# Consecutive Model Editing with Batch alongside Hook Layers

# **Anonymous ACL submission**

#### Abstract

As the typical retraining paradigm is unacceptably time- and resource-consuming, researchers are turning to model editing in order to seek an effective, consecutive, and batchsupportive way to edit the model behavior directly. Despite all these practical expectations, existing model editing methods fail to realize all of them. Furthermore, the memory demands for such succession-supportive model editing approaches tend to be prohibitive, frequently necessitating an external memory that grows incrementally over time. To cope with these challenges, we propose COMEBA-HK, a model editing method that is both consecutive and batch-supportive. COMEBA-HK is memoryfriendly as it only needs a small amount of it to store several hook layers whose size remains unchanged over time. Experimental results demonstrate the superiority of our method over other batch-supportive model editing methods under both single-round and consecutive batch editing scenarios. Extensive analyses of COMEBA-HK have been conducted to verify the stability of our method over 1) the number of consecutive steps and 2) the number of editing instances. Our code will be released via https://github.com/anonymous.

# 1 Introduction

Large Language Models (LLMs) (Chung et al., 2022; OpenAI, 2023; Black et al., 2022; Touvron et al., 2023) have been demonstrated to be capable of recalling factual knowledge about the real world (Brown et al., 2020; Petroni et al., 2020). Nevertheless, researchers also reveal that LLMs often fail to recall the most up-to-date knowledge or information and some specialized knowledge if they are not periodically updated (Liska et al., 2022; Agarwal and Nenkova, 2022; Lazaridou et al., 2021). Despite the fact that fresh and customizable knowledge is highly desired in many areas, such as text generation, question-answering, reasoning, etc.,

updating the model via retraining is both timeand resource-consuming. Additionally, researchers have uncovered that well-trained LLMs do make mistakes. One popular sort of mistake is called hallucination (Tonmoy et al., 2024), which means that LLMs generate text based on "hallucinated" fake knowledge. Although many researchers have tried to mitigate this issue (Qiu et al., 2023; Mündler et al., 2023; Kang et al., 2023; Varshney et al., 2023), the strategy to fix this bug remains unclear. Therefore, researchers have started to seek an efficient approach that could edit LLMs in a customizable, cost-effective way. 042

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To this end, recent years have witnessed many efforts in investigating the model-editing techniques to bypass the retraining paradigm and edit the LLMs directly (Meng et al., 2022; Hartvigsen et al., 2022; Li et al., 2023; Mitchell et al., 2022a,b). Accordingly, several new datasets (e.g., ZsRE (Levy et al., 2017) and COUNTERFACT (Meng et al., 2023)) and corresponding metrics (e.g., reliability, generality, locality, portability (Yao et al., 2023)) are proposed to facilitate the development in this field. However, these methods either require extra expensive training of a meta-network (Mitchell et al., 2022a), or a classifier (Mitchell et al., 2022b), which causes time and resources overhead, or demands an external memory of explicit edit instances for reference (Mitchell et al., 2022b; Hartvigsen et al., 2022), which inevitably escalates the memory requirement. Further, most of the methods proposed so far are only targeted for single-round editing, where the model is rolled back to the initial state after each edit step. This deviates from the application scenario in reality since most users anticipate an editing approach that is succession-supportive and batch-supportive, i.e., a method that advocates consecutive batch editing.

In light of these issues, we propose a novel method, named COMEBA-HK, which performs COnsecutive Model Editing with BAtch along-

side Hook layers. Specifically, COMEBA-HK supports consecutive batch editing and utilizes the hook layers to separate weight change from the original model weight. COMEBA-HK does not need any training or large explicit external memory that stores editing instances. It only needs a tiny amount of memory to collect the optimized weight in the hook layer. To achieve this, we propose a new transformer memory updating mechanism that supports consecutive batch editing settings and design a simple yet effective local editing scope identification technique used in the hook layer that can accurately detect the inputs in the local editing scope. We demonstrate the effectiveness of our method via extensive experiments on ZsRE and COUNTERFACT datasets using two popular autoregressive language models, GPT2-XL and GPT-J (6B). Both the single-round batch settings and consecutive batch settings are included, with the number of consecutive batch editing instances ranging from 1k to 10k. An analysis of the editing scope identification has also been conducted to validate the method. Beyond all these, we implement comprehensive ablation studies to verify the validity of each component and discuss the optimal hyperparameter settings in the method.

# 2 Preliminaries of Model Editing

As defined by Yao et al. (2023), the task of model editing is to efficiently modify an initial base model  $f_{\theta}$  into an edited model  $f_{\theta'}$  whose responses to a particular set of input instances  $\mathcal{X}_t$  are adjusted as desired without affecting the responses of the model to other instances. The intended edit descriptor is denoted as  $(x_t, y_t)$ , where  $x_t \in \mathcal{X}_t$  and  $f_{\theta}(x_t) \neq y_t$ . The post-edit model  $f_{\theta'}$  is supposed to produce the expected output to an intended edit instance  $x_t$ , while preserving the original output to other instances:

$$f_{\theta'}(x) = \begin{cases} y_t & \text{if } x \in \mathcal{X}_t \\ f_{\theta}(x) & \text{if } x \notin \mathcal{X}_t \end{cases} . \tag{1}$$

In particular, there are three standard criteria for model editing, namely Reliability, Generality, and Locality (Yao et al., 2023; Mitchell et al., 2022a,b). Suppose the prediction of the original model to the statement "What is the native language of Joe Biden?" is "French", and the expected post-edit model prediction is "English". To verify the Reliability, we use the same original statement as input and then assess whether the post-edit model

predicts "English" as desired. For Generality, a rephrased statement "What is the native language of Joe Biden?" could be inputted into the edited model to assess whether the output of the model remains as "English". Locality suggests that the model output of an irrelevant statement like "What is the native language of Donald Trump?" should remain unaffected, which means that the post-edit model should output whatever the initial model output to this statement.

