# CONTINUOUS SURFACE NORMAL INTEGRATION

Anonymous authors

Paper under double-blind review

### ABSTRACT

We address a novel task for monocular explicit surface reconstruction that extends traditional surface normal integration over measurements on a regular grid to direct continuous surface depth estimation. Our solution accepts coordinates as queries and predicts both the normal and depth of an arbitrary query point by its relative locations and orientations to the points distributed in its vicinity. In general, all points are regarded by our model as random samples drawn from an underlying continuous gradient field of a surface which we parameterize using a field of polynomials to establish its topology. We establish a mapping from coordinates to a sequence of learnable polynomial coefficients to model a continuous surface and train a neural network to approximate it. We decompose a continuous surface representation into two components: (1) a set of grid points of unknown orientations whose locations are picked by a quadtree and (2) a set of sample points whose orientations are directly observable. Our training workflow estimates the normal of grid points and the locations of depth discontinuities iteratively. During each iteration, we generate a normal map of grid points for it to be processed by a standard bilateral normal integrator to identify the locations of depth discontinuities, which we use to refine the estimation for grid-based normal map in the subsequent iteration. As a result, the learned model generates both normal and depth for arbitrary coordinates accurately in a continuous field. We provide both theoretical formulation for our design and extensive empirical evidence to demonstrate that our proposed method not only delivers a performance as effective as its grid-based counterpart approaches but also flexibly and accurately addresses the continuous cases that existing methods are unable to handle.

031 032

033 034

000

001 002 003

004

006 007

008 009

010

011

012

013

014

015

016 017

018

019

021

024

025

026

028

029

## 1 INTRODUCTION

Normal integration establishes an inverse mapping from a surface's normal map to its depth. It completes the production cycle of multiple important 3d computer vision tasks including photometric stereo, shape from shading, etc., which settles on surface normal as their output. Most existing so-037 lutions to normal integration formulate the problem as an inverse problem of recovering a discrete scalar field from its corresponding gradient map by a numerical solver to the corresponding partial differential equation (PDE) in a 2D space subject to various boundary conditions. This PDE is of-040 ten solved by a large linear system involving spatial numerical differentiation and recent research 041 effort have been put into modeling and identifying the locations of depth discontinuities properly. 042 In the discrete domain, PDE solvers consume input stored over a regular grid Quéau et al. (2018a), 043 where the spacing between adjacent measurements is uniform so that numerical differentiation is 044 properly defined consistently over the entire integration domain. For two reasons we believe it is necessary to develop a tool for explicit surface reconstruction that directly interoperates with continuous representations: on the device end, present vision data acquisition techniques may provide 046 unstructured representations Peers et al. (2006) including surface normal which is directly made 047 available for a wide spectrum of downstream tasks Xiu et al. (2023); on the design end, as dense 048 representations for surface normal becomes an inalienable product of data-driven inverse rendering pipelines Bae & Davison (2024); He et al. (2024), but a model that explicitly and flexibly abridges dense representations involving surface normal and surface structure still remains elusive. 051

We study a new type of task for monocular explicit surface reconstruction and introduce a design that directly accepts coordinate-based queries and produces estimates of both depth and normal for query points of arbitrary coordinates. We regard the observed surface points as a set of random samples



072 Figure 1: Our proposed design takes a set of unstructured input and establishes a grid of points,  $x_a$ 073 with locations specified by a quadtree. This grid of field is overlayed on a continuous field of poly-074 nomials, where through mapping approximated by a neural network,  $\Phi$ , we obtain the corresponding 075 learnable polynomial coefficients,  $\beta$ , which allows us to setup the a normal map for  $x_q$  (Section 3). 076 In addition to depth map  $Z_q$ , This learned normal map is processed by a bilateral normal integrator 077 also to deliver the location-wise estimate for non-differentiable depth discontinuities along x-axis and y-axis ( $w_x$  and  $w_y$ ), respectively. The updated information about differentiability can be used to refine the loss function training the network. Moreover,  $w_x$  and  $w_y$  can also be used to derive from 079  $Z_a$  to obtain the depth estimate for arbitrary query points in the form of point cloud xyz. Data paths passing gradients are colored in blue. 081

80

drawn from an underlying continuous surface gradient field which we parameterize by polynomials
 Cazals & Pouget (2005). To this end, we establish a learnable mapping from the coordinates of
 query points to a sequence of polynomial coefficients to describe the geometry of a point-wise
 differentiable surface. We introduce a training pipeline to train a neural network that approximates
 this mapping. Essentially, because fitting surface by polynomials imposes pair-wise constraints
 between two connected points in terms of their respective local spatial gradients, this naturally leads
 to a loss function for this neural network to be trained.

In a more general setting, non-differentiable depth discontinuities exist that often prevent a single 091 polynomial from fitting two points separated by the discontinuity consistently. Because Bilateral 092 normal integration Cao et al. (2022) over a regular grid provides effective location-specific likeli-093 hood estimate for depth discontinuities in terms of the weight assigned to the edges between two 094 connected grid points, to extend its benefit to the continuous domain, we decompose a continuous 095 surface representation into two components: (1) a set of points on a non-regular grid whose orienta-096 tions are to be estimated, and their connectivity is determined by a quadtree Samet (1984). (2) a set of sample points with observed surface orientations distributed around the grid points. Accordingly, 098 there are two types of pair-wise constraints formed by two connected points in terms of how these 099 two points located geometrically: (1) grid-grid connection, whose bonding is directly determined by a bilateral normal integrator in terms of a 0-1 weighting; (2) grid-sample connection: the connection 100 between a grid point and its neighboring sample points whose weighting is derived from the nearby 101 grid-grid connection. 102

103 Therefore, accurate depth map estimate for grid points is essential for continuous surface normal 104 integration, and this depends on accurate estimation of the corresponding normal map, which is 105 directly affected by how well the underlying field of polynomials fits it. Hence, including weighting 106 of pair-wise point connections in a differentiability-aware loss function is critical for training a 107 neural network that accurately approximates the mapping from field coordinates to the polynomial 108 coefficients. This implies that the training has to carry out an iterative procedure that alternatively

108 refines the estimate for grid-based normal map and for the locations of depth discontinuities that 109 encode shape topology. Moreover, since all operations are coordinate-driven, our method essentially 110 delivers an explicit reconstruction for a continuous surface. Figure 1 provides an overview of our 111 proposed design.

