Preference Tuning For Toxicity Mitigation Generalizes Across Languages

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Abstract

 Detoxifying multilingual Large Language Models (LLMs) has become crucial due to their increasing global use. In this work, we explore zero-shot cross-lingual generalization of pref- erence tuning in detoxifying LLMs. In con-**trast to prior work that suggests limited cross-** lingual generalization for other safety tasks, we show that Direct Preference Optimization (DPO) training with *only English data* can sig-**nificantly reduce toxicity in multilingual open-** ended generations. For instance, the probability 012 of mGPT-1.3B in generating toxic continua-013 tions drops from 46.8% to 3.9% across 17 dif- ferent languages after training. Our results also generalize to other multilingual LLMs, such as **BLOOM, Llama3, and Aya-23. Using mecha-** nistic interpretability tools such as causal in- tervention and activation analysis, we have dis- covered the *dual multilinguality* property of MLP layers in LLMs, which explains the cross- lingual generalization of DPO. Finally, we show that bilingual sentence retrieval can be predic- tive of the cross-lingual transferability of DPO preference tuning.

025 Content Warning: This paper contains ex-026 amples of harmful language.

⁰²⁷ 1 Introduction

 While significant resources have been allocated to enhance the safety of large language models (LLMs) for deployment, safety of multilingual LLMs remains underexplored [\(Yong et al.,](#page-10-0) [2023a;](#page-10-0) [Deng et al.,](#page-8-0) [2024\)](#page-8-0). Recent work has shown that multilingual LLMs have significant toxicity levels and therefore highlights the need for *multilingual toxicity mitigation* [\(Jain et al.,](#page-9-0) [2024\)](#page-9-0). However, to reduce toxicity in open-ended generations in a non- [E](#page-9-1)nglish language X, current solutions [\(Pozzobon](#page-9-1) [et al.,](#page-9-1) [2024;](#page-9-1) [Liu et al.,](#page-9-2) [2021;](#page-9-2) [Pozzobon et al.,](#page-9-3) [2023;](#page-9-3) [Dementieva et al.,](#page-8-1) [2024\)](#page-8-1) are *resource-intensive* as they require datasets of toxic and non-toxic sam-ples in the language X, which is usually obtained [t](#page-9-1)hrough translating from English data [\(Pozzobon](#page-9-1) **042** [et al.,](#page-9-1) [2024;](#page-9-1) [Dementieva et al.,](#page-8-1) [2024\)](#page-8-1) due to re- **043** source unavailability. 044

In this work, we study cross-lingual detoxifi- **045** cation of LLMs using English preference tuning **046** *without translation*. While prior work suggests lim- **047** ited cross-lingual transfer of preference tuning for **048** the task of safeguarding against malicious instruc- **049** [t](#page-10-2)ions [\(Yong et al.,](#page-10-0) [2023a;](#page-10-0) [Shen et al.,](#page-10-1) [2024;](#page-10-1) [Wang](#page-10-2) **050** [et al.,](#page-10-2) [2023;](#page-10-2) [Deng et al.,](#page-8-0) [2024\)](#page-8-0), we discover the **051** opposite for LLM detoxification task— we demon- **052** strate **zero-shot cross-lingual generalization of 053 preference tuning in lowering toxicity of open- 054 ended generations**. Specifically, we observe pref- **055** erence tuning with Direct Preference Optimization **056** (DPO) [\(Rafailov et al.,](#page-9-4) [2023\)](#page-9-4) using only English **057** training data can significantly reduce the toxicity **058** level in LLMs' generations **across 17 different 059 languages**, such as Chinese, Arabic, Korean, Rus- **060** sian and Indonesian. Our findings apply to multi- **061** lingual LLMs of different sizes and with different **062** [p](#page-10-3)retraining composition, including mGPT [\(Shli-](#page-10-3) **063** [azhko et al.,](#page-10-3) [2024\)](#page-10-3), Llama3 [\(AI@Meta,](#page-8-2) [2024\)](#page-8-2), and **064** Aya-23 [\(Aryabumi et al.,](#page-8-3) [2024\)](#page-8-3). **065**

We investigate the mechanisms enabling cross- **066** lingual generalization of safety preference tuning. **067** Recent work [\(Lee et al.,](#page-9-5) [2024\)](#page-9-5) shows that models **068** trained via DPO do not lose the ability to generate **069** toxic content; instead, they learn to suppress the **070** neuron activations that lead to toxicity, focusing on **071** the role of key and value vectors in Multi-Layer **072** Perceptrons (MLP). While these findings explain **073** DPO's effectiveness in the training language, they **074** do not address its cross-lingual generalization. To **075** bridge this gap, we extend the analysis to a multi- **076** lingual context, and we demonstrate that both key **077** vectors and value vectors possess multilingual at- **078** tributes, which we called the *dual multilinguality* **079 of MLP**. Value vectors encode multilingual toxic **080** concepts, and their activations by key vectors pro- **081** mote tokens associated with these concepts across **082**

(a) Probability of generating toxic continuations

Figure 1: Safety preference tuning on English (en) pairwise toxic/non-toxic data reduces mGPT's [\(Shliazhko et al.,](#page-10-3) [2024\)](#page-10-3) probability in generating toxic continuations [\(1a\)](#page-1-0) and the expected toxicity level in its most-toxic generations [\(1b\)](#page-1-0) across 17 different languages. We report results averaged over 5 seeds DPO training [\(Rafailov et al.,](#page-9-4) [2023\)](#page-9-4).

 multiple languages, which indicates the multilin- gual nature of the key vectors. Furthermore, the same set of key vectors consistently responds to and is activated by toxic prompts in various lan- guages. Post-DPO training, the activation produced by these key vectors are effectively suppressed.

 Finally, building upon our mechanistic find- ings, we explore whether we can predict how well English preference tuning generalizes to a spe- cific language. We show that *bilingual sentence retrieval*, which assesses the alignment between two languages, correlates strongly with language-pairwise transferability for detoxification.

096 Our contributions can be summarized as below:

- **097** 1. We are the first to demonstrate that preference **098** tuning for toxicity mitigation can generalize **099** cross-lingually in a zero-shot manner.
- **100** 2. We demonstrate the *dual multilinguality* prop-**101** erty of MLPs and explain the mechanism be-**102** hind the cross-lingual generalization.
- **103** 3. We show that cross-lingual detoxification with **104** preference tuning strongly correlates with **105** bilingual sentence retrieval accuracy.

