# **Int**CoOp: Interpretability-Aware Vision-Language Prompt Tuning

Anonymous ACL submission

### Abstract

 Image-text contrastive models such as CLIP learn transferable and robust representations for zero-shot transfer to a variety of downstream tasks. However, to obtain strong downstream performances, prompts need to be carefully cu- rated, which can be a tedious engineering task. To address the issue of manual prompt engi- neering, prompt-tuning is used where a set of contextual vectors are learned by leveraging in- formation from the training data. Despite their 011 effectiveness, existing prompt-tuning frame- works often lack interpretability, thus limiting their ability to understand the compositional nature of images. In this work, we first iden-**tify that incorporating compositional attributes**  (e.g., a *"green"* tree frog) in the design of man-**ual prompts can significantly enhance image-** text alignment scores. Building upon this ob- servation, we propose a novel and interpretable prompt-tuning method named **Int**CoOp, which learns to jointly align attribute-level inductive biases and class embeddings during prompt- tuning. To assess the effectiveness of our ap- proach, we evaluate **Int**CoOp across two rep- resentative tasks in a few-shot learning setup: **generalization to novel classes, and unseen do-** main shifts. Through extensive experiments across 10 downstream datasets on CLIP, we find that introducing attribute-level inductive biases leads to superior performance against state-of-art prompt tuning frameworks. No- tably, in a 16-shot setup, **Int**CoOp improves 033 CoOp by  $7.35\%$  in average performance across 10 diverse datasets.

# **035** 1 Introduction

 Recently, significant advancements have been achieved in the field of vision-language models, with notable examples like CLIP [\(Radford et al.,](#page-9-0) [2021\)](#page-9-0), Flamingo [\(Alayrac et al.,](#page-8-0) [2022\)](#page-8-0), ALIGN [\(Jia](#page-8-1) [et al.,](#page-8-1) [2021a\)](#page-8-1), and CoCa [\(Yu et al.,](#page-9-1) [2022\)](#page-9-1). These models have excelled in acquiring transferable and robust image representations, a feat accomplished through a combination of two fundamental com- **043** ponents: (i) Large-scale paired image-text datasets **044** ranging from 400M to 2B image-text pairs; (ii) A **045** contrastive objective that aligns the image and text **046** embeddings into a common subspace. Leverag- **047** ing these ingredients, vision-language models have **048** obtained strong performances in zero-shot classifi- **049** cation, image-text retrieval, and robustness to distri- **050** bution shifts. For all these tasks, contrastive models **051** such as CLIP enable zero-shot inference: Given an **052** image  $\mathcal{I}$  and a set of text prompts  $\{t_i\}_{i=1}^N$ , the most 053 relevant text-prompt  $t \in \{t_i\}_{i=1}^N$  is identified by 054 maximizing the image-text similarity between  $\mathcal{I}$  055 and t. 056

Adapting image-text contrastive models for **057** downstream tasks is a complex undertaking. **058** Achieving optimal performance with image-text **059** contrastive models necessitates the manual cre- **060** ation of domain-specific prompts, a process that **061** demands extensive domain knowledge and is ex- **062** ceptionally challenging and time-consuming. Even **063** with considerable prompt engineering, there is limited assurance that the designed prompt is truly op- **065** [t](#page-9-2)imal. To address this issue, recent research [\(Zhou](#page-9-2) **066** [et al.,](#page-9-2) [2022a;](#page-9-2) [Lee et al.,](#page-9-3) [2023;](#page-9-3) [Khattak et al.,](#page-8-2) [2023;](#page-8-2) **067** [Ouali et al.,](#page-9-4) [2023\)](#page-9-4) has turned to prompt-tuning tech- **068** niques, borrowing concepts from the field of NLP **069** and applying them to vision-language models like **070** CLIP to achieve good image recognition perfor- **071** mance on downstream tasks. However these frame- **072** works often *lack interpretability* and as a result the **073** model struggles to understand the composition of **074** the images. 075

In this study, we address this challenge by learn- **076** ing a method to extract and embed attribute-level **077** information into the prompt-tuning framework dur- **078** ing training. We define an *attribute* as an inter- **079** pretable concept that is relevant to the image and **080** encapsulates its semantic essence. Although man- **081** ually crafted prompts can vary in their character- **082** istics based on the specific downstream domain, **083**

<span id="page-1-0"></span>

Figure 1: (a) Importance of learning interpretable concepts in prompts. Left: For each image, we design two prompt templates: (1) Without any compositional attribute "A photo of a  $[cls]$ " and (2) With compositional information "A photo of a  $[a]$  $[cls]$ " where  $[cls]$  represents the classname and  $[a]$  represents an attribute obtained using a BLIP-2 based VQA model. **Right:** The distribution plot highlights the importance of baking attribute information into the prompts. For this analysis, we used a CLIP model with a ViT-B/16 image encoder and a dataset consisting of 50 images selected randomly from each of 1000 classes in ImageNet-1k. The x-axis indicates the predicted CLIP score. Clearly, the CLIP model is more confident when the prompts include information related to the compositionality of the image. (b) Framework for obtaining attribute-level supervision. We present the overarching architecture for generating attribute labels a for a given training image using BLIP-2 VQA model.

 our analysis has revealed a noteworthy trend. We have observed that prompts containing attribute in- formation that describes the objects in the images lead to enhanced image-text alignment scores in contrastive models such as CLIP. For instance, as depicted in Figure [1,](#page-1-0) we can see that prompts incor-**porating compositional attributes such as "green"**  tree frog yield higher image-text alignment scores than those lacking such descriptors.

 Based on these findings, we present an in- terpretable prompt-tuning approach known as **Int**CoOp, which incorporates attribute informa- tion into the prompt-tuning procedure thereby gen- erating more interpretable prompts. While one might initially consider leveraging off-the-shelf image captioning models to generate attribute la- bels, this approach becomes infeasible during in- ference when class labels are unavailable. Conse- quently, generating attribute descriptions for im- ages emerges as a *non-trivial task*. To mitigate this challenge, we train a compact hypernetwork re- sponsible for predicting embeddings corresponding to attribute descriptors.

 We test our prompt-tuning method **Int**CoOp on a range of diverse downstream datasets to test for generalization to novel classes, and robustness to distribution shifts. In Section [5,](#page-5-0) we show that our method **Int**CoOp has improved robustness to dis- tribution shifts, domain generalization, and few- shot learning. Notably, in domain generalization setup, **Int**CoOp outperforms PLOT [\(Chen et al.,](#page-8-3) [2023\)](#page-8-3) by 19.32% in average performance across 4 diverse domains. In summary, our research pro- vides compelling empirical support for the substan- tial advantages of integrating attribute-level induc-tive biases into the prompt-tuning process.

**120** Overall, our paper makes the following key con-

tributions: **121**

- We introduce a novel prompt-tuning method, **122** named **Int**CoOp, which concurrently aligns **123** attribute-level inductive biases and class em- **124** beddings during training, thus facilitating the **125** generation of interpretable prompts. **126**
- We devise an efficient cross-attention mecha- **127** nism to integrate image information with the **128** learnable prompt tokens seamlessly. **129**
- We present comprehensive experiments across **130** a range of tasks, including generalization to **131** unseen classes, and distribution shifts show- **132** ing the efficacy of **Int**CoOp. Notably, in **133** a 16−shot setup, **Int**CoOp outperforms the **134** state-of-art framework LFA [\(Ouali et al.,](#page-9-4) **135** [2023\)](#page-9-4) by 1.27% improvement in average per- **136** formance across 10 diverse datasets. **137**

# 2 Related Works **<sup>138</sup>**

Pretrained Vision-Language Models. Recent **139** research [\(Radford et al.,](#page-9-0) [2021;](#page-9-0) [Yu et al.,](#page-9-1) [2022\)](#page-9-1) **140** has shown that leveraging language to train im- **141** age encoders can result in strong downstream per- **142** formances especially for robustness and few-shot **143** learning. These vision-language models are usu- **144** ally pre-trained on large corpuses of image-text **145** pairs using contrastive objectives that align im- **146** age and text representations into a common sub- **147** [s](#page-8-4)pace. CLIP [\(Radford et al.,](#page-9-0) [2021\)](#page-9-0) and ALIGN [\(Jia](#page-8-4) **148** [et al.,](#page-8-4) [2021b\)](#page-8-4) use *only* the contrastive objective **149** to align image-text embeddings. CoCa [\(Yu et al.,](#page-9-1) **150** [2022\)](#page-9-1) uses a captioning loss in conjunction with **151** contrastive objectives to further improve image **152** representations. However, in all these vision- **153** language models, inference requires manually cu- **154** rated prompts to extract the best performance, **155**

2

 which can be a tedious engineering task. To miti- gate this issue, recent research has turned to prompt- tuning techniques to automatically learn domain specific prompts.

