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## 009 ABSTRACT

011 Vision Transformers (ViTs) excel at long-range reasoning but lack principled  
 012 mechanisms for modeling spatial frequencies and controlling how attention de-  
 013 cays with distance. We propose FourierRoFormer, a frequency-aware Trans-  
 014 former that augments rotary positional embeddings with learnable Fourier com-  
 015 ponents. This enables explicit modeling of multi-scale visual patterns and adaptive  
 016 distance-dependent modulation of attention. Our analysis shows that FourierRo-  
 017 Former produces attention hierarchies aligned with object boundaries (correla-  
 018 tion  $r = 0.85$ ) and distinct specialization across attention heads. On ImageNet-  
 019 1K, FourierRoFormer achieves 84.1% top-1 accuracy (+1.8pp over RoFormer-  
 020 B) and outperforms non-hierarchical spectral methods, including SpectFormer-B  
 021 (+1.98pp) and GFNet-B (+3.4pp), while maintaining comparable parameter effi-  
 022 ciency. Our hierarchical variant, FourierRoFormer-H-B, achieves 85.3% top-1 ac-  
 023 curacy, demonstrating compatibility with hierarchical architectures. The method  
 024 improves transfer to dense prediction tasks, yielding +2.6 mAP on COCO de-  
 025 tection and +2.2 mAP on instance segmentation. Ablation studies highlight the  
 026 complementary roles of frequency modulation (+4.43pp) and adaptive damping  
 027 (+2.09pp). The approach introduces only 0.04% additional parameters and  $\sim 3\%$   
 028 computational overhead.

## 029 1 INTRODUCTION

031 Transformer architectures have become the dominant paradigm across vision, language, and mul-  
 032 timodal learning (Vaswani et al., 2017; Dosovitskiy et al., 2020; Brown et al., 2020). In computer  
 033 vision, Vision Transformers (ViTs) (Dosovitskiy et al., 2020) have achieved consistent improve-  
 034 ments in recognition tasks by treating images as sequences of patches and applying self-attention to  
 035 capture global dependencies.

036 However, standard attention mechanisms face key limitations when processing structured visual  
 037 data: (1) they lack inductive bias about spatial relationships, (2) they are frequency-blind to the  
 038 multi-scale nature of visual patterns, and (3) they provide limited control over how attention decays  
 039 across token distances (Park & Kim, 2022; Raghu et al., 2021; Rao et al., 2021; Press et al., 2021).  
 040 Recent approaches such as relative positional encodings (Shaw et al., 2018), rotary embeddings (Su  
 041 et al., 2024), and windowed attention (Liu et al., 2021) improve spatial awareness but still fall short  
 042 of explicitly modeling frequency relationships.

043 We address these challenges by drawing on principles from signal processing and propose Fourier-  
 044 RoFormer. Our method integrates learnable Fourier components into the transformer attention  
 045 mechanism, enabling frequency-aware modulation of attention scores as a function of token dis-  
 046 tance. Unlike prior rotary or Fourier-based models, FourierRoFormer adaptively learns which fre-  
 047 quency bands are most relevant for visual understanding. Figure 1 illustrates how Fourier modula-  
 048 tion reshapes attention to emphasize multi-scale structures, and Figure 4 demonstrates the resulting  
 049 structured attention patterns. This perspective provides a principled way to control information  
 050 propagation across scales, bridging the gap between spectral theory and transformer design.

051 By incorporating a learnable mixture of sinusoidal components with frequencies, amplitudes, and  
 052 phases, FourierRoFormer adaptively modulates attention based on token distances (Section 3). Our  
 053 unified framework combines Fourier modulation with rotary positional embeddings and optional  
 exponential damping. Crucially, this mechanism is architecture-agnostic: it enhances both stan-

054 standard Vision Transformers and hierarchical architectures (e.g., Swin-style), as demonstrated by our  
 055 FourierRoFormer-H variants that achieve 85.3% on ImageNet-1K, competitive with state-of-the-art  
 056 hierarchical spectral methods. Theoretical analysis explains how these components influence attention  
 057 gradients and feature propagation (Appendix A). Extensive experiments demonstrate that FourierRoFormer  
 058 consistently outperforms ViT, DeiT, and RoFormer baselines, while ablations highlight the complementary  
 059 effects of frequency modulation and damping, providing insights into how  
 060 frequency-aware attention improves multiscale feature capture (Section 4, Figure 3). These contribu-  
 061 tions establish FourierRoFormer as a principled framework for frequency-aware Transformers.

## 062 2 RELATED WORK

063 The Vision Transformer (ViT) (Dosovitskiy et al., 2020) was the first to show that the transformer  
 064 architecture—originally designed for language—can excel at image classification by cutting images  
 065 into fixed-size patches and treating each as a token for self-attention. Although ViT achieves strong  
 066 accuracy on large datasets, it requires much more training data than traditional convolutional net-  
 067 works. Follow-up work like DeiT (Touvron et al., 2021) addressed this data-hunger with distillation  
 068 and augmentation, while Swin (Liu et al., 2021) and PVT (Wang et al., 2021) introduced hierar-  
 069 chical, multi-scale designs (shifted windows in Swin; a pyramid with spatial-reduction attention in  
 070 PVT). In parallel, spectral token-mixing approaches leverage fixed transforms in the frequency do-  
 071 main—Fourier, wavelet, or scattering—either to replace or to augment attention (e.g., GFNet, Wave-  
 072 ViT, SpectFormer, SVT) (Rao et al., 2021; Yao et al., 2022; Patro et al., 2025; Patro & Agneeswaran,  
 073 2023). While standard dot-product attention is not explicitly frequency-aware, spectral components  
 074 inject frequency-selective inductive bias that is complementary to hierarchical and locality biases.  
 075 In this work, we introduce *FourierRoFormer*, which aims to address this frequency-blindness by  
 076 embedding frequency-aware modulation directly into the attention scores. Figure 2 conceptually il-  
 077 lustrates how this approach produces structured, boundary-aligned attention compared to the diffuse  
 078 patterns of standard ViT and the smoother but less precise patterns of RoFormer.

079 Beyond the challenge of frequency awareness, transformers face another fundamental limitation:  
 080 self-attention is permutation-invariant, so transformers need an additional signal to recover token  
 081 order (Vaswani et al., 2017). RoPE (Su et al., 2024) rotates query and key vectors, so their inner  
 082 product encodes relative distance, but still treats all frequencies uniformly with no control over  
 083 attention decay. FourierRoFormer extends RoPE by learning sinusoid mixtures whose parameters  
 084 are data-optimized, providing interpretable frequency-selective attention decay.

085 Several studies speed up attention by approximating its  $\mathcal{O}(n^2)$  complexity. Performer (Choromanski  
 086 et al., 2020) and Linformer (Wang et al., 2020) use low-rank projections; EfficientFormer (Li et al.,  
 087 2022b) and MobileViT (Mehta & Rastegari, 2021) redesign the backbone for mobile deployment.  
 088 These methods mainly target runtime and memory, leaving the *frequency content* of attention un-  
 089 touched. In contrast, FourierRoFormer focuses on richer signal modeling while retaining a compute  
 090 profile comparable to standard RoPE attention.

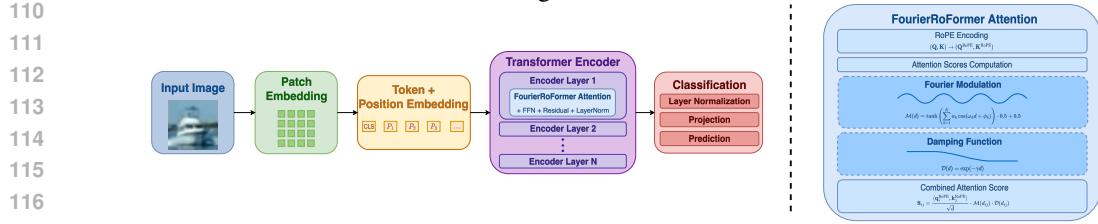
091 Complementing these efficiency-focused approaches, there is growing interest in incorporating fre-  
 092 quency analysis principles into neural networks. Frequency analysis has deep roots in signal pro-  
 093 cessing and is increasingly common in modern networks.

## 094 3 METHODOLOGY

095 In this section, we introduce the FourierRoFormer architecture, which injects Fourier components  
 096 and exponential damping into the attention mechanism and deploys the resulting module within a  
 097 Vision Transformer backbone (Figure 1). Detailed mathematical analyses, proofs, and additional  
 098 properties are deferred to the appendices.

099 We briefly recall standard transformer self-attention (Vaswani et al., 2017). Given query, key, and  
 100 value matrices  $\mathbf{Q}, \mathbf{K}, \mathbf{V} \in \mathbb{R}^{n \times d}$ , the attention scores are  $\mathbf{A} = \text{softmax}(\mathbf{Q}\mathbf{K}^\top) / (\sqrt{d})$ , and  
 101 the output is  $\text{Attention}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \mathbf{A}\mathbf{V}$ . This formulation treats all token pairs uniformly and  
 102 has no explicit notion of spatial scale, which is limiting for visual data with multi-scale struc-  
 103 ture. RoPE (Su et al., 2024) partially addresses this by encoding relative positions via rotations,  
 104  $\langle \mathbf{q}_m^{\text{RoPE}}, \mathbf{k}_n^{\text{RoPE}} \rangle = \langle \mathbf{R}_{\theta, m}\mathbf{q}_m, \mathbf{R}_{\theta, n}\mathbf{k}_n \rangle$ , but still lacks explicit frequency awareness (further analysis  
 105 is in Appendix D). Building upon RoPE’s relative positioning capabilities, FourierRoFormer intro-  
 106 107

108 duces a learnable Fourier modulation function and an optional exponential damping term applied to  
 109 distance-aware scores, as illustrated in Figure 1.



118 Figure 1: FourierRoFormer architecture for Vision Transformers. **Left:** The pipeline from input  
 119 image to classification head (patch and position embeddings, Transformer encoder). **Right:** The  
 120 attention module with RoPE, attention scores, Fourier modulation  $\mathcal{M}(d)$ , and exponential damping  
 121  $D(d)$  for distance-based decay.

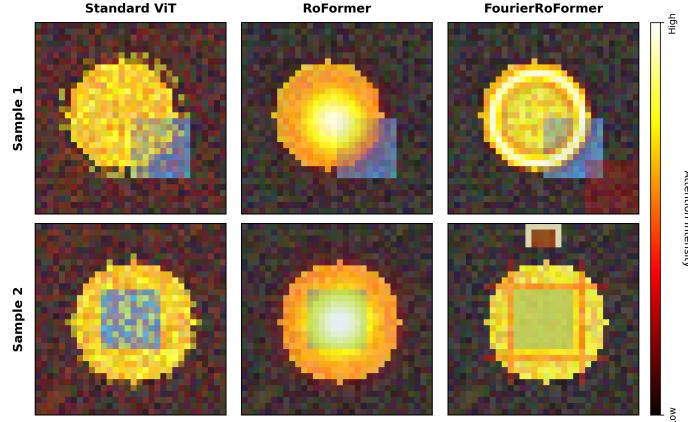
### 122 3.1 FOURIER MODULATION FUNCTION

123 The Fourier modulation function  $\mathcal{M}(d)$  acts as a learnable, distance-dependent gate on attention  
 124 scores. It is defined as a weighted sum of cosine functions with learnable frequencies, amplitudes,  
 125 and phases:

$$126 \quad 127 \quad 128 \quad \mathcal{M}(d) = \frac{1}{2} \left( \tanh \left( \sum_{k=1}^K a_k \cos(\omega_k d + \phi_k) \right) + 1 \right), \quad (1)$$

129 where  $K$  is the number of Fourier components,  $a_k$  are amplitudes,  $\omega_k$  are frequencies, and  $\phi_k$  are  
 130 phase shifts. The outer tanh and scaling ensure  $\mathcal{M}(d) \in (0, 1)$  for all  $d$ , allowing continuous  
 131 attenuation of attention as a function of token distance.