¹⁰⁶ 2 Related Work

 Cross-lingual generalization of RLHF/RLAIF Prior work suggests that zero-shot cross-lingual generalization of preference tuning with reinforce- ment learning with human feedback (RLHF) (or with AI feedback, RLAIF) may be *task-specific*. For question-answering (QA), preference tuning of LLMs on English-dominant training data hurts its multilingual QA capability [\(Ivison et al.,](#page-9-6) [2023\)](#page-9-6), [a](#page-9-7)nd thus multilingual training data are needed [\(Lai](#page-9-7) [et al.,](#page-9-7) [2023;](#page-9-7) [Ryan et al.,](#page-10-4) [2024\)](#page-10-4). In contrast, for sum- **116** marization, concurrent work demonstrates zero- **117** shot cross-lingual generalization of RLHF with **118** English reward models [\(Wu et al.,](#page-10-5) [2024\)](#page-10-5).

Similar findings apply to LLM safety research. **120** For the task of developing safeguards against mali- **121** cious instructions, there is limited zero-shot cross- **122** [l](#page-8-0)ingual generalization to both low-resource [\(Deng](#page-8-0) **123** [et al.,](#page-8-0) [2024;](#page-8-0) [Yong et al.,](#page-10-0) [2023a;](#page-10-0) [Shen et al.,](#page-10-1) [2024\)](#page-10-1) **124** [a](#page-10-1)nd high-resource languages like Chinese [\(Shen](#page-10-1) **125** [et al.,](#page-10-1) [2024\)](#page-10-1). Here, we focus on another safety task, **126** which is toxicity mitigation in open-ended genera- **127** tion [\(Gehman et al.,](#page-9-8) [2020\)](#page-9-8). We demonstrate success **128** in zero-shot cross-lingual generalization and pro- **129** vide a mechanistic explanation. **130**

Multilingual toxicity evaluation and mitigation 131 [Jain et al.](#page-9-0) [\(2024\)](#page-9-0) and [de Wynter et al.](#page-8-4) [\(2024\)](#page-8-4) re- **132** lease multilingual toxicity evaluation benchmarks **133** and they show that model toxicity increases as **134** language resources decrease. To mitigate multi- **135** lingual toxicity, current solutions [\(Pozzobon et al.,](#page-9-1) **136** [2024;](#page-9-1) [Dementieva et al.,](#page-8-1) [2024\)](#page-8-1) require translating **137** toxic and non-toxic data from English to target lan- **138** guages in order to extend existing detoxification **139** methods [\(Liu et al.,](#page-9-2) [2021;](#page-9-2) [Pozzobon et al.,](#page-9-3) [2023\)](#page-9-3) **140** to multilingual settings. [Dementieva et al.](#page-8-5) [\(2023\)](#page-8-5) **141** also find limited zero-shot cross-lingual detoxifi- **142** cation for supervised finetuning with models like **143** M2M100 [\(Fan et al.,](#page-9-9) [2021\)](#page-9-9). In contrast, we demon- **144** strate cross-lingual detoxification with only English **145** training data across different popular multilingual **146 LLMs.** 147

In concurrent work, [Jain et al.'](#page-9-0)s [\(2024\)](#page-9-0) toxic- **148** ity benchmark shows that preference-tuned LLMs **149** have lower multilingual toxicity, but it only studies **150** variants of the Llama2 [\(Touvron et al.,](#page-10-6) [2023\)](#page-10-6) that 151

Language	Input Prompt	Before DPO (More Toxic)	After DPO (Less Toxic)	
en	"I hate you damned gays I'm going to kill you all here,	I'm going to get you to hell and I'm going to make you home- less."	we're going to come together and say what we want,"" she said, according to the release.	
zh-hans	我恨你们这些该 死的同性恋,我要 在这里把你们都 杀了,	我要在这里把你们的家庭都 杀了 " (I am going to kill your family right here.")	然后,我要对你们进行审判 你们的行为 没有错,但是 (then I will judge you all. Your actions were not wrong, but)	
es	"Os odio putos gais, voy a mataros a to- dos aquí,	" "Eres una mierda" "¿Oué quieres hacer con tu (" "You're shit" "What do you") want to do with your)	", afirmó. La noticia de la muerte de los jóvenes fue confirmada por la presidenta (", he claimed. The news of the death of the young people was confirmed by the presi- dent)	

Table 1: Continuations of mGPT in English (en), Simplified Mandarin Chinese (zh-hans), and Spanish (es) before and after DPO preference tuning on English training data to mitigate toxicity. All the input prompts shown here are taken from RTP-LX [\(de Wynter et al.,](#page-8-4) [2024\)](#page-8-4) and are professional translations of the en prompt.

 are finetuned on large and diverse preference data such as Anthropic HH [\(Bai et al.,](#page-8-6) [2022\)](#page-8-6) and Ul- traFeedback [\(Cui et al.,](#page-8-7) [2023\)](#page-8-7). Here, we only use toxicity-related preference tuning data to reduce confounding factors from other training data, and we provide an explanation for the generalization.

 Safety-specific regions in LLMs Prior work has shown that we can isolate and manipulate neu- [r](#page-10-7)ons to control the safety behaviors of LLMs [\(Wei](#page-10-7) [et al.,](#page-10-7) [2024;](#page-10-7) [Bereska and Gavves,](#page-8-8) [2024;](#page-8-8) [Wang et al.,](#page-10-8) [2024b\)](#page-10-8). [Geva et al.](#page-9-10) [\(2021,](#page-9-10) [2022\)](#page-9-11) identify specific neurons in MLP layers that facilitate the prediction of tokens associated with concepts such as toxicity. [Lee et al.](#page-9-5) [\(2024\)](#page-9-5) reveal that DPO detoxifies mod- els by avoiding activating neurons associated with toxicity, and [Uppaal et al.](#page-10-9) [\(2024\)](#page-10-9) show that we can detoxify models by projecting model weights out of the latent toxic subspace. However, little work has been done on characterizing *multilingual toxicity* on the neuron level. Recent work also found lim- ited cross-lingual generalization of editing factual knowledge within MLP layers [\(Wang et al.,](#page-10-10) [2024a\)](#page-10-10). Here, we demonstrate the multilingual nature of the toxic subspace. We find that the toxic vectors in MLPs encode multilingual toxic concepts and are activated by prompts that elicit toxic continuations across different languages.

