2 ConDA: FAST FEDERATED UNLEARNING WITH CONTRIBUTION DAMPENING

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Paper under double-blind review

ABSTRACT

Federated learning (FL) has enabled collaborative model training across decentralized data sources or clients. While adding new participants to a shared model does not pose great technical hurdles, the removal of a participant and their related information contained in the shared model remains a challenge. To address this problem, federated unlearning has emerged as a critical research direction, seeking to remove information from globally trained models without harming the model performance on the remaining data. Most modern federated unlearning methods use costly approaches such as the use of remaining clients data to retrain the global model or methods that would require heavy computation on client or server side. We introduce Contribution Dampening (CONDA), a framework that performs efficient unlearning by tracking down the parameters which affect the global model for each client and performs synaptic dampening on the parameters of the global model that have privacy infringing contributions from the forgetting client. Our technique does not require clients data or any kind of retraining and it does not put any computational overhead on either the client or server side. We perform experiments on multiple datasets and demonstrate that CONDA is effective to forget a client's data. In experiments conducted on the MNIST, CIFAR10, and CIFAR100 datasets, CONDA proves to be the fastest federated unlearning method, outperforming the nearest state-of-the-art approach by at least 100×. Our emphasis is on the non-IID Federated Learning setting, which presents the greatest challenge for unlearning. Additionally, we validate CONDA's robustness through backdoor and membership inference attacks. We envision this work as a crucial component for FL in adhering to legal and ethical requirements.

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1 INTRODUCTION

Federated learning (FL) has enabled the collaborative training of machine learning models across 037 decentralized data sources or clients, facilitating the development of more accurate and robust models. Local clients benefit by getting an aggregated and more powerful model without sharing their private data. However, this collaborative approach also raises concerns about the integrity of the model (Yang 040 et al., 2019) when requested to unlearn data from certain clients. In federated learning, models are 041 trained on data from multiple clients, and the global model may inadvertently memorize information 042 from individual data sources. This poses significant challenges when a client requests to remove 043 their contribution from the global model due to contractual, legal compliance or privacy reasons. 044 The global model may retain information about the client. Federated unlearning (Gao et al., 2022; Liu et al., 2021) seeks to address this challenge by developing methods to remove information from globally trained models. The unlearning methods play a crucial role in supporting the 'right to be forgotten' paradigm as required in various data protection regulations (Voigt & Von dem Bussche, 047 2017; Harding et al., 2019). Such data removal might also be required when any client's data is 048 outdated (Kurmanji et al., 2023), erroneous (Tanno et al., 2022; Schoepf et al., 2024a), or poisoned (Goel et al., 2024; Schoepf et al., 2024b). However, deleting the client's contribution effectively is a difficult task in existing FL frameworks. 051

Motivation of this work. One of the drawbacks in the existing federated unlearning systems (Gao et al., 2022; Liu et al., 2021) is that the methods require help of remaining clients (that we wish to retain) for further updates and retraining purposes. Most of the existing methods have incorporated

054 remaining clients so that they can unlearn their global model. This approach is inefficient and 055 expensive as the burden on unlearning one client or subset of one client's data should not be 056 transferred to *remaining clients* that would lead to multiple steps of retraining and communication 057 rounds. Moreover, for the retraining or updates, it can not be assumed that *remaining clients* will 058 keep holding the data that they used while training their local model. We can choose to remove the contribution of forgetting client only. But while trying to erase the contribution of the local model from the global model, it may affect the global model parameters that are contributed by other 060 clients as well. Another key factor is the clients' data distribution. In practice, client data is not 061 independent and identically distributed (IID); instead, it is unevenly distributed across classes (Zhao 062 et al., 2018). Some methods have improved retraining efficiency. For example, Liu et al. (2021) 063 reduces the number of retraining rounds, while asynchronous federated unlearning (Su & Li, 2023) 064 divides clients into clusters, limiting retraining to relevant clusters. Wu et al. (2022) avoids retraining 065 from scratch but requires the server to perform knowledge distillation with additional unlabeled data. 066 Federated unlearning with momentum degradation (Zhao et al., 2023) erases the forgetting client's 067 contribution, adjusting the model to approach one retrained on the remaining data. 068

Our work. The existing federated unlearning methods rely on costly approaches, such as retraining 069 the global model using the remaining clients' data (Gao et al., 2022; Su & Li, 2023; Liu et al., 2021) or employing computationally expensive methods on the client or server side Wu et al. (2022). These 071 approaches not only incur significant computational overhead but also may compromise the privacy 072 and security of the remaining clients' data. To overcome these limitations, there is a pressing need 073 for efficient and privacy-preserving federated unlearning methods that can effectively remove client-074 specific information from the global model without compromising its performance. In this paper, we 075 propose Contribution Dampening (CONDA) that enables efficient federated unlearning by tracking 076 the parameters that affect the global model for each client and performing synaptic dampening on the parameters of the global model that have privacy-infringing contributions from the forgetting 077 client. CONDA unlearns a client's contribution from the global model without the need to retrain with remaining clients data as well as not put significant computation or communication overhead 079 to the remaining clients. Federated unlearning may take place on three levels: class unlearning, client unlearning, and sample unlearning. Our method focuses on client-level unlearning. If similar 081 classes of data (as the forget client) are available with other clients as well, the accuracy intuitively 082 decreases for those clients as well. We demonstrate the effectiveness of CONDA through experiments 083 on multiple datasets, showcasing its ability to efficiently forget a client's data while maintaining the 084 model's performance. 085

The main contributions are summarized as follows:

- **CONDA Framework for Federated Unlearning:** CONDA enables efficient removal of client-specific information from the global model by tracking and selectively dampening parameters updated by the "forget" client while preserving those updated by retained clients.
- **Data-Free and Efficient Unlearning:** CONDA achieves unlearning without retraining or needing access to the training data from remaining clients, minimizing computational overhead and maintaining client privacy.
 - Experimental Validation: Our experiments on multiple datasets demonstrate CONDA's ability to effectively remove client data while maintaining model performance, outperforming existing unlearning methods.
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2 PRELIMINARIES

Federated learning (FL) is a machine learning approach where multiple clients (e.g., organizations, 101 mobile devices, etc.) collaboratively train a model while keeping their data stored locally. A central 102 federated server orchestrates the process by selecting eligible clients for each training round, receiving 103 their locally computed model updates, and aggregating these updates to refine the global model. This 104 process continues iteratively until the model converges. We briefly introduce the *unlearning* problem 105 within a FL framework which we denote as Federated Unlearning (FUL) throughout this paper. We examine a situation where a single or multiple clients request a service provider to remove their data 106 from the model to safeguard user privacy and mitigate legal risks. We define the issue of unlearning 107 the *target clients* in FL in this context.