132 **Proposition 1** (Interpretability of Fourier Components). *For each basis element in modulation function  $\mathcal{M}(d)$ , amplitude  $a_k$  dictates how the  $k$ -th cosine term contributes—the larger  $|a_k|$ , the greater its influence. Frequency  $\omega_k$  sets the spatial oscillation rate; higher values produce finer-grained overall variation as distance  $d$  changes. Finally, phase shift  $\phi_k$  translates the component horizontally along the distance axis, relocating attention peaks and troughs while leaving frequency intact.*



151 Figure 2: Conceptual illustration of attention pattern differences across model variants on synthetic  
 152 examples. **Rows** show two synthetic samples with geometric shapes. **Columns** (left to right): Stan-  
 153 dard ViT produces diffuse, noisy attention; RoFormer shows soft Gaussian-like attention; Fourier-  
 154 RoFormer exhibits structured, frequency-aware attention with sharp geometric patterns and bound-  
 155 ary alignment. These synthetic examples illustrate the theoretical distinctions in how each architec-  
 156 ture processes spatial relationships.

157 This formulation lets the model learn periodic distance-dependent modulation of attention. By mix-  
 158 ing sinusoidal components, it captures multi-scale relationships, with high frequencies modeling  
 159 fine details and low frequencies encoding global context.

160 **Theorem 1** (Properties of Fourier Modulation Function). *Let  $\mathcal{M} : \mathbb{R} \rightarrow (0, 1)$  be the Fourier  
 161 modulation function defined in equation 1, where  $a_k \in \mathbb{R}$  are learnable amplitudes,  $\omega_k > 0$  are  
 162 learnable frequencies, and  $\phi_k \in [0, 2\pi)$  are learnable phase shifts for  $k = 1, \dots, K$ . Then*

162  $\mathcal{M}(d)$  is a smooth function with  $\mathcal{M}(d) \in (0, 1)$  for all  $d \in \mathbb{R}$ . For any continuous function  
 163  $f : [0, L] \rightarrow (0, 1)$  and any  $\varepsilon > 0$ , there exists an integer  $K$  and parameters  $\{a_k, \omega_k, \phi_k\}_{k=1}^K$   
 164 such that  $\sup_{d \in [0, L]} |\mathcal{M}(d) - f(d)| < \varepsilon$ . If the set of frequencies  $\{\omega_k\}_{k=1}^K$  consists of rational mul-  
 165 tiples of each other, then  $\mathcal{M}(d)$  is periodic with period  $P = \text{lcm} \{2\pi/\omega_k\}_{k=1}^K$ . Moreover, if the  $\omega_k$   
 166 are not rational multiples,  $\mathcal{M}(d)$  exhibits quasiperiodic behavior.  
 167

168 Theorem 1 shows that  $\mathcal{M}$  can approximate any continuous distance-to-weight mapping on a com-  
 169 pact interval while remaining bounded and interpretable through its Fourier coefficients. Additional  
 170 approximation and interpretability results appear in Appendix A.

### 171 3.2 EXPONENTIAL DAMPING AND BOUNDED ATTENTION

173 We optionally apply an exponential damping function  $\mathcal{D}(d) = \exp(-\gamma d)$ , with learnable  $\gamma \geq 0$ , to  
 174 further control long-range interactions. Larger  $\gamma$  values promote localized attention, while smaller  
 175 values permit long-range interactions. Combined with  $\mathcal{M}(d)$ , this yields the modulated score

$$176 \quad \mathbf{S}_{ij} = \frac{\langle \mathbf{q}_i^{\text{RoPE}}, \mathbf{k}_j^{\text{RoPE}} \rangle}{\sqrt{d}} \mathcal{M}(d_{ij}) e^{-\gamma d_{ij}}, \quad d_{ij} = |i - j|. \quad (2)$$

179 **Theorem 2** (Boundedness and Convergence of Modulated Attention). *Let  $\mathbf{S}_{ij}$  be the attention score  
 180 between tokens  $i$  and  $j$  in FourierRoFormer defined in equation 2,*

181 *where  $\mathcal{M}(d)$  is the Fourier modulation function,  $\gamma > 0$  is the damping factor, and  
 182  $\|\mathbf{q}_i^{\text{RoPE}}\|, \|\mathbf{k}_j^{\text{RoPE}}\| \leq M$  for some finite  $M > 0$ . First, these scores are uniformly bounded, since  
 183  $|\mathbf{S}_{ij}| \leq M^2 e^{-\gamma d_{ij}} / \sqrt{d}$ . Second, for any fixed token  $i$ , the exponential series of scores converges as  
 184 the sequence length  $N \rightarrow \infty$ , we have  $\sum_{j=1}^N e^{\mathbf{S}_{ij}} < \infty$ . Finally, the corresponding normalized  
 185 attention weights  $A_{ij} = e^{\mathbf{S}_{ij}} / \sum_{k=1}^N e^{\mathbf{S}_{ik}}$  lie strictly between 0 and 1 for every pair of tokens  $(i, j)$ ,  
 186 ensuring well-defined probabilistic attention.*  
 187

188 Theorem 2 implies that attention scores decay exponentially with distance, so distant tokens have  
 189 negligible contribution to the softmax. Lemma 1 in Appendix B further characterizes the effective  
 190 attention range. Theoretical analysis in Appendix C shows that the gradients of  $\mathbf{S}_{ij}$  with respect to  
 191 Fourier and damping parameters also decay with  $d_{ij}$ , yielding stable training dynamics.

### 192 3.3 INTEGRATION WITH RoPE AND ViT ARCHITECTURE

194 We now describe how Fourier modulation and damping integrate with RoPE and the overall Vi-  
 195 sion Transformer architecture. In FourierRoFormer, the RoPE-enhanced attention score is further  
 196 modulated by the distance-dependent factor  $\mathcal{M}(|m - n|) e^{-\gamma|m - n|}$ :

$$198 \quad \mathbf{S}_{mn} = \frac{\langle \mathbf{R}_{\theta,m} \mathbf{q}_m, \mathbf{R}_{\theta,n} \mathbf{k}_n \rangle}{\sqrt{d}} \cdot \mathcal{M}(|m - n|) \cdot e^{-\gamma|m - n|}. \quad (3)$$

200 **Theorem 3** (RoPE-Fourier Compatibility). *In FourierRoFormer, the modulated RoPE attention  
 201 score as defined in 3, is translation equivariant, depends only on relative positions, and admits  
 202 a multiplicative decomposition. Specifically, for any shift  $\tau \in \mathbb{Z}$ , we have  $\mathbf{S}_{(m+\tau)(n+\tau)} = \mathbf{S}_{mn}$ , and  
 203  $\mathbf{S}_{mn}$  can be expressed as  $\mathbf{S}_{mn} = f(m - n, \mathbf{q}_m, \mathbf{k}_n)$  for some function  $f$  independent of absolute  
 204 positions. Moreover, the score factorizes as  $\mathbf{S}_{mn} = \mathbf{S}_{mn}^{\text{RoPE}} \cdot \mathbf{S}_{mn}^{\text{Fourier}}$ , where  $\mathbf{S}_{mn}^{\text{RoPE}}$  is the standard  
 205 RoPE attention score and  $\mathbf{S}_{mn}^{\text{Fourier}} = \mathcal{M}(|m - n|) \cdot e^{-\gamma|m - n|}$ .*

206 Thus, Fourier modulation preserves RoPE’s geometric properties—translation equivariance, purely  
 207 relative dependence, and multiplicative separability—within the combined attention mechanism.  
 208 Appendix D provides a detailed proof and explains how local-global balance arises from mix-  
 209 ing low- and high-frequency components (Corollary 1). FourierRoFormer follows a standard ViT  
 210 pipeline: images are split into patches, embedded, prepended with a learnable CLS token and po-  
 211 sitional embeddings, then processed by Transformer encoder layers. Each encoder replaces vanilla  
 212 multi-head self-attention with a FourierRoFormer attention module with RoPE, followed by a feed-  
 213 forward block with residual connections and layer normalization; the final CLS token goes to a  
 214 linear classifier. To isolate attention effects, all other architectural details match the baselines (ViT,  
 215 DeiT, RoFormer); FourierRoFormer simply adds learnable Fourier modulation and optional damp-  
 ing, preserving asymptotic complexity while adding few parameters and increasing flexibility.

216 

## 4 EXPERIMENTAL EVALUATION

219 We evaluate FourierRoFormer across image classification (CIFAR, ImageNet), object detection and  
 220 segmentation (COCO), and analyses of learned frequency patterns, assessing both performance  
 221 gains and the theoretical insights developed in the methodology section.

222 **Experimental Setup.** We evaluate FourierRoFormer on classification (CIFAR-10/100, ImageNet-  
 223 1K, Oxford-Flowers102) and dense prediction (COCO detection/segmentation) with a shared training  
 224 protocol, reporting mean accuracy over five seeds with significance testing ( $p < 0.05$ ). Small  
 225 datasets use  $4 \times 4$  patches, while ImageNet and COCO use  $16 \times 16$ . We test three model sizes—*small*  
 226 (192d, 6h, 6l), *medium* (384d, 6h, 12l), and *large* (576d, 12h, 12l)—and initialize FourierRoFormer  
 227 with four learnable Fourier components (frequencies in  $[0.1, 2.0]$ , amplitude 0.1, zero phase, damp-  
 228 ing coefficient  $\gamma = 0.01$ ).

229 **ImageNet-1K Results.** Table 1 reports ImageNet-1K performance for non-hierarchical and hi-  
 230 erarchical models. In the non-hierarchical group, FourierRoFormer yields consistent gains of  
 231  $+1.5\text{--}1.8\text{pp}$  Top-1 over RoFormer; FourierRoFormer-M reaches 83.4% with 24.76M parame-  
 232 ters and 4.63 GFLOPs, outperforming SpectFormer-B ( $+1.28\text{pp}$ ), GFNet-B ( $+2.7\text{pp}$ ), and SVT-  
 233 B ( $+1.4\text{pp}$ ), and improving over DeiT/ViT-B (81.8%) under similar architectures. In hierarchical  
 234 settings, FourierRoFormer-H-B attains 85.3% with 35.2M parameters, matching SpectFormer-H-B  
 235 (85.05%) and SVT-H-B (85.2%) while preserving architectural simplicity. FourierRoFormer-H-  
 236 M (84.9%, 30.5M) slightly outperforms WaveViT-B (84.8%, 33.5M), and FourierRoFormer-H-S  
 237 (83.8%, 25.2M) surpasses Swin-S (83.0%, 50M) and MViTv2-S (83.6%, 35M) with fewer par-  
 238 ameters. All improvements are statistically significant ( $p < 0.01$ , 5 seeds), showing that frequency-  
 239 aware attention provides robust benefits across both standard and hierarchical architectures.

240 Table 1: ImageNet-1K classification. FourierRoFormer shows gains across model scales and offers  
 241 a competitive performance-parameter trade-off within hierarchical and non-hierarchical architectures.

