tilde{X}_t = \{(p, x) | x \in X_t, p = [p_1, ..., p_5]\}$.

²⁶⁹

 $^{{}^{3}}q_{\phi^{k}}$ is initialized with a relatively small language model (304M), DeBERTa-v3-large (He et al., 2023).

Ā	Algorithm 1 PFP algorithm
_	Input: initial LLM π_{init} , human preference dataset \mathcal{D} , number of online learning iterations T , new instruction sets $\{X_t\}_{t=1}^T$, feature extractor LLM _{FE} , system prompt synthesizer LLM _{SS}
_	Extract preference features of \mathcal{D} using LLM _{FE} and construct \mathcal{D}_{FE} (Sec. 4.1)
	Training feature classifier q_{ϕ} using \mathcal{D}_{FE} (Sec. 4.2)
	$\pi_0 \leftarrow \text{DPO}(\pi_{\text{init}}, \pi_{\text{init}}, \mathcal{D}_{\text{FE}})$ through Eq. 4
	for $t = 1$ to T do
	Assign preference features for $x \in X_t$ using q_{ϕ} and solving Eq. 7, and construct X_t
	Sample two system prompts s_1, s_2 for $p \in \widetilde{X}_t$ using LLM _{SS} , and construct S_t
	Synthesize preference data \mathcal{D}_t with π_{t-1} and S_t (Eq. 5 and 6)
	$\pi_t \leftarrow \text{DPO}(\pi_{t-1}, \pi_{t-1}, \mathcal{D}_t)$ through Eq. 4
	end for
	return π_T
-	

4.3 LEARNING PREFERENCE FEATURES THROUGH SYSTEM PROMPT

Synthesizing system prompt from preference feature. We need to generate responses and judge 288 the preference using the LLM policy π_{θ} conditioned on the given preference feature. However, it can 289 be difficult as the preference features have the form of short words that are not suitable for LLM, for 290 example, the feature set is represented as follows: [Conciseness, Formal, Accuracy, Intermediate, 291 Efficiency]. To address this, we convert these discretized preference features into the system prompt, 292 which is a natural language description about the preference feature, and add it in front of the instruct 293 x as the usual system prompt (see Sec. 3). Specifically, the system prompt s is created through the 294 system prompt synthesizer, which is realized by prompting LLM that receives features as input and 295 generates a system prompt, *i.e.*, $s \sim \text{LLM}_{SS}(p)$. Then, we augment the online learning dataset \widetilde{X}_t by 296 incorporating the generated system prompt, *i.e.*, $S_t = \{(s, x) | x, p \in X_t\}$. We created the prompt 297 for LLM_{SS} by modifying the prompt used in Lee et al. (2024) (see Appendix C). Using S_t , one can 298 perform the existing online preference learning method, such as iterative DPO. 299

Double system prompt sampling and scheduling. While incorporating preference features into 300 LLM using the system prompt enables LLM to understand and handle them better, we observe 301 that conditioning specific system prompts could reduce the diversity between sampled responses. 302 This reduced diversity makes preference judgment between them difficult and consequently leads to 303 decreased performance (see Table 3). To prevent this, we propose to augment the online learning data 304 set X_t by sampling two system prompts, *i.e.*, $S_t = \{(s_1, s_2, x) | x \in X_t\}$ and $s_1, s_2 \sim \text{LLM}_{SS}(p)$. 305 Then, during the dataset construction process, each system prompt is used to sample the different 306 response, *i.e.*, $y_i \sim \pi_{t-1}(s_i, x)$ where i = 1, 2. Finally, using Eq. 5 and 6, we judge the preference 307 between y_1 and y_2 with randomly chosen s between s_1 and s_2 , and construct the labeled dataset $\mathcal{D}_t = \{(s, x, y_l, y_w) | x \in X_t\}$ for t-th iteration which is used to learn the t-th policy π_t . 308

In addition, to improve the effectiveness of online preference learning, we propose progressively increasing the training examples' difficulty. To this end, we simply reduce the temperature used for system prompt sampling as the iteration increases, which reduces the diversity between two system prompts. We expect that it also reduces the distance between two responses y_1 and y_2 from online response sampling with π_{t-1} and S_t , *i.e.*, more difficult to learn; therefore, this approach improves the effectiveness of online preference learning by continuously increasing the difficulty of the task.

315 316

284 285

286 287

5 EXPERIMENTS

317 318 319

320

5.1 EXPERIMENTAL SETUPS

In this section, we first present our experimental setups. As denoted in Sec. 3, we adopt the SELFEE
 framework (Kim et al., 2024) as our online preference learning algorithm for the experiments.
 SELFEE enables the effective alignment of LLMs with limited preference data and does not require
 the external reward model; SELFEE includes the process of using initial seed data to train and create

the initial DPO model. Here, the initial DPO model acts as the base model as well as the reward model before the iterative learning process begins (Eq. 5 and 6).

Models. For the policy LLM, we utilize an open-source model fine-tuned (SFT) on UltraChat data (Ding et al., 2023) based on the Mistral-7B-0.1v model (Jiang et al., 2023a), following the Zephyr recipe.⁴ For the feature classifier (Sec. 4.2), we employ DeBERTa-v3-large (He et al., 2023) as the backbone. We create five separate classifiers, one for each class of preference feature.

Datasets. For the initial labeled preference data, we use UltraFeedback dataset (Cui et al., 2023)
which has been extensively used by prior works (Snorkel, 2024; Rosset et al., 2024; Kim et al., 2024).
Specifically, we sample 10K samples to construct a seed dataset. For PFP, the seed data would be taken feature extraction and system prompt synthesis processes, and the resulting data with added system prompts are used for initial DPO training and feature classifier training. Excluding seed data, we sample 4 datasets of 5K data samples each, ensuring no overlap. These datasets contain only prompts and are used to generate responses in each iteration of online learning.

Baselines. To evaluate the effectiveness of PFP, we consider DPO (Rafailov et al., 2023), Iterative 338 DPO (Xiong et al., 2024), and SELFEE (Kim et al., 2024) as the baselines. All models under different 339 baselines are trained starting from the same SFT model. The DPO trains LLM on the seed data 340 without mapped system prompts. Iterative DPO, SELFEE, and PFP used the same online instruction 341 datasets for each iteration. For the reward model (RM) in Iterative DPO, we employed the PairRM 342 (Jiang et al., 2023b), which is wildly used in alignment task. While the initial DPO model was 343 originally adopted as a base model only for PFP and SELFEE, we also consider using initial DPO as 344 a base model in the case of Iterative DPO for a fair comparison. Specifically, we train the initial DPO 345 model using seed data (without mapped system prompts) for SELFEE and Iterative DPO.