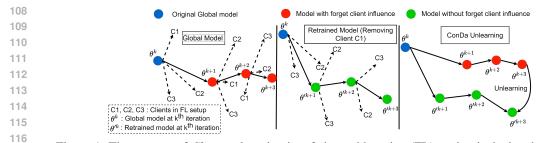


Figure 1: The process of *Client* unlearning in a federated learning (FL) setting is depicted. We also show the efficient nature of the proposed CONDA for federated unlearning.

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120 **Federated Unlearning.** We initialize a global model \mathcal{M} on the central server with parameters θ^k . 121 where k spans the set E representing the global communication rounds. The model is then dispatched 122 to clients for local training, where each client updates the model independently. Following local 123 optimization, the client models are aggregated to form the updated global model. Each iteration, this process is repeated using the new aggregated global model. We visually depict the process of 124 unlearning in FL in Figure 1. 125

126 Consider a global model \mathcal{M} trained on data \mathcal{D} distributed across N clients $\mathbf{C} = \{c_1, c_2, \dots, c_N\},\$ 127 where each client c_n contributes local updates θ_n obtained by minimizing a local loss function 128 $\mathcal{L}(\theta^k; d_n)$ on their respective data d_n :

$$\theta_n = \arg\min_{\theta} \mathcal{L}(\theta^k; d_n), \quad \forall n \in \{1, 2, \dots, N\}.$$
(1)

These local updates are aggregated to update the global model at each communication round t as:

$$\mathcal{M}(\theta)^{k+1} = \frac{1}{N} \sum_{n=1}^{N} \theta_n^k.$$
(2)

Definition 1. Let c_i be the forget client who opts out of the FL setup and wants its data removed from the global model \mathcal{M} . Then federated unlearning method \mathcal{FUL} aims to update the global model such that it behaves as though the training data d_i from client c_i was never used. This requires adjusting the global model parameters θ by removing the influence of θ_i from the aggregation process, represented as:

$$\mathcal{M}(\theta)_{unlearned}^{k+1} = \frac{1}{N-1} \sum_{\substack{n=1\\n\neq i}}^{N} \theta_n^k \tag{3}$$

where the contributions of client c_i are excluded, effectively reconstructing the model as if client c_i 's data had not been incorporated into the training.

147 Challenges. Following are the crucial challenges in FUL: (1) Machine Unlearning Vs Federated 148 *Unlearning:* Machine unlearning methods typically rely on having access to the complete training 149 data. However, this assumption is invalid in FL, where the number of participating clients/devices is often significantly smaller than the total available clients/devices. 2 IID Vs non-IID training data: 150 The IID data ensures that each client has data that represents the overall population, making it easier 151 for the global model to aggregate local updates effectively. Training is faster, and the global model 152 converges with fewer conflicts. In contrast, non-IID data occurs when clients have vastly different 153 or skewed data distributions, which can lead to biased local models. These biases make it difficult 154 for the global model to generalize well across all clients, resulting in slower training, inconsistent 155 updates, and lower overall performance. In this paper, we work with non-IID FL setup which is 156 extremely challenging for unlearning. 157

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- 3 **PROPOSED METHOD**
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- We present CONDA, a rapid federated unlearning technique utilizing contribution dampening, which 161 eliminates the need for fine-tuning the global model and significantly reduces computational overhead.

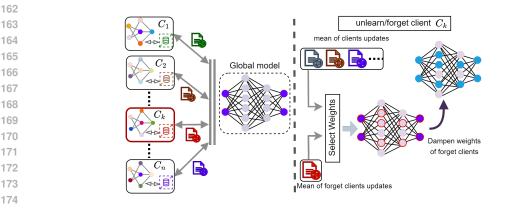


Figure 2: The proposed Contributed Dampening (CONDA) method for federated unlearning.

178 Intuition. This work builds upon the concept of Selective Synaptic Dampening used in traditional ma-179 chine unlearning (Foster et al., 2024; Feldman, 2020; Stephenson et al., 2021) to create a lightweight machine unlearning method that overcomes the additional challenges introduced by the decentralized 181 federated unlearning setting. The existing work leverages the intuition that specific model parameters are crucial for memorizing certain training examples (forget set, D_f) but are not as significant for the 182 remaining data (retain set, D_r) (Feldman, 2020). These specialized parameters, which essentially 183 memorize specific data that falls outside of model generalization, are essential for forgetting targeted 184 data without compromising model performance on the broader dataset. In federated unlearning, 185 however, the challenge is compounded by the decentralized nature of data, with information distributed across multiple clients. Our approach adapts this principle to selectively dampen parameters 187 influenced by individual clients while preserving the generalization ability of the global model. This 188 allows for efficient unlearning in federated environments, ensuring compliance while maintaining 189 model performance across diverse client data.

190 **CONDA** identifies the global model parameters most impacted by the forget client and dampens 191 them to achieve effective unlearning while preserving the parameters essential for maintaining the 192 model's performance on the retained data. The unlearning process operates entirely on the server side, 193 placing no computational or data-related burden on the clients. The goal is to remove the influence 194 of the forget client without compromising the accuracy of the updated global model. The complete 195 framework is depicted in Figure 2.

