Evaluating D-MERIT of Partial-annotation on Information Retrieval

Anonymous ACL submission

Abstract

Retrieval models are often evaluated on partially-annotated datasets. Each query is 003 mapped to a few relevant texts and the remaining corpus is assumed to be irrelevant. As a result, models that successfully retrieve false negatives are punished in evaluation. Unfortunately, completely annotating all texts for every query is not resource efficient. In this work, we show that using partially-annotated datasets in evaluation can paint a distorted picture. We curate D-MERIT, a passage retrieval evaluation set from Wikipedia, aspiring to contain all relevant passages for each query. Queries describe a group (e.g., "journals about linguistics") and 014 relevant passages are evidence that entities belong to the group (e.g., a passage indicating that 017 Language is a journal about linguistics). We show that evaluating on a dataset containing annotations for only a subset of the relevant passages might result in misleading ranking of the retrieval systems and that as more relevant texts are included in the evaluation set, the rankings converge. We propose our dataset as a resource for evaluation and our study as a recommendation for balance between resource-efficiency and reliable evaluation when annotating evalu-027 ation sets for text retrieval.

1 Introduction

037

041

Passage retrieval, the task of retrieving relevant passages for a given query from a large corpus, is a traditional IR task (Kaszkiel and Zobel, 1997; Callan, 1994; Zobel et al., 1995). Within NLP, it has many applications, such as Open-Domain Question-Answering (ODQA) (Karpukhin et al., 2020; Zhu et al., 2021; Mavi et al., 2022; Rogers et al., 2023) and fact verification (Bekoulis et al., 2021; Murayama, 2021; Vallayil et al., 2023).

Recently, the task has experienced a renaissance due to the modern retrieval-augmented-generation setup leveraging LLMs (aka "RAG") (Lewis et al., 2021; Cai et al., 2022; Li et al., 2022). In all of



Figure 1: Demonstrating the evidence retrieval task described in Section 2.2. The query is "Names of first world war camoufleurs". Highlighted text corresponds to the query requirements: names (green), "First World War" (red), and "camouflage" (orange). A passage must match all requirements to be considered as evidence.

those cases, retrieval makes for a crucial component of the system (Cai et al., 2022; Ram et al., 2023).

043

047

048

051

054

056

059

060

It is common practice, and often essential to evaluate the retriever component separately from the full system. This is done by using large-scale data resources that map queries to relevant passages.¹ The vast majority of available datasets are only partially-annotated; a query is mapped to a single (or a few) relevant passages and all other passages are assumed to be irrelevant (Bajaj et al., 2018; Kwiatkowski et al., 2019), leading to many false negatives in the dataset. This practice has long been contested (Zobel, 1998; Buckley and Voorhees, 2004; Craswell et al., 2020; Gupta and MacAvaney, 2022), yet due to the massive size of modern corpora, exhaustively annotating all passages for every query is highly impractical. As an example, MS-MARCO (Bajaj et al., 2018) con-

¹Relevancy is defined according to the task in hand. In this work, we adopt the definition of TREC (Craswell et al., 2020), a popular retrieval research challenge.

101 102

105

106

108

110

111

112

104

sists of ~1M queries and ~8.8M passages, which amounts to ~8.8 trillion annotations.

Evaluating retrieval solutions using a partiallyannotated dataset is obviously not ideal. A system retrieving a non-annotated relevant passage rather than an annotated one is unjustly penalized. Some work has been done on metrics and methods attempting to deal with this issue (Buckley and Voorhees, 2004; Yilmaz and Aslam, 2006; MacAvaney and Soldaini, 2023). However, the common practice is still using vanilla metrics (e.g. MRR, Recall), and the impact of partial annotation during evaluation using these metrics is still unclear. Does the ranking of systems change? Do the inaccurate scores falsely crown the wrong systems as the SOTAs? Moreover, we wonder how many relevant passages are needed in order to sufficiently reduce the error and correctly rank systems.

In this work, we propose **D-MERIT**; *Dataset for* Multi-Evidence Retrieval Testing, an evaluation set for retrieval systems, striving to pair each query to all of its relevant passages. In our setting, relevant passages are evidence that some entity belongs to a group described in the query. While we use it to explore the consequences of having an evaluation dataset with only a few relevant passages annotated, D-MERIT is also highly suitable for use in highrecall settings, where the task is to retrieve as many relevant texts as possible for a given query, as it contains almost all relevant passages available in the corpus for each query.

We first show that evaluation of systems with the common single-relevant setup (for each query, annotate passages until a single relevant passage is found) is sensitive to the way in which passages were selected during annotation. As a result, different selections lead to different rankings of systems. However, we observe that when a system very significantly outperforms another (p - value < 0.01), representing a seminal improvement or breakthrough, the single-relevant setup is likely to provide accurate rankings. Then, we mimic partiallyannotated setups, gradually adding annotated relevant passages to queries, hence reducing the number of false negatives in the data. Our findings reveal that in order to reliably evaluate retrieval systems that are reasonably close in performance, a significant portion of relevant passages must be found. This is substantial because it implies that when evaluating using partially-annotated datasets, some system might seem better-performing than another, while in fact, the opposite is true. To summarize, our contributions are as follows:

• D-MERIT: A publicly available passage re-114 trieval evaluation set, aspiring to contain all 115 relevant passages per query. 116 • A study on the consequences of leaving too 117 many false negatives in evaluation sets. 118 • Recommendations for a balance between 119 resource-efficiency and reliable evaluation 120 when annotating retrieval datasets. 121 2 **D-MERIT** 122 2.1 Desiderata 123 To observe the impact of having false negatives in 124 an evaluation set, we need to have a dataset where 125 the false negatives are marked as such. This calls 126 for a completely-annotated dataset, that will allow 127 us to reliably evaluate systems' performance, as well as examine the effects of partial-annotation. 129 To accentuate the gap between partial and full an-130 notation, queries in the dataset should be mapped 131 to many relevant passages. We are set to try to 132

113

133

134

135

136

137

138

139

140

141

142

143

144

145

146

147

148

149

150

151

152

153

154

155

156

157

158

159

Evidence Retrieval. We choose evidence retrieval as our task as it naturally complements our need to collect queries with numerous relevant passages. In this task, passages are considered relevant if they contain text that can be seen as evidence that some answer satisfies the query. Previous work considering this task did not collect more than a single evidence (Malaviya et al., 2023; Amouyal et al., 2023) or did not aspire to be completely-annotated (Zhong et al., 2022). Instead, they map queries to answers, and collect evidence for each answer from a single document. Our goal is to map a query to all evidence in the corpus, without the limitation of a single document.

identify all relevant passages for each query, but

annotating all passages for each query is unreal-

istic. Therefore, we desire a framework that of-

fers inherent mappings between queries and high

quality candidate passages. To push our method

towards exhaustiveness, our automatic approach to

candidate collection needs to lean towards recall,

followed by an automatic filtering stage.

Task Definition

2.2

Our setup. In our setup, that can be seen as an extension of the single-evidence setup in (Malaviya et al., 2023) to an all-evidence one, a query describes a group of entities and relevant passages are

evidence that an entity is a member of the group. 160 The task is then, given a query representing some 161 group, to retrieve all texts stating that some entity 162 is a part of this group. For instance, Fig. 1 shows 163 evidence for the query "names of first World War camoufleurs". The first passage confirms "Fredrick 165 Judd Waugh" is an entity that belongs to the group 166 of World War 1 camoufleurs. More concretely, 167 each query lists constraints, and an evidence would associate an entity with all of them.² In the exam-169 ple above, a query describes the group of all World 170 War 1 camoufleurs, an evidence would then need 171 to indicate an entity (1) took part in World War 1; 172 (2) was a camoufleur. For example, the second pas-173 sage in Fig. 1 states "Abbot Thayer" advocated for 174 coloration and countershading camouflage during 175 World War 1, which satisfies these requirements.

2.3 Dataset Curation

177

178

181

182

183

185

186

187

189

190

191

192

194

195

196

198

We adopt the Wikipedia framework ³, which allows us to take advantage of the Wikidata structure (Vrandečić and Krötzsch, 2014) to extract groups and their corresponding members. We use the Wikipedia link network to obtain mappings between an article and all other articles referencing it. Our curation process involves three stages: (1) collecting queries and *candidates* – all passages with high likelihood of containing evidence (Section 2.3.2); (2) automatic annotation of candidate passages (Section 2.3.3); (3) generating natural language queries (Section 2.5).

2.3.1 Corpus

Our corpus is limited to the introduction section of Wikipedia articles. Without limiting our collection process to a specific section, the number of annotations per article would have multiplied by ~5, which would have made the annotation process significantly more expensive. We opted to focus on the introduction section, because it is a section that is consistent across most articles, and it is intuitive that many evidence lie there. In total, our corpus is comprised of 6, 477, 139 passages.

2.3.2 Query and Candidate Collection

Extracting list members. The collection process begins by scanning articles prefixed with "list of" for tables using the Wikidata format. We extract columns with "name" in their title, as these are

²The queries in our setup are somewhat reminiscent to the intersection queries in (Malaviya et al., 2023), where a query makes for a list of requirements.

most likely to describe entities. Each such column is extracted separately and makes for a set of members. Columns containing empty values or values without a dedicated Wiki article are discarded.