346 **Evaluations.** To measure the basic performance of the model, we employ commonly used benchmarks 347 in preference alignment research, AlpacaEval 2.0 (Dubois et al., 2024) and MT-Bench (Zheng et al., 348 2023). AlpacaEval 2.0 is designed to approximately evaluate human preference for instruction 349 following, and calculates the win rate by comparing the response of GPT-4 (OpenAI, 2023) and 350 the target model response by using GPT-4 as the evaluator. It is known that this benchmark reflects 351 human preferences well, including a length-controlled win rate that reduces the impact of length bias. 352 On the other hand, MT-Bench is designed to evaluate more diverse capabilities of LLMs by utilizing 353 GPT-4 to score the responses of the model under evaluation on a scale from 0 to 10. In addition, to measure the debiasing effect on preference features, we extract the preference features from the 354 responses generated for the test instructions in AlpacaEval 2.0. Then, we use the GPT-40 (OpenAI, 355 2024a) to infer the most prominent preference feature in each response. After obtaining the feature 356 distribution, we measure how the KL divergence between this distribution and the feature distribution 357 of the initial model's responses. Here, the initial model refers to the model before the online iteration. 358

$$D_{\text{KL}}(P_{\text{Initial Model}} \parallel P_{\text{target}}) = \sum_{x} P_{\text{Initial DPO}}(x) \log\left(\frac{P_{\text{Initial Model}}(x)}{P_{\text{target}}(x)}\right)$$
(8)

361 **Implementation details.** We extract preference features using the GPT-40 on the seed data. Here, 362 the temperature is set to 0 to employ zero-shot chain-of-thought (CoT) reasoning (Wei et al., 2022; 363 Kojima et al., 2022). The feature classifiers are trained to predict the labels of preference features 364 extracted from the seed data, taking the instructions as input (i.e., sequence classification). The number of labels is set to 5, corresponding to the number of sub-features. We train the classifiers with 366 a learning rate of 1e-5, a batch size of 32, over 5 epochs. We synthesize system prompts also using 367 the GPT-40, taking preference features as input. For double prompt sampling and scheduling (Sec. 4.3), the system prompts in the first iteration are generated with a temperature of 1.25, decreasing 368 by 0.25 with each subsequent iteration. If scheduling is not applied, system prompts are generated 369 with a temperature of 1. For subsequent iterations and the initial DPO, we set $\beta = 0.1$ and train for 1 370 epoch with 32 batch size. This value is the same throughout PFP and SELFEE learning, but in the 371 case of Iterative DPO, $\beta = 0.01$ was used during online learning. The learning rate of 5e-7 is used 372 with AdamW optimizer (Loshchilov et al., 2017). We employ a cosine learning rate scheduler with a 373 0.1 warm-up ratio of total running step. For PFP, Iterative DPO, and SELFEE, response sampling 374 was performed twice per prompt with a temperature of 0.7. Unlike the original SELFEE, we removed 375 the self-refine step to reduce the number of tunable hyper-parameters and ensure the robustness of the 376 experiments. The prompt which used GPT-40 is provided in Appendix C.

359 360

377

⁴alignment-handbook/zephyr-7b-sft-full



Figure 4: **Change of preference features.** KL divergence comparison by class, showing how the feature distribution of the initial DPO model's response evolves during the online learning process. PFP, unlike other iterative learning algorithms, shows minimal change in distribution.

Table 1: **Main results.** Evaluation results on AlpacaEval 2.0 and MT-Bench with different variants of Mistral-7B-v0.1. The best scores are highlighted in **bold**.

	A	MT-Bench		
Models	Len-control. Win Rate (%)	Win Rate vs. GPT-4 (%)	Avg. len (# chars)	Avg. Score (0-10)
Mistral-7B-v0.1	0.17	0.50	5692	3.25
SFT	7.58	4.72	901	6.34
DPO (W/o sys)	9.93	8.02	1409	6.34
DPO (W sys)	9.27	5.86	1135	6.61
SELFEE	14.23	17.49	2412	6.56
Iterative DPO	13.13	12.05	1709	6.53
PFP (Ours)	15.24	10.18	1187	6.88

5.2 MAIN RESULTS

389

390

391 392

393

394

396 397

408

409 We compare a DPO model trained with the preference 410 feature from human feedback data explicitly included in 411 the system prompt, against a model trained without fea-412 ture. Based on AlpacaEval 2.0, the model trained with the system prompt performs slightly worse (9.93 vs 9.27), 413 but based on MT-bench, a model trained with the system 414 prompt gets a higher score than others (6.34 vs 6.61) (see 415 Table 1). However, as shown in Fig. 3, which KL diver-416 gence is measured from the SFT response distribution, the 417 DPO model with the preference feature exhibits signifi-418 cantly reduced preference feature bias, and the length bias 419 is also considerably decreased. Specifically, compared to 420 the SFT model's response length of 901, the model trained 421 without system prompts yields an average response length 422 increase to 1409, while the model trained with system 423 prompts only increases to 1135. These results suggest that explicitly considering the preference feature from human 424



Figure 3: **Initial DPO Analysis.** LLMs trained by DPO using human feedback data with system prompt has less length and feature distribution bias.

feedback data into the system prompt significantly aids in debiasing the model.

We also compare PFP to Iterative DPO and SELFEE; we measure the performance of the model obtained after performing a total of 4 iterations presented in Table 1. PFP succeeded in achieving higher performance than SELFEE (7.58 → 14.23), Iterative DPO (7.58 → 13.13) with a performance improvement of (7.58 → 15.24) based on AlpacaEval 2.0 length-controlled win rate. In MT-Bench, PFP also showed a large improvement (6.34 → 6.88) compared to SELFEE, with (6.34 → 6.56) and Iterative DPO (6.34 → 6.53). This shows that PFP learning achieves performance that surpasses SELFEE or Iterative DPO even on common benchmarks such as AlpacaEval2.0 or MT-Bench.



Figure 5: Analyses. (a) Length bias with different methods, (b,c) feature distribution for ablation.

Table 2: Ablation study for feature classifier and distribution preserving. Evaluation results on AlpacaEval 2.0 and MT-Bench with iteratively trained models (from initial DPO) under different methodological configurations of PFP. SP, CL, RL are abbreviations of system prompt, classifier label, and relabeling, respectively. When using only the system prompt, features are mapped randomly.

