000 001 002 003 DEBIASING ONLINE PREFERENCE LEARNING VIA PREFERENCE FEATURE PRESERVATION

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ABSTRACT

While various preferred features determine human preferences, current preference learning frameworks for large language models (LLMs) simplify them with binary pairwise comparisons and scalar rewards. This simplification could make LLMs' responses biased to mostly preferred features such as longer responses which would be exacerbated in online learning scenarios as the biases can be accumulate continuously throughout the iterations. To address these challenges, we propose a novel framework called PFP (Preference Feature Preservation). The key idea of PFP is maintaining the distribution of human preference features throughout the online preference learning process. Specifically, PFP first trains a feature classifier using the existing offline pairwise human preference data. Then, using this classifier and the distribution preserving optimization, PFP maps appropriate preference features for each input instruction during online learning. Lastly, PFP trains LLM using the existing preference learning framework, by incorporating the preference feature of each data into system prompts and enabling LLM to explicitly handle various human preferences. Our experiments demonstrate that PFP successfully mitigates the bias in preference features that arise during online learning, and achieves superior performance compared to previous preference learning methods on general benchmarks including AlpacaEval 2.0 and MT-Bench. We also observe that PFP almost resolves a length bias issue, a long-standing problem of online preference learning, even though it was not specifically designed to tackle this.^{[1](#page-0-0)}

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1 INTRODUCTION

033 034 035 036 037 038 039 040 041 042 Aligning large language models (LLMs) using human feedback, particularly by learning from human preferences, yields remarkable successes in various NLP tasks and real-world applications such as coding assistants and chatbots [\(Anthropic,](#page-10-0) [2024;](#page-10-0) [Dubey et al.,](#page-10-1) [2024;](#page-10-1) [OpenAI,](#page-11-0) [2024b;](#page-11-0) [Team](#page-12-0) [et al.,](#page-12-0) [2023\)](#page-12-0). To improve the alignment of LLMs, various preference learning algorithms, such as Reinforcement Learning from Human Feedback (RLHF) [\(Ouyang et al.,](#page-11-1) [2022\)](#page-11-1) and Direct Preference Optimization (DPO) [\(Rafailov et al.,](#page-11-2) [2023\)](#page-11-2), have been explored. A common assumption across these works is that human preference is provided in a binary pair-wise comparison [\(Ziegler et al.,](#page-12-1) [2019;](#page-12-1) [Hong et al.,](#page-10-2) [2024\)](#page-10-2). This approach enables easy modeling of human preference using the scalar reward such as the Bradley-Terry (BT) model (Bradley $\&$ Terry, [1952\)](#page-10-3); however, it also has critical limitations from over-simplification and fails to capture the complexity of human preferences.

043 044 045 046 047 048 049 050 One typical mis-aligned behavior of LLMs trained under current preference learning methods is a *length bias*; as shown in Fig. [1\(a\),](#page-1-0) LLMs tend to produce and prefer longer responses after the alignment procedure [\(Park et al.,](#page-11-3) [2024;](#page-11-3) [Dubois et al.,](#page-10-4) [2024;](#page-10-4) [Singhal et al.,](#page-11-4) [2023\)](#page-11-4). Despite various attempts to mitigate this issue, such as heuristically penalizing response length within reward models [\(Chen et al.,](#page-10-5) [2024\)](#page-10-5), length bias remains a persistent and challenging problem. In addition, beyond the bias toward the specific preference feature, another key challenge is a bias toward the preferences of the majority [\(Santurkar et al.,](#page-11-5) [2023\)](#page-11-5). As the reward model likely assigns higher rewards to the responses preferred by the majority, aligned LLMs with this reward model could be also biased. It makes LLMs suffer to generate the proper responses for diverse users with various preferences.

051 052 053 This issue becomes even more problematic in online preference learning scenarios, which progressively improves the alignment of LLMs by iterating the generation of preference data and learning

¹We will release the codes and models upon acceptance.

064 065 066 067 068 069 Figure 1: **Motivation for debiasing online preference learning.** (a) The average length of the response from LLMs trained with the existing online preference learning is progressively increased with more iterations. (b) Underlying preference feature distribution obtained by inversely asking GPT-4o is progressively biased toward the majority at the initial distribution. Larger KL divergence indicates that the feature distribution has shifted further from its pre-training state. (c) We propose to map each input instruction with the specific preference features and then convert it into the system prompt to enable LLM to explicitly handle preference features. (See detail in Sec. 5.1)

071 072 073 074 075 from them [\(Xiong et al.,](#page-12-2) [2024;](#page-12-2) [Wu et al.,](#page-12-3) [2024;](#page-12-3) [Rosset et al.,](#page-11-6) [2024\)](#page-11-6). During online preference learning, LLM will generate responses biased toward specific preference features, and the preference annotators, such as the external reward model [\(Jiang et al.,](#page-11-7) [2023b\)](#page-11-7), will provide positive feedback on this. As such iterations go on, the bias of LLM accumulates (see Fig. $1(b)$), and hence it results in the reduced diversity and quality of LLM's responses.

076 077 078 079 080 081 082 083 084 085 086 Contribution. To address these challenges, we propose a novel online preference learning framework called PFP (Preference Feature Preservation). Our approach is to ensure that the distribution of preference features remains consistent throughout the online preference learning process. Here, the key idea is to explicitly extract preference features of each input instruction and handle them using system prompts of LLMs (see Fig. $1(c)$); it enables LLMs to generate and learn preference data with intent. Specifically, PFP first estimates the initial distribution of preference features of the given human preference dataset, by inferring which features mainly determine binary human preferences. We then train a preference feature classifier, which maps each input instruction to appropriate preference features with additional optimization for the distribution preservation, during the online learning process. Finally, PFP trains LLM using the existing preference learning framework, by converting the mapped preference features of each generated data into the system prompts of LLMs.

087 088 089 090 091 092 093 094 095 096 097 098 099 100 We demonstrate the effectiveness of the proposed PFP by applying it to align recent open-sourced LLMs, *e.g.*, Mistral [\(Jiang et al.,](#page-11-8) [2023a\)](#page-11-8), with the commonly used preference dataset (UltraFeedback [Cui et al.](#page-10-6) [\(2023\)](#page-10-6)) and evaluation benchmarks (AlpacaEval 2.0 [\(Dubois et al.,](#page-10-4) [2024\)](#page-10-4) and MT-bench [\(Zheng et al.,](#page-12-4) [2023\)](#page-12-4)). For the experiments, we adopt the SELFEE framework [\(Kim et al.,](#page-11-9) [2024\)](#page-11-9) as an online preference learning algorithm, which enables Iterative DPO [\(Xu et al.,](#page-12-5) [2023;](#page-12-5) [Xiong](#page-12-2) [et al.,](#page-12-2) [2024\)](#page-12-2) without using an external reward model. Our experimental results demonstrate that PFP successfully eliminates the bias in preference features during online learning. As shown in Fig. [1,](#page-1-3) responses generated by the model trained with PFP mitigate bias in preference features, unlike the model trained with Iterative DPO. Additionally, PFP achieves superior performance compared to previous online preference learning methods. For example, our framework achieves 7.58% \rightarrow 15.24% increase in AlpacaEval 2.0 length-controlled win rate compared to the SFT model, while Iterative DPO achieves 7.58% \rightarrow 13.13% increase. More interestingly, PFP effectively reduces the occurrence of length bias during online preference learning, despite not being specifically designed to address this issue. These results demonstrate that our framework is highly competitive and practical for real-world applications, underscoring the robustness and versatility of the proposed framework.