- 112 To sum up, our contributions are as follows: 113
  - 1. A design of computational framework based on coordinate-driven queries that generalizes the classical normal integration of data stored on a regular grid to measurements of continuous representations.
    - 2. A model of a continuous field of learnable polynomials that represent continuous surface and a depth discontinuity aware loss function that facilitates its training.
  - 3. Analysis of results obtained from extensive experiments demonstrating that our proposed method not only performs as effective as the existing method on data stored on regular grid but also delivers equally good performance on continuous data representations that existing methods fail to handle.

124 This paper is organized as follows: Section 2 gives an overview of the existing literature; Section 3 125 explains the polynomial-based formulation for continuous surface modeling. Section 4 introduces how the field of polynomial coefficients are trained iteratively and how the inferred locations of 126 depth discontinuities are used to refine the quality of both normal and depth estimation. Section 5 127 analyzes and visualizes estimation results obtained processing data of both regular grid and contin-128 uous representations. Section 6 discusses future work and concludes this paper. 129

131 **RELATED WORK** 2

132

114

115

116

117

118

119

120

121

122

123

130

137

140

141 142

143

133 Existing literature addresses normal integration as an inverse problem of a everywhere differentiable 134 surface solved by a PDE solver, while more recent work also focuses on depth discontinuity detection and preservation. On the other end of spectrum lies a separate line of work that studies normal 135 estimation for unstructured point cloud. Our work investigates the properties of both. 136

#### 2.1 FORMULATION 138

139 Essentially, PDE solvers delivers a solution that is expected to minimize following energy-based functional Horn & Brooks (1986):

$$\min_{z} \int_{\Omega} E(\partial_{u} z(u, v) - p(\boldsymbol{n})) + E(\partial_{v} z(u, v) - q(\boldsymbol{n})) du dv,$$
(1)

144 where  $p = -\frac{n_x}{n_z}$ ,  $q = -\frac{n_y}{n_z}$ ,  $\partial_u z$  and  $\partial_v z$  are associated with a regular grid. In order for the solver 145 to be numerically stable, orthogonal constraint is introduced in the presence of large noise Zhu & 146 Smith (2020). Moreover, there is also a unified treatment using log depth map for both orthographic 147 projections and perspective projections Quéau et al. (2018a); Durou & Courteille (2007). 148

149 150

#### 2.2 DEPTH EDGE DETECTION AND PRESERVATION

151 Depth discontinuities are the major barrier preventing a PDE solver from being a direct solution to 152 real-world applications Durou et al. (2009). A weighting function is introduced as common approach 153 to the modeling of depth discontinuities:

154 155

156

$$\min_{z} \int_{\Omega} w_u(\boldsymbol{n}) E(\partial_u z - p(\boldsymbol{n})) + w_v(\boldsymbol{n}) E(\partial_v z - q(\boldsymbol{n})) du dv,$$
(2)

157 where  $w_u$  and  $w_v$  are defined on a regular grid. Energy is naturally minimized when the weight  $w_u$ 158 and  $w_v$  vanishing at the depth discontinuity naturally zeros out the constraint unnecessarily imposed 159 upon the points located on the opposite sides of a depth discontinuity. 160

In addition to optimizers that directly suppress large numerical inconsistencies caused by violation 161 of geometric constraint during their optimization processes Badri et al. (2014); Quéau & Durou



(a) a single point defines a differentiable neighborhood.

(b) two connected points located in a differentiable neighborhood.

(c) two points separated by a nondifferentiable discontinuity.

176 Figure 2: A continuous field of polynomial coefficients  $\beta$  represents a continuous surface in a point-177 wise manner. Each point (x, y) is mapped to a local coordinate system whose origin (0, 0, 0) coin-178 cides with the corresponding surface point (x, y, z) where z is to be determined (Figure 2a). Inside 179 this local coordinates, the relative depth  $\delta z$  of points in the neighborhood to the center is explicitly evaluated by  $\beta$  according to Equation 3.  $\beta$  can be estimated by relating two arbitrary points coexist 180 in a differentiable neighborhood, as one point's polynomial can be used to evaluate a neighboring 181 point's normal, and vice versa (Figure 2b). If one surface normal is directly observable, then  $\beta$  can 182 be learned. However, if the path connecting these two points on the surface is non-differentiable, 183 resulting an invalid constraint that should be removed from training  $\beta$ . Thus, the location of depth discontinuities needs to be identified in the xy-plane (Figure 2c) in terms of edge weighting. 185

186

174

175

(2015), the process of devising a weighting function involving depth edge detection can be either
static or dynamic. A static process detaches edge detection and surface depth estimation into two
independent processes. Edge detection can be delivered by directly analyzing normal map Wu &
Tang (2006), handcrafting Xie et al. (2019) or photometric cues Wang et al. (2012), etc.. As the first
step towards integration over scattered normals, our work belongs to this category.

192 On the other hand, a dynamic process relies on depth estimation online and updates the weighting 193 function iteratively, assuming weighting function to be in the form w(n, z). For example, one 194 acn define an  $\alpha$ -surface, and at each iteration, gradients for connected neighbors (less than  $\alpha$ ) are 195 taken into account. Alternatively, one can also describe this process using anisotropic diffusion 196 Quéau et al. (2018b). The most recent example involves applying semi-differentiable connectivity 197 pattern to produce the weighting function, whereas the pattern itself is updated along with the online 198 estimation of the surface depth Cao et al. (2022).