¹⁷⁹ 3 Cross-lingual Toxicity Mitigation

 We follow [Lee et al.'](#page-9-5)s [\(2024\)](#page-9-5) setup to perform preference tuning on LLMs for LLM detoxifica- tion. Specifically, we perform Direct Preference Optimization (DPO) [\(Rafailov et al.,](#page-9-4) [2023\)](#page-9-4) with [Lee et al.'](#page-9-5)s [\(2024\)](#page-9-5) preference dataset that consists of 24,576 instances of prompts as well as pairs of toxic (dispreferred) and non-toxic (preferred) con- **186** tinuations in English. **187**

We finetune five different base LLMs: (1) **188** mGPT, a multilingual GPT with 1.3B parameters **189** [\(Shliazhko et al.,](#page-10-3) [2024\)](#page-10-3); (2) BLOOM, a multi- **190** lingual language model with 1.7B and 7.1B pa- **191** rameters [\(BigScience Workshop et al.,](#page-8-9) [2022\)](#page-8-9); (3) **192** Aya-23, a multilingual language model with 8B **193** parameters [\(Aryabumi et al.,](#page-8-3) [2024\)](#page-8-3); (4) Llama2- **194** 7B [\(Touvron et al.,](#page-10-6) [2023\)](#page-10-6); and (5) Llama3-8B **195** [\(AI@Meta,](#page-8-2) [2024\)](#page-8-2). We perform full finetuning for **196** mGPT and BLOOM-1.7B, and we use QLoRA **197** adapters [\(Dettmers et al.,](#page-8-10) [2023\)](#page-8-10) for finetuning mod- **198** els at 7B and 8B parameter sizes (see Appendix [A.1](#page-10-11) **199** for training details.) **200**

3.1 Multilingual Toxicity Evaluation 201

3.1.1 Evaluation dataset 202

We use multilingual toxic prompts from RTP-LX **203** benchmark [\(de Wynter et al.,](#page-8-4) [2024\)](#page-8-4) to elicit toxic **204** outputs from LLMs across 17 languages. RTP-LX **205** consists of around 1,000 multilingual prompts ei- **206** ther professionally translated from the English RTP **207** dataset [\(Gehman et al.,](#page-9-8) [2020\)](#page-9-8) or hand-crafted to **208** elicit culturally-specific toxic model continuations **209** in a particular language. We choose the 17 lan- **210** guages that are supported by our toxicity evaluator **211** Perspective API [\(Lees et al.,](#page-9-12) [2022\)](#page-9-12). **212**

Following prior work [\(Gehman et al.,](#page-9-8) [2020;](#page-9-8) [Poz-](#page-9-1) **213** [zobon et al.,](#page-9-1) [2024\)](#page-9-1), we prompt LLMs to generate **214** 25 samples $(k = 25)$ of continuations of 20 tokens 215 for each prompt, and we apply nucleus sampling **216** [\(Holtzman et al.,](#page-9-13) [2020\)](#page-9-13) with a temperature of 0.9 **217** and top-p probability of 0.8. **218**

			Toxicity (\downarrow)		Fluency (\downarrow)		Diversity (\uparrow)	
Models	DPO	EMT	ToxProb	AvgTox	PPL.	Dist-1	Dist-2	Dist-3
mGPT $(1.3B)$	Before	0.502	46.8%	0.121	18.74	0.520	0.825	0.841
	After	0.157	3.9%	0.028	23.68	0.487	0.807	0.845
BLOOM(1.7B)	Before	0.493	45.6%	0.122	18.56	0.518	0.816	0.833
	After	0.185	6.3%	0.033	25.38	0.522	0.819	0.841
BLOOM(7.1B)	Before	0.517	49.2%	0.139	19.07	0.513	0.810	0.830
	After	0.269	14.5%	0.054	21.59	0.520	0.812	0.834
Llama $2(7B)$	Before	0.557	55.5%	0.142	14.31	0.569	0.801	0.785
	After	0.314	21.4%	0.061	17.01	0.530	0.756	0.758
Llama3 (8B)	Before	0.613	64.2%	0.184	16.27	0.527	0.803	0.820
	After	0.298	20.1%	0.063	19.93	0.475	0.743	0.781
Aya-23 $(8B)$	Before	0.559	56.8%	0.150	15.84	0.509	0.781	0.802
	After	0.303	23.2%	0.062	18.32	0.428	0.660	0.702

Table 2: Average scores in toxicity, fluency and diversity in model continuations on RTP-LX [\(de Wynter et al.,](#page-8-4) [2024\)](#page-8-4) sinput prompts across 17 different languages before and after English DPO preference tuning [\(Rafailov et al.,](#page-9-4) [2023\)](#page-9-4).

219 3.1.2 Metrics

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 We follow prior work [\(Pozzobon et al.,](#page-9-1) [2024;](#page-9-1) **[Gehman et al.,](#page-9-8) [2020;](#page-9-8) Üstün et al., [2024\)](#page-10-12) in evaluat-** ing the effectiveness of multilingual detoxification. We also measure fluency and diversity in addi- tion to toxicity as we expect tradeoffs from DPO preference tuning.

 Toxicity We score the toxicity of model contin- uations with Perspective API [\(Lees et al.,](#page-9-12) [2022\)](#page-9-12). We report three different toxicity metrics: (1) *ex- pected maximum toxicity* (EMT), which measures the maximum toxicity over k model generations for a given prompt (i.e., expected toxicity level in the most-toxic generation) (2) *toxicity probabil- ity* (ToxProb), which measures the probability of **b** the model generating toxic continuations^{[1](#page-3-0)} at least once among k generations; and (3) *average toxicity* (AvgTox) for all sampled model continuations.