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2 RELATED WORKS

104 105 106 107 LLM alignment with human preference. Aligning LLMs with human intentions and values using human feedback data now becomes a defacto standard to obtain well-performing LLMs [\(Ziegler](#page-12-1) [et al.,](#page-12-1) [2019;](#page-12-1) [Ouyang et al.,](#page-11-1) [2022\)](#page-11-1). Typically, this feedback is collected by asking human annotators to compare two responses generated from the same input prompt and assign a binary preference label based on their judgment. One of the most widely adopted approaches is RLHF [\(Christiano](#page-10-7)

108 109 110 111 112 113 114 115 116 [et al.,](#page-10-7) [2017;](#page-10-7) [Stiennon et al.,](#page-12-6) [2020\)](#page-12-6), where a reward model is trained to capture human preferences [\(Bradley & Terry,](#page-10-3) [1952\)](#page-10-3), and the LLM is then fine-tuned to optimize for this learned reward. To prevent issues such as reward over-optimization and model collapse, KL divergence regularization is commonly employed during this fine-tuning process. However, RLHF presents several challenges such as computational overheads from training reward models, as well as the instability associated with online reinforcement learning algorithms. To address these issues, alternative approaches have been extensively proposed [\(Rafailov et al.,](#page-11-2) [2023;](#page-11-2) [Zhao et al.,](#page-12-7) [2023;](#page-12-7) [Meng et al.,](#page-11-10) [2024;](#page-11-10) [Hong et al.,](#page-10-2) [2024\)](#page-10-2); for instance, [Rafailov et al.](#page-11-2) [\(2023\)](#page-11-2) propose DPO, which eliminates the need for a separate reward model by deriving a training objective that is mathematically equivalent to RLHF.

117 118 119 120 121 122 123 124 125 126 127 Online preference learning. Existing preference learning methods can generally be categorized into two approaches depending on whether they use the fixed human preference dataset (*offline preference learning*, *e.g.*, DPO) or progressively enlarge dataset from the iterations of sampling and labeling (*online preference learning*, *e.g.*, RLHF). While online methods typically achieve superior performance due to train with more data, they also demand significantly more computational costs from sampling responses and labeling preferences. To address this challenge, recent work has focused on developing efficient batch-online preference learning techniques, such as Iterative DPO [\(Xu et al.,](#page-12-5) [2023;](#page-12-5) [Xiong et al.,](#page-12-2) [2024;](#page-12-2) [Rosset et al.,](#page-11-6) [2024;](#page-11-6) [Wu et al.,](#page-12-3) [2024;](#page-12-3) [Calandriello et al.,](#page-10-8) [2024\)](#page-10-8). Iterative DPO generates thousands of responses in each iteration (batch) and constructs labeled preference datasets by judging the preference using the reward model [\(Jiang et al.,](#page-11-7) [2023b\)](#page-11-7). This dataset is then used to train LLMs with offline methods like DPO, and the iteration repeats, resulting in more efficient and stable alignment.

128 129 130 131 132 133 134 135 136 137 138 139 140 141 Bias of LLMs after alignment. One prominent issue observed in LLMs after alignment with existing preference learning methods (RLHF and DPO) and binary preference labels is the emergence of a *length bias*, where LLMs tend to generate and favor the longer responses [\(Park et al.,](#page-11-3) [2024;](#page-11-3) [Singhal et al.,](#page-11-4) [2023\)](#page-11-4). Not only for the trained LLM policy, automated evaluation methods, including reward models and LLM-as-a-judge frameworks, also often exhibit a bias toward longer outputs, complicating the accurate assessment of LLM performance [\(Dubois et al.,](#page-10-4) [2024;](#page-10-4) [Wang et al.,](#page-12-8) [2023\)](#page-12-8). Although various strategies have been proposed to mitigate length bias, such as incorporating length penalties into the reward function [\(Park et al.,](#page-11-3) [2024\)](#page-11-3) or adjusting the objective function [\(Chen](#page-10-5) [et al.,](#page-10-5) [2024\)](#page-10-5), the issue remains difficult to fully resolve. Another key challenge is a bias toward the preferences of the majority [\(Santurkar et al.,](#page-11-5) [2023\)](#page-11-5) which can yield other unexpected and hidden biases, as the reward model will likely assign higher rewards to the responses preferred by the majority. This issue becomes more problematic in the online preference learning setup, as the bias of LLMs accumulates with more iterations. In this paper, we propose a new approach to mitigate this problem by explicitly extracting the preference features and handling them via system prompt.

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3 PRELIMINARY: ONLINE PREFERENCE LEARNING

145 146 147 148 149 150 Let the LLM policy be denoted as π_{θ} , which can generate output sequence (*i.e.* response) y, given input sequences composed of *system prompt* s and *instruction* x, *i.e.*, $y \sim \pi_{\theta}(s, x)$. Here, the system prompt s is usually considered to be fixed regardless of the input instruction x. For convenience, we assume that s is always included as the input of π_{θ} and hence omit s in the equations in the below parts. Next, we assume that we have the labeled preference dataset, $\mathcal{D} = \{(x, y_l, y_w)\}$, where y_l and y_w are the dis-preferred and preferred responses for the corresponding instruction x, respectively.

151 152 153 154 RLHF and DPO. To train π_{θ} with D for the alignment, RLHF first introduces the reward model $r(x, y)$ which can convert human preference data into scalar values. Specifically, the reward model $r(x, y)$ is often modeled with the Bradley-Terry (BT) model [\(Bradley & Terry,](#page-10-3) [1952\)](#page-10-3), and then it can yield the probability $p(y_w \succ y_l | x)$ that response y_w is preferred over y_l as follow:

$$
p(y_w \succ y_l \mid x) = \frac{\exp(r(x, y_w))}{\exp(r(x, y_w)) + \exp(r(x, y_l))}.
$$
 (1)

157 158 159 160 161 As the optimal reward function $r(x, y)$ is not accessible, a parameterized reward model $r_{\phi}(x, y)$ is usually introduced by optimizing its parameters with the maximum-likelihood objective on the preference dataset. With this reward model, RLHF optimizes LLM π to maximize this reward with the additional regularization of the KL divergence between the current policy and the reference policies (π_{ref}) to prevent reward over-optimization:

$$
\mathcal{L}_{\text{RLHF}}(\pi_{\theta}, \pi_{\text{ref}}) = -\mathbb{E}_{y \sim \pi_{\theta}, x \sim \rho} \left[r_{\phi}(x, y) \right] + \beta D_{\text{KL}} \left(\pi_{\theta}(y | x) \parallel \pi_{\text{ref}}(y | x) \right). \tag{2}
$$

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176 177 178 179 180 181 182 Figure 2: **Illustration of the proposed PFP framework.** (a) PFP first extracts the preference feature among the predefined categories for a given human preference dataset using an LLM-based feature extractor. (b) With the extracted features, PFP trains the feature classifier. (c) The trained feature classifier along with the additional adjustment maps the corresponding preference feature for a new instruction in a given online dataset. Then, the LLM-based system prompt synthesizer converts it into two system prompts, where each system prompt is used to sample the separate response. Then, the labeled preference dataset is constructed and the current policy LLM is trained on this dataset.