	Method			AlpacaEval 2.0			MT-Bench	
Methods	SP	CL	RL	Len-control Win Rate (%)	Win Rate vs. GPT-4 (%)	Avg. len (# chars)	Avg. Score (0-10)	
	1	X	X	12.38	8.99	1129	6.84	
PFP (Ours)	1	1	X	14.80	10.57	1277	6.76	
	1	1	1	15.24	10.18	1187	6.88	

Fig. 4 further describes the changes in the preference feature distribution of responses throughout the iteration process which are measured with KL divergence through Eq. 8. In the case of Iterative DPO and SELFEE, the distribution continues to change, while in the case of PFP, the marginal change in distribution occurs as iteration progresses. This represents that the existing iterative improvement algorithm has bias at the feature level, and PFP sufficiently alleviates this.

Fig. 5(a) describes the changes in the response character length throughout the iteration process. From iteration 1 to iteration 4, the response length for Iterative DPO and SELFEE increased significantly $(1418 \rightarrow 1709)$ and $(1852 \rightarrow 2412)$, respectively. In contrast, PFP exhibited only a minimal increase in length ($1138 \rightarrow 1187$). This highlights that, unlike other iterative improvement algorithms that have a weakness at length bias, PFP learns human preferences well without causing length bias.

5.3 ABLATION STUDY I: FEATURE CLASSIFIER AND DISTRIBUTION PRESERVING

To evaluate the effect of the feature labeling method, we removed some of the feature labeling methods and conducted an ablation study. Table 2 shows the experimental results of performance changes according to differences in feature labeling methods. The results are measured after a total of 4 iterations. Here, the random feature is created by generating a preference feature regardless of the prompt, and the classifier feature is sampled based on the probability of the feature generated when receiving the prompt as input using a preference feature classifier. Additionally, we conduct the relabeling of the probability of the features according to Eq. 7 to preserve the distribution. As a result of the experiment, the feature sample method through the classifier achieves a performance increase of $(12.38 \rightarrow 14.8)$ based on AlpacaEval 2.0 compared to the random sampling method, however, based on MT-bench, decreased slightly (6.84 \rightarrow 6.74). In the case of the re-labeling algorithm, compared to before re-labeling is applied, a performance increase of $(14.8 \rightarrow 15.24)$ is achieved based on AlpacaEval2.0, and a performance increase of $(6.76 \rightarrow 6.88)$ is achieved based on MT-bench. Meanwhile, as shown in Fig. 5(b), the bias appears in the preference feature when using the classifier feature. However, when applying the re-labeling algorithm, preference feature bias can be significantly reduced while performance increases.

5.4 ABLATION STUDY II: DOUBLE SYSTEM PROMPT SAMPLING AND SCHEDULING

To evaluate the effect of the response sampling method, we conduct experiments by adding double system prompt sampling and scheduling elements. As shown in Table 3, the double system prompt

Table 3: **Ablation study for different system prompt sampling methods.** Evaluation results on AlpacaEval 2.0 and MT-Bench with iteratively trained models (from initial DPO) under different methodological configurations of PFP. DP, DS, DSS are abbreviations of distribution preserving, double system prompt sampling, and double system prompt sampling with scheduling, respectively.

		Metho	d	A	lpacaEval 2.0		MT-Bench
Methods	DP	DS	DSS	Len-control Win Rate (%)	Win Rate vs. GPT-4 (%)	Avg. len (# chars)	Avg. Score (0-10)
PFP (Ours)	\ \ \ \	× ✓ ✓	× × ✓	12.73 13.78 15.24	10.10 9.65 10.18	1316 1187 1187	6.56 6.77 6.88

Table 4: **Comparison with baselines to mitigate length bias.** Evaluation results on AlpacaEval 2.0 and MT-Bench with iteratively trained models (from initial DPO) under different methods to mitigate length bias (length penalty and R-DPO). The best scores are highlighted in **bold**.

	A	MT-Bench		
Methods	Len-control Win Rate (%)	Win Rate vs. GPT-4 (%)	Avg. len (# chars)	Avg. Score (0-10)
Iterative R-DPO (iter 4)	13.07	11.36	1613	6.80
Iterative DPO (iter 4)	13.13	12.05	1709	6.53
PFP (Ours)	15.24	10.18	1187	6.88

sampling yields a large performance improvement, with AlpacaEval 2.0 (12.73 \rightarrow 13.78) and MT-Bench (6.56 \rightarrow 6.77). Not only the performance improvement, but the response length also decreased (1316 \rightarrow 1187 tokens). When scheduling is further applied, the improvement is enlarged, with AlpacaEval 2.0 (12.73 \rightarrow 15.24) and MT-Bench (6.56 \rightarrow 6.88). Additionally, these components not only improve performance but also play a significant role in bias mitigation. As shown in Fig. 5(c), double system prompt sampling and scheduling greatly reduce feature distribution bias. In terms of length bias, compared to the case without these components, the additional component reduces the response length (1316 \rightarrow 1187). These results demonstrate that double system prompt sampling and scheduling are key factors that both enhance performance and mitigate bias.

518 5.5 LENGTH BIAS

The way PFP reduces length bias is fundamentally different from the traditional length control methods. In general, length bias has been handled using heuristic methods. The lengt penalty method works by heuristically subtracting a bias based on the length in the reward term from the reward model (Dong et al., 2024). Alternatively, as seen in the R-DPO approach (Park et al., 2024), length bias can be mitigated by the adding length regularization into the DPO loss. The common point is that the difference in length between two sentences is simply processed heuristically. However, we point out that the method tends to be sensitive to hyper-parameters and often fails to work effectively in practice. To evaluate how well PFP manages length control, we compare PFP with the length penalty method and R-DPO applied to Iterative DPO. We have tried both methods, and found that the R-DPO method with $\alpha = 0.01$ was best applied method. Details about the experiment are in Appendix B. As shown in Table 4, the overall reduction in length remained limited. This shows that PFP is more effective in controlling length compared to traditional methods.

6 CONCLUSION

In this paper, we propose PFP, a novel framework that explicitly preserves preference features during
 the online preference learning process to reduce bias. We demonstrate that incorporating preference
 features from human feedback into system prompts and preserving the feature distribution over each
 iteration of online learning effective in preventing bias. This not only aligns human preferences
 better than the existing Iterative DPO method but also succeeds in almost eliminating length bias and
 preference features that occur in the learning process. These findings are further supported by various
 benchmarks and additional analyses.