 Fluency We measure fluency by scoring the per- plexity of the continuations conditioned on the prompts using the multilingual mT5-XL model [\(Xue et al.,](#page-10-13) [2021\)](#page-10-13). A lower perplexity indicates a more fluent and coherent output. We report the averaged median perplexity score for all k continuations across languages. [2](#page-3-1)

244 Diversity We measure the diversity of contin-**245** uations for each prompt using the proportion of distinct n-grams. A higher diversity score means a **246** greater variety of unique n-grams generated by the **247** model. We report the diversity scores for unigrams, **248** bigrams, and trigrams (Dist-1, Dist-2, and Dist-3, **249** where "Dist" denotes "Distinct"). ²⁵⁰

3.2 Results 251

Figure [1](#page-1-0) and Table [2](#page-3-2) demonstrate zero-shot cross- **252** lingual transfer of toxicity mitigation. Specifically, **253** safety preference tuning with English data can **254** signifcantly reduce toxicity in model continua- **255** tions across 17 different languages; for instance, **256** for mGPT model, the toxicity level in the worst- **257** possible generations reduces from 0.157 to 0.301 **258** and the probability of generating one toxic out- **259** put reduces from 46.8% to 3.9%. Furthermore, the **260** cross-lingual transferability generalizes to LLMs **261** with different sizes and different pretraining compositions, such as Llama2 and Llama3 models that **263** are English-dominant with limited proportion of **264** non-English pretraining data. **265**

We observe discrepancies in the cross-lingual **266** generalization to different languages. The three lan- **267** guages that have the least reduction in their toxicity **268** level in mGPT (Figure [1](#page-1-0) and Figure [4\)](#page-7-0) are Hindi, **269** Korean, and Czech. Later in Section [5,](#page-7-1) we discuss **270** that one possible reason is that their language rep- **271** resentations in mGPT are less aligned with English **272** due to less pretraining resources, thus hindering the **273** transferability. There is also less drop in toxicity **274** probability for models with 7B or 8B parameters. **275** This is very likely due to less trainable parame- **276** ters when we perform DPO on them with QLoRA **277**

¹We use the toxicity score threshold of 0.5 to classify if the model continuations are toxic.

²We observe that models (including base models) may yield degenerated sampled outputs, which creates extreme outlier perplexity scores. We thus calculate median perplexity and report the distribution breakdown in Appendix [B.](#page-12-0)

MLP as key-value vectors The MLP layers typ- **323** ically consist of two trainable weight matrices: **324**

 $W_{\text{up}} \in \mathbb{R}^{d_{\text{mlp}} \times d}$, which projects the intermedi- 325 ate residual stream to a higher-dimensional space, **326**

and $W_{\text{down}} \in \mathbb{R}^{d \times d_{\text{mlp}}}$, which projects the high- 327 dimensional vector back to the original space. the **328**

MLP at layer ℓ is delineated by: 329

(1) **330**

in which σ denotes the element-wise non-linear 331

activation function. Equation [\(1\)](#page-4-1) can be further **332** decomposed as d_{mlp} individual sub-updates: 333

up **339**

 $MLP^{\ell}(x_i^{\ell}) =$ d $\sum^{\text{$a_{\rm mlp}$}}$ $j=1$ $\sigma(\omega^\ell_{\text{up},j}x_i^\ell)\cdot w_{\text{down},j}^\ell$ = d $\sum^{\text{$a_{\rm mlp}$}}$ $j=1$ $a_{i,j}^{\ell} w_{\text{down},j}^{\ell}$ neuron / key vector value vector neuron activation (2) **334**

 $\text{MLP}^{\ell}(x^{\ell}) = W_{\text{down}}^{\ell} \sigma\left(W_{\text{up}}^{\ell} x^{\ell}\right)$

where $w_{\text{up},j}^{\ell}$ and $w_{\text{down},j}^{\ell} \in \mathbb{R}^{d}$ represent the *j*-th 335 row of W_{up}^{ℓ} and the *j*-th column of W_{down}^{ℓ} . We follow previous literature [\(Geva et al.,](#page-9-11) [2022;](#page-9-11) [Lee et al.,](#page-9-5) **337** [2024\)](#page-9-5) and call them the **key vectors** and **value vec- 338 tors** of MLP respectively. We also denote each w_t^{ℓ} as a *neuron*, which can be considered a pattern de- **340** tector [\(Ferrando et al.,](#page-9-17) [2024\)](#page-9-17). Each neuron yields a **341** positive *neuron activation* $a_{i,j}^{\ell}$ following the acti-
342 vation function if its inner product with x_i^{ℓ} is large. 343 This activation subsequently scales w_{down}^{ℓ} . There- 344 fore, an MLP output can be interpreted as a linear **345** combination of the columns of W_{down}^{ℓ} , weighted 346 by their respective *neuron activations*. **347**

To obtain human-understandable interpretation **348** of individual MLP sub-update, we can project its **349** *value vector* from the embedding space to the vo- **350** cabulary space using the unembedding matrix W_U 351 and get an unnormalized distribution over all tokens **352** [\(Hanna et al.,](#page-9-18) [2024;](#page-9-18) [nostalgebraist,](#page-9-19) [2020\)](#page-9-19). This tells **353** us the tokens it promotes when its corresponding **354** *neuron* is activated [\(Geva et al.,](#page-9-11) [2022\)](#page-9-11). **355**

4.2 Methods 356

Localizing toxicity with probes To find and in- **357** terpret toxic *value vectors*, we follow [Lee et al.](#page-9-5) **358** [\(2024\)](#page-9-5) and train an English linear probe $w_{\text{toxic}} \in$ 359 \mathbb{R}^d for binary toxicity classification. The probe 360 takes the average residual stream across all tokens **361** from the last layer as input and applies a sigmoid **362** function to output the toxic probability of the text. **363** In particular, we train the probe using the training **364**

 adapters (which only finetunes <2% of all trainable parameters), as compared to full-model finetuning for smaller models like mGPT and BLOOM-1.7B (see Appendix [D](#page-12-1) for QLoRA training for BLOOM-**282** 1.7B).

 We observe a higher average perplexity of con- tinuations after DPO training. This is consistent with other finetuning-based detoxification meth- ods, which also report a similar degree of perplex- ity score increase [\(Liu et al.,](#page-9-2) [2021;](#page-9-2) [Lee et al.,](#page-9-5) [2024\)](#page-9-5). We also find a trade-off between learning rate, tox- icity reduction and fluency—a larger learning rate leads to more toxicity reduction but a worse per-plexity score (see Appendix [C\)](#page-12-2).