207

208

209

210

211

212

213

214

215

216

217

218

220

221

222

224

225

226

228

229

231

232

234

235

236

237

238

239

240

241

242

243

244

245

246

247

248

249

Collecting candidates We employ the "What Links Here" feature from Wikidata. This tool provides a list of all articles that reference a specific article (and its aliases). The reference count of an article can vary significantly, even for members of the same list. For example, "Shogi" has over 600 references, while "Machi Koro" only has 9. Both appear in the group "Japanese board games". To manage this disparity and keep the candidate count feasible, we discard columns containing an article with more than 10K references.

2.3.3 Evidence Identification

To complete the dataset construction, we need to sift through the collected candidates. Human evaluation would have been the most reliable route, however, it does not scale. We thus turn to the current state-of-the-art large language model for automatic filtering, and show it nears human judgement.

Automatic identification. We use GPT-4⁴ to filter $\sim 250K$ passages across $\sim 2.5K$ queries. Each prompt consists of a passage paired with a query embedded in our definition of relevance, asking the model to judge for relevance. To ensure each query is meaningful in number of evidence, queries with less than five evidence were discarded. For technical details, see Appendix C.

2.4 Evaluation of Construction Process

In order for D-MERIT to contain a significant portion of the positives for each query, some assumptions need to hold. First, Wikipedia list pages need to be exhaustive.⁵ This is a common assumption also taken by (Amouyal et al., 2023) and (Malaviya et al., 2023). Our dataset construction method also relies on the accuracy of Wikipedia's linking network. This is a limitation of the method (and is therefore mentioned in the limitations section). Herein, we want to show these assumptions do not meaningfully degrade the quality of the dataset. To this end, we approximate D-MERIT's completeness and soundness by evaluating the candidate

³The Wikidump is from July 1st, 2023.

 $^{^{4}}$ We used GPT-4-1106-preview. Future references to GPT-4 refer to this version.

⁵Note that we only need the list to be exhaustive with respect to the corpus, i.e. if some set member is not in the list but is also not mentioned in Wikipedia introductions, it will not hinder the exhaustiveness of our collection method.

Query	Member	Candidate	Evidence
names of Indian	Sairat	Jeur	Jeur is a village in the Karmala taluka of Solapur district in
Marathi romance			Maharashtra state, India. Sairat, the controversial and
films			highest-grossing Marathi film of all time based on the theme of
			forbidden love was set and shot in Jeur village.
names of National	Ohio River	Mill Creek	Mill Creek Island is a bar island on the Ohio River in Tyler
Wildlife Refuges in	Islands	Island	County, West Virginia. The island lies upstream from Grandview
West Virginia	National		Island and the towns of New Matamoras, Ohio and Friendly, West
	Wildlife		Virginia. It takes its name from Mill Creek, which empties into
	Refuge		the Ohio River from the Ohio side in its vicinity. Mill Creek
			Island is protected as part of the Ohio River Islands National
			Wildlife Refuge.
Names of players	Dave	Dave	Dave Tretowicz (born March 15, 1969) is an American former
on 1992 US	Tretowicz	Tretowicz	professional ice hockey player. In 1988, he was drafted in the
Olympic ice			NHL by the Calgary Flames. He competed in the men's
hockey team			tournament at the 1992 Winter Olympics.

Table 1: Examples of records in our dataset. **Query** is the generated natural-language query describing a group. **Member** is an entity that belongs to the group described by the query. **Candidate** is the Wikipedia article from which the evidence is taken from. **Evidence** is a passage indicating the member's association with the group.

collection process – if we have missed a meaningful number of evidence during candidate collection. To complete the evaluation of D-MERIT's quality, we also evaluate our automatic identification model, GPT-4, to confirm it reliably identifies the vast majority of evidence without adding much false positives.

251

255

256

275

276

277

278

Evaluation tasks. We turn to Amazon Mechani-257 cal Turk (AMT) for sourcing human raters. For the 258 candidate collection evaluation, a human rater is provided with a passage and a prompt containing the query, and is requested to mark whether the 261 passage is evidence or not. In the task designed to 262 gauge the quality of the automatic identification, in addition to the passage and prompt, the annotation of GPT-4 is also provided. The rater is then requested to judge the correctness of the annotation. Since judging relevance can be subtle⁶, we 267 make a decision to judge the correctness of annotations, instead of to annotate and compare results to 269 GPT-4. This encourages the rater to consider the an-270 notation's perspective and allows tolerance toward borderline cases. The selection and conditioning process of human raters is detailed in Appendix C.

Exhaustiveness of candidate collection. To ensure our collection process is nearly exhaustive, we need another evidence collection process, independent of ours. We thus adopt the popular TREC approach (Craswell et al., 2020), where a number of

systems retrieve the top-k passages given a query, and are then unified to a single set of passages to be judged for relevancy. We use 12 different systems, described in Section 3.1. As for the pool depth, we select k = 20 to match our experimental study. Several works researched the relation between pool depth and the completeness of TREC evaluations (Buckley et al., 2007; Keenan et al., 2001; Lu et al., 2016) raising concerns regarding reliability of the shallow pool depth commonly used (the typical TREC setup uses a k = 10 depth), hence we also extrapolate the results of this evaluation to a k = 100 pool depth.

279

280

281

282

284

285

286

287

289

293

294

295

296

297

298

299

300

301

302

303

304

305

306

307

308

309

310

311

312

We select 23 random queries from D-MERIT, and use the TREC approach to retrieve 2,329 unique passages. Since we are looking for relevant passages that we missed, we discard unique passages that were already annotated by our process (311 such cases, all relevant) and are left with 2,018 passages. We ask human raters to mark the remaining passages for relevance and find only 35 new evidence. In total, the TREC process finds 346 relevant passages, 311 of which were found by our process too. To put this in context, for the same 23 queries, our process finds 990 relevant passages. We note that while our method retrieves many more evidence, it is tailor-made to the Wikidata format, while the method from TREC can be applied to any corpus. To further attest to the exhaustiveness of our approach, we extrapolate the analysis to k = 100, and estimate the number of identified evidence to increase to 638, with only 60 new evidence. A more profound discussion of TREC's coverage, including details on the extrapo-

⁶Consider row 2 in Table 1, where the passage does not explicitly say that "Ohio River Islands National Wildlife Refuge" is in "West Virginia". Instead, it says that "Mill Creek Island", which is in "West Virginia", is part of the "Ohio River Islands National Wildlife Refuge".

lation process, can be viewed in Appendix E.

313

324

327

328

329

330

332

333

335

337

338

340

342

345

347

357

To summarize, the TREC process, with a pool 314 depth of k = 20, finds 346 positives and requires 315 2,329 annotations ($\sim 14.9\%$ positives in the pool). Our method finds 990 positives, requiring 3,206 annotations (~ 30% positives in the pool). The TREC process adds only $\sim 3.5\%$ new positives 319 to our method. When TREC is extrapolated to 320 a pool depth of k = 100, D-MERIT still has a high (estimated) coverage of 94.5% of identified 322 evidence. 323

Comparing automatic to manual identification.

To verify GPT-4 is comparable to manual identification, we collect a random sample of 1, 300 (query, passage) pairs, consisting of 650 evidence. Out of all the samples, the rater agrees with GPT-4 84.7% of the time.⁷ Specifically, they disagreed with the model on 141 cases of "relevant" and only 57 cases of "not relevant".

2.5 Natural-language Query Generation

We generate natural sounding queries by providing GPT-4 the "list of" page title and instructing the model to phrase a natural-language query. For details and examples see Appendix C.

2.6 D-MERIT Overview

The final dataset comprises 1, 196 queries, encompassing 60, 333 evidence in total. There are 50.44 evidence per query on average, and a median of 22, ranging from a minimum of 5 to a maximum of 682 evidence. On average, each group member contributes about 2 evidence to a query, with 61.8% of the evidence coming from articles other than the members' own articles. The average number of members per query stands at 23.71. We note that it is possible for some members to not contribute any evidence to a query, for example, when the evidence is not in the introduction. In Table 2 we show the members and evidence distributions, and the relation between the number of members and number of evidence mapped to a query.

As accustomed with new datasets, we benchmark D-MERIT on the evidence retrieval task, where all evidence should be retrieved for a given query. Results are reported and discussed in Appendix A.

# Members	Avg # Evidence	# Queries
1-10	25.5	558
11-20	32.0	282
21-50	69.8	236
51-100	109.7	77
100+	281.2	43

Table 2: Dataset distribution (average number of evidence, number of queries) divided to buckets by number of set members.

3 Experimental Study

With our evaluation set ready, we can address the questions we put forth in the beginning. We experiment to examine the widespread practice of considering only a single evidence per query, and explore whether rankings stabilize as false negatives decrease when adding more labeled evidence.

358

359

360

361

362

363

364

366

367

368

369

370

371

372

373

374

375

376

377

378

379

380

381

382

384

385

386

387

388

390

391

392

393

394

395

396

3.1 Setup

Systems. To ensure our analysis is unbiased towards a specific retrieval paradigm, we utilize the Pyserini information retrieval toolkit (Lin et al., 2021a) to experiment across twelve diverse, out-of-the-box systems: five sparse, four dense, and three hybrid systems. (1) In the sparse category; BM25 (Robertson and Walker, 1994), QLD (Zhai and Lafferty, 2001), UniCoil (Lin and Ma, 2021), SPLADEv2 (Formal et al., 2021) and SPLADE++ (Formal et al., 2022). (2) For the dense methods; DPR (Karpukhin et al., 2020), coCondenser (Gao and Callan, 2022), RetroMAE-distill (Xiao et al., 2022), and TCT-Colbert-V2 (Lin et al., 2021b). (3) In the hybrid category; TCT-Colbert-V2-Hybrid (Lin et al., 2021b), coCondenser-Hybrid, and RetroMAE-Hybrid. Further details regarding the systems can be found in Appendix B.

Evaluation metrics. Needing a metric to quantify the ability of systems to retrieve multiple evidence, we opt to use recall@k as this is a simple, common metric for this task. For brevity, we report recall@20 in the main paper, and show results on recall@5, recall@50, and recall@100 in Appendix F. We note that other k values show similar trends to k=20, and conclusions drawn in this paper generalize to other k values reported as well. Other suitable metrics (NDCG, MAP, R-precision) are discussed and reported in Appendix A. After evaluating the performance of each system, we are interested in comparing the recall-based ranking of systems to quantify the gap between the

 $^{^{7}}$ To further validate this number, we check agreement between two expert annotators. On 400 examples, a 94% agreement is reached. This indicates that the task is less subjective than general relevance tasks which tend to have a lower agreement, explaining the relatively high human-GPT agreement.

partially- and fully-annotated settings. We utilize 397 Kendall- τ (Kendall, 1938), which can intuitively be understood as a measure of similarity between two ranking orders. This metric evaluates the number 400 of pairwise agreements (concordant pairs) versus 401 disagreements (discordant pairs) in the ranking or-402 der of systems between the two settings. A high 403 Kendall- τ score (close to 1) indicates a strong cor-404 relation, signifying that the rankings in the partially-405 and fully-annotated settings are similar, whereas a 406 low score (close to -1) suggests major differences. 407 Specifically, if we have n systems, and C is the 408 number of concordant pairs while D is the number 409 of discordant pairs, then Kendall- τ is given by the 410 formula $\tau = \frac{C-D}{\binom{n}{2}}$, where $\binom{n}{2}$ is the total number 411 of possible pairs. In addition to the vanilla Kendall-412 413 τ , we also report the probability of observing a discordant pair, denoted as the Error-rate, as it is a 414 more intuitive metric. Formally it is defined as: 415

Error-rate =
$$100 \cdot \frac{D}{\binom{n}{2}} = 100 \cdot \frac{1-\tau}{2}$$
.

3.2 Is the single-relevant setup reliable?

416

417

418

419

420

421

422

423

424

425

426

427

428

429

430

431

432

433

434

To assess the single-relevant setup, we start by randomly sampling an evidence for each query. We evaluate each system on the formed single-relevant evaluation set and compare the resulting system ranking to the ground-truth ranking formed using the fully-annotated dataset. To mitigate the randomness, we run this experiment 1,000 times, and find that the mean (\pm std) Kendall- τ value is 0.936 (\pm 0.038), translating to an error-rate of 3.2%. These numbers suggest that sampling a random evidence for each query leads to reliable results. Unfortunately, in order to properly randomly sample an evidence, one would need to annotate a non-feasible amount of passages in most datasets.⁸

In practice, some method is used to select the passages sent for annotation. This method is usually biased⁹. To determine whether selecting an evidence in a biased manner is problematic or not,

Selection	au-similarity	Error-rate (%)
Random	0.936	3.20
Most popular	0.696	15.10
Longest	0.545	22.75
Shortest	0.696	15.10
System-based	0.616	19.20

Table 3: Kendall- τ similarities and Error-rate for the different biases in a single-annotation setup.



Figure 2: Selection techniques for a single-relevant setting. The x-axis denotes systems used to select passages for annotation. Each tick represents the performance of systems on the same dataset with different annotations. An intersection demonstrates a swap in rankings.

435

436

437

438

439

440

441

442

443

444

445

446

447

448

449

450

451

452

453

454

we explore 3 biases: most popular selects the most popular¹⁰ evidence for each query. We also consider a length-selection approach, which considers the number of words in a given passage, by selecting the *longest* and *shortest* evidence available for each query. Results are presented in Table 3. It can be seen that as opposed to random selection, in the more likely scenario of a biased selection the error-rate is much higher, suggesting that the singlerelevant setting is unreliable. A popular technique for sampling passages for annotation is using an existing retrieval system, and annotating passages in the order they are retrieved until a relevant passage is found. We simulate this by considering each of our 12 considered retrievers as the base system. We then evaluate all of the systems on the 12 formed evaluation sets. Results are plotted in Fig. 2. The graph shows that the selection technique, used to pick which passages are annotated, has a major effect on the systems' measured performance and

⁸For example, in the 2020 TREC challenge (Craswell et al., 2021), operating on the MS-MARCO (Bajaj et al., 2018) dataset, 11, 386 relevant passages were found for 54 queries, an average of 210 per query. In Appendix E we estimate these are only $\sim 50\%$ of the actual relevant passages leading to roughly 500 per query. Given the corpus size, $\sim 8M$ passages, one would need $\sim 16K$ annotations on average to find a single relevant passage randomly for a *single* query.

⁹For example, it has been shown that models tend to suffer from popularity bias (Gupta and MacAvaney, 2022) and that sparse methods tend to prefer longer texts over shorter ones while a human annotator is likely to prefer shorter texts.

¹⁰We define popularity as the number of times an article is referenced, which can be derived using the "What Links Here" feature from Section 2.3.2.

on the ranking of the different systems. For exam-455 ple, when choosing evidence using BM-25, QLD is 456 ranked as the best system (excluding BM-25 itself), 457 while when choosing evidence using either coCon-458 denser, coCondenser-Hybrid, DPR or TCT-Colbert, 459 QLD is the worst performing system. For other sys-460 tems selecting evidence, it is ranked somewhere in 461 between. When comparing the 12 rankings formed 462 using these evaluation sets to the ranking formed 463 by the completely annotated dataset, the average 464 Kendall- τ score computed is 0.616, translating to 465 an average error-rate of 19.2%.¹¹ Table 3 indicates 466 that system-based selection is indeed closer to bi-467 ased selection than it is to random selection. In 468 summary, the experiments presented in this section 469 show that while random selection of evidence can 470 lead to reliable results in the single-relevant sce-471 nario, the more realistic case (where the annotated 472 evidence is not randomly selected) is prone to gen-473 erating misleading results and ranking of systems. 474

3.3 Is the single-relevant scenario enough when systems are significantly separated?

475

476

477

478

479

480

481

482

484

485

486

487

488

489

490

491

492

493

494

496

After establishing that there are cases where the single-relevant scenario is not reliable, we ask in what cases it can be sufficient. To explore this, we first define buckets of pairs of systems as follows. A pair of systems (A, B) is in a $[p_{min}, p_{max})$ bucket if A is better performing than B, and the statistical significance computation for the difference between these two systems leads to a p-value of at least p_{min} and at most p_{max} , using a relative t-test, as computed on the fully annotated evaluation set. We then repeat the final experiment described in Section 3.2, but when calculating Kendall- τ and it's error-rate we only consider pairs of systems that fall in some bucket. We denote this measure as partial-Kendall- τ .¹² We consider 3 buckets: [0, 0.01) represents systems with very low p-values, meaning they are very far apart in performance, hence should be easier to order correctly. [0.01, 0.05) represents systems with a significant, yet not extreme difference. The final

bucket, [0.05, 1), contains pairs of systems that do not differentiate in a statistically significant way. Results are shown in Table 4. We observe that, as expected, the error-rate drops when a bucket represents a smaller p-value, indicating higher significance that the systems are ordered correctly.

p_{min}	p_{max}	partial- $ au$	Error-rate (%)
0.0	0.01	0.658	17.1
0.01	0.05	0.333	33.3
0.05	1.0	0.0	50.0

Table 4: Partial-Kendall- τ similarity (defined in Section 3.3, denoted partial- τ) and Error-rate computed on pairs of systems that belong to the $[p_{min}, p_{max})$ bucket.

3.4 Do rankings stabilize as false negatives decrease?

Taking the evidence chosen using the different systems as discussed in Section 3.2, we gradually add a fraction of annotated evidence for all queries in the evaluation set. We then evaluate the systems on each partially annotated dataset by comparing the ranking achieved to the fully annotated evaluation set. We divide pairs of systems into buckets based on their p-values, as described in Section 3.3, and for each percentile we average results across the different system pairs falling within each bucket. Results are presented in Fig. 3. Depending on the significance of the difference between systems, results show a different portion of evidence needs to be annotated in order to achieve the correct order. For example, if we are aiming at a ~ 0.8 Kendall- τ score, representing a $\sim 10\%$ error-rate, for very significant pairs of systems acquiring $\sim 20\%$ of the positives should suffice, while for systems with a non-significant difference between them, almost all positives are needed.

4 Related Work

Our work builds on previous efforts in benchmark creations in multi-answer and multi-evidence settings and the complete annotation setting. Below, we detail how our work relates to both.

Multi-answer retrieval. QAMParI (Amouyal et al., 2023) introduce a benchmark of questions with multiple answers extracted from lists in Wikipedia, and Quest (Malaviya et al., 2023) is a dataset with queries containing implicit set operations based on Wikipedia category names. Both limit evidence collection to the Wikipedia article

7

499 500

497

498

501 502

503

504

505

507

508

509

510

511

512

513

523

524

525

526

527

528

529

530

531

532

533

534

535

536

¹¹We eliminate the system used to select the evidence from the computation, as it generates artificial swaps. For example when computing the Kendall- τ for the ranking formed by choosing the first evidence as ranked by BM-25, Kendall- τ is computed on the ranking of all except BM-25.

¹²We opt to use Kendall- τ due to its simplicity, yet it does not accurately capture all the intricacies of ranking system performance. More details on this and an involved metric, taking into account the significance of differences between systems, is presented in Appendix D. Results using this metric validate our choice of Kendall- τ .



Figure 3: Partial-Kendall- τ between rankings of systems with k percent annotations and ranking with all evidence, using *recall@20*. System pairs are divided into 3 buckets as described in Section 3.3.

569

538

of the answer. In contrast, our goal is to identify all relevant evidence for each answer, including other Wikipedia articles. RomQA (Zhong et al., 2022) curates a large multi-evidence and multi-answer benchmark derived from the Wikidata knowledge graph with the goal of challenging the retriever and QA model. Although RomQA provides a large number of evidence, they do not aim for complete annotation nor to understand the negative effect of evaluation with partial annotations. Our paths diverge in that they seek to evaluate QA models and we aim to understand the effects of partial annotations on retriever evaluation, and to collect *all* evidence for each answer.

Exhaustive annotation. TREC Deep Learning (Craswell et al., 2020, 2021, 2022, 2023, 2024) is a yearly effort to completely-annotate queries for passage retrieval from the MS-Marco benchmark (Bajaj et al., 2018). Since annotating the entirety of MS-MARCO is unrealistic (~1M queries and ~8.8M passages), they conduct a competition where participants submit the results of their retrievers. Then, the results are pooled and their relevancy is evaluated. However, manual evaluation is a non-scalable approach, and over a span of five years (2019-2023) only 312 queries were annotated. In addition, exhaustiveness is unlikely as previously observed in (Zobel, 1998) and further corroborated in Appendix E. NERetrieve (Katz et al., 2023) shares our aspiration for a completelyannotated dataset. It proposes a retrieval-based NER task that creates a Wikipedia-based dataset where entity types function as queries and relevant

passages contain a span that mentions instances of the entities (e.g., "Dinosaurs" is an entity type and "Velociraptor" is an instance of it). With some similarity to our process, they collect candidates by relaxed matching of mentions of entities in documents that reference them (on DBPedia's linkgraph (Lehmann et al., 2015)), and then use a classifier to filter out cases that do not match their query. However, our work annotates evidence and not simply mentions of entities in a passage. Moreover, in addition to creating an exhaustively annotated dataset, we study the effects of partial annotation. 570

571

572

573

574

575

576

577

578

579

580

581

582

5 Conclusions

In this work we question whether the lack of rigor-583 ous annotation in modern retrieval datasets results in false conclusions. To answer this, we create D-585 MERIT, a dataset aspiring to collect all relevant 586 passages in the corpus for each query. We use 587 it to explore the impact of evaluating systems on 588 datasets riddled with false negatives; We demon-589 strate that evaluation based on queries with a single 590 annotated relevant passage is highly dependent on 591 the passages selected for annotation, unless one system is significantly superior to all others. We also 593 show that the number of annotations required to 594 stabilize the rankings is a factor of the difference in 595 performance between systems. We conclude that there is a clear efficiency-reliability curve when 597 it comes to the amount of annotations invested 598 in a retrieval evaluation set, and that when pick-599 ing the correct spot on this curve considerations 600 should include the estimated difference between 601 the systems in question and the method used to 602 choose the passages sent to annotation. We show 603 that the commonly used TREC-style evaluation 604 method fails to find a significant portion of the rel-605 evant passages in D-MERIT, suggesting that using 606 this annotation approach on D-MERIT would lead 607 to a non-negligible error rate. If it's possible, our recommendation for other datasets would be to es-609 timate the coverage of the TREC method before 610 using it for evaluation. Otherwise, its results should 611 be taken with a grain-of-salt. Finally, our dataset 612 opens a new avenue for research, both as a test-bed 613 for evaluation studies, as well as evaluation in a 614 high-recall setting. 615

Limitations 616

631

636

637

641

642

645

647

651

653

Exhaustiveness. Our evidence identification pro-617 cess is automated by GPT-4, the current state-of-618 the-art for text analysis. Despite achieving high agreement with human annotators, it is not perfect. Furthermore, even with a flawless model, comput-621 ing the relevance of all passages in Wikipedia for 622 each member in each query would have resulted in millions of inferences, which would have made the creation of this dataset unfathomably expen-625 sive. We thus make the (sensible) assumption that a passage with evidence must contain a link to the 627 article of the entity. It is possible some evidence were never collected, as analyzed in Section 2.4.

> Generalization of conclusions. We (and many before us) believe that in order to properly evaluate retrieval systems, the community should strive to collect all (or most) relevant passages. We believe this is true for many different datasets and scenarios. Having said that, showing this explicitly requires to completely annotate datasets, which is hard and expensive. Therefore, while we do believe that most of our conclusions can generalize to many other datasets, technically we could show them only on the dataset we used.

Data evaluation compatibility. Our dataset is made of set-queries with multiple members (translating to multiple answers in the QA setting). In such cases, systems are usually evaluated using datasets containing a single relevant per answer. In Section 3.2 we evaluate and draw conclusions using a single positive per query. We do so in order to draw conclusions regarding cases where single positives per query are used, but in practice these datasets usually contain single-answer queries (e.g. MS-MARCO). While we do believe our conclusions generalize to this case, it would have been more accurate to use such a single-answer-perquery dataset. Unfortunately, collecting such a fully annotated dataset is not trivial.

Ethics Statement 656

Automatic annotation. Since our annotation is automatic, it is model-dependent. This means it is vulnerable to the model's biases. As a result, it may fail to attribute evidence to a query if a candidate is under-represented in the model's training 661 data. This might cause D-MERIT to miss out on evidence that belongs to some under-represented group.

Rater details. To collect annotations on our 665 dataset, we used Amazon Mechanical Turk (AMT). 666 All raters had the following qualifications: (1) over 667 5,000 completed HITs; (2) 99% approval rate or 668 higher; (3) Native English speakers from England, 669 New Zealand, Canada, Australia, or United States. 670 Raters were paid \$0.07 per HIT, and on average, 671 \$20 an hour. In addition, raters that performed the task well were given bonuses that reached double 673 pay. 674

672

675

676

677

678

679

680

681

682

683

684

685

686

687

688

689

690

691

692

Annotation collection and usage policy. Raters were notified that their annotations are intended for research use in the field of Natural Language Processing and Information Retrieval, and will ultimately be shared publicly. The task and collected annotations were objective and excluded personal information. Moreover, all data sources for the study were publicly accessible.

Computing resources. We used only modest computing resources. For both, the dataset creation and the experimentation, we used a single Amazon-EC2-g5.4xlarge instance for 200 hours, which costs \$1.6 per hour. For the annotation of the passages, and creation of the natural-language queries, we utilized GPT-4-1106-preview, which at the time of writing, is priced at \$0.01 for 1K input tokens, and \$0.03 for 1K output tokens. In total, we paid ~\$3,000 for our use of the model.

