### **000 001 002 003** ROADMAP TOWARDS SUPERHUMAN SPEECH UNDER-STANDING USING LARGE LANGUAGE MODELS

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## ABSTRACT

The success of large language models (LLMs) has prompted efforts to integrate speech and audio data, aiming to create general foundation models capable of processing both textual and non-textual inputs. Recent advances, such as GPT-4o, highlight the potential for end-to-end speech LLMs, which preserves nonsemantic information and world knowledge for deeper speech understanding. To guide the development of speech LLMs, we propose a five-level roadmap, ranging from basic automatic speech recognition (ASR) to advanced superhuman models capable of integrating non-semantic information with abstract acoustic knowledge for complex tasks. Moreover, we design a benchmark, SAGI Bechmark, that standardizes critical aspects across various tasks in these five levels, uncovering challenges in using abstract acoustic knowledge and completeness of capability. Our findings reveal gaps in handling paralinguistic cues and abstract acoustic knowledge, and we offer future directions. This paper outlines a roadmap for advancing speech LLMs, introduces a benchmark for evaluation, and provides key insights into their current limitations and potential.

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## 1 INTRODUCTION

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**029 030 031 032 033 034 035 036 037 038** Paradigms to process *language* have been reshaped due to large language model (LLM) and its scaling law. Given the success of LLMs, one may expect to integrate extensive data in *speech* and *audio* modality into LLMs (similar to visual language models [Liu et al.](#page-11-0) [\(2023\)](#page-11-1); [Li et al.](#page-11-1) (2023)<sup>[1](#page-0-0)</sup>), resulting in a more general foundation model. Towards this path, the exploration on speech foundation models recently brings new research insights from the perspectives of multi-task and multi-lingual processing [\(Radford et al., 2023;](#page-12-0) [Bapna et al., 2021;](#page-10-0) [Zhang et al., 2023c;](#page-13-0) [Seamless Communication](#page-12-1) [et al., 2023;](#page-12-1) [Pratap et al., 2024\)](#page-12-2). A remarkable event is the release of GPT-4o, which is notable for its ability in open-ended speech-to-speech dialogue. Its performance in speech understanding, speech synthesis, and system latency has reached new levels, leading to a wave of studies on speech LLMs. The next question is, *where are we now and where should we go?* To answer this, we begin by introducing the potential of using LLMs to understand speech.

**040 041 042 043 044 045 046 047 048** Processing Speech using LLMs Compared to the traditional approach of feeding ASR-transcribed text into text-only language models, unified speech-language models process raw audio or speech directly in an end-to-end fashion. The *benefits* for using LLMs to process speech are mainly two-fold. I) Preservation of non-semantic information: Processing raw speech directly through language models allows for the preservation of non-semantic information, such as emphasis, speaker identity, background sounds, emotions, and feelings, to the greatest extent possible. II) World knowledge inherited in LLMs: LLMs have superior language understanding capabilities compared to traditional models and store vast amounts of world knowledge. Therefore, starting with an LLM as the foundation for speech processing allows for the natural inheritance of this embedded knowledge, which might benefit at speech recognition level.

**049 050 051 Five-level Speech Understanding** The two benefits highlight the potential of speech LLMs, achieving of which requires the models to perceive complete speech information and achieve abstraction

<span id="page-0-0"></span>**<sup>052</sup> 053** <sup>1</sup>There exists lighweight solutions for adapting language models to process data beyond text (e.g., visual or auditory), such as: 1) using a lightweight encoder and alignment process, and 2) discretizing data into tokens, which supports the autoregressive objectives of LLMs.

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 human performance in a few areas, still fall short in terms of task diversity and comprehensiveness. Most models struggle with even basic paralinguistic information processing, highlighting the need for further improvement. We analyzed four reasons for performance deficiency : 1) limited

<span id="page-2-1"></span>**108 109 110** types of training data, 2) inability to comprehensively perceive acoustic information, 3) inadequate instruction following, and 4) weak LLM backbones.

**111 112 113 114 115 116** The contributions of this paper are as follows: We propose a *roadmap* to surpass human-level speech understanding, outlining five distinct levels to better characterize the current state of speech language models. Additionally, we design a *benchmark* aligned with this roadmap, supplementing existing benchmarks with a variety of tasks. Finally, we present key *findings* from the benchmark, based on evaluations of both speech LLMs and humans, and conduct a comprehensive *analysis* of the factors behind their suboptimal performance, offering insights and guidance for future model and architecture development.

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# <span id="page-2-5"></span>2 ROADMAP TOWARDS UNDERSTANDING SPEECH

<span id="page-2-2"></span>To design a roadmap for future speech LLMs, we first analyzed the development process of speech LLMs in the past (in Sec. [2.1\)](#page-2-2). Following that, we present our philosophy of the roadmap in Sec. [2.2.](#page-2-3)

**123 124** 2.1 THE BACKGROUND

**125 126** Current speech LLMs are mainly divided into two types: the Cascade Paradigm and the End-to-End Paradigm. Below, we will focus on analyzing these two approaches.

**127 128 129 130 131 132 133 134 135 136 137** Cascade Paradigm A straightforward approach to understanding speech using LLMs is to feed speech transcriptions (in text format) into LLMs. This is known as the *cascade* paradigm (see the left in Fig. [2\)](#page-2-4). While this method allows for basic speech understanding, it lacks the ability to perceive non-semantic information (e.g., emotion, stress) within LLMs. This hinders a deeper understanding of the spoken content as its non-semantic information is often crucial for fully grasping the intent or nuances in speech.

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**138** End-to-end Paradigm In contrast, an *end-to-end*

**139 140 141 142 143 144** Figure 2: *Cascade* and *End-to-end* paradigms. speech LLM can process both semantic and nonsemantic information simultaneously within a single model. This approach not only retains more detailed information within the LLM but also allows the world knowledge embedded in the LLM to interact directly with speech data. Note that this end-to-end speech paradigm introduces additional complexity, as it requires LLMs to handle raw speech data, which operates at a lower level compared to textual inputs.

**145 146 147 148** In summary, the end-to-end solution enables LLMs to directly handle non-semantic information, such as emotions. Additionally, due to its stronger perceptual capabilities, it holds greater potential for understanding and applying abstract acoustic knowledge. As a result, end-to-end solution can be considered the future direction for the development of speech LLMs.

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### <span id="page-2-3"></span>2.2 THE PHILOSOPHY OF THE ROADMAP

**151 152 153 154 155 156 157** With the rise of large language models (LLMs), there is an increasing demand to understand information beyond text, particularly speech. The core idea is that speech conveys richer information than text alone, positioning ASR (Automatic Speech Recognition) as a foundational level. End-toend speech LLMs can begin with ASR capabilities to directly leverage the capabilities of text LLMs. And then, it progressively incorporate more advanced comprehension of non-semantic features. Finally it contains the ability to retain and apply abstract acoustic knowledge. This progress can be described as evolving through the following five levels:

<span id="page-2-0"></span>**158 159** Level 1. *Speech Recognition Level At the most basic level, a speech language model should be capable of recognizing text.*

**160 161** These tasks form the most fundamental requirements for interacting with large models using speech. However, even at Level [1,](#page-2-0) the model offers limited advantages over a traditional cascade approach



# $T_{\text{e}}$ kle $\sim$  Levels of speech understanding using LLMs

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> (e.g., feeding ASR-transcribed text into LLMs). The real benefits of speech LLMs begin to emerge at the next level, with the ability to capture non-semantic features such as paralinguistic information.

**183 184 185** Level 2. *Basic Paralinguistic Perception Level At this level, Speech LLMs gain the ability to perceive basic paralinguistic features in speech, such as tone, pitch, volume, rhythm, and speech rate.*

**186 187 188 189** These elements are essential to speech comprehension and provide distinct advantages over pure text-based models (or Speech LLMs at Level [1\)](#page-2-0). While this lays the foundation for more advanced capabilities, the insights derived at this level are still relatively shallow. For deeper understanding, we must move to Level [3,](#page-3-0) where a model comprehends a broader range of non-semantic information.