540

541 542

543

544

545 546

547 548

549

550

551

552

553 554

555

556

558

559

560 561

562

563

564 565

566

567

568

569

570

571 572

573

574

575

576

577 578

579

580

581 582

583

584 585

586

587 588

589

590

591

Reproducibility Statement For the reproducibility of our results, we have provided a detailed description of our methods and experimental setups in Section 5.1 and Appendix B. In addition, to further facilitate the reproduction, we will release our codes and the checkpoints for the trained models. REFERENCES Anthropic. Claude 3.5 sonnet. https://www.anthropic.com/news/ claude-3-5-sonnet, 2024. Yuki Markus Asano, Christian Rupprecht, and Andrea Vedaldi. Self-labelling via simultaneous clustering and representation learning. In International Conference on Learning Representations (ICLR), 2020. Ralph Allan Bradley and Milton E Terry. Rank analysis of incomplete block designs: I. the method of paired comparisons. Biometrika, 39(3/4):324-345, 1952. Daniele Calandriello, Zhaohan Daniel Guo, Remi Munos, Mark Rowland, Yunhao Tang, Bernardo Avila Pires, Pierre Harvey Richemond, Charline Le Lan, Michal Valko, Tiangi Liu, et al. Human alignment of large language models through online preference optimisation. In Proceedings of the International Conference on Machine Learning (ICML), 2024. Changyu Chen, Zichen Liu, Chao Du, Tianyu Pang, Qian Liu, Arunesh Sinha, Pradeep Varakantham, and Min Lin. Bootstrapping language models with dpo implicit rewards. arXiv preprint arXiv:2406.09760, 2024. Paul F Christiano, Jan Leike, Tom Brown, Miljan Martic, Shane Legg, and Dario Amodei. Deep reinforcement learning from human preferences. In Advances in Neural Information Processing Systems (NeurIPS), 2017. Ganqu Cui, Lifan Yuan, Ning Ding, Guanming Yao, Wei Zhu, Yuan Ni, Guotong Xie, Zhiyuan Liu, and Maosong Sun. Ultrafeedback: Boosting language models with high-quality feedback. arXiv preprint arXiv:2310.01377, 2023. Marco Cuturi. Sinkhorn distances: Lightspeed computation of optimal transport. In Advances in Neural Information Processing Systems (NeurIPS), 2013. Ning Ding, Yulin Chen, Bokai Xu, Yujia Qin, Zhi Zheng, Shengding Hu, Zhiyuan Liu, Maosong Sun, and Bowen Zhou. Enhancing chat language models by scaling high-quality instructional conversations. arXiv preprint arXiv:2305.14233, 2023. Hanze Dong, Wei Xiong, Bo Pang, Haoxiang Wang, Han Zhao, Yingbo Zhou, Nan Jiang, Doyen Sahoo, Caiming Xiong, and Tong Zhang. Rlhf workflow: From reward modeling to online rlhf. arXiv preprint arXiv:2405.07863, 2024. Abhimanyu Dubey, Abhinav Jauhri, Abhinav Pandey, Abhishek Kadian, Ahmad Al-Dahle, Aiesha Letman, Akhil Mathur, Alan Schelten, Amy Yang, Angela Fan, et al. The llama 3 herd of models. arXiv preprint arXiv:2407.21783, 2024. Yann Dubois, Balázs Galambosi, Percy Liang, and Tatsunori B Hashimoto. Length-controlled alpacaeval: A simple way to debias automatic evaluators. arXiv preprint arXiv:2404.04475, 2024. Pengcheng He, Jianfeng Gao, and Weizhu Chen. Debertav3: Improving deberta using electrastyle pre-training with gradient-disentangled embedding sharing. In International Conference on Learning Representations (ICLR), 2023.

Jiwoo Hong, Noah Lee, and James Thorne. Orpo: Monolithic preference optimization without reference model. In *Conference on Empirical Methods in Natural Language Processing (EMNLP)*, 2024.

594 Albert Q Jiang, Alexandre Sablayrolles, Arthur Mensch, Chris Bamford, Devendra Singh Chaplot, 595 Diego de las Casas, Florian Bressand, Gianna Lengyel, Guillaume Lample, Lucile Saulnier, et al. 596 Mistral 7b. arXiv preprint arXiv:2310.06825, 2023a. 597 Dongfu Jiang, Xiang Ren, and Bill Yuchen Lin. Llm-blender: Ensembling large language models with 598 pairwise ranking and generative fusion. In Annual Meeting of the Association for Computational Linguistics (ACL), 2023b. 600 601 Dongyoung Kim, Kimin Lee, Jinwoo Shin, and Jaehyung Kim. Aligning large language models with 602 self-generated preference data. arXiv preprint arXiv:2406.04412, 2024. 603 Jaehyung Kim, Youngbum Hur, Sejun Park, Eunho Yang, Sung Ju Hwang, and Jinwoo Shin. Distri-604 bution aligning refinery of pseudo-label for imbalanced semi-supervised learning. In Advances in 605 Neural Information Processing Systems (NeurIPS), 2020. 606 607 Takeshi Kojima, Shixiang Shane Gu, Machel Reid, Yutaka Matsuo, and Yusuke Iwasawa. Large 608 language models are zero-shot reasoners. In Advances in Neural Information Processing Systems 609 (NeurIPS), 2022. 610 Seongvun Lee, Sue Hyun Park, Seungone Kim, and Minjoon Seo. Aligning to thousands of prefer-611 612 ences via system message generalization. arXiv preprint arXiv:2405.17977, 2024. 613 Tianle Li, Wei-Lin Chiang, Evan Frick, Lisa Dunlap, Tianhao Wu, Banghua Zhu, Joseph E Gonzalez, 614 and Ion Stoica. From crowdsourced data to high-quality benchmarks: Arena-hard and benchbuilder 615 pipeline. arXiv preprint arXiv:2406.11939, 2024. 616 617 Ilya Loshchilov, Frank Hutter, et al. Fixing weight decay regularization in adam. arXiv preprint 618 arXiv:1711.05101, 5, 2017. 619 Yu Meng, Mengzhou Xia, and Danqi Chen. Simple preference optimization with a reference-620 free reward. In Advances in Neural Information Processing Systems (NeurIPS), 2024. 621 622 OpenAI. Gpt-4 technical report. arXiv preprint arXiv:2303.08774, 2023. 623 624 OpenAI. Hello gpt-40. https://openai.com/index/hello-gpt-40/, 2024a. 625 OpenAI. Learning to reason with llms. https://openai.com/index/ 626 learning-to-reason-with-llms/, 2024b. 627 628 Long Ouyang, Jeffrey Wu, Xu Jiang, Diogo Almeida, Carroll Wainwright, Pamela Mishkin, Chong 629 Zhang, Sandhini Agarwal, Katarina Slama, Alex Ray, et al. Training language models to fol-630 low instructions with human feedback. In Advances in Neural Information Processing Systems 631 (NeurIPS), 2022. 632 Ryan Park, Rafael Rafailov, Stefano Ermon, and Chelsea Finn. Disentangling length from quality in 633 direct preference optimization. In Findings of Annual Meeting of the Association for Computational 634 Linguistics (ACL), 2024. 635 636 Rafael Rafailov, Archit Sharma, Eric Mitchell, Christopher D Manning, Stefano Ermon, and Chelsea 637 Finn. Direct preference optimization: Your language model is secretly a reward model. In Advances 638 in Neural Information Processing Systems (NeurIPS), 2023. 639 Corby Rosset, Ching-An Cheng, Arindam Mitra, Michael Santacroce, Ahmed Awadallah, and 640 Tengyang Xie. Direct nash optimization: Teaching language models to self-improve with general 641 preferences. arXiv preprint arXiv:2404.03715, 2024. 642 643 Shibani Santurkar, Esin Durmus, Faisal Ladhak, Cinoo Lee, Percy Liang, and Tatsunori Hashimoto. 644 Whose opinions do language models reflect? In Proceedings of the International Conference on 645 Machine Learning (ICML). PMLR, 2023. 646 Prasann Singhal, Tanya Goyal, Jiacheng Xu, and Greg Durrett. A long way to go: Investigating 647