 Prompt Tuning. Given a set of text-instructions and an image, existing vision-language mod- els make their decisions by selecting the text- instruction which has the maximum similarity between the image and text-embeddings. Re- cent advances in this field, such as methods [l](#page-9-2)ike CoOp [\(Zhou et al.,](#page-9-5) [2022b\)](#page-9-5), CoCoOp [\(Zhou](#page-9-2) [et al.,](#page-9-2) [2022a\)](#page-9-2), ProDA [\(Lu et al.,](#page-9-6) [2022\)](#page-9-6), VPT [\(Jia](#page-8-5) [et al.,](#page-8-5) [2022\)](#page-8-5), MaPLe [\(Khattak et al.,](#page-8-2) [2023\)](#page-8-2), Kg- CoOp [\(Yao et al.,](#page-9-7) [2023\)](#page-9-7), ProGrad [\(Zhu et al.,](#page-9-8) [2022\)](#page-9-8), [L](#page-9-3)ASP [\(Bulat and Tzimiropoulos,](#page-8-6) [2023\)](#page-8-6), RPO [\(Lee](#page-9-3) [et al.,](#page-9-3) [2023\)](#page-9-3), DAPT [\(Cho et al.,](#page-8-7) [2023\)](#page-8-7), PLOT [\(Chen](#page-8-3) [et al.,](#page-8-3) [2023\)](#page-8-3), and LFA [\(Ouali et al.,](#page-9-4) [2023\)](#page-9-4) have shifted from manually designed prompts to au- tomatically learning prompts through fine-tuning learnable vectors with image-text pairs from the target domain. CoOp fine-tunes CLIP to optimize a set of learnable tokens in the input layer of the text-encoder. CoCoOp enhances CoOp by incorpo- rating conditional image information in the prompt- learning process. VPT learns tokens in each layer of a given encoder through a fine-tuning objective. KgCoOp introduces a regularizer to constrain the prompt tuning process such that the representa- tions of the learned prompts do not deviate signifi- cantly from the manually crafted prompts. PLOT applies optimal transport to match the vision and text modalities for generating the discriminative and visual-aligned local textual prompt tokens. Re- fer [Liu et al.](#page-9-9) [\(2024\)](#page-9-9) for a comprehensive survey on prompt-tuning frameworks. Overall, none of the existing works aim to understand if augmenting certain inductive biases in the prompt-tuning pro- cess is beneficial. Our work **Int**CoOp specifically addresses this issue and shows that incorporating compositional attributes in the prompt-tuning pro-cess can indeed be beneficial for downstream tasks.

# <span id="page-2-1"></span>**<sup>197</sup>** 3 Preliminaries

 Contrastive Language-Image Pre-training **(CLIP)** [\(Radford et al.,](#page-9-0) [2021\)](#page-9-0) is a vision- language model trained on a large dataset of 400 million image-text caption pairs using a contrastive loss. CLIP primarily consists of two major components:

204 [\(](#page-8-8)1) **Vision Encoder**  $V(\cdot)$  consists of a ViT [\(Doso-](#page-8-8)**205** [vitskiy et al.,](#page-8-8) [2020\)](#page-8-8) model, which takes an image 206  $\mathcal{I} \in \mathbb{R}^{H \times W \times 3}$  as input and outputs a visual embedding in the latent space. The vision encoder  $\mathcal V$  con-  $207$ sists of L transformer blocks  $\{\mathcal{V}_i\}_{i=1}^L$ . First, the input image  $\mathcal I$  is split into  $R$  fixed-size patches which  $209$ are projected into patch embeddings  $E_0 \in \mathbb{R}^{R \times D_v}$ where  $D_v$  is the constant latent vector size of the 211 image encoder. Patch embeddings  $E_i$  are input  $212$ to the  $(i + 1)$ <sup>th</sup> transformer block  $(\mathcal{V}_{i+1})$  along 213 with a learnable class token  $x_i$  and is sequentially 214 processed through L transformer blocks: **215**

, **210**

. **221**

(1) **236**

$$
[\mathbf{x}_i, E_i] = \mathcal{V}_i ([\mathbf{x}_{i-1}, E_{i-1}]) \quad i = 1, 2, \cdots, L.
$$

To obtain the final image representation, the **217** class token  $x_L$  of the last transformer layer  $(\mathcal{V}_L)$  218 is projected to a common image-text latent embed- **219** ding space via a linear projection layer. **220**

$$
\mathcal{V}(\mathcal{I}) = \text{Proj}(\mathbf{x}_L) \quad \mathbf{x}_L \in \mathbb{R}^{D_{vl}}.
$$

where  $D_{vl}$  is the constant vector size of the  $222$ image-text latent embedding space. **223**

(2) **Text Encoder**  $\mathcal{T}(\cdot)$  is a transformer-based 224 model that maps the input text captions into text **225** embeddings. **226**

For zero-shot inference on a downstream **227** dataset consisting of C classes with class names **228**  $\{[cls]_c\}_{c=1}^C$ , CLIP uses hand-crafted prompts to 229 generate the textual class embeddings. Specifically, **230** given a hand-crafted prompt template "A photo of a **231**  $[cls]$ ", let  $s_c$  represent the sequence embedding for 232 the prompt "A photo of a  $[cls]_c$ " corresponding to  $233$ the c-th class. Given an input image  $\mathcal{I}$ , the output 234 probability is given by: **235**

$$
\mathbb{P}(\hat{y} = c | \mathcal{I}) = \frac{\exp(\cos(\mathcal{V}(\mathcal{I}), \mathcal{T}(\mathbf{s}_c))/\tau)}{\sum_{j=1}^{C} \exp(\cos(\mathcal{V}(\mathcal{I}), \mathcal{T}(\mathbf{s}_j))/\tau)}
$$
(1)

where  $cos(\cdot, \cdot)$  represents the cosine similarity and 237  $\tau$  is the temperature coefficient. **238** 

Context Optimization (CoOp) [\(Zhou et al.,](#page-9-5) **239** [2022b\)](#page-9-5). Designing hand-crafted prompts in CLIP **240** for every downstream data set is a tedious and **241** time-consuming task. To mitigate this issue of **242** prompt engineering, CoOp [\(Zhou et al.,](#page-9-5) [2022b\)](#page-9-5) **243** proposed to learn the prompts directly from the **244** data by replacing the hand-crafted prompt with **245** a context vector comprising of M tunable vec- **246** tors. Let the context vector be represented as **247**  $\mathbf{u} = {\mathbf{u}_1, \mathbf{u}_2, \cdots, \mathbf{u}_M}$ , where  $\mathbf{u}_i$  represents a 248 5[1](#page-2-0)2-dimensional vector<sup>1</sup>. Unlike the hand-crafted 249

<span id="page-2-0"></span><sup>&</sup>lt;sup>1</sup>The vector  $\mathbf{u}_i$  is of same dimension as the wordembedding of class names  $[cls]_c$ . In this study, we primarily use CLIP-ViTB/16 model where text embeddings are projected in a 512-dimensional space.

<span id="page-3-1"></span>

Figure 2: Framework for learning compositional attributes. The figure elucidates the training framework of the attribute extractor network A.

 prompt template, the tunable prompts are now de-251 signed as  $\mathbf{p} = \{[\mathbf{u}_1, \mathbf{u}_2, \cdots, \mathbf{u}_M, [cls]_c]\}_{c=1}^C$ . To allow the exchange of information learned from the data, the context vector u is common across all the class categories. Finally, the context vector u is learned by minimizing the cross-entropy loss between the ground-truth and predicted label as **257** follows:

$$
\mathbb{P}(\hat{y} = c | \mathcal{I}) = \frac{\exp(\cos(\mathcal{V}(\mathcal{I}), \mathcal{T}(\mathbf{p}_c))/\tau)}{\sum_{j=1}^{C} \exp(\cos(\mathcal{V}(\mathcal{I}), \mathcal{T}(\mathbf{p}_j))/\tau)}
$$
(2)

**258**

$$
259 \qquad \mathcal{L}_{CE} = -\log \mathbb{P}(\hat{y} = y|\mathcal{I}) \tag{3}
$$

260 where,  $y$  represents the true label for image  $\mathcal I$  and p<sup>c</sup> represents the tunable prompt for class c. Note that during training **Int**CoOp, the vision and text encoder in CLIP are completely *frozen* and the optimization framework only updates the context vector u.

# **<sup>266</sup>** 4 **Int**CoOp: Interpretability-Aware **<sup>267</sup>** Prompt Tuning

 In this section, we provide a detailed overview of our proposed prompt-tuning approach **Int**CoOp. In Section [4.1,](#page-3-0) we detail the process of extracting attribute information from a given image. Next, in Section [4.2,](#page-4-0) we delve deeper to understand the process of generating image-conditioned prompts. Finally, we outline our entire training framework in Section [4.4,](#page-4-1) demonstrating the integration of all components into the training pipeline. Similar to past context optimization approaches [\(Zhou et al.,](#page-9-5) [2022b\)](#page-9-5), **Int**CoOp can also be easily applied to a broad family of CLIP-like vision-language models.