<span id="page-3-0"></span>**190 191 192 193** Level 3. *Non-semantic Comprehension Level At this stage, the Speech LLM extends beyond basic paralinguistic features and is capable of comprehending and interpreting more complex nonsemantic information, such as emotions, sarcasm, and heightened states like pride.*

**194 195 196 197 198** For example, emotions are higher-level human experiences that involve cognitive functions, distinguishing them from basic paralinguistic information. Interestingly, even some higher animals, like pet dogs, can perceive these types of non-semantic information. To fundamentally distinguish humans from animals, we designed Level [4](#page-3-1) by leveraging the human strengths in higher-level cognitive capabilities.

<span id="page-3-1"></span>**199 200** Level 4. *Speech Specialist Level At this advanced level, Speech LLMs integrate expert-level abstract acoustic knowledge to handle a few specific, complex tasks.*

**201 202 203 204 205 206** This requires integrating abstract acoustic knowledge which are advanced knowledge derived from acoustic information. This goes beyond mere recognition and comprehension at Level [1](#page-2-0) and Level [2,](#page-2-1) requiring the model to apply higher-order thinking skills (such as analysis, evaluation, and creation) based on acoustic information  $2$ , according to Bloom's cognitive taxonomy [Krathwohl](#page-11-2) [\(2002\)](#page-11-2). Despite these abilities, the model at this level remains domain-specific, which leads to the need for a fully generalized Speech LLM, as defined by Level [5.](#page-3-2)

<span id="page-3-2"></span>**207 208 209 210** Level 5. *Speech AGI level The ultimate level, Speech Artificial General Intelligence (SAGI), represents a comprehensive speech model that functions as a generalist. It can integrate knowledge from various domains and perform both general and specialized tasks, potentially surpassing human experts.*

**211 212 213** This vision of SAGI represents the culmination of speech understanding, combining domain expertise, adaptability, and the capacity to exceed human performance in speech-based tasks. SAGI's

<span id="page-3-3"></span>**<sup>214</sup> 215** <sup>2</sup>This capability benefits a range of tasks, such as: 1) using cough sounds to identify the type and origin of the cough, 2) pronunciation correction, 3) music appreciation, 4) stethoscope auscultation, 5) early screening for depression and Parkinson's disease, and 6) understanding animal vocalizations.

**216 217 218 219 220 221** potential to outperform humans probably stems from its ability to scale learning time and superior memory retention compared to humans. Due to time constraints, humans can typically only specialize in a narrow domain, as illustrated by '*The 10,000-Hour Rule*' in Malcolm Gladwell's book Outliers. In contrast, LLMs can easily scale their learning time by leveraging larger computing resources. Furthermore, LLMs generally possess longer memory—whether explicit or implicit—than humans, enhancing their ability to retain and apply vast amounts of information.

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3 BENCHMARKING

### 3.1 THE NEW BENCHMARK: SAGI

To implement the roadmap (Sec[.2\)](#page-2-5), we aim to build a comprehensive benchmark to concretes these levels. Though previous benchmarks for speech LLMs have contributed significantly, they focus mainly on the first three levels, neglecting abstract acoustic knowledge and broader SAGI applications (App[.A\)](#page-14-0). Additionally, current benchmarks lack the depth needed for full speech LLM development, particularly in foundational tasks like pitch and volume perception. To address these gaps, we propose a new benchmark, detailed in the following section.



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**Philosophy of Benchmark** The SAGI Benchmark is structured to align with the five levels of speech understanding<sup>[3](#page-4-0)</sup>, and the overview of the benchmark is shown in Tab. [2.](#page-4-1) The tasks are organized into five levels: Level 1 focuses on testing the recognition capabilities of speech LLMs, including ASR, lyrics transcription, and term recognition tasks. Level 2 evaluates foundational perception abilities, such as pitch and volume perception for tasks like age, gender, and emotion recognition. Level 3 assesses non-semantic comprehension, incorporating tasks like emotion-integrated translation, environment perception, and emotional intensity recognition. Level 4 explores the application of abstract acoustic knowledge, specifically focusing on medical-related contexts. Finally, Level 5 envisions the capabilities of **Speech AGI** (**SAGI**), highlighting tasks that promote creativity and diverse thinking, such as appreciating artwork, with a strong foundation in earlier levels.

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<span id="page-4-0"></span><sup>&</sup>lt;sup>3</sup>The types of tasks for Level [4](#page-3-1) and [5](#page-3-2) are not yet complete in the current version; we are working on adding more diverse tasks.



# <span id="page-5-0"></span>Table 3: Performance of Speech LLMs on SAGI Benchmark.

"×" indicates that the model fails to follow the instruction. "\*" denotes that the metric is WER (Word Error Rate) and similar, where lower values are better. "†" indicates that the task is evaluated by GPT-4, with a score ranging from 1 to 4. ‡ note that we use speech instructions to test advanced speech mode of GPT-4o, so it is not fair to directly compare it with other models, details are shown in App. [B.](#page-14-1) Since GPT-4o tends to reject audio related evaluations, we only record the answers after GPT-4o responds positively to the test.

### 3.2 BENCHMARKED OBJECTS

**300 301 302 303 304** Humans To conduct an initial evaluation of human performance, we created evaluation subsets by randomly selecting 80 samples per label for the objective multiple-choice tasks, and 80 samples in total for the other tasks. Four students (two males and two females) with strong English proficiency completed the assessments. The results are recorded in Tab. [3.](#page-5-0) The participant information and consistency test is in App. [D.1.](#page-22-0)

**305 306 307 308 309 310 311 312** Speech LLMs There are four types of speech LLMs, see more details in Sec. [5.](#page-9-0) We selected an open-source model for each type, except for video LLMs, where the performance on audio-only tasks is not stable. For speech-related models, we chose Qwen2-Audio for its strong performance. We selected Mu-llama for the music model and GAMA for the audio model. Additionally, we tested SALMONN as a mixed audio and speech model. We also manually tested the advanced speech mode of GPT-4o. Although it demonstrates a surprisingly interactive experience, it has not yet been tested in depth. Considering that the next step is to build the ability to follow speech instruction, we used speech instruction to test GPT-4o in conversations. For more details on model replication and evaluation settings, please refer to App. [D.](#page-22-1)

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### 3.3 BENCHMARKING RESULTS

**316 317 318 Performance for Humans** As seen in Tab. [3,](#page-5-0) human performs generally well from Level 1 to 3. However, it becomes worse at higher levels due to a lack of acoustic knowledge. On the other side, speech understanding for humans are generally better than speech language models.

**319 320** Take-away 1. *Human performance: Human generally performs well in speech understanding from Level 1 to 3, but fails to reach a high level due to a lack of abstract acoustic knowledge.*

**322 323** Performance for speech LLMs As shown in Tab. [3,](#page-5-0) speech LLMs exhibit a significant weakness in Level 2 which consists of basic listening abilities of the human. These models are currently focused on directly addressing high-level tasks while neglecting basic paralinguistic information perception,

**324 325 326 327** thereby the model fails to shows generalization at higher level. Furthermore, most models do not fully satisfy the requirements at any given level, highlighting a lack of consideration for both task diversity and comprehensiveness. Notably, speech LLMs have outperformed humans in tasks like Emotion Recognition, suggesting they can discern subtle nuances beyond human perception.

**328 329 330** Take-away 2. *Speech LLMs Performance: Speech LLMs still struggle with non-semantic perception and comprehension from Level 1 to Level 3, despite excelling in some tasks, limiting their performance on more complex tasks at higher levels.*

**331 332 333 334 335 336** Performance for GPT-4o We are the first to test the understanding abilities of GPT-4o based on advanced speech mode. Although GPT-4o demonstrated novel capabilities in speech-to-speech conversations, it does not perform well in some audio understanding tasks when speech instructions are applied. On the other hand, it almost refuses to respond to audio and music-related tasks. We believe this is because speech instructions are more likely to make the model vulnerable to malicious attacks compared to text instructions.