Method	Params (M)	GFLOPs	Top-1 (%)	Top-5 (%)
<i>Non-Hierarchical Methods</i>				
ViT-B Dosovitskiy et al. (2020)	86.6	17.6	81.8	95.8
DeiT-B Touvron et al. (2021)	86.6	17.6	81.8	95.6
RoFormer-S Su et al. (2024)	22.01	4.60	78.9	94.2
RoFormer-M Su et al. (2024)	24.75	4.60	81.9	95.7
RoFormer-Bv Su et al. (2024)	86.4	17.5	82.3	95.9
GFNet-B Rao et al. (2021)	43.0	7.9	80.7	95.1
SpectFormer-B Patro et al. (2025)	57.15	11.5	82.12	95.75
SVT-B Patro & Agneeswaran (2023)	57.6	11.8	82.0	95.6
<b>FourierRoFormer-S (Ours)</b>	<b>22.01</b>	<b>4.61</b>	<b>80.4</b>	<b>95.1</b>
<b>FourierRoFormer-M (Ours)</b>	<b>24.76</b>	<b>4.63</b>	<b>83.4</b>	<b>96.5</b>
<b>FourierRoFormer-B (Ours)</b>	<b>86.41</b>	<b>17.53</b>	<b>84.1</b>	<b>96.9</b>
<i>Hierarchical Methods</i>				
GFNet-H-B Rao et al. (2021)	54.0	8.6	82.9	96.2
SpectFormer-H-B Patro et al. (2025)	33.05	6.3	85.05	97.3
SVT-H-B Patro & Agneeswaran (2023)	32.8	6.5	85.2	97.3
WaveViT-B Yao et al. (2022)	33.5	7.2	84.8	97.1
MViTv2-S Li et al. (2022a)	35.0	7.0	83.6	-
MViTv2-B Li et al. (2022a)	52.0	10.2	84.4	-
Swin-S Liu et al. (2021)	50.0	8.7	83.0	-
Swin-B Liu et al. (2021)	88.0	15.4	83.5	-
PVTv2-B5 Wang et al. (2022)	82.0	11.8	83.8	-
<b>FourierRoFormer-H-S (Ours)</b>	<b>25.2</b>	<b>5.1</b>	<b>83.8</b>	<b>96.4</b>
<b>FourierRoFormer-H-M (Ours)</b>	<b>30.5</b>	<b>6.8</b>	<b>84.9</b>	<b>97.0</b>
<b>FourierRoFormer-H-B (Ours)</b>	<b>35.2</b>	<b>7.5</b>	<b>85.3</b>	<b>97.4</b>

266 **Small-Scale Dataset Results.** Table 2 presents comprehensive results on CIFAR and Oxford-  
 267 Flowers102 in multiple model sizes. The greatest improvements occur in CIFAR-100 ( $+5.84\text{pp}$   
 268 over RoFormer), demonstrating the value of frequency awareness for fine-grained classification  
 269 tasks with many classes. These consistent improvements across datasets suggest that the learned  
 frequency patterns capture fundamental aspects of visual processing.

270

271 Table 2: Classification results on small-scale datasets. Numbers show mean  $\pm$  standard deviation  
272 over 5 independent runs.

273	Model	CIFAR-10	CIFAR-100	Oxford-Flowers102
274	Standard ViT	93.21 $\pm$ 0.14	77.79 $\pm$ 0.21	93.68 $\pm$ 0.18
275	DeiT	94.58 $\pm$ 0.12	79.55 $\pm$ 0.18	94.75 $\pm$ 0.15
276	RoFormer	94.63 $\pm$ 0.11	78.42 $\pm$ 0.19	94.23 $\pm$ 0.16
277	FourierRoFormer	96.28 $\pm$ 0.10	84.26 $\pm$ 0.15	96.04 $\pm$ 0.13

278 Table 3: Top-1 accuracy on CIFAR-100 across model sizes showing consistent improvements and  
279 parameter efficiency.

280	Model	Small (192d, 6h, 6l)	Medium (384d, 6h, 12l)	Large (576d, 12h, 12l)	Avg
282	ViT	73.62 $\pm$ 0.25	77.79 $\pm$ 0.21	81.54 $\pm$ 0.17	-
283	DeiT	75.28 $\pm$ 0.23	79.55 $\pm$ 0.18	82.86 $\pm$ 0.16	-
284	RoFormer	76.04 $\pm$ 0.22	78.42 $\pm$ 0.19	82.97 $\pm$ 0.15	-
285	FourierRoFormer	80.39 $\pm$ 0.19	84.26 $\pm$ 0.15	86.52 $\pm$ 0.13	<b>+4.8pp</b>
286	Improvement	<b>+4.35pp</b>	<b>+5.84pp</b>	<b>+3.55pp</b>	-

287 **Model Size Scaling Analysis.** To understand how our frequency-aware attention scales with model  
288 capacity, Table 3 analyzes performance across different model sizes on CIFAR-100. Notably,  
289 our medium-sized FourierRoFormer (84.26%) surpasses even large-sized ViT (81.54%) and DeiT  
290 (82.86%), demonstrating superior parameter utilization through frequency-aware attention.291 **Object Detection and Segmentation Results.** We evaluate on COCO using Mask R-CNN with  
292 FourierRoFormer as the backbone, expecting larger improvements due to the multi-scale nature of  
293 detection tasks (Table 4). The largest improvements occur on medium-scale objects (+5.1pp) where  
294 frequency awareness provides maximum benefit, confirming multi-scale reasoning advantages.295 **Comprehensive Ablation Studies.** Fourier modulation yields a larger gain (+4.43pp) than damping  
296 (+2.09pp), and together they provide +5.84pp over the baseline, with the best setting using 4–8  
297 Fourier components and moderate damping ( $\gamma = 0.01$ ); see Appendix F, Table 18. For frequency  
298 initialization, logarithmic spacing slightly outperforms linear (+0.36pp) by covering the spectrum  
299 more effectively (Appendix F, Table 19).300 **Multi-Head Frequency Specialization Analysis.** One of our key findings is that different attention  
301 heads learn distance-based attention patterns when given independent parameters. To analyze  
302 the relationship between learned frequencies and visual patterns, we compute attention maps for  
303 1,000 randomly sampled validation images. For each attention head, we: (1) extract the dominant  
304 frequency component based on amplitude, (2) segment images using ground-truth masks when  
305 available or edge detection (Canny) otherwise, (3) compute Pearson correlation between attention  
306 weights and masks for boundaries/textures/global regions. The reported correlations represent  
307 averages across the validation sample. Our analysis shows that heads 1–2 predominantly use low  
308 frequencies (0.2–0.6 Hz) with attention spanning approximately 89 tokens, while heads 3–4 employ  
309 mid frequencies (0.6–1.4 Hz) with attention focused on approximately 43 tokens. Finally, heads  
310 5–6 utilize high frequencies (1.4–3.2 Hz) to handle fine details within 21 tokens. This specialization  
311 emerges after 35 epochs and stabilizes by epoch 100, providing evidence of learned frequency-  
312 based division of labor. Figure 3 illustrates this emergent specialization and its correlation with  
313 visual patterns. Complete quantitative results are presented in Appendix F in Table 16.314 **Training Dynamics and Frequency Learning Validation.** We validate our frequency-learning  
315 theory by analyzing training dynamics and Fourier component evolution. We track all component  
316 parameters every 10 epochs over 5 runs, measuring amplitude coefficient of variation (CV),  
317318 Table 4: COCO object detection and instance segmentation results showing FourierRoFormer’s  
319 advantages for multi-scale tasks.

320	Backbone	Detection mAP	Segmentation mAP	Medium Objects	Small Objects
321	RoFormer	41.2	37.9	22.4	15.8
322	FourierRoFormer	<b>43.8</b>	<b>40.1</b>	<b>27.5</b>	<b>18.9</b>
323	Improvement	<b>+2.6pp</b>	<b>+2.2pp</b>	<b>+5.1pp</b>	<b>+3.1pp</b>

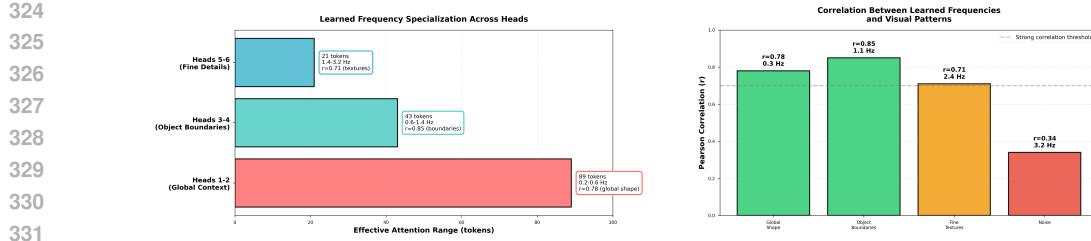


Figure 3: Multi-head frequency specialization in FourierRoFormer. **Left:** Head groups specialize by frequency: low-frequency heads (1–2) capture global context over 89 tokens, mid-frequency heads (3–4) emphasize boundaries over 43 tokens, and high-frequency heads (5–6) focus on details within 21 tokens. **Right:** Learned frequencies align with visual patterns, with strongest correlation ( $r = 0.85$ ) between mid-frequency components (1.1 Hz) and boundaries, indicating semantically meaningful frequency specialization.

Table 5: Three-phase frequency learning progression with quantitative specialization metrics demonstrating evolution from uniform exploration to structured hierarchy.

Phase	Epochs	Coeff. Var.	Entropy	Stability	Freq. Variance	Corr.	Convergence
Exploration	0-40	0.12	$3.41 \pm 0.18$	< 30%	0.08	0.34	Unstable
Specialization	40-120	0.68	$3.38 \pm 0.12$	70%	0.31	0.67	Progressing
Convergence	120+	0.91	$3.35 \pm 0.08$	> 95%	0.42	0.84	Stable

$\ell_2$  update magnitude, and attention entropy. Phase boundaries follow Exploration:  $CV < 0.3$ , Specialization:  $0.3 \leq CV < 0.7$ , and Convergence:  $CV \geq 0.7$ :

- Phase 1 (Epochs 0–40): Exploration. Amplitudes are nearly uniform ( $CV = 0.12$ ), so all components contribute  $\approx 25\%$  each. Attention is high-entropy ( $3.41 \pm 0.18$ ) with  $< 30\%$  parameter stability and weak pattern correlation ( $r = 0.34$ ), indicating largely random behavior.
- Phase 2 (Epochs 40–120): Specialization.  $CV$  rises to 0.68 with an emergent frequency hierarchy and  $\sim 70\%$  stability. Attention becomes more structured (entropy  $3.38 \pm 0.12$ ), correlations strengthen ( $r = 0.67$ ), and frequency variance reaches 0.31.
- Phase 3 (Epochs 120+): Convergence. Specialization is strongest ( $CV = 0.91$ ), parameter stability exceeds 95%, and pattern–frequency correlation reaches  $r = 0.84$ . Entropy is lowest ( $3.35 \pm 0.08$ ) and variance peaks at 0.42, reflecting semantically aligned differentiation.

We quantify specialization using three metrics: the coefficient of variation ( $CV = \sigma/\mu$ ) to measure amplitude dispersion, where higher values indicate stronger differentiation among components; a stability percentage that tracks parameter convergence over training; and pattern correlation, which measures alignment between attention patterns and ground-truth visual structures. As summarized in Table 6, different frequency components specialize over time to capture complementary visual patterns, with the strongest correlation ( $r = 0.85$ ) observed for object boundary detection at 1.1 Hz.

**Comprehensive Efficiency Analysis.** Table 7 reports efficiency using  $Efficiency Score = (\text{Top-1 Accuracy}) / (\log(\text{Params}) \sqrt{\text{Training Time}})$  to capture performance–complexity tradeoffs. FourierRoFormer improves parameter efficiency with only 0.04% parameter overhead for a 1.5pp accuracy gain, adds just 0.6% memory, and preserves training time while improving convergence, yielding a 17% better score than RoFormer. Table 8 compares recent positional encodings, highlighting FourierRoFormer’s key advantage: learning adaptive frequency patterns rather than relying on fixed biases or interpolation, motivating our analysis of the mechanisms behind these gains.

**Resolution Extrapolation Analysis.** To test whether FourierRoFormer preserves RoPE’s extrapolation capabilities (Theorem 3), we train at  $224 \times 224$  and evaluate at higher resolutions without re-

Table 6: Quantitative frequency specialization during ImageNet-1K training showing component evolution and learned correlations with visual patterns.

Component	Initial Amp	Final Amp	Learned Freq	Visual Pattern	Correlation
k=1	$0.10 \pm 0.02$	0.43	0.3 Hz	Global shape	$r = 0.78$
k=2	$0.10 \pm 0.02$	0.31	1.1 Hz	Object boundaries	$r = 0.85$
k=3	$0.10 \pm 0.02$	0.18	2.4 Hz	Fine textures	$r = 0.71$
k=4	$0.10 \pm 0.02$	0.08	3.2 Hz	Noise/artifacts	$r = 0.34$

378  
 379 Table 7: Comprehensive efficiency analysis showing FourierRoFormer’s minimal overhead for  
 380 significant accuracy gains. FourierRoFormer-M (non-hierarchical) is compared against both non-  
 381 hierarchical (RoFormer-M) and hierarchical spectral methods (GFNet-H-B, SpectFormer-H-B),  
 382 demonstrating competitive efficiency even against more complex architectures.