### <span id="page-3-0"></span>**280** 4.1 Learning Interpretable Image Concepts

**281** Obtaining Attribute-level Supervision. Given 282 **an** input image  $I$ , our goal is to extract an inter-**283** pretable attribute (denoted by a) that provides an

accurate characterization of the image. For exam- **284** ple, given the image of "Tree Frog" in Figure [1\(](#page-1-0)b), **285** we can define the attribute a as "Green". However, **286** standard image-recognition datasets such as Ima- **287** genet [\(Deng et al.,](#page-8-9) [2009\)](#page-8-9) only provide true labels **288** for object categories and do not consist of attribute- **289** level supervision. We overcome this problem by **290** using a BLIP-2 [\(Li et al.,](#page-9-10) [2023\)](#page-9-10) ViT-G FlanT5XXL **291** based VQA model to generate an attribute label **292**  $(a_{\mathcal{I}})$  for each image  $\mathcal{I}$  in the train set. The entire 293 framework is visually represented in Figure [1\(](#page-1-0)b). **294** We refer the reader to the Appendix [B](#page-10-0) for a detailed **295** description and visualization of more representa- **296** tive examples. **297**

Learning to extract attribute information dur- **298** ing training. During inference, as class labels are **299** unavailable for test images, direct utilization of off- **300** the-shelf captioning models [\(Li et al.,](#page-9-10) [2023\)](#page-9-10) is in- **301** feasible. To circumvent this limitation, we propose **302** training a network to learn contextually relevant **303** attributes (see Figure [2\)](#page-3-1). Specifically, we design an **304** attribute extractor network A, which takes as input **305** the image embedding from CLIP's vision encoder **306** and outputs a 512-dimensional vector representing **307** the embedding of the attribute. This network is **308** trained using supervised attribute labels obtained **309** from the framework in Figure [1\(](#page-1-0)b). **310**

**Designing the attribute extractor.** It is important **311** to note that the attribute extractor network A learns **312** the interpretable concepts directly from the image **313** embedding. Therefore, the embedding vector must **314** effectively encode information regarding the com- **315** positionality of the image to enable proper training **316** of the network. In Table [6,](#page-11-0) we show that the em- **317** beddings from CLIP's frozen vision encoder are **318** not expressive enough to essentially capture the at- **319** tribute information. This challenge is compounded **320** by the fact that, in a few-shot setup, there are a **321** limited number of samples available for each class, **322** leading to suboptimal training of the attribute ex- **323** tractor. To generate richer and more informative vi- **324** sual representations, we append a set of *n* learnable 325 parameters  $\{ \mathbf{Z}_i^j \in \mathbb{R}^{D_v} \}_{j=1}^n$  to each transformer 326 layer  $V_i$  of the image encoder up to depth  $K$ .  $327$ 

$$
[\mathbf{x}_i, E_i, \_] = \mathcal{V}_i \left( [\mathbf{x}_{i-1}, E_{i-1}, Z_{i-1}] \right) \qquad \qquad \text{328}
$$

$$
\forall i = 1, 2, \cdots, K. \qquad \qquad \text{329}
$$

$$
[\mathbf{x}_j, E_j, Z_j] = \mathcal{V}_i\left( [\mathbf{x}_{j-1}, E_{j-1}, Z_{j-1}] \right)
$$
  

$$
\forall j = K+1, \cdots, L.
$$

$$
\mathcal{V}(\mathcal{I}) = \text{Proj}(\mathbf{x}_L) \tag{334}
$$

**333**

(6) **405**

 In Section [7,](#page-7-0) we show that this improved design choice leads to better performance on downstream tasks. Finally, the generated attribute labels can be used to train the network A by minimizing the following loss:

$$
240 \qquad \qquad \mathcal{L}_{\text{attr}} = ||\mathcal{A}(\mathcal{V}(\mathcal{I})) - \mathcal{T}(a_{\mathcal{I}})||_{f}^{f} \qquad (4)
$$

341 where  $\|\cdot\|_f^f$  indicates the f-th norm,  $\mathcal{T}(a_{\mathcal{I}})$  rep-**342** resents the 512-dimensional token embedding of 343 the attribute  $a_{\mathcal{I}}$ . In Appendix [F,](#page-11-1) based on ablations 344 we find setting  $f = 2$  gives the best performance. **345** In this paper, we instantiate the network A with a **346** two-layer neural net with ReLU activations.

### <span id="page-4-0"></span>**347** 4.2 Instance-Conditional Prompts

 In this section, we delve deeper into understand- ing how the prompts are generated. Recall from Section [3,](#page-2-1) that for CoOp [\(Zhou et al.,](#page-9-5) [2022b\)](#page-9-5), the 351 context vector  $\mathbf{u} = {\mathbf{u}_1, \mathbf{u}_2, \cdots, \mathbf{u}_M}$  is shared across all classes, and the tunable prompts are de-353 signed as  $\mathbf{p} = \{[\mathbf{u}_1, \mathbf{u}_2, \cdots, \mathbf{u}_M, [cls]_c]\}_{c=1}^C$ . In Table [6,](#page-11-0) we show that sharing the context vectors across all images leads to sub-optimal generaliza- tion to novel classes. To address this concern, we opt for a strategy that involves generating instance- conditional context tokens. However, rather than a straightforward addition of the image embedding to the context tokens [\(Zhou et al.,](#page-9-2) [2022a\)](#page-9-2), we em- ploy a Multi-head Attention module. This module generates context tokens by attending to the image embedding. Given an input image  $\mathcal{I}$ , the image **attended context vector**  $h(\mathcal{I})$  **is given by:** 

365 
$$
\mathbf{h}(\mathcal{I}) = \text{MultiHead}(\text{Query=u}, \text{Key=V}(\mathcal{I}),
$$
Value=V(\mathcal{I}))

 where u represents the context vector, and MultiHead indicates a Multi-head attention mod- ule. Note that the instance-conditioned context 370 vector  $h(\mathcal{I})$  has the same shape as u.

 Finally, we can generate the prompts for each class by embedding the output of the attribute ex- tractor into the instance-conditioned context vector  $h(\mathcal{I})$ . Let  $p^+(\mathcal{I})$  represent the attribute incorpo-rated prompts and is defined as:

<span id="page-4-2"></span>
$$
\mathbf{p}^{+}(\mathcal{I}) = \{[\mathbf{h}_1, \cdots, \mathbf{h}_M, \mathcal{A}(\mathcal{V}(\mathcal{I})), [cls]_c]\}_{c=1}^C
$$
\n(5)

**377** Unlike prior works [\(Zhou et al.,](#page-9-2) [2022a\)](#page-9-2), our cross-**378** attention based image-conditioning mechanism **379** incorporates a learned weighted sum of various

points in the image embedding for a single position **380** in the context vector, thereby providing a stronger **381** conditioning signal. In Section [7,](#page-7-0) we empirically **382** show that our conditioning mechanism is better 383 suited for few-shot fine-tuning in CLIP. **384**

### 4.3 Regularizing the Prompts **385**

Analysis by [Yao et al.](#page-9-7) [\(2023\)](#page-9-7) reveal that without **386** any regularization, the context vectors may heav- **387** ily overfit the training data. This can lead to poor **388** performance on unseen classes during inference. **389** To mitigate this, they propose adding a knowledge- **390** guided loss that aims to minimize the discrepancy **391** between the learned prompts and the handcrafted **392** template "A photo of a  $[cls]$ ". In this paper, we  $393$ also add an additional loss term to regularize the **394** learned prompts. However, instead of simply using **395** the hand-crafted templates, we generate a set of **396** textual prompts incorporating the compositional **397** information for each image. Given an image  $I$ ,  $398$ let  $\{ \mathbf{p}_i^{\text{gen}} \}$  $\binom{\text{gen}}{i}$   $\binom{m}{i}$  represent the pool of N syntheti- 399 cally generated prompt templates embedded with **400** interpretable concepts  $a_{\mathcal{I}}$  in image  $\mathcal{I}$ . In this study, 401 we select  $N = 80$  diverse textual prompts as sug-  $402$ gested in [Radford et al.](#page-9-0) [\(2021\)](#page-9-0). Based on this, we **403** define the regularization loss as:  $404$ 

$$
\mathcal{L}_{reg} = \frac{1}{N} \sum_{i=1}^{N} ||\mathcal{T}(\mathbf{p}^+(\mathcal{I})_y) - \mathcal{T}(\mathbf{p}_i^{gen}(\mathcal{I}))||_g^g \tag{6}
$$

where y represents the true label for the image 406  $\mathcal{I}, \mathcal{T}(\cdot)$  is the CLIP text encoder and  $\mathbf{p}^{+}(\mathcal{I})_y = 407$  $[\mathbf{h}_1, \cdots, \mathbf{h}_M, \mathcal{A}(\mathcal{V}(\mathcal{I})), [cls]_y]$  is the learnable 408 prompt for the true class y. Based on ablations **409** in Appendix [F,](#page-11-1) we set  $q = 1$ . 410

### <span id="page-4-1"></span>**4.4 Putting it together 411**

Let  $\mathcal{D}^{\text{train}} = {\{\mathcal{I}_j, y_j\}}_{j=1}^J$  represent a training 412 dataset consisting of  $J$  samples, where  $\mathcal{I}_j$  is an **413** image and  $y_j \in \{1, \dots, C\}$  represents the corre- 414 sponding label. Given the dataset, we first generate **415** the attribute labels  $(a_{\mathcal{I}})$  for each image as defined  $416$ in Section [4.1.](#page-3-0) Note, to avoid any computational **417** overhead during training, we perform this opera- **418** tion offline. Based on the previous discussions, the **419** training loss is formulated as: **420**

$$
\mathcal{L} = \mathcal{L}_{\text{CE}} + \lambda_1 \mathcal{L}_{\text{attr}} + \lambda_2 \mathcal{L}_{\text{reg}} \tag{7}
$$

where  $\mathcal{L}_{CE} =$   $433$ 

$$
-\frac{1}{J}\sum_{j=1}^{J}\log \frac{\exp(\cos(\mathcal{V}(\mathcal{I}_j),\mathcal{T}(\mathbf{p}^+(\mathcal{I}_j)_{y_j}))/\tau)}{\sum_{c=1}^{C}\exp(\cos(\mathcal{V}(\mathcal{I}_j),\mathcal{T}(\mathbf{p}^+(\mathcal{I}_j)_c))/\tau)}
$$

425 where  $y_j$  represents the true label for the image  $\mathcal{I}_i$  and C represents the number of seen classes. The optimization framework aims to learn the op- timal parameters by minimizing the training loss **as min**  $\mathbb{E}_{(\mathcal{I},y)\sim\mathcal{D}^{\text{train}}}$  [ $\mathcal{L}$ ]. Based on ablations in Ap-**pendix [F,](#page-11-1) we set**  $\lambda_1 = 4$  **and**  $\lambda_2 = 4$ **.** 

## <span id="page-5-0"></span>**<sup>431</sup>** 5 Experiments

 Implementation Details: In this study, for all [e](#page-9-0)xperimentation, we use a pretrained CLIP [\(Rad-](#page-9-0) [ford et al.,](#page-9-0) [2021\)](#page-9-0) model with a ViT-B/16 image encoder unless otherwise specified. We train the model for 50 epochs using a batch size of 4 and SGD optimizer with a learning rate of 0.0025. We **set the context length**  $M = 4$ . Further, for train-**ing IntCoOp**, we append  $n = 4$  learnable visual tokens in each transformer layer upto a depth of  $K = 9$ . We report results averaged over 3 random seeds. All experiments are run using the configura- tions listed in Appendix [A.](#page-10-1) The code will be made publicly available following paper acceptance.

 Computational Efficiency: In Table [4](#page-11-2) (Appendix), we compare the computational cost of training and inference for **Int**CoOp compared to baseline framework such as CoOp [\(Zhou et al.,](#page-9-5) [2022b\)](#page-9-5). We observe that, due to instance-conditional prompt generation, **Int**CoOp's per-epoch training time is slightly higher compared to CoOp. However, we believe this minor increase in training time is justi- fied by the significant performance improvements shown in Table [1.](#page-6-0) During inference, as presented in Table [4,](#page-11-2) **Int**CoOp does not incur any significant additional overhead compared to CoOp.

### <span id="page-5-1"></span>**457** 5.1 Base-to-Novel Class Generalization

 Following existing literature [\(Zhou et al.,](#page-9-5) [2022b](#page-9-5)[,a;](#page-9-2) [Yao et al.,](#page-9-7) [2023\)](#page-9-7), to assess the generalization capa- bility of **Int**CoOp, we employ a zero-shot setting that involves partitioning datasets into base and novel classes. Our model is exclusively trained on the base classes within a few-shot framework, and its performance is evaluated across both the base and novel categories.

 Datasets: To evaluate on generalization from base-to-novel classes, in line with past stud- ies [\(Zhou et al.,](#page-9-5) [2022b\)](#page-9-5), we used 10 diverse im- age classification datasets: ImageNet [\(Deng et al.,](#page-8-9) [2009\)](#page-8-9), Caltech101 [\(Fei-Fei et al.,](#page-8-10) [2004\)](#page-8-10), Oxford- [P](#page-9-12)ets [\(Parkhi et al.,](#page-9-11) [2012\)](#page-9-11), StanfordCars [\(Krause](#page-9-12) [et al.,](#page-9-12) [2013\)](#page-9-12), Flowers102 [\(Nilsback and Zisserman,](#page-9-13) [2008\)](#page-9-13), Food101 [\(Bossard et al.,](#page-8-11) [2014\)](#page-8-11), FGVCAir-craft [\(Maji et al.,](#page-9-14) [2013\)](#page-9-14), SUN397 [\(Xiao et al.,](#page-9-15)

[2010\)](#page-9-15), UCF101 [\(Soomro et al.,](#page-9-16) [2012\)](#page-9-16), and Eu- **475** roSAT [\(Helber et al.,](#page-8-12) [2019\)](#page-8-12). We refer the reader to **476** Table [10](#page-13-0) (Appendix) for a detailed description of **477** the datasets used in this study. **478**

**IntCoOp outperforms the state-of-art.** In Ta- **479** ble [1,](#page-6-0) we compare the base-to-new generalization **480** ability of **Int**CoOp with baselines such as zero- **481** shot CLIP and competitive prompt tuning frame- **482** works such as CoOp [\(Zhou et al.,](#page-9-5) [2022b\)](#page-9-5), Co- **483** CoOp [\(Zhou et al.,](#page-9-2) [2022a\)](#page-9-2), MaPLe [\(Khattak et al.,](#page-8-2) **484** [2023\)](#page-8-2), KgCoOp [\(Yao et al.,](#page-9-7) [2023\)](#page-9-7), ProGrad [\(Zhu](#page-9-8) **485** [et al.,](#page-9-8) [2022\)](#page-9-8), LASP [\(Bulat and Tzimiropoulos,](#page-8-6) **486** [2023\)](#page-8-6), RPO [\(Lee et al.,](#page-9-3) [2023\)](#page-9-3), DAPT [\(Cho et al.,](#page-8-7) **487** [2023\)](#page-8-7), PLOT [\(Chen et al.,](#page-8-3) [2023\)](#page-8-3), and LFA [\(Ouali](#page-9-4) **488** [et al.,](#page-9-4) [2023\)](#page-9-4) on a set of 10 diverse datasets. We **489** implemented all methods using a few-shot train- **490** ing approach involving 16 randomly sampled shots **491** for each base class. Recall that for this task, eval- **492** uation involves training the model solely on the **493** base classes and assessing its performance on both **494** base and novel classes, a challenging scenario **495** that tests the model's generalizability. We em- **496** ploy the harmonic mean (HM) of the base and **497** novel accuracies as the metric for comparison. Our **498** empirical findings reveal two key insights: (1) 499 **Int**CoOp consistently demonstrates superior few- **500** shot performance in comparison to the state-of-  $501$ the-art prompt tuning techniques. Moreover, when **502** considering the average mean performance across **503** all 10 datasets, **Int**CoOp outperforms the current **504** state-of-art [\(Ouali et al.,](#page-9-4) [2023\)](#page-9-4) by 1.27%. Fur- **505** ther, it also surpasses  $CoOp$  [\(Jia et al.,](#page-8-5) [2022\)](#page-8-5), a  $506$ baseline prompt tuning framework, by  $7.52\%$ . (2)  $507$ **Int**CoOp's strong performance is particularly evi- **508** dent in datasets featuring images with well-defined **509** attributes, such as ImageNet, Flowers102, Oxford- **510** Pets, StanfordCars and Caltech-101. For instance, **511** on the OxfordPets dataset, **Int**CoOp enhances the **512** novel accuracy by 1.97% and 3.55% compared to **513** LFA and KgCoOp respectively. 514

### 5.2 Domain Generalization **515**

To evaluate domain generalization, we utilized Im- **516** ageNet [\(Deng et al.,](#page-8-9) [2009\)](#page-8-9) as the source dataset **517** and four of its variants as target datasets. These **518** variants included ImageNetV2 [\(Recht et al.,](#page-9-17) [2019\)](#page-9-17), **519** ImageNetSketch [\(Wang et al.,](#page-9-18) [2019\)](#page-9-18), ImageNet- **520** A [\(Hendrycks et al.,](#page-8-13) [2021b\)](#page-8-13), and ImageNet- **521** R [\(Hendrycks et al.,](#page-8-14) [2021a\)](#page-8-14), contributing to a com- **522** prehensive examination of domain shift scenarios. **523** Our findings in Table [2](#page-6-1) indicate that **Int**CoOp **524** demonstrates superior performance across all tar- **525**

<span id="page-6-0"></span>

Dataset	Set	<b>CLIP</b>	CoOp (IJCV22)	$Co-CoOp$ (CVPR22)	MaPLe (CVPR23)	KgCoOp (CVPR23)	ProGrad (ICCV23)	LASP (ICCV23)	<b>RPO</b> (ICCV23)	<b>DAPT</b> (ICCV23)	<b>PLOT</b> (ICLR23)	<b>LFA</b> (ICCV23)	IntCoOp (Ours)
ImageNet	Base Novel	72.43 68.14	76.47 67.88	75.98 70.43	76.66 70.54	75.83 69.96	77.02 66.66	76.20 70.95	76.60 71.57	76.83 69.27	77.30 69.87	76.89 69.36	75.99 72.67
	HM	70.22	71.92	73.10	73.47	72.78	71.46	73.48	74.00	72.85	73.40	72.93	74.29
Caltech101	Base Novel	96.84 94.00	98.00 89.91	97.96 93.81	97.74 94.36	97.72 94.39	98.02 93.89	98.10 94.24	96.03 94.37	97.83 93.81	98.53 92.80	98.41 93.93	97.80 94.76
	HM	95.40	93.73	95.84	96.02	96.03	95.91	96.16	96.03	95.39	95.58	96.13	96.25
	Base	91.17	93.67	95.20	95.43	94.65	95.07	95.90	94.63	95.00	94.50	95.13	95.92
OxfordPets	Novel	97.26	95.29	97.69	97.76	94.65	95.07	97.93	97.50	95.83	96.83	96.23	98.20
	HM	94.12	94.47	96.43	96.58	96.18	96.33	96.90	96.05	95.41	95.65	95.68	97.04
	Base	63.37	78.12	70.49	72.94	71.76	77.68	75.17	74.69	75.80	78.57	76.32	77.04
<b>Stanford Cars</b>	Novel	74.89	60.40	73.59	74.00	75.04	68.63	71.60	75.53	63.93	74.80	74.88	76.32
	HM	68.65	68.13	72.01	73.47	73.36	72.88	73.34	74.69	69.36	76.63	75.59	76.67
	Base	72.08	97.60	94.87	95.92	95.00	95.54	97.00	94.13	96.97	97.93	97.34	97.82
Flowers102	Novel	77.80	59.67	71.75	72.46	74.73	71.87	73.53	76.67	60.90	74.00	75.44	75.54
	HM	74.83	74.06	81.71	82.56	83.65	82.03	83.95	84.50	74.81	83.99	85.00	85.24
	Base	90.10	88.33	90.70	90.71	90.50	90.37	91.20	90.33	90.37	89.80	90.52	91.45
Food101	Novel	91.22	82.26	91.29	92.05	91.70	89.59	91.70	90.33	91.30	91.37	91.48	91.99
	HM	90.66	85.19	90.99	91.38	91.09	89.98	91.44	90.58	90.83	90.58	91.00	91.72
	Base	27.19	40.44	33.41	37.44	36.21	40.54	34.53	37.33	39.97	42.13	41.48	38.55
FGVC Aircraft	Novel	36.29	22.30	23.71	35.61	33.55	27.57	30.57	34.20	29.80	33.73	32.29	35.90
	HM	31.09	28.75	27.74	36.50	34.83	32.82	32.43	35.70	34.14	37.46	36.31	37.17
	Base	69.36	80.60	79.74	79.75	80.29	81.26	80.70	80.60	78.92	77.68	79.59	81.63
<b>SUN397</b>	Novel	75.35	65.89	76.86	78.70	76.53	74.17	78.60	77.80	76.97	73.63	77.20	79.33
	HM	72.23	72.51	78.27	79.75	78.36	77.55	79.63	79.18	78.92	77.68	79.59	80.46
	Base	56.48	92.19	87.49	94.07	85.64	90.11	94.60	86.63	94.73	93.70	93.40	95.26
EuroSAT	Novel	64.05	54.74	60.04	73.23	64.34	60.89	77.78	76.79	50.33	62.67	71.24	78.01
	HM	60.03	68.69	71.21	82.30	73.48	72.67	85.36	76.79	65.74	75.11	80.83	85.77
	Base	70.53	84.69	82.33	83.00	82.89	84.33	84.77	83.67	84.30	86.60	86.97	86.76
<b>UCF101</b>	Novel	77.50	56.05	73.45	78.66	76.67	74.94	78.03	79.34	76.33	75.90	77.48	79.42
	HM	73.85	67.46	77.64	80.77	79.65	79.35	81.26	79.34	80.12	80.90	81.95	82.92
Average	$\rm{HM}$	73.23	73.40	77.98	79.28	78.27	77.53	79.35	78.69	75.75	78.69	79.48	80.75

Table 1: Comparison with state-of-art on base-to-novel generalization. We observe that **Int**CoOp consistently demonstrates superior performance over existing prompt-tuning methods. HM represents the harmonic mean of the base and novel accuracies. We train all methods with 16-shots samples from the base classes.

 get datasets. Notably, **Int**CoOp improves the aver- age accuracy by 1.41% and 19.32% compared to ProGrad and PLOT respectively. These results un- derscore the significance of learning interpretable attributes within the prompts.

 In Table [9](#page-13-1) (Appendix), we also evaluate the gen- eralizability of our proposed method on a 4-shot set- ting. Across all datasets considered, **Int**CoOp out- performs all compared methods on average. Over- all, we find that **Int**CoOp leads to strong and im- proved performances on a range of downstream tasks including novel class generalization, robust- ness to distribution shifts and few-shot learning, while being more interpretable than other prompt-tuning methods.

### **<sup>541</sup>** 6 Discussion

 **Int**CoOp learns interpretable prompts. In this section, we delve deeper into understanding the quality of the attributes generated by **Int**CoOp 545 during inference. Given a test image  $\mathcal I$  with true label y, we first extract its corresponding learned at-547 tribute embedding  $A(V(\mathcal{I}))$ . To evaluate the qual-

<span id="page-6-1"></span>

	Source		<b>Target</b>			
	ImageNet	$-V2$	-Sketch	- A	-R	Avg.
<b>CLIP</b>	66.73	60.83	46.15	47.77	73.96	57.18
CoOp	71.51	64.20	47.99	49.71	75.21	59.27
CoCoOp	71.02	64.07	48.75	50.63	76.18	59.90
MaPLe	70.72	64.07	49.15	50.90	76.98	60.28
KgCoOp	71.20	64.10	48.97	50.69	76.70	60.11
ProGrad	72.24	64.73	47.61	49.39	74.58	59.08
LASP	71.10	63.96	49.01	50.70	77.07	60.19
<b>RPO</b>	71.76	65.13	49.27	50.13	76.57	60.27
<b>DAPT</b>	72.20	64.93	48.30	48.74	75.75	59.43
PLOT	63.01	55.11	33.00	21.86	55.61	41.39
LFA	72.65	64.72	48.01	51.50	76.09	60.08
$IntCoOp$ (Ours)	71.85	65.21	49.20	51.55	76.88	60.71

Table 2: **Int**CoOp leads to improved performances on domain generalization tasks. The model is trained on ImageNet [\(Deng et al.,](#page-8-9) [2009\)](#page-8-9) dataset in a few-shot setup with 16 samples per class and evaluated on four domain-shifted ImageNet datasets.

ity of this embedding, we utilize the BLIP-2 model **548** to produce an attribute label  $a_{\tau}$ . We evaluate two  $549$ setups: (1) Firstly, to validate the quality of the  $550$ attributes generated by **Int**CoOp, in Figure [3,](#page-7-1) we **551** visualize the cosine similarity of the learned at- **552** tribute embedding  $A(V(\mathcal{I}))$  and the BLIP-2 gen- 553 erated label  $a_{\mathcal{I}}$ . Across all datasets, we observe  $554$ a high similarity between the generated attribute **555**

<span id="page-7-1"></span>

Figure 3: We measure the cosine similarity between the learned attribute embedding  $A(V(\mathcal{I}))$  and the BLIP-2 generated label  $a_{\mathcal{I}}$ . A high cosine similarity indicates that **Int**CoOp effectively learns contextually relevant attributes.

 embedding and the BLIP-2-generated label. This confirms that **Int**CoOp effectively learns contextu- ally relevant and correct attribute information. (2) Secondly, as illustrated in Figure [4](#page-12-0) (Appendix), we observe that the prompts crafted using the learned **attribute embedding**  $A(V(\mathcal{I}))$  closely align with the original prompt format "A photo of [a] [cls]", as evidenced by high cosine similarity. On the other side, prompts lacking the attribute informa- tion exhibit reduced similarity. This analysis high- lights that during inference, **Int**CoOp generates prompts with interpretable compositional informa-tion, thereby explaining the improved performance.

 Importance of learning meaningful attributes. In this section, we further validate the importance of learning contextually meaningful attributes dur- ing training. To illustrate this, we experiment by substituting the original attribute labels generated by the BLIP-2 model for each image in the training set with irrelevant adjectives. Specifically, we ex- change the attribute labels among different classes, ensuring each image is paired with an unrelated adjective through careful human supervision. For instance, in the altered setup, the image labeled as a "cheese pizza" in Figure [2](#page-3-1) is mislabeled as a "green pizza", where the attribute "green" bears no rele- vance to the image. Employing the experimental framework as described in Section [5.1,](#page-5-1) this alter- ation results in an HM accuracy of 63.27% on the ImageNet-1k dataset— a decline of 11.02% com- pared to the performance achieved with **Int**CoOp. This significant drop in accuracy highlights the crit- ical role of learning accurate and relevant attributes in training.

**590** *Due to space constraints, we refer the reader to* **591** *Appendix [E](#page-11-3) for additional discussion.*

## <span id="page-7-0"></span>7 Ablations on Design Choice **<sup>592</sup>**

In this section, we delve into a comprehensive ex- **593** ploration of the design choices made in our pro- **594** posed framework. **595**

Ablations on Visual Prompting. As illustrated **596** in Section [4.1,](#page-3-0) to enhance image representa- **597** tions **Int**CoOp effectively utilizes the deep visual **598** prompting approach. To substantiate our design **599** rationale, we conduct ablation experiments as out- **600** lined in Table [6](#page-11-0) (Appendix). From our empirical **601** analysis, we make two key observations: (1) Visual **602** prompting plays a crucial role in training **Int**CoOp. **603** Specifically, training without any visual prompting, **604** where the frozen CLIP embeddings are used to 605 train the attribute network A, leads to notably in- **606** ferior performance. (2) Appending visual tokens **607** to deeper transformer layers provides a substantial **608** performance boost in average performance com- **609** pared to a shallow prompting strategy. **610**

Ablations on Instance Conditioning. To condi- **611** tion the prompts on the input image, prior stud- **612** ies [\(Zhou et al.,](#page-9-2) [2022a\)](#page-9-2) have proposed the direct **613** addition of the image embedding to the context **614** vector. However, as elaborated in Section [4.2,](#page-4-0) we **615** employ a multi-head attention module for gener- **616** ating image-conditioned prompts in the training **617** of **Int**CoOp. In Table [6](#page-11-0) (Appendix), we present **618** empirical results that bolster the importance of uti- **619** lizing an attention-based conditioning approach in **620** contrast to additive conditioning. Specifically, we **621** observe a 1.58% improvement in average perfor- **622** mance when using a Multihead attention based **623** conditioning. 624

## 8 Conclusion **<sup>625</sup>**

In our paper, we initially observe that incorporating **626** relevant attributes into prompts significantly im- **627** proves image-text alignment in CLIP. To achieve **628** this enhancement, we present a novel technique **629** called **Int**CoOp, which integrates these attributes **630** into learned prompts. This integration is made pos- **631** sible by leveraging a BLIP-2 [\(Li et al.,](#page-9-10) [2023\)](#page-9-10) model **632** to annotate attributes in few-shot datasets. With the **633** image as a conditioning factor, we devise a hyper- **634** network responsible for predicting embeddings cor- **635** responding to attribute descriptors. Simultaneously, **636** we optimize the other context vectors using CLIP's 637 contrastive objective. Our comprehensive testing **638** across diverse datasets underscores the significant **639** improvement in zero-shot performance achieved **640** by **Int**CoOp. **641**

# **<sup>642</sup>** 9 Limitations

 Our study, through its extensive evaluation across multiple datasets, demonstrates that augmenting prompts with attribute information can substan- tially enhance CLIP's effectiveness in various downstream applications. However, our approach has certain limitations: (1) A notable constraint of our approach is that its effectiveness may diminish in scenarios where images are devoid of specific attribute-level details. Despite this, it is notewor- thy that in practical, real-world contexts, such as with the ImageNet dataset, **Int**CoOp consistently outperforms its counterparts. (2) The performance of **Int**CoOp is contingent upon the quality of at- tributes generated for images in the training set. Poorly generated attributes can detrimentally affect performance.

 For future work, we plan to investigate improved attribute extraction techniques to handle images with less discernible attribute-level details and to generate attributes with greater diversity.

# **<sup>663</sup>** References

- <span id="page-8-0"></span>**664** Jean-Baptiste Alayrac, Jeff Donahue, Pauline Luc, **665** Antoine Miech, Iain Barr, Yana Hasson, Karel **666** Lenc, Arthur Mensch, Katherine Millican, Malcolm **667** Reynolds, et al. 2022. Flamingo: a visual language **668** model for few-shot learning. *Advances in Neural* **669** *Information Processing Systems*, 35:23716–23736.
- <span id="page-8-11"></span>**670** Lukas Bossard, Matthieu Guillaumin, and Luc Van Gool. **671** 2014. Food-101–mining discriminative components **672** with random forests. In *Computer Vision–ECCV* **673** *2014: 13th European Conference, Zurich, Switzer-***674** *land, September 6-12, 2014, Proceedings, Part VI 13*, **675** pages 446–461. Springer.
- <span id="page-8-6"></span>**676** Adrian Bulat and Georgios Tzimiropoulos. 2023. Lasp: **677** Text-to-text optimization for language-aware soft **678** prompting of vision & language models. In *Pro-***679** *ceedings of the IEEE/CVF Conference on Computer* **680** *Vision and Pattern Recognition*, pages 23232–23241.
- <span id="page-8-3"></span>**681** Guangyi Chen, Weiran Yao, Xiangchen Song, Xinyue **682** Li, Yongming Rao, and Kun Zhang. 2023. PLOT: **683** Prompt learning with optimal transport for vision-**684** language models. In *The Eleventh International Con-***685** *ference on Learning Representations*.
- <span id="page-8-7"></span>**686** Eulrang Cho, Jooyeon Kim, and Hyunwoo J Kim. **687** 2023. Distribution-aware prompt tuning for vision-**688** language models. In *Proceedings of the IEEE/CVF* **689** *International Conference on Computer Vision*, pages **690** 22004–22013.
- <span id="page-8-9"></span>**691** Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li, **692** and Li Fei-Fei. 2009. Imagenet: A large-scale hier-**693** archical image database. In *2009 IEEE conference*

*on computer vision and pattern recognition*, pages **694** 248–255. Ieee. **695**

- <span id="page-8-8"></span>Alexey Dosovitskiy, Lucas Beyer, Alexander **696** Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, **697** Thomas Unterthiner, Mostafa Dehghani, Matthias **698** Minderer, Georg Heigold, Sylvain Gelly, et al. 2020. **699** An image is worth 16x16 words: Transformers **700** for image recognition at scale. *arXiv preprint* **701** *arXiv:2010.11929*. **702**
- <span id="page-8-10"></span>Li Fei-Fei, Rob Fergus, and Pietro Perona. 2004. Learn- **703** ing generative visual models from few training ex- **704** amples: An incremental bayesian approach tested on **705** 101 object categories. In *2004 conference on com-* **706** *puter vision and pattern recognition workshop*, pages **707** 178–178. IEEE. **708**
- <span id="page-8-12"></span>Patrick Helber, Benjamin Bischke, Andreas Dengel, **709** and Damian Borth. 2019. Eurosat: A novel dataset **710** and deep learning benchmark for land use and land **711** cover classification. *IEEE Journal of Selected Topics* **712** *in Applied Earth Observations and Remote Sensing*, **713** 12(7):2217–2226. **714**
- <span id="page-8-14"></span>Dan Hendrycks, Steven Basart, Norman Mu, Saurav **715** Kadavath, Frank Wang, Evan Dorundo, Rahul Desai, **716** Tyler Zhu, Samyak Parajuli, Mike Guo, et al. 2021a. **717** The many faces of robustness: A critical analysis of **718**<br>out-of-distribution generalization. In *Proceedings* 719 out-of-distribution generalization. In *Proceedings* **719** *of the IEEE/CVF International Conference on Com-* **720** *puter Vision*, pages 8340–8349. **721**
- <span id="page-8-13"></span>Dan Hendrycks, Kevin Zhao, Steven Basart, Jacob Stein- **722** hardt, and Dawn Song. 2021b. Natural adversarial **723** examples. In *Proceedings of the IEEE/CVF Confer-* **724** *ence on Computer Vision and Pattern Recognition*, **725** pages 15262–15271. **726**
- <span id="page-8-1"></span>Chao Jia, Yinfei Yang, Ye Xia, Yi-Ting Chen, Zarana **727** Parekh, Hieu Pham, Quoc Le, Yun-Hsuan Sung, Zhen **728** Li, and Tom Duerig. 2021a. Scaling up visual and **729** vision-language representation learning with noisy **730** text supervision. In *International conference on ma-* **731** *chine learning*, pages 4904–4916. PMLR. **732**
- <span id="page-8-4"></span>Chao Jia, Yinfei Yang, Ye Xia, Yi-Ting Chen, Zarana **733** Parekh, Hieu Pham, Quoc Le, Yun-Hsuan Sung, Zhen **734** Li, and Tom Duerig. 2021b. Scaling up visual and **735** vision-language representation learning with noisy **736** text supervision. In *International Conference on* **737** *Machine Learning*, pages 4904–4916. PMLR. **738**
- <span id="page-8-5"></span>Menglin Jia, Luming Tang, Bor-Chun Chen, Claire **739** Cardie, Serge Belongie, Bharath Hariharan, and Ser- **740** Nam Lim. 2022. Visual prompt tuning. In *Euro-* **741** *pean Conference on Computer Vision*, pages 709– **742** 727. Springer. **743**
- <span id="page-8-2"></span>Muhammad Uzair Khattak, Hanoona Rasheed, Muham- **744** mad Maaz, Salman Khan, and Fahad Shahbaz Khan. **745** 2023. Maple: Multi-modal prompt learning. In *Pro-* **746** *ceedings of the IEEE/CVF Conference on Computer* **747** *Vision and Pattern Recognition*, pages 19113–19122. **748**

