Evaluating D-MERIT of Partial-annotation on Information Retrieval

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Abstract

 Retrieval models are often evaluated on partially-annotated datasets. Each query is mapped to a few relevant texts and the remain- ing corpus is assumed to be irrelevant. As a result, models that successfully retrieve false negatives are punished in evaluation. Unfortu- nately, completely annotating all texts for every query is not resource efficient. In this work, we show that using partially-annotated datasets in evaluation can paint a distorted picture. We cu- rate D-MERIT, a passage retrieval evaluation set from Wikipedia, aspiring to contain *all* rele- vant passages for each query. Queries describe a group (e.g., "journals about linguistics") and relevant passages are evidence that entities be- long to the group (e.g., a passage indicating that *Language* is a journal about linguistics). We show that evaluating on a dataset containing an- notations for only a subset of the relevant pas- sages might result in misleading ranking of the retrieval systems and that as more relevant texts are included in the evaluation set, the rankings converge. We propose our dataset as a resource for evaluation and our study as a recommen- dation for balance between resource-efficiency and reliable evaluation when annotating evalu-ation sets for text retrieval.

028 1 Introduction

 Passage retrieval, the task of retrieving relevant passages for a given query from a large corpus, is a traditional IR task [\(Kaszkiel and Zobel,](#page-9-0) [1997;](#page-9-0) [Callan,](#page-9-1) [1994;](#page-9-1) [Zobel et al.,](#page-11-0) [1995\)](#page-11-0). Within NLP, it has many applications, such as Open-Domain Question-Answering (ODQA) [\(Karpukhin et al.,](#page-9-2) [2020;](#page-9-2) [Zhu et al.,](#page-11-1) [2021;](#page-11-1) [Mavi et al.,](#page-10-0) [2022;](#page-10-0) [Rogers](#page-10-1) [et al.,](#page-10-1) [2023\)](#page-10-1) and fact verification [\(Bekoulis et al.,](#page-9-3) [2021;](#page-9-3) [Murayama,](#page-10-2) [2021;](#page-10-2) [Vallayil et al.,](#page-10-3) [2023\)](#page-10-3).

 Recently, the task has experienced a renaissance due to the modern retrieval-augmented-generation setup leveraging LLMs (aka "RAG") [\(Lewis et al.,](#page-10-4) [2021;](#page-10-4) [Cai et al.,](#page-9-4) [2022;](#page-9-4) [Li et al.,](#page-10-5) [2022\)](#page-10-5). In all of

Figure 1: Demonstrating the evidence retrieval task described in Section [2.2.](#page-1-0) The query is "Names of first world war camoufleurs". Highlighted text corresponds to the query requirements: names (green), "First World War" (red), and "camouflage" (orange). A passage must match all requirements to be considered as evidence.

those cases, retrieval makes for a crucial compo- **042** nent of the system [\(Cai et al.,](#page-9-4) [2022;](#page-9-4) [Ram et al.,](#page-10-6) **043** [2023\)](#page-10-6). **044**

It is common practice, and often essential to **045** evaluate the retriever component separately from **046** the full system. This is done by using large-scale **047** data resources that map queries to relevant pas- **048** sages.^{[1](#page-0-0)} The vast majority of available datasets are 049 only partially-annotated; a query is mapped to a **050** single (or a few) relevant passages and all other **051** passages are assumed to be irrelevant [\(Bajaj et al.,](#page-9-5) **052** [2018;](#page-9-5) [Kwiatkowski et al.,](#page-10-7) [2019\)](#page-10-7), leading to many **053** false negatives in the dataset. This practice has **054** [l](#page-9-6)ong been contested [\(Zobel,](#page-11-2) [1998;](#page-11-2) [Buckley and](#page-9-6) **055** [Voorhees,](#page-9-6) [2004;](#page-9-6) [Craswell et al.,](#page-9-7) [2020;](#page-9-7) [Gupta and](#page-9-8) **056** [MacAvaney,](#page-9-8) [2022\)](#page-9-8), yet due to the massive size of 057 modern corpora, exhaustively annotating all pas- **058** sages for every query is highly impractical. As **059** an example, MS-MARCO [\(Bajaj et al.,](#page-9-5) [2018\)](#page-9-5) con- **060**

 1 Relevancy is defined according to the task in hand. In this work, we adopt the definition of TREC [\(Craswell et al.,](#page-9-7) [2020\)](#page-9-7), a popular retrieval research challenge.

061 sists of ~1M queries and ~8.8M passages, which **062** amounts to ~8.8 *trillion* annotations.

 Evaluating retrieval solutions using a partially- annotated dataset is obviously not ideal. A sys- tem retrieving a non-annotated relevant passage rather than an annotated one is unjustly penalized. Some work has been done on metrics and meth- [o](#page-9-6)ds attempting to deal with this issue [\(Buckley and](#page-9-6) [Voorhees,](#page-9-6) [2004;](#page-9-6) [Yilmaz and Aslam,](#page-10-8) [2006;](#page-10-8) [MacA-](#page-10-9) [vaney and Soldaini,](#page-10-9) [2023\)](#page-10-9). However, the common practice is still using vanilla metrics (e.g. MRR, 072 Recall), and the impact of partial annotation dur- ing evaluation using these metrics is still unclear. Does the ranking of systems change? Do the in- accurate scores falsely crown the wrong systems as the SOTAs? Moreover, we wonder how many relevant passages are needed in order to sufficiently reduce the error and correctly rank systems.

 In this work, we propose D-MERIT; *Dataset for Multi-Evidence Retrieval Testing*, an evaluation set for retrieval systems, *striving* to pair each query to *all* of its relevant passages. In our setting, relevant passages are evidence that some entity belongs to a group described in the query. While we use it to explore the consequences of having an evaluation dataset with only a few relevant passages annotated, D-MERIT is also highly suitable for use in high- recall settings, where the task is to retrieve as many relevant texts as possible for a given query, as it contains almost all relevant passages available in the corpus for each query.

 We first show that evaluation of systems with the common single-relevant setup (for each query, annotate passages until a single relevant passage is found) is sensitive to the way in which passages were selected during annotation. As a result, differ- ent selections lead to different rankings of systems. However, we observe that when a system very sig-099 nificantly outperforms another $(p-value < 0.01)$, representing a seminal improvement or break- through, the single-relevant setup is likely to pro- vide accurate rankings. Then, we mimic partially- annotated setups, gradually adding annotated rele- vant passages to queries, hence reducing the num- ber of false negatives in the data. Our findings reveal that in order to reliably evaluate retrieval systems that are reasonably close in performance, a significant portion of relevant passages must be found. This is substantial because it implies that when evaluating using partially-annotated datasets, some system might *seem* better-performing than another, while in fact, the opposite is true. To summarize, our contributions are as follows: 113

• D-MERIT: A publicly available passage re- **114** trieval evaluation set, aspiring to contain all **115** relevant passages per query. **116** • A study on the consequences of leaving too **117** many false negatives in evaluation sets. **118** • Recommendations for a balance between **119** resource-efficiency and reliable evaluation **120** when annotating retrieval datasets. **121** 2 D-MERIT **¹²²** 2.1 Desiderata **123** To observe the impact of having false negatives in **124** an evaluation set, we need to have a dataset where **125** the false negatives are marked as such. This calls **126** for a completely-annotated dataset, that will allow **127** us to reliably evaluate systems' performance, as **128** well as examine the effects of partial-annotation. **129** To accentuate the gap between partial and full an- **130** notation, queries in the dataset should be mapped **131**

to many relevant passages. We are set to try to **132** identify *all* relevant passages for each query, but **133** annotating all passages for each query is unreal- **134** istic. Therefore, we desire a framework that of- **135** fers inherent mappings between queries and high **136** quality candidate passages. To push our method **137** towards exhaustiveness, our automatic approach to **138** candidate collection needs to lean towards recall, **139** followed by an automatic filtering stage. **140**

