# ReSL: ENHANCING DEEP CLUSTERING THROUGH RESET-BASED SELF-LABELING

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#### ABSTRACT

The goal of clustering is to group similar data points together. Deep clustering enhances this process by using neural networks for inferring better data representations through a three-stage approach: pre-training for initial feature learning, deep clustering to structure the latent space, and self-labeling to iteratively refine both representations and cluster assignments. Ever since its inception, self-labeling has been a crucial element for reaching state-of-the-art performance in deep clustering. The samples for the self-labeling phase are obtained by setting a confidence threshold for the network's predictions and only using samples that exceed this threshold for further training. This often improves clustering performance but relies on training with noisy, self-constructed labels (pseudo-labels). As the model iteratively retrains on its own pseudo-labels, the certainty of its predictions tends to rise, increasing its confidence over time. The increasing confidence leads to a growing number of training samples also including more and more samples assigned to the wrong cluster, which can limit performance. Particularly, the model's initially learned biases are amplified by relying on easily learned but ultimately misleading patterns in pseudo-labels, hampering generalization.

In this paper, we propose ReSL, a framework that unites **Re**sets with **S**elf-Labeling. We demonstrate that employing weight-reset techniques during self-labeling increases clustering performance and improves generalization. Our findings address limitations of self-labeling and provide a foundation for future research in developing more robust approaches.

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#### 1 INTRODUCTION

Decades of research have been dedicated to the challenging task of clustering — partitioning data points into groups based on their similarity without utilizing any ground-truth annotations. Traditional clustering methods include k-means (MacQueen et al., 1967), Gaussian mixture models (Bishop & 037 Nasrabadi, 2006), and spectral clustering (Von Luxburg, 2007). Despite their effectiveness, these methods face challenges when applied to high-dimensional data, due to the curse of dimensionality. 038 In contrast, deep neural networks can learn feature representations directly from high-dimensional data by leveraging unsupervised representation learning techniques (Bengio et al., 2013; Abukmeil 040 et al., 2021). Just like the clustering itself, these neural network representations can be trained without 041 any annotations by solving so-called pretext tasks such as reconstruction or contrastive learning. 042 Many well-established deep clustering (DC) algorithms rely on such tasks during a pre-training 043 stage (Van Gansbeke et al., 2020; Zhong et al., 2021; Dang et al., 2021). In contrast, more modern 044 DC algorithms (Qian et al., 2022; Qian, 2023) combine the representation-learning objective and 045 clustering objective into a single end-to-end framework. 046

Whether a DC algorithm employs a multi-stage or end-to-end architecture, self-labeling has emerged as an indispensable tool to reach state-of-the-art performance in deep clustering (Van Gansbeke et al., 2020; Zhong et al., 2021; Qian, 2023; Miklautz et al., 2024). It fine-tunes the pre-trained deep clustering network by optimizing the cross-entropy loss on a subset of pseudo-labels generated by the model itself. This subset is defined by a confidence threshold, representing the minimum confidence the network must have in its assignment for a sample to be included in the training set. As training in the self-labeling phase progresses, the network becomes increasingly confident in its assignments, leading to the inclusion of more samples in each subsequent training iteration. This dynamic expansion of the training set, while beneficial in leveraging more data, introduces a critical challenge: non-stationarity.

054 The sequential arrival of new confident samples introduces non-stationarity in the input, 056 while the subsequent optimization introduces 057 non-stationarity in the targets. Inevitably, the 058 training set will include samples assigned to the wrong cluster, leading to training on noisy targets. The inherent non-stationarity of self-060 labeling, coupled with the inherent risk of incor-061 porating incorrect pseudo-labels, can negatively 062 impact the training process. Figure 1 illustrates 063 how the training set size grows over time due 064 to this increasing confidence. Moreover, self-065 labeling fine-tunes the pre-trained network on a 066 different objective, effectively warm-starting 067 the network from the DC stage rather than initial-068 izing from scratch. The aforementioned warm-069 starting, noisy targets, and non-stationarity induce optimization issues in deep learning, which have been studied under the umbrella term "plas-071 *ticity loss*", referring to a loss of the network's 072 ability to fit new targets (Klein et al., 2024; Ash 073 & Adams, 2020; Lee et al., 2024). 074



Figure 1: Self-labeling with SCAN gradually increases its probability estimates, leading to the inclusion of a growing proportion of samples to the confident training set in subsequent training rounds across different datasets. This expansion exacerbates non-stationarity and increases the risk of incorporating noisy pseudo-labels.

075 One effective way to reduce the negative impact of plasticity loss is by resetting the network's weights. This approach underlies several promising methods from the plasticity literature (Ash & Adams, 2020; 076 Lee et al., 2024; Zaidi et al., 2023). Expanding on this concept, we propose a novel method, ReSL, 077 that combines **Re**sets with **Self-Labeling**. We conduct experiments using the DC method SCAN 078 (Van Gansbeke et al., 2020), as it was the first to introduce self-labeling. Our quantitative experiments 079 demonstrate improved clustering performance on STL-10 (Coates et al., 2011) and CIFAR-10/20/100 (Krizhevsky et al., 2009) datasets. To better understand the underlying mechanisms driving these 081 improvements, we employ plasticity injection (Nikishin et al., 2024). Plasticity injection allows 082 us to rule out trainability issues (recognized as one of the factors behind plasticity loss (Lee et al., 083 2024)), by introducing an output-invariant re-initialization scheme. Our investigation shows that 084 ReSL stabilizes cluster label reassignments during training, leading to higher-quality pseudo-labels 085 that generalize better to the clustering task. To summarize our contributions:

- We propose ReSL, an algorithm for self-labeling, and demonstrate that it consistently improves the clustering performance of the SCAN algorithm across multiple datasets.
  - We propose a novel reset strategy that performs stronger resets at the beginning of training, where the effects of warm-starting are most pronounced.
- We investigate possible mechanisms behind performance improvements and demonstrate that less intense changes of pseudo-labels help decrease the compounding effect of noisy pseudo-labels.

#### 2 PROBLEM SETUP

We are given an unlabeled dataset  $\mathcal{D} = \{\mathbf{x}_1, \dots, \mathbf{x}_n\}$ , where  $\mathbf{x}_i \in \mathbb{R}^D$ . Our goal is to partition  $\mathcal{D}$  into C clusters without using any ground-truth labels. We assume access to a pretrained neural network  $g_{\phi} \colon \mathbb{R}^D \to \mathbb{R}^d$  that provides a latent representation  $\mathbf{z}_i = g_{\phi}(\mathbf{x}_i)$  for each  $\mathbf{x}_i$ . A clustering head  $h_{\theta} \colon \mathbb{R}^d \to \Delta^{C-1}$  maps latent vectors  $\mathbf{z}_i$  to probability distributions  $\mathbf{q}_i = h_{\theta}(\mathbf{z}_i)$ , where  $\Delta^{C-1}$ denotes the (C-1)-simplex. The composite function  $f_{\eta} = h_{\theta} \circ g_{\phi}$  assigns a probability distribution  $\mathbf{q}_i$  over C clusters to each input  $\mathbf{x}_i$ .

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106 Self-labeling. We iteratively refine the cluster assignments by creating pseudo-labels from the 107 model's own predictions. Let  $\tau \in [0, 1]$  be a confidence threshold. Define the set of confident samples as  $S_{\tau} = \{i \mid \max_{c}(\mathbf{q}_{i})_{c} \geq \tau\}$ . For each  $i \in S_{\tau}$ , assign the pseudo-label  $\tilde{y}_{i} = \arg \max_{c}(\mathbf{q}_{i})_{c}$ . and 108 update the clustering function  $f_n$  by minimizing the cross-entropy loss on these pseudo-labels: 109

$$\mathcal{L}_{\mathrm{SL}} = -\frac{1}{|S_{\tau}|} \sum_{i \in S_{\tau}} \sum_{c=1}^{C} \tilde{\mathbf{y}}_{i}(c) \log \Big[ \big( f_{\eta}(\hat{\mathbf{x}}_{i}) \big)_{c} \Big],$$

where  $\tilde{\mathbf{y}}_i$  is the one-hot encoding of  $\tilde{y}_i$ . Here,  $\hat{\mathbf{x}}_i$  denotes an augmentation of the original input  $\mathbf{x}_i$ 113 (obtained through techniques such as cropping, rotation, or color jittering), which is employed to 114 prevent overfitting. Our aim is to learn an accurate partition of  $\mathcal{D}$  into C clusters, despite the risk of 115 reinforcing initially biased pseudo-labels through this iterative self-labeling procedure. 116

#### 3 BACKGROUND AND RELATED WORK

120 3.1 DEEP CLUSTERING

121 Recent advancements in DC have bridged the gap between feature learning and clustering. The 122 feature learning component can be realized with various architectures such as convolutional neural 123 networks (CNNs), autoencoders (AEs), and contrastive learning frameworks such as SimCLR (Chen 124 et al., 2020a) or MoCo (Chen et al., 2020b). Jointly optimizing representation learning and clustering 125 objectives has enabled DC methods. Recent DC methods (Van Gansbeke et al., 2020; Qian, 2023; 126 Zhong et al., 2021) have shown results close to supervised methods on widely used image benchmarks. 127 These studies share a practice of fine-tuning clustering networks through self-labeling to enhance the 128 quality of cluster assignments. Van Gansbeke et al. (2020) introduced the self-labeling procedure within their DC method, SCAN (Semantic Clustering by Adopting Nearest Neighbors). Further 129 details on SCAN are provided in Appendix A.1. 130

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#### 3.2 NETWORK PLASTICITY

To enable efficient learning, deep neural networks must possess plasticity — that is, the capacity to 134 adapt and modify their weights during training. This concept is akin to neuroplasticity, which enables 135 learning in the human brain. The term plasticity has gained broader attention in the fields of deep 136 reinforcement learning (RL) (Klein et al., 2024) and continual learning (Elsayed & Mahmood, 2024). 137 Once plasticity is lost, the ability to learn diminishes (Lyle et al., 2023). Weight-reset techniques have 138 emerged as an effective strategy for mitigating the loss of plasticity (Lyle et al., 2023; Klein et al., 139 2024). Zaidi et al. (2023) show that when training on noisy labels, resetting results in a substantially 140 improved generalization. To address suboptimal performance resulting from warm-starting and 141 subsequent plasticity loss, Ash & Adams (2020) propose partial weight resets. Lee et al. (2024) 142 then decomposed plasticity loss into trainability and generalizability. To minimize knowledge loss during resets, they developed a reset strategy with distillation, enabling rapid adaptation and gradual 143 generalization. For a comprehensive overview of plasticity loss and mitigation strategies, we refer the 144 reader to Klein et al. (2024). 145

#### 4 METHODOLOGY

148 We propose ReSL, an algorithm for the self-labeling stage of deep clustering algorithms. ReSL is designed to mitigate the detrimental effects of non-stationarity and warm-starting discussed in 150 Section 1 by incorporating a periodic weight-reset mechanism into the training pipeline. Algorithm 1 provides a generic implementation. 152

#### 153 Algorithm 1 PyTorch-style pseudo-code of ReSL 154

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        # model: neural net
          reset_freq: interval for weight resets
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                strategy: a strategy for retrieving and resetting weights
           confidence_threshold: minimum confidence for including a sample in training
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        for epoch in range(max_epochs):
            pseudo_labels = obtain_pseudo_labels (model, dataloader, confidence_threshold)
158
            update_model(model, dataloader, pseudo_labels, optimizer, criterion)
159
             reset_strategy.update(model, epoch)
            if epoch % reset_freq == 0:
    reset_strategy.reset(model)
160
        cluster_assignments = model(dataset)
161
```

162 At a high level, ReSL alternates between two key steps during training. In the first step, the network 163 updates its parameters using pseudo-labels obtained from its current clustering assignments. A 164 confidence threshold ensures that only samples with sufficiently reliable predictions are included in 165 the training set. In the second step, after a fixed number of training epochs, the algorithm performs a 166 weight reset. The specific mechanism for resetting the model's weights is abstracted into the reset strategy module, which permits various reset types. Depending on the chosen strategy, the reset may 167 be implemented as a soft reset — resetting only a subset of the parameters — or as a hard reset, 168 wherein all weights are changed. We further discuss two established reset strategies and propose a novel approach that gradually softens resets — addressing objective change from the DC stage to 170 self-labeling by applying stronger resets early in training. 171

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**ReSL with Soft Resets (ReSL**<sub>SP</sub>) The first reset strategy within our ReSL framework leverages soft resets by applying the Shrink and Perturb method (Ash & Adams, 2020) to the clustering head  $h_{\theta}$  every *R* epoch. Specifically, we sample fresh parameters  $\theta'$  from the original initializer (He et al., 2016) and perform a soft weight reset using a convex combination of the network's current weights and the freshly sampled weights  $\theta \leftarrow \alpha \theta + (1 - \alpha) \theta'$ , where  $\alpha \in (0, 1)$  is a retention parameter that controls how much of the current state is preserved relative to the new initialization.

**ReSL with Soft Resets** (**ReSL**<sub>SP\*</sub>) As part of ReSL, we propose a novel variant that "softens" the reset strength over time. Specifically, the retention parameter  $\alpha$  starts at a lower value, enabling larger resets initially, and is then linearly increased to 1.0 by the final epoch using a softening factor  $\frac{\text{epoch}}{E}$ , meaning no resets at the end of training. At each reset interval R, these updated values are applied, ensuring that weight resets are stronger at the beginning of training, where warm-start initialization takes place.

186 **ReSL with Hare & Tortoise Networks (ReSL**<sub>HT</sub>) Inspired by the "hare and tortoise" approach of Lee et al. (2024), we maintain two networks with parameters  $\eta^{\text{Hare}}$  and  $\eta^{\text{Tortoise}}$ , the "hare" and 187 the "tortoise" respectively. Both networks are initialized with the pretrained model resulting from 188 the initial clustering stage. The hare rapidly adapts via SGD, whereas the tortoise's parameters 189 are updated via distillation using an exponential moving average (EMA) of the hare's parameters: 190  $\eta^{\text{Tortoise}} \leftarrow \mu \eta^{\text{Tortoise}} + (1 - \mu) \eta^{\text{Hare}}$ , where  $\mu \in (0, 1)$  is a momentum parameter. At each reset 191 interval R, the hare network's parameters are reset to the current state of the tortoise network: 192  $\eta^{\text{Hare}} \leftarrow \eta^{\text{Tortoise}}$ . The tortoise network is used for subsequent data clustering. 193

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#### 5 EXPERIMENTS

This section outlines our experimental methodology, beginning with a description of the datasets
and setups employed in Section 5.1. We then present the results of ReSL applied with established
weight-reset techniques in Section 5.2. Finally, we investigate the implications of our results in
Section 5.3.

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#### 5.1 EXPERIMENT SETUP

We evaluate clustering performance on CIFAR-10/20/100 (Krizhevsky et al., 2009) and STL-10 204 (Coates et al., 2011), following established benchmarks (Qian, 2023; Van Gansbeke et al., 2020; 205 Zhong et al., 2021). Performance is measured using clustering accuracy (ACC) (Yang et al., 2010), 206 Adjusted Rand Index (ARI) (Hubert & Arabie, 1985), and Normalized Mutual Information (NMI) 207 (Kvalseth, 1987), averaged over five random seeds reported on the validation set, consistent with 208 recent DC methods and surveys (Van Gansbeke et al., 2020; Zhong et al., 2021; Qian, 2023; Zhou 209 et al., 2024; Lu et al., 2024; Huang et al., 2024). We use the original SCAN setup for our experiments. 210 Self-labeling training starts with pretrained models trained using the SCAN codebase<sup>1</sup>, with SCAN's 211 hyperparameters (Appendix C) applied consistently throughout the training. For each dataset, we 212 report the results corresponding to the configuration that achieved the best average accuracy over 213 the five random seeds. The hyperparameter sensitivity of the ReSL's underlying reset strategies is 214 analyzed in Appendix G.

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 $<sup>\</sup>label{eq:scalar} ^1 SCAN \ code base \ \texttt{https://github.com/wvangansbeke/Unsupervised-Classification}.$ 

### 216 5.2 RESULTS

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We summarize the clustering performance of our proposed ReSL variants against SCAN with standard
 self-labeling (SCAN+SL) across four benchmark datasets in Table 1.

Table 1: Clustering accuracy of ReSL variants against the standard self-labeling procedure. The best result in each column is in **bold**, and the second best is <u>underlined</u>.

Experiment	STI	L-10	CIFA	R-10	CIFA	R-20	R-100	
	Avg	Max	Avg	Max	Avg	Max	Avg	Max
$\text{ReSL}_{SP}$	76.75	77.12	88.16	90.79	48.60	49.24	31.56	32.19
$\text{ReSL}_{SP*}$	<u>76.91</u>	77.21	87.87	88.16	<u>48.64</u>	<u>49.47</u>	31.35	<u>33.02</u>
$\text{ReSL}_{HT}$	77.80	78.11	88.21	<u>88.85</u>	49.13	50.68	34.89	35.6
SCAN+SL	75.78	76.85	87.57	87.92	48.02	48.83	<u>31.68</u>	32.51

233 Both variants,  $\text{ReSL}_{SP}$  and  $\text{ReSL}_{SP*}$ , show varying performance across datasets, with the best 234 improvement observed on STL-10 and a marginal decrease in accuracy on CIFAR-100. While the shrink and perturb method risks losing valuable information through aggressive resets, the hare and 235 tortoise approach preserves stability with an exponential moving average of network parameters, 236 leading to its consistently superior performance.  $\text{ReSL}_{HT}$  outperforms SCAN+SL across all datasets, 237 with improvements of up to 3.21% for CIFAR-100. On CIFAR-10, it leads to an improvement of 238 0.64%, while on CIFAR-20 and STL-10, it yields an additional 1.11% and 2.02% improvement, 239 respectively. Additionally,  $\text{ReSL}_{HT}$  consistently achieves the highest scores in terms of ARI and 240 NMI across all datasets (see Appendix E). 241

Table 2: ReSL<sub>HT</sub> reduces noisy labels in the set of **confident** samples  $S_{\tau}$ . We evaluate the quality of  $S_{\tau}$  by calculating the NMI between the confident pseudo-labels and the true classes (NMI Match). A higher NMI Match indicates less label noise within  $S_{\tau}$ . Results are reported at epochs 100 and 200 of self-labeling (denoted as e=100 and e=200 in the table). The best result in each column is in **bold**.

Experiment	STL-10		CIFAR-10		CIFAR-20		CIFAR-100	
	e=100	e=200	e=100	e=200	e=100	e=200	e=100	e=200
$\text{ReSL}_{HT}$	85.91	83.53	89.80	88.84	66.05	62.95	79.27	77.88
SCAN+SL	80.34	76.71	89.69	88.49	64.99	62.14	72.18	68.28

 $\text{ReSL}_{HT}$  further surpasses SCAN+SL in terms of the quality of pseudo-labels, as summarized in Table 2, where we report results at epochs 100 and 200 of self-labeling.

#### 5.3 DETECTING SELF-LABELING PITFALLS

258 Recent work by Lee et al. (2024) decou-259 ples plasticity loss into two distinct com-260 ponents: trainability - the network's abil-261 ity to update its parameters - and gener-262 alizability - its capacity to perform well 263 on unseen data. To diagnose whether the 264 clustering head  $h_{\theta}$  suffers from a loss of 265 trainability, we use plasticity injection (Nikishin et al., 2024). Originally developed 266 in deep RL community, plasticity injection 267 evaluates the network's ability to update (i.e. 268



Figure 2: A comparison of CIFAR-10 average accuracy for SCAN+SL models trained with and without plasticity injection. Plasticity injection (PI) was applied at epochs 100, 200, and 250 to analyze its effect at various training stages.

trainability) without altering the total number of trainable parameters or the immediate predictions.

270 To restore the plasticity of the clustering head  $h_{\theta}$ 271 at a designated training step T, we construct three 272 copies of: the *base* head  $h_{\theta}$  (with the parameters 273 learned up to step T), a *freshly initialized* adap-274 tive head  $h_{\theta'_1}$  (a random reinitialization), and a *frozen* static copy of the adaptive head  $h_{\theta'_2}$  (identi-275 cal to  $h_{\theta'}$  at creation). After injection, we freeze 276 the base head  $h_{\theta}$ , allow the adaptive head  $h_{\theta'_1}$  to 277 continue training, and keep the static copy  $h'_{\theta_2}$ 278 unchanged. The combined output is computed 279 as:  $\mathbf{q}_i = h_{\theta}(\mathbf{z}_i) + h_{\theta'_1}(\mathbf{z}_i) - h_{\theta'_2}(\mathbf{z}_i)$ , thereby 280 preserving the original predictions at the moment 281 of injection. If injection improves the perfor-282 mance, this suggests that the clustering head had 283 indeed experienced trainability difficulties. Oth-284 erwise, other factors might be limiting further 285 performance gains.

Results on CIFAR-10 (Figure 2) and other 287 datasets (Appendix H) show that all models, in-288 cluding the baseline without injection, achieved comparable accuracy, despite minor fluctuations 290 immediately after the injection. Our results imply 291 that while plasticity injection enhances trainabil-292 ity, it does little to counteract the decline in gener-293 alizability during self-labeling. This deterioration in generalizability is evident in Figure 3, where the decreasing NMI between confident pseudo-295 labels and the true classes (NMI Match; see Ap-296 pendix D) reflects a degradation in pseudo-label 297 quality, which in turn amplifies the initial biases, 298 even as overall accuracy improves. We attribute 299 the decreasing quality of confident pseudo-labels 300 to the higher values of cluster-label reassignment



Figure 3: We measure the noise present in the confident set using NMI between pseudo-labels and true classes (NMI Match; see Appendix D). ReSL<sub>HT</sub> significantly slows the reinforcement of incorrect pseudo-labels during self-labeling on STL-10. Similar patterns are observed on the other datasets (Appendix 4).

frequencies (CL Change; see Appendix D). SCAN+SL undergoes frequent cluster-label reassignments (CL Change) while continuously adapting to an expanding set of noisy pseudo-labels, leading to overfitting on the pseudo-labeling task and ultimately harming its generalizability. In contrast, ReSL<sub>HT</sub> remains more committed to the clustering assignments (low CL Change) by periodically resetting to a slow-moving EMA, thereby limiting the compounding effect of noisy pseudo-labels.

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#### 6 CONCLUSION AND FUTURE WORK

In this work, we investigate the self-labeling stage of deep clustering. We show that increasing number of pseudo-labels introduces non-stationarity and amplifies initial biases, ultimately limiting the model's ability to generalize. To address these issues, we introduce ReSL. Our experiments demonstrate that ReSL, particularly the hare and tortoise variant, outperforms the standard self-labeling procedure across multiple datasets. ReSL achieves this by stabilizing cluster cluster label reassignments, slowing pseudo-label quality degradation. Future work will extend our analysis to other deep clustering methods and reset strategies, and explore alternative reset schedules.

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#### 324 REPRODUCIBILITY

The complete codebase, including configuration files for reproducing all experiments reported in this work, is available at this link.

#### ETHICS STATEMENT

This work contributes foundational research to deep clustering, potentially advancing diverse scientific domains. While the released code could be misused, we believe its potential to facilitate research outweighs this possibility. In medical contexts, our could improve patient categorization for targeted treatments. However, its inherent limitations require careful implementation and human oversight to minimize potential errors and public concern. Similar caution is warranted in sensitive applications like finance.

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# Appendix

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A BACKGROUND

A.1 SCAN

495 Semantic clustering by adopting nearest neighbors (SCAN) (Van Gansbeke et al., 2020) is a deep clustering framework. It comprises three stages: representation learning, clustering, and self-labeling, the latter being a novel contribution to the deep clustering field.

In the first stage, a feature extractor function  $g_{\phi}$  is trained on the dataset  $\mathcal{D}$  using a self-supervised pretext task (e.g. SimCLR (Chen et al., 2020a)). The resulting feature embeddings are then used to identify K nearest neighbors for each sample  $\mathbf{x}_i \in \mathcal{D}$ , forming a set  $\mathcal{N}_{\mathbf{x}_i}$  that is assumed to contain samples belonging to the same semantic cluster. The obtained semantically meaningful features are further used as a prior for clustering the images.

To encourage consistent clustering across neighbors, SCAN introduces a clustering function  $f_{\eta}$  which performs a soft assignment of samples to clusters  $C = \{1, \ldots, C\}$ . The probability of assigning a sample  $\mathbf{x}_i$  to cluster c is denoted as  $f_{\eta}^c(\mathbf{x}_i) \in [0, 1]$ . SCAN learns a clustering function by minimizing a proposed semantic clustering loss:

$$\mathcal{L}_{\text{SCAN}} = -\frac{1}{|\mathcal{D}|} \sum_{\mathbf{x}_i \in \mathcal{D}} \sum_{k \in \mathcal{N}_{\mathbf{x}_i}} \log \langle f_{\eta}(\mathbf{x}_i), f_{\eta}(k) \rangle + \lambda \sum_{c \in \mathcal{C}} f_{\eta}^{c'} \log f_{\eta}^{c'},$$

where the term  $f_n^{c'}$  represents the average cluster assignment across the dataset:

$$f_{\eta}^{c'} = \frac{1}{|\mathcal{D}|} \sum_{\mathbf{x}_i \in \mathcal{D}} f_{\eta}^c(\mathbf{x}_i).$$

The first term ensures consistent clustering for a sample and its neighbors by maximizing their similarity, while the second term spreads the predictions uniformly across the clusters C. This prevents the model from collapsing into trivial solutions where all samples are assigned to a single cluster.

The final stage of the SCAN algorithm refines the clustering assignments via self-labeling by using
 the assignments from the previous iteration as pseudo-labels.

A.2 SIMCLR