 Diversity of model generations also drops after DPO, especially for models with 7B or 8B param- eters. This is consistent with prior findings that RLHF algorithms reduce output diversity in other [E](#page-9-14)nglish NLP tasks such as summarization [\(Khal-](#page-9-14) [ifa et al.,](#page-9-14) [2021;](#page-9-14) [Kirk et al.,](#page-9-15) [2024\)](#page-9-15) where RLHF biases the models towards outputing text of a spe- cific style. Our result shows that this phenomenon applies to the multilingual setting.

³⁰¹ 4 Mechanism

 In this section, we explain why English-only pref- erence tuning can reduce toxicity in model gen- erations across multiple languages using probes, causal intervention, and neuron activation analysis.

306 4.1 Preliminaries

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 We adopt the residual stream perspective of trans- former blocks [\(Elhage et al.,](#page-8-11) [2021\)](#page-8-11) and the frame- work of MLPs being key-value memory retrieval systems [\(Geva et al.,](#page-9-10) [2021\)](#page-9-10).

 Residual stream The residual stream, also known as embedding, for a token at layer ℓ , de-**hoted as** $x_i^{\ell} \in \mathbb{R}^d$, is propagated through residual connections [\(He et al.,](#page-9-16) [2016\)](#page-9-16). The output of the attention layer and the MLP layer are then added back to the residual stream.^{[3](#page-4-0)}

$$
x_i^{\ell+1} = x_i^{\ell} + \text{MLP}^{\ell} \left(x_i^{\ell} + \text{Attn}^{\ell} (x_i^{\ell}) \right)
$$

 The additive nature of the residual stream view al- lows us to evaluate the contribution of different components separately. In this work, we focus on the updates made by the MLP layers and their im-pact on model predictions.

³Layer normalizations and bias terms are omitted for simplicity.

VECTORS	PROMOTED TOKENS
$w_\mathrm{down,5723}^{14}$	sex, Sex, Sex, porn, Lerot, Sex, seks, Sexo, Lexio, Sexual, Lesbian, Lanal, Lexual, Cexcy, _Amateur, _penetr, _XXX, _hardcore, _sexuelle, _Anal, _blow, _dj, _amateur, _domination, visition penet, osexual, sessuale, homosex
$w_\mathrm{down,7176}^{13}$	sex, femenino, Femen, cexcy, weib, girl, feminino, girls, Geschlechts, femen, Girls, девуш, _women, _sexo, _Sex, _Sexual, _femmes, _vrouwen, _yuva, _Female, _weibliche, _ekcy, _féminine, féminin, femenina, Woman, Sex, femminile, kvinnor, женщин
$w_{\text{down},2337}^{13}$	_incomp, _pseudo, _manipul, _propaganda, _ncen, _ngu, _corrupt, _ignor, _propagand, _Propaganda, _corrup, _dece, _manip, _bankrupt, _mercen, _conspiracy, _prét, _conspira, _fraud, _blam, _crimin, nisult, selves, Emper, no. mportal ignor, politiker, Politiker, massac
$w_{\text{down},3137}^3$	\Box $\frac{10}{5}$, insult, _criticism, _accusations, _allegations, _Satan, _polem, _antisemit, _boyc, _Obama, attent, _politician, _gender, 념, atar, 罪, iste, ists, 民族, _scandal, dood, 支持, _Massa, _politically, _Marl, _Terror, _contrad, istes, _allegedly, uga

Table 3: Projection of w_{down} vectors onto vocabulary spaces. We display the top 30 promoted tokens for each selected projection. 2 projections were selected for each of the toxic themes: **sexual content** and political issue .

 split of the Jigsaw dataset [\(cjadams et al.,](#page-8-12) [2017\)](#page-8-12), comprising 15,294 toxic comments and 144,277 non-toxic comments (see Appendix [A.2](#page-10-14) for training details). We rank all *value vectors* by their cosine similarity to w_{toxic} , and identified the top 100 vec- tors. The sub-updates containing these vectors are termed *potential sources of toxicity*, as they meet the first criterion of encoding toxic concepts.

 To identify the sub-updates that actually con- tribute to toxic generation, we collect the aver- age *neuron activations* from the *potential source of toxicity* over the next 20 tokens using English [p](#page-8-4)rompts from the RTP-LX dataset [\(de Wynter](#page-8-4) [et al.,](#page-8-4) [2024\)](#page-8-4). We only consider sub-updates where neuron activations were greater than zero as the *actual sources of toxicity*, as they indicate direct contribution to explicit toxic content generation. For each sub-update in the *actual sources of tox- icity*, its *value vector* encodes toxic concepts, and its *key vector* activates on prompts that elicit toxic continuations.

 Causal intervention The next step is to verify that the *actual sources of toxicity* are the faithful explanation of the toxic behavior for different lan- guages. We conducted causal intervention by edit- ing the *neuron activations* and evaluating changes in toxicity of generations across languages. Ide- ally, by amplifying *neuron activations* from *ac- tual source of toxicity*, we should observe genera- tion being more toxic across languages; conversely, by negatively intervening on their *neuron activa- tions*, we should observe generation being less toxic across languages. Formally, for a set of selected *neuron activations* A, we directly edit them by 399 changing their values $f^{\mathcal{A}}(t)$ by adding an offset

 γ to each individual activation $a \in \mathcal{A}$ during the 400 forward pass on input token t. **401**

Activation analysis It is natural to ask whether **402** the *actual sources of toxicity*, identified using En- **403** glish prompts, are consistent across languages. We **404** analyze the average *neuron activations* of these **405** sources over the next 20 tokens following input 406 prompts in 17 languages, using the RTP-LX dataset **407** [\(de Wynter et al.,](#page-8-4) [2024\)](#page-8-4). If the *neuron activa-* **408** *tions* before DPO training are consistently acti- **409** vated across different languages, this means that **410** these *neurons* or *key vectors* are inherently mul- **411** tilingual. Conversely, varying activations implies **412** language-specific toxic generation mechanisms. **413** Furthermore, reduced *neuron activations* across **414** languages after DPO would confirm detoxification **415** through the suppression of the same set of neurons. **416**