2.2 Task Definition **141**

Evidence Retrieval. We choose evidence re- **142** trieval as our task as it naturally complements our **143** need to collect queries with numerous relevant pas- **144** sages. In this task, passages are considered relevant **145** if they contain text that can be seen as evidence that **146** some answer satisfies the query. Previous work con- **147** sidering this task did not collect more than a single 148 evidence [\(Malaviya et al.,](#page-10-10) [2023;](#page-10-10) [Amouyal et al.,](#page-9-9) **149** [2023\)](#page-9-9) or did not aspire to be completely-annotated **150** [\(Zhong et al.,](#page-10-11) [2022\)](#page-10-11). Instead, they map queries to **151** answers, and collect evidence for each answer from **152** a single document. Our goal is to map a query to **153** *all* evidence in the corpus, without the limitation **154** of a single document. **155**

Our setup. In our setup, that can be seen as an ex- **156** [t](#page-10-10)ension of the single-evidence setup in [\(Malaviya](#page-10-10) **157** [et al.,](#page-10-10) [2023\)](#page-10-10) to an all-evidence one, a query de- **158** scribes a group of entities and relevant passages are **159** evidence that an entity is a member of the group. The task is then, given a query representing some group, to retrieve all texts stating that some entity is a part of this group. For instance, Fig. [1](#page-0-1) shows evidence for the query "names of first World War camoufleurs". The first passage confirms "Fredrick Judd Waugh" is an entity that belongs to the group of World War 1 camoufleurs. More concretely, each query lists constraints, and an evidence would **169 1** ple above, a query describes the group of all World War 1 camoufleurs, an evidence would then need to indicate an entity (1) took part in World War 1; (2) was a camoufleur. For example, the second pas- sage in Fig. [1](#page-0-1) states "Abbot Thayer" advocated for coloration and countershading camouflage during World War 1, which satisfies these requirements.

177 2.3 Dataset Curation

We adopt the Wikipedia framework ^{[3](#page-2-1)}, which al- lows us to take advantage of the Wikidata struc-**ture** (Vrandečić and Krötzsch, [2014\)](#page-10-12) to extract groups and their corresponding members. We use the Wikipedia link network to obtain mappings be- tween an article and all other articles referencing it. Our curation process involves three stages: (1) collecting queries and *candidates* – all passages with high likelihood of containing evidence (Sec- tion [2.3.2\)](#page-2-2); (2) automatic annotation of candidate passages (Section [2.3.3\)](#page-2-3); (3) generating natural lan-guage queries (Section [2.5\)](#page-4-0).

190 2.3.1 Corpus

 Our corpus is limited to the introduction section of Wikipedia articles. Without limiting our collec- tion process to a specific section, the number of annotations per article would have multiplied by ~5, which would have made the annotation process significantly more expensive. We opted to focus on the introduction section, because it is a section that is consistent across most articles, and it is intuitive that many evidence lie there. In total, our corpus is comprised of 6, 477, 139 passages.

201 2.3.2 Query and Candidate Collection

 Extracting list members. The collection process begins by scanning articles prefixed with "list of" for tables using the Wikidata format. We extract columns with "name" in their title, as these are

> 2 The queries in our setup are somewhat reminiscent to the intersection queries in [\(Malaviya et al.,](#page-10-10) [2023\)](#page-10-10), where a query makes for a list of requirements.

most likely to describe entities. Each such column **206** is extracted separately and makes for a set of mem- **207** bers. Columns containing empty values or values **208** without a dedicated Wiki article are discarded. 209

Collecting candidates We employ the "What **210** Links Here" feature from Wikidata. This tool pro- **211** vides a list of all articles that reference a specific **212** article (and its aliases). The reference count of an **213** article can vary significantly, even for members of **214** the same list. For example, "Shogi" has over 600 **215** references, while "Machi Koro" only has 9. Both **216** appear in the group "Japanese board games". To **217** manage this disparity and keep the candidate count **218** feasible, we discard columns containing an article **219** with more than $10K$ references. **220**

2.3.3 Evidence Identification **221**

To complete the dataset construction, we need to **222** sift through the collected candidates. Human evalu- **223** ation would have been the most reliable route, how- **224** ever, it does not scale. We thus turn to the current **225** state-of-the-art large language model for automatic **226** filtering, and show it nears human judgement. **227**

Automatic identification. We use $GPT-4^4$ $GPT-4^4$ $GPT-4^4$ to fil-
228 ter ∼ 250K passages across ∼ 2.5K queries. Each **229** prompt consists of a passage paired with a query **230** embedded in our definition of relevance, asking **231** the model to judge for relevance. To ensure each **232** query is meaningful in number of evidence, queries **233** with less than five evidence were discarded. For 234 technical details, see Appendix [C.](#page-12-0) **235**

2.4 Evaluation of Construction Process **236**

In order for D-MERIT to contain a significant por- **237** tion of the positives for each query, some assump- **238** tions need to hold. First, Wikipedia list pages need **239** to be exhaustive.[5](#page-2-5) This is a common assumption **²⁴⁰** [a](#page-10-10)lso taken by [\(Amouyal et al.,](#page-9-9) [2023\)](#page-9-9) and [\(Malaviya](#page-10-10) **241** [et al.,](#page-10-10) [2023\)](#page-10-10). Our dataset construction method **242** also relies on the accuracy of Wikipedia's linking **243** network. This is a limitation of the method (and **244** is therefore mentioned in the limitations section). **245** Herein, we want to show these assumptions do not **246** meaningfully degrade the quality of the dataset. To **247** this end, we approximate D-MERIT's complete- **248** ness and soundness by evaluating the candidate **249**

³The Wikidump is from July 1st, 2023.

⁴We used GPT-4-1106-preview. Future references to GPT-4 refer to this version.

 5 Note that we only need the list to be exhaustive with respect to the corpus, i.e. if some set member is not in the list but is also not mentioned in Wikipedia introductions, it will not hinder the exhaustiveness of our collection method.

| Query | Member | Candidate | Evidence |
|---------------------|------------------|---------------|--|
| names of Indian | Sairat | Jeur | Jeur is a village in the Karmala taluka of Solapur district in |
| Marathi romance | | | Maharashtra state, India. Sairat, the controversial and |
| films | | | highest-grossing Marathi film of all time based on the theme of |
| | | | forbidden love was set and shot in Jeur village. |
| names of National | Ohio River | Mill Creek | Mill Creek Island is a bar island on the Ohio River in Tyler |
| Wildlife Refuges in | Islands | Island | County, West Virginia. The island lies upstream from Grandview |
| West Virginia | National | | Island and the towns of New Matamoras, Ohio and Friendly, West |
| | Wildlife | | Virginia. It takes its name from Mill Creek, which empties into |
| | Refuge | | the Ohio River from the Ohio side in its vicinity. Mill Creek |
| | | | Island is protected as part of the Ohio River Islands National |
| | | | Wildlife Refuge. |
| Names of players | Dave | Dave | Dave Tretowicz (born March 15, 1969) is an American former |
| on 1992 US | Tretowicz | Tretowicz | professional ice hockey player. In 1988, he was drafted in the |
| Olympic ice | | | NHL by the Calgary Flames. He competed in the men's |
| hockey team | | | tournament at the 1992 Winter Olympics. |

Table 1: Examples of records in our dataset. **Query** is the generated natural-language query describing a group. Member is an entity that belongs to the group described by the query. Candidate is the Wikipedia article from which the evidence is taken from. **Evidence** is a passage indicating the member's association with the group.

 collection process – if we have missed a meaning- ful number of evidence during candidate collection. To complete the evaluation of D-MERIT's qual- ity, we also evaluate our automatic identification model, GPT-4, to confirm it reliably identifies the vast majority of evidence without adding much false positives.