References

693

701

706

707

710

711

712

713

715

716

717

718

719

723

724

725

727

730

731

733

734

735

736

737

739

740

741

742

743

744

745

746

- Samuel Amouyal, Tomer Wolfson, Ohad Rubin, Ori Yoran, Jonathan Herzig, and Jonathan Berant. 2023.
 QAMPARI: A benchmark for open-domain questions with many answers. In Proceedings of the Third Workshop on Natural Language Generation, Evaluation, and Metrics (GEM), pages 97–110, Singapore. Association for Computational Linguistics.
 - Payal Bajaj, Daniel Campos, Nick Craswell, Li Deng, Jianfeng Gao, Xiaodong Liu, Rangan Majumder, Andrew McNamara, Bhaskar Mitra, Tri Nguyen, Mir Rosenberg, Xia Song, Alina Stoica, Saurabh Tiwary, and Tong Wang. 2018. Ms marco: A human generated machine reading comprehension dataset.
 - Giannis Bekoulis, Christina Papagiannopoulou, and Nikos Deligiannis. 2021. A review on fact extraction and verification. *ACM Comput. Surv.*, 55(1).
 - C Buckley, Darrin Dimmick, Ian Soboroff, and Ellen Voorhees. 2007. Bias and the limits of pooling for large collections.
 - Chris Buckley and Ellen M. Voorhees. 2004. Retrieval evaluation with incomplete information. In Proceedings of the 27th Annual International ACM SIGIR Conference on Research and Development in Information Retrieval, SIGIR '04, page 25–32, New York, NY, USA. Association for Computing Machinery.
 - Deng Cai, Yan Wang, Lemao Liu, and Shuming Shi. 2022. Recent advances in retrieval-augmented text generation. In Proceedings of the 45th International ACM SIGIR Conference on Research and Development in Information Retrieval, SIGIR '22, page 3417–3419, New York, NY, USA. Association for Computing Machinery.
 - James P. Callan. 1994. Passage-level evidence in document retrieval. In *Proceedings of the 17th Annual International ACM SIGIR Conference on Research and Development in Information Retrieval*, SIGIR '94, page 302–310, Berlin, Heidelberg. Springer-Verlag.
 - Nick Craswell, Bhaskar Mitra, Emine Yilmaz, and Daniel Campos. 2021. Overview of the trec 2020 deep learning track. In *Text REtrieval Conference* (*TREC*). TREC.
 - Nick Craswell, Bhaskar Mitra, Emine Yilmaz, Daniel Campos, and Jimmy Lin. 2022. Overview of the trec 2021 deep learning track. In *Text REtrieval Conference (TREC)*. NIST, TREC.
 - Nick Craswell, Bhaskar Mitra, Emine Yilmaz, Daniel Campos, Jimmy Lin, Ellen M. Voorhees, and Ian Soboroff. 2023. Overview of the trec 2022 deep learning track. In *Text REtrieval Conference (TREC)*. NIST, TREC.
- Nick Craswell, Bhaskar Mitra, Emine Yilmaz, Daniel Campos, and Ellen M. Voorhees. 2020. Overview of the trec 2019 deep learning track.

Nick Craswell, Bhaskar Mitra, Emine Yilmaz, Hossein A. Rahmani, Daniel Campos, Jimmy Lin, Ellen M. Voorhees, and Ian Soboroff. 2024. Overview of the trec 2023 deep learning track. In *Text REtrieval Conference (TREC)*. NIST, TREC. 747

748

751

752

753

754

755

756

757

758

759

760

761

762

763

764

765

766

767

769

770

771

772

773

774

775

778

779

780

781

782

783

784

785

787

788

789

790

792

796

797

798

799

800

801

802

- Matthijs Douze, Alexandr Guzhva, Chengqi Deng, Jeff Johnson, Gergely Szilvasy, Pierre-Emmanuel Mazaré, Maria Lomeli, Lucas Hosseini, and Hervé Jégou. 2024. The faiss library.
- Thibault Formal, Carlos Lassance, Benjamin Piwowarski, and Stéphane Clinchant. 2022. From distillation to hard negative sampling: Making sparse neural ir models more effective. In *Proceedings of the 45th International ACM SIGIR Conference on Research and Development in Information Retrieval*, SIGIR '22, page 2353–2359, New York, NY, USA. Association for Computing Machinery.
- Thibault Formal, Carlos Lassance, Benjamin Piwowarski, and Stéphane Clinchant. 2021. Splade v2: Sparse lexical and expansion model for information retrieval.
- Luyu Gao and Jamie Callan. 2022. Unsupervised corpus aware language model pre-training for dense passage retrieval. In *Proceedings of the 60th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, pages 2843–2853, Dublin, Ireland. Association for Computational Linguistics.
- Prashansa Gupta and Sean MacAvaney. 2022. On survivorship bias in ms marco. In *Proceedings of the* 45th International ACM SIGIR Conference on Research and Development in Information Retrieval, SIGIR '22. ACM.
- Vladimir Karpukhin, Barlas Oguz, Sewon Min, Patrick Lewis, Ledell Wu, Sergey Edunov, Danqi Chen, and Wen-tau Yih. 2020. Dense passage retrieval for opendomain question answering. In Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing (EMNLP), pages 6769–6781, Online. Association for Computational Linguistics.
- Marcin Kaszkiel and Justin Zobel. 1997. Passage retrieval revisited. In *ACM SIGIR Forum*, volume 31, pages 178–185. ACM New York, NY, USA.
- Uri Katz, Matan Vetzler, Amir Cohen, and Yoav Goldberg. 2023. NERetrieve: Dataset for next generation named entity recognition and retrieval. In *Findings of the Association for Computational Linguistics: EMNLP 2023*, pages 3340–3354, Singapore. Association for Computational Linguistics.
- Sabrina Keenan, Alan F. Smeaton, and Gary Keogh. 2001. The effect of pool depth on system evaluation in trec. J. Am. Soc. Inf. Sci. Technol., 52(7):570–574.
- M. G. Kendall. 1938. A new measure of rank correlation. *Biometrika*, 30(1/2):81–93.
- Maurice G Kendall. 1945. The treatment of ties in ranking problems. *Biometrika*, 33(3):239–251.

- 811 812 813 814 815 817 819 823 824 827 829 831 832
- 834

840 841

842

- 845

851

857

- Tom Kwiatkowski, Jennimaria Palomaki, Olivia Redfield, Michael Collins, Ankur Parikh, Chris Alberti, Danielle Epstein, Illia Polosukhin, Jacob Devlin, Kenton Lee, Kristina Toutanova, Llion Jones, Matthew Kelcey, Ming-Wei Chang, Andrew M. Dai, Jakob Uszkoreit, Quoc Le, and Slav Petrov. 2019. Natural questions: A benchmark for question answering research. Transactions of the Association for Computational Linguistics, 7:452-466.
- Jens Lehmann, Robert Isele, Max Jakob, Anja Jentzsch, Dimitris Kontokostas, Pablo N. Mendes, Sebastian Hellmann, Mohamed Morsey, Patrick van Kleef, S. Auer, and Christian Bizer. 2015. Dbpedia - a large-scale, multilingual knowledge base extracted from wikipedia. Semantic Web, 6:167–195.
- Patrick Lewis, Ethan Perez, Aleksandra Piktus, Fabio Petroni, Vladimir Karpukhin, Naman Goyal, Heinrich Küttler, Mike Lewis, Wen tau Yih, Tim Rocktäschel, Sebastian Riedel, and Douwe Kiela. 2021. Retrieval-augmented generation for knowledgeintensive nlp tasks.
- Huayang Li, Yixuan Su, Deng Cai, Yan Wang, and Lemao Liu. 2022. A survey on retrieval-augmented text generation. arXiv preprint arXiv:2202.01110.
- Jimmy Lin and Xueguang Ma. 2021. A few brief notes on deepimpact, coil, and a conceptual framework for information retrieval techniques. arXiv preprint arXiv:2106.14807.
- Jimmy Lin, Xueguang Ma, Sheng-Chieh Lin, Jheng-Hong Yang, Ronak Pradeep, and Rodrigo Nogueira. 2021a. Pyserini: A python toolkit for reproducible information retrieval research with sparse and dense representations. In Proceedings of the 44th International ACM SIGIR Conference on Research and Development in Information Retrieval, SIGIR '21, page 2356-2362, New York, NY, USA. Association for Computing Machinery.
- Sheng-Chieh Lin, Jheng-Hong Yang, and Jimmy Lin. 2021b. In-batch negatives for knowledge distillation with tightly-coupled teachers for dense retrieval. In Proceedings of the 6th Workshop on Representation Learning for NLP (RepL4NLP-2021), pages 163-173, Online. Association for Computational Linguistics.
- Xiaolu Lu, Alistair Moffat, and J. Shane Culpepper. 2016. The effect of pooling and evaluation depth on ir metrics. Inf. Retr., 19(4):416-445.
 - Sean MacAvaney and Luca Soldaini. 2023. One-shot labeling for automatic relevance estimation. In Proceedings of the 46th International ACM SIGIR Conference on Research and Development in Information Retrieval, SIGIR '23. ACM.
- Chaitanya Malaviya, Peter Shaw, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. 2023. Quest: A retrieval dataset of entity-seeking queries with implicit set operations.

Vaibhav Mavi, Anubhav Jangra, and Adam Jatowt. 2022. A survey on multi-hop question answering and generation. arXiv preprint arXiv:2204.09140.