**337 338 339** Take-away 3. *GPT-4o performance: Following speech instructions is very challenging, and even GPT has significant room for improvement.*

**340 341 342 343** Future Prospects We observe that abstract acoustic knowledge presents a common bottleneck for both humans and speech LLMs in reaching higher performance levels. Given superior capabilities of LLMs in knowledge acquisition, meanwhile, the deficiencies in diversity and completeness of capabilities can be ameliorated by incorporating additional training data. we contend:

Take-away 4. *Speech LLMs have the potential to exceed human capabilities, yet they currently fall short in addressing the full scope of tasks and integrating abstract acoustic knowledge.*

4 MORE ANALYSIS ON PERFORMANCE DEFICIENCY

In this section, we discuss reasons of performance deficiency in SAGI benchmark. We first consider composition of training data (Sec. [4.1\)](#page-6-0). Then we analyse the model from three perspectives: 1) perception of acoustic information (Sec. [4.2\)](#page-7-0), 2) ability of instruction following (Sec. [4.3\)](#page-8-0), and 3) capacity of LLM backbone (Sec. [4.4\)](#page-8-1).

## <span id="page-6-0"></span>4.1 LIMITED TYPES OF TRAINING DATA



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<span id="page-6-1"></span>Figure 3: Components of three types of training data.

**365 366 367 368 369** We observed in Tab. [3](#page-5-0) that certain tasks, particularly those in Level [2,](#page-2-1) are easy for humans but challenging for speech LLMs. We first analyzed the composition of the training data for speech LLMs, as shown in Fig. [3.](#page-6-1) We found that most speech LLMs tend to disregard audio data except for GAMA, whereas GAMA focuses primarily on audio. This indicates that the data bias across different speech LLMs is distinct, which subsequently leads to variations in task preference.

**370 371 372 373 374 375 376** To further examine the influence of task preference, we compared the performance of various speech LLMs with Whisper V3 (trained with ∼5,000k hours), as shown in Tab. [4.](#page-7-1) We find that Whisper still outperforms other models on the Lyrics Transcription task, benefiting from the massive training data. On the other hand, with the help of the learned knowledge, speech LLMs perform significantly better at recognizing certain terms. This demonstrates that speech LLMs have great potential compared to traditional speech models. Notably, we also tested a small model trained exclusively on an audio dataset. This small model achieved 100% accuracy, while speech LLMs struggled with the task.

### **377** Take-away 5. *Current insufficient diversity and completeness of training data could not help speech LLMs reach a higher level.*

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<b>Subtask</b>	<b>Task type</b>	<b>Model</b>	<b>Result</b>	<b>Best result of LLMs</b>
Language Identification	5-Categories	Whisper	91.45%	96.62%
Auto-Speech Recognition	Generation	Whisper	2.44	2.65
ASR for Legal Term	Generation	Whisper	33.33%	81.04%
ASR for Medical Term	Generation	Whisper	34.98%	53.86%
<b>Auto-Lyrics Transcription</b>	Generation	Whisper	22.10	32.48
<b>Hallucination Rate</b>	2-Categories	Whisper	14.63%	29.26%
Volume Perception	2-Categories	Small model	100.00%	53.22%

**379 380** Table 4: Comparison of speech model and LLMs. The small model uses Transformer with 10M parameters.

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### <span id="page-7-0"></span>4.2 INABILITY TO COMPREHENSIVELY PERCEIVE ACOUSTIC INFORMATION

The current end-to-end paradigm almost universally adopts the stacking paradigm. But the stacking paradigm may suffer from two types of information loss: 1) the latent representation produced by the acoustic encoder does not fully capture or convey the necessary information, and 2) the acoustic encoder fails to transfer all the information to the downstream LLMs.

**399 400 401 402 403 404 405 406 407 408 409 410** We first investigate whether the loss of latent representation contributes to the limited performance. We compare the speech features generated from the same text content, but spoken by different genders and with different emotions. The features are generated by Whisper and analyzed using cosine similarity between the original and perturbed speech. The results, shown in Fig. [4,](#page-7-2) indicate that there is no significant difference between different speech samples. This suggests that emotion and gender information are lost during the acoustic encoder process. This could explain why some speech



<span id="page-7-2"></span>Figure 4: Representation similarity of different speeches. Each speech pair has the same content but is spoken with different style. The representation is generated by the Whisper encoder.

**411 412** LLMs perform poorly on certain simple tasks, as the LLMs cannot compensate for the loss of acoustic information.

**413 414 415 416 417 418 419 420 421** We then assess whether information loss from the acoustic encoder to downstream LLMs limits speech LLMs' performance. We choose the base cases of the ASR task where the WER (Word Error Rate) is higher than 20%, as shown in Tab. [5.](#page-7-3) We found that the error types is different between the whisper and speech LLMs. Considering that Qwen-Audio is built on Whisper, the results confirm that LLMs cannot correct errors from the acoustic model. A typical

<span id="page-7-3"></span>Table 5: Two types of recognizing error. The "truncation" and "over-long" denote the generation is short and longer than the length of reference more than 20% separately.

Model	Total	<b>Truncation</b>	Over-long
Whisper	64		
Qwen-Audio	68	5	n
Qwen2-Audio	149	89	
<b>SALMONN</b>	251	154	

**422 423** difference between Whisper and speech LLMs is the occurrence of overlong generation, which is a form of hallucination.

**424 425 426 427 428 429 430** Another notable phenomenon is that almost 60% of error cases are caused by truncation. Additionally, we observed that the speech LLMs sometimes omits the start of a sentence, which does not happen with Whisper. This prove that speech LLMs suffer the loss of information transfer between the LLMs and the acoustic encoder. The current stacked paradigm often tunes base on LLMs with most parameters frozen, which requires the acoustic features to fit the LLMs' representation space. This requirement hinders the seamless transmission of acoustic information to the LLMs, leading to premature termination of the generation process.

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Take-away 6. *LMs in Current End-to-end solutions fail to encode complete acoustic information.*

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### <span id="page-8-0"></span>**432 433** 4.3 INADEQUATE INSTRUCTION FOLLOWING

**434 435 436** We have observed that some models exhibit poor instruction following in Tab. [3.](#page-5-0) Two reasons can lead to these results: 1) the models do not understand the instructions, and 2) the instruction fails to help the models comprehend the speech.

**437 438 439 440 441 442 443 444 445 446 447 448 449** We classify the cause by observing changes in performance after perturbing the prompt. If the model is insensitive to different perturbed prompts, it indicates that the model cannot understand the prompt. On the other hand, if the models show significantly better performance with a properly structured prompt, it suggests that the model could understand the task, while requires the specific instruction. We choose the two Level [3](#page-3-0) tasks (Age prediction and Ambient Noise Detection) where the instruction following ability is crucial, and the results shown in Fig. [5.](#page-8-2)



<span id="page-8-2"></span>Figure 5: Performance of speech LLMs with different instruction on speaker age task (left) and scenes classification task (right).Gray line shows random selection accuracy. Details about the instructions and results are shown in App. [E.](#page-26-0)

**450 451 452** For the result of Fig. [5,](#page-8-2) we can find the Mullama is not sensitive about the instruction. This prove the model can not figure out this task. Further,

the performance of most speech LLMs highly related with the specific prompt, this shows models are sensitive with the format of instruction. Comparing with the text LLMs which are robust with diverse instruction, the speech LLMs need much effect to guarantee instruction following.

### Take-away 7. *Current speech LLMs follow instructions poorly.*

### <span id="page-8-1"></span>4.4 WEAK LLM BACKBONES

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<span id="page-8-3"></span>Table 6: There different tasks to test the ability of processing the phone



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**470 471 472 473 474 475 476 477 478 479 480 481 482 483 484** Most current speech LLMs follow the paradigm of stacking the acoustic model and text LLMs. This paradigm requires the text LLMs to process audio-like tokens, raising an intuitive question: whether text LLMs have the potential to handle cross-modal tasks. We designed a direct task of converting a phoneme sequence into a complete sentence. The phoneme represents pronunciation in text format, thus understanding phonemes can demonstrate the model's potential to process audio. We designed three different tasks, as shown in Tab. [6.](#page-8-3) The most challenging task requires the model to find the most likely sentence according to the entire phoneme sequence, which takes some time even for humans.

<span id="page-8-4"></span>Table 7: Potential of LLMs to process speech. The metric is WER, and if LLMs show the hallucination or reject to answer, we calculate the WER with 100% for this case.