length correlations in rlhf. arXiv preprint arXiv:2310.03716, 2023.

648 649 650 651	Snorkel.New benchmark results demonstrate value of snorkelaiapproach to llm alignment.https://snorkel.ai/new-benchmark-results-demonstrate-value-of-snorkel-ai-approach-to-llm-alignment,2024.
652 653 654 655	Nisan Stiennon, Long Ouyang, Jeffrey Wu, Daniel Ziegler, Ryan Lowe, Chelsea Voss, Alec Radford, Dario Amodei, and Paul F Christiano. Learning to summarize with human feedback. In <i>Advances in Neural Information Processing Systems (NeurIPS)</i> , 2020.
656 657 658	Gemini Team, Rohan Anil, Sebastian Borgeaud, Yonghui Wu, Jean-Baptiste Alayrac, Jiahui Yu, Radu Soricut, Johan Schalkwyk, Andrew M Dai, Anja Hauth, et al. Gemini: a family of highly capable multimodal models. <i>arXiv preprint arXiv:2312.11805</i> , 2023.
659 660 661 662 663	Yizhong Wang, Hamish Ivison, Pradeep Dasigi, Jack Hessel, Tushar Khot, Khyathi Chandu, David Wadden, Kelsey MacMillan, Noah A Smith, Iz Beltagy, et al. How far can camels go? exploring the state of instruction tuning on open resources. <i>Advances in Neural Information Processing Systems</i> , 36:74764–74786, 2023.
664 665 666	Jason Wei, Xuezhi Wang, Dale Schuurmans, Maarten Bosma, Fei Xia, Ed Chi, Quoc V Le, Denny Zhou, et al. Chain-of-thought prompting elicits reasoning in large language models. In <i>Advances in Neural Information Processing Systems (NeurIPS)</i> , 2022.
667 668	Yue Wu, Zhiqing Sun, Huizhuo Yuan, Kaixuan Ji, Yiming Yang, and Quanquan Gu. Self-play preference optimization for language model alignment. <i>arXiv preprint arXiv:2405.00675</i> , 2024.
670 671 672	Wei Xiong, Hanze Dong, Chenlu Ye, Ziqi Wang, Han Zhong, Heng Ji, Nan Jiang, and Tong Zhang. Iterative preference learning from human feedback: Bridging theory and practice for rlhf under kl-constraint. In <i>Proceedings of the International Conference on Machine Learning (ICML)</i> , 2024.
673 674 675	Jing Xu, Andrew Lee, Sainbayar Sukhbaatar, and Jason Weston. Some things are more cringe than others: Preference optimization with the pairwise cringe loss. <i>arXiv preprint arXiv:2312.16682</i> , 2023.
676 677 678	Yao Zhao, Rishabh Joshi, Tianqi Liu, Misha Khalman, Mohammad Saleh, and Peter J Liu. Slic-hf: Sequence likelihood calibration with human feedback. <i>arXiv preprint arXiv:2305.10425</i> , 2023.
679 680 681	Lianmin Zheng, Wei-Lin Chiang, Ying Sheng, Siyuan Zhuang, Zhanghao Wu, Yonghao Zhuang, Zi Lin, Zhuohan Li, Dacheng Li, Eric Xing, et al. Judging llm-as-a-judge with mt-bench and chatbot arena. In <i>Advances in Neural Information Processing Systems (NeurIPS)</i> , 2023.
682 683 684 685 686 687	Daniel M Ziegler, Nisan Stiennon, Jeffrey Wu, Tom B Brown, Alec Radford, Dario Amodei, Paul Christiano, and Geoffrey Irving. Fine-tuning language models from human preferences. <i>arXiv</i> preprint arXiv:1909.08593, 2019.
688 689	
690 691	
693	
694	
695	
697	
698	
699	
700	
701	

Table 5: Results of several length control algorithms and hyperparameter search. Evaluation
 results on AlpacaEval 2.0 and MT-Bench with iteratively trained models (from initial DPO) under dif ferent methods to mitigate length bias (length penalty and R-DPO). Due to the limited computational
 budget, we selectively evaluate the models on MT-Bench. The best scores are highlighted in **bold**.

	A	AlpacaEval 2.0			
Methods	LC Win Rate	Win Rate vs. GPT-4	Avg. len (# chars)	Score	
Initial DPO	9.93	8.02	1409	6.34	
Iterative DPO (iter 1)	10.48	8.35	1418	-	
Iterative DPO (iter 1) w length penalty ($\gamma = 0.01$)	11.02	8.63	1433	-	
Iterative DPO (iter 1) w length penalty ($\gamma = 0.001$)	9.60	7.72	1406	-	
Iterative DPO (iter 1) w length penalty ($\gamma = 0.0001$)	10.72	8.55	1414	-	
Iterative R-DPO ($\gamma = 0.1$)	9.99	8.49	1519	-	
Iterative R-DPO ($\gamma = 0.01$)	11.09	8.53	1385	-	
Iterative DPO (iter 4)	13.13	12.05	1709	6.53	
Iterative DPO w length penalty (iter 4)	12.19	11.08	1689	6.60	
Iterative R-DPO (iter 4)	13.07	11.36	1613	6.80	
PFP (Ours)	15.24	10.18	1187	6.88	

A LIMITATION AND FUTURE WORK

Extracting preference features and generating system prompts currently requires powerful LLMs like
GPT-40 (OpenAI, 2024a), which requires additional computational costs. Future work should explore
the use of smaller LLMs such as LLaMA-3-8B (Dubey et al., 2024) for this process. Additionally,
further research is needed to assess the impact of incorporating system prompts into the supervised
fine-tuning (SFT) stage of training.

