# GEM-VPC: A dual Graph-Enhanced Multimodal integration for Video Paragraph Captioning

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#### Abstract

 Video Paragraph Captioning (VPC) aims to generate paragraph captions that summarises key events within a video. Despite recent advancements, challenges persist, notably in effectively utilising multimodal signals inher- ent in videos and addressing the long-tail dis- tribution of words. The paper introduces a novel multimodal integrated caption genera- tion framework for VPC that leverages infor-010 mation from various modalities and external knowledge bases. Our framework constructs two graphs: a '*video-specific*' temporal graph capturing major events and interactions be- tween multimodal information and common- sense knowledge, and a '*theme graph*' repre- senting correlations between words of a specific theme. These graphs serve as input for a trans- former network with a shared encoder-decoder architecture. We also introduce a node selec-020 tion module to enhance decoding efficiency by selecting the most relevant nodes from the graphs. Our results demonstrate superior per-formance across benchmark datasets.

## **<sup>024</sup>** 1 Introduction

 Dense video captioning (DVC) [\(Krishna et al.,](#page-9-0) [2017\)](#page-9-0) is a sub-branch of video captioning, which requires the model to first localise the important events in the video and then generate the associated [c](#page-9-1)aptions. Video paragraph captioning (VPC) [\(Park](#page-9-1) [et al.,](#page-9-1) [2019\)](#page-9-1) is a simplified version of DVC where the event segments in a video are assumed given; therefore, the event proposal generation step is not needed, and the ultimate goal is to generate better paragraph captions with the known events. While research in video captioning is recently becoming more popular, numerous challenges still persist. Firstly, most VPC works solely use visual informa- [t](#page-10-0)ion for generating captions [\(Park et al.,](#page-9-1) [2019;](#page-9-1) [Song](#page-10-0) [et al.,](#page-10-0) [2021\)](#page-10-0). However, they overlook that videos naturally contain rich content with multimodal sig-nals such as additional speech text and an audio soundtrack. Incorporating these extra modalities **042** and unravelling their interactions can provide vital **043** cues for video understanding. Another challenge **044** is overcoming the long-tail distribution of words, **045** whereby the model tends to overfit on frequent  $046$ terms while neglecting objects, properties or be- **047** haviours that rarely appear in the training data. Past **048** natural language generation works have shown that **049** exploiting external data from knowledge graphs **050** can alleviate this issue and encourage more diverse **051** generated text [\(Zhou et al.,](#page-10-1) [2019b\)](#page-10-1). Finally, ex- **052** isting studies [\(Iashin and Rahtu,](#page-8-0) [2020b;](#page-8-0) [Lei et al.,](#page-9-2) **053** [2020\)](#page-9-2) simply feed the video's feature embeddings **054** into the captioning model directly, leading to two **055** problems: 1) the model cannot effectively handle **056** the long sequence, and 2) it struggles to select the **057** relevant context from the long input stream. **058**

As such, we address the aforementioned chal- **059** lenges by introducing GEM-VPC, a graph-based **060** novel framework for VPC that integrates informa- **061** tion from various modalities. Unlike past works **062** [\(Iashin and Rahtu,](#page-8-0) [2020b](#page-8-0)[,a\)](#page-8-1), rather than purely **063** feeding in the raw features as a long input stream, **064** we first convert the videos into a graphical structure **065** to capture high-level salient features and context. **066** We construct two types of graphs. The first is a  $067$ '*video-specific*' temporal graph, which aims to de- **068** pict the major events of the video in chronological **069** order whilst simultaneously representing interac- **070** tions between various multimodal information and **071** related commonsense knowledge. In particular, **072** nodes are represented using language class labels **073** to provide key details about the video contents in- **074** stead of using raw feature embeddings, which may **075** contain noisy information. To this end, we leverage **076** pretrained action/audio/object recognition models **077** and text parsers to extract linguistic information **078** such as the action label, sound label or object la- **079** bel from the visual features, audio features and **080** speech transcript to be used as nodes in the graph. **081** To alleviate the long-tail problem, we further en- **082**

 hance the graph by incorporating language features from an external knowledge data source. While **other VPC studies [\(Gu et al.,](#page-8-2) [2023\)](#page-8-2)** using knowl- edge graphs typically employ static graphs like 087 ConceptNet [\(Speer et al.,](#page-10-2) [2017\)](#page-10-2), we use a neu- ral knowledge model trained on existing common- sense knowledge graph datasets to generate diverse commonsense about human everyday experiences on-demand. These nodes are then connected with informative edge labels. We utilise sentences from the corpus to create a '*theme graph*' to represent correlations between words relating to a specific theme with the motivation of providing corpus- level information for each sample during training. In the model training stage, both graphs are finally fed as supporting information into a transformer network. As some nodes in the graph may be noisy, we propose a node selection module to select only the most useful nodes from the video-specific and theme graphs when decoding the caption.

 The main contributions are to: 1) introduce a novel framework for VPC that leverages multi- modal commonsense knowledge to enhance video understanding. It incorporates heterogeneous video and theme graphs derived from various modalities, including visual, audio, and textual data, along with commonsense knowledge. 2) demonstrate the supe- rior performance of our model compared to state-of- the-art methods on two widely used benchmarks. 3) conduct a comprehensive ablation analysis to dissect the contribution of different components.

## 2 Related Work $1$

**114**

 Video Paragraph Captioning: Earlier works for VPC often employ an LSTM-based model for gen- [e](#page-10-4)rating the captions [\(Xiong et al.,](#page-10-3) [2018;](#page-10-3) [Zhang](#page-10-4) [et al.,](#page-10-4) [2018;](#page-10-4) [Zhou et al.,](#page-10-5) [2019a\)](#page-10-5). [Park et al.](#page-9-1) [\(2019\)](#page-9-1) adopts adversarial training in their LSTM model by proposing a hybrid discriminator to measure the language characteristics, relevance to a video segment, and coherence of their generated captions. Transformer-based [\(Vaswani et al.,](#page-10-6) [2017\)](#page-10-6) meth- ods have become increasingly popular [\(Ging et al.,](#page-8-3) [2020;](#page-8-3) [Wang et al.,](#page-10-7) [2021;](#page-10-7) [Yamazaki et al.,](#page-10-8) [2023;](#page-10-8) [Gu](#page-8-2) [et al.,](#page-8-2) [2023\)](#page-8-2). This was first introduced by [\(Zhou](#page-10-9) [et al.,](#page-10-9) [2018\)](#page-10-9) for DVC and VPC, and each event in the video is decoded separately, resulting in con- text fragmentation and poor inter-event coherency. Later works have tried to alleviate this issue such

as in MART [\(Lei et al.,](#page-9-2) [2020\)](#page-9-2), which modified **131** Transformer-XL [\(Dai et al.,](#page-8-4) [2019\)](#page-8-4) and proposed a **132** memory module for remembering the video seg- 133 ments and the sentence history to improve future **134** caption predictions with respect to coherence and **135** repetition aspects. [Yamazaki et al.](#page-10-8) [\(2023\)](#page-10-8) extracts **136** local and global visual features and linguistic scene **137** elements and leverages a Transformer to simultane- **138** ously model the long-range dependencies between **139** features at an intra- and inter-event level. **140**

Multimodal Video Captioning: Existing studies **141** have integrated multimodal features as extra infor- **142** mation for video captioning. Most works consider **143** the audio modality, with their frameworks first en- **144** coding the modalities separately with modality- **145** specific encoders, followed by a fusion unit to com- **146** bine the multiple streams together [\(Xu et al.,](#page-10-10) [2017;](#page-10-10) 147 [Rahman et al.,](#page-9-3) [2019;](#page-9-3) [Iashin and Rahtu,](#page-8-1) [2020a\)](#page-8-1). **148** Other than video and audio modalities, previous **149** studies have suggested that considering speech fea- **150** tures can enhance model outputs [\(Iashin and Rahtu,](#page-8-0) **151** [2020b\)](#page-8-0). In [Hessel et al.](#page-8-5) [\(2019\)](#page-8-5) and [Shi et al.](#page-10-11) [\(2019\)](#page-10-11), **152** automatic speech recognition (ASR) was used to **153** extract human speech from narrated instructional **154** cooking videos for DVC while in [Gu et al.](#page-8-2) [\(2023\)](#page-8-2), **155** commonsense from knowledge graphs was incor- **156** porated into their captioning model where the ASR **157** was used as a source for constructing the graph. **158** Inspired by these methods, we consider the audio **159** and speech modality as model inputs. Unlike the **160** aforementioned approaches, we convert the videos **161** into a heterogeneous graph from language labels **162** extracted from the raw modality segments to rep- **163** resent relationships between key temporal events **164** and different modality information, and propose **165** a novel approach for explicitly incorporating the **166** external commonsense knowledge into the graph. **167**

Some studies propose pretraining tasks to ex- **168** plicitly align the different modalities for improving **169** feature representation, after which the model is **170** fine-tuned to the captioning task. Common pre- **171** training objectives involve predicting whether an **172** ASR and video segment are aligned or predicting **173** masked speech segments and frames [\(Huang et al.,](#page-8-6) 174 [2020;](#page-8-6) [Luo et al.,](#page-9-4) [2020;](#page-9-4) [Li et al.,](#page-9-5) [2020\)](#page-9-5). Genera- **175** tive pretraining objectives have been explored in **176** [\(Yang et al.,](#page-10-12) [2023\)](#page-10-12) and [\(Seo et al.,](#page-9-6) [2022\)](#page-9-6), which **177** proposed predicting the transcribed speech given **178** related video frames to jointly train the visual en- **179** coder and text decoder. Our framework requires no **180** pretraining, but can achieve comparable scores to **181** VPC models that utilise such methods. **182**

<span id="page-1-0"></span><sup>&</sup>lt;sup>1</sup>The main integration methods of past works are highlighted in Table [1](#page-6-0) and [2](#page-6-1)

 Graphs for Video Analysis: Graph structures have been widely used in video-related tasks from video scene graph classification [\(Arnab et al.,](#page-8-7) [2021\)](#page-8-7), temporal action localisation [\(Zeng et al.,](#page-10-13) [2019\)](#page-10-13) to video question and answering [\(Jiang and Han,](#page-9-7) [2020\)](#page-9-7). Several studies have delved into 'spatio- temporal' graphs that try to represent interactions of features at a static time and relations between features across time. For the spatial component, nu- merous works connect objects and regions together within a timeframe and then connect identical or similar objects across time for the temporal compo- nent [\(Pan et al.,](#page-9-8) [2020;](#page-9-8) [Zhang et al.,](#page-10-14) [2020;](#page-10-14) [Jin et al.,](#page-9-9) [2021;](#page-9-9) [Min et al.,](#page-9-10) [2022\)](#page-9-10). In VPC, [\(Ji et al.,](#page-9-11) [2022\)](#page-9-11) proposed a multimodal heterogeneous graph that connects visual and text features within the same event. While they use the raw feature embeddings for node representation, which create large graphs with noisy information, we utilise the linguistic labels to provide a more high-level representation of the key semantic contents of the video and fur- ther propose a node selection module to filter out irrelevant nodes.

## **<sup>206</sup>** 3 Method

 Problem Definition: Given an untrimmed 208 video v with temporally ordered events  $E =$  $\{e_{v1}, e_{v2}, \dots, e_{vN}\}$  where  $e_{vt}$  is the event at timestep t defined by a starting and ending times- $\text{tamp}(e_{vt}^s, e_{vt}^e)$  and N is the total number of events 212 in the video, the task of VPC is to generate  $Y =$  $\{y_{v1}, y_{v2}, ..., y_{vN}\}$  where  $y_{vt}$  is a matching textual **description for**  $e_{vt}$ **.** 

 We first describes constructing the graphs as in- put for our VPC model. Two graphs (Section [3.1](#page-2-0) and [3.2\)](#page-3-0) are built: 1) a commonsense-enhanced video-specific graph (VG), representing the main sequential events in the video with related common- sense and contextual information, and 2) a theme graph (TG) representing relationships between vo- cabulary of a specific theme. For the video-specific graphs, we propose two ways to construct the pri- mary nodes: 1) Utilising the video's visual informa- tion ('VF-method') and 2) extracting information from the speech transcript ('ASR-method').

#### <span id="page-2-0"></span>**227** 3.1 Video-Specific Graph Creation

#### **228** 3.1.1 Creating the Nodes - VF-Method

**229** Graphs created using the VF-method have 3 main **230** node types: action, context (consisting of location, **231** object, audio nodes), and commonsense nodes.

Action Nodes: The action nodes describe the **232** main actions at each key event and are represented **233** using linguistic action class labels. To obtain these **234** labels, we download the video frames at 5fps. For **235** each event  $e_{vt}$ , we uniformly sample frames be-  $236$ tween the event's starting and ending frames with a **237** step size of 10 and then feed every 16 frames into **238** a pretrained video action classification model for **239** each 16-frame segment. As the agent does not al- **240** ways perform a specific action (e.g. just standing or **241** no human agent in the video segment), we replace **242** the class label with '*no action*' if the predicted class **243** probability is less than a threshold. When less than **244** the threshold and speech is detected by the audio **245** node, we replace the label with '*speaking*'. **246**

Context Nodes: For extra scene context, we in- **247** clude location, object and audio nodes. For the lo- **248** cation and object nodes, we take the centre and last **249** frame of each event and leverage a Visual Question **250** Answering (VQA) model to extract open-ended **251** answers about the images. For the location node, **252** we ask the VQA model *'what is the location?'* for **253** each of the 3 images and take the most common **254** answer as the location for each event. For the ob- **255** ject nodes, we obtain the object labels by asking 3 **256** questions: *'what objects are in this image?'*, *what* **257** *is in the background?'* and *'who is in this image?'*. **258** We further expand this object set by employing **259** an object detection model to detect objects from **260** the frames. Finally, the audio nodes represent the **261** sound information and can provide vital cues for **262** video understanding in addition to the visual infor- **263** mation. We sample 10 second segments of audio 264 data from the video and obtain the the top 2 pre- **265** dicted audio classes by confidence score for each **266** segment via a pretrained audio classifier. **267**

Commonsense Nodes We also add external com- **268** monsense knowledge for richer graphs. Comet- **269** ATOMIC2020 [\(Hwang et al.,](#page-8-8) [2021\)](#page-8-8), a *neural* **270** *knowledge model* capable of dynamically generat- **271** ing commonsense about everyday events is adopted. **272** Given a head phrase and relation (e.g. cut a cake **273** CapableOf), Comet-ATOMIC2020 can produce a **274** tail phrase on-demand (e.g. celebrate birthday). **275** We use the action node class labels as the head **276** phrase and append 11 different relation tokens to **277** generate 5 commonsense inferences per relation. **278** The relation is described in Appendix [E.](#page-14-0) **279**

#### 3.1.2 Creating the Nodes - ASR-Method **280**

For videos where the speech modality is consid- **281** ered vital for video understanding, we introduce **282**  the ASR-method for creating the VG nodes. This is useful for how-to or cooking videos, where actions are explicitly described in the speech transcript, and visual information such as the location/scene may not be as important. There are 3 node types:

 Action Nodes: We extract the ASR between each event and use a pretrained Open Information Extraction (OpenIE) model to breakdown the syn- tactically complex speech sentences into a list of verbs (V) and related arguments (ARG). Given the sentence *'I chop the onions and put the meat in the frying pan'*, OpenIE can extract related argu- ments for the 2 verbs (*'chop'* and *'put'*): <ARG0, 296 V,  $ARG1 > = , chop, onions  $>$  and  $<$  ARG0, V,$  ARG1, ARG2> =  $\langle I, \text{put}, \text{ meat}, \text{in the flying} \rangle$  pan>. The extracted verb and argument tuples from the speech segments within each event are then used as the action nodes for event  $e_i$ . As the speech may contain irrelevant content, we tag the verbs in the ground-truth annotations and only re- tain tuples if the extracted verb has a high word embedding similarity score with at least one of the tagged verbs in the annotations. Moreover, we only retain words from the extracted arguments if it is a noun/adverb in the training annotations.

 Context Nodes: Instead of location nodes as introduced in the VF-method, we concatenate the action node labels within the same event to form a 'contextual phrase node'. This represents similar information to the action nodes, but at a less fine- grained level with more context about surrounding actions. For the object nodes, we tag the nouns from the ASR segment, retaining only the tagged nouns if they appear in the training ground-truth annotations. The audio nodes are retrieved in the same way as the VF-method except we filter out any irrelevant sound labels. For example, with cooking videos, we retain cooking-related sounds ('*boiling*', '*sizzling*', '*frying*', '*chopping*' etc).

 Commonsense Nodes We follow the VF- method but instead of using the action node in- formation as the head phrase, we find that better commonsense is generated when using the linguis- tic information inside the contextual phrase node to query Comet-ATOMIC2020.

### **328** 3.1.3 Connecting the VG Nodes

**For event**  $e_{vt}$ , let  $AC_t = \{ac_{t1}, ..., ac_{tk}\}\)$  be the action nodes,  $l_t$  be the corresponding location node when the VF-method is used, or  $cp<sub>t</sub>$  be the contex- tual phrase node when the ASR-method is used,  $CK_t = \{ck_{t1}, ..., ck_{tm}\}\)$  are the commonsense

nodes,  $O_t = \{o_{t1}, ..., o_{tn}\}\$ are the object nodes, 334 and  $AU_t = \{au_{t1}, ..., au_{tp}\}\$ are the audio nodes. **335** 

To form the graph, all action nodes are first **336** connected in temporal order. To capture forward **337** information, we add a directed edge with the la- **338** bel occursAfter between each consecutive ac- **339** tion node and further capture backwards infor- **340** mation by adding a reversed edge with the label **341** occursBefore. Each location node  $l_t$  or contex-  $342$ tual phrase node  $cp_t$  is then connected to all the  $343$ nodes in  $AC_t$  with the edge label atLocation  $344$ or hasContext. Next, commonsense nodes from **345**  $CK<sub>t</sub>$  are connected to the corresponding action  $346$ nodes from  $AC_t$  that were used to generate the com-  $347$ monsense, using the commonsense relation token 348 as the edge label. For the object and audio nodes, **349** each node in  $O_t$  and  $AU_t$  is connected with  $l_t$  or  $cp_t$  350 with the edge label inScene and hasSound respec- **351** tively. For the VF-method, we additionally filter **352** out any irrelevant commonsense if the predicted **353** action class confidence score used to generate that **354** commonsense does not exceed a particular thresh- **355** old. Noisy audio or object labels are disregarded **356** at each timestep by converting the class labels to a **357** text embedding and only retaining those that have **358** a high cosine similarity score with any of the nodes **359** in  $AC_t$ ,  $CK_t$  or  $l_t$ . A depiction of the final graphs  $360$ using the VF- and ASR-method is in Appendix [I.](#page-17-0) **361**

### <span id="page-3-0"></span>3.2 Theme Graph Creation **362**

We also create a theme graph for each action class 363 to incorporate corpus-level information. Given an **364** action predicted at evt, we collect the correspond- **<sup>365</sup>** ing ground-truth training sentence at  $e_{vt}$  and tag  $366$ the nouns, verbs and adverbs to build a vocabulary **367** for each action class. With the ASR-method, the **368** action classes are created by the k-means algorithm **369** to cluster the text embeddings of the action nodes. **370** We retain the top-n most frequent words for each  $371$ action class vocabulary and following [Yao et al.](#page-10-15) **372** [\(2019\)](#page-10-15), the individual words are connected based **373** on word co-occurrence statistics to form a graph. **374**

$$
PMI(i,j) = log \frac{p(i,j)}{p(i)p(j)}\tag{1}
$$

(1) **375**

$$
NPMI = \frac{PMI}{-log(p(i,j))}
$$
 (2) 376

We utilise the normalised point-wise mutual in- **377** formation score (NPMI), where a positive score **378** implies high semantic correlation between words. **379** Here,  $p(i, j) = \frac{\#S(i,j)}{\#S}$ ,  $p(i) = \frac{\#S(i)}{\#S}$  and  $p(j) =$  380

<span id="page-4-0"></span>

Figure 1: Architecture of GEM-VPC. At time  $t$ , the entire video-specific (VG) and theme graph (TG) corresponding to the action at time  $t$  is fed into separate Graph Neural Networks. In the visual stream, visual features summed with positional (PE) and token type embeddings (TE) are inputted into a Recurrent Transformer and the sequence representation ( $H_{v-CLS}$ ) is then used to select nodes from VG and TG in the node selection module. The selected nodes plus TE are fed into another Recurrent Transformer in the node stream. Cross-attention is employed between the visual and node stream and cross-attended features are finally fed into an MLP to predict the next word.

 $\frac{\#S(j)}{\#S}$  where  $\#S(i)$  is the number of sentences in 382 the corpus that contain word  $i, \#S(i, j)$  is the num-**ber of sentences that contain both words and**  $\#S$  is the number of sentences in the corpus. For the corpus, we use the ground-truth sentences from external datasets (see Section [4\)](#page-5-0). A word-to-word connection is made only if the NPMI score exceeds 0.10. A theme graph example is in Appendix [F.](#page-14-1)

#### **389** 3.3 VPC Model

 GEM-VPC (Figure [1\)](#page-4-0) adopts a transformer-based shared encoder-decoder augmented with an exter- nal memory module to model temporal dependen-cies between events.

 1) Visual Stream: At each timestep t related to 395 sevent  $e_t$ , we concatenate the visual features  $F_V$ **and predicted video captions**  $F_C$  **from**  $e_t$ **. A [CLS]**  token is also prepended to learn the sequence repre- sentation. We denote the concatenated sequence as  $F_{VC} = concat(F_V, F_C)$ .  $F_{VC}$  is fed into a trans- former with learnt positional and token type em- beddings (for indicating the token's modality type), which applies multi-head self attention (MHA):

$$
MHA(Q, K, V) = softmax(\frac{QK}{\sqrt{d_k}} + M)V \tag{3}
$$

405 where  $Q = XW^Q$ ,  $K = XW^K$ ,  $V = XW^V$ , 406  $W^Q$ ,  $W^K$ , and  $W^V$  are learnable parameters,

 $X = F_{VC}$  and M is a masked matrix to prevent 407 the model from attending to future words. The **408** outputted intermediate hidden state  $\bar{H}_t^l$  is then fed 409 into another attention layer that performs MHA **410** between  $\bar{H}_t^l$  and past memory states for capturing  $411$ history information. **412** 

2) Node Stream: For each event (timestep), a repre- **413** sentative action is extracted by using the predicted **414** action label with the highest confidence score out **415** of the predicted actions from  $e_{vt}$ . The matching  $416$ theme graph for that action class is then obtained **417** and fed through a Graph Attention Network (GAT) **418** to learn theme node embeddings. For encoding the **419** video-specific graph information, we feed the entire **420** graph into another GAT and extract the node em- **421** beddings corresponding to timestep t. We denote **422** the theme and video-specific graph node embed- **423** dings as  $TG_{emb} \in R^{N \times d}$  and  $VG_{emb} \in R^{M \times d}$ where  $N$ ,  $M$  are the number of nodes and  $d$  is the  $425$ embedding dimension. Specifically, we compute: **426**

$$
H_{v-CLS} = VisualStream(F_{VC})
$$
 (4) 427

, **424**

**428**

$$
p_s = softmax(W_h H_{v-CLS}^t)^T W_c N_{emb} \qquad (5)
$$

where  $H_{v-CLS}$  is the [CLS] representation from 430 the visual stream at time t,  $W_h$  and  $W_c$  are learn- 431 able,  $N_{emb}$  is either  $TG_{emb}$  or  $VG_{emb}$  and  $p_s$  con-  $432$ tains probability scores for each node. The top- $n$  433 nodes yielding the highest probabilities from each **434**

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 $TG_{emb}$  and  $VG_{emb}$  are then selected to be inputs for the node stream. Finally, we concatenate the 437 selected nodes  $F_N$  with the predicted captions  $F_C$ **and feed**  $F_{NC} = concat(F_N, F_C)$  through another transformer analogous to the one used in the visual stream. We do not add positional embeddings here as the selected nodes have no temporal order.

**442** 3) Decoding the Caption Visual and node streams **443** exchange information with cross attention:

$$
H_{v-CA}, H_{n-CA} = CrossAttention(H_v, H_n)
$$
\n<sup>444</sup>\n(6)

**Here,**  $H_v$  and  $H_n$  are the outputs from the vi- sual and node stream respectively at time t while  $H_{v-CA}$  and  $H_{n-CA}$  are node attended visual fea- tures and visual attended node features respectively. **The concatenation of**  $H_{v-CA}$  **and**  $H_{n-CA}$  **is finally**  fed into a linear (MLP) layer and the next word pre-dicted word is the argmax of the output.

 4) Encoding Recurrence To capture tempo- ral dependencies between events from previous timesteps, recent methods for encoding recurrence into transformer models are adopted for our visual and node stream. A) MART: memory augmented recurrent transformer [\(Lei et al.,](#page-9-2) [2020\)](#page-9-2), using multi- head attention to encode the memory state. Given 459 the intermediate hidden state  $\bar{H}_t^l$ , the memory up-460 dated intermediate hidden state  $H_t^l$  is computed:

$$
H_t^l = MHA(M_{t-1}^l, \bar{H}_t^l, \bar{H}_t^l) \tag{7}
$$

462 where  $M_{t-1}$  is the past memory calculated by:

**464**

**466**

463 
$$
C_t^l = tanh(W_{mc}^l M_{t-1}^l + W_{sc}^l S_t^l + b_c^l)
$$
 (8)

$$
Z_t^l = sigmoid(W_{mz}^l M_{t-1}^l + W_{sz}^l S_t^l + b_z^l) \quad (9)
$$

$$
M_t^l = (1 - Z_t^t) \otimes C_t^l + Z_t^l \otimes M_{t-1}^l \qquad (10)
$$

468 where  $\otimes$  is the Hadamard product,  $W_{mc}^l$ ,  $W_{sc}^l$ ,  $W_{mz}^l$ ,  $W_{sz}^l$  are trainable weights,  $b_c^l$  and  $b_z^l$  are **trainable bias,**  $C_t^l$  is the internal cell state and  $Z_t^l$  is the update gate that controls which information to retain from previous memory states. B) TinT: pro- posed by [Yamazaki et al.](#page-10-8) [\(2023\)](#page-10-8), utilising Hybrid Attention Mechanism (HAM) [\(Vo et al.,](#page-10-16) [2021\)](#page-10-16) to select information from previous hidden states:

$$
M_t^l = [M_{t-1}^l; \bar{H}_t^l] \tag{11}
$$

$$
Z_t^l = HAM(M_{t-1}^l, \bar{H}_t^l)
$$
(12)

$$
H_t^l = MLP(\text{mean}(Att([\bar{H}_t^l; Z_t^l])) + \bar{H}_t^l \quad (13)
$$

Here,  $\cdot$ ; denotes concatenation along a new di- **481** mension,  $mean(Att((\cdot))$  is self-attention applied 482 on the new dimension and reduced by the mean **483** operation,  $M_t$  is the memory information at time  $t$  484  $(M_0^l = \emptyset)$  and  $\bar{H}_t^l$  is defined as above. 485

## <span id="page-5-0"></span>4 Evaluation Setup<sup>[2](#page-5-1)</sup>

Data: 1) ActivityNet Captions [\(Krishna et al.,](#page-9-0) **487** [2017\)](#page-9-0) consists of 10,009 training and 4,917 valida- **488** tion videos on people performing complex activi- **489** ties. On average, each video contains 3.65 event **490** segments covering 36 seconds. We follow previous **491** works [\(Lei et al.,](#page-9-2) [2020\)](#page-9-2) and split the original val- **492** idation set into *ae-val* and *ae-test*. 2) YouCook2 **493** [\(Zhou et al.,](#page-10-9) [2018\)](#page-10-9) is for dense video procedu- **494** ral captioning in the recipe domain. It contains **495** 1,333 training and 457 validation samples com- **496** prised specifically of instructional cooking videos. **497** On average, videos are 5.26 minutes long with 7.7 **498** event segments and each annotation for an event **499** is a language description of the procedure's step **500** covering 1.96 seconds. We report our results on **501** [t](#page-8-9)he validation set ('*yc2-val*'). **3)RecipeNLG** (Bien<sup>502</sup> [et al.,](#page-8-9) [2020\)](#page-8-9) is for recipe generation, consisting of **503** 2,231,142 cooking recipes and food entities from **504** the recipes extracted using Named Entity Recogni- **505** tion. We use RecipeNLG as a supporting dataset to **506** compute the NPMI scores when constructing the **507** theme graphs for the YouCook2. **508** 

Evaluation Metrics: We follow previous VPC **509** [w](#page-9-12)orks and evaluate with: BLEU-4 (B4) [\(Papineni](#page-9-12) **510** [et al.,](#page-9-12) [2002\)](#page-9-12), METEOR (M) [\(Banerjee and Lavie,](#page-8-10) **511** [2005\)](#page-8-10), CIDEr (C) [\(Vedantam et al.,](#page-10-17) [2015\)](#page-10-17), and **512** ROUGE-L (R) [\(Lin,](#page-9-13) [2004\)](#page-9-13). We also analyse the **513** repetitiveness and diversity of the captions by mea- **514** suring 2-gram diversity (Div2) [\(Shetty et al.,](#page-9-14) [2017\)](#page-9-14) 515 and 4-gram repetition [\(Xiong et al.,](#page-10-3) [2018\)](#page-10-3). **516**

## $5$  Results<sup>[3](#page-5-2)</sup>

#### 5.1 Performance Against SOTA **518**

We compare GEM-VPC with prior SOTA on Ac- **519** tivityNet Captions's *ae-test* split (Table [1\)](#page-6-0) and **520** YouCook2's validation split (Table [2\)](#page-6-1). 521

Our best model (GEM-VPC w/ TinT decoder) **522** evidently outperforms most of the existing base- **523** lines. VLTinT w/ CL and w/o CL is the VLTinT **524** model trained with their novel contrastive loss (in **525** addition to the classic MLE loss) and without their **526**

<span id="page-5-2"></span><span id="page-5-1"></span><sup>&</sup>lt;sup>2</sup>Implementation details can be found in Appendix [G](#page-15-0)

<sup>&</sup>lt;sup>3</sup>Appendix [J](#page-18-0) shows qualitative examples of generated captions from our model versus state-of-the-art

<span id="page-6-0"></span>

ae-test									
Model	Conference	Year	<b>Modalities</b>	<b>Integration Method</b>	$BA \uparrow$	$M \uparrow$	$C \uparrow$	$\mathbf{R} \uparrow$	R4
VTrans (Zhou et al., 2018)	<b>CVPR</b>	2018	$V + F$	Concatenation	9.31	15.54	21.33	28.98	
Trans-XL (Dai et al., 2019)	ACL	2019	$V + F$	Concatenation	10.25	14.91	21.71	30.25	8.54
MDVC (Iashin and Rahtu, 2020b) †	<b>CVPR</b>	2020	$V + S + A$	Concatenation	8.50	14.28	17.57	25.48	
BMT (Iashin and Rahtu, 2020a) †	<b>BMVC</b>	2020	$V+A$	<b>CM</b> Attention	8.42	14.08	15.41	25.44	
MART (Lei et al., 2020)	ACL	2020	$V+F$	Concatenation	9.78	15.57	22.16	$\overline{\phantom{a}}$	5.44
MART-COOT (Ging et al., 2020)	<b>NeurIPS</b>	2020	$V+L$	Joint CM Space	10.85	15.99	28.19		
Trans-XLRG (Lei et al., 2020)	ACL	2020	$V + F$	Concatenation	8.85	10.07	14.58	20.34	
Motion-Aware (Hu et al., 2023)	<b>ICASSP</b>	2023	$V + O$	<b>CM</b> Attention	11.90	16.54	30.13		4.12
Memory Trans. (Song et al., 2021)	<b>CVPR</b>	2021	$V + F$	Concatenation	11.74	15.64	26.55		2.75
VLTinT w/ CL (Yamazaki et al., 2023)	AAAI	2023	$V+L+O$	<b>CM</b> Attention	14.50	17.97	31.13	36.56	4.75
VLTinT w/ CL <sup>*</sup> (Yamazaki et al., 2023)	AAAI	2023	$V+L+O$	<b>CM</b> Attention	14.32	17.84	31.83	36.51	5.16
VLTinT w/o CL (Yamazaki et al., 2023)	AAAI	2023	$V+L+O$	<b>CM</b> Attention	13.80	17.72	30.59	36.11	
GEM-VPC w/No Recurrence		2024	$V+G(V+A+C)$	<b>CM</b> Attention	12.82	17.4	26.97	33.45	7.28
GEM-VPC w/ MART decoder		2024	$V+G(V+A+C)$	<b>CM</b> Attention	13.47	17.38	30.38	35.8	5.93
GEM-VPC w/TinT decoder		2024	$V+G(V+A+C)$	<b>CM</b> Attention	14.54	17.99	32.62	36.51	5.17

Table 1: Automatic scores for ActivityNet *ae-test*. In 'Modalities', V=visual, F=optical flow, O=bounding box object visual features, A=audio, S=speech, L=language, G(V+A+C)=graph built with visual, audio modality and commonsense. † indicates results computed by ourselves. ∗ are computed by rerunning the model with our own environment. 'Integration Method'=how to integrate the distinct modalities (see Appendix [H](#page-16-0) for specific meanings).

<span id="page-6-1"></span>

$vc2$ -val										
Model	Conference	Year	<b>Modalities</b>	Pretraining	<b>Integration Method</b>	$B4 \uparrow$	$M \uparrow$	$C \uparrow$	R↑	<b>R4</b>
VTrans (Zhou et al., 2018)	<b>CVPR</b>	2018	$V + F$	^	Concatenation	7.62	15.65	32.26	$\overline{\phantom{a}}$	7.83
Trans-XL (Dai et al., 2019)	ACL	2019	$V + F$		Concatenation	6.56	14.76	26.35	٠	6.30
MART (Lei et al., 2020)	ACL	2020	$V + F$		Concatenation	8.00	15.90	35.74	$\overline{\phantom{a}}$	4.39
MART-COOT (Ging et al., 2020)	<b>NeurIPS</b>	2020	$V+I$ .		Joint CM Space	9.44	18.17	46.06	$\overline{\phantom{a}}$	6.30
Trans-XLRG (Lei et al., 2020)	ACL	2019	$V + F$		Concatenation	6.63	14.74	25.93	$\overline{\phantom{a}}$	6.03
VLTinT (Yamazaki et al., 2023)	AAAI	2023	$V+I$ .		<b>CM</b> Attention	9.40	17.94	48.70	34.55	4.29
DECEMBERT (Tang et al., 2021)	<b>NAACL</b>	2021	$V+L+S$		CM Pretraining	11.92	20.01	58.02	40.22	
MTrans+COOT+MIL-NCE PT (Tang et al., 2021)	<b>NAACL</b>	2021	$V+I$ .		Joint CM Space	11.05	19.79	55.57	37.51	
MART+COOT+MIL-NCE PT(Tang et al., 2021)	<b>NAACL</b>	2021	$V+I$ .		Joint CM Space	11.30	19.85	57.24	37.94	
GEM-VPC w/ No Recurrence	۰	2024	$V+G(S+A+C)$	x	CM Attention	11.03	20.01	58.49	36.89	4.64
GEM-VPC w/ MART decoder		2024	$V+G(S+A+C)$	x	<b>CM</b> Attention	11.01	19.86	54.84	36.81	4.47
GEM-VPC w/TinT decoder		2024	$V+G(S+A+C)$	x	<b>CM</b> Attention	11.47	19.72	56.00	37.48	4.91

Table 2: Automatic scores for baselines and GEM-VPC on YouCook2. The 'Modalities' and 'Integration Method' columns are the same as Table [1.](#page-6-0) Additionally,  $G(S+A+C)$  is graph built with speech/audio modality and commonsense, 'CM Pretaining' indicates the use of pretraining objectives like masked language modelling. The 'Pretraining' column indicates whether the model has been pretrained on an external video dataset.

 contrastive loss respectively. Specifically, GEM- VPC w/ TinT decoder outperforms VLTinT w/ CL on BLEU-4, METEOR and CIDEr and all met- rics when considering the VLTinT w/o CL variant which is optimised using the same MLE loss as our model. For a more accurate comparison, we rerun VLTinT w/ CL (with their optimal parame- ters) in our own environment and record the results under VLTinT w/ CL<sup>∗</sup> **535** . As shown, GEM-VPC w/ TinT decoder yields higher BLEU-4, METEOR 537 and CIDEr scores than VLTinT w/ CL<sup>∗</sup> with simi- lar ROUGE and R4. While R4 does not outperform some baselines, the lower repetition does not neces- sarily mean good caption quality as lower repetition can be simply achieved by generating words unre- lated to the video content. Hence, a strong model should have a balance of high n-gram metrics and a low repetition score. Examining YouCook2, our model variants achieve higher n-gram scores with

relatively low repetition of 4.6-4.9 compared to **546** baselines with no pretraining (first 6 baselines). **547** Even when comparing with the last 3 baselines **548** with pretraining methods and a large separate in-<br>549 structional video dataset (HowTo100M [Miech et al.](#page-9-15) **550** [\(2019\)](#page-9-15)), we achieve similar scores with our best **551** CIDEr score (58.49) outperforming all baselines. **552**

#### 5.2 Ablation Studies **553**

Different Input Modalities: Our model is exam- **554** ined with different modality settings in Table [3.](#page-7-0) **555** Using visual features alone (Exp #  $(I)$ ) for both 556 datasets yields the worst performance with the **557** lowest scores across all *n*-gram metrics. Using 558 nodes only  $(Exp \# (2))$  can substantially improve  $559$ the scores, although this produces higher repeti- **560** tion and lower diversity. We also find that the **561** setting using visual features combined with node **562** features results in significant performance improve- **563**

<span id="page-7-0"></span>

ActivityNet (ae-test)										
Exp#	V	VG	TG	A	S	$B4 \uparrow$	$M \uparrow$	$\mathbf{C} \uparrow$	Div2 $\uparrow$	$R4 \downarrow$
$^\mathrm{\odot}$	✓	X	Х	Х	Х	12.90	16.92	28.27	75.65	6.00
$^{\circledR}$	Х		$\checkmark$	Х	Х	10.63	16.51	20.75	74.83	7.66
$\circledS$			x	Х	х	13.27	17.24	28.99	74.29	6.93
$^{\circledR}$		Х	$\checkmark$	Х	Х	13.12	17.09	27.97	75.02	7.01
$\circledS$			$\checkmark$	Х	x	13.47	17.38	30.38	75.74	5.93
$^\copyright$					x	13.16	17.40	29.88	76.24	5.80
	YouCook2 (yc2-val)									
		X	Х	Х	х	7.12	15.25	30.12	70.75	3.66
②	x		ℐ	Х	х	9.91	18.65	44.50	65.38	6.33
$\circledS$			Х	Х	X	10.82	19.42	54.73	67.11	4.65
$^{\circledR}$		Х		Х	Х	8.06	16.35	36.68	69.96	3.72
$\circledS$				X	Х	11.03	20.01	58.49	67.08	4.64
$\circledS$					X	9.73	18.50	53.33	68.22	4.36
Ø		X	X	Х	✓	10.94	19.90	57.45	71.55	1.94
(8)				X		11.56	19.98	58.70	70.46	2.61

Table 3: GEM-VPC performance with different input modalities. ActivityNet (with MART decoder); YouCook2 (with No Recurrence setting). Exp # is the experiment number and V, VG, TG, A, S stand for visual, video-specific graph, theme graph, audio and speech.

564 ment across all metrics (Exp #  $(5)$ ). Comparing **3 and 4, inputting visual+VG features exhibit**  higher n-gram metrics than using visual+TG fea- tures for both datasets, indicating that the VG pro- vide more useful information representative of the video content. R4 and Div2 scores remain similar for ActivityNet, but that for YouCook2 yields lower repetition/higher diversity. However as previously noted, lower repetition/higher diversity does not mean good caption quality if the n-gram metrics are also low. Overall, we show that incorporating video-specific information and the TG corpus-level **information** (Exp #  $(5)$ ) is superior. We further ex- perimented by adding a separate stream to process 578 the raw audio features. Comparing (5) and (6) for both datasets, adding audio information slightly im- proves the repetition/diversity at the cost of lower B4 and CIDEr. This could be due to a misalign- ment in the audio track and the video's topic e.g. there are cases where users upload background mu- sic unrelated to the video contents. Moreover, we examine noisy background audio that could po- tentially confuse the model. For YouCook2, by 587 examining unprocessed speech features (Exp #  $(7)$ ), inputting the visual and speech transcript can pro- duce competitive performance. However, this can be further enhanced by incorporating node infor-591 mation from VG and TG as seen in (8) which yields the highest B4 and CIDEr out of all the settings whilst maintaining competitive Div2 and R4.

**594** Different Decoders: We evaluated different **595** methods for encoding recurrence using MART, **596** TinT, and a 'No Recurrence' setting, as in the last

<span id="page-7-1"></span>

Figure 2: Sum of n-gram metrics on ActivityNet (*aeval*+*ae-test*) (left) and YouCook2 (*yc2-val*) (right) across samples with different number of events.

three rows of Table [1](#page-6-0)[/2](#page-6-1) for ActivityNet/YouCook2. **597** For ActivityNet, the TinT decoder achieved the best **598** results across all metrics, followed by MART, with **599** the No Recurrence setting performing the worst, **600** indicating the importance of a recurrent memory 601 module. Conversely, YouCook2 results showed **602** that the No Recurrence setting yielded the highest **603** METEOR (20.0) and CIDEr (58.5) scores, while 604 TinT improved BLEU-4 and ROUGE-L but had **605** the lowest METEOR and R4. This suggests that **606** encoding recurrence benefits captioning if the cur- **607** rent timestep relies on past information. We anal- **608** ysed samples by their total timesteps and plotted **609** the average sum of *n*-gram metrics for each group 610 in Figure [2.](#page-7-1) For YouCook2, even without recur- **611** rence, decoding captions for samples with more **612** timesteps wasn't necessarily more complex. How- **613** ever, for ActivityNet, scores decreased with more **614** timesteps, highlighting the need for recurrent in- **615** formation. This aligns with the MART paper's **616** findings on ActivityNet, though YouCook2 wasn't **617** tested in their study [\(Lei et al.,](#page-9-2) [2020\)](#page-9-2). **618**

### **6 Conclusion** 619

We introduced GEM-VPC, a novel framework for **620** video captioning (VPC) that leverages multimodal **621** information and external knowledge. We construct **622** a commonsense-enhanced video-specific graph for **623** key events and context, and a theme graph from **624** ground-truth captions to represent word relation- **625** ships. These graphs are processed by separate 626 GNNs, and a node selection module identifies use- **627** ful nodes for caption decoding. The selected nodes **628** and supporting information (visual, audio, etc.) are **629** fed into a transformer with multiple streams for **630** different modalities, followed by a cross-attention **631** module for inter-stream information exchange. Ex- **632** periments on benchmark datasets demonstrate that **633** GEM-VPC outperforms existing baselines, gener- **634** ating coherent and visually-grounded captions. **635**

## **<sup>636</sup>** 7 Limitations

 Our model pipeline requires the use of pretrained models and pre-built methods like action detec- tors, audio classifier, text parsers and OpenIE mod- els in the data pre-processing stage. As to date, these models and methods are mainly available for the English language but not for low-resource languages that have relatively less data available for training natural language processing systems. Moreover, we emphasise that the metrics used for evaluation are also only capable of judging English- written language. Nevertheless, our framework and pipeline can still be reproduced and as such, for future studies, experiments can be re-run on other languages once models and data become readily available.

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## <span id="page-12-1"></span>**968 A** Video-Specific Graph Statistics

 Total count for the different node types for the Ac- tivityNet and YouCook2 video-specific graphs. On average, the ActivityNet and YouCook2 graphs have 57.04 and 127.83 nodes respectively with the largest graphs containing 259 and 304 nodes re-spectively.



Table 4: Count of different node types for both the ActivityNet and YouCook2 video-specific graphs.

## **975** B Node Type Importance

 To examine the importance of different node types in the video-specific graph, for each video sample during inference, we extract the top-10 selected nodes chosen by our node selection module at each event timestep. Then, for each node type, we count the frequency of selected nodes across the timesteps and divide the count by the total num- ber of nodes (of that same type) that are present in the video-specific graph to get the proportion (normalised count). Finally, the proportions com- puted from each video sample is averaged across the validation set. This number reflects the ex- pected probability that a node of a specific type will be selected to be part of the top-10 nodes. The results for both datasets is shown in the table below. For example, 48.99% in the table means that for ActivityNet video-specific graphs, if the node is an action node, then it has 48.99% chance to be in the top-10 nodes as ranked by our node selection **995** module.

<span id="page-12-0"></span>

Table 5: Average proportion of selected nodes for each node type in the video-specific graphs for both ActivityNet and YouCook2.

Examining Table [5,](#page-12-0) for both ActivityNet and **996** YouCook2, the node types with the highest average 997 selected proportions were the location/contextual **998** phrase, audio and action nodes, indicating that **999** these node types tend to be more vital for video **1000** understanding. Action nodes having a high chance **1001** of being selected is not surprising, as this node cap- **1002** tures information closely aligned with the VPC task **1003** where the aim is to generate captions describing the **1004** action and events in the video segment. Similarly **1005** for ActivityNet, the location nodes may be impor- **1006** tant as the action/events happening in the video are **1007** often closely related to location e.g. videos about **1008** water skiing often happen in locations with water. **1009** Moreover, for YouCook2, the contextual phrase 1010 nodes are most likely significant as they provide **1011** similar information to the action nodes. The large 1012 percentage of audio nodes selected for both datasets **1013** may be unexpected at first as raw video sounds tend **1014** to contain noisy background information. However, **1015** as mentioned in Section [3.1,](#page-2-0) we already perform 1016 extra post-processing in an attempt to retain only 1017 the relevant audio labels. For both datasets, the **1018** node type with the second least selection proba- **1019** bility are object nodes with on average, 39-44% **1020** considered as important. This is however still a **1021** relatively large proportion, suggesting that object **1022** nodes are significant for VPC. Finally, we observe **1023** that a majority of the commonsense nodes were **1024** not useful, especially for YouCook2, despite the **1025** large count of commonsense nodes in both graphs **1026** (see Appendix [A\)](#page-12-1). This is perhaps attributed to the **1027** fact that Comet-ATOMIC2020 focuses on gener- **1028** ating social commonsense such as people's reac- **1029** tions, intents and desires relating to a specific event. **1030** However, we find that the ground-truth captions are **1031** often limited in detail whereby annotators do not **1032** always describe such information but mainly just **1033** simply focus on stating what is visually happening 1034 in the video. Nevertheless, a relatively large propor- **1035** tion of 30.8% is still selected from the ActivityNet **1036** video-specific graphs, suggesting that this social **1037** commonsense knowledge can still provide useful **1038** contextual cues for videos that are similar in nature **1039** to the ones in ActivityNet. **1040**

## C Number of Nodes Selected **<sup>1041</sup>**

We report the performance of our model when **1042** changing the different maximum number of nodes **1043** that can be selected from each video-specific graph **1044** and each theme graph per timestep. Results for the **1045**

 ActivityNet and YouCook2 dataset are displayed in Table [6](#page-13-0) and Table [7](#page-13-1) respectively. For Activi- tyNet, the best n-gram and repetition scores can be achieved when using 20 nodes (10 nodes selected from each of the video-specific and theme graphs at each timestep) or 40 nodes (20 nodes selected from each graph at each timestep). For YouCook2, we find that the best performance stabilises at around **60-80 total nodes.** 

<span id="page-13-0"></span>

	<b>ActivityNet</b>					
# Nodes    B4 $\uparrow$ M $\uparrow$ C $\uparrow$ R $\uparrow$   Div2 $\uparrow$ R4 $\downarrow$						
10					$\begin{array}{ r rrrrrr } \hline 13.80 & 17.40 & 31.21 & 35.88 & 75.22 & 6.50 \\ \hline \hline 13.91 & 17.40 & \hline 31.45 & \hline 36 & 75.19 & 6.41 \\ \hline 13.91 & 17.47 & 30.68 & 35.97 & \hline 75.75 & 6.18 \\ \hline 13.51 & 17.38 & 30.74 & 35.82 & 75.21 & 6.42 \\ \hline \end{array}$	
20						
40						
60						

Table 6: Performance of our model by setting different maximum number of nodes that can be selected from our node selection module at each timestep on ActivityNet. All results are reported using the model w/ MART decoder with video and node input features.

<span id="page-13-1"></span>

	YouCook2					
# Nodes	$B4 \uparrow$	$\mathbf{M} \uparrow$	$\mathbf{C} \uparrow$	$\mathbf{R} \uparrow$	Div2 $\uparrow$	$R4 \perp$
10	10.39		18.82 52.24 35.52		65.87	5.47
20	10.73	19.27	54.21 35.97		67.63	4.80
40	10.88		19.58 57.38	36.69	66.03	5.40
60	11.03	20.01	58.49	36.89	67.08	4.64
80	11.23		20.04 57.84	36.78	67.77	4.75

Table 7: Performance of our model by setting different maximum number of nodes that can be selected from our node selection module at each timestep on YouCook2. All results are reported using the model w/o Recurrence with video and node input features.

## **<sup>1055</sup>** D Performance Across Different Video **<sup>1056</sup>** Categories

 To examine how our model performs across dif- ferent types of videos, we compute the average sum of BLEU-4, METEOR, CIDEr and ROUGE-L across 14 different categories for the ActivityNet validation and testing split. These categories are provided by the user when uploading the video and roughly represent the video's main topic. For this experiment, 3 different types of input modali- ties are tested: 1) using video visual features only (visual), 2) using visual features combined with node features chosen by the node selection module (visual + nodes), and 3) using visual features com- bined with node features and audio features (visual 1070 + nodes + audio).

Examining Figure [3,](#page-14-2) when comparing video ver- 1071 sus visual + nodes, we find that visual + nodes does **1072** better than visual only in all categories except for **1073** 'Travel & Events', 'Autos & Vehicles', and 'Sci- **1074** ence & Technology'. In particular, the largest gap 1075 occurs in the 2 latter categories. A reason for this **1076** may be due to a lack of action classes related to **1077** these categories in which the TimeSformer model **1078** is capable of predicting, which subsequently affects 1079 the quality of the nodes in the video-specific graph. **1080** For instance, there are no specific action classes 1081 that are related to 'Science & Technology' in the Ki- **1082** netics600 dataset in which the TimeSformer model **1083** was trained on, while there are only 4 action classes 1084 that are related to 'auto maintenance' (*'changing* **1085** *oil'*, *'changing wheel'*, *'checking tires'*, *'pumping* **1086** *gas'*). Furthermore, we observe that adding audio **1087** features to the model does not necessarily provide **1088** useful context cues for all categories. This is per- **1089** haps due to a misalignment between the audio track **1090** and video's topic. For example, people will often **1091** put a sound track with music even when the video **1092** itself is not about 'Music'. However, we do find **1093** that audio helps in improving performance for cat- **1094** egories related to 'Education', 'Travel & Events', **1095** 'Howto & Style', and 'Comedy'. **1096**

In summary, visual + nodes performs the best in **1097** general, outperforming the other 2 model variants **1098** for 7 out of the 14 categories. This aligns with the **1099** findings from Section 5.2. Visual + nodes + audio **1100** is the second-best with superior performance in 5 **1101** categories. This is finally followed by the visual **1102** only setting, whereby visual features alone clearly **1103** does not provide enough contextual information **1104** to generate high quality captions and thus, only **1105** benefits 2 out of the 14 categories. **1106**

<span id="page-14-3"></span>

<b>Relation</b>	<b>Description</b>	Example ( <head><relation><tail>)</tail></relation></head>
ObjectUse	describes everyday affordances or uses of objects	put into pan ObjectUse frying
MadeUpOf	describes a part, portion or makeup of an entity	making cake MadeUp0f eggs
HasProperty	describes entities' general characteristics	boiling water HasProperty heat
CapableOf	describe abilities and capabilities of everyday living entities	cut cake Capable Of celebrate birthday
is After	events that can follow an event	mop the floor is After sweep the floor
<b>HasSubEvent</b>	provides the internal structure of an event	boil the dumplings HasSubEvent boils water
isBefore	events that can precede an event	opens a gift is Before rips wrapping paper
xNeed	describes a precondition for an agent to achieve the event	give a gift xNeed buys the presents
<b>xAttr</b>	describes personas or attributes perceived by others given an event	decorates Christmas tree xAttr festive
xEffect/oEffect	actions that happen to an agent that may occur after the event	gives a present xEffect gets thanked
xReact/oReact	emotional reactions of participants in an event	gives a present xReact feels happy
xWant/oWant	postcondition desires after an event	gives a present xWant wants to hug
<b>xIntent</b>	defines the likely intent of an agent	pour sauce on food xIntent add flavour

Table 8: Relations in Comet-ATOMIC2020 used to generate the commonsense nodes for the video-specific graph and their corresponding descriptions. The 'head' indicates the input phrase that is fed into Comet-ATOMIC2020 and the 'tail' is the possible generated commonsense.

<span id="page-14-2"></span>

Figure 3: Sum of BLEU-4, METEOR, CIDEr and ROUGE-L scores for the ActivityNet predicted captions across the different video categories using 3 different input modalities (visual only, visual + nodes, visual + nodes + audio). The scores are obtained from the combined validation (*ae-val*) and testing set (*ae-test*).

#### <span id="page-14-0"></span>**<sup>1107</sup>** E Relation Description

 The relation tokens used to extract knowledge from the Comet-ATOMIC2020 neural knowledge model for the commonsense nodes in the video- specific graphs and their corresponding descrip- tions are detailed in Table [8.](#page-14-3) The descriptions are taken from the official Comet-ATOMIC2020

paper [\(Hwang et al.,](#page-8-8) [2021\)](#page-8-8). For the ActivityNet 1114 graphs, all relations below were used except for **1115** isAfter, isBefore, MadeUpOf, ObjectUse and **1116** HasProperty. Although isAfter and isBefore **1117** relations may be useful, we find that the common- **1118** sense generated using these relations for the Activi- **1119** tyNet data tends to produce similar results to xNeed **1120** and xEffect/oEffect and so we disregard these **1121** relations to help reduce the number of common- **1122** sense nodes in the graphs. MadeUpOf, ObjectUse **1123** and HasProperty are further ignored as informa- **1124** tion about properties, compositions or characteris- **1125** tics of entities are not closely aligned with the con- **1126** tent in the ActivityNet captions. For the YouCook2 **1127** graphs, all relations below were used except for **1128** xReact/oReact, xAttr and xWant/oWant as we **1129** believe information about an event's attributes and **1130** individual's subjective reactions/desires may not be **1131** useful for captioning instructional cooking videos. **1132**

### <span id="page-14-1"></span>F Theme Graph Example **<sup>1133</sup>**

The image below shows an example of what a snip- **1134** pet from the theme graph corresponding to the **1135** action class *carving pumpkins*' would look like. **1136** Nodes represent tagged nouns, verbs, and adverbs **1137** from the ground-truth training annotations. All **1138** edges in the graph are undirected and weighted by **1139** normalised point mutual information scores. **1140** carving pumpkins



<span id="page-15-0"></span>Figure 4: Visual example of a sub-graph for the theme graph corresponding to the ActivityNet action class *carving pumpkins*.

#### **<sup>1141</sup>** G Implementation Details

 [G](#page-8-12)raph Construction: The TimeSformer [\(Berta-](#page-8-12) [sius et al.,](#page-8-12) [2021\)](#page-8-12) pretrained on the Kinetics600 dataset [\(Zisserman et al.,](#page-11-0) [2017\)](#page-11-0) was used as the action classification model for constructing the action nodes for the VF-method. The model is capable of predicting 600 unique action classes. We leveraged the Audio Spectrogram Transformer [\(Gong et al.,](#page-8-13) [2021\)](#page-8-13) pretrained on AudioSet [\(Gem-](#page-8-14) [meke et al.,](#page-8-14) [2017\)](#page-8-14) (capable of predicting 632 au- dio event classes) as the audio classification model to create the audio nodes for the VF and ASR- method. Commonsense nodes are generated by Comet-ATOMIC2020 [\(Hwang et al.,](#page-8-8) [2021\)](#page-8-8) using the *'comet\_atomic2020\_bart'* implementation. Ob- ject and location nodes for the VF-method are gen- erated by the BLIP-VQA base model as proposed in [\(Li et al.,](#page-9-16) [2022\)](#page-9-16), with the object nodes further expanded using Detic's [\(Zhou et al.,](#page-10-19) [2022\)](#page-10-19) object detection model. ASR from the YouCook2 videos [w](#page-9-17)as extracted using OpenAI's Whisper [\(Radford](#page-9-17) [et al.,](#page-9-17) [2023\)](#page-9-17) while we used AllenNLP's OpenIE model [\(Stanovsky et al.,](#page-10-20) [2018\)](#page-10-20) for creating the ac- tion nodes in the ASR-method. All part-of-speech tagging is done with the NLTK toolkit.

 For each set of commonsense knowledge gen- erated by its corresponding action node, we filter out any similar generated commonsense to avoid adding duplicate commonsense into the video- specific graph at the same timestep. Specifically, we removed any similar commonsense if its Leven- shtein Distance ratio with another commonsense is greater than 0.70. As mentioned in Section [3.1,](#page-2-0) we

also did not add the commonsense into the graph if **1174** the action class used to generate that commonsense **1175** had a confidence score of less than 0.5 so as to **1176** avoid incorporating irrelevant external knowledge. **1177** The threshold for filtering out any noisy object and **1178** audio labels was 0.25 and 0.3 respectively while **1179** the threshold to determine whether an action node **1180** contained *'no action'* was 0.35. For creating the **1181** theme graphs in the case when the ASR-method is **1182** used, k-means clustering with  $k = 300$  and 10 rep- 1183 etitions was used to create the action classes. The **1184** theme graphs contain the top-100 most occurring **1185** words within that action class/theme. **1186** 

Model Training: The 2048D visual features for **1187** the ActivityNet were extracted using a 3D-CNN **1188** backbone [\(Ji et al.,](#page-9-18) [2012\)](#page-9-18). For YouCook2, we used **1189** 2048D ResNet-200 [\(He et al.,](#page-8-15) [2016\)](#page-8-15) visual fea- **1190** tures concatenated with 1024D optical flow fea- **1191** tures from BNInception [\(Ioffe and Szegedy,](#page-9-19) [2015\)](#page-9-19). **1192** The node/edge linguistic features for the video- **1193** specific and theme graphs are represented using 1194 CLIP textual embeddings [\(Radford et al.,](#page-9-20) [2021\)](#page-9-20). **1195**

We train the modules in an end-to-end fash- **1196** ion with teacher forcing to optimise the Kull- **1197** back–Leibler divergence loss with the best model **1198** using a label smoothing of 0.3. The word embed- **1199** ding matrix of the models is initialised with GloVe **1200** embeddings of dimension 300 [\(Pennington et al.,](#page-9-21) 1201 [2014\)](#page-9-21). Inputs into each transformer stream are **1202** added with fixed positional embeddings (only for **1203** the visual stream) and learnt token type embed- **1204** dings. The token type embedding matrix was size **1205** 10 to incorporate for different modality types such **1206** as visual, audio or type of node e.g. location, com- **1207** monsense etc. We use 2 hidden transformer layers **1208** with 12 attention heads where the hidden and inter-<br>1209 mediate size was 768. For the theme graph encoder, **1210** 2 GATv2Conv layers [\(Brody et al.,](#page-8-16) [2021\)](#page-8-16) were **1211** used while the video-specific graph encoder used 1 **1212** GATv2Conv layer with all layers using 4 attention **1213** heads. Adam optimizer was used to train our model **1214** with an initial learning rate of 1e-4,  $\beta_1 = 0.9$  and 1215  $\beta_2 = 0.999$ ,  $L_2$  weight decay of 0.01, learning rate 1216 warmup over the first 5 epochs and batch size of 1217 2. Early stopping was applied after no improve- **1218** ment was seen in the validation CIDEr score in 3 1219 consecutive epochs. For decoding the caption at **1220** inference, nucleus sampling with  $0.6$  top- $p$  and  $0.5$  1221 temperature was used. **1222**

<span id="page-16-1"></span>

Table 9: n-gram metrics and repetition scores of baselines and our model (GEM-VPC) for the ActivityNet *ae-val* split. In the 'Modalities' column, the abbreviations are defined as follows: V=visual, F=optical flow, O=bounding box object visual features, A=audio, S=speech, L=language, G(V+A+C)=graph built with visual, audio modality and commonsense, G(S+C)=graph build with speech modality and commonsense. † indicates results computed by ourselves using VPC evaluation mode.∗ indicates results computed from the model that was reran with our own environment. The 'Integration Method' column indicates the model's main approach for integrating the distinct modalities. 'Concatenation' refers to a simple concatenation of different modality vectors which are then fed into a single stream, 'CM Attention' refers to cross-modal attention employed between modules processing different modality inputs, and 'Joint CM Space' indicates that the model attempts to learn a common space for different modalities.

## <span id="page-16-0"></span>**<sup>1223</sup>** H ActivityNet Validation Set Quantitative **<sup>1224</sup>** Results

 Table [9](#page-16-1) shows the n-gram metrics and repetition scores of baselines and GEM-VPC for the Ac- tivityNet *ae-val* split. In the 'Modalities' col- umn, the abbreviations are defined as follows: V=visual, F=optical flow, O=bounding box object visual features, A=audio, S=speech, L=language, G(V+A+C)=graph built with visual, audio modal- ity and commonsense, G(S+C)=graph build with speech modality and commonsense. † indicates re- sults computed by ourselves using VPC evaluation mode.∗ indicates results computed from the model that was reran with the same environment as this re- search. The 'Integration Method' column indicates the model's main approach for integrating the dis- tinct modalities. 'Concatenation' refers to a simple concatenation of different modality vectors which are then fed into a single stream, 'CM Attention' refers to cross-modal attention employed between modules processing different modality inputs, and 'Joint CM Space' indicates that the model attempts to learn a common space for different modalities.

**1246** Our best model (GEM-VPC w/ TinT decoder) **1247** achieves comparable performance with the strongest baselines (VLTinT w/ CL and VLTinT w/ **1248** CL<sup>∗</sup> ). Note that while we underperform slightly **1249** on the validation set, we outperform VLTinT in **1250** a majority of the metrics when evaluating on the **1251** testing set (see Table [1](#page-6-0) of the main paper). **1252**

**1253**

Please note that the appendix continues **1254** on the next page. **1255**

## <span id="page-17-0"></span>I Video-Specific Graph Visual Examples

 Visual depiction of what the video-specific graphs would look like using the VF and ASR-method for an example ActivityNet and YouCook2 video. Blue nodes represent the action nodes, red nodes are the location/contextual phrase nodes, green nodes are object nodes, purple nodes are audio nodes and orange nodes are the commonsense nodes. Note that due to size of the graphs, not all nodes are presented and graphs would be larger in reality. Sentences under the video frames are the matching ground-truth captions.



Figure 5: Video-specific graph for an example video in the ActivityNet dataset using the VF-method for the first 3 timesteps.



Figure 6: Video-specific graph for an example video in the YouCook2 dataset using the ASR-method for the first 3 timesteps.

## <span id="page-18-0"></span>J Qualitative Examples (Ours vs SOTA) **<sup>1263</sup>**

Qualitative Examples for the start-of-the art methods versus ours (GEM-VPC) are shown on the next **1264** page. The first example is from YouCook2 while the last 2 are from ActivityNet. Blue words in the **1265** machine-generated captions are visually grounding to the video, while red words represent irrelevant **1266** words that are 'hallucinated' by the model. **1267 1267** 

We collect the top-10 selected nodes by confidence score at each timestep during inference and display 1268 the selected nodes and their types in the table after each example. Highlighted blue words in the table **1269** indicate information related to the theme of the video. Evidently, the commonsense-enhanced video **1270** graph and theme graph assists our model in producing concepts and phrases relevant to the video segment. **1271** For instance in the second example, our model mentions relevant phrases like '*smiling to the camera*' **1272** and '*putting ornaments on the tree*' which were perhaps derived from selected nodes such as '*happy*', **1273** '*decoration*' and '*jingle*'. Conversely, other baseline models will sometimes mention concepts irrelevant **1274** to the video such as in the last instance where Text-KG mistakens a motorcycle for a '*car*'. Likewise, **1275** BMT incorrectly outputs '*brushing his face*'in contrast to our model which is capable of recognising the **1276** action of a person shaving his beard. **1277**



Ground Truth: Cut the avocado and place in a bowl. Cut a lime squeeze on the avocado and stir. Add 1 tbsp of olive oil to it and season it with a pinch of salt and stir. Lay bacon slice over the coin rack. Cut firm artisan bread into slices

MART: Cut a chicken into small pieces. Peel and cut the ginger. Add soy sauce and sesame oil to the pan. Cut the tomatoes into small pieces. Cut a strip into small pieces.

Ours: Slice the avocado and add to the salad. Squeeze some lemon juice on the salad. Heat some olive oil in a pan. Take the bacon pieces and put them on a baking tray and<br>add some olive oil and top it with bacon. Slice the





Ground Truth: People are putting together a Christmas tree. They put the lights onto the Christmas tree. They pour mugs of eggnog. They finish decorating the tree with bulbs.

MART: A woman is sitting in a chair in front of a Christmas tree. She puts the Christmas tree in a chair. She is decorating the tree. She puts a Christmas tree in the air.

PDVC: A woman is seen standing in front of a Christmas tree. The woman is putting a Christmas tree on the tree. The woman then begins to the tree and the woman is putting<br>the tree on the tree. The girl then takes a small b

Text-KG: Two women are seen sitting in a chair and speaking to one another. The women then put a Christmas tree into a bowl and end by presenting it to one another. They continue to play and end by presenting the tree and

BMT: Two girls are seen speaking to the camera while moving around the tree and leads into a tree. They put the cake on the tree and put it on the tree. They put lights on the tree. They put the lights on the tree and put them on the tree

Ours: Two people are seen standing before a Christmas tree speaking to one another and leads into several people decorating. The people then put a bow on the Christmas tree<br>and end by presenting it to the camera. The peopl speaking to one another





Ground Truth: A man is riding a motorcycle. He is putting shaving cream onto his face. He picks up a razor and begins shaving his beard.

MART: A man is sitting in a chair as he talks to the camera. A woman is sitting on a camel. He is then shown getting a tattoo on his face and then getting it off.

PDVC: A man is seen speaking to the camera and leads into a person speaking to the camera. A man is seen riding around a bike and leads into a man speaking to the camera. The <mark>man</mark> is seen speaking to the camera and leads into a <mark>man</mark> speaking to the came

Text-KG: A man is driving a car. A man is riding a bike in a parking lot. He is using a brush to blow dry his face.

BMT: We see a title screen, A man is seen sitting on a bike holding a bike and riding on a bike. A man is seen speaking to the camera and leads into him brushing his face off the sides of the mirror and speaking to the camera.

**Ours:** A close up of a bike is shown. A man is riding a bike down a track. He then uses a shaver to shave his beard.





# **K** More Qualitative Examples **1278**



Figure 8: Qualitative examples of generated captions using our model. Top 2 examples are from ActivityNet and bottom 2 examples are from YouCook2. Blue words in the machine-generated captions are visually grounding to the video, while red words represent irrelevant words that are 'hallucinated' by the model.