4.3 Results 417

Our experiments demonstrate *dual multilinguality* **418 of MLP**: *value vectors* in MLP are multilingual as **419** they consistently promote toxic tokens of the same **420** concept across various languages, and *key vectors* **421** respond to multilingual input prompts that are cu- **422** rated to elicit toxic continuations. All experiment **423** [r](#page-10-3)esults in Section [4.3](#page-5-0) are with mGPT [\(Shliazhko](#page-10-3) **424** [et al.,](#page-10-3) [2024\)](#page-10-3). **425**

Toxic value vectors are multilingual Among the **426** top 100 sub-updates identified as *potential sources* **427** *of toxicity*, 36 were actively activated and are thus **428** classified as the *actual sources of toxicity*, and the **429** projections of their corresponding w_{down} vectors 430 are projected to the vocabulary space following **431** the steps stated in Section [4.2.](#page-4-2) Table [3,](#page-5-1) which in- **432**

PROMPT	GENERATION ($\gamma = 0$)	GENERATION ($\gamma = 5$)
"I want to	be a doctor"	fuck you for a long"
"我想	要的,我想要" (I want, I want")	要一个真正的熟女" (want a real MILF")
"Je veux	être un homme de bien" (to be a good man")	un plan cul avec une femme" (fuck with a woman")
"Yo quiero	ser tú, yo quiero ser tú" (to be you, I want to be you")	ver a mi chica desnuda" (see my girl naked")

Table 4: A comparison between model's original output and its output after causal intervention. Targeting just four neurons with positive offsets sharply amplifies sexually explicit content across various languages.

Figure 2: Intervention with negative offsets on pornrelated neurons' activations reduces average toxicity level across 17 different languages. Experiments are done with greedy decoding.

33 cludes 4 selected vectors,⁴ illustrates the tokens these vectors promote upon activation. Notably, the tokens promoted by some of the *value vectors* are not only grouped by concepts such as sexual con- tent, corruption, or political issue, as described by [Geva et al.](#page-9-11) [\(2022\)](#page-9-11), but are also multilingual, indi- cating that tokens of similar meaning in different languages are concurrently promoted.

 Intervention affects toxicity across languages Table [4](#page-6-1) shows the results of our qualitative exper- iments. With the neutral prompt "I want to..." in three other non-English languages, we modified the activations of top four sexual-related neurons (Table [7](#page-15-0) and Table [8\)](#page-16-0) by adding a positive offset. The intervention transformed the benign contin- uations into extremely obscene content across all languages, showing that activating these specific toxic *neuron activations* can significantly increase content toxicity.

452 For full quantitative assessment, we examined **453** the changes in toxicity across languages using vary-

Figure 3: Difference between average activation before and after DPO training on next 20 tokens from 36 neurons in *actual source of toxicity* across languages.

ing activation offsets γ , as outlined in Section [4.2.](#page-5-2) **454** Figure [2](#page-6-2) illustrates the results from manipulating 36 **455** of 196,608 toxic *neuron activations*[5](#page-6-3) . We success- **456** fully reduced the average toxicity level across all 17 **457** languages from 0.175 to 0.032. These causal inter- **458** vention experiments confirm that the toxic concepts **459** identified in Section [4.3](#page-5-3) directly contribute to toxic **460** text generation across languages, and that manual **461** control over their *neuron activations* can effectively **462** mitigate toxicity in a multilingual setting. **463**

Toxic key vectors are multilingual Figure [3](#page-6-4) 464 shows the average *neuron activations* of the *actual* **465** *sources of toxicity* across different languages before **466** and after DPO training. Before DPO, these toxic **467** *neurons* exhibit positive activation values across **468** many languages; after DPO, activations across all **469** languages are reduced and the neurons no longer **470** respond to the same toxic prompts. Our result sug- **471** gests the inherent multilingual capacity of these **472**

⁴The full table is available in the Appendix [F.](#page-14-0)

 5 mGPT has 24 layers, each has 8,192 neurons.

Figure 4: Strong positive correlation (Pearson- $r = 0.732$, p < 0.01) between bilingual sentence retrieval accuracy and percentage decrease in expected maximum toxicity (% EMT Change) after English DPO training.

 neurons or *key vectors*, as their positive activation across languages confirms that the *actual sources of toxicity* function similarly in multilingual setting. Furthermore, our results explain that cross-lingual generalization of DPO detoxification is due to the suppression of these multilingual neurons.^{[6](#page-7-2)}

⁴⁷⁹ 5 Predicting Generalizability with ⁴⁸⁰ Bilingual Sentence Retrieval

 Building upon our observations that the changes in activation levels differ across languages after DPO training (Figure [3\)](#page-6-4), we argue that the effectiveness of cross-lingual detoxification transfer from En- glish to language X depends on how much English and X align in representations in the multilingual toxic subspace. This dependency is also reflected in Equation [\(2\)](#page-4-3), where *neuron activation* relies on the inner product between the *neuron* and the residual stream of a specific token. The *dual multilingual- ity*, which illustrates that spontaneous activations of toxic neurons across languages, not only cap- ture the multilinguality of *neurons* but also indicate that the residual streams of toxic prompts might be geometrically aligned. The extent of this align- ment can be approximated by *bilingual sentence retrieval accuracy* which is used to measure the quality of language-independent representations in **[p](#page-8-14)rior work (Dufter and Schütze, [2020;](#page-8-13) [Artetxe and](#page-8-14)** [Schwenk,](#page-8-14) [2019;](#page-8-14) [Yong et al.,](#page-10-15) [2023b\)](#page-10-15).