2.3 POLYNOMIAL SURFACE FITTING AND POINT CLOUD GEOMETRY

Per-point normal of a scattered point cloud can be estimated as a weighted average of neighbor-202 ing surface normals Ben-Shabat & Gould (2020). The surface is parameterized using polynomials 203 Cazals & Pouget (2005), based on which polynomial coefficients are fitted by least-square solver. 204 The weight is per-point and learned as a product of supervised learning. This learning process can 205 be improved by making the order and scale of the polynomial location-adaptive Zhu et al. (2021). 206 Moreover, the implicit 0-level set can be integrated to further improve the global orientation consis-207 tency of the normal estimation for a compact surface Li et al. (2024). We extend these formulation to 208 the inverse domain where the shape structure is not provided. This formulation can also be applied 209 to shading analysis Xiong et al. (2014).

210 211

199 200

201

## 3 CONTINUOUS SURFACE MODELING

212 213

Our model follows the established n-jet model Cazals & Pouget (2005) to parameterize a locally differentiable surface, based on which we also present a globally consistent design that approximates a general surface in the presence of depth discontinuities.

# 216 3.1 N-JET SURFACE MODEL AND A FIELD OF POLYNOMIALS

As illustrated in Figure 2a, a locally-differentiable surface is defined in a local coordinate system with its center coinciding the origin (0,0,0). All surface points  $(\delta x, \delta y, \delta z)$  satisfy a polynomial height function  $J_n : \mathbb{R}^2 \to \mathbb{R}$  that maps the **local displacement**  $(\delta x, \delta y)$  to **local relative height**  $\delta z$ as follows:

$$\delta z = \sum_{k=0}^{m} \sum_{j=0}^{k} \beta_{k-j,j} \delta x^{k-j} \delta y^j, \tag{3}$$

where *m* is the order of the polynomial and  $\{\beta_j\}$  denotes a sequence of polynomial coefficients. Accordingly, surface normal  $n(\delta x, \delta y) = \frac{1}{\sqrt{z_x^2 + z_y^2 + 1}}(z_x, z_y, -1)$ , where  $z_x$  and  $z_y$  are the first-order partial derivatives taken with respect to x and y, respectively:

222

224

225 226

$$z_x(\delta x, \delta y; \boldsymbol{\beta}) = \sum_{k=0}^m \sum_{j=0}^k \beta_{k-j,j} \delta x^{k-j-1} \delta y^j$$
(4)

231 232

232

246

247

249

251

Since  $n(\delta x, \delta y)$  is parameterized by coefficients  $\{\beta_j\}$ , by providing sufficient number of observations for various  $n(\delta x, \delta y), \{\beta_j\}$  can be estimated through linear regression estimation.

 $z_y(\delta x, \delta y; \boldsymbol{\beta}) = \sum_{k=0}^m \sum_{j=0}^k \beta_{k-j,j} \delta x^{k-j} \delta y^{j-1}$ 

237 Since Equation 3 applies to a local neighborhood centering at an arbitrary point (x, y), we are 238 able to establish a **continuous** vector field of parameters,  $\beta(x, y)$ , to describe the global shape in a 239 consistent manner. This imposes a spatially symmetric constraint as illustrated in Figure 2b, where if two points,  $(x_1, y_1)$  and  $(x_2, y_2)$ , are located on the same differentiable surface, their orientations 240 can be reciprocally parameterized by each others' polynomial coefficients, imposing two spatial 241 constraints between  $\beta(x_1, y_1)$  and  $\beta(x_2, y_2)$ : (1) their gradient fields have to fit each others surface 242 normal,  $n(x_1, y_1)$  and  $n(x_2, y_2)$ , respectively; (2), the local relative height in Equation 3 between 243 these two points is conserved. 244

Notation-wise, we apply Equation 3 to describe the entire field in terms of (x, y) consistently as:

$$z(x,y)(\delta x, \delta y; \boldsymbol{\beta}) = z(\delta x, \delta y; \boldsymbol{\beta}(x,y)),$$
(5)

and we let  $z_x(x,y)(\delta x, \delta y; \beta)$  and  $z_y(x,y)(\delta x, \delta y; \beta)$  follow the same convention of notation.

### 250 3.2 SURFACE MODEL WITH DEPTH DISCONTINUITIES

Correctly identifying the location of depth discontinuities (Figure 2c) and properly utilizing this information for depth estimation is crucial for normal integration. The parameterization scheme proposed by bilateral normal integrator Cao et al. (2022) has demonstrated excellent performance on estimating depth map recovery for regular-grid measurements. We propose a decomposed surface parameterization to extend the benefit of this scheme to continuous domain. In particular, we categorize surface points into two groups: sample points  $x_s$  whose surface orientations are directly observable and grid points  $x_g$  whose locations are aligned with their neighbors along either x-axis or y-axis.

Accordingly, this decomposed parameterization leads to two types of connections between two 260 points: (1) grid-grid connection that links two adjacent grid points, which we denote as  $x_a$ ; (2) 261 grid-sample connection that associates a grid point with one of its child sample points, denoted as 262  $x_s$ , designated by the quadtree. As examplified in Figure 3a, bilateral normal integrator weighs two axis-aligned edges joining the same grid point by a binomial random variable whose outcomes are 264 either (0,1) or (0.5,0.5), with 0 indicating that the edge is completely cut off by a depth disconti-265 nuity and 1 for complete connection, whereas (0.5, 0.5) means the grid point is connected from the 266 both sides with even balance. In addition, if we are also able to accurately estimate the normal map  $N_a(x, y)$  consisting of all  $x_a$ , the corresponding depth map  $Z_a(x, y)$  can be obtained by a traditional 267 normal integrator. Since a quadtree creates a rectangular "cell" that quarantines a sample point  $x_s$ , it 268 can be readily seen that the depth of a sample point  $x_s$  inside a cell relative to the cell's four vertices 269 of  $x_{q}$ , and the decomposed parameterization achieves a complete model for continuous surface.