 Evaluation tasks. We turn to Amazon Mechani- cal Turk (AMT) for sourcing human raters. For the candidate collection evaluation, a human rater is provided with a passage and a prompt containing the query, and is requested to mark whether the passage is evidence or not. In the task designed to gauge the quality of the automatic identification, in addition to the passage and prompt, the annota- tion of GPT-4 is also provided. The rater is then requested to judge the correctness of the annota-[6](#page-3-0)7 **compared tion.** Since judging relevance can be subtle⁶, we make a decision to judge the correctness of annota- tions, instead of to annotate and compare results to GPT-4. This encourages the rater to consider the an- notation's perspective and allows tolerance toward borderline cases. The selection and conditioning process of human raters is detailed in Appendix [C.](#page-12-1)

 Exhaustiveness of candidate collection. To en- sure our collection process is nearly exhaustive, we need another evidence collection process, indepen- dent of ours. We thus adopt the popular TREC ap-proach [\(Craswell et al.,](#page-9-7) [2020\)](#page-9-7), where a number of

systems retrieve the top-k passages given a query, 279 and are then unified to a single set of passages to **280** be judged for relevancy. We use 12 different sys- **281** tems, described in Section [3.1.](#page-4-1) As for the pool **282** depth, we select $k = 20$ to match our experimental 283 study. Several works researched the relation be- **284** tween pool depth and the completeness of TREC **285** evaluations [\(Buckley et al.,](#page-9-10) [2007;](#page-9-10) [Keenan et al.,](#page-9-11) **286** [2001;](#page-9-11) [Lu et al.,](#page-10-13) [2016\)](#page-10-13) raising concerns regarding **287** reliability of the shallow pool depth commonly **288** used (the typical TREC setup uses $a k = 10$ depth), 289 hence we also extrapolate the results of this evalua- **290** tion to a $k = 100$ pool depth. 291

We select 23 random queries from D-MERIT, **292** and use the TREC approach to retrieve 2, 329 293 unique passages. Since we are looking for rele- **294** vant passages that we missed, we discard unique **295** passages that were already annotated by our pro- **296** cess (311 such cases, all relevant) and are left with **297** 2, 018 passages. We ask human raters to mark the **298** remaining passages for relevance and find *only* 35 **299** new evidence. In total, the TREC process finds **300** 346 relevant passages, 311 of which were found **301** by our process too. To put this in context, for the **302** same 23 queries, our process finds 990 relevant **303** passages. We note that while our method retrieves **304** many more evidence, it is tailor-made to the Wiki- **305** data format, while the method from TREC can be **306** applied to any corpus. To further attest to the ex- **307** haustiveness of our approach, we extrapolate the **308** analysis to $k = 100$, and estimate the number of 309 identified evidence to increase to 638, with only **310** 60 new evidence. A more profound discussion of **311** TREC's coverage, including details on the extrapo- **312**

 6 Consider row 2 in Table [1,](#page-3-1) where the passage does not explicitly say that "Ohio River Islands National Wildlife Refuge" is in "West Virginia". Instead, it says that "Mill Creek Island", which is in "West Virginia", is part of the "Ohio River Islands National Wildlife Refuge".

313 lation process, can be viewed in Appendix [E.](#page-14-0)

 To summarize, the TREC process, with a pool 315 depth of $k = 20$, finds 346 positives and requires 2, 329 annotations (∼ 14.9% positives in the pool). Our method finds 990 positives, requiring 3, 206 annotations (∼ 30% positives in the pool). The TREC process adds only ∼ 3.5% new positives to our method. When TREC is extrapolated to a pool depth of $k = 100$, D-MERIT still has a high (estimated) coverage of 94.5% of identified evidence.

324 Comparing automatic to manual identification.

 To verify GPT-4 is comparable to manual identifica- tion, we collect a random sample of 1, 300 (query, passage) pairs, consisting of 650 evidence. Out of all the samples, the rater agrees with GPT-4 84.7% 329 of the time.^{[7](#page-4-2)} Specifically, they disagreed with the model on 141 cases of "relevant" and only 57 cases of "not relevant".

332 2.5 Natural-language Query Generation

 We generate natural sounding queries by provid- ing GPT-4 the "list of" page title and instructing the model to phrase a natural-language query. For details and examples see Appendix [C.](#page-13-0)

337 2.6 D-MERIT Overview

 The final dataset comprises 1, 196 queries, encom- passing 60, 333 evidence in total. There are 50.44 evidence per query on average, and a median of 22, ranging from a minimum of 5 to a maximum of 682 evidence. On average, each group member contributes about 2 evidence to a query, with 61.8% of the evidence coming from articles other than the members' own articles. The average number of members per query stands at 23.71. We note that it is possible for some members to not contribute any evidence to a query, for example, when the evidence is not in the introduction. In Table [2](#page-4-3) we show the members and evidence distributions, and the relation between the number of members and number of evidence mapped to a query.

 As accustomed with new datasets, we bench- mark D-MERIT on the evidence retrieval task, where all evidence should be retrieved for a given query. Results are reported and discussed in Ap-pendix [A.](#page-12-2)

Table 2: Dataset distribution (average number of evidence, number of queries) divided to buckets by number of set members.

3 Experimental Study **³⁵⁸**

With our evaluation set ready, we can address the 359 questions we put forth in the beginning. We ex- **360** periment to examine the widespread practice of **361** considering only a single evidence per query, and **362** explore whether rankings stabilize as false nega- **363** tives decrease when adding more labeled evidence. **364**

3.1 Setup 365

Systems. To ensure our analysis is unbiased **366** towards a specific retrieval paradigm, we uti- **367** [l](#page-10-14)ize the Pyserini information retrieval toolkit [\(Lin](#page-10-14) **368** [et al.,](#page-10-14) [2021a\)](#page-10-14) to experiment across twelve di- **369** verse, out-of-the-box systems: five sparse, four **370** dense, and three hybrid systems. (1) In the sparse **371** category; BM25 [\(Robertson and Walker,](#page-10-15) [1994\)](#page-10-15), **372** [Q](#page-10-17)LD [\(Zhai and Lafferty,](#page-10-16) [2001\)](#page-10-16), UniCoil [\(Lin and](#page-10-17) **373** [Ma,](#page-10-17) [2021\)](#page-10-17), SPLADEv2 [\(Formal et al.,](#page-9-12) [2021\)](#page-9-12) and **374** SPLADE++ [\(Formal et al.,](#page-9-13) [2022\)](#page-9-13). (2) For the dense **375** methods; DPR [\(Karpukhin et al.,](#page-9-2) [2020\)](#page-9-2), coCon- **376** denser [\(Gao and Callan,](#page-9-14) [2022\)](#page-9-14), RetroMAE-distill **377** [\(Xiao et al.,](#page-10-18) [2022\)](#page-10-18), and TCT-Colbert-V2 [\(Lin et al.,](#page-10-19) **378** [2021b\)](#page-10-19). (3) In the hybrid category; TCT-Colbert- **379** V2-Hybrid [\(Lin et al.,](#page-10-19) [2021b\)](#page-10-19), coCondenser- **380** Hybrid, and RetroMAE-Hybrid. Further details **381** regarding the systems can be found in Appendix [B.](#page-12-3) **382**

Evaluation metrics. Needing a metric to quan- **383** tify the ability of systems to retrieve multiple evi- **384** dence, we opt to use *recall@*k as this is a simple, **385** common metric for this task. For brevity, we re- **386** port *recall@20* in the main paper, and show results **387** on *recall@5*, *recall@50*, and *recall@100* in Ap- **388** pendix [F.](#page-17-0) We note that other *k* values show similar **389** trends to $k=20$, and conclusions drawn in this pa- 390 per generalize to other *k* values reported as well. **391** Other suitable metrics (NDCG, MAP, R-precision) **392** are discussed and reported in Appendix [A.](#page-12-2) After **393** evaluating the performance of each system, we **394** are interested in comparing the recall-based rank- **395** ing of systems to quantify the gap between the **396**

 7 To further validate this number, we check agreement between two expert annotators. On 400 examples, a 94% agreement is reached. This indicates that the task is less subjective than general relevance tasks which tend to have a lower agreement, explaining the relatively high human-GPT agreement.

 partially- and fully-annotated settings. We utilize Kendall-τ [\(Kendall,](#page-9-15) [1938\)](#page-9-15), which can intuitively be understood as a measure of similarity between two ranking orders. This metric evaluates the number of pairwise agreements (concordant pairs) versus disagreements (discordant pairs) in the ranking or- der of systems between the two settings. A high Kendall-τ score (close to 1) indicates a strong cor- relation, signifying that the rankings in the partially- and fully-annotated settings are similar, whereas a low score (close to −1) suggests major differences. Specifically, if we have n systems, and C is the number of concordant pairs while D is the number of discordant pairs, then Kendall-τ is given by the formula $\tau = \frac{C-D}{\binom{n}{2}}$ $\frac{C-D}{\binom{n}{2}}$, where $\binom{n}{2}$ **412** of possible pairs. In addition to the vanilla Kendall-411 formula $\tau = \frac{C-D}{\binom{n}{2}}$, where $\binom{n}{2}$ is the total number τ , we also report the probability of observing a discordant pair, denoted as the *Error-rate*, as it is a more intuitive metric. Formally it is defined as:

$$
Error-rate = 100 \cdot \frac{D}{\binom{n}{2}} = 100 \cdot \frac{1-\tau}{2}.
$$

416 3.2 Is the single-relevant setup reliable?