858

859

861

862

863

864

866

867

868

869

870

871

872

873

874

875

876

877

878

879

880

881

882

883

884

885

886

889

890

891

892

893

894

895

896

897

898

899

900

901

902

903

904

905

906

907

908

909

910

911

912

- Taichi Murayama. 2021. Dataset of fake news detection and fact verification: a survey. arXiv preprint arXiv:2111.03299.
- Ori Ram, Yoav Levine, Itay Dalmedigos, Dor Muhlgay, Amnon Shashua, Kevin Leyton-Brown, and Yoav Shoham. 2023. In-context retrieval-augmented language models. Transactions of the Association for Computational Linguistics, 11:1316–1331.
- S. E. Robertson and S. Walker. 1994. Some simple effective approximations to the 2-poisson model for probabilistic weighted retrieval. In Proceedings of the 17th Annual International ACM SIGIR Conference on Research and Development in Information Retrieval, SIGIR '94, page 232-241, Berlin, Heidelberg. Springer-Verlag.
- Anna Rogers, Matt Gardner, and Isabelle Augenstein. 2023. Qa dataset explosion: A taxonomy of nlp resources for question answering and reading comprehension. ACM Comput. Surv., 55(10).
- Alan Stuart. 1953. The estimation and comparison of strengths of association in contingency tables. Biometrika, 40(1/2):105-110.
- Manju Vallavil, Parma Nand, Wei Qi Yan, and Héctor Allende-Cid. 2023. Explainability of automated fact verification systems: A comprehensive review. *Applied Sciences*, 13(23):12608.
- Denny Vrandečić and Markus Krötzsch. 2014. Wikidata: A free collaborative knowledge base. Communications of the ACM, 57:78-85.
- Shitao Xiao, Zheng Liu, Yingxia Shao, and Zhao Cao. 2022. RetroMAE: Pre-training retrieval-oriented language models via masked auto-encoder. In Proceedings of the 2022 Conference on Empirical Methods in Natural Language Processing, pages 538-548, Abu Dhabi, United Arab Emirates. Association for Computational Linguistics.
- Emine Yilmaz and Javed A. Aslam. 2006. Estimating average precision with incomplete and imperfect judgments. In Proceedings of the 15th ACM International Conference on Information and Knowledge Management, CIKM '06, page 102-111, New York, NY, USA. Association for Computing Machinery.
- Chengxiang Zhai and John Lafferty. 2001. A study of smoothing methods for language models applied to ad hoc information retrieval. In Proceedings of the 24th Annual International ACM SIGIR Conference on Research and Development in Information Retrieval, SIGIR '01, page 334-342, New York, NY, USA. Association for Computing Machinery.
- Victor Zhong, Weijia Shi, Wen tau Yih, and Luke Zettlemoyer. 2022. Romqa: A benchmark for robust, multievidence, multi-answer question answering.

- 913 Fengbin Zhu, Wenqiang Lei, Chao Wang, Jianming
 914 Zheng, Soujanya Poria, and Tat-Seng Chua. 2021.
 915 Retrieving and reading: A comprehensive survey on
 916 open-domain question answering. *arXiv preprint*917 *arXiv:2101.00774*.
- 918Justin Zobel. 1998. How reliable are the results of large-919scale information retrieval experiments? In Annual920International ACM SIGIR Conference on Research921and Development in Information Retrieval.
- Justin Zobel, Alistair Moffat, Ross Wilkinson, and Ron Sacks-Davis. 1995. Efficient retrieval of partial documents. *Information Processing & Management*, 31(3):361–377. The Second Text Retrieval Conference (TREC-2).

945

947

951

954

958

959

960

962

963

964

965

967

971

972

973

974

975

A Benchmarking D-MERIT

While tangential to this paper, the D-MERIT
dataset allows us to benchmark the ability of existing retrieval models to perform on the full-recall
retrieval setup, as it's coverage is very high as reported in Section 2.4. This section describes this
benchmark process.

Benchmark metrics. We select Recall, Normal-934 935 ized Discounted Cumulative Gain (NDCG) and Mean Average Precision (MAP). In addition, given 936 that we possess complete evidence for every query, we can calculate R-precision- a form of recall where k varies for each query, determined by the 939 specific total evidence count to that query. For 940 instance, if a query corresponds to 40 pieces of 941 evidence, then k is set at 40. Achieving a perfect 943 score means that the top 40 results are all evidence associated with the query.

Results. Performance of all systems is shown in Table 5, with SPLADE++ and SPLADEv2 performing best across all metrics. The scores suggest there is substantial room for improvement on our evidence retrieval task. For example, the *recall@100* score indicates no system successfully retrieves even half of the evidence on average.

B Further Details: Experimental Study

To allow reproduction of our results, we detail the hyper-parameters used in our work. We utilize the Pyserini information retrieval toolkit (Lin et al., 2021a) with the following settings for each system: BM25 is employed using the standard Lucene index for indexing and retrieving results. Similarly, QLD is used but with the QLD reweighing option to refine the process. UniCoil embeddings are generated with the castorini/unicoil-noexp-msmarco-passage encoder, and retrieval is conducted using Lucene search with the 'impact' option to incorporate unicoil weights. SPLADEv2 and SPLADE++ follow a similar approach, where passages and queries are embedded using their respective official code repositories, and retrieval is performed using Lucene with the 'impact' option. **DPR** involves embedding passages and queries with the facebook/dpr-ctx_encodermultiset-base and facebook/dpr-question_encoder*multiset-base* encoders, respectively, with retrieval via FAISS (Douze et al., 2024). RetroMAEdistill adopts a similar strategy, utilizing the Shitao/RetroMAE_MSMARCO_distill encoder for

both queries and passages. **TCT-Colbert-V2** also mirrors this approach but uses the *castorini/tct_colbert-v2-msmarco* encoder. **co-Condenser** involves training document and query encoders on the Natural Questions dataset (Kwiatkowski et al., 2019) using the CoCondenser official code repository. Hybrid models such as **TCT-Colbert-V2-Hybrid**, **coCondenser-Hybrid**, and **RetroMAE-Hybrid** combine the strengths of BM25 with **TCT-Colbert-V2**, **coCondenser**, and **RetroMAE-distill** respectively, using a fusion score with $\alpha = 0.1$. 976

977

978

979

980

981

982

983

984

985

986

987

988

989

990

991

992

993

994

995

996

997

998

999

1000

1002

1003

1004

1005

1008

C Further Details: D-MERIT Creation

License. D-MERIT builds on data from Wikipedia, which carries a Creative Commons Attribution-ShareAlike 4.0 International License. This license requires that any derivative works also carry the same license.

Conditioning human raters. Before the evaluation process begins, we need to assure the raters we use understand the task and can perform it adequately. We thus begin a conditioning process. First, we run a qualification exam, and the raters that get all the questions right, are invited to an iterative training process. The process includes small batches, of up to 100 (passage, prompt) pairs, where the rater submits their response and we provide personal feedback. Moreover, all tasks included an option to mark the example as difficult or provide textual feedback about it, to encourage communication from the raters as they work. After each batch raters are filtered out, until we remain with a single rater with a success rate of over 95% on a single batch. The task is visualized in Fig. 10.

Automatic identification details. To automati-1010 cally identify evidence, GPT-4 is provided with a 1011 passage and a structured query. In this context, a 1012 structured query begins with the article name, fol-1013 lowed by its section names arranged hierarchically 1014 (separated by "»"), corresponding to the structure 1015 of the article, and ultimately culminating in the 1016 column value. For instance, a typical structured 1017 query could be "Cities and Towns in Cambodia" 1018 (article name) » "Cities" (section name) » "Name" 1019 (column name). The task for GPT-4 is to determine 1020 whether the passage provides evidence supporting 1021 the query. The evaluation involves analyzing the 1022 text to ascertain whether the passage directly or indirectly confirms the entity in question is part of 1024

System		Reca	all@k			NDC	G@k			MA	P@k		P provision
System	5	20	50	100	5	20	50	100	5	20	50	100	K-precision
SPLADE++	9.43	24.11	36.02	45.16	38.17	36.54	38.05	40.56	7.11	15.0	19.35	21.72	28.16
SPLADEv2	7.82	21.21	33.29	43.34	32.09	31.43	33.78	37.00	5.74	12.20	16.03	18.27	24.82
TCT-Colbert-Hybrid	7.85	19.62	29.71	37.97	34.86	31.60	32.23	34.33	5.80	11.48	14.78	16.56	22.75
bm25	6.65	17.46	27.54	35.76	28.93	27.20	28.62	31.13	4.76	9.76	12.83	14.61	20.86
RetroMAE-Hybrid	7.30	17.48	25.95	32.85	33.95	29.21	29.19	30.82	5.71	10.63	13.14	14.48	20.12
RetroMAE	7.03	16.62	24.78	31.61	32.71	27.98	27.94	29.61	5.47	10.05	12.38	13.66	19.29
TCT-Colbert	6.27	15.44	23.59	30.95	29.31	25.73	26.08	27.95	4.58	8.64	11.02	12.39	18.02
CoCondenser-Hybrid	5.28	14.81	24.25	32.88	22.13	21.87	23.96	26.89	3.41	6.82	9.10	10.63	16.78
QLD	5.49	13.96	23.56	31.96	24.54	21.71	23.63	26.55	3.77	7.07	9.51	11.13	16.56
CoCondenser	4.87	13.75	23.02	31.52	20.71	20.42	22.64	25.54	3.14	6.20	8.35	9.77	15.69
Unicoil	4.47	10.95	17.27	23.28	20.86	17.96	18.70	20.49	3.25	6.05	7.72	8.83	13.19
DPR	3.90	9.62	15.99	21.72	18.51	15.90	16.64	18.41	2.63	4.48	5.67	6.37	10.89

Table 5: Performance of a variety of baselines on D-MERIT. Recall, NDCG, and MAP are evaluated over four k values: 5, 20, 50, and 100. The k value in R-precision is the total number of evidence of a query, which changes from query to query.

the group defined by the query. For example, in a 1025 query aimed at identifying names of Cambodian 1026 cities, the passage must either explicitly state or 1028 strongly suggest that a particular city belongs in Cambodia to be considered relevant. Our prompts 1029 follow our definition of relevance from Section 2.2: 1030 If you were writing а report 1031 on member being part of article-name, and would like to gather *all* 1033 the documents that directly confirm member 1034 is part of article-name, in the category 1035 hierarchy article-name » section-name » column-name, will you add the following 1037 document to the collection? Answer with 1038 "ves" or "no". 1039

Natural-language query generation prompt. To translate a structured query to its naturallanguage variant, we prompt GPT-4 using the template below. Examples of input and output can be viewed in Table 6.