196 We collect each client's contribution to the global model in the form of gradient updates from every 197 communication round in which they participate. Let $\nabla \theta$ represent the average of the gradient updates (contribution) from each client over E communication rounds. 199

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where $\nabla \theta_n^k = \theta_n^k - \theta^k$ is the gradient update made by client n at communication round k. 204

 $\nabla \theta_n = \frac{1}{E} \sum_{k=1}^E \nabla \theta_n^k$

When a *client* requests to revoke their data and discontinue participation in FL, we leverage the stored 206 gradient updates collected during the learning process to efficiently unlearn the client's contributions. To differentiate between contributions from all clients and those specifically from the forget clients, we 208 define two sets: Let C denote the set of all clients and C_f denote the subset of clients requesting their 209 data/contribution removal from the global model i.e., set of forget clients. The average contribution 210 of all clients, Φ_C , is computed as:

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$$\Phi_C = \frac{1}{|C|} \sum_{c \in C} \nabla \theta_c \tag{5}$$

(4)

 $\forall n \in N$

Similarly, the average contribution of forget clients, Φ_{Cf} , is computed as:

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217	Algorithm 1 CONDA Federated Unlearning
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219	1: θ : global model parameters
220	2: $\nabla \theta_n$: average gradient updates for each
221	client
222	3: C: set of clients
223	4: C_f : set of forget clients 5: λ : dampening constant
224	6: α : cut-off for dampening
225	7: U: dampening upper bound
226	8: $\Phi_C = \frac{1}{ C } \sum_{n \in C} \nabla \theta_n$ (average for all
227	clients)
228	9: $\Phi_{C_f} = \frac{1}{ C_f } \sum_{n \in C_f} \nabla \theta_n$ (average for
229	forget clients) $n \in \mathcal{C}_f$ is \mathcal{C}_f
230	10: Compute ratio = $\frac{\Phi_C}{\Phi_{C_f}}$
231	11: Compute $\zeta = \lambda \cdot \text{ratio}$
232	12: Set $\beta = \min(\zeta, U)$
233	13: for each $i \in \theta $ do
234	14: if $\beta_i < (\alpha \cdot \operatorname{ratio}_i)$ then
235	15: $\theta'_i = \beta_i \cdot \theta_i$
236	16: end if
237	17: end for
238	18: return θ'
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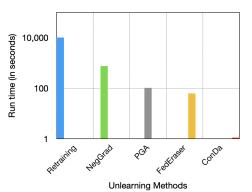


Figure 3: We show the runtime comparison of the proposed CONDA and with the retrained model, negative gradient, PGA, and FedEraser on ResNet18+CIFAR-10. The Yaxis is on log scale and time is reported in seconds

$$\Phi_{Cf} = \frac{1}{|C_f|} \sum_{c \in C_f} \nabla \theta_c \tag{6}$$

To facilitate the unlearning process, we introduce a dampening factor, denoted as ζ , which is calculated based on the contributions of both the forget clients and all clients. An important consideration in FL is that clients may possess overlapping data, meaning their contributions to the model are not entirely independent. This overlap complicates the unlearning process, as simply removing the net influence 249 of forget clients may leave residual effects from similar data held by retained clients. It is crucial to retain the beneficial contributions from these clients to preserve model accuracy. To address this, we compute the gross influence of the forget clients, isolating their total contribution to the global model while ensuring that the retained clients' influence is preserved.

$$\zeta = \lambda * \frac{\Phi_C}{\Phi_{Cf}} \tag{7}$$

Here, λ is dampening constant which is hyperparameter to control amount of forgetting in the global 257 model. 258

259 Though we utilize a dampening factor to unlearn the contributions of forget clients, dampening 260 the entire models parameters would lead to catastrophic forgetting and render the resulting model 261 useless. To address this, we introduce new selection criterion in our dampening factor to control 262 which parameters should be dampened for efficient unlearning while preserving the contributions of 263 retain clients. We introduce a cut-off ratio α to control the extent of modification on the global model. This hyperparameter serves as a regularization term in our method to ensure that only parameters that 264 are disproportionally influenced by the forget client are dampened. It represents a boundary between 265 significant and insignificant contributions from forget clients. 266

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$$\zeta = \begin{cases} \lambda * \frac{\Phi_C}{\Phi_{C_f}} & \text{if } \frac{\Phi_C}{\Phi_{C_f}} < \alpha \\ 0 & \text{if } \frac{\Phi_C}{\Phi_{C_f}} \ge \alpha \end{cases}$$
(8)

270	Table 1: Distribution of data samples (CIFAR-10)]
271	from each class across 10 different clients in a	f
272	federated learning setup.	f

Table 2: Distribution of data samples (MNIST) from each class across 10 different clients in a federated learning setup.

273	Class	0	1	2	3	4	5	6	7	8	9	Class	0	1	2	3	4	5	6	7	8	9
274	Client 0	385	13	117	397	380	405	61	905	1213	1803	Client 0	24	16	1150	73	77	247	558	798	867	953
	Client 1	79	72	424	17	2615	2	986	208	1401	0	Client 1	264	102	378	342	27	645	833	521	2020	411
275	Client 2	1193	60	260	16	990	25	522	22	337	860	Client 2	478	1000	758	964	418	371	215	150	744	511
276	Client 3	1808	72	29	200	2	47	395	54	11	670	Client 3	211	245	55	305	2746	389	363	28	346	2421
277	Client 4	143	1798	1474	62	38	871	147	15	35	312	Client 4	1119	1893	1087	1167	183	30	127	641	0	0
211	Client 5	120	147	908	2157	106	235	53	45	1411	0	Client 5	459	653	4	1418	70	1097	564	52	119	1140
278	Client 6	126	9	117	236	163	1671	1263	2591	0	0	Client 6	1023	497	126	257	859	204	2495	1963	0	0
279	Client 7	494	464	389	38	195	186	177	828	268	1332	Client 7	1147	1864	300	174	1450	440	81	2084	0	0
	Client 8	523	1827	71	150	481	756	403	332	323	23	Client 8	959	89	1813	1026	9	1466	414	17	433	0
280	Client 9	129	538	1211	1727	30	802	993	0	1	0	Client 9	239	383	287	405	3	532	268	11	1322	513
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We constrain these dampening factors to not exceed the upper bound of U to prevent model parameters from exploding in case of a user selecting $\lambda >> U$ values.

$$\beta = \min(\zeta, U) \tag{9}$$

The global model parameters are adjusted using this dampening factor as shown in equation 7, effectively removing the influence of the forget clients' data from the global model while preserving the updates of retained clients.

$$\theta_i' = \beta_i \cdot \theta_i \qquad \forall i \in |\theta| \tag{10}$$

where θ_i is the *i*th parameter of the global model, and β_i is its corresponding dampening factor. The step-by-step workflow of the proposed method is outlined in Algorithm 1.