The current problem settings of model editing can be generally categorized into three groups (Yao et al., 2023):

1) **Single instance Editing** evaluates the post-edit model performance when only one single knowledge update is performed:

$$\theta' \leftarrow \operatorname*{argmin}_{\theta}(\parallel f_{\theta}(x_t) - y_t \parallel) .$$
 (2)

2) **Batch Editing** evaluates the post-edit model performance in a more realistic scenario where multiple knowledge pieces are modified simultaneously:

$$\theta' \leftarrow \underset{\theta}{\operatorname{argmin}} \sum_{t=1}^{n} (\parallel f_{\theta}(x_t) - y_t \parallel).$$
 (3)

where  $n \leq |\mathcal{X}_t|$  is the batch size and it varies for different methods (Meng et al., 2023; Mitchell et al., 2022a,b; Meng et al., 2022).

3) **Sequential Editing** requires every single edit to be performed successively, and evaluation has to be conducted after a series of knowledge updates (Hartvigsen et al., 2022):

$$\theta' \leftarrow \underset{\theta}{\operatorname{argmin}} \sum_{t=1}^{|\mathcal{X}_t|} (\parallel f_{\theta}(x_t) - y_t \parallel) .$$
 (4)

In this work, we investigate a new and more practical problem setting for model editing, namely **Consecutive Batch Editing**, which aims at executing the editing in a consecutive and batch-edits-supportive way.

$$\theta' \leftarrow \underset{\theta}{\operatorname{argmin}} \sum_{s=0}^{\lceil |\mathcal{X}_t|/n \rceil} \sum_{t=s \times n}^{\min((s+1) \times n, |\mathcal{X}_t|)} (\parallel f_{\theta}(x_t) - y_t \parallel),$$
(5)

where s represents the consecutive editing step.

### 3 Method

We first discuss our method under the single-layer consecutive batch editing setting. Explicitly, we first discuss the process of extending the singlelayer updating mechanism in MEMIT (Meng et al., 2023) from a scenario of single-round batch editing to consecutive batch editing. Then, we describe the motivation, definition, and application of the hook layer and the local editing scope identification operation employed in the hook layer. The practicality of the operation is also clarified. Finally, the motivation and procedure for broadening the method from single to multi-layer cases are discussed.

# 3.1 Single-Layer Consecutive Batch Editing

# 3.1.1 Batch Editing Menchanism

Meng et al. (2023) demonstrate an effective single-layer editing method using minimal squared error. Although it supports multiple edits on a single round, the updates do not account for scenarios involving consecutive updates. In this section, we extend this approach to include consecutive scenarios. Strang (2022) suggests Eq.6 can be optimized if we can find a  $W_0$  that satisfies Eq.7, where  $K_0 = [k_1|k_2|...|k_n]$  and  $V_0 = [v_1|v_2|...|v_n]$  are set of key-value pairs we want to store into the layer.

$$W_0 = \underset{W}{\operatorname{argmin}} \sum_{i=1}^{n} \|Wk_i - v_i\|^2 \qquad (6)$$

$$W_0 K_0 K_0^T = V_0 K_0^T . (7)$$

Thanks to the well-conducted pre-training procedure for most of the available transformer-based LLMs, we can assume that the pre-training weight  $W_0$  satisfies Eq.7, *i.e.*, serves as the optimal solution for Eq.6.

Unlike Meng et al. (2023), we define a successive mass-editing objective:

$$W_{1} = \underset{W}{\operatorname{argmin}} \left( \sum_{i=1}^{r} \|Wk_{i} - v_{i}\|^{2} + \sum_{i=r+1}^{r+u} \|Wk_{i} - v_{i}\|^{2} \right)$$
(8)

$$W_1[K_1 K_2][K_1 K_2]^T = [V_1 V_2][K_1 K_2]^T, (9)$$

where  $K_1 = [k_1|k_2|\dots|k_r] (r \geq n)$  and  $V_1 = [v_1|v_2|\dots|v_r]$  is the set of key-value pairs that have been updated and  $K_2 = [k_{r+1}|k_{r+2}|\dots|k_{r+u}]$  and  $V_2 = [v_{r+1}|v_{r+2}|\dots|v_{r+u}]$  is the set of key-values that are going to be edited. Therefore, the objective (Eq.8) indicates that we want an optimal  $W_1$  that successfully updates the new associations while maintaining the old key-value pairs.

Further expanding Eq.9:

$$(W_1 + \Delta)(K_1K_1^T + K_2K_2^T) = (V_1K_1^T + V_2K_2^T)$$
(10)

$$W_1 K_1 K_1^T + \Delta K_1 K_1^T + W_1 K_2 K_2^T + \Delta K_2 K_2^T = V_1 K_1^T + V_2 K_2^T$$
(11)

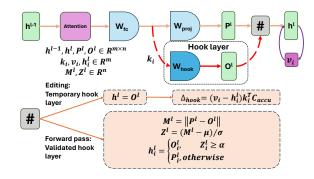


Figure 1: Single layer update with hook layer (residual connections are omitted).  $\| \cdot \|$  means calculate the L2-norm over the keys or values dimension.

In a real consecutive editing scenario, r increases and starts with n, and each batch-editing iteration is optimized through the objective (Eq.8). Hence, we can conclude that  $W_1K_1K_1^T = V_1K_1^T$ . Subtracting it from Eq.11, we get:

$$\Delta K_1 K_1^T + W_1 K_2 K_2^T + \Delta K_2 K_2^T = V_2 K_2^T$$
(12)

$$\Delta = RK_2^T C_{accu}^{-1} , \qquad (13)$$

where  $R=(V_2-W_1K_2)$  is the residual error evaluated on the most recent updated weights (Note that  $W_1=W_0$  if and only if r=n) and  $C_{accu}=(K_1K_1^T+K_2K_2^T)$  the accumulation sum of editing keys' outer product. Note that  $K_1K_1^T$  is computed via:

$$K_1 K_1^T = K_0 K_0^T + K' K'^T$$
, (14)

where  $K_0$  is the set of pre-training keys that have been contained in the pre-training weight,  $K' = [k_{n+1}|k_{n+2}|...|k_r]$  denotes the updated keys proceeding to current editing step. We follow (Meng et al., 2023) to model  $K_0K_0^T$  as the uncentered covariance of some randomly sampled inputs:

$$K_0 K_0^T = \lambda E[kk^T] . (15)$$

Note that the  $\lambda$  represents a factor that balances the pre-trained and the whole updated associations. We follow the definitions and applications of keys and values in (Meng et al., 2023, 2022), where keys are the activations at the last token of the subject and values are gradient-descent optimized vectors that maximize the model's prediction for the target object (Fig.1).

