# **Mitigating Forgetting via Gradient Spectrum Rescaling**

## **Anonymous ACL submission**

#### **Abstract**

Large language models (LLMs) incur catastrophic forgetting of previous tasks when they overfit new tasks sequentially. Existing continual learning (CL) methods often require taskspecific memory, training paradigm continuity, or architecture expansion. To minimize privacy, accessibility, overheads, and other practical concerns, this paper addresses a strict CL setting where only the latest model and data are available and model capacity is fixed. We propose Gradient Spectrum Rescaling (GSR), a memory-free, plug-and-play, and in-place CL approach that prioritizes under-utilized directions to mitigate forgetting of learned important knowledge. Specifically, GSR adaptively rescales the singular components of gradients based on layerwise singular value decomposition (SVD). Experiments on 5 text generation tasks demonstrate the forgetting mitigation ability and performance of GSR.

## 1 Introduction

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Transformer-based LLMs (Almazrouei et al., 2023; Grattafiori et al., 2024) can be further enhanced on downstream tasks via supervised finetuning (SFT) but often struggle with catastrophic forgetting (CF; McCloskey and Cohen, 1989), where newly acquired knowledge disrupts LLM's performance on previous tasks due to overfitting (Chen et al., 2023; Dou et al., 2024). Continual learning (CL; Wu et al., 2024; Yang et al., 2025) aims at mitigating such forgetting in deep neural networks.

Conventionally, memory-based CL relies on storing and replaying data or embeddings from previous tasks (*e.g.*, GEM, Lopez-Paz and Ranzato, 2017; MbPA++, de Masson d'Autume et al., 2019; CLR/CT0, Scialom et al., 2022; DynaInst, Mok et al., 2023; ConPET, Song et al., 2023), but such storage raises data privacy, resource, and scalability concerns. As workarounds, some works instead maintain a pool of task-specific prompts (*e.g.*, L2P,

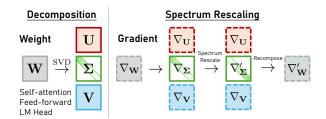


Figure 1: Illustration of GSR. The spectrum components of gradients are rescaled to prioritize minor directions, which correspond to task-specific information.

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Wang et al., 2022; LFPT5, Qin and Joty, 2022; ProgPrompt, Razdaibiedina et al., 2023) or adopt other accumulation mechanisms (e.g., LAMOL, Sun et al., 2020; DAS, Ke et al., 2023; SGP, Saha and Roy, 2023). More recent works also maintain memory based on previous models or gradients (e.g., O-LoRA, Wang et al., 2023; InfLoRA, Liang and Li, 2024; SLM, Peng et al., 2024; SAPT, Zhao et al., 2024; LB-CL, Qiao and Mahdavi, 2024). However, such dependence on memory or accumulation requires the continuity of training paradigm for all previous tasks. In most cases, pretraining and finetuning stages of LLMs are private or proprietary, making it difficult or even impossible to guarantee such continuity (Alexandrov et al., 2024). Expansion-based or modularized CL (e.g., Lifelong-MoE, Chen et al., 2023; LoRAMoE, Dou et al., 2024; DIKI, Tang et al., 2024) takes a more different approach by expanding model architectures. As it has been shown that LLMs implicitly store and retrieve knowledge in their weights (Roberts et al., 2020; Jiang et al., 2020; Zhang et al., 2023; Zheng et al., 2024; Dou et al., 2024; Qiao and Mahdavi, 2024), we seek to instead extend the parametric knowledge under fixed capacity. This is commonly called regularization-based methods in CL literature.

In this paper, we focus on a CL setting similar to but stricter than Ke et al.'s (2023), where

(a) none of the previous training data, models, or paradigms are accessible; (b) the capacity of LLM is fixed; (c) the task ID or boundary is unknown during inference. These limitations are common in practice, constraining the use of CL within the realm of regularization-based approaches. To address them, we conduct spectral analysis on LLM weights and propose *Gradient Spectrum Rescaling* (GSR), a novel memory-free, plug-and-play, and regularization-based approach to mitigate forgetting in SFT.

#### 2 Preliminaries

## 2.1 Singular Value Decomposition

The singular value decomposition (SVD) of a weight matrix  $\mathbf{W} \in \mathbb{R}^{d_1 \times d_2}$  with rank  $r \leq \min\{d_1, d_2\}$  is

$$\mathbf{W} = \mathbf{U} \mathbf{\Sigma} \mathbf{V}^{\mathsf{T}} = \sum_{i=1}^{r} \sigma_{i} \mathbf{u}_{i} \mathbf{v}_{i}^{\mathsf{T}}, \tag{1}$$

where  $\mathbf{U} \in \mathbb{R}^{d_1 \times r}$  ( $\mathbf{V} \in \mathbb{R}^{d_2 \times r}$ ) has orthonormal columns  $u_i$  ( $v_i$ ), called the *left* (right) singular vectors;  $\mathbf{\Sigma} \in \mathbb{R}^{r \times r}$  is diagonal with entries typically arranged in a descending order,  $\sigma_i > \sigma_{i+1} > 0$ , called the singular values (or spectrum) of  $\mathbf{W}$ .

**Major and Minor Singular Components** From the sum form in Eq. (1), we have  $\mathbf{W}x = \sum_{i=1}^{r} \sigma_i \langle \mathbf{v}_i, \mathbf{x} \rangle \mathbf{u}_i$ . Thus,  $\sigma_i$  indicates the impact or importance of corresponding vectors  $\mathbf{u}_i, \mathbf{v}_i$  in the outputs of  $\mathbf{W}$ . It has been observed in LLMs that larger  $\sigma_i$ 's correspond to principal directions and global knowledge, while smaller ones capture local details and long-tail information (Wang et al., 2024; Meng et al., 2024; Bałazy et al., 2024; Wi and Park, 2025).

By retaining only the top k singular components as  $\hat{\mathbf{U}}, \hat{\mathbf{\Sigma}}, \hat{\mathbf{V}}$ , reconstructing  $\hat{\mathbf{W}} = \hat{\mathbf{U}}\hat{\mathbf{\Sigma}}\hat{\mathbf{V}}^\mathsf{T}$  provides the best rank-k approximation of  $\mathbf{W}$  (Eckart and Young, 1936; Chen et al., 2021; Saha and Roy, 2023; Meng et al., 2024; Wang et al., 2025). Contrariwise, retaining only minor components generally results in performance degradation (Meng et al., 2024; Bałazy et al., 2024).

**Differential of Singular Components** Let  $\mathbf{N} = \mathbf{U}^\mathsf{T} d\mathbf{W} \mathbf{V} \in \mathbb{R}^{r \times r}$ . Dieci and Eirola (1999, §2.3a) showed that

$$d\sigma_i = N_{ii} = \boldsymbol{u}_i^\mathsf{T} d\mathbf{W} \boldsymbol{v}_i$$

$$d\mathbf{U} = \mathbf{U} \mathbf{H}$$

$$d\mathbf{V} = \mathbf{V} \mathbf{K}$$
(2)

where both  $\mathbf{H}, \mathbf{K} \in \mathbb{R}^{r \times r}$  are skew-symmetric matrices such that

$$H_{ij} = \begin{cases} \frac{1}{\sigma_j^2 - \sigma_i^2} \left( \sigma_j N_{ij} + \sigma_i N_{ji} \right) & i \neq j \\ \text{Arbitrary} & i = j \end{cases}$$

$$K_{ij} = \begin{cases} \frac{1}{\sigma_j^2 - \sigma_i^2} \left( \sigma_j N_{ji} + \sigma_i N_{ij} \right) & i \neq j \\ H_{ii} & i = j \end{cases}$$

$$(3)$$

Typically, we set  $H_{ii} = K_{ii} = 0$ .

#### 2.2 Self-Attention

Self-attention has been critical in LLMs due to its ability to capture context dependencies. Modern LLMs commonly adopt multi-head attention (Vaswani et al., 2017) or its variants, where different "heads" attend to different representational subspaces. Self-attention weights also contain paramteric knowledge (Lin et al., 2024) of our interest.

Consider an input sequence  $\mathbf{X} \in \mathbb{R}^{s \times d_2}$  of length s. We define a set of H attention heads. Each head h projects  $\mathbf{X}$  into query, key, and value spaces and outputs

$$\mathbf{Q}_{h} = \mathbf{X}\mathbf{W}_{h}^{Q},$$

$$\mathbf{K}_{h} = \mathbf{X}\mathbf{W}_{\phi(h)}^{K}, \quad \mathbf{V}_{h} = \mathbf{X}\mathbf{W}_{\phi(h)}^{V};$$

$$\mathbf{O}_{h} = \operatorname{softmax}\left(\frac{\mathbf{Q}_{h}\mathbf{K}_{h}^{\mathsf{T}}}{\sqrt{d_{h}}}\right)\mathbf{V}_{h}\mathbf{W}_{h}^{O},$$
(4)

where  $\mathbf{W}_h^Q, \mathbf{W}_{\phi(h)}^K, \mathbf{W}_{\phi(h)}^V, \mathbf{W}_h^O$  are learned weights;  $d_h$  is a scaling factor;  $\phi(h)$  is a mapping for head sharing. Finally, the per-head outputs are summed.

In common practice, projection matrices are implemented with heads concatenated as  $\mathbf{W}^Q$ ,  $\mathbf{W}^K$ ,  $\mathbf{W}^V$ , and  $\mathbf{W}^O$ . We found in preliminary experiments that applying SVD to the combined weights leads to better performance than headwise SVD. We suggest that this is because the combined weights provide the overall information of the learned subspaces.

#### 3 Gradient Spectrum Rescaling

We seek to *prioritize minor and under-utilized di*rections, which prevents overwriting important features learned from previous tasks, thereby mitigating forgetting. Based on this idea, GSR rescales the singular gradient component  $\nabla_{\sigma_i}^{-1}$  in reverse of the corresponding singular value  $\sigma_i$ .

<sup>&</sup>lt;sup>1</sup>Instead of writing  $\nabla_x \ell$ , we omit the loss function  $\ell$  throughout this paper, as it is fixed for the current task.

By the first-order approximation of Eq. (2), assuming that optimization can be characterized primarily by  $\delta \mathbf{W} = -\eta \nabla_{\mathbf{W}} (\eta > 0)$ , we can estimate the actual change of singular values as

$$\delta\sigma_i \stackrel{(2)}{\approx} \boldsymbol{u}_i^{\mathsf{T}} \delta \mathbf{W} \boldsymbol{v}_i$$

$$= -\eta \boldsymbol{u}_i^{\mathsf{T}} \nabla_{\mathbf{W}} \boldsymbol{v}_i$$

$$= -\eta \nabla_{\sigma_i}$$
(5)

Hence, in order to promote minor directions, we aim at rescaling  $\nabla_{\sigma_i}$  such that smaller singular values are expected to gain more positive updates:

$$\mathbb{E}_{\sigma_{i} \leq \sigma_{j}} \left[ \sum \delta \sigma_{i}' - \delta \sigma_{j}' \right] \geq 0$$

$$\stackrel{(5)}{\iff} \mathbb{E}_{\sigma_{i} \leq \sigma_{j}} \left[ \sum \nabla_{\sigma_{i}}' - \nabla_{\sigma_{j}}' \right] \leq 0 \qquad (6)$$

Eq. (6) is satisfied if the rescaling function is nondecreasing w.r.t.  $\sigma_i$ . On this basis, we further impose constraints to preserve the sign and norm of gradient components, so that the intended direction and strength of optimization are respected.

#### 3.1 Rescaling

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A rescaling parametrization which meets the criteria above is

$$\nabla'_{\sigma_i} = \varepsilon_i \sqrt{(1-\rho)\nabla_{\sigma_i}^2 + \rho \sum_{\varepsilon_i = \varepsilon_j} \nabla_{\sigma_j}^2 \varphi_i}, \quad (7)$$

where  $\varepsilon_i = \operatorname{sgn} \nabla_{\sigma_i} \in \{-1,0,1\}$  is the original sign;  $\varphi_i = \sigma_i^{\varepsilon_i} \Big/ \sum_{\varepsilon_i = \varepsilon_j} \sigma_j^{\varepsilon_j}$ ; and  $\rho \in [0,1]$  controls the strength of rescaling. In effect, this **(a) promotes minor singular directions**, satisfying Eq. (6) as  $\rho \to 1$ , since  $\sigma_i \mapsto \varepsilon_i \sqrt{\varphi_i}$  is non-decreasing within each sign group, and so is  $\nabla'_{\sigma_i}$ ; **(b) preserves the sign of update**, since  $\nabla'_{\sigma_i} = \varepsilon_i \cdot (\text{positive term})$ ; and **(c) preserves the L2-norm of updates**, since  $\sum \nabla'_{\sigma_i}^2 = \sum \nabla^2_{\sigma_i}$  holds within each sign group, thus in total.

#### 3.2 Recomposition

Other gradient components,  $\nabla_{\mathbf{U}}$  and  $\nabla_{\mathbf{V}}$ , can be similarly computed from Eqs. (2) and (3). By the total differentiation of Eq. (1), GSR recomposes the spectrally rescaled gradient as

$$\nabla'_{\mathbf{W}} = \nabla_{\mathbf{U}} \mathbf{\Sigma} \mathbf{V}^{\mathsf{T}} + \mathbf{U} \nabla'_{\mathbf{\Sigma}} \mathbf{V}^{\mathsf{T}} + \mathbf{U} \mathbf{\Sigma} \nabla^{\mathsf{T}}_{\mathbf{V}} \quad (8)$$

where  $\nabla'_{\Sigma} = \operatorname{diag}(\left[\nabla'_{\sigma_i}\right]_{i=1}^r)$ . The recomposed gradient is used for subsequent optimization.

The algorithm of GSR is described in Algorithm 1.

#### **Algorithm 1** Gradient Spectrum Rescaling.

```
Input: LLM \mathcal{M}, task \tau, optimizer \Omega, rescaling
              factor \rho \in [0, 1].
  1: for all trainable \mathbf{W} \in \mathcal{M} do
             ▶ Headwise if W is in an attention layer:
             Store U, \Sigma, V \leftarrow SVD(W);
       for (X_t, Y_t) \sim \tau do
             \mathcal{G}_t \leftarrow \text{BACKPROP}(\ell_{\tau}(\mathcal{M}_{t-1}(X_t), Y_t));
  5:
             for \nabla_{\mathbf{W},t} \in \mathcal{G}_t do
  6:
  7:
                    Compute \nabla_{\mathbf{U},t}, \nabla_{\sigma_i,t}, \nabla_{\mathbf{V},t} from
                    Eqs. (2) and (3);
                    Rescale \nabla_{\sigma_i,t} as \nabla'_{\sigma_i,t} with Eq. (7);
  8:
                    Recompose \nabla_{\mathbf{W},t} as \nabla'_{\mathbf{W},t} with
  9:
                    \mathbf{W}_t, \Omega_t \leftarrow \Omega_{t-1}(\nabla'_{\mathbf{W}_t}, \mathbf{W}_{t-1});
10:
```

### 4 Experiments

We sequentially finetuned a pretrained LLM on different tasks with different methods. All experiments were conducted on NVIDIA H800 GPUs with Falcon-3 1B Instruct (Falcon-LLM Team, 2024; Almazrouei et al., 2023). For fair comparison, common hyperparameters (3 epochs; learning rate  $3\times 10^{-5}$  with 5% warmup steps; batch size 512) and optimizer (AdamW) were kept identical across all tasks and methods.

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### 4.1 Tasks and Datasets

We collected 4 distinct and diverse tasks across different fields, languages, and levels of difficulty under CC-BY-4.0 license:

- (A) Math: Sampled from OpenMathInstruct-2 (Toshniwal et al., 2024). This includes math word problems in English.
- (B) Chinese medical Q&A: Sampled from Med-QA (Jin et al., 2021). This includes questions from Chinese medical exams.
- (C) Code generation: Sampled from MBPP (Austin et al., 2021), APPS (Hendrycks et al., 2021a), and code\_instructions\_120k<sup>2</sup>.
- (D) Chemical reaction standardization: Sampled from USPTO-LLM (Yuan et al., 2024a). This involves representing the textual description of a reaction procedure in the standard SMILES notation.
- (E) Cuneiform translation: Sampled from the training dataset<sup>3</sup> of CuneiformTranslators

<sup>&</sup>lt;sup>2</sup>Available at https://huggingface.co/datasets/iamtarun/python\_code\_instructions\_18k\_alpaca.

<sup>&</sup>lt;sup>3</sup>Available at https://github.com/praeclarum/

	MMLU	A	В	C	D	E		
	Accuracy ↑			Pass@1 ↑	BLEU ↑		F. Ra↓	$\mathbf{AP}\uparrow$
Pretrained	43.02%	14.94%	30.94%	33.54%	5.700%	0.316%	/	21.409%
SeqFT	43.04%	14.62%	30.68%	33.54%	5.549%	0.310%	1.779%	21.290%
PSP	43.53%	15.80%	30.79%	33.54%	5.529%	0.309%	1.752%	21.583%
SR	42.98%	14.89%	32.14%	28.39%	6.140%	0.529%	3.218%	20.845%
GSR (Ours)	43.51%	17.05%	30.85%	33.54%	5.532%	0.322%	1.090%	21.801%
PerFT: A	36.85%	22.01%	30.88%	33.54%	5.512%	0.323%	/	21.519%
PerFT: B	44.27%	13.47%	32.19%	32.37%	5.519%	0.290%	/	21.352%
PerFT: C	43.02%	18.76%	30.36%	34.32%	5.391%	0.168%	/	22.003%
PerFT: D	44.24%	18.92%	30.74%	33.93%	6.811%	0.231%	/	22.479%
PerFT: E	43.51%	15.82%	31.09%	28.97%	5.474%	0.577%	/	20.907%
MTL (Upper bound)	43.65%	22.12%	31.52%	34.06%	4.816%	0.532%	/	22.783%

Table 1: Forgetting rate and average performance of sequential training with different baseline methods (§4.2). Alphabets A—E correspond to 5 tasks in §4.1. "+" indicates the training sequence.

(Krueger, 2023). This involves translating from either Akkadian or Sumerian to English.

We kept the number of sampled training tokens near 4M tokens across all tasks. We also tested on MMLU (Hendrycks et al., 2021b) for world knowledge preservation.

#### 4.2 Baselines

We compare GSR with **SeqFT** (sequential finetuning) and the following baselines available in our setting.

- PSP (Principal Subspace Preserving; Franke et al., 2024) projects the gradient onto the truncated subspace of minor singular components.
   We set \( \tilde{k} = [0.4r] \) as in the original paper.
- **SR** (Spectral Regularization; Lewandowski et al., 2025) adds a regularization loss which boosts the largest singular value towards 1. We swept over  $\lambda \in \{10^{-3}, 10^{-6}, 10^{-9}\}$  and set  $\lambda = 10^{-9}$ .

We also compare with **PerFT** (per-task finetuning, training on only one task) and **MTL** (multitask learning; simultaneously training on all tasks), which serve as the per-task and overall upper bounds of SFT.

### 4.3 Metrics

We measure the performance with the following metrics (Zhao et al., 2024). Let  $a_j(i) \in [0,1]$  denote the performance on the test set of task i immediately after training on task j.

CuneiformTranslators/tree/main/data.

Forgetting rate (F.Ra,  $\downarrow$ )  $\in [-1, 1]$  measures CF as the average maximum performance drop:

$$F_T = \frac{1}{T-1} \sum_{i=1}^{T-1} \max_{k=i}^{T-1} a_k(i) - a_T(i)$$
 (9)

Average performance (AP,  $\uparrow$ )  $\in [0, 1]$  is

$$A_T = \frac{1}{T} \sum_{i=1}^{T} a_T(i)$$
 (10)

#### 5 Discussion & Conclusion

The results of our experiments are presented in Table 1 (see Appendix A for full results). GSR achieves the lowest forgetting rate and best average performance after sequential training on all tasks, compared to baseline methods and vanilla SFT.

We propose Gradient Spectrum Rescaling as a simple and effective method for sequential finetuning.

#### Limitations

The process of SVD in GSR introduces the main computational overhead. We could trade numerical accuracy for better speed by using approximate methods, *e.g.*, randomized SVD (Halko et al., 2011). Moreover, SVD is conducted before training, unaware of the current task. We can potentially improve GSR by adapting data-aware or task-aware methods, *e.g.*, ASVD (Yuan et al., 2024b).

GSR also lacks variants for parameter-efficient finetuning (*e.g.*, LoRA; Hu et al., 2022). We leave them as future works.

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#### **A Full Results**

Full results of all experiments are shown in Table 2.

	MMLU	A	В	C	D	E		
	<b>Accuracy</b> ↑		Pass@1 ↑	BLI	BLEU ↑		$\mathbf{AP} \uparrow$	
Pretrained	43.02%	14.94%	30.94%	33.54%	5.700%	0.316%	/	21.409%
				SeqFT				
A	36.85%	22.01%	30.88%	33.54%	5.512%	0.323%	/	21.519%
A+B	44.03%	21.40%	30.59%	34.06%	5.546%	0.318%	-0.200%	22.657%
A+B+C	43.49%	20.22%	30.82%	33.54%	5.536%	0.307%	0.700%	22.319%
A+B+C+D	43.14%	18.12%	30.76%	33.93%	5.532%	0.309%	1.112%	21.965%
A+B+C+D+E	43.04%	14.62%	30.68%	33.54%	5.549%	0.310%	1.779%	21.290%
				PSP				
$\mathbf{A}$	42.28%	23.61%	30.62%	33.54%	5.523%	0.311%	/	22.647%
A+B	44.25%	22.57%	30.85%	33.54%	5.530%	0.314%	-0.095%	22.842%
A+B+C	44.12%	21.00%	31.00%	33.54%	5.538%	0.311%	0.863%	22.585%
A+B+C+D	43.78%	18.78%	30.62%	33.54%	5.549%	0.302%	1.420%	22.095%
A+B+C+D+E	43.53%	15.80%	30.79%	33.54%	5.529%	0.309%	<u>1.752%</u>	21.583%
				SR				
$\mathbf{A}$	36.68%	21.85%	29.80%	36.33%	8.169%	0.186%	/	22.169%
A+B	43.97%	22.23%	32.84%	36.20%	8.430%	0.189%	-0.665%	23.976%
A+B+C	43.46%	20.14%	32.81%	36.52%	8.153%	0.175%	0.877%	23.543%
A+B+C+D	43.15%	17.85%	32.98%	32.50%	4.932%	0.143%	2.270%	21.926%
A+B+C+D+E	42.98%	14.89%	32.14%	28.39% <b>GSR</b> (Ours)	6.140%	0.529%	3.218%	20.845%
$\mathbf{A}$	40.89%	22.23%	30.74%	33.54%	5.523%	0.316%	/	22.206%
A+B	44.00%	21.53%	30.82%	33.54%	5.542%	0.326%	-0.140%	22.626%
A+B+C	43.79%	20.01%	30.65%	33.54%	5.519%	0.304%	0.867%	22.302%
A+B+C+D	43.82%	18.73%	30.79%	33.54%	5.522%	0.310%	0.928%	22.119%
A+B+C+D+E	43.51%	17.05%	30.85%	33.54%	5.532%	0.322%	1.090%	21.801%
PerFT (Single-Task Upper Bound)								
A	36.85%	22.01%	30.88%	33.54%	5.512%	0.323%	/	21.519%
В	44.27%	13.47%	32.19%	32.37%	5.519%	0.290%	/	21.352%
C	43.02%	18.76%	30.36%	34.32%	5.391%	0.168%	/	22.003%
D	44.24%	18.92%	30.74%	33.93%	6.811%	0.231%	/	22.479%
E	43.51%	15.82%	31.09%	28.97%	5.474%	0.577%	/	20.907%
ABCDE	43.65%	22.12%	31.52%	34.06%	4.816%	0.532%	/	22.783%

Table 2: Full results of sequential training with different baseline methods ( $\S4.2$ ). Alphabets A—E correspond to 5 tasks in  $\S4.1$ . "+" indicates the training sequence.