- 
- 
- 
- 
- 
- 
- 
- 
- 
- 
- 
- 
- 
- <span id="page-9-12"></span>**749** Jonathan Krause, Michael Stark, Jia Deng, and Li Fei-**750** Fei. 2013. [3d object representations for fine-grained](https://doi.org/10.1109/ICCVW.2013.77) **751** [categorization.](https://doi.org/10.1109/ICCVW.2013.77) In *Proceedings - 2013 IEEE Inter-***752** *national Conference on Computer Vision Workshops,* **753** *ICCVW 2013*, Proceedings of the IEEE International **754** Conference on Computer Vision, pages 554–561, **755** United States. Institute of Electrical and Electron-**756** ics Engineers Inc.
- <span id="page-9-3"></span>**757** Dongjun Lee, Seokwon Song, Jihee Suh, Joonmyeong **758** Choi, Sanghyeok Lee, and Hyunwoo J Kim. 2023. **759** Read-only prompt optimization for vision-language **760** few-shot learning. In *Proceedings of the IEEE/CVF* **761** *International Conference on Computer Vision*, pages **762** 1401–1411.
- <span id="page-9-10"></span>**763** Junnan Li, Dongxu Li, Silvio Savarese, and Steven Hoi. **764** 2023. [Blip-2: Bootstrapping language-image pre-](http://arxiv.org/abs/2301.12597)**765** [training with frozen image encoders and large lan-](http://arxiv.org/abs/2301.12597)**766** [guage models.](http://arxiv.org/abs/2301.12597)
- <span id="page-9-9"></span>**767** Fan Liu, Tianshu Zhang, Wenwen Dai, Wenwen Cai, **768** Xiaocong Zhou, and Delong Chen. 2024. Few-shot **769** adaptation of multi-modal foundation models: A sur-**770** vey. *arXiv preprint arXiv:2401.01736*.
- <span id="page-9-6"></span>**771** Yuning Lu, Jianzhuang Liu, Yonggang Zhang, Yajing **772** Liu, and Xinmei Tian. 2022. Prompt distribution **773** learning. In *Proceedings of the IEEE/CVF Confer-***774** *ence on Computer Vision and Pattern Recognition*, **775** pages 5206–5215.
- <span id="page-9-14"></span>**776** Subhransu Maji, Esa Rahtu, Juho Kannala, Matthew **777** Blaschko, and Andrea Vedaldi. 2013. Fine-grained **778** visual classification of aircraft. *arXiv preprint* **779** *arXiv:1306.5151*.
- <span id="page-9-13"></span>**780** Maria-Elena Nilsback and Andrew Zisserman. 2008. **781** Automated flower classification over a large number **782** of classes. In *2008 Sixth Indian conference on com-***783** *puter vision, graphics & image processing*, pages **784** 722–729. IEEE.
- <span id="page-9-4"></span>**785** Yassine Ouali, Adrian Bulat, Brais Matinez, and Geor-**786** gios Tzimiropoulos. 2023. Black box few-shot adap-**787** tation for vision-language models. In *Proceedings* **788** *of the IEEE/CVF International Conference on Com-***789** *puter Vision*, pages 15534–15546.
- <span id="page-9-11"></span>**790** Omkar M Parkhi, Andrea Vedaldi, Andrew Zisserman, **791** and CV Jawahar. 2012. Cats and dogs. In *2012* **792** *IEEE conference on computer vision and pattern* **793** *recognition*, pages 3498–3505. IEEE.
- <span id="page-9-0"></span>**794** Alec Radford, Jong Wook Kim, Chris Hallacy, Aditya **795** Ramesh, Gabriel Goh, Sandhini Agarwal, Girish Sas-**796** try, Amanda Askell, Pamela Mishkin, Jack Clark, **797** et al. 2021. Learning transferable visual models from **798** natural language supervision. In *International confer-***799** *ence on machine learning*, pages 8748–8763. PMLR.
- <span id="page-9-17"></span>**800** Benjamin Recht, Rebecca Roelofs, Ludwig Schmidt, **801** and Vaishaal Shankar. 2019. Do imagenet classifiers **802** generalize to imagenet? In *International conference* **803** *on machine learning*, pages 5389–5400. PMLR.
- <span id="page-9-19"></span>Robin Rombach, Andreas Blattmann, Dominik Lorenz, **804** Patrick Esser, and Björn Ommer. 2022. High- 805 resolution image synthesis with latent diffusion mod- **806** els. In *Proceedings of the IEEE/CVF Conference on* **807** *Computer Vision and Pattern Recognition (CVPR)*, **808** pages 10684–10695. **809**
- <span id="page-9-16"></span>Khurram Soomro, Amir Roshan Zamir, and Mubarak **810** Shah. 2012. Ucf101: A dataset of 101 human ac- **811** tions classes from videos in the wild. *arXiv preprint* **812** *arXiv:1212.0402*. **813**
- <span id="page-9-18"></span>Haohan Wang, Songwei Ge, Zachary Lipton, and Eric P **814** Xing. 2019. Learning robust global representations **815** by penalizing local predictive power. *Advances in* **816** *Neural Information Processing Systems*, 32. **817**
- <span id="page-9-15"></span>Jianxiong Xiao, James Hays, Krista A Ehinger, Aude **818** Oliva, and Antonio Torralba. 2010. Sun database: **819** Large-scale scene recognition from abbey to zoo. In **820** *2010 IEEE computer society conference on computer* **821** *vision and pattern recognition*, pages 3485–3492. **822 IEEE.** 823
- <span id="page-9-7"></span>Hantao Yao, Rui Zhang, and Changsheng Xu. 2023. **824** Visual-language prompt tuning with knowledge- **825** guided context optimization. In *Proceedings of the* **826** *IEEE/CVF Conference on Computer Vision and Pat-* **827** *tern Recognition*, pages 6757–6767. **828**
- <span id="page-9-1"></span>Jiahui Yu, Zirui Wang, Vijay Vasudevan, Legg Ye- **829** ung, Mojtaba Seyedhosseini, and Yonghui Wu. 2022. **830** Coca: Contrastive captioners are image-text founda- **831** tion models. *arXiv preprint arXiv:2205.01917*. **832**
- <span id="page-9-2"></span>Kaiyang Zhou, Jingkang Yang, Chen Change Loy, and **833** Ziwei Liu. 2022a. Conditional prompt learning **834** for vision-language models. In *Proceedings of the* **835** *IEEE/CVF Conference on Computer Vision and Pat-* **836** *tern Recognition*, pages 16816–16825. **837**
- <span id="page-9-5"></span>Kaiyang Zhou, Jingkang Yang, Chen Change Loy, and **838** Ziwei Liu. 2022b. Learning to prompt for vision- **839** language models. *International Journal of Computer* **840** *Vision*, 130(9):2337–2348. **841**
- <span id="page-9-8"></span>Beier Zhu, Yulei Niu, Yucheng Han, Yue Wu, and **842** Hanwang Zhang. 2022. Prompt-aligned gradient for **843** prompt tuning. *arXiv preprint arXiv:2205.14865*. **844**

# <span id="page-10-1"></span>**845 A** Software and Hardware

**846** We run all experiments with Python 3.7.4 and Py-**847** Torch 1.9.0. For all experimentation, we use two **848** Nvidia RTX 2080-Ti and a single A5000 GPU.

# <span id="page-10-0"></span>849 **B** Extension: Obtaining Attribute-level **<sup>850</sup>** Supervision

 In Section 3.2.1 of the main paper, we demon- strated how the generated attribute labels can be used for training **Int**CoOp. In this section, we will provide a more detailed explanation of the procedure for extracting attribute labels for an im- age. In this paper, we leverage a BLIP-2 ViT- G FlanT5XXL visual question-answering (VQA) model for zero-shot generation of attribute labels. **Specifically, given an image** *I* with class label 860 [cls], we employ the templates shown in Table [5](#page-11-4) to prompt the VQA model to generate 3 captions corresponding to each image. To improve caption variety, we generate these captions under varying 864 random seeds and set repetition\_penalty= 100 to discourage repetitive outputs. Note that the prompt templates for each dataset have been man- ually tuned with some domain information to im- prove performance. Subsequently, we select the most suitable caption based on the CLIP score. In Figure [5](#page-14-0) and Figure [6,](#page-15-0) we show some representative images from various datasets and the correspond-ing generated attributes.

# 873 **C** Note on Attributes Generated by **<sup>874</sup>** BLIP-2

 To understand the effectiveness of BLIP-2 in cor- rectly annotating few-shot tasks with their adjec- tives - we designed a proxy task with 215 im- ages, where each image is labeled with its attribute. Given that it is difficult to perform a scalable man- ual annotation of attributes, we take advantage of first pre-defining captions which contain an adjec- tive describing an object, and then generating cor- responding images from them. The object list is a 884 subset from MS-COCO – namely  $O = \{\text{handbag},\}$  pizza, suitcase, bottle, firehydrant, cup, cake, book, vase, cat }. The attribute list for each **object**  $o \in O$  is created by prompting ChatGPT with prompts such as: *'Describe some of the possi- ble shapes of object o in one word', 'Describe some of the possible colors of object o in one word'....*. These attributes from ChatGPT are then filtered and quality-controlled by our team to make sure that the attributes from ChatGPT are relevant to the

<span id="page-10-2"></span>

Datasets	Oracle	IntCoOp
ImageNet	74.37	74.29
Caltech <sub>101</sub>	96.00	96.25
OxfordPets	97.13	97.04
<b>StanfordCars</b>	76.67	76.67
Flowers 102	85.32	85.24
Food <sub>101</sub>	91.66	91.72
<b>FGVCAircraft</b>	36.99	37.17
<b>SUN397</b>	80.50	80.46
<b>EuroSAT</b>	85.80	85.77
<b>UCF101</b>	82.96	82.92
Avg.	80.74	80.75

Table 3: Comparing **Int**CoOp's average performance with oracle setup as described in Appendix [E](#page-11-3) across 10 datasets.

object *o* ∈ *O*. Leveraging prompts in the template  $894$ of "A photo of a  $[a]$   $[o]$ ", we then generate 215 images from Stable-Diffusion-v2 [\(Rombach et al.,](#page-9-19) **896** [2022\)](#page-9-19) in total across all the classes, where [a] rep- **897** resents the attribute label and [o] is the object name. **898** Across these generated images, we then prompt **899** BLIP-2 with prompts such as: *'Describe the shape* **900** *of the object in one word', 'Describe the color of* **901** *the object in one word' ....* to predict the attribute. **902** Subsequently, we measured the cosine similarity **903** between BLIP-2's predictions and the ground truth **904** attribute labels a. Given that there are only 215 **905** images in our validation set, in addition to the qual- **906** itative analysis, we also manually compared the **907** BLIP-2 predicted attributes and the ground truth to **908** check the effectiveness of BLIP-2. Our investiga- **909** tion revealed a compelling 85% similarity between **910** BLIP-2 predictions and the ground truth. This high- **911** lights that BLIP-2 is a suitable candidate to gener- **912** ate attributes for annotation of few-shot datasets. **913**

# **D** Extension: Results on Few-shot **914 Learning** 915

To further evaluate the generalizability of our pro- **916** posed method, we conducted experiments on a **917** 4-shot setting. In this case, the model is trained **918** on only 4 samples from each base class. We re- **919** port the average accuracy over base and novel **920** classes in Table [9.](#page-13-1) We observe that under a 4-shot **921** setup, **Int**CoOp consistently outperforms state- **922** of-art prompt tuning approaches across multiple **923** datasets. Notably, on OxfordPets, **Int**CoOp en- **924** hances the average performance by 3.45% and **925** 3.83% compared to PLOT [\(Chen et al.,](#page-8-3) [2023\)](#page-8-3) and **926** DAPT [\(Cho et al.,](#page-8-7) [2023\)](#page-8-7). Across all datasets con- **927** sidered, **Int**CoOp outperforms all compared meth- **928**

<span id="page-11-2"></span>

Table 4: Computational Efficiency of **Int**CoOp. We compare the training and inference time of **Int**CoOp with CoOp [\(Zhou et al.,](#page-9-5) [2022b\)](#page-9-5). For training time, we report the duration taken to train for one epoch on the Oxford Pets dataset [\(Parkhi et al.,](#page-9-11) [2012\)](#page-9-11). Similarly, for inference time, we report the duration taken to infer on a test image from the Oxford Pets dataset. The numbers reported are averaged for 3 different runs.

<span id="page-11-4"></span>

Table 5: Templates used for prompting the BLIP-2 model for different datasets. [cls] represents the class name for the given image.

### **929** ods on average.

# <span id="page-11-3"></span>**<sup>930</sup>** E Extension: Additional Discussion

 To further understand the efficiency of the attribute extractor, we compare **Int**CoOp's performance with the following setup: we directly use the **BLIP-2** embedding  $\mathcal{T}(a_{\mathcal{I}})$  in Equation [5](#page-4-2) to train our framework, keeping all other losses the same. Specifically, during training, the BLIP-2 generated attribute embeddings are directly integrated into the prompts instead of using the output from the attribute extractor A. However, during inference, since the class labels are unavailable, we utilize the trained attribute extractor to generate descrip- tions for test images. We refer to this setup as the *oracle* setting, as it uses the true labels during training. The results for this setup are reported in Table [3.](#page-10-2) Notably, the performance obtained using the oracle setting is almost identical to **Int**CoOp's performance. This indicates that using the true attribute labels during training provides no addi- tional advantage. Therefore, we can conclude that during training, the attribute extractor network A successfully learns to mimic the BLIP-2 embed-dings, thereby generating interpretable prompts.

### <span id="page-11-1"></span>**<sup>953</sup>** F Extension: Ablation on design choices

**954** In Table [7,](#page-11-5) we perform an ablation study on the **955** choice of loss functions for training **Int**CoOp. We

<span id="page-11-0"></span>

	<b>Visual Prompting</b>	<b>Instance Conditioning</b>	HM	
		Shallow (K=1) Deep (K=9)   Additive (Zhou et al., 2022a) Multihead		
				75.01
				76.90
				74.31
				75.89
$IntCoOp$ (Ours)				80.75

Table 6: Ablation on design choices. We perform ablation experiments to delineate the importance of each component in our proposed approach.

<span id="page-11-5"></span>

	$\mathcal{L}_{\text{attr}}$						
	$q=1$ $q=2$						
	$f = 1$   79.30/70.78/74.79   78.25/67.90/72.70 $f = 2$   83.82/78.21/ <b>80.75</b>   81.05/72.14/76.33						
$\mathcal{L}_{\text{reg}}$							

Table 7: Ablation on loss functions. We show that setting  $f = 2$  and  $g = 1$  provides the best performance. We report the Base/ Novel/ HM accuracies for each setting. Best results based on HM performance are marked in bold.

find that using a  $\ell_2$  loss ( $f = 2$ ) for the attribute 956 network and a  $\ell_1$  ( $q = 1$ ) regularization loss provides the best performance. Further, in Table [8,](#page-12-1) we **958** show ablation results for  $\lambda_1$  and  $\lambda_2$ . Clearly setting **959**  $\lambda_1 = \lambda_2 = 4$  gives the best performance. **960** 

<span id="page-12-0"></span>

Figure 4: **Int**CoOp generates relevant attributes during inference. We measure the cosine similarity between the prompt embeddings with the attribute information from **Int**CoOp and the prompt template "A photo of  $[a]$   $[cls]$ ". We find that prompt embeddings from **Int**CoOp result in a higher cosine similarity with hand-crafted prompt template.

<span id="page-12-1"></span>

	$\lambda_2 = 1$ $\lambda_2 = 2$ $\lambda_2 = 4$ $\lambda_2 = 8$	
	$\lambda_1 = 1$   75.79   75.92   76.90   76.92	
	$\lambda_1 = 2$   75.12   75.39   76.80   76.78	
	$\lambda_1 = 4$   75.56   76.88   80.75   77.29	
	$\lambda_1 = 8$   75.97   76.11   77.31   77.30	

Table 8: Ablation results on  $\lambda_1$  and  $\lambda_2$ . Setting  $\lambda_1 = 4$ and  $\lambda_2 = 4$  gives the best results. We report the HM accuracies averaged across 10 datasets for each setting. Best results based on HM performance are marked in bold.

<span id="page-13-1"></span>

Table 9: **Int**CoOp leads to strong few-shot classification performance. We compare **Int**CoOp with competitive prompt tuning approaches on a few shot learning task with 4 samples from each class. The reported values are average performance over base and novel classes as reported by harmonic mean. We observe a 1.34% improvement in average performance across 10 datasets compared to state-of-art framework PLOT [\(Chen et al.,](#page-8-3) [2023\)](#page-8-3). Best results are marked in bold.

<span id="page-13-0"></span>

Table 10: Detailed description of datasets used for this study.

<span id="page-14-0"></span>

**Class: Abyssinian** Attr Label: Tan



Class: Morning Glory Attr Label: Purple



Class: Egyptian Mau Attr Label: Spotted



Class: Geranium Attr Label: Red



Class: Newfoundland Attr Label: Fluffy



Class: Moon Orchid **Attr Label: White** 



Class: Ant Attr Label: Black



**Class: Cannon** Attr Label: Old-fashioned



Class: Chair Attr Label: Antique



Caltech-101

Caltech-101



Class: Abbey Attr Label: Ruined



Class: Airplane Cabin Attr Label: Crowded



Class: Athletic Field Attr Label: Grassy

Figure 5: We visualize BLIP-2 generated attribute labels for few representative images from OxfordPets, Flowers102, Caltech-101 and SUN397 dataset.



Class: Annual Crop Land Attr Label: Arid



Class: River **Attr Label: Affluent** 



Class: Forest Attr Label: Mountainous

<span id="page-15-0"></span>

Class: A310 **Attr Label: White** 



Attr Label: Ruined



Class: Cessna 172 Class: 747-200 **Class:** Cessna 172<br> **Attr Label: Ruined Attr Label: Narrow-bodied Attr Label: Narrow-bodied** 





Class: Pizza Attr Label: Cheese



Class: Apple-pie **Attr Label: Crispy** 



Class: Steak **Attr Label: Juicy** 

Stanford Cars



Class: 2012 McLaren MP4-12C Coupe **Attr Label: Sporty** 



Class: 1998 Nissan 240SX Coupe Attr Label: Red



Class: 2012 Aston Martin V8 Vantage Coupe **Attr Label: White** 

Figure 6: We visualize BLIP-2 generated attribute labels for few representative images from EuroSAT, FGVC Aircraft, Food-101 and Stanford Cars dataset.