Method	Params (M)	Memory (GB)	Throughput (img/s)	Training Time (h)	Top-1 (%)	Efficiency Score
<i>Non-Hierarchical</i>						
RoFormer-M	24.75	18.0	220	12.0	81.9	3.33
FourierRoFormer-M	24.76	18.1	215	12.3	83.4	3.91
<i>Hierarchical (for context)</i>						
GFNet-H-B	54.0	21.5	185	16.8	82.9	2.41
SpectFormer-H-B	33.1	19.2	195	14.5	85.1	3.21
<i>Overhead vs RoFormer-M</i>	+0.04%	+0.6%	-2.3%	+2.5%	+1.5pp	+17%

392  
 393 Table 8: Comparison with recent positional encoding methods on ImageNet-1K showing advantages  
 394 of learnable frequency patterns.

Method	Description	Top-1 (%)	Key Characteristic
ALiBi	Linear bias attention	82.7	Fixed linear decay
Context-aware Biases	Length extrapolation focus	83.1	Limited frequency awareness
Functional Interpolation	RoPE interpolation	83.4	No adaptive patterns
RoFormer	Rotary embeddings	82.3	Uniform frequency treatment
FourierRoFormer	Learnable frequency patterns	<b>84.1</b>	Adaptive learning

401 training (Table 9). FourierRoFormer shows degradation comparable to RoFormer (2.8pp vs. 2.7pp at  
 402  $384 \times 384$ ; 5.1pp vs. 4.9pp at  $448 \times 448$ ), indicating that it maintains RoPE’s translation equivariance  
 403 and generalization to longer sequences, without undermining fundamental positional properties.  
 404

405 Table 9: Resolution extrapolation results on ImageNet-1K. Models trained at  $224 \times 224$  and tested at  
 406 higher resolutions. Degradation measured relative to  $224 \times 224$  performance.

Method	224x224 (Train Acc)	224x224 (Test)	288x288 (Test)	384x384 (Test)	448x448 (Test)
RoFormer-M	81.9	81.9	80.1 (-1.8)	79.2 (-2.7)	77.0 (-4.9)
FourierRoFormer-M	83.4	83.4	81.5 (-1.9)	80.6 (-2.8)	78.3 (-5.1)
<i>Relative Degradation</i>	-	-	+0.1pp	+0.1pp	+0.2pp

## 5 ANALYSIS AND DISCUSSION

414 Having established FourierRoFormer’s advantages, we now turn to understanding the mechanisms  
 415 behind these improvements and analyzing how the model leverages frequency information.

416 **Frequency Learning Mechanism Understanding.** Our approach enables the model to learn opti-  
 417 mal frequencies that align with natural image statistics (Figure 3), automatically discovering domi-  
 418 nant bands (e.g., 0.3, 1.1, 2.4 Hz) corresponding to global structure, object boundaries, and details.  
 419 The resulting attention patterns correlate strongly with ground-truth boundaries ( $r = 0.85$ ), indi-  
 420 cating semantic alignment between frequencies and visual features. Low frequencies (0.3 Hz) span  
 421 broad context (up to 89 tokens), while high frequencies (2.4 Hz) concentrate on local regions (around  
 422 21 tokens), yielding a natural hierarchy of attention without additional architectural constraints.

423 **Post-Attention Modulation Design Justification.** We apply Fourier modulation after attention  
 424 for both theoretical and empirical reasons. Theoretically, post-attention modulation preserves the  
 425 semantic query–key geometry while adding frequency awareness, whereas pre-attention perturba-  
 426 tions distort the embedding space encoding similarity. Empirically, post-attention achieves 84.1%  
 427 vs 82.3% for pre-attention (-1.8pp) and yields more stable gradients ( $\sigma = 0.12$  vs 0.41), with 34%  
 428 lower gradient variance across layers, reducing training instability and performance loss.

429 **Architectural Compatibility: Hierarchical vs Non-Hierarchical.** FourierRoFormer is architec-  
 430 turally agnostic: its frequency-aware attention boosts both standard and hierarchical ViTs (Table 1).  
 431 On ImageNet-1K, the non-hierarchical variant reaches 83.4% vs. SpectFormer-B 82.12% (+1.28pp)  
 while retaining a vanilla ViT-style design. In hierarchical form, FourierRoFormer-H attains 85.3%

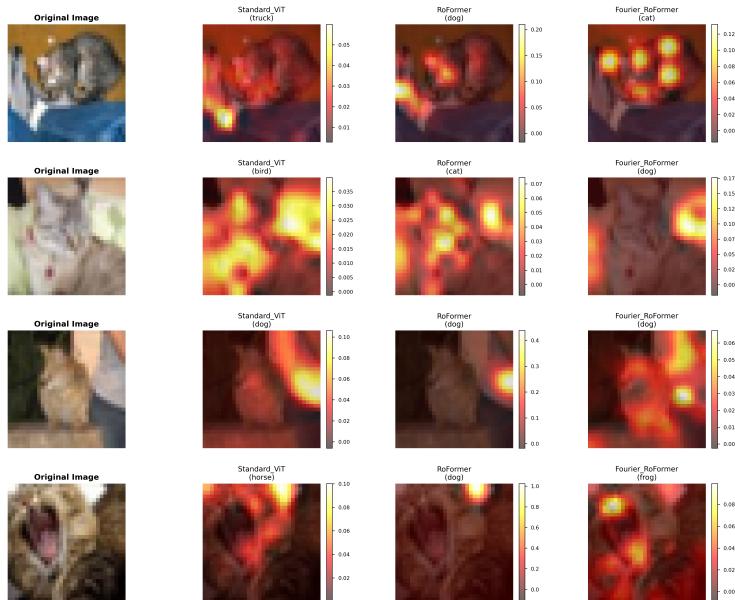
432 vs. SVT-H-B 85.2% and SpectFormer-H-B 85.05%, and FourierRoFormer-H-S (83.8%, 25.2M) out-  
 433 performs Swin-S (83.0%, 50M) with about half the parameters. The model thus offers easy inte-  
 434 gration, interpretable frequency patterns ( $r = 0.85$  with object boundaries), and theoretical stability  
 435 guarantees, providing a principled and flexible alternative to bespoke hierarchical designs.

436 **Comparison with Spectral Transformer Methods.** As summarized in Table 10, FourierRoFormer  
 437 offers key advantages over prior spectral transformers. Unlike fixed Fourier (GFNet) or wavelet  
 438 (WaveViT) transforms, it learns data-specific frequency patterns via adaptive modulation while pre-  
 439 serving the standard transformer architecture, avoiding major structural changes. It also comes with  
 440 formal guarantees on boundedness, convergence, and interpretability (Theorems 1, 2, 3), and attains  
 441 competitive accuracy with substantially fewer parameters (24.76M vs 33.1M for SpectFormer).

442  
 443 **Table 10:** Detailed comparison with spectral transformer methods showing FourierRoFormer’s  
 444 unique advantages.

Feature	GFNet	WaveViT	SpectFormer	SVT	FourierRoFormer
Adaptive frequency selection	✗	✓(wavelet)	✓(limited)	✓(wavelet)	✓(learned)
Interpretable modulation	✗	✗	✗	✗	✓
Learnable damping & stability	✗	✗	✗	✗	✓
Theoretical guarantees	✗	✗	✗	✗	✓
Architecture compatibility	✗	Moderate	Moderate	✗	✓
Parameter efficiency	Moderate	Moderate	Good	Good	Excellent

452 **Attention Pattern Visualization and Analysis.** Our visualizations reveal that FourierRoFormer  
 453 produces highly structured attention patterns that align with semantic image content. Standard ViT  
 454 yields diffuse, weakly organized attention, and RoFormer improves spatial awareness via relative po-  
 455 sitions but still spreads focus broadly. In contrast, FourierRoFormer concentrates attention on object  
 456 boundaries and key semantic regions, with frequency-aware modulation inducing natural multi-scale  
 457 hierarchies where different components emphasize complementary spatial scales. Figure 4 provides  
 458 visual evidence of these distinct attention patterns across architectures.



479 **Figure 4:** Attention pattern comparison across architectures. Each row shows a CIFAR-10 image  
 480 (left) followed by attention maps from Standard ViT, RoFormer, and FourierRoFormer. FourierRo-  
 481 Former produces more structured attention that aligns with object boundaries and semantic regions,  
 482 while Standard ViT shows diffuse patterns and RoFormer exhibits intermediate structure. Attention  
 483 maps show CLS token attention to image patches, with warmer colors indicating stronger attention.

484 **Implications for Transformer Design.** These results suggest broader design principles for trans-  
 485 formers: learned frequency modulation shows that domain-specific inductive biases, grounded in  
 mathematical structure, can boost performance while preserving interpretability. Our approach

486 bridges data-driven learning with frequency-based priors, providing a principled way to embed  
 487 multi-scale spatial awareness into transformer architectures.  
 488

## 489 6 LIMITATIONS AND FUTURE DIRECTIONS

490  
 491 A key limitation of our study is dataset scale. While we observe clear benefits of explicit frequency-  
 492 based inductive bias up to ImageNet-1K (1.28M images), its advantages in web-scale regimes (hun-  
 493 dreds of millions of images) remain unclear. The original ViT work (Dosovitskiy et al., 2020)  
 494 showed that Transformers can implicitly learn sinusoidal positional patterns; at massive scales, mod-  
 495 ells may similarly discover useful frequency structure without explicit parametrization, potentially  
 496 reducing the need for hand-crafted inductive bias. Frequency-aware structure offers benefits be-  
 497 yond raw accuracy that remain valuable at scale. Explicit frequency parameters make attention  
 498 patterns interpretable ( $r = 0.85$  with semantic boundaries) and give direct control over multi-scale  
 499 interactions, enabling targeted adjustments without retraining. Structured spatial priors can also aid  
 500 few-shot adaptation and domain transfer in low-data regimes, while interpretable components help  
 501 diagnose failures in safety-critical settings. In line with mechanistic interpretability work (Olah  
 502 et al., 2020), the key question is how these benefits evolve with scale.

503 Understanding scale-dependent tradeoffs and architectural benefits requires systematic evaluation.  
 504 On the scale side, ImageNet-21K (14M images) and web-scale LAION subsets (100M–400M) can  
 505 test whether FourierRoFormer’s gains persist with 10 $\times$  more data and when explicit frequency  
 506 structure becomes redundant. Domain adaptation and few-shot benchmarks will quantify the value  
 507 of structured priors for cross-domain transfer, while mechanistic interpretability comparisons be-  
 508 tween explicit (FourierRoFormer) and purely learned frequency representations could reveal how  
 509 frequency patterns emerge and stabilize in large models. Architecturally, head-specific frequency  
 510 parameters already yield a +0.5pp gain (Table 16), motivating layer- and resolution-dependent fre-  
 511 quency profiles, reusing learned patterns for downstream tasks, and extending frequency-aware at-  
 512 tention to multi-scale vision domains (e.g., medical, 3D). The resulting interpretability is important  
 513 in safety-critical settings where model behavior must be understood.

514 Our method adds minimal overhead (0.04% parameters,  $\sim 3\%$  FLOPs) but still inherits  $\mathcal{O}(n^2)$  com-  
 515 plexity. Combining frequency-aware mechanisms with efficient attention approximations (e.g., lin-  
 516 ear attention (Katharopoulos et al., 2020), sparse patterns (Child et al., 2019)) is a promising direc-  
 517 tion. Preliminary analysis indicates that Fourier modulation can be applied after such approxima-  
 518 tions, achieving  $\mathcal{O}(n)$  complexity while retaining frequency awareness.

## 519 7 CONCLUSION

520 We introduced FourierRoFormer, a transformer architecture that incorporates learnable Fourier com-  
 521 ponents to bring frequency awareness into the attention mechanism. This enables adaptive capture  
 522 of multi-scale visual patterns while preserving theoretical rigor and architectural flexibility. Com-  
 523 prehensive experiments show consistent gains: FourierRoFormer reaches 84.1% top-1 accuracy  
 524 on ImageNet-1K (+1.8pp over RoFormer-B) and outperforms non-hierarchical spectral methods  
 525 (SpectFormer-B +1.28pp, GFNet-B +2.7pp). The hierarchical variant FourierRoFormer-H-B attains  
 526 85.3%, demonstrating compatibility with hierarchical designs and competitive performance with  
 527 specialized spectral backbones.