# 270 4 CONTINUOUS SURFACE NORMAL INTEGRATION 271

As discussed in Section 3.1, our model interoperates with a network that establishes a mapping  $\Phi: \mathbb{R}^2 \to \mathbb{R}^K$  that associates a coordinate (x, y) with a sequence of K polynomials coefficients  $\{\beta_j\}$ , leading to a continuous vector field  $\beta(x, y)$  encoding an point-wise n-jet surface whose local system of coordinates takes (x, y) as its origin. Our model approximates this mapping  $\Phi$  using a coordinate-driven neural network, which, through training, is expected to produce an accurate estimate normal map estimate,  $N_q(x, y)$  on grid points.

In addition to learning  $N_g(x, y)$ , correctly identifying the locations of the depth discontinuities not only improves the accuracy of depth map for grid points  $x_g$  but also leads to a better formulation of the loss function which in turn improves the accuracy of  $N_g(x, y)$ . Since depth discontinuities are formulated on the premises that the structure of the surface is known, the training of the model essentially follows an iterative optimization process that alternatively refines  $\beta(x, y)$  and the likelihood estimate of the locations of depth discontinuities.

284 285

#### 4.1 LEARNING GRID NORMAL MAP $N_q(x, y)$

287 Let  $(\delta_x, \delta_y) = x_s - x_g$  indicate the displacement vector of  $x_s$  to  $x_g$ . With a field of polynomial 288 coefficients  $\beta(x, y)$  being parameterized by the network  $\Phi$ , we choose to train it by fitting both 289 polynomials  $\beta_g(\delta_x, \delta_y)$  and  $\beta_s(0, 0)$  to  $n(x_s)$  that is directly observable. Specifically, according to 290 Equation 4, this leads to a design of loss function in terms of cosine distance function over grid-291 sample connections:

 $l_{s} = 1 - |\boldsymbol{n}(z_{x}(\boldsymbol{x}_{s})(0,0;\boldsymbol{\beta}_{s}), z_{y}(0,0;\boldsymbol{\beta}_{s})), \boldsymbol{n}(\boldsymbol{x}_{s})|_{\cos},$  $l_{g,s} = 1 - |\boldsymbol{n}(z_{x}(\boldsymbol{x}_{g})(\delta_{x},\delta_{y};\boldsymbol{\beta}_{g}), z_{y}(\delta_{x},\delta_{y};\boldsymbol{\beta}_{g}), \boldsymbol{n}(\boldsymbol{x}_{s})|_{\cos},$ (6)

which is sufficient to train a surface that is differentiable over the entire field with sufficient observed samples  $x_s$ .

Following a similar routine, there are two ways to evaluate normal map  $N_g(x, y)$  using Equation 3. One is to evaluate the center of a differentiable neighborhood defined by  $\beta_g(0, 0)$ , namely, obtaining the coefficients at  $x_g$  and evaluate the corresponding polynomials at (0, 0). Alternatively, it is also possible to evaluate an adjacent but overlapping neighborhood centered at a nearby sample point  $x_s$  as  $\beta_s(-\delta_x, -\delta_y)$ , which traces the displacement from  $x_g$  back to  $x_s$ . To sum up, according to Equation 4 and 5,  $N_g(x, y)$  evaluated at point  $x_g$  or  $x_s$  in its vicinity can be read as:

$$\boldsymbol{n}(\boldsymbol{x}_g) = \frac{1}{\sqrt{z_x^2 + z_y^2 + 1}} \{ z_x(\boldsymbol{x}_g)(0, 0; \boldsymbol{\beta}_g), z_y(\boldsymbol{x}_g)(0, 0; \boldsymbol{\beta}_g), -1 \}$$
(7)

as well as:

$$\boldsymbol{n}(\boldsymbol{x}_g) = \frac{1}{\sqrt{z_x^2 + z_y^2 + 1}} \{ z_x(\boldsymbol{x}_s)(-\delta_x, -\delta_y; \boldsymbol{\beta}_s), z_y(\boldsymbol{x}_s)(-\delta_x, -\delta_y; \boldsymbol{\beta}_s), -1 \}$$
(8)

310 311

312

313

314

315 316

319

307 308

> It is worth noting that, grid-sample connections are determined by the topology assigned by a quadtree, and not all loss functions derived are valid in the presence of depth discontinuities. Therefore, we assign a weight for each connection and the loss function should be read using Equation 6 as:

$$L = \sum_{s} l_s + \sum_{s,g} w_{s,g} l_{s,g},\tag{9}$$

and we model  $w_{s,g}$  using a set of binomial random variables derived from the topology from the existing design of bilateral normal integration using the learned  $N_g(x, y)$ .

#### 320 4.2 ESTIMATING GRID DEPTH MAP $Z_g(x, y)$ from $N_g(x, y)$ 321

Bilateral normal integration Cao et al. (2022) models two axis-aligned grid-grid connections joining the same grid point with a single binomial random variable. We port this parameterization to our estimation routine of the grid depth map  $Z_q(x, y)$  based on a learned  $N_q(x, y)$ . In particular, each grid point  $x_g$  shares four connections with its four neighbors, which are assigned with a weight denoted as  $w_{x+}$ ,  $w_{x-}$ ,  $w_{y+}$ , and  $w_{y-}$ , respectively. These four quantities measure the outcomes drawn from two independent binomial random variables as  $w_{x+} + w_{x-} = 1$  and  $w_{y+} + w_{y-} = 1$ . Moreover, they are parameterized by comparing the depth differences across the connection pair:  $w_{x+} = \sigma(\delta_{x-}z - \delta_{x+}z)$  where  $\sigma(\cdot)$  denotes the Sigmoid function.