 To assess the single-relevant setup, we start by ran- domly sampling an evidence for each query. We evaluate each system on the formed single-relevant evaluation set and compare the resulting system ranking to the ground-truth ranking formed us- ing the fully-annotated dataset. To mitigate the randomness, we run this experiment 1, 000 times, and find that the mean (\pm std) Kendall- τ value 425 is $0.936 \ (\pm 0.038)$, translating to an error-rate of 3.2%. These numbers suggest that sampling a ran- dom evidence for each query leads to reliable re- sults. Unfortunately, in order to properly randomly sample an evidence, one would need to annotate a non-feasible amount of passages in most datasets.^{[8](#page-5-0)}

 In practice, some method is used to select the passages sent for annotation. This method is usu-**ally biased^{[9](#page-5-1)}**. To determine whether selecting an evidence in a biased manner is problematic or not,

430

| Selection | τ -similarity | Error-rate $(\%)$ | | |
|------------------|--------------------|--------------------|--|--|
| Random | 0.936 | 3.20 | | |
| Most popular | 0.696 | 15.10 | | |
| Longest | 0.545 | 22.75 | | |
| Shortest | 0.696 | 15.10 | | |
| System-based | 0.616 | 19.20 | | |

Table 3: Kendall- τ similarities and Error-rate for the different biases in a single-annotation setup.

Figure 2: Selection techniques for a single-relevant setting. The x-axis denotes systems used to select passages for annotation. Each tick represents the performance of systems on the same dataset with different annotations. An intersection demonstrates a swap in rankings.

we explore 3 biases: *most popular* selects the most **435** popular[10](#page-5-2) evidence for each query. We also con- **⁴³⁶** sider a length-selection approach, which considers **437** the number of words in a given passage, by select- **438** ing the *longest* and *shortest* evidence available for **439** each query. Results are presented in Table [3.](#page-5-3) It 440 can be seen that as opposed to random selection, in **441** the more likely scenario of a biased selection the **442** error-rate is much higher, suggesting that the single- **443** relevant setting is unreliable. A popular technique **444** for sampling passages for annotation is using an ex- **445** isting retrieval system, and annotating passages in **446** the order they are retrieved until a relevant passage **447** is found. We simulate this by considering each of **448** our 12 considered retrievers as the base system. We **449** then evaluate all of the systems on the 12 formed **450** evaluation sets. Results are plotted in Fig. [2.](#page-5-4) The **451** graph shows that the selection technique, used to **452** pick which passages are annotated, has a major **453** effect on the systems' measured performance *and* **454**

⁸ For example, in the 2020 TREC challenge [\(Craswell et al.,](#page-9-16) [2021\)](#page-9-16), operating on the MS-MARCO [\(Bajaj et al.,](#page-9-5) [2018\)](#page-9-5) dataset, 11, 386 relevant passages were found for 54 queries, an average of 210 per query. In Appendix [E](#page-14-0) we estimate these are only $\sim 50\%$ of the actual relevant passages leading to roughly 500 per query. Given the corpus size, $\sim 8M$ passages, one would need $\sim 16K$ annotations on average to find a single relevant passage randomly for a *single* query.

⁹For example, it has been shown that models tend to suffer from popularity bias [\(Gupta and MacAvaney,](#page-9-8) [2022\)](#page-9-8) and that sparse methods tend to prefer longer texts over shorter ones while a human annotator is likely to prefer shorter texts.

 10 We define popularity as the number of times an article is referenced, which can be derived using the "What Links Here" feature from Section [2.3.2.](#page-2-2)

 on the ranking of the different systems. For exam- ple, when choosing evidence using BM-25, QLD is ranked as the best system (excluding BM-25 itself), while when choosing evidence using either coCon- denser, coCondenser-Hybrid, DPR or TCT-Colbert, QLD is the worst performing system. For other sys- tems selecting evidence, it is ranked somewhere in between. When comparing the 12 rankings formed using these evaluation sets to the ranking formed by the completely annotated dataset, the average Kendall-τ score computed is 0.616, translating to **an average error-rate of** 19.2% 11 11 11 Table [3](#page-5-3) indicates that system-based selection is indeed closer to bi- ased selection than it is to random selection. In summary, the experiments presented in this section show that while random selection of evidence can lead to reliable results in the single-relevant sce- nario, the more realistic case (where the annotated evidence is not randomly selected) is prone to gen-erating misleading results and ranking of systems.

475 3.3 Is the single-relevant scenario enough **476** when systems are significantly separated?

 After establishing that there are cases where the single-relevant scenario is not reliable, we ask in what cases it can be sufficient. To explore this, we first define buckets of pairs of systems as fol- lows. A pair of systems (A, B) is in a $[p_{min}, p_{max})$ bucket if A is better performing than B, and the statistical significance computation for the differ- ence between these two systems leads to a p-value 485 of at least p_{min} and at most p_{max} , using a rel- ative t-test, as computed on the fully annotated evaluation set. We then repeat the final experi- ment described in Section [3.2,](#page-5-5) but when calculat- ing Kendall-τ and it's error-rate we only consider pairs of systems that fall in some bucket. We de-note this measure as partial-Kendall-τ.^{[12](#page-6-1)} We con- sider 3 buckets: [0, 0.01) represents systems with very low p-values, meaning they are very far apart in performance, hence should be easier to order correctly. [0.01, 0.05) represents systems with a significant, yet not extreme difference. The final

bucket, [0.05, 1), contains pairs of systems that do **497** not differentiate in a statistically significant way. **498** Results are shown in Table [4.](#page-6-2) We observe that, **499** as expected, the error-rate drops when a bucket **500** represents a smaller p-value, indicating higher sig- **501** nificance that the systems are ordered correctly. **502**

| p_{min} | p_{max} | | partial- τ Error-rate $(\%)$ |
|-----------|-----------|-------|-----------------------------------|
| 0.0 | 0.01 | 0.658 | 17.1 |
| 0.01 | 0.05 | 0.333 | 33.3 |
| 0.05 | 1.0 | 00 | 50.0 |

Table 4: Partial-Kendall- τ similarity (defined in Sec-tion [3.3,](#page-6-3) denoted partial- τ) and Error-rate computed on pairs of systems that belong to the $[p_{min}, p_{max})$ bucket.