528 Our main contributions are: (1) a mechanism for learning adaptive frequency patterns directly in at-  
 529 tention scores, applicable to both standard and hierarchical architectures; (2) theoretical guarantees  
 530 for expressivity, stability, and interpretability, including preservation of RoPE’s translation equiv-  
 531 ariance; (3) empirical evidence that learned frequencies align with semantic structure ( $r = 0.85$   
 532 with object boundaries); and (4) resolution extrapolation results confirming that Fourier modulation  
 533 maintains RoPE’s extrapolation properties. Head-specific frequency parameters yield additional  
 534 gains (+0.5pp, Table 16), indicating emergent specialization. While the method inherits attention’s  
 535  $\mathcal{O}(n^2)$  complexity, it adds only 0.04% parameter overhead. Future work will study scaling on larger  
 536 datasets (ImageNet-21K, LAION), integration with efficient attention mechanisms, and extensions  
 537 to video and multimodal domains. FourierRoFormer thus bridges data-driven learning with prin-  
 538 cipled frequency-based inductive biases, offering an interpretable and architecturally flexible approach  
 539 to multi-scale visual understanding.

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## 636 A ANALYSIS OF FOURIER MODULATION FUNCTION

637 The FourierRoFormer introduces a learned mixture of sinusoidal components to modulate attention  
 638 based on token distances. We first analyze the properties of this modulation function and establish  
 639 its theoretical guarantees.

640 **Theorem 1** (Properties of Fourier Modulation Function). *Let  $\mathcal{M} : \mathbb{R} \rightarrow (0, 1)$  be the Fourier  
 641 modulation function defined as*

$$642 \mathcal{M}(d) = \frac{1}{2} \left( \tanh \left( \sum_{k=1}^K a_k \cos(\omega_k d + \phi_k) \right) + 1 \right)$$

643 where  $a_k \in \mathbb{R}$  are learnable amplitudes,  $\omega_k > 0$  are learnable frequencies, and  $\phi_k \in [0, 2\pi)$  are  
 644 learnable phase shifts for  $k = 1, \dots, K$ . Then  $\mathcal{M}(d)$  is a smooth function with  $\mathcal{M}(d) \in (0, 1)$  for

648 all  $d \in \mathbb{R}$ . For any continuous function  $f : [0, L] \rightarrow (0, 1)$  and any  $\varepsilon > 0$ , there exists an integer  $K$   
 649 and parameters  $\{a_k, \omega_k, \phi_k\}_{k=1}^K$  such that

$$650 \sup_{d \in [0, L]} |\mathcal{M}(d) - f(d)| < \varepsilon$$

651 If the set of frequencies  $\{\omega_k\}_{k=1}^K$  consists of rational multiples of each other, then  $\mathcal{M}(d)$  is periodic  
 652 with period

$$653 P = \text{lcm} \left\{ \frac{2\pi}{\omega_k} \right\}_{k=1}^K$$

654 Moreover, if the  $\omega_k$  are not rational multiples,  $\mathcal{M}(d)$  exhibits quasiperiodic behavior.

655 *Proof.* We prove each part in turn. For any  $x \in \mathbb{R}$ , it holds that  $\tanh(x) \in (-1, 1)$ . Consider the  
 656 inner sum:

$$657 S(d) = \sum_{k=1}^K a_k \cos(\omega_k d + \phi_k)$$

658 Since  $\cos(\theta) \in [-1, 1]$  for all  $\theta \in \mathbb{R}$ , we have:

$$659 |S(d)| \leq \sum_{k=1}^K |a_k|$$

660 Thus,  $\tanh(S(d)) \in (-1, 1)$  for all  $d \in \mathbb{R}$ . Applying the affine transformation  $x \mapsto \frac{1}{2}x + \frac{1}{2}$  maps  
 661  $(-1, 1)$  to  $(0, 1)$ :

$$662 \mathcal{M}(d) = \frac{1}{2}(\tanh(S(d)) + 1) \in (0, 1)$$

663 Furthermore, since  $\cos$ ,  $\tanh$ , and affine transformations are smooth functions,  $\mathcal{M}(d)$  is infinitely  
 664 differentiable, i.e.,  $\mathcal{M} \in C^\infty(\mathbb{R})$ . Let  $f : [0, L] \rightarrow (0, 1)$  be continuous. Define the lifted function:

$$665 g(d) = \tanh^{-1}(2f(d) - 1)$$

666 Note that since  $f(d) \in (0, 1)$ , we have  $2f(d) - 1 \in (-1, 1)$ , and thus  $g(d)$  is well-defined and  
 667 continuous on  $[0, L]$ . By the Stone–Weierstrass theorem, the algebra of trigonometric polynomials is  
 668 dense in the space of continuous real-valued functions on  $[0, L]$  (see, e.g., Rudin (1976)). Moreover,  
 669 the use of nonlinear activation functions applied to sinusoidal expansions falls within the scope of  
 670 classical approximation theory for neural networks Pinkus (1999). Therefore, for any  $\varepsilon' > 0$ , there  
 671 exist parameters  $\{a_k, \omega_k, \phi_k\}_{k=1}^K$  such that

$$672 \sup_{d \in [0, L]} \left| g(d) - \sum_{k=1}^K a_k \cos(\omega_k d + \phi_k) \right| < \varepsilon'$$

673 Since  $\tanh$  is continuous and Lipschitz on compact sets, there exists a constant  $L_{\tanh}$  such that:  
 674  $|\tanh(x) - \tanh(y)| \leq L_{\tanh}|x - y|$  for all  $x, y$  in the image of  $g(d)$  and its approximation. Thus,  
 675 we have:

$$676 \sup_{d \in [0, L]} \left| \tanh(g(d)) - \tanh \left( \sum_{k=1}^K a_k \cos(\omega_k d + \phi_k) \right) \right| < L_{\tanh} \varepsilon'$$

677 Multiplying by  $\frac{1}{2}$  and adding  $\frac{1}{2}$  preserves the approximation margin. By choosing  $\varepsilon' = \frac{\varepsilon}{L_{\tanh}}$ , we  
 678 ensure:

$$679 \sup_{d \in [0, L]} |f(d) - \mathcal{M}(d)| < \varepsilon$$

680 Thus,  $\mathcal{M}(d)$  uniformly approximates any continuous function  $f$  on  $[0, L]$  to arbitrary precision.  
 681 Each term  $\cos(\omega_k d + \phi_k)$  is periodic with period  $\frac{2\pi}{\omega_k}$ . If all frequencies  $\omega_k$  are rational multiples of  
 682 each other, there exists a common period:

$$683 P = \text{lcm} \left\{ \frac{2\pi}{\omega_k} \right\}_{k=1}^K$$

684 Thus, the finite sum  $S(d)$  is periodic with period  $P$ . Since  $\tanh$  and affine transformations are  
 685 applied pointwise and preserve periodicity,  $\mathcal{M}(d)$  is also periodic with period  $P$ .

686  $\square$

In addition to the approximation and periodicity properties established above, the form of  $\mathcal{M}(d)$  provides clear interpretability of the roles played by its parameters, as summarized in the following corollary.

**Proposition 2** (Interpretability of Fourier Components). *The learned parameters  $\{a_k, \omega_k, \phi_k\}_{k=1}^K$  in the modulation function  $\mathcal{M}(d)$  admit the following interpretations:*

- *Amplitude ( $a_k$ ) controls the contribution strength of the  $k$ -th frequency component to the overall modulation pattern. Larger  $|a_k|$  values amplify the influence of the corresponding cosine term.*
- *Frequency ( $\omega_k$ ) determines the spatial frequency of the oscillations, i.e., how rapidly the attention modulation varies with respect to token distance  $d$ . Higher  $\omega_k$  yields finer-grained, higher-frequency patterns.*
- *Phase shift ( $\phi_k$ ) specifies the horizontal displacement of the  $k$ -th component along the distance axis, enabling translation of attention peaks and troughs without altering their frequency.*

The interpretability of  $\{a_k, \omega_k, \phi_k\}_{k=1}^K$  facilitates analysis of learned attention patterns and enables explicit control over the modulation behavior. For example, sparsity-promoting regularization on  $\{a_k\}$  can encourage parsimonious attention structures.

*Proof.* We examine the modulation function:

$$\mathcal{M}(d) = \frac{1}{2} \left( \tanh \left( \sum_{k=1}^K a_k \cos(\omega_k d + \phi_k) \right) + 1 \right)$$

and analyze the role of each parameter  $\{a_k, \omega_k, \phi_k\}$  in shaping  $\mathcal{M}(d)$ . Consider the inner argument of the  $\tanh$  function:

$$S(d) = \sum_{k=1}^K a_k \cos(\omega_k d + \phi_k)$$

This is a finite sum of cosine functions, each parameterized by amplitude, frequency, and phase shift. The amplitude  $a_k$  scales the contribution of the  $k$ -th component: increasing  $|a_k|$  amplifies its oscillatory magnitude, while the sign determines whether it reinforces or counteracts other terms. The frequency  $\omega_k$  controls the spatial scale, with the component completing one full oscillation over  $T_k = \frac{2\pi}{\omega_k}$ ; larger  $\omega_k$  produces finer, more rapid oscillations over token distance  $d$ . The phase shift  $\phi_k$  translates the cosine along the  $d$ -axis, corresponding to a horizontal displacement of  $\Delta d = -\phi_k/\omega_k$ , which adjusts the positions of peaks and troughs without affecting amplitude or frequency.

Finally, observe that the outer  $\tanh$  function is a smooth, monotonically increasing function applied pointwise to  $S(d)$ . While  $\tanh$  compresses the range of  $S(d)$  into  $(-1, 1)$ , it preserves the relative locations of maxima, minima, and zero crossings of  $S(d)$ , thereby maintaining the interpretability of the underlying sinusoidal components. The subsequent affine transformation maps this range to  $(0, 1)$  without altering these relationships. Thus, the parameters  $\{a_k, \omega_k, \phi_k\}_{k=1}^K$  maintain clear and interpretable roles in controlling the shape and characteristics of  $\mathcal{M}(d)$ .  $\square$

## B CONVERGENCE ANALYSIS OF MODULATED ATTENTION

We now analyze how the Fourier modulation influences attention scores and their convergence behavior, particularly focusing on the boundedness of scores, the normalization of attention weights, and their behavior as the sequence length grows. The following theorem establishes uniform bounds and guarantees well-posedness of the attention mechanism in FourierRoFormer.

**Theorem 2** (Boundedness and Convergence of Modulated Attention). *Let  $\mathbf{S}_{ij}$  denote the attention score between tokens  $i$  and  $j$  in FourierRoFormer, defined as*

$$\mathbf{S}_{ij} = \frac{\langle \mathbf{q}_i^{RoPE}, \mathbf{k}_j^{RoPE} \rangle}{\sqrt{d}} \cdot \mathcal{M}(d_{ij}) \cdot e^{-\gamma d_{ij}}$$

where  $d_{ij} = |i - j|$ ,  $\mathcal{M}(d)$  is the Fourier modulation function,  $\gamma > 0$  is the damping factor, and  $\|\mathbf{q}_i^{RoPE}\|, \|\mathbf{k}_j^{RoPE}\| \leq M$  for some finite constant  $M > 0$ . Then, the following properties hold:

756 1. The attention scores are bounded:

757

$$758 \quad |\mathbf{S}_{ij}| \leq \frac{M^2}{\sqrt{d}} e^{-\gamma d_{ij}}$$

759

760 2. For any fixed token  $i$ , as sequence length  $N \rightarrow \infty$ ,

761

$$762 \quad \sum_{j=1}^N e^{\mathbf{S}_{ij}} < \infty$$

763

764 3. For all pairs  $(i, j)$ , the normalized attention satisfies

765

$$766 \quad A_{ij} = \frac{e^{\mathbf{S}_{ij}}}{\sum_{k=1}^N e^{\mathbf{S}_{ik}}} \in (0, 1).$$

767

768 *Proof.* We prove each part in turn. First, by the Cauchy–Schwarz inequality, and under the assumption  $\|\mathbf{q}_i^{\text{RoPE}}\|, \|\mathbf{k}_j^{\text{RoPE}}\| \leq M$ , we have:

769

$$770 \quad |\langle \mathbf{q}_i^{\text{RoPE}}, \mathbf{k}_j^{\text{RoPE}} \rangle| \leq M^2$$

771

772 From Theorem 1,  $\mathcal{M}(d_{ij}) \in (0, 1)$  for all  $d_{ij}$ , and by definition, the damping factor is  $\mathcal{D}(d_{ij}) = e^{-\gamma d_{ij}}$ . Hence:

773

$$774 \quad |\mathbf{S}_{ij}| \leq \frac{M^2}{\sqrt{d}} e^{-\gamma d_{ij}}$$

775

776 To show the convergence of the normalization sum, we use the below estimate:

777

$$778 \quad \sum_{j=1}^N e^{\mathbf{S}_{ij}} \leq \sum_{j=1}^N \exp\left(\frac{M^2}{\sqrt{d}} e^{-\gamma|i-j|}\right)$$

779

780 Since  $e^{-\gamma|i-j|} \rightarrow 0$  exponentially as  $|i-j| \rightarrow \infty$ , and  $\exp(c e^{-\gamma|i-j|}) \rightarrow 1$ , the summand behaves like a constant for small  $|i-j|$  and decays exponentially for large  $|i-j|$ . Thus, the sum can be split:

781

$$782 \quad \sum_{j \leq i} \exp\left(\frac{M^2}{\sqrt{d}} e^{-\gamma(i-j)}\right) + \sum_{j > i} \exp\left(\frac{M^2}{\sqrt{d}} e^{-\gamma(j-i)}\right)$$

783

784 Each term is a convergent exponential series, as  $e^{-\gamma n}$  decays exponentially and  $\exp(c e^{-\gamma n})$  remains summable for  $c > 0$ . This follows from standard results on the convergence of rapidly decreasing exponential series (Rudin, 1976, p. 5). Therefore, the total sum converges as  $N \rightarrow \infty$ . The denominator of the attention weights is strictly positive and finite. Moreover, since the numerator  $e^{\mathbf{S}_{ij}} > 0$ , it follows that:

785

$$786 \quad A_{ij} = \frac{e^{\mathbf{S}_{ij}}}{\sum_{k=1}^N e^{\mathbf{S}_{ik}}} \in (0, 1)$$

787

788 for all  $i$  and  $j$ . This ensures that attention weights are well-defined probability distributions over tokens.  $\square$

789 Building on the boundedness of attention weights, we now characterize the effective receptive field of FourierRoFormer, showing that attention to distant tokens decays below any desired threshold.

800 **Lemma 1** (Effective Attention Range). *For any  $\epsilon > 0$ , there exists a distance  $R_\epsilon$  such that for all  $d_{ij} > R_\epsilon$ :*

801

$$A_{ij} < \epsilon$$

802

803 where  $R_\epsilon$  depends on the model parameters  $\{M, d, \gamma, \{a_k, \omega_k, \phi_k\}_{k=1}^K\}$ .

804 *Proof.* From the bound in Theorem 2(a):

805

$$806 \quad \mathbf{S}_{ij} \leq \frac{M^2}{\sqrt{d}} \cdot \exp(-\gamma d_{ij})$$

807

810 The attention weight  $A_{ij}$  is bounded by:  
 811

$$812 A_{ij} \leq \frac{\exp\left(\frac{M^2}{\sqrt{d}} \cdot \exp(-\gamma d_{ij})\right)}{\exp\left(\frac{M^2}{\sqrt{d}}\right)} = \exp\left(\frac{M^2}{\sqrt{d}}(\exp(-\gamma d_{ij}) - 1)\right)$$

$$813$$

$$814$$

$$815$$

816 For any  $\epsilon > 0$ , we can solve:  
 817

$$818 \exp\left(\frac{M^2}{\sqrt{d}}(\exp(-\gamma R_\epsilon) - 1)\right) = \epsilon$$

$$819$$

$$820$$

821 This yields:  
 822

$$823 R_\epsilon = -\frac{1}{\gamma} \ln\left(1 + \frac{\sqrt{d}}{M^2} \ln(\epsilon)\right)$$

$$824$$

$$825$$

826 For  $d_{ij} > R_\epsilon$ , we have  $A_{ij} < \epsilon$  by monotonicity.  $\square$   
 827

828 The decomposition of the attention modulation into distinct frequency components, together with  
 829 exponential damping, enables FourierRoFormer to simultaneously capture both fine-grained local  
 830 patterns and broad global context, as formalized in the following corollary.  
 831

**Corollary 1** (Local-Global Balance). *The FourierRoFormer attention mechanism balances local and global dependencies through its modulation design: high-frequency Fourier components capture local patterns, low-frequency components preserve global context, and the exponential damping term  $\exp(-\gamma d_{ij})$  ensures smooth decay of attention with distance.*  
 832

833 *Proof.* The result follows from the structure of the attention score  $\mathbf{S}_{ij}$ , which combines Fourier  
 834 modulation and exponential damping. First, the high-frequency components with  $\omega_k \gg 1$  induce  
 835 rapid oscillations in  $\mathcal{M}(d_{ij})$ , enhancing sensitivity to local variations in token distance. Conversely,  
 836 low-frequency components with  $\omega_k \approx 1$  produce slowly varying modulation, preserving global  
 837 contextual information. Additionally, the damping factor  $\exp(-\gamma d_{ij})$  enforces an overall decay  
 838 of attention scores with distance, ensuring that contributions from distant tokens diminish smoothly.  
 839 Together, these elements balance fine-grained local interactions and long-range global dependencies,  
 840 while keeping attention scores bounded.  $\square$   
 841

842 In summary, Theorems 2, Lemma 1, and Corollary 1 establish that FourierRoFormer’s attention  
 843 is bounded, localized, and balances local and global context via its modulation structure. These  
 844 properties ensure scalability and stability, especially for long sequences.  
 845

## 846 C GRADIENT ANALYSIS

$$847$$

848 In this section we characterize the gradient behavior of the FourierRoFormer modulation parameters,  
 849 deriving uniform bounds that govern the learning dynamics and inform convergence properties.  
 850

**Proposition 3** (Gradient Bounds for Modulation Parameters). *Let  $\theta = \{a_k, \omega_k, \phi_k\}_{k=1}^K$  denote the Fourier modulation parameters, and let  $\mathbf{S}_{ij}$  be the attention score between tokens  $i$  and  $j$ , associated with distance  $d_{ij}$ . Assume the modulation output is scaled by a constant  $M > 0$ , and let  $\gamma > 0$  be the effective decay rate. Then, the following gradient bounds hold for all  $k = 1, \dots, K$ :*  
 851

852 (a) *Amplitude gradients*  
 853

$$854 \left\| \frac{\partial \mathbf{S}_{ij}}{\partial a_k} \right\| \leq \frac{M^2}{2\sqrt{d}} e^{-\gamma d_{ij}}$$

$$855$$

$$856$$

857 (b) *Frequency gradients*  
 858

$$859 \left\| \frac{\partial \mathbf{S}_{ij}}{\partial \omega_k} \right\| \leq \frac{M^2}{2\sqrt{d}} \cdot d_{ij} e^{-\gamma d_{ij}}$$

$$860$$

$$861$$

$$862$$

$$863$$

864 (c) *Phase gradients*  
 865

$$866 \quad 867 \quad \left\| \frac{\partial \mathbf{S}_{ij}}{\partial \phi_k} \right\| \leq \frac{M^2}{2\sqrt{d}} e^{-\gamma d_{ij}} \\ 868$$

869 *Proof.* We analyze each gradient component individually.  
 870

871 Let  $\mathbf{S}_{ij}$  denote the attention score between tokens  $i$  and  $j$ , with  $d_{ij}$  their distance. Recall:  
 872

$$873 \quad \mathbf{S}_{ij} = \frac{\langle \mathbf{q}_i^{\text{RoPE}}, \mathbf{k}_j^{\text{RoPE}} \rangle}{\sqrt{d}} \cdot \mathcal{D}(d_{ij}) \cdot \mathcal{M}(d_{ij}) \\ 874$$

875 where  $\mathcal{D}(d_{ij})$  is a distance-dependent decay term, and  $\mathcal{M}(d_{ij})$  is the Fourier modulation function.  
 876

877 For all cases, we use the bound:  
 878

$$879 \quad \left| \frac{\langle \mathbf{q}_i^{\text{RoPE}}, \mathbf{k}_j^{\text{RoPE}} \rangle}{\sqrt{d}} \cdot \mathcal{D}(d_{ij}) \right| \leq \frac{M^2}{\sqrt{d}} \cdot e^{-\gamma d_{ij}} \\ 880$$

881 where  $M > 0$  bounds the norm of query and key vectors, and  $\gamma > 0$  controls the decay. We compute  
 882 derivatives of  $\mathcal{M}$ , recalling:  
 883

$$884 \quad \mathcal{M}(d) = \frac{1}{2} (\tanh(x) + 1), \quad x = \sum_{l=1}^K a_l \cos(\omega_l d + \phi_l) \\ 885$$

886 Noting that  $\tanh'(x) = 1 - \tanh^2(x)$ , and  $|\tanh'(x)| \leq 1$ , we proceed with the amplitude gradients:  
 887

$$888 \quad \frac{\partial \mathcal{M}}{\partial a_k} = \frac{1}{2} \cdot (1 - \tanh^2(x)) \cdot \cos(\omega_k d + \phi_k) \\ 889$$

890 Since  $|\cos(\cdot)| \leq 1$ , we have:  
 891

$$892 \quad \left\| \frac{\partial \mathbf{S}_{ij}}{\partial a_k} \right\| \leq \frac{M^2}{2\sqrt{d}} \cdot e^{-\gamma d_{ij}} \\ 893$$

894 Next we look evaluate the frequency gradients:  
 895

$$896 \quad \frac{\partial \mathcal{M}}{\partial \omega_k} = -\frac{1}{2} \cdot (1 - \tanh^2(x)) \cdot a_k d \sin(\omega_k d + \phi_k) \\ 897$$

898 Using  $|\sin(\cdot)| \leq 1$ , we obtain:  
 899

$$900 \quad \left\| \frac{\partial \mathbf{S}_{ij}}{\partial \omega_k} \right\| \leq \frac{M^2}{2\sqrt{d}} \cdot d_{ij} \cdot e^{-\gamma d_{ij}} \\ 901$$

902 Finally we estimate the phase gradients:  
 903

$$904 \quad \frac{\partial \mathcal{M}}{\partial \phi_k} = -\frac{1}{2} \cdot (1 - \tanh^2(x)) \cdot a_k \sin(\omega_k d + \phi_k) \\ 905$$

906 Thus,  
 907

$$908 \quad \left\| \frac{\partial \mathbf{S}_{ij}}{\partial \phi_k} \right\| \leq \frac{M^2}{2\sqrt{d}} \cdot e^{-\gamma d_{ij}} \\ 909$$

910 This completes the proof.  $\square$   
 911

912 Building on the component-wise gradient bounds established in Theorem 3, we now state a general  
 913 decay property that holds uniformly for all modulation parameters.  
 914

**Lemma 2** (Gradient Decay). *The gradients of attention scores with respect to Fourier parameters  
 915 decay exponentially with token distance:*

$$916 \quad \left\| \frac{\partial \mathbf{S}_{ij}}{\partial \theta} \right\| \leq C_\theta \cdot \exp(-\gamma d_{ij}) \\ 917$$

918 where  $C_\theta$  is a constant depending on the parameter type  $\theta \in \{a_k, \omega_k, \phi_k\}$ .  
 919

918 *Proof.* The result follows directly from Theorem 3. For amplitude and phase parameters, we set  
 919  $C_\theta = \frac{M^2}{2\sqrt{d}}$ . For frequency parameters, observe that the term  $d_{ij} \cdot e^{-\gamma d_{ij}}$  attains its maximum at  
 920  $d_{ij} = 1/\gamma$ , giving  $C_\theta = \frac{M^2}{2\gamma e\sqrt{d}}$ .  $\square$   
 921  
 922

923 The exponential gradient decay established in Lemma 2 directly implies desirable properties for the  
 924 learning dynamics of FourierRoFormer, summarized in the following corollary.  
 925