Similar to previous contrastive learning algorithms (Dosovitskiy et al., 2014; Wu et al., 2018; Ji 525 et al., 2019), SimCLR (Chen et al., 2020a) learns representations by maximizing agreement between 526 differently augmented views of the same data sample via a contrastive loss. During SimCLR 527 pretraining, from each sample in a batch of N samples, we derive two augmented versions of this 528 sample, resulting in a batch size of 2N. Given a positive pair, SimCLR treats the other 2(N-1)529 samples as negative samples. SimCLR utilizes the InfoNCE (van den Oord et al., 2018) loss by 530 applying it in the latent space. For a given positive pair of samples (i, j), the contrastive loss with 531 temperature parameter  $\tau$  is defined as follows: 532

$$\mathcal{L}_{i,j} = -\log \frac{\exp(\sin(\mathbf{z}_i, \mathbf{z}_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{K}_{[k\neq i]} \exp(\sin(\mathbf{z}_i, \mathbf{z}_k)/\tau)}$$

where  $\mathbb{W}_{[k\neq i]}$  is 1 if  $k \neq i$ , and  $sim(\mathbf{z}_i, \mathbf{z}_j)$  denotes the cosine similarity between two embedded samples.

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#### В DATASETS

CIFAR-10/20/100 (Krizhevsky et al., 2009): CIFAR datasets include three variants: CIFAR-10, CIFAR-20, and CIFAR-100. CIFAR-10 consists of images with dimensions of 32×32×3 channels, categorized into 10 classes. CIFAR-100 expands this structure to 100 classes, grouped into 20 super-classes, forming the basis of CIFAR-20. In total, CIFAR dataset contains 50,000 training images and 10,000 validation images.

**STL-10** (Coates et al., 2011): STL-10 dataset contains 10 classes of images, each of size 96 x 96 x 3 channels. It provides 500 training images per class, 800 validation images per class, and an additional 100,000 unlabeled samples for use during the training stage. Note that following the original implementation of SCAN (Van Gansbeke et al., 2020), we do not utilize these unlabeled samples.

#### С **HYPERPARAMETERS**

#### Table 3: SCAN's hyperparameters for self-labeling

550	Parameter	CIFAR10	CIFAR-20 / 100	STL10
555	GENERAL TRAINING			
560	Confidence threshold	0.99	0.99	0.99
561	Criterion	Confidence cross entropy	Confidence cross entropy	Confidence cross entropy
562	Apply class balancing	True	True	True
302	Epochs	200	200	200
563	Batch size	1000	1000	1000
564	MODEL Backbone	recnet18	recnet18	recnet 18
565	Number of heads	1	1	1
505	AUGMENTATIONS (TRAIN SET)	-	-	-
566	Augmentation strategy	SCAN	SCAN	SCAN
567	Crop size	32	32	96
569	Normalize mean	[0.4914, 0.4822, 0.4465]	[0.5071, 0.4867, 0.4408]	[0.485, 0.456, 0.406]
500	Normalize std	[0.2023, 0.1994, 0.2010]	[0.2675, 0.2565, 0.2761]	[0.229, 0.224, 0.225]
569	CUTOUT	1	1	1
570	Num of noies	1	1	1
574	Random	10 True	True	52 True
07 I	TRANSFORMATIONS (VALIDATION SET)	IIuc	IIde	IIue
572	Crop size	32	32	96
573	Normalize mean	[0.4914, 0.4822, 0.4465]	[0.5071, 0.4867, 0.4408]	[0.485, 0.456, 0.406]
574	Normalize std	[0.2023, 0.1994, 0.2010]	[0.2675, 0.2565, 0.2761]	[0.229, 0.224, 0.225]
3/4	Optimizer			
575	Туре	Adam	Adam	Adam
576	Learning rate Weight decay	1e-4 1e-4	1e-4 1e-4	1e-4 1e-4

We use the same experimental setup as SCAN for each dataset, except that we train STL-10 for 200 epochs instead of 100 to ensure consistency with the CIFAR datasets. Unless stated otherwise in the corresponding experiment sections, the hyperparameters listed in Table 3 are consistently applied across all our self-labeling experiments and match those from the original SCAN codebase.

#### 594 D METRICS

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596 **Clustering Accuracy (ACC)** We measure the clustering accuracy by first allowing for an optimal matching (permutation) between predicted cluster labels and ground-truth labels. Concretely, given 598 ground-truth labels  $\{y_i\}_{i=1}^n$  and predicted labels  $\{c_i\}_{i=1}^n$ , we seek a one-to-one mapping g that maximizes the overall agreement. The Hungarian algorithm Kuhn (1955) can be used to find this mapping efficiently. Formally: 600

> ACC $(\mathbf{y}, \mathbf{c}) = \max_{g} \frac{1}{n} \sum_{i=1}^{n} \mathbb{I}\{y_i = g(c_i)\},\$ (1)

where  $\mathbb{I}\{\cdot\}$  is the indicator function. The maximization is over all possible bijections g from the set of predicted labels to the set of ground-truth labels.

**Normalized Mutual Information (NMI)** Normalized Mutual Information quantifies the similarity between clustering results and true class labels, while correcting for differences in their entropies:

$$NMI(\mathbf{y}, \mathbf{c}) = \frac{I(\mathbf{y}; \mathbf{c})}{\frac{1}{2} \left[ H(\mathbf{y}) + H(\mathbf{c}) \right]},$$
(2)

611 where  $H(\cdot)$  denotes the entropy and  $I(\cdot; \cdot)$  the mutual information. By normalizing with the average 612 entropy of the label vectors, NMI is constrained to lie in [0, 1].

Adjusted Rand Index (ARI) The Rand Index (RI) measures the fraction of correctly paired samples among all possible pairs. Let TP and TN be the number of true-positive and true-negative pairs, respectively, among the  $\binom{n}{2}$  possible sample pairs. Then the Rand Index is

$$\mathrm{RI} = \frac{\mathrm{TP} + \mathrm{TN}}{\binom{n}{2}}.$$
(3)

619 However, RI can be artificially inflated by chance alignments when the number of clusters is large. 620 The Adjusted Rand Index (ARI) corrects for this effect by normalizing against the expected value of RI, yielding: 622

$$ARI(\mathbf{y}, \mathbf{c}) = \frac{RI - \mathbb{E}[RI]}{\max(RI) - \mathbb{E}[RI]},$$
(4)