B BASELINES TO REDUCE LENGTH BIAS DURING ALIGNMENT

Length penalty. We applied the length penalty according to the RLHFlow approach (Dong et al., 2024). This is a method to apply a length penalty at the labeling stage by adjusting the reward of the reward model according to Eq. 9. To find the efficient hyper-parameter for this baseline, we experimented with $\alpha = 0.01, 0.001$, and 0.0001 for iteration 1. Then, we applied the hyper-parameter that most effectively reduced length ($\alpha = 0.001$, see 3rd-5th rows in Table 5) through iteration 4. As shown in Table 5, this approach often fails. Although $\alpha = 0.001$ showed the best reduction in length in iteration 1, the overall reduction in length remained limited and the performance was degraded as a result. This was the same even when iteration was extended.

$$r_{\text{penalty}}(x, y) = r(x, y) - \alpha |y| \tag{9}$$

R-DPO. For conduct R-DPO (Park et al., 2024), we change DPO objective function to following Eq. 10. Similar to the length penalty method, we experimented with $\alpha = 0.1, 0.01$ for iteration 1, to find the effective hyper-parameter α . As observed in Table 5, $\alpha = 0.01$ successfully reduces the responses' length (1709 \rightarrow 1613), but the reduction is still limited to resolve the length bias. These results show that heuristic length control is often unstable and does not work effectively.

$$\mathcal{L}_{\text{R-DPO}}(\pi_{\theta}) = -\mathbb{E}_{(x, y_w, y_l) \sim \mathcal{D}} \left[\sigma \left(\beta \log \frac{\pi_{\theta}(y_w | x)}{\pi_{\text{ref}}(y_w | x)} - \beta \log \frac{\pi_{\theta}(y_l | x)}{\pi_{\text{ref}}(y_l | x)} \right) + \alpha(|y_w| - |y_l|)) \right]$$
(10)

C PRE-DEFINED PREFERENCE FEATURE SET

Table 6 shows the pre-defined preference feature set \mathcal{P} . The definition of the preference feature set was referenced from Janus Lee et al. (2024). Preference features consist of 5 different classes (i.e.

756

Table 6: Predefined preference feature set.

	Domain	Feature Set
	Style	Clarity, Conciseness, Format, Vividness, Consistency
	Tone	Formal, Authoritative, Sophisticated, Engaging, Familiar
	Harmlessness	Sensitivity, Safety, Accuracy, Morality, Trustworthiness
	User's Background Knowledge	Basic, Novice, Intermediate, Advanced, Expert
	Informativeness	Relevance Practicality Depth Creativity Efficiency
		Kelevance, Hacticanty, Deptil, Cleativity, Efficiency
	prompt	
	Read the following two response preferred response is chosen over the	s to the same prompt. After reading, determine why the ne dispreferred response, focusing on the aspect of {domain}.
	<pre>Prompt: [{prompt}]</pre>	
	Preferred Response: [{chosen}]	
	Dispreferred Response: [{rejecte	d}]
	### Question	
	An arbitrary person labeled the res	ponses as preferred and dispreferred.
	Considering the aspect of {domain	}, what {domain} element does this person likely prefer?
	Select one of the following options	5.
	{option}	
	Finally you have to answer as follo	owing format:
	-Answer is	
	Let's think step by step	
F '.		
prefe	erence data.	tion. Input prompt for the feature extraction form pairwise
a 1		
prefe	e, Tone, etc), and each class gets s erence is defined by a total of five	sub-features, with one sub-feature assigned per class.
D	PROMPTS FOR EXPERIMENT	NTS
For	the experiments, we construct prov	note by modifying the ones used in Lee et al. (2024):
-	the experiments, we construct prof	npis by mounying the ones used in Lee et al. (2024).
Feat prefe	ture extraction from human pre erence features from human feedba	Greence data. Fig. 6 shows the prompts used for extracting ack data. For each class, the prompt is customized to extract a
sing we u	le sub-feature. Only for extracting tilize a differently customized pro	preference features about the user's background Knowledge, mpt (7).
Feat prefe to ex (9) f	ture extraction from LLM's rest erence feature distribution of the re- stract a single sub-feature. Similar to or the user's background knowledge	sponses. Fig. 7 displays the prompts used to measure the esponses from LLM. For each class, the prompt is customized to the human cases, we utilize a differently customized prompt ge class.
Syst the i inpu	em prompt generation. Fig. 10 sl nput preference feature set. This p t to generate the system prompt.	nows the prompt used to generate the system prompt based on prompt takes sub-features corresponding to the five classes as

810	nuomnt
811	prompt
812	Read the following two responses to the same prompt. After reading, determine why the
813	preferred response is chosen over the dispreferred response, focusing on the aspect of the user's background knowledge
814	ouekgiouna knowiedge.
815	<pre>Prompt: [{prompt}]</pre>
816	
817	Preferred Response: [{chosen}]
818	Dispreferred Response: [{rejected}]
819	
820	### Question
821	An arbitrary person labeled the responses as preferred and dispreferred.
822	What level of background knowledge does the user have that makes them prefer the preferred
823	response over the dispretened response?
824	Select one of the following options:
825	
826	{ option }
827	Finally you have to answer as following format:
828	- Answer is
829	
830	Let's think step by step.
831	

Figure 7: Prompt for feature extraction. Input prompt for the feature extraction from pairwise preference data, focusing on user's background knowledge.

835	
836	prompt
837	Given a prompt and a response, analyze the response and determine which preference feature
838	the response was likely based on. Focus on the aspect of {domain}.
839	
840	Prompt: [{prompt}]
841	
842	Kesponse: [{response}]
843	### Question
844	An arbitrary person selected this response based on a preference for certain features within the
845	domain of {domain}.
846	Considering the aspect of {domain}, what specific feature within this domain is the person
847	likely prioritizing?
848	Select one of the following options:
849	
850	{options}
851	
852	- Answer is [selected option Alphabet]
853	- Answer is [selected option Anphabet]
854	Let's think step-by-step.
855	
856	
857	Figure 8: Prompt for feature extraction. Input prompt for the feature extraction form sin

gle response of LLM.

862

832

833

834

Е QUALITATIVE EXAMPLES

System prompt sampling. Fig. 11 illustrates how the preference feature is sampled into the system 863 prompt, using examples from the actual double system prompt process.

864	prompt
865	
866	Given a prompt and a response, analyze the response and determine which preference feature
867	the response was likely based on, considering the user's background knowledge.
868	Prompt: [{prompt}]
869	
870	Response: [{response}]
871	### Out =={:
872	### Question An orbitrary parson selected this response based on a preference for cortain features related to
873	their background knowledge. Considering the aspect of the user's background knowledge, what
874	specific feature is the person likely prioritizing?
875	
876	Select one of the following options:
877	{ontions}
878	(options)
879	Finally, provide your answer in the following format:
880	- Answer is [selected option Alphabet]
881	I was also be store
882	Let's think step-by-step.
883	

Figure 9: Prompt for feature extraction. Input prompt for the feature extraction form single response of LLM, focusing on user's background knowledge.

Table 7: Feature classifier accuracy. Test accuracy of the trained feature classifier (in Section 4.2) on the separately constructed test dataset.

Metric	background	harmlessness	informativeness	style	tone
Accuracy	0.535	0.512	0.688	0.496	0.507
F1 Score	0.532	0.513	0.663	0.489	0.426

Examples of generated responses. Here, we present a direct comparison between Iterative DPO with PFP using the generated responses on the AlpacaEval 2.0 Benchmark. The results are presented in Figures 12, 13, and 14. As shown, Iterative DPO responses tend to be longer and tend to provide excessive information.

- F **ADDITIONAL ANALYSES**
- 900 901

904

907

896

897

898 899

885

887

902 Accuracy of trained preference feature classifier. In Table 7, we additionally measure the test accuracy of the trained feature classifier on the separately constructed test dataset. Specifically, this 903 test dataset is created by randomly selecting 917 samples from the initial dataset to be excluded from learning. The results show moderate accuracy, which is limited by the small amount of training 905 data and the long-tailed nature of preference features (see Figure 16). These findings highlight the 906 importance of the proposed distribution preservation step to address the feature classifier's limitations.

908 Evaluation on Arena Hard benchmark. To provide a stronger assessment of our framework by evaluating our framework on more diverse datasets, we have additionally evaluated our framework and 909 key baseline methods on the "Arena-Hard-Auto" benchmark (Li et al., 2024), which is another well-910 established benchmark to evaluate LLM alignment (Meng et al., 2024). The results are presented in 911 Table 8; here, one can observe that while PFP performed slightly below SELFEE, it still outperforms 912 the iterative DPO model by a significant margin. Notably, PFP consistently produced shorter 913 responses compared to both SELFEE and iterative DPO, indicating effective bias mitigation in 914 response length. 915

Comparison with SimPO. Here, we additionally include the comparison with the latest offline 916 preference alignment algorithm, SimPO (Meng et al., 2024), as our new baseline. This baseline is 917 trained using the same seed data size as PFP, which is also the same amount of data used by DPO.

	system prompt				
	You are an excellent system message generator. Read the provided rule, system message				
	examples, and preferences carefully				
	nrompt				
	I'm brainstorming system messages for personalizing language models. You are given some preferences made by human 5 preferences are given and each preference consists of the				
	name of the preference and a description for it. Your job is to write a system message to				
	guide a language model to behave and respond in a way that best reflects the provided human				
	preferences. Please generate a creative and realistic system message. Refer to the given system				
	message examples.				
	[Pula]				
	- Do NOT include any greeting messages.				
	- No bullet point style.				
	- The length of the system message should not be too long. Generate a system message that is				
	about one paragraph in length.				
	- Do not introduce any new content or task not mentioned in the preference descriptions.				
	- Do not suck to expressions like language model, LLM, Assistant, and AI unless the				
	- The system message should assign a role tailored to the preferences to the model.				
	[Preferences]				
	Style: {style}				
	Ensure your responses reflect the given style.				
	Tone: {tone}				
	Make sure the tone of your responses matches the given tone.				
	Harmlessness: {harmlessness}				
	Prioritize harmlessness as specified when crafting your responses.				
	User's Background Knowledge: {background knowledge}				
	Assume the user has the background knowledge provided and tailor your responses accordingly.				
	Informativeness: {informativeness}				
	Ensure the informativeness of your responses matches the specified level.				
	[Generated system message]				
Fig	ure 10: Prompt for system prompt generation. Input prompt for the system prompt gener				
fro	n preference feature.				
	Preference Features				
	'Format', 'Formal', 'Accuracy', 'Basic', 'Depth'				
	System prompt 1 You are a formal and precise expert. dedicated to providing accurate and				
	harmless information while maintaining clarity and a well-structured format. Assume the				
	user has a basic level of background knowledge and strive to deliver detailed and in-depth				
	responses that reflect the user's comprehension level. Your role is to ensure your responses are				
	meticulously crafted to be both informative and non-harmful, adhering to a consistently formal				
	tone and tormat				
	System prompt 2 You are a knowledgeable and formal advisor catering to users with basic				
	background knowledge. Your responses should be precisely formatted, maintaining an appro-				
	priate depth of informativeness while ensuring utmost accuracy to provide harmless and useful information. Aim to deliver clear, well structured, and respectful guideness that therewells				
	addresses the queries presented				
	aaresses ale queries prosented				
Fig	ure 11: Result of double system prompt sampling. Two system prompts are sampled differ				
1 15	m the same preference features				
fro					

971

erefore requir	
well-draining	
ng.	
arovel as the	
ture with a lo	
idic to neutral soil, with a pH range of 6.0 to 7.5. You can test	
ent content it	
, low-nitroger	
is not getting	
• •• • •• • ••	
eir cactus soi	
sity, anowing	
or perlite with	
allow excess	
nic matter and	
can be added	
ient nutrients	
y growth and	
A cactus soi	
or organic-rich	
ant to provide	
wel or pebble	
n Iterative D	
1	

Methods	Score	Avg. len
SFT	2.2	507
Iterative DPO	4.4	671
SELFEE	9.0	839
PFP (Ours)	7.0	463

Only the batch size was set to 32, the same as our setup, and the remaining hyperparameters from SimPO's official github were used as is.⁵ The results are presented in Table 9, and one can observe that PFP yields better alignment performance with less length bias.

⁵https://github.com/princeton-nlp/SimPO

Prompt:
Who is Larry Page?
Itarativa DPO response:
Larry Page is an American entrepreneur, computer scientist, and co-founder (along with Serge
Brin) of Google, one of the largest technology companies in the world. He was born on Marc
26, 1973, in East Lansing, Michigan, and grew up in the area.
Page earned his Bachelor's degree in Computer Science and Engineering in 1995 from the University of Michigan where he later received an honorary Doctor of Science degree in 200
After completing his studies. Page moved to Stanford University, where he pursued his PhD i
Computer Science.
Page and Brin founded Google in 1998, initially as a graduate school project, with the aim of huiding a better school project.
popularity and became a major player in the tech industry. Page served as Google's Chie
Executive Officer (CEO) from 1999 until 2001, when he handed the reins over to Eric Schmid
Page has continued to play an active role in Google's development, serving as the company
CEO again from 2011 until 2019, when he stepped down in favor of Sundar Pichai. He currently again to be a least the correct of Casala and continues to be a least to be least to be lea
figure in the company's direction and innovation.
ngare in alle company o direction and nine faitoni
Page is known for his focus on long-term thinking, his commitment to sustainability an
renewable energy, and his dedication to improving the quality of life for people around the
his work to improve education and health outcomes in developing countries through the Lar
Page Center for Entrepreneurial Studies and the Page Cancer Center at Stanford University.
PFP response:
Larry Page is a co-founder of Google, a multinational technology company that provides searc
engine services, online advertising, and other internet-related products and services. He was
born on March 26, 1973, in East Lansing, Michigan, and graduated from Stanford Universit
with a degree in computer science and artificial intelligence. Page is known for his innovativideas leadership, and vision in the technology industry. He serves as the CEO of Alphab
Inc., the parent company of Google, and is one of the richest people in the world. Page is also
known for his philanthropic efforts and supports various causes, including healthcare researc
and education.

Table 9: Comparison with SimPO. Evaluation results on AlpacaEval 2.0 with different variants of Mistral-7B-v0.1. The best scores are highlighted in **bold**.

and

Methods	LC Win Rate	Win Rate	Avg. len
DPO	9.93	8.02	1409
SimPO	9.29	10.94	2192
PFP (Ours)	15.24	10.18	1187

1069 1070

1071

Robustness with potential variance. Since our evaluation using AlpacaEval 2.0 rely on GPT-4 as the 1072 evaluator, this can potentially raise a question about the variability in the results due to using GPT-4 1073 for evaluation, particularly since its responses can introduce variance. To address this, we conducted 1074 two additional rounds of experiments. In these new experiments, we fixed the initial DPO model and 1075 repeated subsequent evaluations to assess consistency. We conducted an evaluation on AlpacaEval 1076 2.0, and the results are presented in Table 10. While the differences in LC Win Rate may appear 1077 modest (e.g., 1.46% improvement), the variance for these evaluations (e.g., 0.29 for PFP) supports 1078 the statistical significance of these results. Moreover, we remark that the primary contribution of our method lies in feature debiasing. As shown in Figure 4, PFP demonstrates substantial improvements 1079 over other baselines in mitigating preference feature bias.

30	Prompt:
1	What causes the northern lights
-	Iterative DPO response:
3	The Northern Lights, also known as Aurora Borealis, are caused by the interaction of charged
ļ.	particles from the sun (solar wind) with the Earth's magnetic field and atmosphere.
5	
)	When the solar wind collides with the Earth's magnetic field, it creates a region called the
P	magnetosphere. This region traps some of the charged particles from the solar wind, including
3	electrons and protons.
)	When these charged particles enter the Earth's atmosphere, they collide with the neutral atoms
)	and molecules that make up the Earth's atmosphere, causing them to become electrically charged
1	and emit light. The colors of the Northern Lights are determined by the type of atoms and
2	molecules that are being ionized, as well as the altitude and energy of the collisions.
3	The most common colors of the Northern Lights are green, yellow, and red, but other colors
4	such as blue, purple, and orange can also be seen under certain conditions. The brightness and
5	movement of the Northern Lights depend on the intensity of the solar wind and the strength of
6	the Earth's magnetic field at that particular location and time.
7	PFP response:
3	The northern lights, also known as aurora borealis, are caused by the interaction between
9	charged particles from the sun (primarily electrons and protons) and the Earth's magnetic
)	field and atmosphere. When these charged particles enter the Earth's magnetic field, they are
1	ionize the gas molecules, causing them to emit light in the form of colorful streaks and shapes
2	The colors of the northern lights depend on the type of gas molecule that is being excited and
3	the energy of the particles colliding with them. The most common colors are green, yellow, red,
4	blue, and purple. The intensity and frequency of northern lights depend on the solar wind, the
5	strength and direction of the Earth's magnetic field, and other geomagnetic factors.
6	
7 -	
F F	igure 14: Response in AlpacaEval2.0 . For a qualitative comparison between Iterative DPC
γ P	FP, we generate the responses from the same prompt.
1	

Table 10: Robustness on potential variance. Evaluation results on AlpacaEval 2.0 with different random seeds.

Methods	1st Seed Data	2nd Seed Data	3rd Seed Data	Average	Variance
PFP: LC Win Rate (%)	15.24	14.38	14.22	14.61	0.29
PFP: Win Rate (%)	10.18	10.16	9.97	10.10	0.01
SELFEE: LC Win Rate (%)	14.23	12.58	12.64	13.15	0.84
SELFEE: Win Rate (%)	17.49	15.59	17.06	16.71	0.99

 Table 11: Simple system prompt for length bias. Evaluation results on AlpacaEval 2.0 with different variants of Mistral-7B-v0.1. The best scores are highlighted in **bold**.

Methods	LC Win Rate	Win Rate	Avg. len
SELFEE SELFEE + Concise	14.23 13.40	17.49 15.50	2412 2218
PFP (Ours)	15.24	10.18	1187

1126 1127

1112

1119

1120

Simple system prompt to mitigate length bias. We further conduct the new experiment by adding
"being concise" in the system prompt, as another baseline (*SELFEE + Concise*). The results are presented in Table 11, and we found that it led to some reduction in response length, but it also resulted in decreased overall performance.

Preference feature distribution. Here, we present the preference feature distributions specifically. For each category of preference feature, we normalize the fre-



quency and present the proportion of each sub-feature. Figure 16 is the distribution of seed preference dataset, which is extracted with feature extractor (see Section 4.1).

Remarkably, one can observe the imbalanced distribution for each category, which potentially affect to the classi-fier's performance. Next, in Figures 17, 18, 19, we present the preference feature distribution under different online preference learning methods. Unlike Figure 16, this feature is measured by a single response generated from the AlpacaEval 2.0 prompt. Among all preference features, we select the feature with the largest change under each method and present them in Figure 15. Here, it is clearly observed that PFP yields much smaller change in pref-erence feature, compared to SELFEE and Iterative DPO. We note that the overall tendency of change can be also verified in Figure 4.



Figure 15: Distribution of most changed feature.