3.4 Do rankings stabilize as false negatives **503** decrease? **504**

Taking the evidence chosen using the different sys- **505** tems as discussed in Section [3.2,](#page-5-5) we gradually add **506** a fraction of annotated evidence for all queries in **507** the evaluation set. We then evaluate the systems on **508** each partially annotated dataset by comparing the **509** ranking achieved to the fully annotated evaluation **510** set. We divide pairs of systems into buckets based **511** on their p-values, as described in Section [3.3,](#page-6-3) and **512** for each percentile we average results across the **513** different system pairs falling within each bucket. **514** Results are presented in Fig. [3.](#page-7-0) Depending on the **515** significance of the difference between systems, re- 516 sults show a different portion of evidence needs to **517** be annotated in order to achieve the correct order. **518** For example, if we are aiming at $a \sim 0.8$ Kendall- τ 519 score, representing a $\sim 10\%$ error-rate, for very 520 significant pairs of systems acquiring ∼ 20% of **521** the positives should suffice, while for systems with **522** a non-significant difference between them, almost **523** all positives are needed. **524**

4 Related Work **⁵²⁵**

Our work builds on previous efforts in benchmark **526** creations in multi-answer and multi-evidence set- **527** tings and the complete annotation setting. Below, **528** we detail how our work relates to both. **529**

[M](#page-9-9)ulti-answer retrieval. QAMParI [\(Amouyal](#page-9-9) **530** [et al.,](#page-9-9) [2023\)](#page-9-9) introduce a benchmark of ques- **531** tions with multiple answers extracted from lists **532** in Wikipedia, and Quest [\(Malaviya et al.,](#page-10-10) [2023\)](#page-10-10) is **533** a dataset with queries containing implicit set oper- **534** ations based on Wikipedia category names. Both **535** limit evidence collection to the Wikipedia article **536**

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¹¹We eliminate the system used to select the evidence from the computation, as it generates artificial swaps. For example when computing the Kendall- τ for the ranking formed by choosing the first evidence as ranked by BM-25, Kendall- τ is computed on the ranking of all except BM-25.

¹²We opt to use Kendall- τ due to its simplicity, yet it does not accurately capture all the intricacies of ranking system performance. More details on this and an involved metric, taking into account the significance of differences between systems, is presented in Appendix [D.](#page-13-1) Results using this metric validate our choice of Kendall- τ .

Figure 3: Partial-Kendall- τ between rankings of systems with k percent annotations and ranking with all evidence, using *recall@20*. System pairs are divided into 3 buckets as described in Section [3.3.](#page-6-3)

 of the answer. In contrast, our goal is to identify all relevant evidence for each answer, including other Wikipedia articles. RomQA [\(Zhong et al.,](#page-10-11) [2022\)](#page-10-11) curates a large multi-evidence and multi-answer benchmark derived from the Wikidata knowledge graph with the goal of challenging the retriever and QA model. Although RomQA provides a large number of evidence, they do not aim for complete annotation nor to understand the negative effect of evaluation with partial annotations. Our paths diverge in that they seek to evaluate QA models and we aim to understand the effects of partial an- notations on retriever evaluation, and to collect *all* evidence for each answer.

 Exhaustive annotation. TREC Deep Learning [\(Craswell et al.,](#page-9-7) [2020,](#page-9-7) [2021,](#page-9-16) [2022,](#page-9-17) [2023,](#page-9-18) [2024\)](#page-9-19) is a yearly effort to completely-annotate queries for passage retrieval from the MS-Marco bench- mark [\(Bajaj et al.,](#page-9-5) [2018\)](#page-9-5). Since annotating the en- tirety of MS-MARCO is unrealistic (~1M queries and ~8.8M passages), they conduct a competition where participants submit the results of their re- trievers. Then, the results are pooled and their relevancy is evaluated. However, manual evalua- tion is a non-scalable approach, and over a span of five years (2019–2023) only 312 queries were annotated. In addition, exhaustiveness is unlikely as previously observed in [\(Zobel,](#page-11-2) [1998\)](#page-11-2) and fur- [t](#page-9-20)her corroborated in Appendix [E.](#page-14-0) NERetrieve [\(Katz](#page-9-20) [et al.,](#page-9-20) [2023\)](#page-9-20) shares our aspiration for a completely- annotated dataset. It proposes a retrieval-based NER task that creates a Wikipedia-based dataset where entity types function as queries and relevant

passages contain a span that mentions instances **570** of the entities (e.g., "Dinosaurs" is an entity type **571** and "Velociraptor" is an instance of it). With some **572** similarity to our process, they collect candidates 573 by relaxed matching of mentions of entities in doc- **574** uments that reference them (on DBPedia's link- **575** graph [\(Lehmann et al.,](#page-10-20) [2015\)](#page-10-20)), and then use a clas- **576** sifier to filter out cases that do not match their query. **577** However, our work annotates evidence and not sim- **578** ply mentions of entities in a passage. Moreover, **579** in addition to creating an exhaustively annotated **580** dataset, we study the effects of partial annotation. **581**

5 Conclusions **⁵⁸²**

In this work we question whether the lack of rigor- **583** ous annotation in modern retrieval datasets results **584** in false conclusions. To answer this, we create D- **585** MERIT, a dataset aspiring to collect *all* relevant **586** passages in the corpus for each query. We use **587** it to explore the impact of evaluating systems on **588** datasets riddled with false negatives; We demon- **589** strate that evaluation based on queries with a single **590** annotated relevant passage is highly dependent on **591** the passages selected for annotation, unless one sys- **592** tem is significantly superior to all others. We also **593** show that the number of annotations required to **594** stabilize the rankings is a factor of the difference in **595** performance between systems. We conclude that **596** there is a clear efficiency-reliability curve when **597** it comes to the amount of annotations invested **598** in a retrieval evaluation set, and that when pick- **599** ing the correct spot on this curve considerations **600** should include the estimated difference between 601 the systems in question and the method used to **602** choose the passages sent to annotation. We show **603** that the commonly used TREC-style evaluation **604** method fails to find a significant portion of the rel- **605** evant passages in D-MERIT, suggesting that using **606** this annotation approach on D-MERIT would lead **607** to a non-negligible error rate. If it's possible, our **608** recommendation for other datasets would be to es- **609** timate the coverage of the TREC method before **610** using it for evaluation. Otherwise, its results should **611** be taken with a grain-of-salt. Finally, our dataset **612** opens a new avenue for research, both as a test-bed **613** for evaluation studies, as well as evaluation in a **614** high-recall setting. 615

Limitations

 Exhaustiveness. Our evidence identification pro- cess is automated by GPT-4, the current state-of- the-art for text analysis. Despite achieving high agreement with human annotators, it is not perfect. Furthermore, even with a flawless model, comput- ing the relevance of *all* passages in Wikipedia for each member in each query would have resulted in millions of inferences, which would have made the creation of this dataset unfathomably expen- sive. We thus make the (sensible) assumption that a passage with evidence must contain a link to the article of the entity. It is possible some evidence were never collected, as analyzed in Section [2.4.](#page-2-6)

 Generalization of conclusions. We (and many before us) believe that in order to properly evaluate retrieval systems, the community should *strive* to collect all (or most) relevant passages. We believe this is true for many different datasets and scenarios. Having said that, showing this explicitly requires to completely annotate datasets, which is hard and expensive. Therefore, while we do believe that most of our conclusions can generalize to many other datasets, technically we could show them only on the dataset we used.

 Data evaluation compatibility. Our dataset is made of set-queries with multiple members (trans- lating to multiple answers in the QA setting). In such cases, systems are usually evaluated using datasets containing a single relevant *per answer*. In Section [3.2](#page-5-5) we evaluate and draw conclusions using a single positive *per query*. We do so in order to draw conclusions regarding cases where single positives per query are used, but in practice these datasets usually contain *single-answer* queries (e.g. MS-MARCO). While we do believe our conclu- sions generalize to this case, it would have been more accurate to use such a single-answer-per- query dataset. Unfortunately, collecting such a fully annotated dataset is not trivial.

Ethics Statement

 Automatic annotation. Since our annotation is automatic, it is model-dependent. This means it is vulnerable to the model's biases. As a result, it may fail to attribute evidence to a query if a can- didate is under-represented in the model's training data. This might cause D-MERIT to miss out on evidence that belongs to some under-represented group.