4 EXPERIMENTS AND RESULTS

4.1 EXPERIMENTAL SETUP

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300 Dataset and Baselines. We assess the proposed method for client unlearning in a Federated Learning 301 setup using various datasets, including MNIST LeCun (1998), CIFAR-10, and CIFAR-100 Krizhevsky 302 et al. (2009). Our approach is compared against existing federated unlearning algorithms Fed-Eraser Liu et al. (2021) and PGA Halimi et al. (2022). The baselines also consist of a retrained model 303 (by removing the *forget* client data) and a model trained with gradient ascent/negative gradient. The 304 NegGrad is obtained by retraining the original model, where regular optimizers (such as Adam and 305 SGD) are applied to the remaining clients, while gradient ascent is specifically used for the client 306 whose data is to be forgotten. We use AllCNN+MNIST, Resnet18+CIFAR-10 and Resnet18+CIFAR-307 100 in our experiments. Our focus is on client unlearning, which is the primary form of unlearning 308 required in non-IID Federated Learning setups. 309

Evaluation Metrics. We assess the effectiveness of a federated unlearning (FU) scheme by measuring
 its runtime and the accuracy with retrained model (by removing the forget client data) on both the
 retain (R-Set) and forget sets (U-Set). We further assess the unlearned models using two privacy
 attacks: backdoor attacks and membership inference attacks (MIA).

314 Client-level Unlearning (non-IID FUL). This paper addresses the challenge of client/sample-level 315 unlearning, which is particularly difficult in a federated learning (FL) setup. Most existing research has focused on class-level unlearning, which is comparatively easier to manage. As a result, some 316 of the performance outcomes and comparisons in our work may not seem as compelling, since 317 sample-level unlearning is harder to validate. As noted in Wang et al. (2022),"the sample-level 318 unlearning task requires the model to remove specific data samples while maintaining the model's 319 accuracy". Despite these challenges, our results remain robust when this criterion is used for 320 evaluation, demonstrating the effectiveness of our approach. 321

Experiment Settings. We created a set of 10 clients for each dataset. The data distribution inside each client for CIFAR-10, MNIST, and CIFAR-100 is given in Table 1, Table 2, Table 4, and Table 5, respectively. The hyper-parameters for FL are: the learning rate = 0.001, number of epochs = 100,

Table 3: Unlearning results in a federated learning setting. We use 10 client for CIFAR-10+ResNet18,
 MNIST+AlICNN and CIFAR-100+ResNet18. We forget *Client 0* in this experiment. The *cutoff ratio* in CONDA is set to 0.3 for CIFAR10 and 0.4 for MNIST and CIFAR-100 dataset. accuracy & backdoor attack: value closer to retrained model is better, membership inference attack (MIA): value
 close to 50% or close to retrained model is better.

Dataset	Metrics	Original Model	Retrained Model	NegGrad	PGA Halimi et al. (2022)	FedEraser Liu et al. (2021)	CONDA (OURS)
CIFAR-10	Accuracy (R-Set) Accuracy (U-Set) Backdoor Attack MIA	$\begin{array}{c} 46.84 \pm 0.36 \\ 25.05 \pm 1.71 \\ 35.41 \pm 0.55 \\ 94.85 \pm 0.03 \end{array}$	$\begin{array}{c} 44.96 \pm 0.03 \\ 20.59 \pm 0.75 \\ 21.20 \pm 0.09 \\ 49.87 \pm 0.5 \end{array}$	$\begin{array}{c} 12.21 \pm 0.02 \\ 2.02 \pm 0.09 \\ 0.00 \pm 0.00 \\ 49.96 \pm 0.05 \end{array}$	$\begin{array}{c} 40.56 \pm 1.20 \\ 15.64 \pm 1.60 \\ 32.94 \pm 0.01 \\ 49.74 \pm 0.04 \end{array}$	$\begin{array}{c} 28.33 \pm 1.79 \\ 12.03 \pm 2.21 \\ 18.82 \pm 0.03 \\ 50.09 \pm 0.04 \end{array}$	$\begin{array}{c} 41.44 \pm 1.55 \\ 21.86 \pm 2.03 \\ 22.10 \pm 0.01 \\ 50.22 \pm 0.02 \end{array}$
MNIST	Accuracy (R-Set) Accuracy (U-Set) Backdoor Attack MIA	$\begin{array}{c} 97.85 \pm 0.00 \\ 83.99 \pm 0.01 \\ 24.11 \pm 1.11 \\ 95.18 \pm 0.01 \end{array}$	$\begin{array}{c} 97.93 \pm 0.05 \\ 80.08 \pm 0.06 \\ 0.21 \pm 0.21 \\ 51.04 \pm 0.01 \end{array}$	$\begin{array}{c} 83.54 \pm 0.35 \\ 57.19 \pm 0.25 \\ 1.42 \pm 0.12 \\ 50.17 \pm 0.02 \end{array}$	$\begin{array}{c} 94.96 \pm 0.40 \\ 76.76 \pm 1.00 \\ 1.21 \pm 0.06 \\ 49.94 \pm 0.00 \end{array}$	$\begin{array}{c} 46.43 \pm 0.00 \\ 39.98 \pm 0.47 \\ 60.61 \pm 1.37 \\ 48.36 \pm 0.00 \end{array}$	$\begin{array}{c} \textbf{95.41} \pm \textbf{1.02} \\ \textbf{81.65} \pm \textbf{2.13} \\ 22.16 \pm 0.02 \\ 50.00 \pm 0.01 \end{array}$
CIFAR-100	Accuracy (R-Set) Accuracy (U-Set) MIA Accuracy	$\begin{array}{c} 31.21 \pm 0.01 \\ 29.54 \pm 1.00 \\ 94.82 \pm 0.01 \end{array}$	$\begin{array}{c} 31.52\pm 0.04\\ 22.78\pm 0.03\\ 50.43\pm 0.04\end{array}$	$\begin{array}{c} 5.50 \pm 0.27 \\ 0.56 \pm 0.00 \\ 49.88 \pm 0.00 \end{array}$	$\begin{array}{c} 30.10 \pm 0.13 \\ 22.00 \pm 0.10 \\ 50.22 \pm 0.00 \end{array}$	$\begin{array}{c} 8.54 \pm 0.05 \\ 8.73 \pm 0.00 \\ 50.00 \pm 0.00 \end{array}$	$\begin{array}{c} 28.67 \pm 1.3 \\ 26.99 \pm 2.6 \\ 52.11 \pm 0.0 \end{array}$