Please pretend you are a typical Google 1045 Search user, show me what you would write 1046 1047 in the search bar. For example: cultural property of national significance in 1048 Switzerland:Zurich » Richterswil » Name, 1049 where » indicates a hierarchy, a typical 1050 search would be: names of cultural 1051 properties of national significance in 1052 Richterswil, Zurich, Switzerland. 1053

Here, try this one: {input}

D Concordance

1041

1042

1043

1044

1054

1055

1056

1057

1058

1060

Kendall- τ (Kendall, 1938) is a popular metric for evaluating rank correlation between rankings. This is done by comparing the number of concordant

Structured Query	Natural-language Query
List of Zhejiang University alumni »	names of Zhejiang University alumni in
Politics & government » Name	politics and government
List of Wisconsin state forests » Forest	names of Wisconsin state forests
name	
List of World War I flying aces from	names of US World War I flying aces
the United States » Served with the	who served with the Aéronautique
Aéronautique Militaire » Name	Militaire
List of LGBT classical composers »	names of 20th century LGBT classical
20th century » Name	composers
List of Eliteserien players » Name	names of Eliteserien football players
List of National Monuments in County	names of National Monuments in
Sligo » National Monuments »	County Sligo, Ireland
Monument name	

Table 6: Examples of structured queries and their corresponding natural-language form.

and dis-concordant elements between two ranks over a set of elements. More general variants of Kendall- τ (Kendall, 1945; Stuart, 1953) address cases where ties exist (i.e., in one ranking two elements received an identical score).

1061

1062

1063

1064

1065

1066

1067

1068

1069

1070

1071

1072

1073

1074

1075

1076

1078

1079

1080

1081

1082

1083

1084

1085

The simplicity of Kendall- τ makes it tempting to utilize it to compare the ranking of retrieval systems. However, it fails to capture some of the intricacies of this comparison due to several reasons. First, simply comparing system scores is insufficient, as an additional verification using a significance test is necessary. Ties can be defined (i.e., system A is tied with system B if p > 0.05), but the relation is not transitive (A tied with B and B tied with C does not imply that A is tied with C), as required by variants of Kendall- τ that support ties. Second, some ranking errors are more troublesome than others. Finding that a new system is "tied" with the baseline system when in fact it is worse might be undesirable. However, incorrectly reporting that it is better is improper.

Even though Kendall- τ suffers from the shortcomings above, we hypothesize that it is still a good metric for comparing performance rankings. To validate this we propose a new metric, *concordance*,



Figure 4: Concordance between rankings of systems with varying percentages of evidence and ranking with all evidence, using *recall@5*, *recall@20*, *recall@50*, and *recall@100*. System pairs are divided into 3 buckets as described in Section 3.3.

that addresses these shortcomings of Kendall- τ and its variants. This is done by considering the relations A > B and A < B for a pair of systems Aand B. This way if in the ground truth A is significantly better than B and in the compared ranking A is tied with B, the two rankings will agree on the relation A < B (will be false in both) and disagree on the relation A > B. In a more troublesome error, where A < B in the compared ranking, the two rankings will disagree on both relations. Formally, let π_1 and π_2 be two rankings of a set of retrieval systems S. For each pair of systems s_1, s_2 and ranking π we define

1086

1087

1089

1090

1091

1093

1094

1095

1096

1097

1098

1099

1100

1101

1102

$$\pi(s_1, s_2) = \begin{cases} 1, & s_1 \text{ is significantly better than } s_2 \\ 0, & \text{otherwise.} \end{cases}$$

Then concordance is defined as the agreement between the rate of agreement over all ordered pairs of systems between two rankings:

1103
$$\operatorname{conc}(\pi_1, \pi_2) =$$

1104 $\frac{1}{P(|S|, 2)} \sum_{s_1} \sum_{s_2 \neq s_1} \pi_1(s_1, s_2) \odot \pi_2(s_1, s_2),$

where P(n, r) is the number of permutations of size r from a set of size n, and \odot is the XNOR operator (equals to 1 if both inputs equal). 1105

1106

1107

1108

1109

1110

1111

1112

1113

1114

1115

1116

1117

1118

1119

1120

1121

1122

1123

1124

Using concordance, we validate the results found in Section 3.3 and Section 3.4 using Kendall- τ . This is done by repeating the experiment and calculating the mean concordance of system rankings given evidence found by different systems with the ground truth ranking (in which all evidence are annotated). We run this experiment for a single annotated evidence and different percentiles of annotated evidence.

In Table 7 and Figure 4 we see that pairs of systems with a very significant difference between them (i.e., p < 0.01) are evaluated with higher accuracy than systems falling in the other two buckets. This validates the results found in Section 3.3 and Section 3.4 and shows that Kendall- τ is a good proxy for evaluating the rankings of IR systems.

E TREC Coverage

TREC (Craswell et al., 2020, 2021, 2022, 2023,11252024), a popular retrieval competition, also tries to1126deal with the problem of partial annotated retrieval1127

k	p_{min}	p_{max}	Concordance
5	0.0	0.01	0.809
5	0.01	0.05	0.292
5	0.05	1.0	0.646
20	0.0	0.01	0.823
20	0.01	0.05	0.708
20	0.05	1.0	0.611
50	0.0	0.01	0.821
50	0.01	0.05	0.556
50	0.05	1.0	0.592
100	0.0	0.01	0.813
100	0.01	0.05	0.500
100	0.05	1	0.583

Table 7: Concordance computed only on pairs of systems that fall within the $[p_{min}, p_{max})$ bucket. k is the *recall@k* used.

datasets. In this section we compare our approach 1128 for collecting multiple evidence for queries with 1129 their approach. This is done by applying TREC's 1130 approach to our dataset and testing its coverage. 1131 This will reveal, even though anecdotally, the abil-1132 ity of TREC's approach to find numerous evidence. 1133 The approach in TREC does not utilize a struc-1134 tured data source for the creation of the judgement 1135 1136 set. Instead, they create a pool of candidates from the set of passages retrieved by a large set of sys-1137 tems. Specifically, TREC runs a competition and 1138 publishes a query set and a corpus. Any partic-1139 ipant team executes their system and submits a 1140 1141 retrieved list. Then, TREC pools top-k passages from each participant and sends them for human 1142 annotation, annotating for relevancy. Before ap-1143 plying the approach used by TREC to our dataset 1144 we first formally define this process. Let Q be the 1145 set of queries and E_q the evidence set of query 1146 $q \in Q$. In addition, let S be the set of systems and 1147 $E_{q,s}$ be the evidence set found in the top-10 pas-1148 sages retrieved by system $s \in S$ for query $q \in Q$. 1149 Then, the judgement set of query q is defined as 1150 $J_q(S) = \bigcup_{s \in S} E_{q,s}$. We denote the coverage of S 1151 on Q as: 1152

$$C_Q(S) = \frac{1}{|Q|} \sum_{q \in Q} \frac{|J_q(S)|}{|E_q|}.$$

When fixing the number of passages retrieved 1153 by each system to k = 10, as done in TREC, and 1154 given the 12 systems considered in this paper (see 1155 1156 Section 3.1), we can compute their coverage on D-MERIT which is equal to 31.7%. While this 1157 may be low, we only consider a small number of 1158 systems, as it is typical to use around 100 systems. 1159 Also, increasing k is expected to increase the cov-1160

erage. Following, we use extrapolation techniques to estimate the affect of both.

1161

1162

1163

1172

1173

1174

1175

1176

1177

1178

1179

1180

1181

1182

1183

1184

1185

1186

1187

1188

1189

1190

1191

1192

1193

E.1 Extrapolating Number of Systems

Due to time and compute constraints using 100 sys-1164 tems, as typically done in the TREC competition, 1165 is unrealistic. This leads us to approximate the cov-1166 erage instead. In order to approximate the coverage 1167 of a larger number of systems we first fix k = 10, 1168 and compute the expected coverage of a random 1169 subset of systems of size t uniformly sampled from 1170 S. That is, 1171

$$C_Q^*(S,t) = \mathop{\mathbb{E}}_{S' \sim U(S), |S'|=t} [C_Q(S')].$$

Given the values of $C_Q^*(S, t)$ for t = 1, ..., 12, we fit a logarithmic curve (as coverage is both concave and monotonically-increasing) to these observations and observe a root mean-squared-error (RMSE) of 0.16% and a maximum error of 0.31%. Finally we extrapolate to predict the coverage for $t = 13, \ldots, 100$. The results of the experiment is presented in Fig. 5. As can be seen, we predict that broadening the judgement sets by retrieving with as many as 100 systems only increases the coverage from 31.7% to 47.1%. This result further corroborates the finding by (Zobel, 1998), which states that the pooling approach used in TREC finds, at best, 50-70% of the evidence. We conclude that our approach is able to achieve a much higher coverage. This is expected to improve the correctness of our evaluation. Note that our approach depends on structured data in Wikipedia. On the other hand, the approach utilized in TREC is universal as it can be applied to any corpus and query.



Figure 5: Fraction of relevant passages covered by top-10 passages for *s* systems.

E.2 Extrapolating Number of Retrieved Documents per System

Increasing the pool size can uncover additional 1194 positive results, but will result in a significantly 1195 1196larger annotation pool size. We adopt a similar1197method to extrapolating the coverage by increasing1198the number of systems, and but focus instead on1199the size of the pool.

1200

1201

1202

1203

1204

1205

1206

1207

1208

1209

1210

1211

1212

1213

1214

1215

1216

1217

1218

1219

1220

We use the coverage evaluation dataset described in section 2.4 which takes a the top-20 pool from 12 systems and uses human annotators to label the relevancy of each entry in the pool. Next, we assign each relevant entry in the pool its minimum rank from all systems and construct pools for each depth size. For example, for k=10, we take all documents that were ranked at the top-10 by at least a single system.

Finally, we extrapolate to predict for the number of newly identified evidence (Figure 6) and the overall documents found by the pooling approach (Figure 7) for t = 21, ..., 100. The results show that even for a pool-depth of k = 100, we estimate that only 60 new evidences will be identified. This means that the coverage of our method is estimated to be $\sim 94.5\%$ out of all identified evidence. In addition, we see that the pooling approach for k = 100 is estimated to retrieve 638 evidence (578 already found by our method) covering only 60.8% with a significant increase of annotation overhead.



Figure 6: Number of newly identified evidence by pool depth k.



Figure 7: Number of identified evidence by pool depth.

F Extended Results

1221

1222

1223

1224

1225

1226

In the main paper we focused on *recall@20* for brevity when reporting results. Here, we report experiments shown in Section 3 measuring also *recall@5/50/100*. Conclusions pointed out in the main paper hold for all values of k.

k	p_{min}	p_{max}	partial- $ au$	Error-rate (%)
5	0.0	0.01	0.654	17.30
5	0.01	0.05	-0.583	79.15
5	0.05	1.0	-0.125	56.25
20	0.0	0.01	0.658	17.10
20	0.01	0.05	0.333	33.35
20	0.05	1.0	0.000	50.00
50	0.0	0.01	0.658	17.10
50	0.01	0.05	0.167	41.65
50	0.05	1.0	0.200	40.00
100	0.0	0.01	0.642	17.90
100	0.01	0.05	-0.083	54.15
100	0.05	1	0.185	40.75

Table 8: partial-Kendall- τ similarity (as defined in Section 3.3, denoted here as partial- τ) and Error-rate computed only on pairs of systems that fall within the $[p_{min}, p_{max})$ bucket. k is the *recall@k* used.

k	Selection	τ -similarity	Error-rate (%)
5	Random	0.815	9.25
5	Most popular	0.727	13.65
5	Longest	0.462	26.90
5	Shortest	0.585	20.75
5	System-based	0.587	80.65
20	Random	0.936	3.20
20	Most popular	0.697	15.15
20	Longest	0.545	22.75
20	Shortest	0.697	15.15
20	System-based	0.616	19.20
50	Random	0.916	4.20
50	Most popular	0.687	15.65
50	Longest	0.606	19.70
50	Shortest	0.576	21.20
50	System-based	0.596	20.20
100	Random	0.894	5.30
100	Most popular	0.818	9.10
100	Longest	0.697	15.15
100	Shortest	0.545	22.75
100	System-based	0.523	23.85

Table 9: Kendall- τ similarities and error for different biases, in a single-annotation setup. k is the *recall@k*.



Figure 8: Single-annotation per query datasets with varying selection methods. Left to right: recall@5/50/100.



Figure 9: Kendall- τ between rankings of systems with varying percentages of evidence and ranking with all evidence, using *recall@5/50/100*. System pairs are divided into 3 buckets as described in Section 3.3.

Instructions Shortcuts Carefully read the passage, the question, and the answer, and decide if answer is reasonable or not.		۲
OUESTION If you ware writing a report on Ely Capacia being part of Bhilipping Backethall	Select an option	
Association players (A–E), and would like to gather *all* the documents that directly confirm Ely	Agree with decision 1	
Capacio is part of Philippine Basketball Association players (A-E), in the category hierarchy List of	Disagree with decision ²	
Philippine Basketball Association players (A-E) >> C >> Name, will you add the following document to the collection? Answer with 'yes' or 'no'.		
PASSAGE: Eliezer "Ely" O. Capacio (March 14, 1955 – February 23, 2014) was a Filipino		
seasons as a forward-center for the Tanduav Rhum Makers from 1979 to 1986, averaging 5.9		
points and 5.5 rebounds in a total of 324 games. The team won two championships during the		
1986 PBA season. His younger brother, Glenn Capacio also played in the PBA.		
DECISION: 1.0		

Figure 10: The human evaluation task detailed in Section 2.4.

ist of Zhejiang University alumni KA 1 language ticle Taik Red Edit View history Tool > om Wikipedia, the free encyclopedia Ist of notable graduates as well as non-graduate former students, academic staff, and university officials of Zhejiang University and Its deceasors in China. It also includes those who may be considered alumni by extension, having studied at institutions that later merged with Zhejiang Iniversity. This article contains dynamic lists that may never be able to satisfy particular standards for completeness. You can help by adding missing items with reliable sources. otilitics & government [edit] Name Known as Known for Links to Zhejiang University Chaine Balli Published La Jeunesse which led to the New Culture Movement Studied shipbuilding and French at Glushi Academy during 1897-1899 until he was expelled due to anti-government speech. Itang Balli military writer, strategist, trainer Served as its leader Studied at Glushi Academy during 1899-1901 Univer Freatise on National Defence Studied at Qlushi Academy during 1899-1901 University Rediction of the Whampoa Military Academy 2 Biochent						
List of Zhejiang University alumni Article Talk Red Edit From Wikipedia, the free encyclopedia Edit From Wikipedia, the free encyclopedia Edit This is a list of notable graduates as well as non-graduate former students, academic staff, and university officials of Zhejiang Uhi predecessors in China. It also includes those who may be considered alumni by extension, having studied at institutions that later University. This article contains dynamic lists that may never be able to satisfy particular standards for completeness. You can help by ad reliable sources. POINTICS & government [edit] Name Known as Known for Links to Zhejiang Uhi prevents University. Chen Duxiu political leader, writer • Published La Jeunesse which led to the New Culture Movement Studied shipbuilding and French a during 1897-1899 unit he was exp government speech. Jiang Balli military writer, strategist, trainer • Served as the acting principal of the Whampoa Military Academy Studied at Qlushi Academy during Virote Treatise on National Defence • Wrote Treatise on National Defence Studied at Qlushi Academy Chen Yi military leader, politician • Served as the Governor of Taiwan Province from Studied at Qlushi Academy Studied to the Oth Politician • Served as the Governor of Taiwan Province from Studi						
This is a list of predecessors i University.	notable graduates as n China. It also includ	well as non-graduate former students, academic staff, an so those who may be considered alumni by extension, ha	nd university officials of Zhejia aving studied at institutions th	ing Uni at later	versity and its merged with 2	Zhejiang
This article reliable sou	contains dynamic list irces.	that may never be able to satisfy particular standards fo	r completeness. You can helj	o by ad	lding missing it	ems wit
Name	government [Enown for	Links to 7he	ijang U	Iniversity	
Chen Duxiu	political leader, writer	Published La Junce which led to the New Culture Movement Founded the Chinese Communist Party and served as its leader	Studied shipbuilding and Fr during 1897-1899 until he v government speech.	rench a vas exp	at Qiushi Acade	emy nti-
Jiang Baili	military writer, strategist, trainer	Served as the acting principal of the Whampoa Military Academy Wrote <i>Treatise on National Defence</i>	Studied at Qiushi Academy	during	1899-1901	
Chen Yi	military leader, politician	Led the 19th Route Army to fight against Japan in January 28 incident served as the Governor of Taiwan Province from 1947-to 1949, during which the February 28 incident occurred	Studied at Qiushi Academy			
Huang Fu	politician	Led and participated in the 1924 Beijing Coup Served as the Acting President of the Republic of China	Studied at Qiushi Academy			

Figure 11: A screenshot of the Wikipedia article corresponding to the first query in Table 6.