184 185 186 To remove the necessity of the reward model in RLHF, DPO proposed a method that is mathematically equivalent to the original RLHF objective and can directly optimize the internal reward modeled by LLM π itself, by maximizing the weighted likelihood gap between y_w and y_l :

$$
p_{\theta}(y_w \succ y_l | x) = \sigma \left(\beta \log \frac{\pi_{\theta}(y_w | x)}{\pi_{\text{ref}}(y_w | x)} - \beta \log \frac{\pi_{\theta}(y_l | x)}{\pi_{\text{ref}}(y_l | x)} \right). \tag{3}
$$

$$
\mathcal{L}_{\text{DPO}}(\pi_{\theta}, \pi_{\text{ref}}, \mathcal{D}) = \mathbb{E}_{(x, y_w, y_l) \sim \mathcal{D}} \left[-\log p_{\theta}(y_w \succ y_l | x) \right]. \tag{4}
$$

191 192 193 194 195 196 197 198 Online preference learning and SELFEE. In the online preference learning scenario, we first assume that we have multiple unlabeled instruction datasets $X_t = \{x\}, t = 1, ..., T$ where $X_i \cap X_j = \emptyset$ for all $j = 0, \ldots, i - 1$. For t-th iteration, the preference dataset $\mathcal{D}_t = \{(x, y_t, y_w) | x \in \dot{X}_t\}$ is constructed by (1) sampling two responses for each instruction $x \in X_t$ using LLM policy π_{t-1} from the previous iteration (*i.e.*, $y_1, y_2 \sim \pi_{t-1}(x)$), and (2) judging the preference between them. Then, LLM policy π_t which is initialized with π_{t-1} is trained with \mathcal{D}_t using the existing preference learning method. One representative approach is Iterative DPO $(Xu$ et al., [2023\)](#page-12-5), where the external reward model is used for the preference judgments and π_t is trained with \mathcal{D}_t using DPO.

199 200 201 202 However, as choosing the proper reward model is non-trivial, especially in our framework, we adopt SELFEE [\(Kim et al.,](#page-11-9) [2024\)](#page-11-9) as the online preference learning algorithm. Specifically, SELFEE conducts preference labeling using the implicit reward derived from the DPO's objective function, unlike the other Iterative DPO methods using the external reward model:

$$
p_{t-1}(y_1 \succ y_2 | x) = \sigma \left(\beta \log \frac{\pi_{t-1}(y_1 | x)}{\pi_{\text{init}}(y_1 | x)} - \beta \log \frac{\pi_{t-1}(y_2 | x)}{\pi_{\text{init}}(y_2 | x)} \right),\tag{5}
$$

$$
(y_w, y_l) = (y_1, y_2) \text{ if } p_{t-1}(y_1 \succ y_2 | x) > 0.5 \text{ else } (y_w, y_l) = (y_2, y_1), \tag{6}
$$

where y_1 and y_2 as the generated response from π_{t-1} . With this preference judgment, SELFEE constructs the labeled dataset $\mathcal{D}_t = \{(x, y_l, y_w) | x \in X_t\}$ and uses it to learn t-th policy π_t . In this work, we assume that π_0 is trained with DPO on the initial human preference data \mathcal{D}^2 \mathcal{D}^2 .

4 PFP: DEBIASED ALIGNMENT VIA PREFERENCE FEATURE PRESERVATION

Overview. In this section, we present PFP: Preference Feature Preservation to align LLMs by reducing the bias during online preference learning. Our main idea is to explicitly extract preference

²Following the conventional setup, we initialize this LLM with SFT.

216 217 218 219 220 221 features of input instruction, and handle them using system prompts of LLMs. To this end, PFP first extracts the preference features of the given human-labeled preference dataset (Sec. [4.1\)](#page-4-0). Then, we train the feature classifier using these extracted features; it enables us to map the proper preference feature for the input instruction of the online dataset while preserving the original feature distribution (Sec. [4.2\)](#page-4-1). Lastly, we train LLMs with the extracted features by incorporating them into the system prompt (Sec. [4.3\)](#page-5-1). We present full procedure of PFP in Algorithm [1](#page-5-2) (see Fig. [2](#page-3-1) for the overview).

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4.1 EXTRACTING PREFERENCE FEATURE FROM BINARY HUMAN PREFERENCE DATA

225 226 227 228 229 230 231 232 233 234 235 236 237 We first assume that some features affect the judgment of human preference between the responses for the given input prompt; we call them *preference features*. Following [Lee et al.](#page-11-11) [\(2024\)](#page-11-11), we predefined these preference features and organized them into five different classes (*e.g.*, tone, style, informativeness, etc.), denoted as $\mathcal{P} = [C_1, C_2, C_3, C_4, C_5]$, as shown in Table [6.](#page-14-0) Each class C_k contains up to five sub-features, represented as $C_k \in \{c_k^1, c_k^2, c_k^3, c_k^4, c_k^5\}$; for example, in *style*, one of the classes, consists of following five sub-features: clarity, conciseness, format, vividness, and consistency. Under this definition,, we extract the preference features of the pairwise offline human preference data D using the feature extractor. We implement the feature extractor by prompting LLM such as GPT-4o [\(OpenAI,](#page-11-0) [2024b\)](#page-11-0), to infer the likely preference features that led the annotators to provide specific feedback. Specifically, for the input instruction x and the two responses y_w and y_l , the feature extractor is defined as ${\bf p}={\rm LLM}_{\rm FE}(x,y_l,y_w)$ where ${\bf p}=[p_1,...,p_5],$ where each p_i represents a probability distribution over the 5 sub-features of class C_i (*i.e.*, $p_i \in [0,1]^5$ and $\sum_{j=1}^5 p_i^j = 1$). The prompts used for the feature extraction are detailed in Appendix [C.](#page-13-0) Then, the extracted preference features are added to the human preference data ${\cal D}$ and it yields ${\cal D}_{\rm FE}=\{(p,x,y_l,y_w)\}.$

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4.2 DISTRIBUTION PRESERVED MAPPING OF INPUT INSTRUCTION TO PREFERENCE FEATURE

241 242 243 244 245 To preserve the feature distribution over each iteration of online preference learning, we first map each instruction $x \in X_t$ used in online learning to the proper preference features. One can expect that the preference feature distribution is preserved by explicitly utilizing the assigned features during response generation and preference judgment. Specifically, this process involves two key components: (a) learning a feature classifier, and (b) assigning a pseudo-label using a relabeling technique.

246 247 248 249 250 251 252 Learning feature classifier. PFP introduces an auxiliary classifier q_{ϕ} to predict appropriate preference features for the given input instruction. Specifically, q_{ϕ} is trained via conventional supervised learning with cross-entropy loss, using the input instructions x and the extracted features p in \mathcal{D}_{FE} . After the training, q_{ϕ} can provide a probability distribution over preference features for a new input instruction $x \in X_t$ that will be used in online learning. A separate classifier q_{ϕ^k} is introduced for each feature class C_k , *i.e.*, $q_{\phi^k}(\cdot) : x \to q_{\phi^k}(x)$ where $q_{\phi^k}(x) = [0, 1]^5$ and $\sum q_{\phi^k}(x) = 1^3$ $\sum q_{\phi^k}(x) = 1^3$.