**485** We evaluate the most commonly used LLMs for building speech LLMs, and the results are shown in Tab. [7.](#page-8-4) We found that the closed-source GPT-4o demonstrates a surprising ability to process

**486 487 488** phonemes, proving that it can easily be converted into a powerful speech LLM. On the other hand, all the open-source models fail to show potential in handling audio. Even when the size of the model parameters is increased, the ability remains very limited.

**489 490 491 492 493 494** One explanation is that open-source models overlook potential audio-related tasks, which is quite unlike GPT-4o. This leads to a significant gap between the two types of models. A piece of evidence supporting this is that Llama 3.1, which emphasizes multi-modal capabilities [Dubey et al.](#page-10-3) [\(2024\)](#page-10-3), shows a noticeable improvement in WER in token-level tasks and delivers robust performance with 70B parameters. Overall, open-source foundation models still have substantial room for improvement in their ability to handle audio-related tasks.

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# Take-away 8. *The used LLM backbone is relatively weak for current speech LLMs.*

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# <span id="page-9-0"></span>5 RELATED WORK

**500 501 502 503** Speech language models have seen a surge in development following the advent of LLMs. Currently, most work integrates pre-trained acoustic models with LLMs using an alignment module. There are two main strategies to bridge the gap between the two models: 1) adapters and 2) attention mechanisms.

**504 505 506** Adapter The former method adds modules (usually convolutional networks and MLPs) between the acoustic model and LLMs. Convolutional networks can compress sequence length [\(Wang et al.,](#page-13-6) [2023a\)](#page-13-6), while MLPs are used to align acoustic tokens with text embeddings [\(Su et al., 2023\)](#page-12-7).

**507 508 509 510 511 512** Attention Mechanisms Regarding the attention method, [Kong et al.](#page-11-6) [\(2024\)](#page-11-6) implemented crossattention to filter information from the output of the speech encoder. [Li et al.](#page-11-1) [\(2023\)](#page-11-1) proposed the Q-former as an intermediate extractor based on cross-attention. Similarly, [Pan et al.](#page-12-8) [\(2023\)](#page-12-8) applied the Q-former to extract useful acoustic information for LLMs. Some works directly treat the acoustic codec as tokens and do not rely on alignment strategies [\(Zhang et al., 2023a;](#page-13-7) [Rubenstein](#page-12-9) [et al., 2023\)](#page-12-9).

**513 514 515 516 517 518 519 520 521 522 523 524** Categorization of speech LLMs We have introduced that acoustic models can generally be divided into four types. Some works aim to build universal multi-modal LLMs [\(Su et al., 2023;](#page-12-7) [Zhan et al., 2024;](#page-13-8) [Wu et al., 2023b;](#page-13-9) [Lyu et al., 2023;](#page-11-7) [Zhang et al., 2023b;](#page-13-10) [Shukor et al., 2023\)](#page-12-10). Several studies focus on enhancing **music understanding**, an important area that has not yet received enough attention [\(Deshmukh et al., 2023;](#page-10-4) [Zhan et al., 2024;](#page-13-8) [Liu et al., 2024a\)](#page-11-8). Most speech LLMs aim to improve speech-to-text tasks and multi-turn dialogue capabilities [\(Fathullah et al., 2024;](#page-10-5) [Shu et al., 2023;](#page-12-11) [Wang et al., 2023b;](#page-13-11) [Pan et al., 2023;](#page-12-8) [Rubenstein et al., 2023;](#page-12-9) [Zhang et al., 2023a;](#page-13-7) [Bai et al., 2024;](#page-10-6) [Wu et al., 2023a;](#page-13-12) [Maiti et al., 2024;](#page-11-9) [Wang et al., 2023a;](#page-13-6) [Chu et al., 2024;](#page-10-7) [Dubey](#page-10-3) [et al., 2024\)](#page-10-3). Some works utilize audio codec models to enhance audio processing performance [\(Chen et al., 2023;](#page-10-8) [Kong et al., 2024;](#page-11-6) [Nguyen et al., 2024;](#page-12-12) [Das et al., 2024;](#page-10-9) [Gong et al., 2023\)](#page-11-10). Inspired by these efforts, several studies [\(Tang et al., 2023;](#page-12-13) [Ghosh et al., 2024a;](#page-11-11) [Hu et al., 2024\)](#page-11-12) combine acoustic and semantic codecs to integrate audio and speech processing capabilities into a single model.

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# 6 CONCLUSION

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**529 530 531 532 533 534 535 536 537 538 539** In this paper, we explored the evolving landscape of large language models (LLMs) in the realm of speech processing. We introduced a five-level roadmap to guide the development of human-level speech understanding, from basic ASR capabilities to advanced generalist models that integrate nonsemantic information with general abstract acoustic knowledge for complex tasks. To assess the current state of speech LLMs, we designed a comprehensive benchmark that standardizes critical aspects across various tasks, ensuring consistency and reliability in performance evaluation. Our research reveals the current stage and deficiencies in understanding speech by both humans and speech LLMs. We evaluate the advanced speech mode of GPT-4o and find that following speech instructions is very challenging. Further analysis has uncovered structural flaws in existing speech LLMs. Reveals that current speech LLMs face issues in both Acoustic Information Transfer and Foundation LLMs' Potentiality. The contributions of this paper provide a structured approach to advancing speech LLMs, offering valuable insights for future innovations in this field.

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### **756 757 LIMITATION**

**758 759 760 761 762** Artificial intelligence should not be confined to overly narrow domains, as such a focus can lead to frequent model switching when handling diverse tasks.This requires SAGI, a speech AGI, to be a powerful assistant capable of completing all kinds of tasks. However, during our primary testing, most speech LLMs remain at levels 1 and 2, indicating there is still a long way to go in terms of understanding speech.

**763 764 765** To advance further, we conclude some important directions for improving speech LLMs toward higher level:

- Requiring more diverse speech data to handle complex tasks.
- Enhancing the ability of text LLMs to process speech-related tasks.
- Ensuring that LLMs can receive complete acoustic information.

**770 771 772** We advocate for the development of more powerful acoustic models, consideration of cross-domain compatibility when constructing datasets, and a deepening of expertise in specific research areas. This approach will enhance the generalization and adaptability of the models.

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## <span id="page-14-0"></span>A EXISTING BENCHMARK

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**776 777 778 779 780 781 782 783 784 785** Table [8](#page-14-2) summarizes the coverage of existing benchmarks across different levels of speech model tasks, highlighting gaps in current evaluation methods. L1 tasks such as Speech ASR, Intent Classification, and Language Identification are well supported by both Dynamic-SUPERB and AIR-Bench, though SD-Eval lacks coverage. For L2 foundational perception tasks, like Music Pitch and Velocity, only AIR-Bench provides support. L3 tasks related to non-semantic comprehension, such as Emotion, Environment, and Speaker Gender/Age, are covered to varying degrees across all benchmarks, with Dynamic-SUPERB offering the most comprehensive support. However, more specialized tasks like Sarcasm, Stress, and Spoof Detection are only covered by Dynamic-SUPERB. Notably, L4 (Abstract Knowledge) and L5 (Speech AGI) remain entirely unsupported across all benchmarks. This underscores the urgent need to build a more comprehensive benchmark that addresses the gaps in L2, L4, and L5, ensuring more robust evaluation across all levels of speech model tasks.

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<span id="page-14-2"></span>Table 8: Existing benchmarks across Levels. L2, L4 and L5 have not received enough attention yet.

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# <span id="page-14-1"></span>B CHALLENGING SPEECH INSTRUCTION

Due to the significant discrepancy between the objective test results of GPT-4o and our intuitive impressions, we were motivated to explore whether following speech instructions is more challeng**810 811 812 813** ing. Since GPT-4o can only be triggered through speech instructions, we conducted experiments with Qwen2-Audio, which supports both methods. The results, as shown in Tab. [9,](#page-15-0) indicate that following speech instructions is indeed more challenging.



As established, speech instructions are more challenging. So, when using speech instructions, which is stronger: Qwen2-Audio or GPT-4o? To ensure a fair comparison, We tested Qwen2-Audio on the same test subset used for GPT-4o. The results are shown in Tab. [10.](#page-15-1)

<span id="page-15-1"></span>Table 10: Comparison of Qwen2-Audio and GPT-4o with speech instruction.