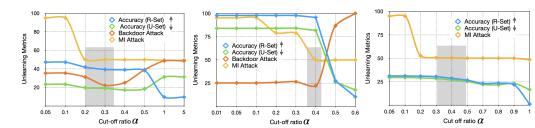


Figure 4: We show the results of CONDA on several Unlearning metrics: Accuracy (R-Set), Accuracy (U-Set), Backdoor Attack, and MI attack at different cut-off ratio α . We visualize the unlearning plateau where R-Set accuracy, U-Set accuracy, Backdoor attack and MIA are near ideal values. Setting the α above or below the plateau leads to drop in desired unlearning performance. Results are shown in the order, CIFAR-10, MNIST, CIFAR-100 (left-to-right).

number of local epochs = 2. The remaining hyper-parameters follow state-of-the-art methods for fair comparison. For ConDa, the cut-off (α) varies across datasets and distributions, the dampening constant (λ) is set to 10 for MNIST and 1 for CIFAR-10 and CIFAR-100, and the dampening upper bound (U) is 10 for MNIST and 1 for CIFAR-10 and CIFAR-100. We conduct an empirical analysis to examine the effect of varying the cut-off ratio α on the unlearning process across different datasets. We repeat each experiment three times and report the results along with the \pm variance to account for fluctuations in performance.

360 Challenges of Non-IID Federated Unlearning vs. IID Federated Unlearning. A key challenge 361 in federated learning is the varying data distribution among clients. In an IID distribution, where 362 data from all classes is uniformly spread across clients, optimizers like SGD perform well, and unlearning is relatively straightforward, as it involves removing a small, evenly distributed subset 364 of data. However, in real-world scenarios, assuming an IID distribution is unrealistic. In non-IID settings, certain classes may be concentrated within specific clients, leading to model biases. This 366 makes unlearning more complex, as removing one client's data can disproportionately impact the 367 model's learned features and overall accuracy. Additionally, interdependencies between clients' data further complicate isolating and unlearning specific contributions without adversely affecting others. 368 While IID federated unlearning has been explored extensively, our experiments focus on tackling the 369 complexities of non-IID federated unlearning. 370

4.2 RESULTS

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The unlearning performance of CONDA is compared with existing methods in Table 3. A detailed discussion of the results and their significance is provided below.

Accuracy. The effectiveness of CONDA's unlearning is evaluated by comparing its accuracy on
 the forget client's data (U-Set) and the average accuracy for the retained clients (R-Set) against
 several baseline methods. Table 3 displays the results of our experiments across three different

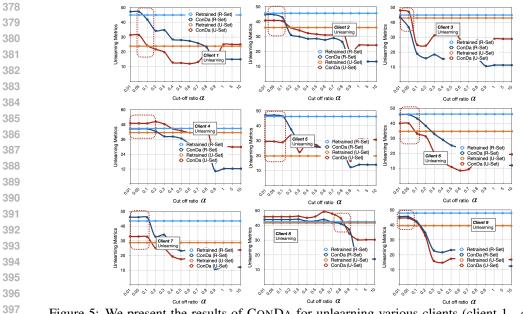


Figure 5: We present the results of CONDA for unlearning various clients (client 1 - client 9 in CIFAR-10) from the global model. These results are compared with the retrained model, which serves as the ground truth for unlearning. The performance of CONDA at different cut-off ratios α is displayed, with the optimal trade-off highlighted in the graph.

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datasets where *Client 0* was designated for unlearning (U-Set). In CIFAR-10, the *retrained model*achieved an accuracy of 44.96% on the R-Set and 20.59% on the U-Set. CONDA obtains similar
results with 41.44% accuracy on R-Set and 21.86% accuracy on U-Set. Compared to NegGrad, PGA,
and FedEraser, our method achieves significantly better accuracy i.e., closer to the retrained model
accuracy in both U-Set and R-Set. Similar trends are observed in both the MNIST and CIFAR-100
datasets. NegGrad, in particular, shows notably poor performance, highlighting its inability in
handling unlearning within the challenging non-IID setting.

Backdoor Attack. To evaluate the privacy aspect of unlearning, we conduct backdoor attacks using the approach described in Gu et al. (2017). In this setup, backdoor triggers are introduced into part of the target client's dataset, making the global model vulnerable to these triggers and compromising its integrity. A successful unlearning method should reduce the model's accuracy on data with triggers while improving its accuracy on clean data. For all datasets, we introduce 500 backdoor samples, each containing a 40-pixel patch in the corner. The assigned labels are "1" for CIFAR-10 and "0" for MNIST.