Moreover, since  $N_g(x, y)$  contains grid points with non-uniform spacing, our solver directly utilizes four connection-wise orthogonality constraints for each grid point at the center as follows:

 $\begin{array}{ll} \textbf{332} & (n_x \delta_+ x + n_z \delta_{x+} z) w_{x+} = 0 \\ \textbf{333} & (n_x \delta_- x + n_z \delta_{x-} z) w_{x-} = 0 \\ \textbf{334} & (n_y \delta_+ y + n_z \delta_{y+} z) w_{y+} = 0 \\ \textbf{335} & (n_y \delta_- y + n_z \delta_{y-} z) w_{y-} = 0, \\ \textbf{336} & (n_y \delta_- y + n_z \delta_{y-} z) w_{y-} = 0, \\ \end{array}$ 

where  $(n_x, n_y, n_z)$  denotes one measurement in  $N_g(x, y)$  and  $\delta_+x$ ,  $\delta_-x$ ,  $\delta_+y$  and  $\delta_-y$  are explicitly fed to the solver.  $\delta_{x+}z$ ,  $\delta_{x-}z$ ,  $\delta_{y+}z$  and  $\delta_{x-}z$  are obtained by applying the corresponding directional difference operator to the unknowns  $Z_g(x, y)$ . Consequently, assembling these per-point four conditions together and transforming them to a minimum energy problem leads to a sparse symmetric linear system from which  $Z_g(x, y)$  can be solved by a standard conjugate gradient method. Additionally, weight of grid-grid connections are alternatively updated alongside  $Z_g(x, y)$ .

343 We utilize the weight of grid-grid connections  $w_{x+}, w_{x-}, w_{y+}$  and  $w_{y-}$  obtained through bilateral normal integration to derive grid-sample connections  $w_{s,g}$  for the loss function defined in Equation 344 9. To this end, as illustrated in Figure 3b, we divide the vicinity of each  $x_q$  into four quadrants, each 345 of which is partitioned by the four grid-grid connections, respectively. We evaluate a weight of each 346 quadrant to be the product of the associated grid-grid connection weight, and assign this weight to 347 all sample points located in the same quadrant. Essentially, the product of two independent binomial 348 random variables means that x-axis and y-axis depth-discontinuities take place independently, and 349 its outcomes should also be 0-1, indicating the probability of a sample point  $x_s$  being connected 350 with the center  $x_q$ . 351

#### 352 353 4.3 Estimating Continuous Surface Depth from $Z_g(x, y)$

371

376

Continuous surface depth estimation also utilizes grid-sample connections over which relative heights of  $x_s$  to a set of nearby grid points  $x_g$  are integrated to produce a single depth value. This requires rearranging grid-centered grid-grid connections as four orthogonal boundaries for a sample-centered rectangular cell. Specifically, each sample point,  $x_s$ , is circumscribed by these four boundaries, and we establish four grid-sample connections between the sample point and its four vertices made of grid points  $x_g$ .

360 Because the grid-grid connections in the same cell are drawn from different binomial variables, 361 normalization is required to produce a consistent estimate. Our solution is to formulate a binary 362 clustering problem for each connection independently. In particular, a sample point  $x_s$  in a cell is to be evaluated against each of the four boundaries, where the connection over each boundary encodes one or two clusters with their centers located at its two ends. Here the weight of grid-grid 364 connection serves as the prior distribution of the clusters. For instance, a grid-grid connection with 365 0 weight indicates that its two ends belong to two separated clusters; on the other hand, there exists 366 a single cluster if the weight is greater than 0.5. Quantitatively, we use cosine distance between the 367 surface normal of the two ends of a grid-sample connection to measure likelihood of the sample 368 point  $x_s$ . Hence, normalized per-connection weight of grid-sample connection shall be obtained by 369 maximizing the following likelihood function: 370

$$l(w_{sg}) = |\boldsymbol{n}_{g1}, \boldsymbol{n}_{s}| w_{g} w_{sg1} + |\boldsymbol{n}_{g2}, \boldsymbol{n}_{s}| (1 - w_{g}) w_{sg2},$$
(11)

where  $w_{sg1} + w_{sg2} = 1$ ,  $|\cdot, \cdot|$  indicates cosine distance and  $w_g$  is the boundary weight from one of  $w_{x+}, w_{x-}, w_{y+} w_{y-}$  through regrouping. Accordingly, a per-connection depth for  $x_s$  is evaluated as: (12)

- $z(\boldsymbol{x}_s) = z_{sg1} w_{sg1} + z_{sg2} w_{sg2}.$  (12)
- Furthermore, we evaluate Equation 12 for each connection in a cell and average the four readings out to produce the final estimation for  $z(x_s)$ . This process is presented in Figure 3c.



391 Figure 3: Axis-aligned grid-grid connection (Figure 3a) is delivered by bilateral normal integra-392 tion Cao et al. (2022). Each grid point is 4-connected, with two neighbors along the x-axis and two along the y-axis. The connections are modeled in pairs in terms of edge weight denoting out-393 comes of a binomial random variable. When the path between these two points are differentiable, 394 the corresponding weight is (0.5, 0.5) indicating connectivity, and when a depth discontinuity cut 395 in vertically on one axis, the weight is (1,0) with 0 indicating dis-connectivity. To estimate normal 396  $n_q$  (Figure 3b), we correlate the corresponding  $x_q$  with its neighboring sample points  $x_s$ , where 397 connection weighting is derived from the weight of grid-grid connection of  $x_q$  (Section 4.1). To as-398 sociate  $x_s$  with its four neighboring cell vertices (Section 4.3) for depth prediction, we cluster these 399 points according to cosine distances between their respective surface normal, where the number of 400 clusters is derived from the re-grouped grid-grid connections (e.g. an edge weight of 0 implies the 401 existence of two separate clusters). The depth of sample  $x_s$  is the average of its relative depth to 402 each cell boundary offset by the grid depth,  $z_q$ , previously obtained.