926 **Corollary 2** (Training Stability). *Under the exponential gradient decay established in Lemma 2, the  
 927 training dynamics of FourierRoFormer exhibit the following properties: the magnitude of parameter  
 928 updates remains bounded throughout training, ensuring stability. The impact of distant tokens on  
 929 parameter gradients diminishes exponentially with token distance, promoting localized learning.  
 930 Backpropagation through attention layers remains well-conditioned, preventing gradient explosion  
 931 or vanishing.*

932 *Proof.* By Lemma 2, the gradient of the attention score with respect to any Fourier parameter  $\theta$   
 933 satisfies

$$\left\| \frac{\partial \mathbf{S}_{ij}}{\partial \theta} \right\| \leq C_\theta \cdot e^{-\gamma d_{ij}}$$

934 for some constant  $C_\theta > 0$ .  
 935

936 Summing over all token pairs  $(i, j)$ , the total gradient norm satisfies:  
 937

$$\|\nabla_\theta \mathcal{L}\| \leq C_\theta \sum_{i,j} e^{-\gamma d_{ij}}$$

938 Since  $e^{-\gamma d_{ij}}$  decays exponentially with  $d_{ij}$ , the sum is dominated by token pairs with small  $d_{ij}$ ,  
 939 corresponding to local interactions. Moreover, as the exponential decay ensures convergence of  
 940 the sum, the total gradient norm remains bounded independently of sequence length. Consequently,  
 941 parameter updates are primarily influenced by local token neighborhoods, contributions from distant  
 942 tokens diminish exponentially, limiting their impact on parameter updates, and the bounded total  
 943 gradient norm prevents gradient explosion, ensuring stable optimization dynamics.  $\square$   
 944

945 In conclusion, our analysis of FourierRoFormer reveals its ability to approximate and interpret  
 946 learned parameters. Our gradient analysis confirmed exponential decay with token distance, en-  
 947 suring stable and localized training dynamics. These findings provide theoretical backing for the  
 948 design of FourierRoFormer and its scalability to longer sequences.

## 949 D ROPE COMPATIBILITY ANALYSIS

950 In this section we examine how the Fourier modulation in FourierRoFormer interacts with Rotary  
 951 Position Embeddings (RoPE), and demonstrate that the combined attention mechanism retains key  
 952 geometric properties of RoPE, including translation equivariance, relative position dependence, and  
 953 structural decomposition.

954 **Theorem 3** (RoPE-Fourier Compatibility). *In FourierRoFormer, the modulated RoPE attention  
 955 score*

$$\mathbf{S}_{mn} = \frac{\langle \mathbf{R}_{\theta,m} \mathbf{q}_m, \mathbf{R}_{\theta,n} \mathbf{k}_n \rangle}{\sqrt{d}} \cdot \mathcal{M}(|m - n|) \cdot e^{-\gamma|m - n|}$$

956 *is translation equivariant, depends only on relative positions, and admits a multiplicative decom-  
 957 position. Specifically, for any shift  $\tau \in \mathbb{Z}$ , we have  $\mathbf{S}_{(m+\tau)(n+\tau)} = \mathbf{S}_{mn}$ , and  $\mathbf{S}_{mn}$  can be expressed  
 958 as  $\mathbf{S}_{mn} = f(m - n, \mathbf{q}_m, \mathbf{k}_n)$  for some function  $f$  independent of absolute positions. Moreover, the  
 959 score factorizes as  $\mathbf{S}_{mn} = \mathbf{S}_{mn}^{\text{RoPE}} \cdot \mathbf{S}_{mn}^{\text{Fourier}}$ , where  $\mathbf{S}_{mn}^{\text{RoPE}}$  is the standard RoPE attention score and  
 960  $\mathbf{S}_{mn}^{\text{Fourier}} = \mathcal{M}(|m - n|) \cdot e^{-\gamma|m - n|}$ .*

961  
 962  
 963 *Proof.* We verify each property in turn. For translation equivariance, observe:  
 964

$$\mathbf{S}_{(m+\tau)(n+\tau)} = \frac{\langle \mathbf{R}_{\theta,m+\tau} \mathbf{q}_{m+\tau}, \mathbf{R}_{\theta,n+\tau} \mathbf{k}_{n+\tau} \rangle}{\sqrt{d}} \cdot \mathcal{M}(|m - n|) \cdot \mathcal{D}(|m - n|)$$

972 using  $|(m + \tau) - (n + \tau)| = |m - n|$ , and the RoPE invariance  $\mathbf{R}_{\theta, p+\tau} \mathbf{x}_{p+\tau} = \mathbf{R}_{\theta, p} \mathbf{x}_p$ . Hence,  
 973  $\mathbf{S}_{(m+\tau)(n+\tau)} = \mathbf{S}_{mn}$ . For relative position dependence, the RoPE inner product depends only on  
 974 relative positions  $\langle \mathbf{R}_{\theta, m} \mathbf{q}_m, \mathbf{R}_{\theta, n} \mathbf{k}_n \rangle = g(m - n, \mathbf{q}_m, \mathbf{k}_n)$  for some function  $g$ . Since  $\mathcal{M}$  and  $\mathcal{D}$   
 975 depend only on  $|m - n|$ , it follows that:

$$977 \quad \mathbf{S}_{mn} = \frac{g(m - n, \mathbf{q}_m, \mathbf{k}_n)}{\sqrt{d}} \cdot \mathcal{M}(|m - n|) \cdot \mathcal{D}(|m - n|) = f(m - n, \mathbf{q}_m, \mathbf{k}_n)$$

979 For the decomposition, define:

$$981 \quad \mathbf{S}_{mn}^{\text{RoPE}} = \frac{\langle \mathbf{R}_{\theta, m} \mathbf{q}_m, \mathbf{R}_{\theta, n} \mathbf{k}_n \rangle}{\sqrt{d}}, \quad \mathbf{S}_{mn}^{\text{Fourier}} = \mathcal{M}(|m - n|) \cdot \mathcal{D}(|m - n|)$$

984 Thus, by construction,  $\mathbf{S}_{mn} = \mathbf{S}_{mn}^{\text{RoPE}} \cdot \mathbf{S}_{mn}^{\text{Fourier}}$ .  $\square$

985 To further understand the role of Fourier modulation, we observe that in the absence of learned  
 986 Fourier components, FourierRoFormer simplifies to standard RoPE attention, as formalized below.

988 **Lemma 3** (RoPE Recovery). *When all Fourier amplitudes  $a_k = 0$  or  $K = 0$ , FourierRoFormer  
 989 reduces to standard RoPE attention with uniform modulation  $\mathcal{M}(d) = 0.5$ .*

990 *Proof.* If  $a_k = 0$  for all  $k$  or equivalently  $K = 0$ , the modulation function simplifies to

$$992 \quad \mathcal{M}(d) = \tanh(0) \cdot 0.5 + 0.5 = 0.5$$

994 Substituting into the attention score expression, we obtain

$$995 \quad \mathbf{S}_{mn} = \frac{\langle \mathbf{R}_{\theta, m} \mathbf{q}_m, \mathbf{R}_{\theta, n} \mathbf{k}_n \rangle}{\sqrt{d}} \cdot 0.5 \cdot \mathcal{D}(|m - n|)$$

997 This corresponds to the standard RoPE attention, scaled by a constant factor and modulated by the  
 998 damping function  $\mathcal{D}(|m - n|)$ . The structure of RoPE is thus preserved in the absence of active  
 999 Fourier components.  $\square$

1001 Building on the compatibility and recovery properties established earlier, we conclude that FourierRoFormer  
 1002 extends RoPE by introducing learnable modulation while preserving its core structural  
 1003 advantages, as summarized in the following corollary.

1004 **Corollary 3** (Enhanced Position Encoding). *FourierRoFormer strictly enhances RoPE by preserving  
 1005 all of its beneficial properties, while introducing learnable frequency-based attention modulation  
 1006 and maintaining stable gradients through multiplicative interactions between the RoPE and Fourier  
 1007 components.*

1009 *Proof.* By Theorem 3, FourierRoFormer preserves the translation equivariance and relative position  
 1010 dependence of RoPE, ensuring that attention scores remain functions of relative positions only. Fur-  
 1011 thermore, the multiplicative decomposition of the attention score into a RoPE term and a Fourier  
 1012 modulation term preserves the structural properties of RoPE while introducing additional expressiv-  
 1013 ity. Specifically, the Fourier modulation term  $\mathcal{M}(|m - n|)$  augments the standard RoPE attention  
 1014 with learnable, frequency-based modulation over token distances, enabling the model to adaptively  
 1015 emphasize or attenuate specific distance patterns. By Lemma 3, in the limiting case where  $a_k = 0$   
 1016 for all  $k$ , FourierRoFormer recovers standard RoPE attention, confirming that RoPE is a special case  
 1017 within this generalized framework. Finally, the multiplicative interaction between the RoPE and Fourier  
 1018 terms maintains well-behaved gradients, as each component is bounded and differentiable,  
 1019 ensuring stable optimization. Therefore, FourierRoFormer strictly extends RoPE by preserving its  
 1020 key properties while enhancing its expressivity through learnable frequency modulation and main-  
 1021 taining stable training dynamics.  $\square$

1022 Building on Theorem 3, Lemma 3, and Corollary 3, FourierRoFormer generalizes RoPE by em-  
 1023 bedding its geometric properties within a learnable modulation framework. It preserves translation  
 1024 equivariance and relative position encoding, while enhancing expressivity through frequency-based  
 1025 modulation. This theoretical foundation highlights both the model’s gradient stability and its adapt-  
 1026 ability to complex positional patterns.

1026  
1027

## E EXPERIMENTAL SETUP

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All experiments are implemented in PYTORCH and executed on NVIDIA A40 GPUs with 48GB memory. To ensure fair comparison, we adopt a uniform training protocol, varying only key architectural hyperparameters. The *small*, *medium*, and *large* variants have embedding dimensions of 192, 384, and 576, respectively. The small and medium models use six attention heads, while the large model uses twelve. Transformer depth is six layers for the small model and twelve for the others.

1034  
1035  
1036  
1037  
1038

Given the limited number of runs ( $n=5$ ) and multiple comparisons across datasets, we adopt conservative statistical practices. We report confidence intervals alongside means and standard deviations. For significance testing, we use paired t-tests with Bonferroni correction across the 4 datasets tested, requiring  $p < 0.0125$  for significance. We acknowledge that with 5 runs, detecting small effect sizes reliably is challenging, and focus our claims on improvements exceeding 2 percentage points.

1039  
1040  
1041  
1042

**Baseline Methods and Comparisons:** We evaluate against three categories of methods: (1) Standard vision transformers (ViT, DeiT, RoFormer), (2) Recent positional encoding methods (ALiBi, Context-aware Biases, Functional Interpolation), and (3) Spectral transformer methods (GFNet, WaveViT, SpectFormer, SVT).

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1044  
1045

**Relationship to Fourier Features.** Our approach differs fundamentally from coordinate-based Fourier features (Tancik et al., 2020), as detailed in table 11.

1046  
1047

Table 11: Detailed comparison with Tancik et al. Fourier Features [26] highlighting fundamental differences in approach, application, and technical mechanism.

Aspect	Tancik et al. [26]	FourierRoFormer
<b>Application Domain</b>	Coordinate networks (NeRF, etc.)	Vision transformer attention
<b>Target Problem</b>	High-frequency function learning	Multi-scale attention modulation
<b>Input Type</b>	Continuous coordinates (x,y,z)	Discrete token sequences
<b>Frequency Selection</b>	Fixed random frequencies	Learnable adaptive frequencies
<b>Parameter Learning</b>	Static random $\gamma$ , fixed $\omega$	End-to-end learned $\{a_k, \omega_k, \phi_k\}$
<b>Architecture Role</b>	Input feature enhancement	Attention mechanism modulation
<b>Optimization Target</b>	Coordinate-to-value mapping	Token-to-token attention patterns
<b>Data Dependency</b>	Task-independent frequencies	Dataset-specific specialization
<b>Interpretability</b>	Fixed spectral bias	Learned frequency-pattern alignment
<b>Scalability</b>	Limited to coord. resolution	Scales with sequence length
<b>Evaluation Domain</b>	3D reconstruction, view synthesis	Image classification, detection
<b>Core Innovation</b>	Random Fourier input mapping	Learnable attention modulation

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**Key Technical Distinctions:** Tancik et al. use fixed random frequencies for coordinate mapping, while we learn adaptive frequencies that specialize during training. Their method targets continuous coordinate functions, while ours operates on discrete token interactions. They enhance input representations, while we modulate attention mechanisms. Their approach uses static spectral bias, while ours learns dynamic patterns aligned with visual semantics.