	Language Identification	ASR	ASR for Medical Terms <sup>†</sup>	ASR for Legal Terms <sup><math>\uparrow</math></sup>
Owen2-Audio	47.00%	20.02	65.00%	85.00%
GPT-40	94.00%	1.81	35.00%	5.00%

# C DETAILS OF BENCHMARK CONSTRUCTION

The overall construction principles are provided in Sec[.C.1.](#page-15-2) The data and tools used are detailed in Sec[.C.2.](#page-16-0) The composition structure of the data is outlined in Sec[.C.3.](#page-16-1) Detailed construction details for each task are available in Sec[.C.4.](#page-16-2)The credibility verification of synthesized speech is provided in Sec. [C.5.](#page-21-0)

- <span id="page-15-2"></span>C.1 GENERAL PRINCIPLES OF DATA CONSTRUCTION
- **841 842** C.1.1 QUESTION CONSTRUCTION
- **843 844 845 846** For objective multiple-choice questions, we included multiple-choice options in the questions to guide large models in generating the final results. For subjective response questions, we specified the main aspects around which the questions revolve and set suggested answers, although these do not require the model to produce results that are exactly identical, illustrated in Fig. [6.](#page-16-3)
	- C.1.2 UNIFORM SAMPLING RATE

**849 850 851 852 853** To ensure that these evaluation results truly reflect the differences in the model's performance across various tasks without being influenced by the audio sampling rate, and considering that increasing the sampling rate of audio data may introduce additional errors, this paper chooses to align all datasets to the one with the lowest sampling rate. Therefore, all test data is downsampled to 16,000 Hz.

**855** C.1.3 UNIFORM NUMBER OF AUDIO CHANNELS

**856 857** To standardize the format of the input audio, we converted all audio files for the tasks into mono channel, except for those in the Binaural Effect Perception sub-task.

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- <span id="page-15-3"></span>C.1.4 UNIFORM AUDIO DURATION
- **861 862 863** Most speech LLMs employ the encoder from [Radford et al.](#page-12-0) [\(2023\)](#page-12-0), which is designed to handle a maximum duration of 30 seconds. Consequently, the processing length for the majority of speech LLMs is capped at 30 seconds. To ensure a level playing field for all speech LLMs, we have restricted the audio lengths to a maximum of 30 seconds.

<span id="page-16-3"></span>

<span id="page-16-2"></span><span id="page-16-1"></span><span id="page-16-0"></span> "What language is spoken in this audio segment?Please choose from the German, English, French, Spanish, and Italian options?"



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## C.4.2 AUTOMATIC SPEECH RECOGNITION

**950 951 952 953 954 955 956** We constructed our evaluation dataset based on LibriSpeech [\(Panayotov et al., 2015\)](#page-12-3).Inspired by [Radford et al.](#page-12-0) [\(2023\)](#page-12-0), we used the test-clean and test-other splits as our test sets,a total of 2791 data entries. It should be noted that the corresponding text of LibriSpeech consists of uppercase letters. Since we standardized the text during WER computation, as detailed in [D.4.1,](#page-24-0) this will eliminate the impact of these uppercase letters. Therefore, we did not perform any additional processing when constructing the dataset.The task was set as:What does the person say?Please answer with "The person says: xxxx".

### **957 958** C.4.3 ASR FOR LEGAL TERMS

**959 960 961 962 963** We selected 27 offenses defined under Chinese criminal law and combined them with four templates to generate 108 sentences, which were synthesized using cosyVoice [\(SpeechTeam, 2024\)](#page-12-4). After manual screening (detailed in Sec. [C.5.1\)](#page-21-1), 102 utterances remained.The task was set as:What does the person say?Please answer with "The person says: xxxx".This approach is consistent with ASR, as we believe that this ability should be demonstrated automatically during the ASR process without the need for additional prompts.

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## C.4.4 ASR FOR MEDICAL TERMS

**967 968 969 970 971** We selected 62 medical terms referring to specific locations and combined them with four templates to generate 248 sentences, which were synthesized using cosyVoice [\(SpeechTeam, 2024\)](#page-12-4). After manual screening (detailed in Sec. [C.5.1\)](#page-21-1), 203 utterances remained.The task was set as:What does the person say?Please answer with "The person says: xxxx".This approach is consistent with ASR, as we believe that this ability should be demonstrated automatically during the ASR process without the need for additional prompts.

### **972 973** C.4.5 AUTOMATIC LYRICS TRANSCRIPTION

**974 975 976 977 978 979 980 981** We utilized the JamendoLyrics MultiLang dataset [\(Durand et al., 2023\)](#page-10-1) for our research. We acknowledge that a revised version of this dataset has been released as the Jam-Alt dataset (Cífka [et al., 2023\)](#page-10-11). However, in accordance with the constraints outlined in Sec. [C.1.4,](#page-15-3) we were required to resegment the audio files. Given that the Jam-Alt dataset, as described by its authors, exhibits certain deviations in its timestamps, we elected to employ the JamendoLyrics MultiLang dataset as our primary dataset for construction purposes. During the construction process, we manually selected the segmentation points and employed code to segment the audio files, thereby obtaining our final dataset.The task was set as: "Please transcribe the lyrics of this audio segment.Please answer with:The lyrics is: xxxx"

**982 983 984**

# C.4.6 VOLUME PERCEPTION

**985 986 987 988 989 990** We constructed our evaluation dataset based on LJSpeech [\(Ito & Johnson, 2017\)](#page-11-4). Following the data split of [Chien et al.](#page-10-12) [\(2021\)](#page-10-12), we used 512 test samples. We set up two scenarios: one where the volume gradually increases from 0 to its original level, and another where it decreases from the original level to 0. We tasked the model with determining whether the volume is increasing or decreasing.The task was set as: "Is the volume of this audio segment gradually increasing or decreasing?"

**991 992**

**993**

## C.4.7 PITCH PERCEPTION

**994 995 996 997 998 999 1000 1001** We used the SpeechAccentArchive [\(Weinberger, 2013\)](#page-13-1) dataset to construct our test set. During this process, we first identified the frequency ranges with the highest proportion of fundamental frequency (F0). Ultimately, we selected the ranges (80, 150) Hz and (180, 250) Hz for our experiments. We framed the problem as follows: "In the following audio segment, into which range does more than 70% of the fundamental frequency content fall? Please choose from the following two ranges: (80, 150) Hz and (180, 250) Hz." We calculated the proportion of F0 content falling within these two ranges for each audio segment and selected the corresponding data. During the process, we ranked all the data, prioritizing those segments with a higher proportion.

**1002**

#### **1003** C.4.8 BINAURAL EFFECT PERCEPTION

**1004 1005 1006 1007 1008** We generated random sounds using four methods: sine wave, square wave, triangle wave, and noise. These sounds are heard only in the left ear or the right ear. For more details, please refer to our public code. The model is used to determine which ear hears these sounds.The task was set as: "In this audio segment, does the sound appear in the left ear or the right ear?Please answer with 'left' or 'right'."

**1009 1010**

### **1011** C.4.9 AMBIENT NOISE DETECTION

**1012 1013 1014 1015 1016 1017** We constructed the evaluation dataset using Noisy speech [\(Valentini-Botinhao et al., 2017\)](#page-13-2).Noisy speech dataset contains corresponding pairs of noisy and clean data. The purpose of the dataset is to explore methods for speech enhancement.We selected the entire test set from this dataset, which includes 824 clean audio clips and 824 audio clips with ambient noise. We used all of these data, and the task was set as: "Is there any ambient noise in this audio segment, in addition to the speaker voice?Please answer with yes or no."

**1018**

### **1019 1020** C.4.10 ACOUSTIC SCENES CLASSIFICATION

**1021 1022 1023 1024 1025** We used MS-SNSD [\(Reddy et al., 2019\)](#page-12-5) to synthesize these test datasets.MS-SNSD is a tool for synthesizing speech with environmental noise, aimed at advancing research in speech enhancement. We selected 51 environmental noise samples from its test set to synthesize 6,105 test samples, and the task was set as: "What is the ambient noise of this audio segment? Please choose from the ['Babble', 'CopyMachine', 'Neighbor', 'ShuttingDoor', 'AirportAnnouncements', 'Munching', 'Typing', 'AirConditioner', 'VacuumCleaner'] options?"