403 404

405

406

407 408

409

410

Finally, because surface normal can be estimated for an arbitrary query point in the place of  $n_s$ , the proposed design can be extended to depth estimation for an arbitrary sample point with unobserved orientations, hence this routine generalizes to a continuous surface.

# 5 EXPERIMENT

We prepare two types of input data to our model to test its effectiveness. We first conduct experiment
using input data stored on a standard regular grid to test verify its "backward compatibility". Namely,
we do not assume the structure of data is known as a priori and the locations of grid points are
determined by a quadtree independently. In this case, we compare our results against results obtained
from existing counterpart normal integration approaches and let them process grid input directly.
Throughout our experiment, we fix the order of per-point polynomials to be 3, meaning the length
of coefficient sequence is constant 9 (e.g. Equation 3 contains 9 additive terms).

In addition, we also prepare a set of scattered measurements in the form of 5-tuple,  $(x, y, n_x, n_y, n_z)$ , 418 as exemplified in Figure 1, to which existing designs do not apply. To achieve fair comparison, we 419 follow a similar routine to our design that applies a quadtree to prepare a best-effort grid based setting 420 that is suitable for counterpart method to deliver meaningful results. In particular, we establish the 421 grid-based normal map through nearest neighbor value mapping from the unstructured input data. 422 We normalize measurement coordinates to be inside a  $[-1,1] \times [-1,1]$  square. Our solution is 423 implemented using PyTorch Paszke et al. (2017), and experiments are conducted on a single Nvidia 424 RTX 4090 GPU with 24G RAM. K-Nearest-Neighbor search is performed by Pytorch3D Ravi et al. 425 (2020). Estimation results are evaluated using Mean Absolute Depth Error (MADE). 426

427 428

#### 5.1 BENCHMARK DATA

Two data sets are used in our experiments: DiLiGenT Shi et al. (2016) containing 9 models and
ground truth normal map with its multi-view version DiLiGenT-MV Li et al. (2020) and Sculpture
Fouhey et al. (2016). In particular, DiLiGenT-MV provides the ground truth shape of 5 of 10 models
originally contained in DiLiGenT, which we adopt for quantitative comparison, and we process the

432		bear	cow	buddha	reading	pot2
433	ours (sample)	14 40	47 37	31.73	25.75	29.09
434	ours (grid)	20.09	31.24	18.79	27.26	31.35
436	IPF Cao et al. (2021)	24.29	38.66	8.23	33.59	17.35
437	BiNI Cao et al. (2022)	0.74	19.84	2.07	14 73	11 55
438	Dif (1 Cub Ct ul. (2022)	0.74	17.04	2.07	14.75	11.55

Table 1: Error of depth estimation evaluated in MADE as normal maps of DiLiGenT-MV is taken as
input in grid representations. Our method (grid) performs inferior to BiNI but delivers comparable
to IPF. Moreover, we also include the results obtained using sample queries drawn from continuous
domain, it can be readily seen that our method is **insensitive** to input data representations and delivers reasonably good results in both cases. The geometric interpretation MADE is visualized in
Figure 4.



Figure 4: Estimation error of "reading" and "pot1". Despite the numerical variance of MADE obtained for different methods summarized in Table 1, shape geometry in general is captured and correctly estimated by our method. See appendix for results of more models.

other 4 for direct visual comparisons. The sculpture dataset provides direct ground truth shape in mesh, with which we use a renderer Yu et al. (2023) to generate the corresponding ground truth normal map from an arbitrarily selected angle. Moreover, we apply Halton sampler Berblinger & Schlier (1991) to draw random samples from the ground truth normal map to obtain the unstructured input in the form of 5-tuple.

## 5.2 BENCHMARK METHODS

Two recent approaches, Bilateral Normal Integration (BiNI) Cao et al. (2022) and inverse plane fitting (IPF) Cao et al. (2021), are used for comparison. In particular, BiNI delivers the state of the art performance, and it is worth mentioning that a variant of its parameterization of depth discontinuities is integrated into our workflow.

## 5.3 NORMAL INTEGRATION OVER REGULAR GRID

The numerical reconstruction error consuming grid-based input from DiLiGenT-MV dataset are tabulated in Table 1, and the results are visualized in Figure 4.

## 481 5.4 NORMAL INTEGRATION OVER CONTINUOUS DOMAIN

The last two columns of Figure 4 show that our solution is insensitive to the structure of input representation, be it of grid representation or generated from random samples. Moreover, Table 2 tabulates the estimation error of our methods and BiNI when processing the query input sampled from models in sculpture dataset, and the results are also visualzied and compared in Figure 5.

	head	bust	statue	skeleton
ours (sample)	38.10	64.00	35.20	50.34
BiNI Cao et al. (2022)	120.04	183.59	70.94	101.01

Table 2: Error of depth estimation evaluated in MADE as unstructured depth queries of Sculpture are randomly-sampled. The results are visualized in Figure 4.



Figure 5: Estimation error of sculpture data set with randomly-sampled input. From left to right: randomly-sampled input normal in the form of 5-tuple query, estimation error of BiNI, estimation error of ours. It can be seen that our results outperforms BiNI when integrating samples drawn from a continuous surface.

It can be seen from these comparisons that when the representations of input are unstructured and randomly sampled, BiNI taking input with grid representation through nearest neighbor matching delivers an apparently inferior result to the results produced by our method. This shows that learning an accurate continuous gradient field is crucial for normal integration as there are spatially high frequency variations that cannot be captured by direct nearest neighbor matching, no matter how dense the grid points are distributed. Instead, performance gain can be achieved by accurately modeling the local geometry of the surface and precisely capturing the correlation between two arbitrarily located surface points.