#### 3.1.2 Hook Layer

Yao et al. (2023) demonstrated that those editing methods that directly modify the model parameter

in place struggle with sequential editing. Specifically, the locality decreases drastically when the number of iterations increases. Meanwhile, those methods that freeze the model parameters show more stable performance over iterations. This indicates that it might be helpful to separate the editing change from the model itself. However, directly applying an external memory (Mitchell et al., 2022b; Hartvigsen et al., 2022) that grows over time for a consecutive batch editing scenario is too memorycostly. Therefore, we aim to seek an approach that could store associations without regularly increasing external memory while preserving the original model parameters.

In light of these motivations, we introduce the hook layer (Fig.1), which takes the original model layer weights as the weight initialization and is responsible for all editing weight alteration in the whole editing process of COMEBA-HK. It is similar to the forward hook function defined in popular ML libraries like PyTorch, which adjusts the original forward layer output based on predefined criteria. Theoretically, the hook layer can be hung on any target linear layer in the transformer. Nevertheless, we mainly focus on the critical path identified in (Meng et al., 2023, 2022) as they are verified to be crucial for fact association storage in the autoregressive language model.

As shown in Fig.1, there are generally two sorts of hook layers in this work, namely, the **Temporary hook layer** and the **Validated hook layer**. The temporary hook layer is temporarily applied during the editing process. It replaces the original output with the output from the hook layer so that the residual concerning the hook layer weights is computed instead of the original layer weights. The hook layer weights are then updated (Eq.13) using the calculated residual and the accumulated sum of the keys' outer product. Validated hook layers are employed after each batch editing step at the layer, and they inherit the updated weights from the temporary hook layer. We describe the operation conducted by it in the section 3.1.3.

## 3.1.3 Local Editing Scope Identification

Outlier Detection Given the original outputs produced by the model layer weights and the edited outputs generated by hook layer weights, we need to decide when and which part of the original outputs to swap over. The ideal solution is only to switch those parts of outputs whose keys have been updated to the hook layer weights and leave

other parts unchanged. To this end, we first detect the output parts that have their keys updated. Suppose  $k_i \in K_1, v_i \in V_1$  is an association that has been updated to  $W_1$ , and  $k_i \notin K_1$  is a key that is not included in the updated associations. We show empirically in section 4.4 that  $\| W_1 k_i - W_0 k_i \| \gg \| W_1 k_j - W_0 k_j \|$  holds. This implies that when the hook layer with updated weight  $W_h$  receives an input  $\hat{K} \in \mathbb{R}^{m \times n}$  (batch dimension is ignored for simplicity) that contains an edited key  $k_i \in \hat{K} \cap K_1$ ,  $k_i \in \mathbb{R}^m$ , then we should have  $\| W_h k_i - W_0 k_i \| \gg \| W_h k_j - W_0 k_j \|$ for all  $k_i \in \hat{K} - \hat{K} \cap K_1$ , which means that  $\parallel W_h k_i - W_0 k_i \parallel$  would be outliers among  $\{\parallel$  $W_h k_x - W_0 k_x \parallel : \forall k_x \in \hat{K} \}$ . Hence, detecting outputs of the updated keys can be transferred to detecting the outliers in the L2-norm distribution of inputs. We used the standardization to find the outliers (Fig. 1), which applies the standardization technique to L2-norm vectors of inputs and determines outliers via a predefined threshold  $\alpha$ . Concretely, for the inputs K, we first compute the L2-norm vector  $M^l \in \mathbb{R}^n$ :

$$P^l = W_0 \hat{K} \quad O^l = W_h \hat{K} \tag{16}$$

$$M^{l} = \| (O^{l} - P^{l}) \| . {17}$$

Note that  $\|\cdot\|$  here means computing the L2-norm for each vector over the keys' dimension. Then, we standardize  $M^l$  to get the z-score vector  $Z^l$  and select the swap location by comparing it with  $\alpha$ . The details of choosing  $\alpha$  are discussed in the next paragraph. Specifically, we do:

$$h_i^l = \begin{cases} O_i^l & \text{if } Z_i^l \ge \alpha, \\ P_i^l & \text{ortherwise.} \end{cases}$$
 (18)

where i is the index over keys's dimension.

Threshold  $\alpha$  Determination We denote  $Z_x = \max((M^l - \mu)/\sigma)$  as the maximum z-score of an input  $\hat{K}$ . Since the  $Z_x$  varies for different instances (section 4.4) and is likely to shift as the consecutive editing steps grow, it is unreasonable to set  $\alpha$  as a fixed real number. Therefore, we determine the  $\alpha$  dynamically during the editing process:

$$\alpha_s = \begin{cases} \alpha_z & \text{if } s = 1, \\ \min(\alpha_c, \alpha_{s-1}) & \text{otherwise} \end{cases}, \quad (19)$$

where  $s \ge 1$ . Specifically, the  $\alpha$  is first initialized to a pre-selected value  $\alpha_z$ . At each consecutive editing step s, for the batch of inputs in this step,

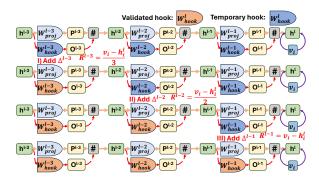


Figure 2: Multiple layer update with hook layer (Attention module and the first FFN are omitted).