417 The global model trained on a non-IID data distribution with backdoor triggers achieves a backdoor 418 accuracy of 35.41% on CIFAR-10, correctly classifying 35.41% of test images with triggers (see 419 Table 3). The goal of unlearning is to reduce this accuracy to match the retrained model's 21.20%—the 420 gold standard. Among the evaluated methods, NegGrad fully neutralizes backdoor triggers with an 421 accuracy of 0.0%, but it performs poorly on both R-Set and U-Set accuracy, making it impractical. 422 Our CONDA achieves a backdoor accuracy of 22.10%, closely aligning with the retrained model, 423 indicating effective backdoor mitigation. In contrast, PGA and FedEraser report backdoor accuracies of 32.94% and 18.82%, respectively. Similar results are observed on MNIST, confirming CONDA as 424 an effective approach for mitigating backdoor vulnerabilities. 425

Membership Inference Attack (MIA). We employ Membership Inference Attacks (MIA) Shokri et al. (2017) as another evaluation metric, aiming to ensure that, after unlearning, an attacker cannot distinguish between examples that were unlearned and those that were never part of the training data, thereby safeguarding the privacy of the client requesting deletion. In an ideal defense, the attacker's accuracy would be 50%, signifying their inability to distinguish between the two sets, thus indicating the success of the unlearning method. Table 3 presents the MIA accuracy results across the three datasets. We note that all baseline methods achieve MIA accuracies close to 50%. Similarly, the

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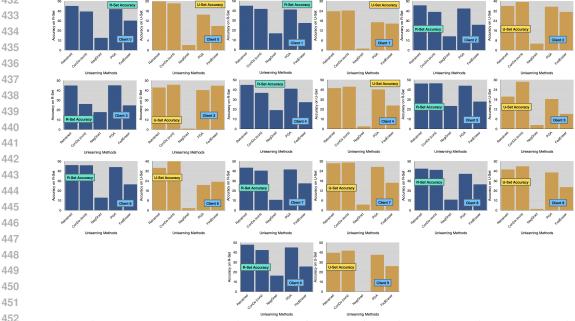


Figure 6: We compare the unlearning results of CONDA for various clients (client 0 - client 9 in 453 CIFAR-10) and compare with the existing state-of-the-art methods. In most cases, CONDA closely 454 follows the *Retrained* model in both U-Set and R-Set accuracy and performs much better than existing 455 methods.

457 proposed CONDA reports MIA accuracies of 50.22%, 50.00%, and 52.11% for CIFAR-10, MNIST, 458 and CIFAR-100, respectively.

459 **Runtime Comparison.** Runtime speed is crucial in federated machine unlearning because it directly 460 impacts the system's ability to promptly remove sensitive or outdated information from the global 461 model. Rapid unlearning minimizes the downtime of federated models, allowing them to quickly 462 adapt to updated datasets while maintaining high performance. This is particularly important in 463 dynamic environments, where data evolves continuously, and compliance with privacy regulations 464 requires timely and effective data removal. Fast unlearning can also help in ensuring user privacy is 465 protected in real-time, addressing data deletion requests efficiently.

466 In Figure 3, we compare the runtime of the proposed CONDA with the existing methods. We 467 particularly focus on the time taken by different unlearning methods to unlearn the global model 468 and observe that our method CONDA significantly outperforms other baseline methods. CONDA 469 is approximately $5,882 \times \text{faster}$ than PGA, approximately $3,584 \times \text{faster}$ than FedEraser, and 470 approximately $43,408 \times \text{faster than the NegGrad method.}$ Our method is faster than all other methods. 471 Moreover, unlike PGA and FedEraser, our method doesn't require data from the forget client and is free from any kind of fine-tuning. This significantly reduces the runtime of CONDA. 472

473 Overview of Results and Take-Aways. Our proposed CONDA demonstrates superior performance 474 across all key evaluation metrics. It achieves accuracy results that closely match the retrained model 475 on both the forget and retained sets, outperforming baseline methods while being robust to backdoor 476 and MI attacks. Notably, it surpasses all methods in runtime efficiency, making it a highly practical 477 and scalable solution for federated machine unlearning tasks. These results highlight CONDA's 478 balance between privacy preservation, computational efficiency, and robust unlearning.

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4.3 ABLATION ANALYSIS

482 **Impact of Cutoff-Ratio.** In equation 8, we introduced the cut-off ratio α , which regulates the 483 influence of the unlearning process on the model's updates, selectively dampening contributions from the forget clients. Figure 4 demonstrates the impact of varying α across different datasets. Our goal 484 is to optimize performance by maximizing accuracy on the retained set (R-Set), minimizing accuracy 485 on the unlearned set (U-Set), and ensuring that backdoor and MIA attack values are comparable to

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Figure 7: We show the results of multiple clients unlearning in CONDA and compare with the existing state-of-the-art methods. In most cases, CONDA closely follows the *Retrained* model in both U-Set and R-Set accuracy and performs much better than existing methods.

U-Set

those of a fully retrained model. For CIFAR-10, the optimal cut-off ratio is $\alpha = 0.3$, effectively reducing backdoor effectiveness and bringing MIA accuracy close to the ideal 50%. For both MNIST and CIFAR-100, the best balance between R-Set and U-Set accuracy, along with optimal backdoor and MIA performance, is achieved at $\alpha = 0.4$.

509 510 We find the optimal α for each client in CIFAR-10 and compare the results with the retrained model. 511 For most clients, the optimal α falls between [0.01, 0.2], while for client 8, it ranges from [0.7, 0.9], 512 indicating sensitivity to client-specific data distributions.

513 Next, we compare ConDa with the optimal α against baseline methods in Figure 6. ConDa achieves 514 results closest to the retrained model, outperforming PGA and FedEraser, while NegGrad struggles 515 to retain its R-Set accuracy

Unlearning Multiple Clients. In Figure 7, we apply ConDa to unlearn multiple clients on the
CIFAR-10 dataset, comparing its R-Set and U-Set accuracy against baselines and the retrained model.
Our results show that ConDa achieves a balanced trade-off between R-Set and U-Set accuracy when
unlearning multiple clients. In contrast, methods like PGA and FedEraser tend to prioritize either
U-Set accuracy or R-Set performance, often at the other's expense. NegGrad demonstrates lower
accuracy in both R-Set and U-Set, highlighting the uneven effects of unlearning across different
clients.