### **1026 1027** C.4.11 SPEAKER'S AGE PREDICTION

**1028 1029 1030 1031 1032 1033 1034 1035 1036** We have observed that there are relatively few datasets specifically aimed at speaker age recognition. We noted that the AIR Bench [\(Yang et al., 2024\)](#page-13-3) has done an excellent job in addressing this task,We followed their approach of categorizing age into four groups but noticed that their data distribution was not balanced, specifically: teens to twenties: 653, thirties to forties: 268, fifties to sixties: 64, seventies to eighties: 15. Therefore, we used the SpeechAccentArchive [\(Weinberger, 2013\)](#page-13-1) to balance the age distribution. Unfortunately, we found it difficult to obtain sufficient data for the seventies to eighties category, so we retained only three categories: teens to twenties, thirties to forties, and fifties to sixties.And the task was set as: "Which age range do you believe best matches the speaker's voice? Please choose from the ['teens to twenties', 'thirties to forties', 'fifties to sixties'] options?"

**1037**

### **1038 1039** C.4.12 SPEAKER'S GENDER RECOGNITION

**1040 1041 1042 1043 1044** We constructed the evaluation dataset using VCTK [\(Yamagishi et al., 2019\)](#page-13-4).To balance the number of males and females in the benchmark, considering there are 61 female speakers and 47 male speakers in the VCTK dataset, we selected the top 47 female speakers along with all the male speakers. For each speaker, we chose the first 30 audio recordings.The task was set as: "Is the speaker in this audio segment male or female?Please answer with 'male' or 'female'"

**1045**

#### **1046** C.4.13 SPEECH EMOTION RECOGNITION

**1047 1048 1049 1050 1051 1052 1053 1054 1055** In a genuine sense, understanding emotions in models should not solely depend on interpreting text. Emotions do not have a one-to-one correspondence with sentences; the same sentence can express various emotional tones depending on the speaker's emotional state. Therefore, it is crucial to advocate for models to move beyond mere textual content of sentences when inferring emotions and to delve into the non-textual information within the speech. Accordingly, in the evaluation set for emotion recognition, we employed a dataset unrelated to both the emotions and the sentence content—the RAVDESS dataset [\(Livingstone & Russo, 2018\)](#page-11-5).The task is then defined as: "What emotion does this audio clip convey?Please answer by single word select from ['neutral', 'happy', 'sad', 'angry', 'fearful', 'disgust', 'surprised']."

**1056 1057 1058 1059** To demonstrate that the emotions in our constructed dataset are independent of the textual content, we used a combination of the whisper-v3-large [\(Radford et al., 2023\)](#page-12-0) model and the gpt-4-o [\(Ope](#page-12-14)[nAI, 2023\)](#page-12-14) model to predict the emotions in the audio files of the dataset. The experimental results can be found in the Tab. [12](#page-19-0)

**1060 1061**



<span id="page-19-0"></span>accuracy 10.53% 9.33% 9.73%



### **1064 1065**

**1067**

#### **1066** C.4.14 CAPPELLA EMOTION RECOGNITION

**1068 1069 1070** We also used RAVDESS ([\(Livingstone & Russo, 2018\)](#page-11-5)) to construct the evaluation set for singing emotion detection.The task is then defined as: "What emotion does this audio clip convey?Please answer by single word select from ['neutral', 'happy', 'sad', 'angry', 'fearful', 'disgust', 'surprised']."

**1071**

#### **1072** C.4.15 EMOTIONAL INTENSITY PERCEPTION

**1073 1074 1075 1076 1077 1078 1079** We used the RAVDESS ([\(Livingstone & Russo, 2018\)](#page-11-5)) dataset to construct the evaluation set for Emotional Intensity Perception. Since most models accept only a single audio input, we merged two audio segments and tasked the model with analyzing which part of the combined audio segment exhibits stronger emotional intensity. Specifically, we defined the problem as follows: "In this audio segment, a sentence is repeated twice. Is the emotion in the 'former' stronger or the 'latter' stronger? Please answer with 'former' or 'latter.'"To balance the proportion between the two options, we alternated the placement of the stronger emotion, sometimes positioning it at the former and other times at the latter when synthesizing the data.

### **1080 1081** C.4.16 EMOTION TRANSLATION

**1082 1083 1084 1085 1086 1087** We believe that translations should reflect different expressions based on the emotional context. For example, the phrase "What are you doing?" can convey various meanings depending on the emotion—whether it's anger, surprise, sadness, or neutrality. In an angry context, it expresses strong disapproval or questioning of the person's actions; in a surprised context, it conveys disbelief about what the other person is doing; and in a sad context, it should reflect disappointment. Therefore, translations should be adjusted accordingly to better capture these nuances.

**1088 1089 1090 1091 1092 1093 1094 1095** We observed that cosyVoice [\(SpeechTeam, 2024\)](#page-12-4) demonstrates excellent zero-shot capabilities, effectively mimicking the tone and style of the input speech prompt. Therefore, we used cosyVoice to emulate the sentences with strong emotions from the RAVDESS [\(Livingstone & Russo, 2018\)](#page-11-5) dataset to generate speech with corresponding emotions. After synthesis, we had five native speakers review the generated speech. If any of the native speakers felt that the synthesized speech did not convey the intended emotion, that segment was discarded. Ultimately, we obtained xxx valid speech samples.and the task was set as: "Please translate the following sentence into the most appropriate Chinese, based on the emotion and content of this audio segment.".

**1096 1097** C.4.17 SINGING DETECTION

**1098 1099 1100 1101 1102 1103** We aim for singing detection to go beyond simply identifying background music or relying on lyrics to determine whether singing is occurring. Instead, we seek to differentiate singing from normal speech by recognizing the distinct rhythm and melody of singing. To achieve this, we constructed our singing detection dataset using RAVDESS ( [\(Livingstone & Russo, 2018\)](#page-11-5)), which consists entirely of a cappella performances where the context is unrelated to the singing. The task is then defined as: "Is there singing in this audio clip?Please answer by yes or no"

- **1104**
- **1105** C.4.18 COVID-19 RISK DETECTION

**1106 1107 1108 1109 1110** We use the Virufy COVID-19 Open Cough Dataset [\(Chaudhari et al., 2020\)](#page-10-2) to construct our evaluation set. We classify the samples with positive test results as COVID-19 at risk, while those with negative results are classified as not at risk. And the task was set as: "Please listen to the following cough sound and determine whether the person is at risk of having a COVID-19 infection. Respond with 'yes' or 'no'"

- **1111**
- **1112** C.4.19 COUGH TYPE CLASSIFICATION

**1113 1114 1115 1116 1117 1118 1119** We use the COUGHVID [\(Orlandic et al., 2021\)](#page-12-6) dataset to construct our evaluation set. We only utilize the data that has been assessed by experts, which falls into two categories: evaluations by four experts and evaluations by one expert. We prioritize samples where three out of four experts agree, and then we use samples rated as "good" by the single expert. In this task, we ask the model to distinguish whether the cough is a wet cough or a dry cough. And the task was set as: "Please help me determine whether the cough in this audio segment is a dry cough or a wet cough. Please respond with 'wet' or 'dry'."

**1120**

**1122**

**1121** C.4.20 COUGH ORIGIN DIAGNOSIS

**1123 1124 1125 1126 1127 1128** We use the COUGHVID [\(Orlandic et al., 2021\)](#page-12-6) dataset to construct our evaluation set. We only utilize the data that has been assessed by experts, which falls into two categories: evaluations by four experts and evaluations by one expert. We prioritize samples where three out of four experts agree, and then we use samples rated as "good" by the single expert. In this task,The origins we tested include'COVID-19', 'healthy cough', 'lower infection', or 'upper infection'. And the task was set as: "Please help me determine the infection origin of the cough in the following audio segment. Choose from 'COVID-19', 'healthy cough', 'lower infection', or 'upper infection'."