where ARI ranges in [-1, 1]. A value of 1 indicates perfect agreement, 0 agreement expected by 625 random chance, and -1 perfect disagreement.

Quality of Pseudo-Labels (NMI Match) Let  $S_{\tau} = \{i \mid \max_{c}(q_i)_c \geq \tau\}$  denote the indices of confident samples from the unlabeled dataset  $\mathcal{D} = \{\mathbf{x}_1, \ldots, \mathbf{x}_n\}$ , where each sample's latent 628 representation is  $\mathbf{z}_i = g_{\phi}(\mathbf{x}_i)$  and the clustering head produces probabilities  $\mathbf{q}_i = h_{\theta}(\mathbf{z}_i)$ . The 629 pseudo-label for sample *i* is defined as 630

 $\tilde{y}_i = \arg\max_c (q_i)_c.$ 

For evaluation (using ground-truth labels  $y_i$ ), the quality of the confident pseudo-labels is measured by

$$\mathrm{NMI}_{\mathrm{Match}} = \mathrm{NMI}\Big(\{\tilde{y}_i\}_{i\in S_{\tau}}, \{y_i\}_{i\in S_{\tau}}\Big),\tag{5}$$

where  $NMI(\cdot, \cdot)$  denotes the normalized mutual information. A higher  $NMI_M$  atch indicates that 636 the pseudo-labels closely reflect the true classes, implying lower label noise.

**Cluster Label Reassignment (CL Change)** Let V be a fixed validation set. For each  $\mathbf{x}_i \in V$ , denote the network's cluster assignment at epoch t by

$$\hat{y}_i^t = \arg\max_c \left( f_\eta(\mathbf{x}_i) \right)_c$$

where  $f_n(\mathbf{x}_i) = h_{\theta}(g_{\phi}(\mathbf{x}_i))$ . Define

$$Q^t = \{ \hat{y}_i^t \mid \mathbf{x}_i \in V \},\$$

as the set of assignments at epoch t. The Cluster Label Reassignment metric is then defined as

$$CL\_Change = (1 - NMI(Q^t, Q^{t-1})) \times 100$$
(6)

This metric quantifies the percentage change in cluster assignments on the validation set between 647 consecutive epochs. Lower values indicate more stable clustering evolution over time.

#### E RESL ALL METRICS

Table 4: Clustering accuracy of ReSL variants against the standard self-labeling procedure. The best result in each column is in **bold**, and the second best is <u>underlined</u>.

Experiment	STI	L-10	CIFA	<b>R-10</b>	CIFA	CIFAR-20 CI		IFAR-100	
	Avg	Max	Avg	Max	Avg	Max	Avg	Max	
ReSL <sub>SP</sub>	76.75	77.12	<u>88.16</u>	90.79	48.60	49.24	31.56	32.19	
$\text{ReSL}_{SP*}$	<u>76.91</u>	77.21	87.87	88.16	<u>48.64</u>	<u>49.47</u>	31.35	33.02	
$\text{ReSL}_{HT}$	77.80	78.11	88.21	88.85	49.13	50.68	34.89	35.6	
SCAN+SL	75.78	76.85	87.57	87.92	48.02	48.83	<u>31.68</u>	32.51	

Table 5: ARI of ReSL variants against the standard self-labeling procedure. The best result in each column is in **bold**, and the second best is <u>underlined</u>.

Experiment	STI	L-10	CIFA	<b>R-10</b>	CIFA	R-20	CIFAR-100	
	Avg	Max	Avg	Max	Avg	Max	Avg	Max
<b>ReSL</b> <sub>SP</sub>	60.81	61.86	<u>77.31</u>	81.33	32.76	33.12	21.88	22.44
$\text{ReSL}_{SP*}$	<u>60.81</u>	<u>61.86</u>	77.00	78.46	<u>32.91</u>	<u>34.25</u>	22.33	<u>23.30</u>
$\text{ReSL}_{HT}$	62.23	62.47	76.82	<u>77.33</u>	33.72	35.29	24.17	24.59
SCAN+SL	59.90	61.40	75.75	76.20	32.83	33.82	<u>22.35</u>	23.11

Table 6: NMI of ReSL variants against the standard self-labeling procedure. The best result in each column is in **bold**, and the second best is <u>underlined</u>.

Experiment	STI	L-10	CIFA	R-10	CIFA	AR-20 CIFA		.R-100	
	Avg	Max	Avg	Max	Avg	Max	Avg	Max	
$\text{ReSL}_{SP}$	67.01	67.83	<u>79.28</u>	81.76	48.03	48.42	53.72	54.06	
$\text{ReSL}_{SP*}$	<u>67.01</u>	<u>67.83</u>	79.39	80.34	<u>48.22</u>	<u>49.04</u>	53.63	<u>54.26</u>	
$\text{ReSL}_{HT}$	68.00	68.18	79.71	80.08	49.13	50.20	55.75	55.84	
SCAN+SL	66.34	67.51	78.66	78.98	48.15	48.39	<u>53.42</u>	54.22	

# 702 F RESL HT RESULTS







Figure 5: Heatmaps for  $\text{ReSL}_{SP}$  showing accuracy variations with different reset frequencies and retention parameters across multiple datasets.

The performance of the  $\text{ReSL}_{SP}$  reset strategy depends on two key hyperparameters: the retention parameter ( $\alpha$ ) and the reset frequency. The retention parameter controls the proportion of previous weights retained during resets, while the reset frequency determines how often resets occur. Accuracy generally improves as  $\alpha$  increases, indicating that stronger retention mitigates the disruptive effects of resets. However, the optimal  $\alpha$  varies based on dataset. CIFAR-10 achieves peak accuracy at  $\alpha = 0.8$ , while CIFAR-20 and CIFAR-100 perform best at  $\alpha = 0.95$ , emphasizing the importance of preserving learned representations in more complex datasets. STL-10 exhibits a gradual improvement with higher  $\alpha$ , suggesting that excessive resets degrade feature stability.



#### G.2 RESL WITH HARE & TORTOISE NETWORKS

Figure 6: Heatmaps for  $\text{ReSL}_{HT}$  analyzing the impact of momentum and reset frequency on accuracy across multiple datasets.

Figure 6 illustrates the accuracy variations for different configurations of  $\text{ReSL}_{HT}$  across multiple datasets. A momentum value of 0.995 with a reset frequency of 20 consistently outperforms SCAN+SL across all datasets, demonstrating the effectiveness of this configuration in stabilizing updates and enhancing clustering performance. CIFAR-10, CIFAR-20, CIFAR-100, and STL-10 all exhibit improved accuracy under this setting.



Figure 7: Plasticity injection experiment across multiple datasets.

All models, including the baseline without injection, demonstrated similar accuracy levels, with only slight variations observed immediately after the injection, suggesting no loss of trainability.