523 **Limitations.** Our method requires storing client contributions for all iterations on the server, leading to potential storage overhead, a common challenge in federated learning systems that track client 524 contributions. Additionally, the cutoff ratio and dampening constant must be empirically selected 525 for different datasets, introducing a practical limitation. However, our experiments show that these 526 parameters typically lie within a predictable range, making the selection manageable. Future work 527 could explore automated techniques for determining optimal parameter values, potentially using 528 dataset-specific properties. While effective, further optimizations in memory management and 529 parameter tuning could improve scalability and usability in larger real-world applications. 530

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5 CONCLUSION

We introduced CONDA, a novel framework for fast and efficient federated unlearning through
 contribution dampening. Our method successfully removes client-specific information from federated
 models without retraining or requiring access to the remaining clients' data. Through extensive
 experimentation on multiple datasets, we demonstrated that CONDA achieves significant speedups
 compared to existing methods while maintaining robust model performance. By enabling the erasure
 of client data in federated learning systems, this work provides a vital tool for ensuring compliance
 with regulatory standards and addressing ethical concerns.

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A APPENDIX

665 666 A.1 RELATED WORK

667 Machine Unlearning. Machine unlearning has gained considerable attention in recent years, driven 668 by policies that grant users the right to erase their private data. Bourtoule et al. (2021) proposed 669 SISA, a technique designed to improve unlearning efficiency. Golatkar et al. (2020) introduced 670 effective unlearning strategies for deep neural networks. Tarun et al. (2023a) uses error maximizing 671 noise generation and impair-repair weight manipulation techniques for unlearning. Tarun et al. (2023b) propose Blindspot unlearning method as a novel weight optimization process, useful for 672 regression unlearning tasks. Chundawat et al. (2023a) proposed a teacher-student framework, where 673 knowledge is selectively transferred between competent and incompetent teachers, resulting in a 674 model that no longer retains information from the forget set. Chundawat et al. (2023b) demonstrated 675 unlearning without relying on the original samples. Cotogni et al. (2023) introduces an unlearning 676 method that leverages metric learning by guiding forget-set samples toward incorrect centroids in the 677 feature space, with experimental evaluations demonstrating its effectiveness in both class-removal 678 and homogeneous sample removal scenarios. Foster et al. (2024) proposes Selective Synaptic 679 Dampening(SSD) method that identifies specific model parameters crucial for memorizing certain 680 data, allowing for selective forgetting of targeted examples without compromising overall model 681 performance. Kurmanji et al. (2024) introduces a scalable unlearning model that overcomes the 682 limitations of prior methods by using a teacher-student framework to selectively forget data, while addressing scalability and performance issues in machine unlearning. Huang et al. (2024)proposes 683 a meta-learning framework that balances forgetting and remembering in machine unlearning by 684 leveraging feedback from a small subset of the remaining data and membership inference models 685 to enhance generalization and optimize unlearning performance. More recently, Chatterjee et al. (2024) integrates continual learning and unlearning, using knowledge distillation to balance new 687 information acquisition and selective forgetting. Sharma et al. (2024) proposes new evaluation 688 metrics revealing limitations in current unlearning methods, advancing the understanding of concept 689 erasure in diffusion models. 690

Federated Unlearning. Federated unlearning is an emerging field within machine unlearning, aimed 691 at removing a specific client's data in federated learning systems due to privacy concerns, legal 692 requirements, or the irrelevance of contributions. A basic method involves retraining the entire 693 model from scratch, which is computationally expensive. Liu et al. (2021) introduced FedEraser, a 694 recalibration method based on retained client contributions but requires client data during unlearning. 695 Yuan et al. (2024) improves this by enabling the forgetting of multiple clients and dynamically 696 releasing retained information, though it still involves client-side interaction. Halimi et al. (2022) 697 employs Projected Gradient Ascent (PGA) for unlearning by maximizing the loss on forget data, 698 while constraining model parameters within an L2 norm ball around the reference model, followed 699 by fine-tuning to optimize performance. Su & Li (2023) optimizes retraining by dividing clients into clusters and only updating affected clusters, enhancing efficiency. Wu et al. (2022) reduced accuracy 700 by directly subtracting forget client updates from the global model, but mitigated this with knowledge 701 distillation using unlabeled data on global servers. Zhao et al. (2023) combines knowledge erasure