501 Bilingual sentence retrieval involves identify-**502** ing semantically identical sentences in English **503** based on a representation of the sentence in another [l](#page-8-14)anguage (Dufter and Schütze, [2020;](#page-8-13) [Artetxe and](#page-8-14) 504 [Schwenk,](#page-8-14) [2019\)](#page-8-14). Retrieval accuracy is high when 505 the two languages have similar language represen- **506** tations for sentences with same semantic mean- **507** ing. We use 200 pairs of multiway parallel toxic **508** prompts from RTP-LX dataset [\(de Wynter et al.,](#page-8-4) **509** [2024\)](#page-8-4) and obtain sentence representations for them **510** at each layer of mGPT. Then, we compute the per- **511** layer sentence retrieval accuracy and average them. **512**

Figure [4](#page-7-0) confirms a strong positive correlation **513** between bilingual sentence retrieval accuracy and **514** percentage reduction in multilingual toxicity of **515** mGPT with a Pearson-r value of 0.73 ($p<0.01$). 516 We also observe that Romance and Germanic lan- **517** guages, such as Spanish (es), Italian (it), Por- **518** tuguese (pt), Dutch (nl), Swedish (sv), German **519** (de), and French (fr) (rightmost cluster in Figure [4\)](#page-7-0), **520** have the highest retrieval accuracy and largest EMT **521** change after English DPO training. This is likely **522** due to their close relationship to English, as they **523** share linguistic features such as the use of Latin **524** scripts, SVO (Subject-Verb-Object) word order, a **525** significant number of cognates, and their classifica- **526** tion within the Indo-European language family, all **527** of which promote efficient cross-lingual transfer. **528**

Conversely, Hindi (hi), Korean (ko), Arabic (ar) **529** and Czech (cz) exhibit the smallest percentage **530** change. In addition to their language dissimilarity **531** to English, these languages have the fewest train- **532** ing tokens for mGPT pretraining [\(Shliazhko et al.,](#page-10-3) **533** [2024\)](#page-10-3) compared to the other 13 languages. There- **534** fore, they have poorer multilingual representations **535** and thus less alignment with English for cross- **536** lingual transfer. We also observe similar findings **537** for Llama2-7B and BLOOM-7.1B (Appendix [E\)](#page-13-0). **538** Our findings support previous work indicating that **539** safety preference tuning has limited cross-lingual **540** transfer for low-resource languages in pretraining **541** [\(Yong et al.,](#page-10-0) [2023a;](#page-10-0) [Shen et al.,](#page-10-1) [2024\)](#page-10-1). **542**

6 Conclusion ⁵⁴³

We show that safety preference tuning with DPO to 544 detoxify LLMs can generalize across languages in a **545** zero-shot manner. Our findings are robust to differ- **546** ent multilingual LLMs. Furthermore, we provide a **547** mechanistic explanation for the generalization be- **548** havior as we discover dual multilinguality of toxic **549** neurons. Since generalization relies on shared mul- **550** tilingual representations, we show that bilingual **551** sentence retrieval can predict the cross-lingual gen- **552** eralizability of English safety preference tuning. **553**

 6 Negative activations are observed, attributed to the use of the GELU function.

⁵⁵⁴ Limitations

 The language coverage in our work is limited to high- and mid-resource languages due to the lim- itation of our multilingual toxicity evaluator Per- spective API. Additionally, our mechanistic inter- pretability experiments are primarily done on the mGPT-1.3B model [\(Shliazhko et al.,](#page-10-3) [2024\)](#page-10-3), and we focus our mechanistic interpretability analysis on a particular variant of preference tuning method, which is the DPO algorithm [\(Rafailov et al.,](#page-9-4) [2023\)](#page-9-4).

⁵⁶⁴ Ethical Statement

 As our research aims to mitigate multilingual harm- ful content generated by LLMs, we recognize the potential impact of our work on the global user communities. To ensure broad applicability of our findings, we include diverse languages with differ- ent linguistic characteristics. Furthermore, given our findings that toxicity is less mitigated for lower- resource languages, we acknowledge that safety vulnerabilities, such as toxic generations in our work, may still be present for low-resource lan- guage users even after safety preference tuning [\(Yong et al.,](#page-10-0) [2023a;](#page-10-0) [Nigatu and Raji,](#page-9-20) [2024\)](#page-9-20).

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A Training Details ⁸⁵⁰

A.1 DPO Preference Tuning 851

[W](#page-9-5)e use HuggingFace trl library and follow [Lee](#page-9-5) 852 [et al.'](#page-9-5)s [\(2024\)](#page-9-5) hyperparameters (except learning **853** rate) for full model finetuning of mGPT and **854** BLOOM-1.7B. For QLoRA finetuning of Aya-23, **855** [L](#page-8-10)Lama2, and Llama3, we apply QLoRA [\(Dettmers](#page-8-10) **856** [et al.,](#page-8-10) [2023\)](#page-8-10) on each model layer, with a rank of 64, **857** a scaling parameter of 16 and a dropout of 0.05. **858** We use the same set of training hyperparameters 859 except that we train longer up to 20 epochs and set 860 an effective batch size of 4 (batch size of 1 and gra- **861** dient accumulation steps of 4). In all setups, we use **862** early stopping by training until the validation loss **863** converges with a patience value of 10. We perform **864** DPO preference tuning on V100 and A6000 GPUs, **865** and it takes less than 12 hours to complete the train- **866** ing for mGPT and BLOOM-1.7B and around 24 **867** hours to complete the training for Aya-23, Llama2 **868** and Llama3. **869**

A.2 Probe Training 870

We train the linear probe w_{toxic} for English bi- 871 nary toxicity classification with seed 99 on 90% 872 of 159,571 comments from the Jigsaw dataset **873** [\(cjadams et al.,](#page-8-12) [2017\)](#page-8-12). Table [5](#page-12-3) displays the hyperpa- **874** rameters used for training. It achieves a validation **875** accuracy of 94.31% on the remaining 10% dataset. **876** In addition, the ROC-AUC (Receiver Operating **877** Characteristic - Area Under the Curve) score on **878**

(b) Expected maximum toxicity

Figure 5: Toxicity reduction of BLOOM-1.7B [\(BigScience Workshop et al.,](#page-8-9) [2022\)](#page-8-9) after DPO training.

(a) Probability of generating toxic continuations

(b) Expected maximum toxicity

Figure 6: Toxicity reduction of BLOOM-7.1B [\(BigScience Workshop et al.,](#page-8-9) [2022\)](#page-8-9) after DPO training.

Figure 7: Toxicity reduction of Llama2 [\(Touvron et al.,](#page-10-6) [2023\)](#page-10-6) after DPO training.

Figure 8: Toxicity reduction of Llama3 [\(AI@Meta,](#page-8-2) [2024\)](#page-8-2) after DPO training.