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we calculate  $Z_x$  for each single instance and select the minimum  $Z_x$  in the batch (i.e., the supremum) as the candidate  $\alpha_c$ . The  $\alpha_s$  is finally determined to be the minimum between the candidate  $\alpha_c$  and the previous value  $\alpha_{s-1}$ . In practice, we set  $\alpha_z = 2.2$ .

# 3.2 Multiple-layer Consecutive Batch Editing

Given the designed single-layer editing procedure, there exists a risk that the single-layer hook fails to detect the updated keys. Suppose  $k_i$  is an updated key; failure to detect  $k_i$  indicates that the output corresponds to  $k_i$  at this single layer would be the same as the original output  $W_{proj}k_i$ , which consequently leads to the failure of edition to  $k_i$ . To tackle this issue, one potential solution is to apply the hook to multiple model layers rather than a single model layer because the latter layer grasps the chance to capture the edited keys missed by proceeding layers. Furthermore, (Zhu et al., 2020) showcased that minimizing the magnitude of parameter change is helpful for improving the robustness of the model. Thus, we expand our work to multiple layers (Fig.2).

We first find the desired object vector  $v_i$  following a similar procedure in (Meng et al., 2023). However, the optimization is not based on the original model, but the model hung with the validated hook that inherits the most recently updated hook weights from the previous editing step. After  $v_i$  is found, the hook weight is updated at each layer.

At each batch editing step, all the hook layers are initialized to temporary hook layers, which substitute the entire original output to output from hook layers. The purpose of doing this is to ensure that the residual regarding the hook layer weights rather than the original model weights are calculated. Then, the residual is distributed evenly to each layer, and the alteration  $\Delta^l$  to the parameter at each layer is found in a layer-increasing iterative manner with keys, and residuals recomputed

at each iteration (Fig.2). The reason for the recomputation of keys and residuals is that the layerincreasing alteration approach will affect the keys and residuals in the latter layer. For each layer, once the hook layer weight is updated, the hook layer is changed from a temporary hook layer to a validated hook layer to facilitate the computation of the keys and residuals in the latter layer. After the whole editing process is completed, the validated hook layers with the ultimately updated weights are hung on the model to shape the final edited model.

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# 4 Experiments

# 4.1 Experiment Setups

**Datasets & Evaluation Metrics** We use the ZsRE (Levy et al., 2017) and COUNTERFACT (Meng et al., 2023) datasets with the split provided in EasyEdit<sup>1</sup> for evaluation. We employ three popular editing evaluation metrics defined in (Yao et al., 2023; Huang et al., 2023; Cao et al., 2021), *i.e.*, Reliability, Generality, and Locality, as well as the average scores over the three metrics. Further details are provided in Appendix A.

Baselines & Implementation Details For baselines, we adopt several batch-supportive editing methods, including LoRA (Hu et al., 2022), SERAC (Mitchell et al., 2022b), MEND (Mitchell et al., 2022a), MEMIT (Meng et al., 2023) and fine-tuning with specific layer (FT-L) technique used in (Meng et al., 2022; Yao et al., 2023), which only fine-tune a specific layer identified by Rome (Meng et al., 2022) instead of all layers to ensure a fair comparison. We also include a small variation of FT-L called FT-M and a sequential supportive editing method GRACE (Hartvigsen et al., 2022). We choose large autoregressive language models GPT2-XL and GPT-J (6B) as our base models.

For all consecutive editing experiments, the evaluation is conducted after the corresponding entire consecutive steps are finished. For example, in Fig.4, we conduct experiments for sample sizes 200, 400, 600, 800, and 1000, so the evaluation is triggered right after the first 200, 400, 600, etc, samples are edited to the model. Unless specified, the batch size<sup>2</sup> for consecutive editing is selected to be 10. Further details of the baselines and implementation are given in the Appendix B.

<sup>&</sup>lt;sup>1</sup>https://github.com/zjunlp/EasyEdit/tree/main <sup>2</sup>Since GRACE (Hartvigsen et al., 2022) does not support batch editing, we set the batch size to 1 for GRACE.

Method Mode		ZsRE				COUNTERFACT			
11201100		Reliability	Generality	Locality	Average	Reliability	Generality	Locality	Average
FT-L (Meng et al., 2022)		16.85	16.34	71.55	34.91	0.27	0.34	85.18	28.60
FT-M		17.95	17.32	71.26	35.51	0.36	0.42	82.81	27.86
LoRA (Hu et al., 2022)		30.10	29.08	80.54	46.57	5.64	3.46	69.45	26.18
MEND (Mitchell et al., 2022a)		2.16	2.11	20.34	8.20	0.13	0.03	4.22	1.46
SERAC (Mitchell et al., 2022b)		98.64	48.12	35.68	60.81	17.88	14.55	82.25	38.23
MEMIT (Meng et al., 2023)		61.19	49.97	97.51	69.56	81.01	27.67	95.80	68.16
COMEBA-HK		82.21	66.61	99.40	82.74	88.28	40.38	97.66	75.44
FT-L (Meng et al., 2022)	GPT-J	22.57	21.77	99.19	47.84	0.37	0.34	99.57	33.43
FT-M		99.96	80.31	43.35	74.54	99.99	35.29	17.04	50.77
LoRA (Hu et al., 2022)		99.97	83.20	17.64	66.93	99.87	53.10	2.50	51.82
SERAC (Mitchell et al., 2022b)		87.46	63.64	77.35	76.15	16.67	15.93	99.99	44.20
MEMIT (Meng et al., 2023)		93.40	70.45	96.47	86.77	99.57	42.29	95.25	79.04
COMEBA-HK		97.59	72.41	99.10	89.70	87.94	42.76	98.17	76.29

Table 1: Single round batch editing results. The best two average scores are highlighted.