Rater details. To collect annotations on our **665** dataset, we used Amazon Mechanical Turk (AMT). **666** All raters had the following qualifications: (1) over 667 5,000 completed HITs; (2) 99% approval rate or **668** higher; (3) Native English speakers from England, 669 New Zealand, Canada, Australia, or United States. **670** Raters were paid \$0.07 per HIT, and on average, **671** \$20 an hour. In addition, raters that performed the **672** task well were given bonuses that reached double **673** pay. **674**

Annotation collection and usage policy. Raters **675** were notified that their annotations are intended **676** for research use in the field of Natural Language **677** Processing and Information Retrieval, and will ulti- **678** mately be shared publicly. The task and collected **679** annotations were objective and excluded personal **680** information. Moreover, all data sources for the **681** study were publicly accessible. **682**

Computing resources. We used only modest **683** computing resources. For both, the dataset cre- **684** ation and the experimentation, we used a single **685** Amazon-EC2-g5.4xlarge instance for 200 hours, 686 which costs \$1.6 per hour. For the annotation of 687 the passages, and creation of the natural-language **688** queries, we utilized GPT-4-1106-preview, which **689** at the time of writing, is priced at \$0.01 for 1K **690** input tokens, and \$0.03 for 1K output tokens. In **691** total, we paid ~\$3,000 for our use of the model. **692**

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926 ence (TREC-2). ence (TREC-2).

927 A Benchmarking D-MERIT

 While tangential to this paper, the D-MERIT dataset allows us to benchmark the ability of exist- ing retrieval models to perform on the full-recall retrieval setup, as it's coverage is very high as re- ported in Section [2.4.](#page-2-6) This section describes this benchmark process.

 Benchmark metrics. We select Recall, Normal- ized Discounted Cumulative Gain (NDCG) and Mean Average Precision (MAP). In addition, given that we possess complete evidence for every query, we can calculate R-precision– a form of recall where k varies for each query, determined by the specific total evidence count to that query. For instance, if a query corresponds to 40 pieces of evidence, then k is set at 40. Achieving a perfect score means that the top 40 results are all evidence associated with the query.

Results. Performance of all systems is shown in Table [5,](#page-13-2) with SPLADE++ and SPLADEv2 per- forming best across all metrics. The scores sug- gest there is substantial room for improvement on our evidence retrieval task. For example, the *re- call@100* score indicates no system successfully retrieves even half of the evidence on average.

⁹⁵² B Further Details: Experimental Study

 To allow reproduction of our results, we detail the hyper-parameters used in our work. We utilize the Pyserini information retrieval toolkit [\(Lin et al.,](#page-10-14) [2021a\)](#page-10-14) with the following settings for each system: BM25 is employed using the standard Lucene index for indexing and retriev- ing results. Similarly, QLD is used but with the QLD reweighing option to refine the pro- cess. UniCoil embeddings are generated with the *castorini/unicoil-noexp-msmarco-passage* encoder, and retrieval is conducted using Lucene search with the 'impact' option to incorporate unicoil weights. **SPLADEv2** and **SPLADE++** follow a similar ap- proach, where passages and queries are embedded using their respective official code repositories, and retrieval is performed using Lucene with the 'im- pact' option. DPR involves embedding passages and queries with the *facebook/dpr-ctx_encoder- multiset-base* and *facebook/dpr-question_encoder- multiset-base* encoders, respectively, with retrieval via FAISS [\(Douze et al.,](#page-9-21) [2024\)](#page-9-21). RetroMAE- distill adopts a similar strategy, utilizing the *Shitao/RetroMAE_MSMARCO_distill* encoder for

both queries and passages. TCT-Colbert- **976** V2 also mirrors this approach but uses the **977** *castorini/tct_colbert-v2-msmarco* encoder. co- **978** Condenser involves training document and **979** query encoders on the Natural Questions dataset **980** [\(Kwiatkowski et al.,](#page-10-7) [2019\)](#page-10-7) using the CoCondenser **981** official code repository. Hybrid models such as **982** TCT-Colbert-V2-Hybrid, coCondenser-Hybrid, **983** and RetroMAE-Hybrid combine the strengths **984** of BM25 with TCT-Colbert-V2, coCondenser, **985** and RetroMAE-distill respectively, using a fusion **986** score with $\alpha = 0.1$.

C Further Details: D-MERIT Creation **⁹⁸⁸**

License. D-MERIT builds on data from **989** Wikipedia, which carries a Creative Commons **990** Attribution-ShareAlike 4.0 International License. **991** This license requires that any derivative works also **992** carry the same license. **993**

Conditioning human raters. Before the evalu- **994** ation process begins, we need to assure the raters **995** we use understand the task and can perform it ad- **996** equately. We thus begin a conditioning process. **997** First, we run a qualification exam, and the raters **998** that get all the questions right, are invited to an **999** iterative training process. The process includes **1000** small batches, of up to 100 (passage, prompt) pairs, 1001 where the rater submits their response and we pro- **1002** vide personal feedback. Moreover, all tasks in- **1003** cluded an option to mark the example as difficult **1004** or provide textual feedback about it, to encourage **1005** communication from the raters as they work. After **1006** each batch raters are filtered out, until we remain **1007** with a single rater with a success rate of over 95% on a single batch. The task is visualized in Fig. [10.](#page-18-0) **1009**

Automatic identification details. To automati- **1010** cally identify evidence, GPT-4 is provided with a **1011** passage and a structured query. In this context, a **1012** structured query begins with the article name, followed by its section names arranged hierarchically 1014 (separated by "»"), corresponding to the structure **1015** of the article, and ultimately culminating in the **1016** column value. For instance, a typical structured **1017** query could be "Cities and Towns in Cambodia" **1018** (article name) » "Cities" (section name) » "Name" **1019** (column name). The task for GPT-4 is to determine **1020** whether the passage provides evidence supporting 1021 the query. The evaluation involves analyzing the **1022** text to ascertain whether the passage directly or **1023** indirectly confirms the entity in question is part of **1024**

| System | Recall@k | | | NDCG@k | | | MAP@k | | | R-precision | | | |
|----------------------|----------|-------|-------|--------|-------|-------|-------|-------|------|--------------------|-------|---------|-------|
| | 5 | 20 | 50 | 100 | э. | 20 | 50 | 100 | | 20 | 50 | $100\,$ | |
| $SPLADE++$ | 9.43 | 24.11 | 36.02 | 45.16 | 38.17 | 36.54 | 38.05 | 40.56 | 7.11 | 15.0 | 19.35 | 21.72 | 28.16 |
| SPLADE _{v2} | 7.82 | 21.21 | 33.29 | 43.34 | 32.09 | 31.43 | 33.78 | 37.00 | 5.74 | 12.20 | 16.03 | 18.27 | 24.82 |
| TCT-Colbert-Hybrid | 7.85 | 19.62 | 29.71 | 37.97 | 34.86 | 31.60 | 32.23 | 34.33 | 5.80 | 11.48 | 14.78 | 16.56 | 22.75 |
| bm25 | 6.65 | 17.46 | 27.54 | 35.76 | 28.93 | 27.20 | 28.62 | 31.13 | 4.76 | 9.76 | 12.83 | 14.61 | 20.86 |
| RetroMAE-Hybrid | 7.30 | 17.48 | 25.95 | 32.85 | 33.95 | 29.21 | 29.19 | 30.82 | 5.71 | 10.63 | 13.14 | 14.48 | 20.12 |
| RetroMAE | 7.03 | 16.62 | 24.78 | 31.61 | 32.71 | 27.98 | 27.94 | 29.61 | 5.47 | 10.05 | 12.38 | 13.66 | 19.29 |
| TCT-Colbert | 6.27 | 15.44 | 23.59 | 30.95 | 29.31 | 25.73 | 26.08 | 27.95 | 4.58 | 8.64 | 11.02 | 12.39 | 18.02 |
| CoCondenser-Hybrid | 5.28 | 14.81 | 24.25 | 32.88 | 22.13 | 21.87 | 23.96 | 26.89 | 3.41 | 6.82 | 9.10 | 10.63 | 16.78 |
| OLD | 5.49 | 13.96 | 23.56 | 31.96 | 24.54 | 21.71 | 23.63 | 26.55 | 3.77 | 7.07 | 9.51 | 11.13 | 16.56 |
| CoCondenser | 4.87 | 13.75 | 23.02 | 31.52 | 20.71 | 20.42 | 22.64 | 25.54 | 3.14 | 6.20 | 8.35 | 9.77 | 15.69 |
| Unicoil | 4.47 | 10.95 | 17.27 | 23.28 | 20.86 | 17.96 | 18.70 | 20.49 | 3.25 | 6.05 | 7.72 | 8.83 | 13.19 |
| DPR | 3.90 | 9.62 | 15.99 | 21.72 | 18.51 | 15.90 | 16.64 | 18.41 | 2.63 | 4.48 | 5.67 | 6.37 | 10.89 |

Table 5: Performance of a variety of baselines on D-MERIT. Recall, NDCG, and MAP are evaluated over four k values: 5, 20, 50, and 100. The k value in R-precision is the total number of evidence of a query, which changes from query to query.

 the group defined by the query. For example, in a query aimed at identifying names of Cambodian cities, the passage must either explicitly state or strongly suggest that a particular city belongs in Cambodia to be considered relevant. Our prompts follow our definition of relevance from Section [2.2:](#page-1-0) If you were writing a report on member being part of article-name, and would like to gather *all* the documents that directly confirm member is part of article-name, in the category hierarchy article-name » section-name » column-name, will you add the following document to the collection? Answer with "yes" or "no".