- **1129**
- **1130 1131** C.4.21 COUGH SEVERITY ASSESSMENT
- **1132 1133** We use the COUGHVID [\(Orlandic et al., 2021\)](#page-12-6) dataset to construct our evaluation set. We only utilize the data that has been assessed by experts, which falls into two categories: evaluations by four experts and evaluations by one expert. We prioritize samples where three out of four experts

**1134 1135 1136 1137** agree, and then we use samples rated as "good" by the single expert. In this task, the severity levels we tested include: 'pseudocough', 'mild', or 'severe'. And the task was set as: "Please help me assess the severity of the cough in the audio segment. Choose from 'pseudocough', 'mild', or 'severe'."

**1138**

**1139** C.4.22 SPOKEN ENGLISH COACH

**1140 1141 1142 1143** We used speechocean762 [\(Zhang et al., 2021\)](#page-13-5) to construct our evaluation set.In selecting our evaluation set, we aimed to include a wide variety of pronunciation errors by prioritizing sentences with poorer pronunciation quality. Here is how we built our sentence collection:

**1144 1145 1146 1147 1148 1149 1150** We started by selecting 207 sentences based on word stress errors (score  $== 5$ ). Next, we chose 6 sentences with incomplete sentences or error-containing words (score  $<$  10). Then, we selected 332 sentences with poor fluency (score  $\leq$  5). Following that, we picked 85 sentences with poor rhythm (score  $\le$  = 5). Subsequently, we chose 179 sentences with low accuracy (score  $\le$  = 5). Finally, we selected 40 sentences from each accuracy score level where the scores were higher. This process resulted in a final set of 1009 sentences. When constructing the ground truth for the answer output, we adopted the descriptions used in the original project for dataset scoring, and by concatenating these descriptions, we formed the final answer.

- **1151**
- **1152 1153** C.4.23 VOICE DETECTIVE

**1154 1155 1156 1157 1158** When constructing the Voice Detective evaluation set, we used the SpeechAccentArchive dataset [\(Weinberger, 2013\)](#page-13-1). The primary reason for choosing this dataset is the difficulty in obtaining a large amount of similar data, which significantly reduces the risk of data leakage. This constraint also compels researchers to focus more on factors such as the age and background of the users within the dataset.

<span id="page-21-0"></span>**1159**

**1162**

**1160** C.5 CREDIBILITY VERIFICATION

<span id="page-21-1"></span>**1161** C.5.1 ASR FOR LEGAL TERM

**1163 1164 1165 1166 1167** Since the legal vocabulary we selected, can be found in open-source code, is not complex, we introduced only one evaluator with a background in legal education, who is a native Mandarin speaker. The remaining three evaluators are regular native Mandarin speakers, making a total of four evaluators. If any one of the evaluators deems the speech quality insufficient, the corresponding speech will be discarded. The specific details of the evaluators are as follows:

**1168 1169 1170** Evaluator 1: 24 years old, male, graduated with a bachelor's degree from China University of Political Science and Law and is currently a master student at China University of Political Science and Law. Native Mandarin speaker.

**1171 1172** Evaluator 2: 20 years old, female, currently an undergraduate student at Hubei University of Technology. Native Mandarin speaker.

**1173 1174 1175** Evaluator 3: 20 years old, female, currently an undergraduate student at Wuchang Shouyi University. Native Mandarin speaker.

- **1176** Evaluator 4: 26 years old, male, high school graduate. Native Mandarin speaker.
- **1177**

**1178** C.5.2 ASR FOR LEGAL MEDICAL

**1179 1180 1181 1182** Due to the involvement of some medical terminology, this paper selected two evaluators with a medical background, along with two additional evaluators without a medical background. All of them are native Mandarin speakers. Similarly, if any one of the evaluators finds an abnormality in the speech, it will be discarded. The specific details of the evaluators are as follows:

**1183 1184 1185** Evaluator 1: 33 years old, female, graduated with a bachelor's degree from Hebei Medical University and has since been working in a medical-related field. Native Mandarin speaker.

**1186 1187** Evaluator 2: 26 years old, female, completed an eight-year integrated program (continuously pursued both bachelor's and master's degrees) at Hebei Medical University and continues to work in a medical-related field. Native Mandarin speaker.

**1188 1189 1190** Evaluator 3: 25 years old, male, graduated with a bachelor's degree from Beijing Forestry University and is currently a graduate student at Beijing University of Posts and Telecommunications. Native Mandarin speaker.

**1191 1192 1193** Evaluator 4: 54 years old, male, graduated from a technical secondary school. Native Mandarin speaker.

**1194**

**1196**

#### **1195** C.5.3 EMOTION TRANSLATION

**1197 1198 1199** We selected four evaluators and recorded their English proficiency. Similarly, if any one of the evaluators finds an abnormality in the speech, it will be discarded. The specific details of the evaluators are as follows:

**1200 1201 1202** Evaluator 1: 25 years old, female, graduated with a bachelor's degree from China Jiliang University and a master's degree from Beijing University of Posts and Telecommunications. English proficiency: CET-6.

**1203 1204 1205** Evaluator 2: 25 years old, female, graduated with both a bachelor's and a master's degree from Beijing University of Posts and Telecommunications. English proficiency: CET-6.

**1206 1207 1208** Evaluator 3: 23 years old, male, graduated with a bachelor's degree from Beijing Institute of Technology and is currently a PhD student at The Chinese University of Hong Kong, Shenzhen. English proficiency: IELTS Academic score: 6.5.

**1209 1210 1211** Evaluator 4: 28 years old, male, graduated with a bachelor's degree from Beijing University of Posts and Telecommunications and is a PhD student at Beijing University of Posts and Telecommunications. English proficiency: CET-6.

**1212 1213**

# <span id="page-22-1"></span>D EXPERIMENT DETAILS

**1214 1215**

**1216 1217** Below, we will divide the experiment details into four parts: details of human evaluation in Sec. [D.1,](#page-22-0) details of model evaluation in Sec. [D.3,](#page-23-0) and metric details in Sec. [D.4.](#page-24-1)

**1218**

### <span id="page-22-0"></span>**1219 1220** D.1 HUMANS EVALUATION DETAILS

**1221 1222 1223** In this section, we will introduce the participant information of our humans performance evaluation in Sec. [D.1.1](#page-22-2) and present the results of the consistency test for the result in Sec. [D.1.2.](#page-22-3)

**1224**

**1226**

#### <span id="page-22-2"></span>**1225** D.1.1 PARTICIPANT INFORMATION

**1227 1228 1229 1230 1231 1232** Evaluator 1: Female, 28 years old, graduated with a bachelor's degree from East China Normal University, PhD from the Institute of Physics CAS. Evaluator 2: Female, 26 years old, graduated with a bachelor's degree from Beijing Normal University, master's degree from Shanghai Jiao Tong University. Evaluator 3: Male, 29 years old, graduated with a bachelor's degree from Beijing University of Chemical Technology, PhD from Beijing University of Posts and Telecommunications. Evaluator 4: Male, 27 years old, graduated with a bachelor's degree from Xidian University, currently pursuing a PhD at Singapore University of Technology and Design (SUTD).

- **1233 1234**
- <span id="page-22-3"></span>**1235** D.1.2 CONSISTENCY TEST

**1236 1237 1238 1239 1240** To verify the consistency of the humans evaluation, We focus on objective multiple-choice questions. we calculated the proportion of questions where all three volunteers selected the same option, as well as the proportion where all four volunteers chose the same option, relative to the total number of questions. These proportions are shown in Tab. [13.](#page-23-1)

**1241** It is also important to note that, since our testers are only proficient in English, they were unable to complete the Language Identification task.



**1259 1260**

<span id="page-23-1"></span>**1242**

**1261** D.1.3 DEFICIENCY IN HUMANS EVALUATION.

**1262 1263 1264 1265** During the Humans Evaluation process, we were unable to find a native English speaker, but all participants involved in the evaluation are proficient English users. We also could not find individuals who are proficient in multiple languages, which made it difficult to conduct a Humans Evaluation for the Language Identification task.