Method	Model	ZsRE			COUNTERFACT				
		Reliability	Generality	Locality	Average	Reliability	Generality	Locality	Average
FT-L (Meng et al., 2022)	GPT2-XL	3.79	2.48	6.60	4.29	1.00	1.00	6.00	2.67
FT-M		8.92	8.41	6.22	7.85	4.00	3.50	5.50	4.33
LoRA (Hu et al., 2022)		0.96	1.29	0.03	0.76	0.50	0.02	0.50	0.34
MEND (Mitchell et al., 2022a)		20.95	18.29	93.69	47.01	0.01	0.00	0.08	0.03
SERAC (Mitchell et al., 2022b)		100	36.03	35.95	57.33	15.41	12.96	81.00	36.46
GRACE (Hartvigsen et al., 2022)		100	0.04	100	66.68	100	0.40	100	66.80
MEMIT (Meng et al., 2023)		34.88	32.96	70.74	46.19	56.00	37.00	31.00	41.33
COMEBA-HK		66.91	56.11	97.23	73.42	86.00	38.00	59.00	61.00
FT-L (Meng et al., 2022)		23.53	21.70	55.27	33.5	2.00	2.00	72.00	25.33
FT-M	GPT-J	64.33	55.63	17.59	45.85	25.50	5.00	2.00	10.83
LoRA (Hu et al., 2022)		1.43	1.39	0.02	0.95	0.50	0.50	0.10	0.37
SERAC (Mitchell et al., 2022b)		86.91	55.36	79.07	73.78	18.49	14.56	98.89	43.98
GRACE (Hartvigsen et al., 2022)		100	0.04	100	66.68	100	0.50	100	66.83
MEMIT (Meng et al., 2023)		63.36	48.90	74.80	62.35	75.00	45.00	42.00	54.00
COMEBA-HK		79.89	61.29	96.52	79.23	95.00	41.00	80.00	72.00

Table 2: Consecutive batch editing results.

# 4.2 Evaluation on Single-round Batch Editing

We first test the effectiveness of our method under basic single-round batch editing settings with batch size 30, i.e., the model is rolled back to the initial state after each batch editing. Both MEMIT and COMEBA-HK need to set the parameter  $\lambda$ , the balance factor between pre-trained and newly updated associations. According to (Meng et al., 2023), higher  $\lambda$  helps preserve the original model behavior (locality) but could harm reliability and generality, and the best overall performance is found at around  $\lambda = 10^4$ . However, with the intent to verify whether our method comprehensively improves the editing, that is, could accept lower  $\lambda$  to assign higher weight for new associations while not sacrificing the locality, we deliberately set  $\lambda = 5 \times 10^3$ for COMEBA-HK and keep it as the optimized value for MEMIT, which are  $2 \times 10^4$  and  $1.5 \times 10^4$ for GPT2-XL and GPT-J respectively.

The evaluation results are shown in Table 1. For GPT2-XL, our method has the best result in almost every metric. Specifically, despite the relatively low  $\lambda$ , our method overwhelms other baselines in generality metrics while maintaining a better locality. This indicates that lowering  $\lambda$  or, in other words, increasing the weight of the new associations does not sacrifice the locality in COMEBA-HK. The improvement in GPT-J is less compared with that in GPT2-XL. However, our method still has the best average score for the ZsRE dataset and a comparable average score with the best in the COUNTERFACT dataset.

# 4.3 Evaluation on Consecutive Batch Editing

We evaluate our method's capability on 1k samples from both datasets for consecutive batch editing, *i.e.*, there is no roll-back. The evaluation is conducted after the end of the whole consecutive batch editing process. We set  $\lambda$  to 15,000 as the scenario

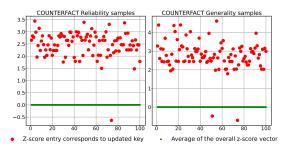


Figure 3: Difference between the z-score entry to the updated key  $Z_{key}^l$  and average of  $Z^l$ .

now is consecutive batch editing.

Results in Table 2 show that most of the methods suffer from a great performance drop contrasted to editing in a single round. Although our method's performance experiences a decrease as well, it surpasses other methods in 100 consecutive steps with an even larger improvement margin for almost all the metrics compared to the single-round batch editing. This demonstrates that our method does not depend on simple regurgitation of the editing samples nor rely heavily on the trade-offs of lowering the balancing factor  $\lambda$  to increase the reliability and locality. An interesting point is that the GRACE performs perfectly in reliability and locality but poorly in generality. As expected, GRACE is superior in reliability since it maintains a codebook to memorize the encountered editing instances. However, its inferiority in generality indicates that it suffers from the problem of regurgitation.

We extend the consecutive steps to 10k to explore the limit of our method. Results can be found in Fig.6. Surprisingly, the locality experiences a great fall from 1k to 2k steps but remains steady from 200 to 1k editing steps, which proves that the hook layer stably obstructs the out-scope samples. Reliability and generality consistently fall as the consecutive steps grow, indicating that there is still room for improvement in this field.

#### 4.4 Validation of Local Editing Scope

Given an updated hook layer with the weight  $W_h$ , the original model weight  $W_0$ , an updated key  $k_i$ , and an out-of-scope key  $k_j$ , we conduct experiments to verify whether  $\parallel W_h k_i - W_0 k_i \parallel \gg \parallel W_h k_j - W_0 k_j \parallel$  holds. We select 100 samples from the COUNTERFACT dataset to edit GPT2-XL using COMEBA-HK, then apply the edited model to these 100 samples and record the z-score to the L2-norm of the difference vector between the last hook layer response and original model response of the updated key (the last subject to-ken), namely, z-score of  $\parallel W_h k_i - W_0 k_i \parallel$ . Both

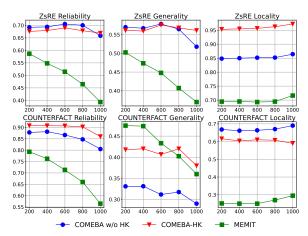


Figure 4: Ablation study for update mechanism and hook layers.

reliability and generality prompts are included for comprehensiveness.

The result is shown in Fig.3. Almost all the z-scores of the responses from updated keys exhibit a great margin from the mean value, with the lowest around 1.5 in reliability samples and 2 in generality samples. This not only validates our editing scope identification (section 3.1.3) technique but also cleans a possible doubt about the undesired block of generality instances in the hook layer. In addition, the discriminative z-score could also ensure the preciseness of the swapping, which can improve the locality.

### 4.5 Detailed Analysis and Discussions

## Ablation Study of Update mechanism and Hook

layers The effectiveness of the derived consecutive updating mechanism and the hook layers are discussed in this part. We run three cases using GPT2-XL, namely, MEMIT (no consecutive updating mechanism, no hook layers), COMEBA without hook (COMEBA w/o HK), and COMEBA-HK for consecutive batch editing on 1k samples from both ZsRE and COUNTERFACT datasets.

The results are demonstrated in Fig.4. In almost all metrics of the two datasets except the generality of COUNTERFACT, the COMEBA w/o the hook performs better than the vanilla MEMIT, and the margin tends to increase as the consecutive steps ascend. This certifies the effectiveness of our derived consecutive updating mechanism in consecutive batch editing scenarios. For the ZsRE dataset, the method with hook layers considerably outperforms the one without hook in the locality without sacrificing reliability and generality. This verifies that the hook layer can efficiently and accurately block the out-scope instances from the input without fraudu-

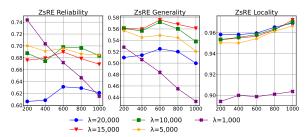


Figure 5: Performance comparisons on initial five different values of  $\lambda$ .

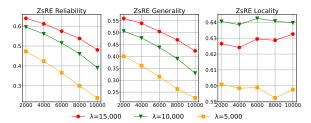


Figure 6: Extension on the best three values of  $\lambda$ .

lently missing in-scope instances. For the COUNTERFACT dataset, the reliability of COMEBA-HK is consistently higher than the other two, and the generality surpasses that of MEMIT after 80 editing steps. It seems that the hook layer causes some side effects in the locality of COUNTERFACT, but this circumstance is not found in the ZsRE dataset. It is worth noting that COMEBA-HK shows the most stable performance as the number of consecutive editing steps grows, demonstrating the great potential of our method for consecutive editing.

**Effect of the Balance Factor**  $\lambda$  We test the effect of different  $\lambda$ , the balance factor between pretraining and newly updated associations. We first evaluate the COMEBA-HK with different  $\lambda$  on 1k samples from ZsRE (Fig.5). It seems that a small value of  $\lambda = 1,000$  would cause significant damage to all three metrics, especially the reliability and generality, since they experience a great drop as the consecutive steps increase. This may result from the overly high magnitude of the weight change caused by the low value of  $\lambda$ , which severely distorts the previously updated associations. Meanwhile, a too-high value of  $\lambda = 20,000$ also seems not to be a good choice, which gives rise to an overly small magnitude of the weight change so that it fails to deliver the new optimized values for keys. The cases of  $\lambda = 5000, 10000, 15000$ do not show an apparent difference, so we extend further the sample size to 10k (Fig.6).

Extended results show that 5000 is not a good choice for large-consecutive editing steps, though it performs no worse than the other two in early 1k samples. The case of  $\lambda = 15,000$  ranks first in reli-

ability and generality. Although it performs worse in locality compared to  $\lambda=10,000$ , the margin between them gradually narrows as the consecutive steps rise. Overall, we conclude that 15,000 would be a reasonable selection.

**More Analyses** Other detailed analyses of hyperparameters and inference time of the proposed method are presented in Appendix C

### 5 Related Work

Recent years have witnessed prosperous development in the field of model editing. According to (Yao et al., 2023), the proposed methods so far can be generally classified into two groups, *i.e.*, modify the model's weight or not.

The methods that do not directly alter the model weights generally follow two directions: they either employ an external memory or introduce additional adjustable parameters. Methods like T-Patcher (Huang et al., 2023) and CaliNET (Dong et al., 2022) apply new neurons that are responsible for specific mistakes in the last layer of the FFN model. Grace (Hartvigsen et al., 2022) introduces a timely adjusted code book to edit the model's behavior. Another group of methods like (Mitchell et al., 2022b) integrates an explicit external memory as edit descriptors to help editing scope recognition.

Those directly altering the model's weight either train a hyper-network to predict the change required by the edits (Mitchell et al., 2022a; De Cao et al., 2021) or first locate corresponding parameters that are responsible for specific knowledge and then edit the located parameters (Meng et al., 2023, 2022; Li et al., 2023; Dai et al., 2022).

### 6 Conclusion

This work introduces a novel model editing method, COMEBA-HK, which advocates the more practical consecutive batch model editing. COMEBA-HK uses an expanded editing mechanism to support consecutive editing and newly proposed hook layers to identify the editing scope. Compared to existing model editing methods, COMEBA-HK does not require large external memory nor extra training for meta-networks or classifiers. Instead, it adopts hook layers whose size remains fixed over time for storing associations. Comprehensive experiments are conducted to verify the method's effectiveness over single-round and consecutive batch editing.

## Limitations

Several aspects remain to be further investigated.

Other types of tasks Notably, model editing techniques could be applied to various types of tasks. Specifically, besides factual knowledge editing, it can be applied to erase hallucinations, biases, privacy information, etc. However, the concentration of this paper is to explore the practicability of expanding the model editing application scenario to consecutive batch editing and investigate the potential bottleneck of corresponding methods under this scenario. Therefore, our experiment focuses on varying the scale of editing samples in factual knowledge editing tasks, as it is a relatively well-studied and universal evaluation task in model editing.

Model scale and architecture Due to the limited computational resources, we cannot verify our method's effectiveness in larger LLMs such as Llama-2 (Touvron et al., 2023), and GPT-NEOX-20B (Black et al., 2022). We focus on the decoderonly autoregressive models and do not include encoder-decoder structure models, as the autoregressive structures are the mainstream architecture nowadays (OpenAI, 2023; Touvron et al., 2023). Further, as stated by Yao et al. (2023), the weight matrix in some models like OPT-13B (Zhang et al., 2022) is not invertible. However, such an issue can be relieved by adding a term  $\beta I$  to the Eq.14, where  $\beta$  is a scalar expected to be small and I is the identity matrix.

The shrink of  $\alpha$  As more and more associations are integrated into the hook layer, the dynamically determined hyperparameter  $\alpha$  will gradually shrink, meaning that an increasing number of vector entries in the original layer output will be swapped by the output from the hook layer, which is likely to lead the drop in locality. Nevertheless, such a problem can be alleviated by the newly designed updated mechanism (Eq.13), which considers both previously updated and newly updated keys.

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# **A** Experiment Details

All baselines are implemented using the EasyEdit (Yao et al., 2023; Zhang et al., 2024; Wang et al., 2023; Cheng et al., 2023; Mao et al., 2023; Zhang et al., 2023) library.

**Evaluation Metrics** We employ three popular editing evaluation metrics defined in (Yao et al., 2023; Huang et al., 2023; Cao et al., 2021), *i.e.*, reliability, generality, and locality. Given an initial base model  $f_{\theta}$ , a post-edit model  $f_{\theta'}$ , and a set of edit instances  $\{(x_t, y_t)\}$ , the reliability is computed as the average accuracy of the edit cases:

$$\mathbb{E}_{(x_t, y_t) \in \{(x_t, y_t)\}} \{ \arg \max_y f_{\theta'}(y|x_t) = y_t \}.$$
(20)

The editing should also edit the equivalent neighbor of the instance  $N(x_t, y_t)$  (e.g. rephrased descriptions). This metric is named generality and is evaluated by the average accuracy on the neighbors of the edit cases:

$$\mathbb{E}_{(x'_t, y'_t) \in \{N(x_t, y_t)\}} \{ \arg \max_y f_{\theta'}(y | x'_t) = y'_t \}.$$
(21)

Despite the editing, those instances that are irrelevant to the edit cases  $\{O(x_t, y_t)\}$  should not be affected. This evaluation is called locality (also known as specificity) and is measured by the proportion of unchanged predictions between the initial model and the post-edit model:

$$\mathbb{E}_{(x'_t, y'_t) \in \{O(x_t, y_t)\}} \{ f_{\theta'}(x'_t) = f_{\theta}(x'_t) \} . \tag{22}$$

**Datasets** ZsRE is a question-answering dataset that uses back-translation to generate equivalent neighborhoods. It is initially used in factual knowledge evaluation and later adopted in model editing by (Mitchell et al., 2022a). COUNTERFACT is

```
"subject": "Barbara Legrand",
"src": "What is Barbara Legrand's position on the field while
playing football?",
"pred": "midfielder",
"rephrase": "What is Barbara Legrand's position on the field
during the football match?",
"alt": "defender",
"answers": ["goalkeeper"],
"loc": "nq question: who played donna in 2 pints of lager",
"loc_ans": "Natalie Casey",
"cond": "midfielder >> defender || What is Barbara Legrand's
position on the field while playing football?"
```

Figure 7: A sample from ZsRE dataset.

```
"case_id": 0,
"prompt": "The mother tongue of Danielle Darrieux is",
"target_new": "English",
"subject": "Danielle Darrieux",
"ground_truth": "French",
"rephrase_prompt": "Where Danielle Darrieux is from, people speak the language of",
"locality_prompt": "Michel Rocard is a native speaker of",
"locality_ground_truth": "French"
```

Figure 8: A sample from COUNTERFACT dataset.

a challenging dataset focusing on counterfactual information with a low prediction score compared to correct facts. It builds out-of-scope data by replacing the subject entity with a similar description that shares the same predicate.

Fig.7 shows an example from the ZsRE dataset. Each record in ZsRE contains the subject string, the factual prompt used for testing reliability, the rephrase prompt used for generality evaluation, and the locality prompt used for evaluating the locality. Note that what the locality demands the post-edit model does is not to predict the ground truth but whatever the initial base model predicts. Similarly, the fact, rephrase, and locality prompts of each record in COUNTERFACT are applied to the evaluation of the three metrics respectively (Fig.8).

## **B** Baselines and Implementation Details

**Fine-tuning** We implemented two fine-tuning methods in the experiments. For FT-L, we follow the procedure in (Meng et al., 2023, 2022) and fine-tune the  $mlp_{proj}$  parameter from layer 0 for GPT2-XL and layer 21 for GPT-J since they are found to have the optimal performance. FT-M<sup>3</sup> is a small variation of FT-L, and it uses a different loss computation procedure to optimize the parameters. For both models, we conduct 25 optimization steps using Adam optimizer (Kingma and Ba, 2015) and

use learning rate  $5e^{-4}$ . All other parameters of both models follow default settings.

**LoRA** Hu et al. (2022) proposed a parameter-efficient fine-tuning method that decomposes the update gradient matrix into two small rank-n matrices, which reduces the required memory for LLM training to a large extent. In all experiments, we set the learning rate and the rank number to  $1e^{-3}$  and 8, respectively. The  $\alpha$  was chosen to be 32, and the dropout rate was 0.1. The number of update steps is 30 for GPT2-XL and 50 for GPT-J.

MEND MEND (Mitchell et al., 2022a) conducts the editing by manipulating the language models' gradient. It trains a meta-network that employs a rank-1 decomposition of the model gradients and predicts a new rank-1 update to the corresponding model weights. In this work, we train two meta-networks using corresponding training split in the ZsRE and COUNTERFACT datasets for GPT2-XL following the default hyperparameter settings. Due to the large required computation resource for training GPT-J (6B) meta-network, we do not perform training for GPT-J.

SERAC Mitchell et al. (2022b) designed a memory-augmented editing method, which requires an external cache to store explicit editing cases. It also adopts a scope classifier that determines whether an input sample falls in the editing scope and a small counterfactual model for editing the in-scope cases. For both GPT2-XL and GPT-J, we train two separate models for the two datasets, respectively. Following the original paper, we apply distilbert-base-cased (Sanh et al., 2019) for the scope classifier across all models. For the small counterfactual model, we employ GPT2 for GPT2-XL and gpt-j-tiny-random<sup>4</sup> for GPT-J. All hyperparameters follow default settings.

**MEMIT** MEMIT (Meng et al., 2023) treats the feedforward layer of transform as a linear associative memory and uses a minimum square error optimization to add new key-value associations to layer weights. We follow the original paper to edit the layers in the identified critical path and set the balance factor  $\lambda$  to the optimal value found in the original work. Other parameters for the two models are all set based on configurations in (Meng et al., 2023, 2022).

<sup>3</sup>https://github.com/zjunlp/EasyEdit/blob/main/ hparams/FT/gpt2-xl.yaml

<sup>&</sup>lt;sup>4</sup>https://huggingface.co/anton-l/gpt-j-tiny-random

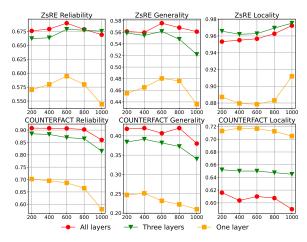


Figure 9: Performance comparisons on the different number of editing layers. Layers are selected from the critical path identified in (Meng et al., 2023).

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**GRACE** Hartvigsen et al. (2022) proposed an editing method that preserves the original model parameters and adopts a codebook maintained by adding, splitting, and expanding keys over time to store relevant edits. We follow the optimized settings in the original paper and set the value optimizing learning rate to 1. The number of iterations for optimizing the values is 100, and the initial  $\varepsilon$  value is chosen to be 1. The codebook is employed at layers 35 and 25, respectively.

COMEBA-HK COMEBA-HK expands the update mechanism in MEMIT to consecutive cases and applies hook layers to separate the weight change from the original model layer. For both models, we set  $\lambda=15,000,\,\alpha_z=2.2$  for consecutive batch editing. Unless specified, we evaluate our method on full critical path layers identified in (Meng et al., 2023). We employ the same procedure in MEMIT (Meng et al., 2023) to compute the updating keys and the target values, except that the most recently updated model during the process of consecutive editing is applied for relevant computations. We applied "torch.float16" for the GPT-J model for all experiments.

# C Detailed analysis and discussions

Effect of the Number of Editing Layers To investigate the necessity of applying the hook layer onto multiple transformer layers, we conduct the consecutive batch editing experiment on the ZsRE dataset for GPT2-XL (Fig.9). As the effect of choosing different layers has already been studied in (Meng et al., 2023), we focus only on the effect of the number of layers. We selected the last one, three, and all layers from the critical path

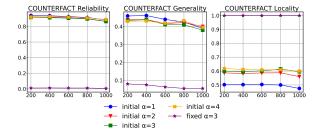


Figure 10: Performance comparisons on different  $\alpha_z$ .

identified in (Meng et al., 2023; Yao et al., 2023), respectively.

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As shown in Fig.9, the one-layer case significantly underperforms the other two cases in most of the metrics for the two datasets, which directly certifies the necessity of the expansion. In ZsRE, the difference between the performance for one layer and multiple layers tends to enlarge in reliability and generality as the consecutive editing steps increase. This may serve as evidence of our assumption in section 3.2, which mentions that the latter hook layer may capture the in-scope instances missed in former hook layers. Additionally, the alllayer case has slightly better generality than the three-layer case, and they do not show a remarkable difference in locality and reliability. A similar situation could be found in the reliability and generality of the COUNTERFACT with an interesting exception in the locality, where an adverse performance order of the cases is shown. Nevertheless, the margin of the locality fall is not that manifest in contrast with the advancement in reliability and generality.

**Effect of the Initial Threshold**  $\alpha_z$   $\alpha_z$  is the initialization value of  $\alpha$  used in the identification of local editing scope (section 3.1.3). We study its influence in this part. According to Fig.10, although the  $\alpha_z = 1$  case ranks the highest in the first 60 editing steps in generality, it consistently performs the worst in locality, indicating that it fails to intercept many out-scope inputs. This implies that 1 may be too low for the initialization. Other cases do not show noticeable differences in the three metrics since  $\alpha_z$  is just the initial value and  $\alpha$  is determined dynamically. It seems that overly low  $\alpha_z$  would damage the hook layer's capacity to discriminate in-scope and out-scope samples. Considering the unpredictable consecutive steps that our method may be applied, we select a relatively low value between 2 and 3, namely,  $\alpha_z = 2.2$ .

To verify the significance of the dynamical determination process, we also test the fix  $\alpha$  case. We

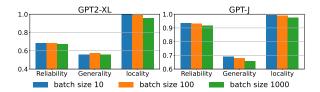


Figure 11: Performance comparisons on different editing batch sizes.

Model	Type	Inference Time (s)
GPT2-XL	Pre-edit Post-edit	0.1187 0.1297
GPT-J	Pre-edit Post-edit	0.0762 0.0863

Table 3: Inference time analysis.

chose the value of 3, the standard threshold used in standardization to detect outliers. The results reveal a dramatic decline in reliability and generality and perfect fulfillment in the locality, indicating that almost all instances are indiscriminately obstructed by the hook layers regardless of the editing scope. Besides, choosing an optimal fixed  $\alpha$  before editing is practically unrealistic. Therefore, it would be more reasonable to decide  $\alpha$  dynamically.

Effect of Editing Batch Size Does the batch size parameter affect the performance of our method? We investigate the effect of batch size by conducting single-round editing on 1k samples from ZsRE. We tested batch sizes 10, 100, and 1000 (Fig.11).

The results show that while the three metrics decrease as the batch size rises, the margin could be negligible, denoting that our method possesses the mass-editing capacity.

Inference Time Analysis As our method will introduce new hook layers to the model, we conduct an experiment to investigate its influence on the model inference. We run GPT2-XL on NVIDIA Titan GPU and GPT-J on NVIDIA A6000. Table 3 shows the running result for the corresponding pre-edit and post-edit models. The hook layers' employment does not seem to delay the model inference too much. This may result from the fact that the hook layers are only introduced for the small proportion of layers in the critical path, and the computation implemented in the hook layers is relatively simple.