#### **CONCLUSION AND FUTURE WORK**

This paper introduces a novel computational framework that, by taking coordinate-based depth queries, allows for normal integration to be performed over a continuous domain. We propose to represent continuous surface using a continuous field of learnable polynomial coefficients, and we integrate a depth-discontinuity-aware edge weighting scheme for pair-wise point connections into our training pipeline to obtain these parameters. Our experiment on various settings and various datasets shows that our method not only performs as effectively as existing approaches on traditional grid-based input, but also successfully delivers continuous surface normal integration that existing methods cannot handle. Furthermore, because continuous monocular surface representations en-ables flexible across-view alignment in a multi-view setting, extending this monocular design to multi-view explicit dense surface reconstructions is the goal of our future work.

# 540 REFERENCES

547

565

566

567

578

579

580

584

585

- Hicham Badri, Hussein Yahia, and Driss Aboutajdine. Robust surface reconstruction via triple
  sparsity. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, 2014.
- Gwangbin Bae and Andrew J Davison. Rethinking inductive biases for surface normal estimation.
   In *CVPR*, 2024.
- 548 Yizhak Ben-Shabat and Stephen Gould. Deepfit: 3d surface fitting via neural network weighted
   549 least squares. In ECCV, 2020.
- Michael Berblinger and Christoph Schlier. Monte carlo integration with quasi-random numbers: some experience. *Computer physics communications*, 1991.
- Xu Cao, Boxin Shi, Fumio Okura, and Yasuyuki Matsushita. Normal integration via inverse plane
   fitting with minimum point-to-plane distance. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, 2021.
- Xu Cao, Hiroaki Santo, Boxin Shi, Fumio Okura, and Yasuyuki Matsushita. Bilateral normal inte gration. In *European Conference on Computer Vision*, 2022.
- 559 Frédéric Cazals and Marc Pouget. Estimating differential quantities using polynomial fitting of 560 osculating jets. *Computer aided geometric design*, 2005.
- Jean-Denis Durou and Frédéric Courteille. Integration of a normal field without boundary condition. In *Proceedings of the First International Workshop on Photometric Analysis For Computer Vision*, 2007.
  - Jean-Denis Durou, Jean-François Aujol, and Frédéric Courteille. Integrating the normal field of a surface in the presence of discontinuities. In *International Workshop on Energy Minimization Methods in Computer Vision and Pattern Recognition*, 2009.
- 568 David F Fouhey, Abhinav Gupta, and Andrew Zisserman. 3d shape attributes. In *CVPR*, 2016.
- Jing He, Haodong Li, Wei Yin, Yixun Liang, Leheng Li, Kaiqiang Zhou, Hongbo Liu, Bingbing
   Liu, and Ying-Cong Chen. Lotus: Diffusion-based visual foundation model for high-quality
   dense prediction. *SIGGRAPH Asia*, 2024.
- 573 Berthold KP Horn and Michael J Brooks. The variational approach to shape from shading. 1986. 574
- Min Li, Zhenglong Zhou, Zhe Wu, Boxin Shi, Changyu Diao, and Ping Tan. Multi-view photometric
   stereo: A robust solution and benchmark dataset for spatially varying isotropic materials. *IEEE Transactions on Image Processing*, 2020.
  - Qing Li, Huifang Feng, Kanle Shi, Yue Gao, Yi Fang, Yu-Shen Liu, and Zhizhong Han. Neuralgf: unsupervised point normal estimation by learning neural gradient function. *NIPS*, 2024.
- Adam Paszke, Sam Gross, Soumith Chintala, Gregory Chanan, Edward Yang, Zachary DeVito,
   Zeming Lin, Alban Desmaison, Luca Antiga, and Adam Lerer. Automatic differentiation in
   pytorch. 2017.
  - Pieter Peers, Tim Hawkins, and Paul E. Debevec. A reflective light stage. In *https://api.semanticscholar.org/CorpusID:18155653*, 2006.
- 587 Yvain Quéau and Jean-Denis Durou. Edge-preserving integration of a normal field: Weighted least 588 squares, tv and approaches. In *International Conference on Scale Space and Variational Methods* 589 *in Computer Vision*, 2015.
- Yvain Quéau, Jean-Denis Durou, and Jean-François Aujol. Normal integration: a survey. *Journal of Mathematical Imaging and Vision*, 2018a.
- 593 Yvain Quéau, Jean-Denis Durou, and Jean-François Aujol. Variational methods for normal integration. *Journal of Mathematical Imaging and Vision*, 2018b.