253 254 255 256 257 258 259 260 Adjusted output prediction. To further complement the classifier's predictions to be aligned with the distribution of human preferences, PFP adjusts the predicted probabilities by introducing the optimization problem. Formally, for each feature class C_k , the human preference feature distribution is derived from \mathcal{D}_{FE} , *i.e.*, $P_k = \sum_{p \in \mathcal{D}_{FE}} p_k / |\mathcal{D}_{FE}|$. Next, the output probabilities for all input instructions in X_t under q_{ϕ^k} is collected to measure the distribution, *i.e.*, $Q_k = \sum_{x \in X_t} q_{\phi^k}(x)/|X_t|$. Here, our goal is to find the adjusted output probability $\widetilde{q}_k(x) \in [0,1]^5$ for each input instruction $x \in X$, that vields the empirical distribution identical with P_k while minimizing the deviation from $x \in X_t$ that yields the empirical distribution identical with P_k while minimizing the deviation from the original probability $q_{\phi^k}(x)$. This problem can be formulated as below optimization problem:

$$
\min_{q} \text{CE}(q_{\phi^k}, q) \quad \text{s.t.} \quad \forall x \in X_t : q(x) \in [0, 1]^5, \ \sum_{i=1}^5 q(x)_i = 1, \text{ and } \sum_{x \in X_t} q(x) / |X_t| = P_k \tag{7}
$$

265 266 267 268 where CE(q_{ϕ^k} , q) is a cross-entropy between $q_{\phi^k}(x)$ and $q(x)$ for $x \in X_t$. Following the previous works [\(Asano et al.,](#page-10-9) [2020;](#page-10-9) [Kim et al.,](#page-11-12) [2020\)](#page-11-12), we solve this problem via efficient Sinkhorn-Knopp algorithm [\(Cuturi,](#page-10-10) [2013\)](#page-10-10). With $\tilde{q}_k(x)$ from solving Eq. [7](#page-4-3) with q_{ϕ^k} , we sample the preference feature and augment the online dataset X_t , *i.e.*, $p_k \sim \tilde{q}_k(x)$ and $\tilde{X}_t = \{(p, x) | x \in X_t, p = [p_1, ..., p_5]\}.$

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 ${}^3q_{\phi^k}$ is initialized with a relatively small language model (304M), DeBERTa-v3-large [\(He et al.,](#page-10-11) [2023\)](#page-10-11).

4.3 LEARNING PREFERENCE FEATURES THROUGH SYSTEM PROMPT

288 289 290 291 292 293 294 295 296 297 298 299 Synthesizing system prompt from preference feature. We need to generate responses and judge the preference using the LLM policy π_{θ} conditioned on the given preference feature. However, it can be difficult as the preference features have the form of short words that are not suitable for LLM, for example, the feature set is represented as follows: [Conciseness, Formal, Accuracy, Intermediate, Efficiency]. To address this, we convert these discretized preference features into the system prompt, which is a natural language description about the preference feature, and add it in front of the instruct x as the usual system prompt (see Sec. [3\)](#page-2-0). Specifically, the system prompt s is created through the system prompt synthesizer, which is realized by prompting LLM that receives features as input and generates a system prompt, *i.e.*, $s \sim \text{LLM}_{\text{SS}}(p)$. Then, we augment the online learning dataset X_t by incorporating the generated system prompt, *i.e.*, $S_t = \{(s, x)|x, p \in X_t\}$. We created the prompt for LLM_{SS} by modifying the prompt used in [Lee et al.](#page-11-11) [\(2024\)](#page-11-11) (see Appendix [C\)](#page-13-0). Using S_t , one can perform the existing online preference learning method, such as iterative DPO.

300 301 302 303 304 305 306 307 308 Double system prompt sampling and scheduling. While incorporating preference features into LLM using the system prompt enables LLM to understand and handle them better, we observe that conditioning specific system prompts could reduce the diversity between sampled responses. This reduced diversity makes preference judgment between them difficult and consequently leads to decreased performance (see Table [3\)](#page-9-0). To prevent this, we propose to augment the online learning data set X_t by sampling two system prompts, *i.e.*, $S_t = \{(s_1, s_2, x)|x \in X_t\}$ and $s_1, s_2 \sim \text{LLM}_{ss}(p)$. Then, during the dataset construction process, each system prompt is used to sample the different response, *i.e.*, $y_i \sim \pi_{t-1}(s_i, x)$ where $i = 1, 2$. Finally, using Eq. [5](#page-3-3) and [6,](#page-3-4) we judge the preference between y_1 and y_2 with randomly chosen s between s_1 and s_2 , and construct the labeled dataset $\mathcal{D}_t = \{(s, x, y_t, y_w) | x \in X_t\}$ for t-th iteration which is used to learn the t-th policy π_t .

309 310 311 312 313 314 In addition, to improve the effectiveness of online preference learning, we propose progressively increasing the training examples' difficulty. To this end, we simply reduce the temperature used for system prompt sampling as the iteration increases, which reduces the diversity between two system prompts. We expect that it also reduces the distance between two responses y_1 and y_2 from online response sampling with π_{t-1} and S_t , *i.e.*, more difficult to learn; therefore, this approach improves the effectiveness of online preference learning by continuously increasing the difficulty of the task.

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5 EXPERIMENTS

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5.1 EXPERIMENTAL SETUPS

321 322 323 In this section, we first present our experimental setups. As denoted in Sec. [3,](#page-2-0) we adopt the SELFEE framework [\(Kim et al.,](#page-11-9) [2024\)](#page-11-9) as our online preference learning algorithm for the experiments. SELFEE enables the effective alignment of LLMs with limited preference data and does not require the external reward model; SELFEE includes the process of using initial seed data to train and create

324 325 326 the initial DPO model. Here, the initial DPO model acts as the base model as well as the reward model before the iterative learning process begins (Eq. [5](#page-3-3) and [6\)](#page-3-4).

327 328 329 330 Models. For the policy LLM, we utilize an open-source model fine-tuned (SFT) on UltraChat data [\(Ding et al.,](#page-10-12) [2023\)](#page-10-12) based on the Mistral-7B-0.1v model [\(Jiang et al.,](#page-11-8) [2023a\)](#page-11-8), following the Zephyr recipe.^{[4](#page-6-0)} For the feature classifier (Sec. [4.2\)](#page-4-1), we employ DeBERTa-v3-large [\(He et al.,](#page-10-11) [2023\)](#page-10-11) as the backbone. We create five separate classifiers, one for each class of preference feature.

331 332 333 334 335 336 337 Datasets. For the initial labeled preference data, we use UltraFeedback dataset [\(Cui et al.,](#page-10-6) [2023\)](#page-10-6) which has been extensively used by prior works [\(Snorkel,](#page-12-9) [2024;](#page-12-9) [Rosset et al.,](#page-11-6) [2024;](#page-11-6) [Kim et al.,](#page-11-9) [2024\)](#page-11-9). Specifically, we sample 10K samples to construct a seed dataset. For PFP, the seed data would be taken feature extraction and system prompt synthesis processes, and the resulting data with added system prompts are used for initial DPO training and feature classifier training. Excluding seed data, we sample 4 datasets of 5K data samples each, ensuring no overlap. These datasets contain only prompts and are used to generate responses in each iteration of online learning.

338 339 340 341 342 343 344 345 Baselines. To evaluate the effectiveness of PFP, we consider *DPO* [\(Rafailov et al.,](#page-11-2) [2023\)](#page-11-2), *Iterative DPO* [\(Xiong et al.,](#page-12-2) [2024\)](#page-12-2), and *SELFEE* [\(Kim et al.,](#page-11-9) [2024\)](#page-11-9) as the baselines. All models under different baselines are trained starting from the same SFT model. The DPO trains LLM on the seed data without mapped system prompts. Iterative DPO, SELFEE, and PFP used the same online instruction datasets for each iteration. For the reward model (RM) in Iterative DPO, we employed the PairRM [\(Jiang et al.,](#page-11-7) [2023b\)](#page-11-7), which is wildly used in alignment task. While the initial DPO model was originally adopted as a base model only for PFP and SELFEE, we also consider using initial DPO as a base model in the case of Iterative DPO for a fair comparison. Specifically, we train the initial DPO model using seed data (without mapped system prompts) for SELFEE and Iterative DPO.

346 347 348 349 350 351 352 353 354 355 356 357 358 Evaluations. To measure the basic performance of the model, we employ commonly used benchmarks in preference alignment research, AlpacaEval 2.0 [\(Dubois et al.,](#page-10-4) [2024\)](#page-10-4) and MT-Bench [\(Zheng et al.,](#page-12-4) [2023\)](#page-12-4). AlpacaEval 2.0 is designed to approximately evaluate human preference for instruction following, and calculates the win rate by comparing the response of GPT-4 [\(OpenAI,](#page-11-13) [2023\)](#page-11-13) and the target model response by using GPT-4 as the evaluator. It is known that this benchmark reflects human preferences well, including a length-controlled win rate that reduces the impact of length bias. On the other hand, MT-Bench is designed to evaluate more diverse capabilities of LLMs by utilizing GPT-4 to score the responses of the model under evaluation on a scale from 0 to 10. In addition, to measure the debiasing effect on preference features, we extract the preference features from the responses generated for the test instructions in AlpacaEval 2.0. Then, we use the GPT-4o [\(OpenAI,](#page-11-14) [2024a\)](#page-11-14) to infer the most prominent preference feature in each response. After obtaining the feature distribution, we measure how the KL divergence between this distribution and the feature distribution of the initial model's responses. Here, the initial model refers to the model before the online iteration.

$$
D_{\text{KL}}(P_{\text{Initial Model}} \parallel P_{\text{target}}) = \sum_{x} P_{\text{Initial DPO}}(x) \log \left(\frac{P_{\text{Initial Model}}(x)}{P_{\text{target}}(x)} \right) \tag{8}
$$

361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 Implementation details. We extract preference features using the GPT-4o on the seed data. Here, the temperature is set to 0 to employ zero-shot chain-of-thought (CoT) reasoning [\(Wei et al.,](#page-12-10) [2022;](#page-12-10) [Kojima et al.,](#page-11-15) [2022\)](#page-11-15). The feature classifiers are trained to predict the labels of preference features extracted from the seed data, taking the instructions as input (*i.e.*, sequence classification). The number of labels is set to 5, corresponding to the number of sub-features. We train the classifiers with a learning rate of 1e-5, a batch size of 32, over 5 epochs. We synthesize system prompts also using the GPT-4o, taking preference features as input. For double prompt sampling and scheduling (Sec. [4.3\)](#page-5-1), the system prompts in the first iteration are generated with a temperature of 1.25, decreasing by 0.25 with each subsequent iteration. If scheduling is not applied, system prompts are generated with a temperature of 1. For subsequent iterations and the initial DPO, we set $\beta = 0.1$ and train for 1 epoch with 32 batch size. This value is the same throughout PFP and SELFEE learning, but in the case of Iterative DPO, $\beta = 0.01$ was used during online learning. The learning rate of 5e-7 is used with AdamW optimizer [\(Loshchilov et al.,](#page-11-16) [2017\)](#page-11-16). We employ a cosine learning rate scheduler with a 0.1 warm-up ratio of total running step. For PFP, Iterative DPO, and SELFEE, response sampling was performed twice per prompt with a temperature of 0.7. Unlike the original SELFEE, we removed the self-refine step to reduce the number of tunable hyper-parameters and ensure the robustness of the experiments. The prompt which used GPT-4o is provided in Appendix [C.](#page-13-0)

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⁴<alignment-handbook/zephyr-7b-sft-full>

Figure 4: Change of preference features. KL divergence comparison by class, showing how the feature distribution of the initial DPO model's response evolves during the online learning process. PFP, unlike other iterative learning algorithms, shows minimal change in distribution.

Table 1: Main results. Evaluation results on AlpacaEval 2.0 and MT-Bench with different variants of Mistral-7B-v0.1. The best scores are highlighted in bold.

	AlpacaEval 2.0	MT-Bench		
Models	Len-control. Win Rate $(\%)$	Win Rate vs. GPT-4 $(\%)$	Avg. len (# chars)	Avg. Score $(0-10)$
$Mistral-7B-v0.1$	0.17	0.50	5692	3.25
SFT	7.58	4.72	901	6.34
DPO (W/o sys)	9.93	8.02	1409	6.34
DPO (W sys)	9.27	5.86	1135	6.61
SELFEE	14.23	17.49	2412	6.56
Iterative DPO	13.13	12.05	1709	6.53
PFP (Ours)	15.24	10.18	1187	6.88

5.2 MAIN RESULTS

409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 We compare a DPO model trained with the preference feature from human feedback data explicitly included in the system prompt, against a model trained without feature. Based on AlpacaEval 2.0, the model trained with the system prompt performs slightly worse (9.93 vs 9.27), but based on MT-bench, a model trained with the system prompt gets a higher score than others (6.34 vs 6.61) (see Table [1\)](#page-7-0). However, as shown in Fig. [3,](#page-7-1) which KL divergence is measured from the SFT response distribution, the DPO model with the preference feature exhibits significantly reduced preference feature bias, and the length bias is also considerably decreased. Specifically, compared to the SFT model's response length of 901, the model trained without system prompts yields an average response length increase to 1409, while the model trained with system prompts only increases to 1135. These results suggest that explicitly considering the preference feature from human

Figure 3: Initial DPO Analysis. LLMs trained by DPO using human feedback data with system prompt has less length and feature distribution bias.

425 feedback data into the system prompt significantly aids in debiasing the model.

426 427 428 429 430 431 We also compare PFP to Iterative DPO and SELFEE; we measure the performance of the model obtained after performing a total of 4 iterations presented in Table [1.](#page-7-0) PFP succeeded in achieving higher performance than SELFEE (7.58 \rightarrow 14.23), Iterative DPO (7.58 \rightarrow 13.13) with a performance improvement of (7.58 \rightarrow 15.24) based on AlpacaEval 2.0 length-controlled win rate. In MT-Bench, PFP also showed a large improvement (6.34 \rightarrow 6.88) compared to SELFEE, with (6.34 \rightarrow 6.56) and Iterative DPO (6.34 \rightarrow 6.53). This shows that PFP learning achieves performance that surpasses SELFEE or Iterative DPO even on common benchmarks such as AlpacaEval2.0 or MT-Bench.

Figure 5: **Analyses.** (a) Length bias with different methods, (b,c) feature distribution for ablation.

Table 2: Ablation study for feature classifier and distribution preserving. Evaluation results on AlpacaEval 2.0 and MT-Bench with iteratively trained models (from initial DPO) under different methodological configurations of PFP. SP, CL, RL are abbreviations of system prompt, classifier label, and relabeling, respectively. When using only the system prompt, features are mapped randomly.

Methods	Method			AlpacaEval 2.0			MT-Bench
	SP		RL	Len-control Win Rate $(\%)$	Win Rate vs. GPT-4 $(\%)$	Avg. len $(\# \text{chars})$	Avg. Score $(0-10)$
PFP (Ours)				12.38 14.80	8.99 10.57	1129 1277	6.84 6.76
				15.24	10.18	1187	6.88

Fig. [4](#page-7-2) further describes the changes in the preference feature distribution of responses throughout the iteration process which are measured with KL divergence through Eq. [8.](#page-6-1) In the case of Iterative DPO and SELFEE, the distribution continues to change, while in the case of PFP, the marginal change in distribution occurs as iteration progresses. This represents that the existing iterative improvement algorithm has bias at the feature level, and PFP sufficiently alleviates this.

463 464 Fig. [5\(a\)](#page-8-0) describes the changes in the response character length throughout the iteration process. From iteration 1 to iteration 4, the response length for Iterative DPO and SELFEE increased significantly $(1418 \rightarrow 1709)$ and $(1852 \rightarrow 2412)$, respectively. In contrast, PFP exhibited only a minimal increase in length (1138 \rightarrow 1187). This highlights that, unlike other iterative improvement algorithms that have a weakness at length bias, PFP learns human preferences well without causing length bias.

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5.3 ABLATION STUDY I: FEATURE CLASSIFIER AND DISTRIBUTION PRESERVING

468 469 470 471 472 473 474 475 476 477 478 479 480 481 To evaluate the effect of the feature labeling method, we removed some of the feature labeling methods and conducted an ablation study. Table [2](#page-8-1) shows the experimental results of performance changes according to differences in feature labeling methods. The results are measured after a total of 4 iterations. Here, the random feature is created by generating a preference feature regardless of the prompt, and the classifier feature is sampled based on the probability of the feature generated when receiving the prompt as input using a preference feature classifier. Additionally, we conduct the relabeling of the probability of the features according to Eq. [7](#page-4-3) to preserve the distribution. As a result of the experiment, the feature sample method through the classifier achieves a performance increase of (12.38 \rightarrow 14.8) based on AlpacaEval 2.0 compared to the random sampling method, however, based on MT-bench, decreased slightly $(6.84 \rightarrow 6.74)$. In the case of the re-labeling algorithm, compared to before re-labeling is applied, a performance increase of $(14.8 \rightarrow 15.24)$ is achieved based on AlpacaEval2.0, and a performance increase of $(6.76 \rightarrow 6.88)$ is achieved based on MT-bench. Meanwhile, as shown in Fig. $5(b)$, the bias appears in the preference feature when using the classifier feature. However, when applying the re-labeling algorithm, preference feature bias can be significantly reduced while performance increases.

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5.4 ABLATION STUDY II: DOUBLE SYSTEM PROMPT SAMPLING AND SCHEDULING

485 To evaluate the effect of the response sampling method, we conduct experiments by adding double system prompt sampling and scheduling elements. As shown in Table [3,](#page-9-0) the double system prompt Table 3: Ablation study for different system prompt sampling methods. Evaluation results on AlpacaEval 2.0 and MT-Bench with iteratively trained models (from initial DPO) under different methodological configurations of PFP. DP, DS, DSS are abbreviations of distribution preserving, double system prompt sampling, and double system prompt sampling with scheduling, respectively.

Methods	Method			AlpacaEval 2.0			MT-Bench
	DP	DS	DSS	Len-control Win Rate $(\%)$	Win Rate vs. GPT-4 $(\%)$	Avg. len $(\# \text{chars})$	Avg. Score $(0-10)$
PFP (Ours)				12.73 13.78 15.24	10.10 9.65 10.18	1316 1187 1187	6.56 6.77 6.88

Table 4: Comparison with baselines to mitigate length bias. Evaluation results on AlpacaEval 2.0 and MT-Bench with iteratively trained models (from initial DPO) under different methods to mitigate length bias (length penalty and R-DPO). The best scores are highlighted in bold.

sampling yields a large performance improvement, with AlpacaEval 2.0 (12.73 \rightarrow 13.78) and MT-Bench (6.56 \rightarrow 6.77). Not only the performance improvement, but the response length also decreased $(1316 \rightarrow 1187$ tokens). When scheduling is further applied, the improvement is enlarged, with AlpacaEval 2.0 (12.73 \rightarrow 15.24) and MT-Bench (6.56 \rightarrow 6.88). Additionally, these components not only improve performance but also play a significant role in bias mitigation. As shown in Fig. $5(c)$, double system prompt sampling and scheduling greatly reduce feature distribution bias. In terms of length bias, compared to the case without these components, the additional component reduces the response length (1316 \rightarrow 1187). These results demonstrate that double system prompt sampling and scheduling are key factors that both enhance performance and mitigate bias.

518 5.5 LENGTH BIAS

520 521 522 523 524 525 526 527 528 529 530 The way PFP reduces length bias is fundamentally different from the traditional length control methods. In general, length bias has been handled using heuristic methods. The lengt penalty method works by heuristically subtracting a bias based on the length in the reward term from the reward model [\(Dong et al.,](#page-10-13) [2024\)](#page-10-13). Alternatively, as seen in the R-DPO approach [\(Park et al.,](#page-11-3) [2024\)](#page-11-3), length bias can be mitigated by the adding length regularization into the DPO loss. The common point is that the difference in length between two sentences is simply processed heuristically. However, we point out that the method tends to be sensitive to hyper-parameters and often fails to work effectively in practice. To evaluate how well PFP manages length control, we compare PFP with the length penalty method and R-DPO applied to Iterative DPO. We have tried both methods, and found that the R-DPO method with $\alpha = 0.01$ was best applied method. Details about the experiment are in Appendix [B.](#page-13-1) As shown in Table [4,](#page-9-1) the overall reduction in length remained limited. This shows that PFP is more effective in controlling length compared to traditional methods.

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6 CONCLUSION

534 535 536 537 538 539 In this paper, we propose PFP, a novel framework that explicitly preserves preference features during the online preference learning process to reduce bias. We demonstrate that incorporating preference features from human feedback into system prompts and preserving the feature distribution over each iteration of online learning effective in preventing bias. This not only aligns human preferences better than the existing Iterative DPO method but also succeeds in almost eliminating length bias and preference features that occur in the learning process. These findings are further supported by various benchmarks and additional analyses.

540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 REPRODUCIBILITY STATEMENT For the reproducibility of our results, we have provided a detailed description of our methods and experimental setups in Section 5.1 and Appendix \overline{B} . In addition, to further facilitate the reproduction, we will release our codes and the checkpoints for the trained models. **REFERENCES** Anthropic. Claude 3.5 sonnet. [https://www.anthropic.com/news/](https://www.anthropic.com/news/claude-3-5-sonnet) [claude-3-5-sonnet](https://www.anthropic.com/news/claude-3-5-sonnet), 2024. Yuki Markus Asano, Christian Rupprecht, and Andrea Vedaldi. Self-labelling via simultaneous clustering and representation learning. In *International Conference on Learning Representations (ICLR)*, 2020. Ralph Allan Bradley and Milton E Terry. Rank analysis of incomplete block designs: I. the method of paired comparisons. *Biometrika*, 39(3/4):324–345, 1952. Daniele Calandriello, Zhaohan Daniel Guo, Remi Munos, Mark Rowland, Yunhao Tang, Bernardo Avila Pires, Pierre Harvey Richemond, Charline Le Lan, Michal Valko, Tianqi Liu, et al. Human alignment of large language models through online preference optimisation. In *Proceedings of the International Conference on Machine Learning (ICML)*, 2024. Changyu Chen, Zichen Liu, Chao Du, Tianyu Pang, Qian Liu, Arunesh Sinha, Pradeep Varakantham, and Min Lin. Bootstrapping language models with dpo implicit rewards. *arXiv preprint arXiv:2406.09760*, 2024. Paul F Christiano, Jan Leike, Tom Brown, Miljan Martic, Shane Legg, and Dario Amodei. Deep reinforcement learning from human preferences. In *Advances in Neural Information Processing Systems (NeurIPS)*, 2017. Ganqu Cui, Lifan Yuan, Ning Ding, Guanming Yao, Wei Zhu, Yuan Ni, Guotong Xie, Zhiyuan Liu, and Maosong Sun. Ultrafeedback: Boosting language models with high-quality feedback. *arXiv preprint arXiv:2310.01377*, 2023. Marco Cuturi. Sinkhorn distances: Lightspeed computation of optimal transport. In *Advances in Neural Information Processing Systems (NeurIPS)*, 2013. Ning Ding, Yulin Chen, Bokai Xu, Yujia Qin, Zhi Zheng, Shengding Hu, Zhiyuan Liu, Maosong Sun, and Bowen Zhou. Enhancing chat language models by scaling high-quality instructional conversations. *arXiv preprint arXiv:2305.14233*, 2023. Hanze Dong, Wei Xiong, Bo Pang, Haoxiang Wang, Han Zhao, Yingbo Zhou, Nan Jiang, Doyen Sahoo, Caiming Xiong, and Tong Zhang. Rlhf workflow: From reward modeling to online rlhf. *arXiv preprint arXiv:2405.07863*, 2024. Abhimanyu Dubey, Abhinav Jauhri, Abhinav Pandey, Abhishek Kadian, Ahmad Al-Dahle, Aiesha Letman, Akhil Mathur, Alan Schelten, Amy Yang, Angela Fan, et al. The llama 3 herd of models. *arXiv preprint arXiv:2407.21783*, 2024. Yann Dubois, Balázs Galambosi, Percy Liang, and Tatsunori B Hashimoto. Length-controlled alpacaeval: A simple way to debias automatic evaluators. *arXiv preprint arXiv:2404.04475*, 2024. Pengcheng He, Jianfeng Gao, and Weizhu Chen. Debertav3: Improving deberta using electrastyle pre-training with gradient-disentangled embedding sharing. In *International Conference on Learning Representations (ICLR)*, 2023. Jiwoo Hong, Noah Lee, and James Thorne. Orpo: Monolithic preference optimization without reference model. In *Conference on Empirical Methods in Natural Language Processing (EMNLP)*, 2024.

594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 Albert Q Jiang, Alexandre Sablayrolles, Arthur Mensch, Chris Bamford, Devendra Singh Chaplot, Diego de las Casas, Florian Bressand, Gianna Lengyel, Guillaume Lample, Lucile Saulnier, et al. Mistral 7b. *arXiv preprint arXiv:2310.06825*, 2023a. Dongfu Jiang, Xiang Ren, and Bill Yuchen Lin. Llm-blender: Ensembling large language models with pairwise ranking and generative fusion. In *Annual Meeting of the Association for Computational Linguistics (ACL)*, 2023b. Dongyoung Kim, Kimin Lee, Jinwoo Shin, and Jaehyung Kim. Aligning large language models with self-generated preference data. *arXiv preprint arXiv:2406.04412*, 2024. Jaehyung Kim, Youngbum Hur, Sejun Park, Eunho Yang, Sung Ju Hwang, and Jinwoo Shin. Distribution aligning refinery of pseudo-label for imbalanced semi-supervised learning. In *Advances in Neural Information Processing Systems (NeurIPS)*, 2020. Takeshi Kojima, Shixiang Shane Gu, Machel Reid, Yutaka Matsuo, and Yusuke Iwasawa. Large language models are zero-shot reasoners. In *Advances in Neural Information Processing Systems (NeurIPS)*, 2022. Seongyun Lee, Sue Hyun Park, Seungone Kim, and Minjoon Seo. Aligning to thousands of preferences via system message generalization. *arXiv preprint arXiv:2405.17977*, 2024. Tianle Li, Wei-Lin Chiang, Evan Frick, Lisa Dunlap, Tianhao Wu, Banghua Zhu, Joseph E Gonzalez, and Ion Stoica. From crowdsourced data to high-quality benchmarks: Arena-hard and benchbuilder pipeline. *arXiv preprint arXiv:2406.11939*, 2024. Ilya Loshchilov, Frank Hutter, et al. Fixing weight decay regularization in adam. *arXiv preprint arXiv:1711.05101*, 5, 2017. Yu Meng, Mengzhou Xia, and Danqi Chen. Simpo: Simple preference optimization with a referencefree reward. In *Advances in Neural Information Processing Systems (NeurIPS)*, 2024. OpenAI. Gpt-4 technical report. *arXiv preprint arXiv:2303.08774*, 2023. OpenAI. Hello gpt-4o. <https://openai.com/index/hello-gpt-4o/>, 2024a. OpenAI. Learning to reason with $\lim_{n \to \infty}$ [https://openai.com/index/](https://openai.com/index/learning-to-reason-with-llms/) [learning-to-reason-with-llms/](https://openai.com/index/learning-to-reason-with-llms/), 2024b. Long Ouyang, Jeffrey Wu, Xu Jiang, Diogo Almeida, Carroll Wainwright, Pamela Mishkin, Chong Zhang, Sandhini Agarwal, Katarina Slama, Alex Ray, et al. Training language models to follow instructions with human feedback. In *Advances in Neural Information Processing Systems (NeurIPS)*, 2022. Ryan Park, Rafael Rafailov, Stefano Ermon, and Chelsea Finn. Disentangling length from quality in direct preference optimization. In *Findings of Annual Meeting of the Association for Computational Linguistics (ACL)*, 2024. Rafael Rafailov, Archit Sharma, Eric Mitchell, Christopher D Manning, Stefano Ermon, and Chelsea Finn. Direct preference optimization: Your language model is secretly a reward model. In *Advances in Neural Information Processing Systems (NeurIPS)*, 2023. Corby Rosset, Ching-An Cheng, Arindam Mitra, Michael Santacroce, Ahmed Awadallah, and Tengyang Xie. Direct nash optimization: Teaching language models to self-improve with general preferences. *arXiv preprint arXiv:2404.03715*, 2024. Shibani Santurkar, Esin Durmus, Faisal Ladhak, Cinoo Lee, Percy Liang, and Tatsunori Hashimoto. Whose opinions do language models reflect? In *Proceedings of the International Conference on Machine Learning (ICML)*. PMLR, 2023. Prasann Singhal, Tanya Goyal, Jiacheng Xu, and Greg Durrett. A long way to go: Investigating length correlations in rlhf. *arXiv preprint arXiv:2310.03716*, 2023.

702 703 704 705 Table 5: Results of several length control algorithms and hyperparameter search. Evaluation results on AlpacaEval 2.0 and MT-Bench with iteratively trained models (from initial DPO) under different methods to mitigate length bias (length penalty and R-DPO). Due to the limited computational budget, we selectively evaluate the models on MT-Bench. The best scores are highlighted in bold.

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A LIMITATION AND FUTURE WORK

725 726 727 728 Extracting preference features and generating system prompts currently requires powerful LLMs like GPT-4o [\(OpenAI,](#page-11-14) [2024a\)](#page-11-14), which requires additional computational costs. Future work should explore the use of smaller LLMs such as LLaMA-3-8B [\(Dubey et al.,](#page-10-1) [2024\)](#page-10-1) for this process. Additionally, further research is needed to assess the impact of incorporating system prompts into the supervised fine-tuning (SFT) stage of training.