**1266 1267 1268**

D.2 GPT-4O MANUAL TEST DETAILS

**1269 1270 1271 1272 1273 1274** To evaluate GPT-4o advanced speech mode, we synthesized the text instructions from each level test into audio as instructions. We sampled 80 samples for each task. Each test is played by a person using a speaker to GPT-4o running on an iPhone 15 device. Since the GPT-4o advanced speech mode supports speech-to-speech conversion, we manually process its text output for evaluation. We find that GPT-4o currently tends to refuse to answer some audio tasks, we treat them as being unable to follow instructions.

<span id="page-23-0"></span>**1275**

**1276 1277** D.3 MODELS EVALUATION DETAILS

**1278 1279** We divide our experimental details into two sections: the model replication platform in Sec. [D.3.1,](#page-23-2) and the model replication details in Sec. [D.3.2.](#page-23-3)

<span id="page-23-2"></span>**1280**

**1281** D.3.1 EXPERIMENTAL PLATFORM

**1282 1283 1284 1285** In this paper's experiments, all servers used are equipped with an Intel® Xeon® Platinum 8358 CPU @ 2.60GHz as the core processor. Each server is loaded with eight NVIDIA A800-SXM4-80GB graphics cards, and each model runs with exclusive use of one A800 card.

<span id="page-23-3"></span>**1286 1287** D.3.2 MODELS REPLICATION DETAILS

**1288 1289 1290** In this paper, we aim to select the 7B-level versions of various models wherever possible. However, due to the differences between various models, it is difficult to ensure that their parameter counts are exactly the same.

**1291 1292 1293 Qwen-Audio** For the Qwen-Audio model [\(Chu et al., 2023\)](#page-10-13), we reproduced the model using its open-source code.

**1294 1295** Mu-LLaMA In the process of implementing the model Mu-LLaMA [\(Liu et al., 2024b\)](#page-11-13) , this paper used the LLama2-7B-chat [\(Touvron et al., 2023\)](#page-12-15) checkpoint to maintain consistency with the original paper, and utilized the open-source MU-LLaMA checkpoint provided.

**1296 1297 1298** GAMA Since the primary focus of this paper is to test the audio understanding capabilities of the GAMA model [\(Ghosh et al., 2024b\)](#page-11-14), we consulted with the authors and selected the 'state4epoch2' checkpoint over the 'state5epoch2' checkpoint, as it has superior audio comprehension abilities

**1300 1301** SALMONN For the SALMONN model [\(Tang et al., 2023\)](#page-12-13), we tested the model using its opensource code.

**1302 1303 Owen2-Audio** For the Owen2-Audio model [\(Chu et al., 2024\)](#page-10-7), we reproduced the model using the 7B version of its open-source code.

**1305** D.4 MATRIX

**1299**

<span id="page-24-1"></span>**1304**

**1306**

<span id="page-24-2"></span>**1311**

**1336**

**1307 1308 1309 1310** We have designed three metrics: WER, the accuracy for objective multiple-choice questions, and GPT-4o scoring, specifically targeting ASR tasks, objective multiple-choice questions, and subjective responses. This section will provide detailed explanations. For an overview, please refer to the following Tab. [14.](#page-24-2)



<span id="page-24-0"></span>**1335** D.4.1 WER FOR ASR

**1337 1338 1339 1340** The Word Error Rate (WER), a key metric for gauging the effectiveness of Automatic Speech Recognition (ASR) systems, quantifies the divergence between an ASR system's output and a reference transcript. It assesses the total error rate by tallying the number of insertion, deletion, and substitution operations needed to align the ASR output with the true reference text.

**1341 1342 1343 1344 1345 1346 1347 1348** While computing the WER, certain variances in word usage, like "I am" compared to "I'm," may be seen as semantically equivalent by human standards but are flagged as errors by computational algorithms. Thus, a standardization process is essential prior to WER calculation to make both texts directly comparable. The methodology for this standardization, akin to what is employed in the Whisper [\(Radford et al., 2023\)](#page-12-0) framework, has been detailed in a related research paper. It has been demonstrated that this approach exerts negligible influence on the assessment of WER outcomes when tested against the LibriSpeech [\(Panayotov et al., 2015\)](#page-12-3) dataset, which was utilized in our paper.

**1349** For cases where the error rate exceeds 100% (i.e., WER is over 1), we mark them in our experimental records as having significant recognition errors. Such data will not be included in the calculation **1350 1351 1352 1353 1354 1355** of the final average WER. In the final record of the experiment, we will focus on two key metrics: first, the ASR completion rate, which is the percentage of data with a WER less than 1; second, the mean WER of the completed portion, which is the average WER of data with a WER less than 1. If the mean WER of the completed portion does not decrease to below 0.8, we will conclude that the model lacks effective automatic speech recognition (ASR) capabilities and document this finding in detail in the experimental results.

**1356 1357** The implementation details regarding WER (Word Error Rate) can be found in our publicly available code.

- **1358**
- **1359 1360** D.4.2 ACCURACY FOR OBJECTIVE MULTIPLE-CHOICE QUESTIONS

**1361 1362 1363** A selection is considered correct only if the model chooses the correct answer and no other options. If the model selects two or more options, even if the correct one is included, it will be deemed incorrect.

**1364**

**1369**

**1365** D.4.3 ACCURACY FOR ASR ON TERMS

**1366 1367 1368** Since in these tasks we primarily assess the ability of speech LLMs to transcribe terms, we consider a response correct as long as the correct term is included in the speech transcription, without focusing on the accuracy of other parts of the sentence.

- **1370** D.4.4 SCORING FOR SUBJECTIVE RESPONSE QUESTIONS
- **1371 1372 1373** In our experiments, we used GPT-4o to assist in evaluating the results. The specific prompt used is as follows.

#### **1374** Prompt for Emotion Translation

**1375 1376 1377 1378** I currently need your assistance in evaluating some translations. The most suitable translations should incorporate the corresponding emotions appropriately. The scoring ranges from 0 to 4. I will provide you with the original English sentence, the associated emotional label, and the suggested translation, allowing you to score them based on the context.

**1379 1380** Here are some examples:

**1381 1382** [Here are some scoring examples. Due to space limitations, we have omitted them in this section. You can find the details in the code we have made available.]

- **1383 1384** Now Answer:[ANSWER]
- **1385 1386** Label: The original sentence is: <emotion>[SENTENCE] The suggested translation is: [SUGGES-TION].
- **1387** Please provide your score.

### **1388 1389** Prompt for Spoken English Coach

**1390 1391 1392 1393 1394 1395 1396** I now need you to help me evaluate some Answers for accuracy. You need to evaluate and score in the order of overall pronunciation, fluency, prosody, words that are mispronounced, and words that have incorrect stress. The score ranges from 0 to 4. Here are the specific scoring rules: You need to first check if the evaluation of overall pronunciation in the Answer matches the Label. If they do not match, give a score of 0 and continue with the evaluation; if there is no relevant description, also give a score of 0 and continue with the evaluation; if it is correct, add 1 point and continue with the evaluation.

**1397 1398 1399 1400 1401 1402** For fluency and prosody in the Answer compared to the Label, award up to 1 point for each if completely correct, a partial score for partially correct, and no points if there is no relevant expression. Finally, check the descriptions in the Answer and Label regarding words that are mispronounced and words that have incorrect stress. Award 1 point only if all are correct. If part of the descriptions are correct, you can give a partial score, such as 0.33 points for one out of three correct descriptions. Here are some examples:

**1403** [Here are some scoring examples. Due to space limitations, we have omitted them in this section. You can find the details in the code we have made available.]

<span id="page-26-0"></span>

# <span id="page-26-1"></span>• Instruction variation I How would you detect the background sound in this audio clip?

 • Instruction variation II What kind of ambient noise can be heard in this segment? • Instruction variation III Can you describe the environmental sounds present in this audio? • Instruction variation IV What background audio elements are featured in this segment? • Instruction variation V What atmosphere is created by the sounds in this audio segment? • Instruction variation VI Can you identify the ambient sound in this clip? • Instruction variation VII What noises are occurring in the background of this audio? • Instruction variation VIII What type of surrounding sound is present in this recording?

 The experimental results are recorded in Tab. [16.](#page-27-0)

<span id="page-27-0"></span>