- Nikhila Ravi, Jeremy Reizenstein, David Novotny, Taylor Gordon, Wan-Yen Lo, Justin Johnson, and Georgia Gkioxari. Accelerating 3d deep learning with pytorch3d. *arXiv:2007.08501*, 2020.
- Hanan Samet. The quadtree and related hierarchical data structures. ACM Computing Surveys (CSUR), 1984.
- Boxin Shi, Zhe Wu, Zhipeng Mo, Dinglong Duan, Sai-Kit Yeung, and Ping Tan. A benchmark
   dataset and evaluation for non-lambertian and uncalibrated photometric stereo. In *Proceedings of the IEEE conference on computer vision and pattern recognition*, 2016.
- Yinting Wang, Jiajun Bu, Na Li, Mingli Song, and Ping Tan. Detecting discontinuities for surface reconstruction. In *Proceedings of the 21st International Conference on Pattern Recognition* (*ICPR2012*), 2012.
- Tai-Pang Wu and Chi-Keung Tang. Visible surface reconstruction from normals with discontinuity
   consideration. In 2006 IEEE Computer Society Conference on Computer Vision and Pattern
   *Recognition (CVPR'06)*, 2006.
- Wuyuan Xie, Miaohui Wang, Mingqiang Wei, Jianmin Jiang, and Jing Qin. Surface reconstruction from normals: A robust dgp-based discontinuity preservation approach. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, 2019.
- Ying Xiong, Ayan Chakrabarti, Ronen Basri, Steven J Gortler, David W Jacobs, and Todd Zickler.
   From shading to local shape. *TPAMI*, 2014.
- Yuliang Xiu, Jinlong Yang, Xu Cao, Dimitrios Tzionas, and Michael J Black. Econ: Explicit clothed
   humans optimized via normal integration. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition*, 2023.
  - Bohan Yu, Siqi Yang, Xuanning Cui, Siyan Dong, Baoquan Chen, and Boxin Shi. Milo: Multibounce inverse rendering for indoor scene with light-emitting objects. *TPAMI*, 2023.
  - Dizhong Zhu and William AP Smith. Least squares surface reconstruction on arbitrary domains. In Computer Vision–ECCV 2020: 16th European Conference, Glasgow, UK, August 23–28, 2020, Proceedings, Part XXII 16, 2020.
  - Runsong Zhu, Yuan Liu, Zhen Dong, Yuan Wang, Tengping Jiang, Wenping Wang, and Bisheng Yang. Adafit: Rethinking learning-based normal estimation on point clouds. In *ICCV*, 2021.
- 628 629 630 631

645

616

620

621

622 623

624

625

626

627

## A DIFFERENTIABLE LOCAL SURFACE AND LAPLACE SYSTEM

We set up a system of linear equations according to the first fundamental form of differential geometry. Specifically, a locally differentiable surface can be modeled by a linear Laplace system. In the
presence of depth discontinuities, a weighting function is adopted evaluating pair-wise connections
between two points, as indicated in Equation 10.

637 We assume each data point represents a discrete sample drawn from a continuous surface. As an example illustrated in Figure 6, points in a differentiable neighborhood are geometrically related by 638 their pair-wise distances to the center point. Essentially, this configuration defines a K-connected 639 graph with N vertices and KN directed edges. Correspondingly, we can setup a sparse KN-by-640 N matrix, whose *i*-th row contains only 1 and -1 pair, with the corresponding column numbers 641 indicating the head node and the tail node of directed edge i. Notably, when being applied to a 642 regular grid, D represents a numerical difference operator. In either case, this representation leads 643 to: 644

$$D\boldsymbol{z}(\boldsymbol{x}) = \delta_{\boldsymbol{z}},\tag{13}$$

646 where z(x) is N-by-1 vectorized depth field, and  $\delta_z$  is a KN-by-1 vector whose entry indicates the 647 depth difference between the vertices of each edge. With a local coordinate system whose origin  $x_0$ , and if the underlying surface is smooth, the first fundamental form in differential geometry dictates



Figure 6: Sample points are arbitrarily drawn from a locally differentiable surface. Each point is associated with its neighbors, whose geometry is modeled by a polynomial according to Equation 3. This represents one of K = 5 conditions on pair-wise depth difference  $\delta z_i$  binding neighbor  $\vec{x}_i$ with center  $\vec{x}_0$  imposed by the Laplace system of Equation 16. Because of the existence of depth discontinuity,  $\vec{x}_4$  and  $\vec{x}_5$  have weak connections with  $\vec{x}_0$ . 

a linear approximation for it. In particular, any point  $x_i$  in the neighborhood of  $x_0$  can be expressed in terms of its normal  $\boldsymbol{n}_0 = (n_x^0, n_y^0, n_z^0)$  as: 

$$\boldsymbol{x}_{i} \approx \boldsymbol{x}_{0} + (1, 0, \frac{n_{x}^{0}}{n_{z}^{0}})\delta_{x}^{i} + (0, 1, \frac{n_{y}^{0}}{n_{z}^{0}})\delta_{y}^{i},$$
(14)

where  $\delta_z^i$  can be expressed as:

$$\delta_z^i n_z^0 \approx -\delta_x^i n_x^0 - \delta_y^i n_y^0, \tag{15}$$

which can be rearranged and reduced to Equation 10. 

In practice, numerical instability often arises when Equation 13 is solved directly for D being too sparse. A remedy is to instead equate the distance of  $x_0$  to the plane spanned by its differentiable neighborhood containing  $\{x_0, \ldots, x_k\}$ . This amounts to performing a normalized contour integral around  $x_0$  and in discrete domain this is done by multiplying both sides by D and normalized by the corresponding node degrees. In other words, Equation 13 extends to: 

$$D^T N_z^{-1} D \boldsymbol{z}(\boldsymbol{x}) = D^T N_z^{-1} \boldsymbol{b}$$
(16)

where b is a KN-by-1 vector whose each entry evaluates the RHS of equation 15 for an edge and  $N_z^{-1}$  is a KN-by-KN diagonal matrix whose non-zero entry contains the value of the corresponding  $n_z^0$ . It is worth noting that, by equating  $\delta_x$  and  $\delta_y$  over the entire domain, we can apply the same interpretation to derive its regular grid counterpart in the form of a minimal energy formulation. In short,  $D^T D$  represents the Laplacian matrix of a graph discretizing a smooth manifold and D defines the local geometric structure of the surface.

#### В NETWORK ARCHITECTURE

Figure 7 presents the architecture of the network proposed by our design. The network maps the coordinates (x, y) to a sequence of coefficients  $\beta$  of 9 entries. This means to evaluate the points  $(x + \delta x, y + \delta y)$  in the neighborhood of (x, y) as  $z(\delta x, \delta y)$  being evaluated according to Equation 3 using the obtained  $\beta$ . 

#### LOSS FUNCTION С

We fit directly the gradient of to surface z(x, y) to the corresponding normal as:







