Collaborative Performance Prediction for Large Language Models

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Abstract

 Comprehensively understanding and accurately predicting the performance of large language models across diverse downstream tasks has emerged as a pivotal challenge in NLP research. The pioneering scaling law on downstream 006 works [\(Hu et al.,](#page-9-0) [2024;](#page-9-0) [Isik et al.,](#page-9-1) [2024\)](#page-9-1) demon- strated intrinsic similarities within model fam- ilies and utilized such similarities for perfor- mance prediction. However, they tend to over- look the similarities between model families and only consider design factors listed in the original scaling law. To overcome these limita- tions, we introduce a novel framework, Collab- orative Performance Prediction (CPP), which significantly enhances prediction accuracy by leveraging the historical performance of var- ious models on downstream tasks and other design factors for both model and task. We also collect a collaborative data sourced from online platforms containing both historical per- formance and additional design factors. With the support of the collaborative data, CPP not only surpasses traditional scaling laws in pre- dicting the performance of scaled LLMs but also facilitates a detailed analysis of factor im-portance, an area previously overlooked.

027 1 Introduction

 Large Language Models (LLMs) [\(Brown et al.,](#page-8-0) [2020;](#page-8-0) [Ouyang et al.,](#page-10-0) [2022\)](#page-10-0) have emerged as one of 030 the most important AI research powered by large- scale parameters, high computational resources, and massive training data. With the substantial increase in model sizes, the evaluation cost of LLMs' performance becomes even more signifi- cant. For example, testing a single LLM on cer-036 tain benchmarks often requires \$10K+ and 4K+ GPU hours [\(Liang et al.,](#page-9-2) [2023\)](#page-9-2). Therefore, un- derstanding the behaviors and predicting the capa- bilities of LLMs across scales under various tasks becomes a vital question [\(Ganguli et al.,](#page-9-3) [2022a;](#page-9-3) [Owen,](#page-10-1) [2024;](#page-10-1) [Finnveden,](#page-8-1) [2020;](#page-8-1) [Hu et al.,](#page-9-0) [2024\)](#page-9-0) for both researchers and engineers.

Scaling laws [\(Kaplan et al.,](#page-9-4) [2020;](#page-9-4) [Hoffmann](#page-9-5) **043** [et al.,](#page-9-5) [2022;](#page-9-5) [Hernandez et al.,](#page-9-6) [2022;](#page-9-6) [Gordon et al.,](#page-9-7) **044** [2021;](#page-9-7) [Bahri et al.,](#page-8-2) [2024;](#page-8-2) [Muennighoff et al.,](#page-10-2) [2023\)](#page-10-2) **045** have been powerful tools for predicting the capabil- **046** ities of LLMs. It indicates a power-law correlation **047** between the model performance and design factors **048** such as computational measure (*FLOPs*) utilized 049 during training. Although the scaling law was orig- **050** inally proposed as a strong intuitive guide for de- **051** [s](#page-10-3)igning LLM, researchers [\(Hu et al.,](#page-9-0) [2024;](#page-9-0) [Ruan](#page-10-3) **052** [et al.,](#page-10-3) [2024;](#page-10-3) [Isik et al.,](#page-9-1) [2024\)](#page-9-1) have extended its **053** utility into predicting model performances on vari- **054** ous metrics, such as BLEU in Machine Translation, **055** and different tasks. These works can accurately **056** predict model performances by utilizing the simi- **057** larity within each model family, *e*.*g*., models within **058** each family are usually trained on the same dataset. **059** However, there are several issues rooted in their **060** methods: the performance prediction 1) requires 061 transparent design factors that consume substantial **062** training resources to fit the curve, 2) is only tailored **063** to a certain model family and a specific task metric, **064** and 3) neglects the connections among different **065** models and tasks. 066

The aforementioned limitations motivate us to **067** design more effective methods for predicting the **068** performance of LLMs on downstream tasks. Two **069** observations sparked our attention. Firstly, A **070** strong similarity exists between model families, **071** *e*.*g*., LLama-family and GPT-family. Models from **072** different families behave similarly in prediction **073** distribution [\(Shrivastava et al.,](#page-10-4) [2023\)](#page-10-4) and emergent **074** phenomenon [\(Wei et al.,](#page-10-5) [2022\)](#page-10-5). Secondly, with **075** the emerging LLM models and the increasingly **076** diverse tasks, the cost of enumerating and bench- **077** marking models with tasks increases exponentially. **078** Therefore, we aim to utilize the similarities across **079** model families in order to collaboratively predict **080** the model performance over diverse tasks in an **081** accurate yet efficient way. **082**

To incorporate the aforementioned intuitions, we **083**

and tasks; (2) Collaborative Prediction Method, given the model and task IDs to leverage this collaborative data, Figure 1: Framework for Collaborative Performance Prediction of Large Language Models. This schematic delineates two principal components: (1) Collaborative Data, which encompasses a score matrix illustrating the performance of various LLMs across downstream tasks, along with external descriptive factors of both models enabling accurate score prediction.

 084 propose a new scheme, Collaborative Performance 086 mance of LLMs on evaluation tasks. This scheme 085 Prediction (CPP), to efficiently predict the perfor- **087** learns the latent representations of LLMs and tasks, which captures the intrinsic similarity among dif- ferent models and tasks. The interaction (*e*.*g*., in- ner product) between the latent representations of LLMs and tasks can be utilized to predict the per- formance of LLMs on certain tasks. To fulfil the proposed scheme, we collect the LLM performance data from academic papers, technical reports, and open leaderboards covering 72 models and 29 tasks. To summarize, our scheme has several advantages:

- **097** Low Training Cost: Compared with meth-**098** ods [\(Hu et al.,](#page-9-0) [2024\)](#page-9-0) that extend scaling law to **099** various downstream tasks, no pre-training or fine-**100** tuning of LLM is required in our scheme.
- **101 Prediction over proprietary model:** Unlike pre-**102** vious methods [\(Ruan et al.,](#page-10-3) [2024\)](#page-10-3), our scheme **103** supports prediction over proprietary models with-**104** out knowing key design factors, such as compu-**105** tational measures.
- **106 Prediction from small to large:** By utilizing **107** cross-family information, our scheme can accu-**108** rately estimate model performance, *e*.*g*., emer-**109** gent ability, of large models on downstream tasks **110** given the information from small models.
- **111** Beyond Scaling Laws: Our scheme is more gen-**112** eral and can incorporate diverse factors, such as **113** task description factors.
- **114** Factor-level Interpretability: Our scheme can **115** provide interpretability by analyzing the factors **116** importance of LLMs.

 Under our scheme, multiple customized pre- diction methods (*e*.*g*., COLLABORATIVE FITER- ING [\(Koren et al.,](#page-9-8) [2022\)](#page-9-8)) can be incorporated to pre-dict the performance of LLMs, further validating

the feasibility and generality. Our method enables **121** more diverse factors as input, ranging from tradi- **122** tional LLM design factors to task design factors, **123** *e*.*g*., targeted ability and few-shot setting. **124**

Upon extensive experimentation within the open- **125** released core leaderboard of HELM [\(Liang et al.,](#page-9-2) **126** [2023\)](#page-9-2) and our collected historical matrix, our pre- **127** dictive performance demonstrated exceptionally **128** well. Specifically, even without any input of model 129 factors or task factors: in HELM, we use 50% **130** of the scores to predict the other 50%, the pre- **131** dictive ranking (derived from predicted scores) **132** achieves $Accuracy = 10\%$, and $MAE@2 = 39\%;$ 133 in our collected matrix (characterized by a 44% **134** sparsity level) achieves an $Accuracy = 45\%$, and 135 the $MAE@2 = 84\%$. Notably, the accuracy of 136 our prediction from small to large LMs signifi- **137** cantly exceeded that predicted by scaling laws. **138** Using an analysis method similar to SHAPLEY- **139** VALUES [\(Lundberg and Lee,](#page-10-6) [2017;](#page-10-6) [Shapley,](#page-10-7) [1952\)](#page-10-7), **140** we elucidate the importance of different factors, 141 which surprisingly does not fully align with scaling 142 law [\(Kaplan et al.,](#page-9-4) [2020\)](#page-9-4). Therefore, our method is **143** undoubtedly more versatile. **144**

2 Related Work **¹⁴⁵**

2.1 Downstream Scaling Law and **146** Performance Predictability of LLM **147**

Scaling laws [\(Kaplan et al.,](#page-9-4) [2020;](#page-9-4) [Hoffmann et al.,](#page-9-5) **148** [2022;](#page-9-5) [Hernandez et al.,](#page-9-6) [2022;](#page-9-6) [Bahri et al.,](#page-8-2) [2024;](#page-8-2) **149** [Muennighoff et al.,](#page-10-2) [2023\)](#page-10-2) for LLMs have increas- **150** ingly become a focal point in understanding and **151** guiding critical design decisions, such as model **152** size and the characteristics and volume of pre- **153** training data. Traditionally, most research in this **154** area has concentrated on how measures like cross- **155** entropy loss or perplexity scale. Subsequent stud- **156**

 ies have extended these efforts to the scaling be- [h](#page-9-9)avior on translation [\(Isik et al.,](#page-9-1) [2024;](#page-9-1) [Ghorbani](#page-9-9) [et al.,](#page-9-9) [2021;](#page-9-9) [Zhuocheng et al.,](#page-10-8) [2023\)](#page-10-8) and other downstream tasks modeling [\(Caballero et al.,](#page-8-3) [2023;](#page-8-3) [Henighan et al.,](#page-9-10) [2020\)](#page-9-10). The high predictability in LLMs capability has directly spurred extensive research work (see Survey [Anwar et al.](#page-8-4) [\(2024\)](#page-8-4)) exploring whether LLMs can demonstrate pre- dictability on downstream tasks, which are consid- ered highly unpredictable in traditional ML knowl- edge [\(Ganguli et al.,](#page-9-3) [2022a\)](#page-9-3). Particularly, the ["](#page-10-5)emergence" phenomenon [\(Suzgun et al.,](#page-10-9) [2022;](#page-10-9) [Wei](#page-10-5) [et al.,](#page-10-5) [2022\)](#page-10-5) has challenged predictability, where models suddenly exhibit striking capabilities at [s](#page-10-10)pecific training reources. Recent studies [\(Scha-](#page-10-10) [effer et al.,](#page-10-10) [2023\)](#page-10-10) have made remarkable achieve- ments in breaking the discontinuities in perfor- [m](#page-9-3)ance brought about by emergence, and [Ganguli](#page-9-3) [et al.](#page-9-3) [\(2022a\)](#page-9-3); [Owen](#page-10-1) [\(2024\)](#page-10-1); [Finnveden](#page-8-1) [\(2020\)](#page-8-1) demonstrated the predictability on downstream tasks, for instance, [Hu et al.](#page-9-0) [\(2024\)](#page-9-0) directly fits a curve of training resources and downstream task performance by repeatedly pretraining a specific model. Furthermore, [Arora and Goyal](#page-8-5) [\(2023\)](#page-8-5) pre- dicted the performance through decomposing the complex capabilities of LMs to some base skills.

 Given that predictability has now been estab- lished, we reassess the underlying premises that en- able this predictability: the prevailing similarities across multiple models and various downstream [t](#page-10-13)asks [\(Liu et al.,](#page-10-11) [2023;](#page-10-11) [Perlitz et al.,](#page-10-12) [2024;](#page-10-12) [Polo](#page-10-13) [et al.,](#page-10-13) [2024;](#page-10-13) [Torregrossa et al.,](#page-10-14) [2020;](#page-10-14) [Ilic´,](#page-9-11) [2023\)](#page-9-11). Based on this, we step beyond the limitations de- fined by scaling laws and propose a new methodol- ogy to predict the performance of LLMs on various downstream tasks.

193 2.2 Collaborative Filtering

 Collaborative filtering (CF) [\(Koren et al.,](#page-9-8) [2022\)](#page-9-8) is a widely used technique in recommendation systems that predicts users' preferences by collecting the historical preferences of many other users. The un- derlying assumption of CF is that similar users will share similar preferences on similar items. A sem- [i](#page-9-12)nal method in CF is matrix factorization [\(Koren](#page-9-12) [et al.,](#page-9-12) [2009\)](#page-9-12) (MF). It reduces the dimensionality of the user-item matrix by learning the latent factors associated with users and items, respectively. This approach helps handle sparse data and improves scalability. The factorization of the user-item matrix R can be represented as **206**

$$
\mathbf{R} \approx \mathbf{P}^{\top} \cdot \mathbf{Q}, \qquad (1) \qquad \qquad ^{207}
$$

where each column vector in **P** and **Q** represents 208 a specific user or item, respectively, with hidden **209** dimension d. The latent representations of users **210** and items capture the user preferences and item **211** properties in the latent space, and the inner product **212** · can be utilized to predict the interaction between **213** users and items. To optimize the latent feature **214** vectors, the following loss function is employed: **215**

$$
\min_{\mathbf{P},\mathbf{Q}} \quad \sum_{(u,i)\in\Omega} (r_{ui} - \mathbf{p}_u^\top \cdot \mathbf{q}_i)^2, \qquad (2) \qquad \text{216}
$$

which measures the squared differences between 217 the observed ratings r_{ui} and the ratings predicted by the model $\mathbf{p}_u^{\top} \cdot \mathbf{q}_i$ for each user-item pair (u, i) in the set Ω of observed interactions.

Here, [Yang et al.](#page-10-15) [\(2019\)](#page-10-15) transferred the collabo- **221** rative filtering for ML model selection by predict- **222** ing the cross-valided errors, which demonstrates **223** CF's adaptability and efficiency in diverse applica- **224** tion areas. **225**

3 Background and Pilot Demonstration **²²⁶**

3.1 Scaling Law on Downstream Tasks **227**

For classic scaling laws, researchers propose a **228** hypothesized power-law relationship between a **229** model's computational measures C_m (*e.g.*, train- 230 ing FLOPs) and their performance loss L_m (*e.g.*, 231 perplexity). Specifically, for a model m within a **232** family f (*e*.*g*., Llama-2 7B, 13B, and 70B), the **233** relationship is hypothesized as **234**

$$
\log(L_m) \approx \omega_f \log(C_m) + b_f, \qquad (3) \qquad \qquad \text{235}
$$

where ω_f and b_f are scaling coefficients cus- 236 tomized for each model family. Researchers fit **237** this formula through repeated scaling experiments, **238** then use it to accurately predict performance when **239** larger-scale $(C' > C)$. Some studies [\(Finnveden,](#page-8-1) 240 [2020;](#page-8-1) [Owen,](#page-10-1) [2024\)](#page-10-1) have adapted scaling laws to **241** specific downstream task metrics, proposing that **242** sigmoidal functions are more suitable for predic- **243** tions, as follows: **244**

$$
\sigma^{-1}(S_m) \approx \omega_f \log(C_m) + b_f, \qquad (4) \qquad \qquad \text{245}
$$

where S_m refers to the normalized downstream 246 scores of models within the range [0, 1]. How- **247** ever, applying scaling laws across different model **248**

Figure 2: Error Distribution of Predictions (Normalized Score and Rank Derived by Score) Based on the HELM Lite Leaderboard Using Matrix Factorization: We evaluate the effectiveness of Matrix Factorization (MF) using two latent factors, 7 and 10, across 2 training/validation split percentages. Accuracy is defined as the percentage of instances where the predicted rank equals the actual rank. MAE@2 is defined as the percentage of instances where the absolute difference between the predicted rank and the actual rank is 2.

 families on various specific tasks presents a trade- off: fitting unique coefficients for each evaluation scenario (*e*.*g*., Llama 2 on MMLU) is a resource- intensive endeavor [\(Hu et al.,](#page-9-0) [2024\)](#page-9-0); alternatively, estimating these coefficients using a limited num- ber (3-5) of models within the same family may compromise the accuracy of the predictions. More- over, the recent work [\(Ruan et al.,](#page-10-3) [2024\)](#page-10-3) extends scaling law by incorporating latent variables to cap-ture the patterns across model families and tasks.

259 3.2 Pilot Demonstration on HELM

 Scaling laws reveal that models from any family exhibit a similar performance trend as computa- tional measures increase. This insight suggests there are commonalities and connections between different models. These motivate us to employ the MF method to explore more similarities be- yond computational measures, *e*.*g*., the relationship among the different model families and tasks.

 We perform the aforementioned MF on the benchmark matrix to observe the error gap between predicted and truth (normalized) scores. Specifi- cally, we select the core leaderboard provided by HELM for our exploratory experiments with only model name, task name and performance scores. This leaderboard, 68 models and 16 tasks, pre- sented in a score matrix with a density of 82.5%, which includes both open-source and proprietary

models, *e*.*g*., GPT-4 and Jurassic-2. Our method **277** treats all models and tasks as independent enti- **278** ties without introducing any prior similarity factors. **279** We hope to observe whether MF can predict the **280** remaining scores, giving a small part of the matrix, **281** where we evaluate two training/validation sets split 282 strategies: 10%/90%, 50%/50%. As illustrated in **283** Figure [2,](#page-3-0) MF can accurately predict most of the 284 missing scores within a low error range, which **285** proves that it can encode the similarity across the **286** model and the task without regression depending **287** on explicit computational measures variable. **288**

4 Collaborative Performance Prediction **²⁸⁹**

4.1 Definition **290**

Motivated by pilot experiments, here we introduce **291** the concept of "Collaborative Performance Predic- **292** tion" (CPP) to facilitate the performance prediction **293** of LLMs. **294**

Definition 1. Let $M = \{M_1, M_2, ..., M_n\}$ be 295 *a set of n LLMs, and* $\mathcal{T} = \{T_1, T_2, \ldots, T_m\}$ *bea suite of* m *tasks. Define the Score Matrix* S*,* **297** *which is an* $n \times m$ *matrix where each element* s_{ij} *represents the performance score of model* M_i *on* $\text{task } T_j$ *.* s_{ij} *is defined as*

$$
s_{ij} = \begin{cases} score & if tested, \\ unknown & otherwise. \end{cases}
$$
 301

302 *Function: Employ an prediction method* F *to es-*303 *timate the unknown elements of* **S,** *denoted by* \hat{s}_{ij} **,** $\frac{1}{2}$ **, \frac 304** *based on the known values.*

 Extention: Accommodate model design factors $\mathcal{V}_m = \{V_m^1, V_m^2, \ldots, V_m^M\},$ such as common com-*putational meatures, and task design factors* $V_t =$ ${V_t^1, V_t^2, \ldots, V_t^T}$, such as targeted capabilities *and few-shot settings.*

 Based on this definition, our framework consists of two components: 1) collaborative performance data, 2) collaborative prediction methods. We anticipate that an accurate score can be predicted with the historical performance of various models on downstream tasks and other design factors for both model and task. Moreover, we can incorporate or solely rely on the factors describing the LLM and the associated downstream tasks.

319 4.2 Collaborative Data

Figure 3: Distribution of Testing Coverage Across Models and Tasks. The left bar shows the number of tasks each model has been tested on; The right bar illustrates the number of models tested in each specific task.

 Unlike the scaling law approach, which requires training resource factors to obtain the correlation between metric scores and factors at a high train- ing cost, our proposed method makes use of eval- uation results and other design factors reported from existing studies, referred to as *collaborative data*. Open-source leaderboards, such as Open-327 LLM^{[1](#page-4-0)}, HELM, and OpenCompass^{[2](#page-4-1)}, have made tremendous efforts on this issue in fairly evaluat- ing different LLMs. Our efforts extend beyond merely [\(Ruan et al.,](#page-10-3) [2024\)](#page-10-3) utilizing data from open- source leaderboards with matrix sparsity of 0%. We also extract test results from different models' papers, technical reports, and model cards. Ulti-334 mately, we have collected a score matrix of $n = 72$, $m = 29$ with a density of only 56\%. Furthermore, we collected 12 and 4 detailed design factors for models and tasks. These details are listed in Ap-pendix [B.1.](#page-10-16) Our data analysis is shown in Figure [3](#page-4-2)

and Figure [8.](#page-14-0) **339**

Data Analysis. Based on the *collective data*, we **340** can make the following observations: a) Uneven **341** distribution of testing resources. We observe **342** significant variability in the deployment of testing **343** efforts, as shown in Figure [3.](#page-4-2) For instance, models **344** from the LLAMA series have undergone extensive **345** testing across various tasks, in contrast to mod- **346** els like GOPHER, where testing has largely stag- **347** nated. A similar disparity is also evident among **348** tasks, with MMLU and HELLASWAG receiving **349** considerable evaluation, whereas RACE has been **350** relatively underexplored. This trend suggests that **351** as LLMs proliferate and tasks evolve, the distribu- **352** tion of scores across the matrix will increasingly **353** skew, leading to a pronounced long-tail effect in 354 testing coverage for many tasks, barring a few that **355** consistently receive comprehensive evaluations. b) **356** Widespread variations in the scores. It is note- **357** worthy that identical models yield varying scores **358** [o](#page-10-4)n the same tasks across different studies [\(Shrivas-](#page-10-4) **359** [tava et al.,](#page-10-4) [2023;](#page-10-4) [AI@Meta,](#page-8-6) [2024\)](#page-8-6), a variation often **360** attributed to differences in prompt settings, model **361** versions, and the volume of test samples employed. **362** Typically, these score variations range within 0.1, **363** with scores normalized between [0, 1]. This phe- 364 nomenon underscores the importance of public **365** leaderboards and highlights researchers' need to ar- **366** ticulate their testing frameworks when performing **367** customized evaluations clearly. When conflicted, **368** we prefer the results from the Open-LLM leader- **369** board in the collective data. c) Missing descrip- **370** tion/model card. We advocate for consistently **371** providing complete model cards for open-source **372** and proprietary models. Such a phenomenon is **373** shown in Figure [8](#page-14-0) and, unsurprisingly, a long-tail **374** distribution is witnessed. While it is understand- **375** able that proprietary models might withhold spe- **376** cific details about parameters, they can still divulge **377** information about parameter scale and the extent of **378** pre-training. Furthermore, we recommend a more **379** thorough description of testing tasks, including sug- **380** gested few-shot settings and detailed descriptions **381** of targeted capabilities. **382**

4.3 Prediction Methods **383**

In Section [2.2,](#page-2-0) classical collaborative filtering meth- **384** ods are inspired to conduct the performance pre- **385** diction. In principle, most collaborative filtering **386** methods can be applied. Here, in addition to the **387** abovementioned MF, we also leverage neural col- **388**

¹ <https://github.com/bentoml/OpenLLM>

² <https://opencompass.org.cn/>

 laborative filtering [\(He et al.,](#page-9-13) [2017\)](#page-9-13) (NCF) meth- ods, which uses a multi-layer perceptron to learn the model-task interaction function to predict the score \hat{s}_{ij} for a model *i* on a task *j*, providing a way
393 to learn non-linearities in the data: to learn non-linearities in the data:

$$
\begin{aligned}\n\widehat{s}_{ij} &= f(i, j | \mathcal{M}, \mathcal{T}, [\mathcal{V}_i, \mathcal{V}_j], \theta) \\
&= \text{MLP}(p_i, q_j, [e_{vi}, e_{vj}]),\n\end{aligned} \tag{5}
$$

395 where M and T denote the sets of collaborative 396 models and tasks, and their descriptive factors V_i , V_i optionally enrich the input data. Here, p_i and q_i are the latent vectors for model i and task j that capture the intrinsic properties of models and tasks, **as well as embeddings** $[e_{vi}, e_{vj}]$ derived from their descriptive factors, and θ represents the parameters **402** of NCF.

 Moreover, we further simplify the model to ver- ify whether feasible to predict a score when only 405 inputting the descriptive factors V_i , V_j into the pre-diction model:

$$
\begin{aligned}\n\widehat{s}_{ij} &= f(i, j | \mathcal{V}_i, \mathcal{V}_j, \theta) \\
&= \text{MLP}(e_{vi}, e_{vj}),\n\end{aligned} \tag{6}
$$

408 For both settings, where the goal is to predict the **409** scores accurately, the loss function can be defined **410** as follows:

411
$$
L(\theta) = \frac{1}{N} \sum_{(i,j) \in \mathcal{D}} (\widehat{s}_{ij} - s_{ij})^2, \tag{7}
$$

412 where N is the total number of scores set D for 413 training, and s_{ij} is the true score for model i and **414** task j.

⁴¹⁵ 5 Experiments

 In this section, we evaluate the feasibility of CPP from an overall benchmark perspective and a model perspective in Section [5.1](#page-5-0) and [5.2,](#page-6-0) respectively; we then analyze the importance of factors for both models and tasks in Section [5.3.](#page-7-0) Additionally, a substantial amount of ablation and analysis is placed in the appendix [D,](#page-14-1) such as sparsity, the cor- relations in tasks and models, and which models and tasks are more critical for prediction.

 Experimental Setting. Our validation frame- work utilizes the aforementioned collaborative dataset as the score matrix S. We partition scores ${s_{ij}}$ into train and validation set, detailed in Ap-pendix [C.2.](#page-12-0)

Evaluation Metric. To accurately evaluate CPP, **430** we adopt two types of metrics: 1) SCORE-LOSS 431 metrics including MSE LOSS and L1 LOSS be- **432** tween predicted scores and true scores (normalized) **433** on downstream tasks and 2) RANK-ACCURACY **434** metrics including ACCURACY and MAE@2 be- **435** tween the rank of predicted scores and true scores. **436** We elaborate on these metrics in Appendix [C.1.](#page-11-0) 437

5.1 Evaluation from Benchmark Perspective **438**

In this study, we select the abovementioned meth- **439** ods, MF and NCF, to verify whether s_{ij} can be 440 accurately predicted based on the input of model **441** i and task j. To examine whether enhancements **442** is helpful, we modify NCF to support the input of **443** design factors, detailed in Appendix [C.2.](#page-12-0) Based on **444** Figure [4](#page-6-1) and Table [1,](#page-6-2) we can make the following 445 observations: **446**

First, all methods accurately predicted model **447** performance, demonstrating that collaborative fil- **448** tering mechanisms can predict model outcomes **449** based on collaborative data across different mod- **450** els and tasks. This prediction is achieved with- **451** out the need for explicit scaling factors or fitting **452** a log-power curve. Second, from MF to NCF, **453** the transformation in interaction mechanisms fur- **454** ther enhances accuracy, suggesting that model im- **455** provements can further augment the efficacy of our **456** methodology. Additionally, we were able to further **457** increase accuracy by incorporating factors, such **458** as model scaling variables and task descriptions, **459** into the NCF framework alongside ID information. **460** This confirms that incorporating explicit factors **461** can enhance model and task similarities. Finally, **462** among all metrics, we particularly noted that the **463** accuracy of the predictive ranking was acceptable. **464** In other words, researchers can use our method to **465** accurately predict the ranking range of their de- **466** veloped models on test tasks, thereby enhancing **467** model performance on specific tasks. **468**

Predictability with Only Description Factors. **469** We validate whether high predictive accuracy can **470** still be achieved by only inputting the models' and **471** tasks' design factors. As demonstrated in Table [1,](#page-6-2) **472** the accuracy of predicted rankings (derived from **473** predicted scores) remains high, affirming that our **474** method supports predictions based solely on fac- **475** tors. However, the accuracy is lower compared **476** to other models, suggesting that finer-grained la- **477** tent similarities remain encoded as potential fac- **478** tors within the identity information across different **479**

Actual Score interval (CI). A line closer to the diagonal, which indicates perfect prediction, signifies higher prediction confidence interval (CI). A line closer to the diagonal, which indicates perfect prediction, sign Figure 4: Comparative visualization of predictive accuracy across various scoring methods. From left to right: MF, NCF, NCF with Factor Enhancement, and NCF based solely on Factors. Each plot displays the regression between predicted and actual scores, where the solid line represents the regression fit and the shaded area denotes the accuracy. These plots demonstrate the enhanced performance in score prediction achieved through the integration of factors into the NCF method.

Table 1: Comparison of prediction methods for LLM performance. Bold indicates the best-performed.

480 models and tasks.

481 5.2 Evaluation from Model Perspective

Figure 5: Comparison of the predictive performance of collaborative performance prediction (CPP) versus traditional scaling laws (SL) for LLMs: (a) CPP-0, with no prior testing information, and (b) CPP-2, with prior testing on two tasks.

482 To mimic the utilization of CPP in the real world, **483** this section takes a model perspective to investigate **484** the predictive accuracy of CPP upon each model. Specifically, we propose two scenarios: (i) pre- **485** diction with no prior testing information and (ii) **486** prediction with prior testing information on 2 tasks. **487** These two scenarios correspond to real-world cases **488** when the model has not been developed or when the **489** model is tested on a few tasks and expects an accu- **490** rate prediction of its ability on other tasks. In both **491** scenarios, we focus on larger LLMs, e.g., LLama2- 492 70b, as they are more computationally expensive **493** to develop and test, requiring an accurate LLM **494** prediction. 495

We report the results of CPP and SL on both sce- 496 narios in Figure [5](#page-6-3) and can draw the following con- **497** clusions. Under the *CPP-0* scenario, CPP demon- **498** strated greater adaptability across different tasks **499** compared to SL, with points closely aligned along **500** the $y = x$ line ("perfect prediction") in Figure [5](#page-6-3) 501 (a). This suggests that CPP has effectively captured **502** task-specific characteristics, such as value ranges, **503** whereas SL, despite achieving a lower MSE-LOSS, 504 tends to concentrate its predictions around 0.5. Un- **505** der the CPP-2 scenario, the distribution of points **506** of CPP is noticeably closer to $y = x$, as shown in 507 Figure [5](#page-6-3) (b), and its MSE-LOSS is also lower than 508 that of SL. This indicates that leveraging perfor- **509** mance data from other tasks considerably enhances **510** the model's cross-task prediction capabilities, un- **511** derscoring a degree of consistency across tasks for **512** the same model. This approach demonstrates that **513** predictions for scaling LLMs on downstream tasks **514**

 can be dynamically improved by evaluating perfor- mance on less computationally intensive tasks and using those outcomes to predict scores on subse-quent tasks more accurately.

519 5.3 Factor Importance Analysis via **520** SHAPLEY-VALUE

 In this section, we aim to conduct a factor impor- tance analysis of each design factor over CPP. The Shapley value, a concept derived from cooperative game theory [\(Shapley,](#page-10-7) [1952\)](#page-10-7), offers a systematic approach to measuring individual factors' contribu- tion in predictive models [\(Lundberg and Lee,](#page-10-6) [2017;](#page-10-6) [Covert et al.,](#page-8-7) [2021\)](#page-8-7). A detailed formulation of the Shapley value is shown in Appendix [C.3.](#page-12-1) Visual- ization for Shapley values of each design factor is shown in Figure [6.](#page-7-1)

 Based on Figure [6](#page-7-1) (a), we can make the follow- ing observations regarding model factors. First, we have discovered that in addition to tradition- ally important factors such as training data size [a](#page-9-4)nd parameter size mentioned in scaling law [\(Ka-](#page-9-4) [plan et al.,](#page-9-4) [2020\)](#page-9-4), other design factors significantly influence predictive outcomes. These include the model family, context window size, and batch size. Second, the importance of the model family cannot

be overlooked, as it may relate to differences in **540** data quality across models, including proprietary **541** data or specific architectural details. For instance, **542** using a particular model family might mean adopt- **543** ing architectures or optimization techniques better **544** suited to specific tasks. Moreover, the size of the 545 context window also significantly affects model **546** performance. A larger context window allows **547** the model to better understand the context in long **548** texts, which is particularly crucial for long-context **549** LLMs [\(Xiong et al.,](#page-10-17) [2023\)](#page-10-17). Experience [\(Google,](#page-9-14) **550** [2024\)](#page-9-14) has shown that such models perform better **551** across a variety of tasks. Batch size, as another cru- **552** cial factor, affects the stability and speed of model **553** training. An appropriate batch size ensures a bal- **554** ance between the accuracy of gradient estimation **555** and computational efficiency during training. **556**

As for the importance of task factors, results in 557 Figure [6](#page-7-1) (b) show that the *target ability* among **558** all factors is more important. This also implies **559** that similarities between the domains of different **560** tasks can help predict outcomes. This conclusion is **561** consistent with previous observations [\(Ruan et al.,](#page-10-3) **562** [2024;](#page-10-3) [Perlitz et al.,](#page-10-12) [2024;](#page-10-12) [Polo et al.,](#page-10-13) [2024\)](#page-10-13) **563**

In summary, these findings indicate that LLMs **564** performance prediction should not rely solely on **565** traditional design factors limited by scaling law but **566** also on other key factors that might impact overall **567** model performance. **568**

6 Conclusion and Discussion **⁵⁶⁹**

Advancing beyond traditional scaling laws on **570** downstream tasks, we propose a collaborative per- **571** formance prediction framework for large language **572** models. It offers significant advantages, including **573** easy deployment, low training costs, and superior **574** predictive accuracy. Uniquely, it enables the incor- **575** poration of additional design factors and supports **576** an in-depth analysis of their impact, including fac- **577** tor importance and correlations in models and tasks. **578** For prediction, we collect a collaborative data con- **579** taining a large number of historical performance **580** and factors. **581**

The predictive accuracy of our method is ex- **582** pected to improve as it benefits from an expanding **583** pool of collaborative data. Moreover, this approach **584** highlights the potential to identify neglected but 585 vital factors beyond traditional scaling laws, such **586** as task design factors, thereby enriching our com- **587** prehension of LLM performance predictability on **588** downstream tasks. 589

⁵⁹⁰ Limitations

 "Single-source-of-truth". When collecting the *collaborative data*, we hypothesize that each model's performance on each task is identical. However, in the real world, the detailed testing set- ting, for instance, the testing prompt writing, can influence LLM's performance variance. Although we observed this, we only saved one score from different sources. How to incorporate the setting of testing as an additional dimension remains to be solved in future works.

 Susceptibility to data quality. The prediction accuracy of CPP highly depends on the quality of collaborative data. The current version pas- sively collects *collaborative data* from online re- sources. Should information from either of these data sources be incorrect, the prediction capability of CPP would decrease correspondingly. To over- come such a limitation, jointly considering passive information collected from data sources and active information, such as performances of models tested on some tasks by the user, might be a solution. Utilizing techniques such as efficient benchmark- ing [\(Perlitz et al.,](#page-10-12) [2024;](#page-10-12) [Polo et al.,](#page-10-13) [2024\)](#page-10-13) could alleviate the cost of obtaining active information.

⁶¹⁵ Ethics Statement

616 The data we use are collected from public papers, **617** technical reports, open leaderboards, and model **618** cards on GitHub.

⁶¹⁹ References

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A Pilot Demonstrations using Neural **⁸⁹⁵** Collaborative Filtering **⁸⁹⁶**

In this section, we supplemented the error distribu- **897** tion in Figure [7,](#page-11-1) which is generated using neural **898** collaborative filtering on the HELM lite leader- **899** board. Compared to Figure [2,](#page-3-0) it is evident that **900** neural collaborative filtering consistently outper- **901** forms MF across each setting. **902**

B Collaborative Data **903**

B.1 Data Description 904

List of Models and Tasks. The table [2](#page-11-2) contains **905** all the models and tasks we have collected. **906**

Description Factors for Models and Tasks We **907** have collected the characteristics of models and **908** tasks in relevant aspects through model cards, tech- **909** nical reports, and academic papers. We have orga- **910** nized and introduced these characteristics, as well **911**

Figure 7: Error Distribution of Predictions (Normalized Score and Rank Derived by Score) Based on the HELM Lite Leaderboard Using Neural Collaborative Filtering: We evaluate the effectiveness of Matrix Factorization (MF) using two latent factors, 7 and 10, across 2 training/validation split percentages. Accuracy is defined as the percentage of instances where the predicted rank equals the actual rank. MAE@2 is defined as the percentage of instances where the absolute difference between the predicted rank and the actual rank is 2.

Models	Tasks
'LLama-2-7B', 'LLama-2-13B', 'LLama-2-70B', 'Llama 3 8B', 'Llama 3 70B', 'GLM-130B', 'LLaMA-7B', 'LLaMA-13B', 'LLaMA-33B', 'LLaMA-65B', 'GPT-3-175B', 'PaLM-540B', 'Claude-V3 Haiku', 'Claude-V3 Sonnet', 'Claude-V3 Opus', 'GPT-4', 'gpt-3.5', 'BLOOM-176B', 'Luminous Base-13B', 'Luminous Extended-30B', 'Luminous Supreme-70B', 'OPT-175B', 'GPT-NeoX-20B', 'GPT-J-6B', 'sheared llama-2.7B', 'sheared llama-1.3B', 'INCITE-Base-3B', 'INCITE-Base-7B', 'TinyLlama-1.1B', 'OpenLLaMA-3B-v1', 'OpenLLaMA-3B-v2', 'Pythia-1.4B', 'Pythia-2.8B', 'Falcon-7B', 'Falcon-40B', 'Falcon-180B', 'Mistral 7B', 'MPT-30B', 'MPT-7B', 'chinchilla', 'Pythia-70M', 'Pythia-160M', 'Pythia-410M', 'Pythia-1B', 'Pythia-6.9B', 'Pythia-12B', 'Gopher - 280B', 'Gopher - 44M', 'Gopher - 117M', 'Gopher - 417M', 'Gropher - 1.4B', 'Gopher - 7.1B', 'MT-NLG 530B', 'GLaM', 'Phi-1.5-1.3B', 'Phi-2-2.7B', 'Yi-6b', 'Yi-9b', 'Baichuan 1-7B', 'Baichuan 1-13B-Base', 'Baichuan 2-7B-Base', 'Baichuan 2-13B-Base', 'InternLM2-7B', 'InternLM2-20B', 'Skywork-13B', 'BlueLM-7B', 'Owen-7B', 'Owen-14B', 'TigerBot-13b', 'TigerBot-70b', 'Gemma-2b'. 'Gemma-7b'	'BoolQ(0-shot)', 'BIG-bench hard(3-shot)','WinoGrande(0-shot)','WinoGrande(1-shot)', 'Winogrande(5-shot)','PIQA(0-shot)','SIQA(0-shot)','HellaSwag(0-shot)','HellaSwag(10-shot)', $'ARC-e', ARC-c(0-shot)$, $ARC-c(25-shot)$, $'OBOA(zero-shot)$, $MMLU(5-shot)$, 'HumanEval(pass@1)','MBPP(3-shot)','GSM8K(4-shot)','MATH(4-shot)', "TriviaQA(5-shot)", NaturalQuestions(0-shot)", NaturalQuestions(1-shot)", NaturalQuestions(5-shot)", 'NaturalQuestions(64-shot)','LAMBADA(0-shot)','AGIEval English (3-5 shot)','RACE-m', 'RACE-h','LogiQA','WSC'

Table 2: List of Models and Tasks

912 as the corresponding embedding methods, as listed **913** in Table [3:](#page-12-2)

 Note that during data collection, not all factors are available. For these missing factors, such as CO2 and GPU hours, we replace them as zero val-ues when entering data.

918 B.2 Data Analysis

 We conducted a statistical analysis of the data we collected, specifically examining the number of models tested for each task, the number of tasks tested for each model, and the number of models described by each factor. Since each task is con- sistently associated with four factors, we did not create a distribution chart for this aspect.

C Experimental Setup **⁹²⁶**

C.1 Evaluation Metrics **927**

Apart from visualization, we also evaluate the **928** method based on two types of metrics: 1) SCORE- **929** LOSS Metric: we calculate MSE LOSS and L1 **930** LOSS between predicted scores and true scores **931** (normalized) on downstream tasks; 2) RANK- **932** ACCURACY Metric: researchers are sometimes not **933** concerned with detailed scores but rather the rank- **934** ings the model is in, so we calculate the accuracy **935** of rank derived from the predicted scores, ACCU- **936** RACY and MAE@2. ACCURACY refers to the **937** percentage of instances where the predicted rank **938** equals the true rank, and MAE@2 refers to the per- **939** centage of instances where the absolute difference **940** between the predicted rank and the true rank is in **941** 2, the formulation as below: **942**

Model							
Factors	Description	Embedding					
Model Family	Type of model family, e.g., LLAMA 2, PYTHIA	Categorical Embedding					
Pretraining Dataset Size (B)	Data size in millons of tokens	Numerical Embedding					
Parameter Size (M)	Number of model parameters in millions	Numerical Embedding					
GPUh	GPU hours consumed	Numerical Embedding					
FLOPs	Floating-point operations count	Numerical Embedding					
Context Window	Max context size in tokens, $e.g., 1024, 2048$	Categorical Embedding					
Batch Size (M)	Size of batches in millions, $e.g., 1M, 2M$	Categorical Embedding					
Layers	Number of layers in the model	Numerical Embedding					
Number Heads	Number of attention heads	Numerical Embedding					
Key/Value Size	Size of key/value in attention mechanism	Numerical Embedding					
Bottleneck Activation Size	Size of activation in bottleneck layers	Numerical Embedding					
Carbon Emission (tCO2Eq)	Carbon footprint of training	Numerical Embedding					
Task							
Ability	Type of targeted cognitive ability, $e.g.,$ reasoning	Categorical Embedding					
TaskFamily	Related task family ,e.g., ARC	Categorical Embedding					
Output Format	Format of task output, e.g., binary	Categorical Embedding					
Few-Shot Setting	Description of few-shot learning setting,e.g., zero-shot, 32-shot	Categorical Embedding					

Table 3: Design Factors of Models and Tasks

$$
943 \qquad \qquad \text{Accuracy} = \left(\frac{\sum_{i=1}^{N} \mathbf{1}(r_i = \widehat{r}_i)}{N}\right) \times 100\%, \quad (8)
$$

$$
\text{MAE@2} = \left(\frac{\sum_{i=1}^{N} \mathbf{1}(|r_i - \widehat{r}_i| \le 2)}{N}\right) \times 100\%,
$$
\n⁹⁴⁴

945 where N is the total number of validation instances, 946 r_i is the true rank, \hat{r}_i is the predicted rank derived 947 by the predicted score; $\mathbf{1}(\cdot)$ is the indicator function 948 that evaluates to 1 if the argument is true and 0 949 **otherwise;** $|\cdot|$ denotes the absolute value.

950 C.2 Detailed Setting of Validation Prediction **951** Accuracy Experiments

952 In this section, we details the setup of each experi-**953** ment in [5.](#page-5-1)

 Different Prediction Methods. Due to the 44% sparsity of the collected collaboration matrix, we used 5% of the known data as the validation set, with the remaining data serving as the observed training set. Through random splitting, we trained each model five times, deriving an average perfor- mance and variance. We configured our models 961 with latent factors $= 10$, learning rate $= 0.01$, and iteration = 250, 000. The Figure [4](#page-6-1) is the results 963 when random $\text{seed} = 1$.

 Predicting from Small to Large LMs. The fo- cus here is on how to derive the scaling law ap- plicable to specific task metrics. Undeniably, tra-ditional methods do not provide a directly usable

scaling law across all downstream tasks for com- **968** parative analysis. However, we observed in the **969** literature [\(Ruan et al.,](#page-10-3) [2024\)](#page-10-3) that a sigmoidal curve **970** with a single coefficient and a single bias value **971** represents the scaling law for downstream tasks. **972** Moreover, we noticed that this curve's coefficients **973** and bias values have a general range across all tasks, **974** $w = [0.5, 2], b = [-10, -3]$. Consequently, we set 975 this range of coefficients and bias for this curve **976** and then used the normalized scores of smaller **977** models within the same model family and their cor- **978** responding parameter sizes to fit the scaling law **979** curve for each task. This approach generally fol- **980** lows a "pretrain-finetune" methodology. Addition- **981** ally, CPP-2 refers to randomly selecting two scores **982** from the observed performances of the model to **983** be included in the training data. In this experiment, **984** we use factor-enhanced NCF (setting is same as **985** above). **986**

C.3 Detailed Setting of Analysis Experiments **987**

Shapley-Value for Factor Importance Analysis. **988** Given a predictive model f and a set of factors **989** N, the Shapley value of a factor i is computed as **990** follows: 991

$$
\phi_i(v) = \sum_{S \subseteq N \setminus \{i\}} \frac{|S|!(|N| - |S| - 1)!}{|N|!} \qquad (10) \qquad \text{992}
$$

$$
\cdot [v(S \cup \{i\}) - v(S)], \qquad (11)
$$

where: **993**

• *N* is the total set of factors.

Scaled LLMs	Prior Tasks	Score-Loss		Rank-Acc	
		MSE Loss	Mean L1 Loss	Mean Prec. $(\%)$	$MAE@2(\%)$
LLaMA 2-70B	$CF-0$	$1.34e^{-2}$	$8.83e^{-2}$	16.7	50.0
	$CF-2$	$1.79e^{-2}(1.3e^{-3})$	$1.79e^{-2}(5.6e^{-4})$	$9.1(7.5e^{-3})$	$54.5(5.7e^{-4})$
LLaMA 3-70B	$CF-0$	$5.63e^{-2}$	$19.27e^{-2}$	14.3	71.4
	$CF-2$	$1.7e^{-2}(1.41e^{-4})$	$10.7e^{-2}$ (1.68 e^{-3})	$20.0(4.0e^{-2})$	$90.0(9.0e^{-2})$
LLaMA-65B	$CF-0$	$1.73e^{-2}$	$9.78e^{-2}$	24.0	80.0
	$CF-2$	$1.88e^{-2}(1.42e^{-5})$	$10.02e^{-2}(4.1e^{-4})$	$17.3(1.9e^{-3})$	$71.7(4.7e^{-4})$
Luminous Supreme-70B	$CF-0$	$6.06e^{-2}$	$\sqrt{20.14e^{-2}}$	27.27	63.63
	$CF-2$	$1.45e^{-2}(1.1e^{-5})$	$10.79e^{-2}(6.4e^{-7})$	$16.7(3.1e^{-3})$	$83.3(3.5e^{-3})$
Pythia-12B	$CF-0$	$2.19e^{-2}$	$11.2e^{-2}$	21.42	71.42
	$CF-2$	$1.57e^{-2}(2.1e^{-6})$	$10.88e^{-2}(4.6e^{-8})$	$33.3(2.7e^{-2})$	$66.7(6.9e^{-3})$
Yi-9b	$CF-0$	$3.20e^{-2}$	$14.66e^{-2}$	44.4	100.0
	$CF-2$	$0.9e^{-2}(3.1e^{-4})$	$8.1e^{-2}(5.1e^{-6})$	$71.4(9.1e^{-2})$	100(0)
Baichuan 2-13B-Base	$CF-0$	$2.70e^{-2}$	$12.84e^{-2}$	$57.14\,$	100.0
	$CF-2$	$1.0e^{-2}(4.9e^{-4})$	$7.5e^{-2}(4.7e^{-4})$	$40.0(6.2e^{-4})$	100.0(0)
Qwen-14B	$CF-0$	$1.05e^{-2}$	$7.96e^{-2}$	33.3	100.0
	$CF-2$	$3.1e^{-2}(1.8e^{-3})$	$11.1e^{-2}(6.6e^{-3})$	$25.0(7.1e^{-3})$	$91.7(6.9e^{-3})$
TigerBot-70B	$CF-0$	$8.02e^{-2}$	$19.26e^{-2}$	12.5	75.0
	$CF-2$	$4.4e^{-2}(2.9e^{-6})$	$15.3e^{-2}(6.6e^{-5})$	$25.0(6.9e^{-3})$	$83.3(6.1e^{-3})$
Gamma-7B	$CF-0$	$4.94e^{-2}$	$17.62e^{-2}$	15.79	47.36
	$CF-2$	$10.2e^{-2}(3.2e^{-5})$	$25.9e^{-2}(1.6e^{-4})$	$26.4(8.6e^{-4})$	$58.8(1.4e^{-2})$
Falcon-180B	$CF-0$	$5.00e^{-2}$	$17.91e^{-2}$	14.58	57.14
	$CF-2$	$3.2e^{-2}(2.1e^{-5})$	$10.42e^{-2}(7.8e^{-5})$	$23.94(8.5e^{-2})$	$63.6(2.1e^{-5})$
Gopher-280B	$CF-0$	$14.48e^{-2}$	$30.76e^{-2}$	15.38	61.53
	$CF-2$	$10.87e^{-2}(3.6e^{-5})$	$23.59(4.2e^{-4})$	$27.33(1.8e^{-3})$	$66.49(6.8e^{-3})$

Table 4: The accuracy of Predicting Scaled Large LMs in CPP-0, CPP-2.

- 995 *S* is a subset of factors excluding factor *i*.
- 996 |S| is the number of factors in subset S.
- 997 $v(S)$ is the prediction model's output when only **998** the factors in subset S are used.
- 999 $v(S \cup \{i\})$ is the model's output when the factors **1000** in subset S plus factor i are used.
- **1001** The factorial terms |S|! and (|N|−|S|−1)! weigh **1002** the contribution of each subset according to the **1003** number of factors included or excluded, ensuring **1004** a fair allocation across all possible combinations.

The Shapley value, $\phi_i(v)$, quantifies the average marginal contribution of a factor i across all pos- sible combinations of factors. The formula takes every subset S of the total factor set N that does not include i, calculates the difference in the model's prediction output with and without factor i, and averages this difference over all subsets. The av-1012 eraging is weighted by the factor $\frac{|S|!(|N|-|S|-1)!}{|N|!}$, which corresponds to the number of permutations in which subset S appears as a prefix or suffix of 1015 the total set when factor *i* is added.

 This approach ensures that each factor's con- tribution is assessed fairly and comprehensively, accounting for interactions with other factors and its unique impact when combined in different ways. Shapley values are particularly useful in machine **1020** learning for factor importance analysis because **1021** they provide a solid theoretical foundation and are **1022** less biased than simpler importance metrics. **1023**

The Shapley value algorithm for analyzing fea- **1024** ture (factor) importance is computationally inten- **1025** sive, which has led to the development of various approximation methods [\(Jethani et al.,](#page-9-15) [2022\)](#page-9-15). **1027** Fortunately, our predictive model involves a man- **1028** ageable number of factors, allowing us to use the **1029** most accurate method of direct computation of **1030** Shapley values. Specifically, we apply an enumer- **1031** ation approach to compute Shapley values on a **1032** pre-trained factor-enhanced neural collaborative **1033** filtering model during the inference stage. This **1034** involves systematically masking factors to assess **1035** their impact. **1036**

For the implementation, we mask factors differ- 1037 ently based on their data type as outlined in the **1038** Table [3:](#page-12-2) **1039**

- numerical factors: we set the input factor values **1040** to zero; **1041**
- categorical factors: we set the corresponding **1042** embedding layer parameters to zero. **1043**

We then compute the difference in validation loss 1044

Figure 8: The detailed distribution of collaborative data.

 with and without each factor present, providing us with each factor's marginal contribution. This de- tailed approach allows us to quantify precisely how much each factor contributes to the predictive per- formance of the model, providing valuable insights into factor importance and model behavior.

¹⁰⁵¹ D Ablation Study

1052 D.1 Ablation on Sparsity Threshold

 To ascertain whether matrices composed of col- laborative performance data can accurately predict the performance of LLMs, it is essential to con- sider the critical variable: the matrix sparsity. We assessed the impact of sparsity on prediction accu- racy by manipulating the sparsity of the training matrix via masking. This method allowed us to obtain a reliable measure of average accuracy, as

the learning rate and number of iterations as in **1067** illustrated in Figure. [9.](#page-14-2) It is noteworthy that our **1061** method of controlling sparsity only reduces the **1062** number of training samples. We ensured fairness **1063** in each comparative experiment by maintaining a 1064 consistent validation set throughout. During the **1065** experiment, we maintained the same settings for **1066** the main experiment. To ensure the robustness of **1068** our experimental results, each reported outcome **1069** represents the average score after conducting five **1070** random splits. **1071**

Figure 9: Relationship between matrix sparsity and three key performance metrics: L1 Loss, Accuracy, and MAE@2.

of collaborative data. As sparsity levels range from 1074 The data we collected inherently has a sparsity **1072** of 44%. Hence, we only have the remaining 46% **1073** 49.60% to 88.80%(masking 10% to 80% of the **1075** collaborative data), the graph shows a pronounced **1076** increase in L1 Loss and a decrease in Accuracy, **1077** indicating deteriorating model performance with **1078** higher sparsity, especially when sparsity exceeds **1079** 60%, where there is a significant drop in accuracy. **1080** Conversely, MAE@2 remains relatively stable ini- **1081** tially before experiencing fluctuations, suggesting **1082** varying impacts on this metric. Interestingly, ac- **1083** curacy even improves when sparsity reaches 50%. **1084** We think the possible reason for this might be the 1085 presence of an optimal level of information reduc- **1086** tion that removes redundant or noisy data without **1087** significantly compromising signal integrity. This 1088 phenomenon suggests that a moderate level of spar- **1089** sity could potentially enhance model performance **1090** by focusing on more relevant factors. **1091**

D.2 Ablation on Predicting Performance on **1092** Complex Reasoning and CoT Tasks **1093**

From the model perspective, it is crucial for validat- 1094 ing the feasibility of predictive methodologies to as- **1095** sess the predictive accuracy on special tasks poten- **1096** [t](#page-10-9)ially exhibiting "emergent" phenomena [\(Suzgun](#page-10-9) **1097** [et al.,](#page-10-9) [2022;](#page-10-9) [Wei et al.,](#page-10-5) [2022\)](#page-10-5), including complex **1098** [r](#page-10-18)easoning and Chain of Thought (CoT) tasks [\(Wei](#page-10-18) **1099** [et al.,](#page-10-18) [2023\)](#page-10-18). "Emergent' phenomena refers to the **1100** challenges associated with predicting performance **1101** from smaller models when the scale of a model ex- **1102**

 pands significantly, resulting in discontinuous leaps in model capabilities. The existence of this phe- nomenon is subject to ongoing debate. Nonetheless, recent efforts [\(Ganguli et al.,](#page-9-16) [2022b;](#page-9-16) [Hu et al.,](#page-9-0) [2024;](#page-9-0) [Owen,](#page-10-1) [2024;](#page-10-1) [Ruan et al.,](#page-10-3) [2024;](#page-10-3) [Schaeffer et al.,](#page-10-10) [2023\)](#page-10-10) continue to focus on how scaling laws can 1109 be modified to mitigate the "gap" between smaller and larger models. This may involve modifying metrics or incorporating additional data points to linearize the growth curve or alternatively opting for a sigmoidal curve. **0**
b 1

1114 Theoretically, these challenges are not too dif- ficult for our prediction method, as the underly- ing mechanism of "emergent" abilities reflects a type of similarity—a commonality that manifests when models exceed a certain scale. By analyzing cross-model similarities—how other larger mod- els demonstrate emergent capabilities compared to their smaller counterparts—we can enhance our predictive accuracy for the current model. Overall, these tasks are pivotal for comprehensive valida- tion processes, *e*.*g*., GSM8K [\(Cobbe et al.,](#page-8-8) [2021\)](#page-8-8), [B](#page-8-9)BH [\(Suzgun et al.,](#page-10-9) [2022\)](#page-10-9), HUMANEVAL [\(Chen](#page-8-9) [et al.,](#page-8-9) [2021\)](#page-8-9) and MBPP [\(Austin et al.,](#page-8-10) [2021\)](#page-8-10). OC
O
DI

 In detail, if we want to evaluate the performance of predicting a model on these special tasks, the training data is the performance information from other model families, the smaller model of the same family, and the random-selected two non-special tasks prior performance of this model. In our ex- periment, we tested the 4 models on these tasks, and then we plotted the test results on Figure [10.](#page-15-0) As illustrated in Figure [10,](#page-15-0) our predictive scores are more adaptive to each task, where the points are close along the "perfect prediction" line, which means our prediction method captures the similar- ity in the specific task across models. Our proposed method's MSE Loss is comparable to that of the scaling law, which shows the feasibility of CPP (in **1142** CPP-2). 11823
 1152 conditives. The existence of this phe

1162
 1162 in model capabilities. The existence of this phe

nomenon is subject to ongoing debate. Nonetheless

record refors (Gangul ic tal., 20224; Hur tal., 2024;

1143 D.3 Correlation between Models

 Experiment. We conducted a "leave-one-out" ex- periment to test the impact of Model A on the pre- dictive performance of Model B. This involved masking Model A and using the performance of other models to train predictive methods, which were then validated on Model B to observe changes in loss. This approach generated a matrix with the masked model names on the X-axis and the vali-

scaling laws (SL) for Large Language Models (LLMs) in
Complex Peasoning and CoT Tasks Figure 10: Comparison of the predictive performance of collaborative performance prediction (CPP) versus traditional Complex Reasoning and CoT Tasks.

representing the change in loss. **1153**

The "Leave-one-out" experiment is a robust **1154** method commonly used in statistical analysis. To 1155 assess the impact of different models on the pre- **1156** dictive performance of a specific model, we imple- **1157** mented a strategy where we systematically masked **1158** each selected model in the training set. The proce- **1159** dure involved masking each model one at a time **1160** and then training and testing the loss on a validation **1161** model. This process was repeated across all mod- **1162** els, culminating in the creation of a matrix where **1163** axis=0 represents the masked model ID, and axis=1 **1164** represents the validation model ID. The values in **1165** the matrix correspond to the loss observed. This **1166** experiment was conducted under three different **1167** random seeds to ensure the stability and reliability **1168** of the results. **1169**

Subsequently, each model was used as a vali- **1170** dation set, with the remaining data serving as the **1171** training set to calculate the loss for each model. **1172** This also resulted in a matrix where axis=1 indi- **1173** cates the validation model ID, and the columns[:, **1174** valid model id] represent the corresponding loss **1175** for that validation model. We derived a delta loss **1176** matrix by calculating the difference between these 1177 two matrices. **1178**

Given that each validation model has its own 1179 range of loss variations, we normalized the delta **1180** loss matrix. We then performed a row-based cor- **1181** relation analysis on this normalized matrix to as- **1182** sess the impact of each model on predictive perfor- **1183** **1184** mance. The higher the correlation value between **1185** the two models, the more similar their effects on **1186** predictions.

 Analysis. Based on this correlation matrix, we further conducted a hierarchical clustering analy- sis [\(Nielsen,](#page-10-19) [2016\)](#page-10-19). The results indicate that there exists a set of models that are similar in their im- pact on the predictive performance of the model. Other models are far away from them. (Details in **1193** Table [5\)](#page-19-0)

 This analysis not only helps us understand the specific contributions of each model to predictive performance but also reveals the similarities and differences in functionality among the models, pro- viding a crucial basis for model optimization and selection.

 We performed a row-wise correlation analysis [13](#page-17-0) on this matrix and discovered that models from the same family tend to have similar impacts on predictions, as do models of the same size. Af- ter conducting a hierarchical distance analysis, we concluded that a group of models exists that, when more performance data is available, can signifi- cantly enhance the accuracy of the predictive mod- els. There are also what might be termed "noise model performances" in our analysis [D.3.](#page-15-1)

1210 D.4 Correlation between Tasks

1211 We also conducted "leave-one-out" experiments on these tasks and created a heatmap figure. [14](#page-18-0) of **the correlations. Tasks with similar targeted ability testing capabilities demonstrated similar influences, such as GSM8K, MATH [\(Hendrycks et al.,](#page-9-17) [2021\)](#page-9-17),** 1216 ARC [\(Chollet,](#page-8-11) [2019\)](#page-8-11), and HUMANEVAL, which **all require complex reasoning abilities.**

¹²¹⁸ E Others **0.0159**

1219 **E.1 Visualization**

1220 The figure [15](#page-18-1) is the visualization for prediction 1221 **performance of scaled language models on down-1222** stream tasks.

Figure 11: Instance Distribution of the model factor Shapley value. X-axis represents the Shapley value, which indicates the degree of prediction loss change; Y -axis indicates the factor names in order of importance from top to bottom. Each point represents an instance.

Figure 12: Instance Distribution of the task factor Shapley value. X-axis represents the Shapley value, which indicates the degree of prediction loss change; Y -axis indicates the factor names in order of importance from top to bottom. Each point represents an instance.

Figure 13: The correlation heatmap of impacts of different models on prediction performance.

Figure 14: The correlation heatmap of impacts of different tasks on prediction performance.

Figure 15: Prediction performance of various scaled Language Models on downstream tasks. This figure illustrates regression plots comparing the predicted versus actual performance normalized scores for a series of large language models, including Llama-2-70B, Llama-65B, Falcon-180B, Gopher-280B, Pythia-12B, Gemma-7B, TigerBot-70B, Qwen-14B, Luminous Supreme-70B, and Llama-3-70B. Each subplot displays a regression line with a shaded 95% confidence interval and includes the L1 loss for each model's predictions, highlighting the accuracy and variability of predictive capabilities across different models.

Table 5: Distance Cluster of Models