Figure 9: Toxicity reduction of Aya-23 [\(Aryabumi et al.,](#page-8-3) [2024\)](#page-8-3) after DPO training.

Table 5: Hyperparameters for DPO preference tuning for mGPT and BLOOM (1.7B).

879 the test split of Jigsaw dataset is 0.862. The whole **880** experiment was conducted on a single NVIDIA 881 RTX A6000 for approximately 50 hours.

Hyperparameter	Value
Optimizer	Adam
Learning Rate	0.0001
Batch Size	10
Loss	BCELoss
Epoch	20

Table 6: Training hyperparameters for the binary toxicity classification probe w_{toxic} .

⁸⁸² B Distribution of Perplexity Scores

 Figure [10](#page-13-1) displays the mGPT's distribution of the perplexity scores (which measures fluency) across all 17 languages. We observe that first, DPO prefer- ence tuning increases the perplexity of the genera- tions as the median, interquatile range and whiskers increase in Figure [10a.](#page-13-1) Nonetheless, the distribu-tions largely overlap, which suggests minimal degeneration on the model continuations due to DPO **890** preference tuning. Second, the distributions in Fig- **891** ure [10](#page-13-1) concentrate on reasonable range between **892** 10 and 30 across different languages, and there are **893** many outlier instances that leads to long tail dis- **894** tributions. This informs us that we should report **895** median instead of mean for perplexity scores as the **896** latter will be heavily skewed by outliers. 897

C Tradeoffs between Learning Rate, ⁸⁹⁸ Toxicity, and Perplexity Scores ⁸⁹⁹

We perform English DPO training on mGPT model 900 using the following five learning rate: {1e-7, 5e- **901** 7, 1e-6, 5e-6, 1e-5}, and we measure the toxicity **902** level and fluency (perplexity) in model generations **903** across 17 languages afterward. Figure [11](#page-13-2) demon- **904** strates the tradeoff between toxicity reduction and **905** perplexity. As the learning rate increases, the model **906** becomes less toxic, but the perplexity of its genera- **907** tions increases. We believe the reason is that since **908** the RTP-LX input prompts are already contextu- **909** ally toxic, in which around 40% of the prompts **910** contain toxic words [\(de Wynter et al.,](#page-8-4) [2024\)](#page-8-4), gen- **911** erations that continue the *toxic context* tends to be **912** more natural than deliberating switching away from **913** context for non-toxic continuations. As perplexity **914** measures the fluency of the continuations condi- **915** tioned on the prompt, toxic continuations will have **916** lower perplexity. 917

D QLoRA and Multilingual Toxicity ⁹¹⁸ Reduction ⁹¹⁹

We perform full model finetuning and QLoRA **920** finetuning of BLOOM-1.7B model with the same **921** training hyperparameters in Table [6](#page-12-4) with the same **922** number of training steps (up to convergence in 5- **923** epoch training). Figure [12](#page-13-3) shows that model fine- **924** tuned with QLoRA adapters remain more toxic **925**

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Figure 10: Per-language perplexity distribution of mGPT continuations before and after DPO training.

Figure 11: Tradeoffs between DPO learning rate, toxicity in post-DPO generation and perplexity across 17 languages.

Figure 12: Comparison between full model training and QLoRA finetuning of BLOOM-1.7B with English DPO preference tuning.

Figure 13: Percentage change in expected maximum toxicity against bilingual text retrieval accuracy for BLOOM-1.7B. Correlation with Pearson-r value of 0.59 $(p < 0.01)$

than the full model finetuning. We believe this is **926** due to QLoRA adapter finetuning has significnatly **927** less number of trainable parameters. **928**

E Bilingual Sentence Retrieval ⁹²⁹ Experiment for Other LLMs ⁹³⁰

Figure [13,](#page-13-4) Figure [14](#page-14-1) and Figure [15](#page-14-2) show the posi- **931** tive correlation between bilingual sentence retrieval **932** accuracy and percentage drop in EMT after English **933** DPO training for BLOOM-1.7B, BLOOM-7.1B **934** and Llama2-7B respectively. We observe similar **935** findings as mGPT in Figure [4.](#page-7-0) For instance, we see **936** the cluster of Romance and Germanic languages **937** occupy the top-right corner, which indicates ef- **938** fective cross-lingual transfer, whereas languages **939** with different scripts and less related to English are **940** on the bottom-left corner, which indicates poorer **941** cross-lingual transfer of English detoxification. **942**

Figure 14: Percentage change in expected maximum toxicity against bilingual text retrieval accuracy for BLOOM-7.1B. Correlation with Pearson-r value of 0.66 $(p < 0.01)$

F Complete Table of Toxic Value Vectors ⁹⁴³

Table [3](#page-5-1) presents the subset of value vectors iden- **944** tified as *actual sources of toxicity*. For a compre- **945** hensive view, Table [7](#page-15-0) and Table [8](#page-16-0) include the com- **946** plete list of all 36 vectors along with their projec- **947** tions. Each entry details the top 30 tokens promoted **948** when these vectors are projected onto the vocab- 949 ulary space, and we annotate their potential toxic **950** themes. For clarity, the leading space is removed. **951** Vectors are ranked according to their cosine sim- **952** ilarities with the toxic probe vector w_{toxic} . It can **953** be observed that the tokens promoted by most top- **954** ranking vectors are thematically grouped and span **955** across multiple languages. For example, $w_{\text{down,}5794}^3$ 956 promotes tokens related to pornography—in addi- **957** tion to common English tokens like "porn" and **958** "sex," it includes "seks" (sex in Malay), " i (sexual in Arabic), "Член" (a slang term in Rus- **⁹⁶⁰** sian meaning 'dick'), and " פור " (a prefix in He- **961** brew equivalent to 'por' in 'porn'). While some **962** tokens may not be inherently toxic, these projec- **963** tions clearly demonstrate the multilingual nature of **964** the *value vectors*. **965**

" **959**

Figure 15: Percentage change in expected maximum toxicity against bilingual text retrieval accuracy for Llama2- 7B. Correlation with Pearson-r value of 0.78 (p < 0.01)

Table 7: Projections of all 36 *value vectors* from the *actual sources of toxicity* - Part 1

Table 8: Projections of all 36 *value vectors* from the *actual sources of toxicity* - Part 2