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B BASELINES TO REDUCE LENGTH BIAS DURING ALIGNMENT

733 734 735 736 737 738 739 740 Length penalty. We applied the length penalty according to the RLHFlow approach [\(Dong et al.,](#page-10-13) [2024\)](#page-10-13). This is a method to apply a length penalty at the labeling stage by adjusting the reward of the reward model according to Eq. [9.](#page-13-2) To find the efficient hyper-parameter for this baseline, we experimented with $\alpha = 0.01, 0.001,$ and 0.0001 for iteration 1. Then, we applied the hyper-parameter that most effectively reduced length ($\alpha = 0.001$, see 3rd-5th rows in Table [5\)](#page-13-3) through iteration 4. As shown in Table [5,](#page-13-3) this approach often fails. Although $\alpha = 0.001$ showed the best reduction in length in iteration 1, the overall reduction in length remained limited and the performance was degraded as a result. This was the same even when iteration was extended.

$$
r_{\text{penalty}}(x, y) = r(x, y) - \alpha |y| \tag{9}
$$

R-DPO. For conduct R-DPO [\(Park et al.,](#page-11-3) [2024\)](#page-11-3), we change DPO objective function to following Eq. [10.](#page-13-4) Similar to the length penalty method, we experimented with $\alpha = 0.1, 0.01$ for iteration 1, to find the effective hyper-parameter α . As observed in Table [5,](#page-13-3) $\alpha = 0.01$ successfully reduces the responses' length (1709 \rightarrow 1613), but the reduction is still limited to resolve the length bias. These results show that heuristic length control is often unstable and does not work effectively.

$$
\mathcal{L}_{\text{R-DPO}}(\pi_{\theta}) = -\mathbb{E}_{(x,y_w,y_l)\sim\mathcal{D}}\left[\sigma\left(\beta \log \frac{\pi_{\theta}(y_w|x)}{\pi_{\text{ref}}(y_w|x)} - \beta \log \frac{\pi_{\theta}(y_l|x)}{\pi_{\text{ref}}(y_l|x)}\right) + \alpha(|y_w| - |y_l|)\right]
$$
(10)

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C PRE-DEFINED PREFERENCE FEATURE SET

755 Table [6](#page-14-0) shows the pre-defined preference feature set P . The definition of the preference feature set was referenced from Janus [Lee et al.](#page-11-11) [\(2024\)](#page-11-11). Preference features consist of 5 different classes (i.e. **756**

Table 6: Predefined preference feature set.

Figure 7: Prompt for feature extraction. Input prompt for the feature extraction from pairwise preference data, focusing on user's background knowledge.

response of LLM.

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E QUALITATIVE EXAMPLES

863 System prompt sampling. Fig. [11](#page-17-1) illustrates how the preference feature is sampled into the system prompt, using examples from the actual double system prompt process.

Figure 9: **Prompt for feature extraction.** Input prompt for the feature extraction form single response of LLM, focusing on user's background knowledge.

Table 7: Feature classifier accuracy. Test accuracy of the trained feature classifier (in Section [4.2\)](#page-4-1) on the separately constructed test dataset.

Examples of generated responses. Here, we present a direct comparison between Iterative DPO with PFP using the generated responses on the AlpacaEval 2.0 Benchmark. The results are presented in Figures [12,](#page-18-0) [13,](#page-19-0) and [14.](#page-20-0) As shown, Iterative DPO responses tend to be longer and tend to provide excessive information.

- F ADDITIONAL ANALYSES
- **900 901**

902 903 904 905 906 907 Accuracy of trained preference feature classifier. In Table [7,](#page-16-1) we additionally measure the test accuracy of the trained feature classifier on the separately constructed test dataset. Specifically, this test dataset is created by randomly selecting 917 samples from the initial dataset to be excluded from learning. The results show moderate accuracy, which is limited by the small amount of training data and the long-tailed nature of preference features (see Figure [16\)](#page-21-0). These findings highlight the importance of the proposed distribution preservation step to address the feature classifier's limitations.

908 909 910 911 912 913 914 915 Evaluation on Arena Hard benchmark. To provide a stronger assessment of our framework by evaluating our framework on more diverse datasets, we have additionally evaluated our framework and key baseline methods on the "Arena-Hard-Auto" benchmark [\(Li et al.,](#page-11-17) [2024\)](#page-11-17), which is another wellestablished benchmark to evaluate LLM alignment [\(Meng et al.,](#page-11-10) [2024\)](#page-11-10). The results are presented in Table [8;](#page-18-1) here, one can observe that while PFP performed slightly below SELFEE, it still outperforms the iterative DPO model by a significant margin. Notably, PFP consistently produced shorter responses compared to both SELFEE and iterative DPO, indicating effective bias mitigation in response length.

916 917 Comparison with SimPO. Here, we additionally include the comparison with the latest offline preference alignment algorithm, SimPO [\(Meng et al.,](#page-11-10) [2024\)](#page-11-10), as our new baseline. This baseline is trained using the same seed data size as PFP, which is also the same amount of data used by DPO.

variants of Mistral-7B-v0.1. The best scores are highlighted in bold.

1021 1022 1023 1024 Only the batch size was set to 32, the same as our setup, and the remaining hyperparameters from SimPO's official github were used as is.^{[5](#page-18-2)} The results are presented in Table [9,](#page-19-1) and one can observe that PFP yields better alignment performance with less length bias.

¹⁰²⁵

⁵<https://github.com/princeton-nlp/SimPO>

1063 Mistral-7B-v0.1. The best scores are highlighted in bold.

1068 1069 1070

1071

1072 1073 1074 1075 1076 1077 1078 1079 Robustness with potential variance. Since our evaluation using AlpacaEval 2.0 rely on GPT-4 as the evaluator, this can potentially raise a question about the variability in the results due to using GPT-4 for evaluation, particularly since its responses can introduce variance. To address this, we conducted two additional rounds of experiments. In these new experiments, we fixed the initial DPO model and repeated subsequent evaluations to assess consistency. We conducted an evaluation on AlpacaEval 2.0, and the results are presented in Table [10.](#page-20-1) While the differences in LC Win Rate may appear modest (*e.g.*, 1.46% improvement), the variance for these evaluations (*e.g.*, 0.29 for PFP) supports the statistical significance of these results. Moreover, we remark that the primary contribution of our method lies in feature debiasing. As shown in Figure [4,](#page-7-2) PFP demonstrates substantial improvements over other baselines in mitigating preference feature bias.

Table 11: Simple system prompt for length bias. Evaluation results on AlpacaEval 2.0 with different variants of Mistral-7B-v0.1. The best scores are highlighted in bold.

1126 1127 1128

1129 1130 1131 1132 Simple system prompt to mitigate length bias. We further conduct the new experiment by adding "being concise" in the system prompt, as another baseline (*SELFEE + Concise*). The results are presented in Table [11,](#page-20-2) and we found that it led to some reduction in response length, but it also resulted in decreased overall performance.

1133 Preference feature distribution. Here, we present the preference feature distributions specifically. For each category of preference feature, we normalize the fre-

quency and present the proportion of each sub-feature. Figure [16](#page-21-0) is the distribution of seed preference dataset, which is extracted with feature extractor (see Section [4.1\)](#page-4-0).

1161 1162 1163 1164 1165 1166 1167 1168 1169 1170 1171 1172 1173 Remarkably, one can observe the imbalanced distribution for each category, which potentially affect to the classifier's performance. Next, in Figures [17,](#page-21-1) [18,](#page-22-0) [19,](#page-22-1) we present the preference feature distribution under different online preference learning methods. Unlike Figure [16,](#page-21-0) this feature is measured by a single response generated from the AlpacaEval 2.0 prompt. Among all preference features, we select the feature with the largest change under each method and present them in Figure [15.](#page-21-2) Here, it is clearly observed that PFP yields much smaller change in preference feature, compared to SELFEE and Iterative DPO. We note that the overall tendency of change can be also verified in Figure [4.](#page-7-2)

Figure 15: Distribution of most changed feature.

1184 1185

- **1186**
- **1187**