 Natural-language query generation prompt. To translate a structured query to its natural- language variant, we prompt GPT-4 using the template below. Examples of input and output can be viewed in Table [6.](#page-13-3)

 Please pretend you are a typical Google Search user, show me what you would write in the search bar. For example: cultural property of national significance in Switzerland:Zurich » Richterswil » Name, where » indicates a hierarchy, a typical search would be: names of cultural properties of national significance in Richterswil, Zurich, Switzerland.

1055 Here, try this one: {input}

¹⁰⁵⁷ D Concordance

1054

1056

1058 Kendall-τ [\(Kendall,](#page-9-15) [1938\)](#page-9-15) is a popular metric for **1059** evaluating rank correlation between rankings. This **1060** is done by comparing the number of concordant

| Structured Query | Natural language Query | | |
|--|--|--|--|
| List of Zhejiang University alumni » | names of Zhejiang University alumni in | | |
| Politics & government » Name | politics and government | | |
| List of Wisconsin state forests » Forest | names of Wisconsin state forests | | |
| name | | | |
| List of World War I flying aces from | names of US World War I flying aces | | |
| the United States » Served with the | who served with the Aéronautique | | |
| Aéronautique Militaire » Name | Militaire | | |
| List of LGBT classical composers » | names of 20th century LGBT classical | | |
| 20th century » Name | composers | | |
| List of Eliteserien players » Name | names of Eliteserien football players | | |
| List of National Monuments in County | names of National Monuments in | | |
| Sligo » National Monuments » | County Sligo, Ireland | | |
| Monument name | | | |

Table 6: Examples of structured queries and their corresponding natural-language form.

and dis-concordant elements between two ranks **1061** over a set of elements. More general variants of **1062** Kendall-τ [\(Kendall,](#page-9-22) [1945;](#page-9-22) [Stuart,](#page-10-21) [1953\)](#page-10-21) address **1063** cases where ties exist (i.e., in one ranking two ele- **1064** ments received an identical score). **1065**

The simplicity of Kendall-τ makes it tempting **1066** to utilize it to compare the ranking of retrieval sys- **1067** tems. However, it fails to capture some of the **1068** intricacies of this comparison due to several rea- **1069** sons. First, simply comparing system scores is **1070** insufficient, as an additional verification using a 1071 significance test is necessary. Ties can be defined 1072 (i.e., system A is tied with system B if $p > 0.05$), 1073 but the relation is not transitive (A tied with B and 1074 B tied with C does not imply that A is tied with C), 1075 as required by variants of Kendall-τ that support **1076** ties. Second, some ranking errors are more trou- **1077** blesome than others. Finding that a new system is **1078** "tied" with the baseline system when in fact it is 1079 worse might be undesirable. However, incorrectly **1080** reporting that it is better is improper. **1081**

Even though Kendall-τ suffers from the short- **1082** comings above, we hypothesize that it is still a good **1083** metric for comparing performance rankings. To val- **1084** idate this we propose a new metric, *concordance*, **1085**

Figure 4: Concordance between rankings of systems with varying percentages of evidence and ranking with all evidence, using *recall@5*, *recall@20*, *recall@50*, and *recall@100*. System pairs are divided into 3 buckets as described in Section [3.3.](#page-6-3)

 that addresses these shortcomings of Kendall-τ and its variants. This is done by considering the rela-1088 tions $A > B$ and $A < B$ for a pair of systems A and B. This way if in the ground truth A is signifi- cantly better than B and in the compared ranking A is tied with B, the two rankings will agree on the 1092 relation $A < B$ (will be false in both) and disagree **on the relation** $A > B$. In a more troublesome 1094 error, where $A < B$ in the compared ranking, the two rankings will disagree on both relations. For-1096 mally, let π_1 and π_2 be two rankings of a set of retrieval systems S. For each pair of systems s_1 , s_2 and ranking π we define

$$
\pi(s_1, s_2) = \begin{cases} 1, & s_1 \text{ is significantly better than } s_2 \\ 0, & \text{otherwise.} \end{cases}
$$

1099

1100 Then concordance is defined as the agreement be-**1101** tween the rate of agreement over all ordered pairs **1102** of systems between two rankings:

1103
$$
\operatorname{conc}(\pi_1, \pi_2) = \frac{1}{P(|S|, 2)} \sum_{s_1} \sum_{s_2 \neq s_1} \pi_1(s_1, s_2) \odot \pi_2(s_1, s_2),
$$

where $P(n, r)$ is the number of permutations of **1105** size r from a set of size n, and \odot is the XNOR 1106 operator (equals to 1 if both inputs equal). **1107**

Using concordance, we validate the results found **1108** in Section [3.3](#page-6-3) and Section [3.4](#page-6-4) using Kendall- τ . **1109** This is done by repeating the experiment and cal- **1110** culating the mean concordance of system rankings **1111** given evidence found by different systems with **1112** the ground truth ranking (in which all evidence **1113** are annotated). We run this experiment for a sin- **1114** gle annotated evidence and different percentiles of **1115** annotated evidence. 1116

In Table [7](#page-15-0) and Figure [4](#page-14-1) we see that pairs of sys- **1117** tems with a very significant difference between **1118** them (i.e., $p < 0.01$) are evaluated with higher ac- 1119 curacy than systems falling in the other two buckets. **1120** This validates the results found in Section [3.3](#page-6-3) and **1121** Section [3.4](#page-6-4) and shows that Kendall- τ is a good 1122 proxy for evaluating the rankings of IR systems. **1123**

E TREC Coverage 1124

TREC [\(Craswell et al.,](#page-9-7) [2020,](#page-9-7) [2021,](#page-9-16) [2022,](#page-9-17) [2023,](#page-9-18) **1125** [2024\)](#page-9-19), a popular retrieval competition, also tries to **1126** deal with the problem of partial annotated retrieval **1127**

| k | p_{min} | p_{max} | Concordance |
|-----|-----------|-----------|-------------|
| 5 | 0.0 | 0.01 | 0.809 |
| 5 | 0.01 | 0.05 | 0.292 |
| 5 | 0.05 | 1.0 | 0.646 |
| 20 | 0.0 | 0.01 | 0.823 |
| 20 | 0.01 | 0.05 | 0.708 |
| 20 | 0.05 | 1.0 | 0.611 |
| 50 | 0.0 | 0.01 | 0.821 |
| 50 | 0.01 | 0.05 | 0.556 |
| 50 | 0.05 | 1.0 | 0.592 |
| 100 | 0.0 | 0.01 | 0.813 |
| 100 | 0.01 | 0.05 | 0.500 |
| 100 | 0.05 | | 0.583 |

Table 7: Concordance computed only on pairs of systems that fall within the $[p_{min}, p_{max})$ bucket. k is the *recall@k* used.