Class	Client 0	Client 1	Client 2	Client 3	Client 4	Client 5	Client 6	Client 7	Client 8	Client 9
0	118	22	29	130	31	36	2	63	64	5
1	135	47	28	182	7	2	68	8	13	10
2	4	7	40	24	5	5	209	140	5	61
3	111	53	128	49	7	17	3	4	98	30
4	15	42	144	0	117	72	2	1	84	23
5	1	17	9	1	23	163	101	159	6	20
6	130	2	44	3	123	1	28	13	127	29
7	0	32	69	8	40	4	92	210	12	33
8	2	119	169	45	2	2	119	19	20	3
9	2	102	106	4	14	51	80	84	16	41
10	100	49	83	16	130	80	0	2	17	23
11	5	206	61	18	2	81	32	10	76	9
12	9	17	25	214	18	20	118	67	2	10
13	80	22	0	13	1	129	3	55	93	104
14	23	164	57	76	73	45	36	23	1	2
15	0	2	2	103	39	21	69	76	13	175
16	57	19	5	1	88	213	50	40	2	25
17	155	102	2	6	24	2	23	80	76	30
18	82	40	5	1	26	56	60	6	141	83
19	1	7	6	7	180	15	1	46	183	54
20	49	9	62	1	65	91	14	143	62	4
21	128	49	84	19	67	6	5	3	95	44
22	45	61	29	33	8	121	57	133	6	7
23	105	4	89	4	27	18	25	121	41	66
24	53	41	1	7	65	15	123	42	32	121
25	54	54	2	15	25	31	3	20	233	63
26	8	57	23	38	28	1	104	85	126	30
27	48	17	22	183	4	47	15	29	134	1
28	18	39	8	15	139	91	86	67	3	34
29	0	39	93	4	70	3	205	5	38	43
30	30	33	47	17	72	77	19	76	60	69
31	0	33	71	20	96	158	61	38	22	1
32	52	17	119	18	7	2	11	30	79 22	165
33 34	5 44	3 34	24 72	77 35	0 3	36 15	230 213	86 50	22	17 28
34 35	44 110	5 5	114	35 103	5 11	15 51	44	50 23	6 12	28 27
35 36	6	113	114	103	66	31	44	23 6	82	174
30 37	27	115	15	19	50	2	19	111	82 28	217
37	5	12 77	21	6	50 86	2 29	19 54	8	28 42	172
38 39	128	145	21 95	3	26	3	10	33	42 24	33
39 40	128	26	93 167	119	20 14	23	8	55 63	24 28	55 51
40 41	1	20 44	5	119	72	25	89	44	28 146	84
41	14	14	2	132	16	61	2	28	23	138
42	14 55	14 7	12	132	5	122	4	28	23 80	49
43 44	35	1	12 50	7	72	97	4 49	23	38	127
44 45	30 0	61	50 48	56	72 85	123	49	23 19	38 55	47
45 46	10	57	48 85	56 13	85 105	123	4 95	47	55 109	47
40	47	2	122	38	76	103	93 12	47	5	8 148
47 48	47 17	16	122	38 4	70 1	24	3	47	5 9	148 4
48 49	99	42	0	4	53	24 57	3 145	10	61	4 21
49	77	42	U	U	55	57	143	10	01	<i>∠</i> 1

702	Table 4: Distribution of data samples (CIFAR-100) from each class across 10 different clients in a
703	federated learning setup. Distribution of data from class 0 - class 49 for each client is shown below.

743 and memory guidance to reduce discriminability for forgotten data while maintaining accuracy for 744 retained clients. Li et al. (2023) introduces active forgetting by using randomly initiated teacher 745 models to generate fake data, accelerating unlearning while preserving knowledge via Elastic Weight 746 Consolidation (EWC). Fraboni et al. (2024) implements federated unlearning by leveraging an 747 intermediate global model where client contributions surpass a predefined sensitivity threshold. It 748 incorporates a novel Gaussian noise mechanism to perturb the intermediate model, ensuring effective and certified unlearning of the targeted clients. These methods demonstrate the evolving strategies for 749 efficient unlearning in federated systems without compromising model performance. Other methods 750 have been proposed for federated unlearning, each addressing different aspects of model optimization 751 and efficiency (Che et al., 2023; Xiong et al., 2023; Zhang et al., 2023; Liu et al., 2022; Yuan et al., 752 2023).

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Table 5	: Distribution of	data samples (CIFAR-100)	from each	class across	10 different cli	ients in a
federate	ed learning setup	. Distribution of	f data from c	lass 50 - cla	ss 99 for eac	ch client is show	n below.

federate										wn below.
Class	Client 0	Client 1	Client 2	Client 3	Client 4	Client 5	Client 6	Client 7	Client 8	Client 9
50	0	33	110	7	183	46	36	3	14	68
51	79	9	1	80	60	14	70	1	88	98
52	95	5	10	6	6	3	17	124	135	99
53	15	3	1	25	68	93	3	119	9	164
54	10	107	98	163	5	35	2	12	1	67
55	101	9	5	45	55	75	130	8	57	15
56	20	42	145	25	14	17	14	40	101	82
57	26	12	116	118	131	2	5	50	37	3
58	102	2	214	31	40	19	16	60	0	16
59	23	11	71	44	8	18	119	68	97	41
60	18	92	12	167	75	4	7	102	13	10
61	82	7	99	113	9	45	17	90	7	31
62	36	4	14	7	68	49	153	42	38	89
63	18	133	18	12	173	3	36	85	1	21
64	31	34	65	119	33	89	34	32	18	45
65	277	24	27	1	10	150	9	0	1	1
66	108	109	38	19	17	41	16	5	125	22
67	1	20	41	91	11	9	0	156	51	120
68	37	104	12	18	36	25	135	0	104	29
69	16	20	192	18	39	12	20	63	11	109
70	71	21	24	5	116	60	96	39	44	24
71	164	32	13	12	3	9	7	41	76	143
72	13	143	140	25	14	56	22	24	5	58
73	9	2	3	4	107	138	88	21	11	117
74	15	68	16	19	86	5	24	93	12	162
75	6	6	42	48	113	77	68	131	4	5
76	228	38	119	11	6	48	0	34	2	14
77	6	21	46	113	28	144	8	28	94	12
78 70	111	66	49	12	137	5	16	52	34	18
79	22	82	179	1	22	12	58	6	58	60
80	70	1 3	14	107	21	118	16	6 40	18	129
81 82	224 32	5 1	15 26	49 9	11 55	29 16	18 21		29 84	82 33
82 83	52 5	46	20 30	9 47	30	231	59	223 2	84 17	33
83 84	5	40 37	30 154	47	103	10	20	108	60	2
85	5	328	22	5	8	100	20	108	8	14
86	68	117	62	54	25	0	8	58	1	107
87	64	4	34	12	8	51	165	13	1	148
88	14	44	61	12	33	81	129	49	49	25
89	91	99	84	68	89	9	0	12	39	9
90	134	29	11	19	81	14	0	101	13	98
91	41	137	0	4	84	68	0	34	18	114
92	30	12	138	49	4	91	0	9	130	37
93	50	133	0	9	9	55	0	141	76	27
94	169	61	0	100	131	20	0	14	4	1
95	0	221	0	57	131	7	0	52	148	2
96	0	4	0	293	0	18	0	89	72	24
97	0	61	0	80	341	8	0	0	10	0
98	0	24	0	52	130	72	0	0	222	0
99	0	195	Ő	142	0	66	Ő	Ő	97	Ő
	0	175	v	174	0	00	0	0	71	0