 datasets. In this section we compare our approach for collecting multiple evidence for queries with their approach. This is done by applying TREC's approach to our dataset and testing its coverage. This will reveal, even though anecdotally, the abil- ity of TREC's approach to find numerous evidence. The approach in TREC does not utilize a struc- tured data source for the creation of the judgement set. Instead, they create a pool of candidates from the set of passages retrieved by a large set of sys- tems. Specifically, TREC runs a competition and publishes a query set and a corpus. Any partic- ipant team executes their system and submits a retrieved list. Then, TREC pools top-k passages from each participant and sends them for human annotation, annotating for relevancy. Before ap- plying the approach used by TREC to our dataset we first formally define this process. Let Q be the 1146 set of queries and E_q the evidence set of query $q \in Q$. In addition, let S be the set of systems and $E_{q,s}$ be the evidence set found in the top-10 pas-**sages retrieved by system** $s \in S$ **for query** $q \in Q$ **.** Then, the judgement set of query q is defined as $J_q(S) = \bigcup_{s \in S} E_{q,s}$. We denote the coverage of S **1152** on Q as:

$$
C_Q(S) = \frac{1}{|Q|} \sum_{q \in Q} \frac{|J_q(S)|}{|E_q|}.
$$

 When fixing the number of passages retrieved 1154 by each system to $k = 10$, as done in TREC, and given the 12 systems considered in this paper (see Section [3.1\)](#page-4-1), we can compute their coverage on D-MERIT which is equal to 31.7%. While this may be low, we only consider a small number of systems, as it is typical to use around 100 systems. Also, increasing k is expected to increase the coverage. Following, we use extrapolation techniques **1161** to estimate the affect of both. **1162**

E.1 Extrapolating Number of Systems **1163**

Due to time and compute constraints using 100 sys- **1164** tems, as typically done in the TREC competition, **1165** is unrealistic. This leads us to approximate the cov- **1166** erage instead. In order to approximate the coverage **1167** of a larger number of systems we first fix $k = 10$, 1168 and compute the expected coverage of a random **1169** subset of systems of size t uniformly sampled from 1170 S. That is, **1171**

$$
C_Q^*(S, t) = \mathop{\mathbb{E}}_{S' \sim U(S), |S'| = t} [C_Q(S')].
$$

Given the values of $C^*_{Q}(S, t)$ for $t = 1, ..., 12$, we **1172** fit a logarithmic curve (as coverage is both con- **1173** cave and monotonically-increasing) to these ob- **1174** servations and observe a root mean-squared-error 1175 (RMSE) of 0.16% and a maximum error of 0.31% . 1176 Finally we extrapolate to predict the coverage for **1177** $t = 13, \ldots, 100$. The results of the experiment is 1178 presented in Fig. [5.](#page-15-1) As can be seen, we predict that **1179** broadening the judgement sets by retrieving with as **1180** many as 100 systems only increases the coverage 1181 from 31.7% to 47.1%. This result further corrob- **1182** orates the finding by [\(Zobel,](#page-11-2) [1998\)](#page-11-2), which states **1183** that the pooling approach used in TREC finds, at **1184** best, 50-70% of the evidence. We conclude that **1185** our approach is able to achieve a much higher cov- **1186** erage. This is expected to improve the correctness **1187** of our evaluation. Note that our approach depends **1188** on structured data in Wikipedia. On the other hand, **1189** the approach utilized in TREC is universal as it can **1190** be applied to any corpus and query.

Figure 5: Fraction of relevant passages covered by top-10 passages for s systems.

E.2 Extrapolating Number of Retrieved **1192** Documents per System **1193**

Increasing the pool size can uncover additional **1194** positive results, but will result in a significantly **1195**

1191

 larger annotation pool size. We adopt a similar method to extrapolating the coverage by increasing the number of systems, and but focus instead on the size of the pool.

 We use the coverage evaluation dataset described in section [2.4](#page-2-6) which takes a the top-20 pool from 12 systems and uses human annotators to label the relevancy of each entry in the pool. Next, we assign each relevant entry in the pool its minimum rank from all systems and construct pools for each depth size. For example, for k=10, we take all documents that were ranked at the top-10 by at least a single system.

 Finally, we extrapolate to predict for the num- ber of newly identified evidence (Figure [6\)](#page-16-0) and the overall documents found by the pooling approach 1212 (Figure [7\)](#page-16-1) for $t = 21, \ldots, 100$. The results show 1213 that even for a pool-depth of $k = 100$, we esti- mate that only 60 new evidences will be identified. This means that the coverage of our method is esti- mated to be ∼ 94.5% out of all identified evidence. In addition, we see that the pooling approach for $k = 100$ is estimated to retrieve 638 evidence (578) **already found by our method) covering only 60.8%** with a significant increase of annotation overhead.

Figure 6: Number of newly identified evidence by pool depth k.

Figure 7: Number of identified evidence by pool depth.

F Extended Results

 In the main paper we focused on *recall@20* for brevity when reporting results. Here, we report experiments shown in Section [3](#page-4-4) measuring also *recall@5/50/100*. Conclusions pointed out in the main paper hold for all values of k.

| k | p_{min} | p_{max} | partial- τ | Error-rate $(\%)$ |
|-----|-----------|-----------|-----------------|--------------------|
| 5 | 0.0 | 0.01 | 0.654 | 17.30 |
| 5 | 0.01 | 0.05 | -0.583 | 79.15 |
| 5 | 0.05 | 1.0 | -0.125 | 56.25 |
| 20 | 0.0 | 0.01 | 0.658 | 17.10 |
| 20 | 0.01 | 0.05 | 0.333 | 33.35 |
| 20 | 0.05 | 1.0 | 0.000 | 50.00 |
| 50 | 0.0 | 0.01 | 0.658 | 17.10 |
| 50 | 0.01 | 0.05 | 0.167 | 41.65 |
| 50 | 0.05 | 1.0 | 0.200 | 40.00 |
| 100 | 0.0 | 0.01 | 0.642 | 17.90 |
| 100 | 0.01 | 0.05 | -0.083 | 54.15 |
| 100 | 0.05 | | 0.185 | 40.75 |

Table 8: partial-Kendall- τ similarity (as defined in Sec-tion [3.3,](#page-6-3) denoted here as partial- τ) and Error-rate computed only on pairs of systems that fall within the $[p_{min},$ p_{max}) bucket. k is the *recall@k* used.

| k | Selection | τ -similarity | Error-rate $(\%)$ | | |
|-----|--------------|--------------------|--------------------|--|--|
| 5 | Random | 0.815 | 9.25 | | |
| 5 | Most popular | 0.727 | 13.65 | | |
| 5 | Longest | 0.462 | 26.90 | | |
| 5 | Shortest | 0.585 | 20.75 | | |
| 5 | System-based | 0.587 | 80.65 | | |
| 20 | Random | 0.936 | 3.20 | | |
| 20 | Most popular | 0.697 | 15.15 | | |
| 20 | Longest | 0.545 | 22.75 | | |
| 20 | Shortest | 0.697 | 15.15 | | |
| 20 | System-based | 0.616 | 19.20 | | |
| 50 | Random | 0.916 | 4.20 | | |
| 50 | Most popular | 0.687 | 15.65 | | |
| 50 | Longest | 0.606 | 19.70 | | |
| 50 | Shortest | 0.576 | 21.20 | | |
| 50 | System-based | 0.596 | 20.20 | | |
| 100 | Random | 0.894 | 5.30 | | |
| 100 | Most popular | 0.818 | 9.10 | | |
| 100 | Longest | 0.697 | 15.15 | | |
| 100 | Shortest | 0.545 | 22.75 | | |
| 100 | System-based | 0.523 | 23.85 | | |

Table 9: Kendall- τ similarities and error for different biases, in a single-annotation setup. k is the *recall@k*.

Figure 8: Single-annotation per query datasets with varying selection methods. Left to right: *recall@5/50/100*.

Figure 9: Kendall-τ between rankings of systems with varying percentages of evidence and ranking with all evidence, using *recall@5/50/100*. System pairs are divided into 3 buckets as described in Section [3.3.](#page-6-3)

Figure 10: The human evaluation task detailed in Section [2.4.](#page-2-6)

Figure 11: A screenshot of the Wikipedia article corresponding to the first query in Table [6.](#page-13-3)