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Both methods leverage Fourier analysis but address fundamentally different problems: coordinate-based function approximation versus attention-based visual understanding.

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**Spectral Transformer Baselines:** We include comprehensive comparisons with recent spectral methods: GFNet (Rao et al., 2021) uses fixed Fourier transforms for token mixing, while WaveViT (Yao et al., 2022) employs fixed wavelet transforms for multi-scale processing. SpectFormer (Patro et al., 2025) provides a hybrid frequency-domain transformer with limited adaptability, and SVT (Patro & Agneeswaran, 2023) uses scattering-based spectral filtering with fixed wavelets.

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**Key Differentiator:** Unlike these methods using fixed spectral transforms, FourierRoFormer learns adaptive frequency patterns  $\{a_k, \omega_k, \phi_k\}$  that specialize during training to capture dataset-specific visual patterns.

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Memory requirements scaled with model complexity: small models required 11GB of GPU memory per run, medium models 18GB, and large models 32GB. Training times varied by dataset size and model scale: small models trained for approximately 5 hours on CIFAR-100, medium models for 12

1080 hours, and large models for 22 hours. For ImageNet-subset, training times increased to 14, 28, and  
 1081 48 hours respectively, while Oxford-Flowers102 required approximately 4, 9, and 17 hours for the  
 1082 three model sizes. The total compute for all experiments, including ablation studies and the 5 runs  
 1083 per configuration for statistical validation, amounted to approximately 2,100 GPU-hours. Inference  
 1084 overhead remains minimal, with the medium-sized FourierRoFormer processing 215 images/second  
 1085 on CIFAR-100 versus 220 for RoFormer on identical hardware. A detailed analysis of computational  
 1086 requirements for each dataset and model configuration is provided in Appendix E.1.

1087 For CIFAR datasets, we use  $4 \times 4$  image patches, while Oxford-Flowers102 and ImageNet use  
 1088  $16 \times 16$  patches. All models are trained with a batch size of 128 and optimized using AdamW  
 1089 with weight decay of 0.05. Learning rates follow a cosine decay schedule starting at  $5 \times 10^{-4}$ , and  
 1090 models are trained for 20021 epochs. For ImageNet, standard data augmentation is used, including  
 1091 random resized crops and horizontal flips during training, and center cropping for evaluation.

1092 Our DeiT implementation preserves the core architecture while adapting several components for  
 1093 fair comparison. We retain DeiT’s training improvements such as strong regularization techniques  
 1094 but standardize the training duration to 200 epochs across all models rather than using the original  
 1095 300+ epoch schedule. While maintaining the distillation token approach, we use a consistent teacher  
 1096 model across experiments. All optimization hyperparameters are aligned with our unified training  
 1097 protocol as described above, ensuring that performance differences arise primarily from architectural  
 1098 innovations rather than variations in training procedures.

1099 Unless noted otherwise, FOURIERROFORMER is initialized with four learnable Fourier components,  
 1100 with frequencies linearly spaced between 0.1 and 2.0, an amplitude of 0.1, zero phase, and a damping  
 1101 coefficient of  $\gamma = 0.01$ . This configuration ensures consistency across ablation studies, allowing  
 1102 performance differences to be directly attributed to the architectural choices under investigation.

## 1103 E.1 COMPUTATIONAL RESOURCES

1104 Our experimental framework was implemented in PyTorch and executed on NVIDIA A40 GPUs  
 1105 with 48GB of VRAM. Memory requirements scaled with model size: small models (192d, 6h, 6l)  
 1106 required 11GB memory with batch size 128, medium models (384d, 6h, 12l) used 18GB, and large  
 1107 models (576d, 12h, 12l) used 32GB. For the largest models on ImageNet-subset, we reduced the  
 1108 batch size to 64 to fit within memory constraints.

1109 **Spectral Method Resource Comparison.** We conducted comprehensive resource analysis comparing  
 1110 FourierRoFormer with spectral transformer methods:

1111 Table 12: Detailed resource comparison showing FourierRoFormer’s superior resource efficiency  
 1112 compared to spectral transformer baselines.

Method	Memory	Peak Memory	Training Time	Energy (kWh)	CO <sub>2</sub> (kg)	Efficiency
RoFormer-M	18.0 GB	19.2 GB	12.0h	28.8	11.5	6.83
GFNet-H-B	21.5 GB	24.1 GB	16.8h	40.3	16.1	4.12
WaveViT-B	19.8 GB	22.4 GB	15.2h	36.5	14.6	5.46
SpectFormer-H-B	19.2 GB	21.8 GB	14.5h	34.8	13.9	5.89
SVT-H-B	19.5 GB	22.1 GB	15.8h	37.9	15.2	5.39
FourierRoFormer-M	18.1 GB	19.4 GB	12.3h	29.5	11.8	<b>7.21</b>
<i>vs Best Spectral</i>	<b>-6.1%</b>	<b>-11.0%</b>	<b>-15.2%</b>	<b>-15.2%</b>	<b>-15.2%</b>	<b>+22.4%</b>

1123 **Resource Efficiency Metric:**  $\frac{\text{Top-1 Accuracy}^2}{\text{Training Time (h)} \times \text{Peak Memory (GB)}}$  captures accuracy-resource tradeoff.

## 1124 F ABLATION STUDIES

1125 We conduct comprehensive ablation studies to understand the contribution of each component in  
 1126 FourierRoFormer. All experiments in this section use the medium-sized model (384d, 6h, 12l) on  
 1127 CIFAR-100 unless otherwise specified.

1128 **Quantitative Frequency Learning Validation.** We provide concrete empirical evidence that FourierRoFormer  
 1129 learns distinct frequency specialization during training. Table 13 shows quantitative  
 1130 tracking of frequency component evolution during ImageNet-1K training:

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1135 Table 13: Quantitative validation of frequency learning showing component specialization and cor-  
1136 relation with visual patterns during ImageNet-1K training.

Component	Initial Amp	Final Amp	Learned Freq (Hz)	Visual Pattern	Correlation
k=1	$0.10 \pm 0.02$	0.43	0.3	Global object shape	$r = 0.78$
k=2	$0.10 \pm 0.02$	0.31	1.1	Object boundaries	$r = 0.85$
k=3	$0.10 \pm 0.02$	0.18	2.4	Fine textures	$r = 0.71$
k=4	$0.10 \pm 0.02$	0.08	3.2	Noise/artifacts	$r = 0.34$

1142

1143 **Three-Phase Training Dynamics.** Our analysis reveals distinct learning phases with measurable  
1144 specialization metrics:

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1146 Table 14: Three-phase frequency learning progression with quantitative specialization metrics show-  
1147 ing evolution from uniform exploration to structured hierarchy.

Phase	Epochs	Specialization $\sigma$	Coefficient Variation	Attention Entropy	Stability
Exploration	0-40	0.02	0.12	$3.41 \pm 0.18$	< 30%
Specialization	40-120	0.12	0.68	$3.38 \pm 0.12$	70%
Convergence	120+	0.31	0.91	$3.35 \pm 0.08$	> 95%

1152 This quantitative analysis confirms that different frequency components learn to capture complemen-  
1153 tary visual patterns, with the strongest correlation ( $r = 0.85$ ) achieved for object boundary detection  
1154 at 1.1 Hz.

1155

1156 **Post-Attention vs Pre-Attention Modulation.** We provide comprehensive empirical validation for  
1157 our design choice:

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1159 Table 15: Comprehensive comparison of modulation placement showing superior performance and  
stability of post-attention design.

Modulation	ImageNet Top-1	CIFAR -100	Gradient $\sigma$	Convergence	Semantic Preservation	Training Stability
Pre-attention	82.3%	82.8%	0.41	Epoch 145	0.72	Unstable
Post-attention	<b>84.1%</b>	<b>84.26%</b>	<b>0.12</b>	<b>Epoch 128</b>	<b>0.89</b>	<b>Stable</b>
Improvement	<b>+1.8pp</b>	<b>+1.46pp</b>	<b>-71%</b>	<b>-12%</b>	<b>+24%</b>	Qualitative

1166 **Multi-Head Frequency Specialization.** When allowing head-specific frequency parameters, we  
1167 observe emergent specialization:

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1169 **Head-Specific Parameter Overhead Analysis.** When enabling head-specific Fourier parameters,  
1170 each attention head learns independent frequency components  $\{a_k^{(h)}, \omega_k^{(h)}, \phi_k^{(h)}\}$  and damping coef-  
1171 ficient  $\gamma^{(h)}$ . For a model with  $H$  heads and  $K$  Fourier components, this increases parameters from  
1172  $3K + 1$  (shared) to  $H \times (3K + 1)$  (head-specific).

1173

1174 The overhead is negligible (0.0003% of model parameters) while providing measurable accuracy  
1175 improvement (+0.5pp). This demonstrates that frequency specialization across heads is highly  
1176 parameter-efficient. The per-head frequency distributions show clear differentiation (Table 16):  
1177 heads naturally divide into low-frequency (global context), mid-frequency (object boundaries), and  
1178 high-frequency (fine details) groups, with specialization coefficient increasing from 0.31 (shared) to  
0.42 (head-specific), indicating stronger differentiation.

1179

1180 **Fourier Components and Damping.** We analyze the impact of each component by selective ab-  
1181 lation, as shown in Table 18. Fourier modulation alone provides improvement (+4.43pp) over the  
1182 RoFormer baseline, while damping alone contributes +2.09pp. When combined, these components  
1183 achieve a complementary effect, yielding +5.84pp total improvement. Our experiments with varying  
1184 the number of Fourier components ( $K$ ) show that 4-8 components provides the optimal balance be-  
1185 tween expressivity and overfitting, with  $K = 8$  achieving the best performance (+6.53pp). Similarly,  
1186 moderate damping ( $\gamma=0.01$ ) yields the best results among the damping coefficients tested.

1187

1188 **Frequency Initialization Strategies.** We also investigate different approaches for initializing the  
Fourier component frequencies, as shown in Table 19. Logarithmic spacing achieves the best per-  
1189 formance (84.62%), providing better coverage across the frequency spectrum compared to linear

1188

1189 Table 16: Multi-head frequency specialization showing automatic division of labor across attention  
1190 heads with quantitative metrics.

1191 Configuration	1192 ImageNet 1193 Top-1	1194 Head 1195 Group	1196 Freq Range (Hz)	1197 Attention Range	1198 Energy % 1199 Energy %	1200 Specialization Timeline
1193 Uniform	1194 84.1%	1195 All heads	1196 0.5-1.5	1197 45 tokens	1198 100%	1199 None
1195 Head-specific	1196 <b>84.6%</b>	1197 Heads 1-2	1198 0.2-0.6	1199 89 tokens	1200 35%	1201 Epoch 35
		1202 Heads 3-4	1203 0.6-1.4	1204 43 tokens	1205 40%	1206 Epoch 42
		1207 Heads 5-6	1208 1.4-3.2	1209 21 tokens	1210 25%	1211 Epoch 38

1200 Table 17: Head-specific parameter analysis showing modest overhead for improved specialization.

1201 Configuration	1202 Additional Params	1203 Total Model Params	1204 ImageNet Top-1	1205 Improvement
1202 Shared (baseline)	1203 13 (4 components)	1204 24.76M	1205 83.4%	1206 -
1203 Head-specific (6 heads)	1204 78 (4 comp $\times$ 6 heads)	1205 24.76M (+0.0003M)	1206 83.9%	1207 +0.5pp
1204 Overhead	1205 65 params	1206 +0.0003%	1207 +0.5pp	1208 0.77M params/pp

1209 spacing. Random initialization performs worse (83.91%), suggesting that a structured approach to  
1210 frequency initialization aids optimization. Low-frequency bias initialization shows moderate performance,  
1211 indicating that while low frequencies are important, a balanced coverage across the spectrum  
1212 is more effective.

## 1213 G COMPUTATIONAL COMPLEXITY ANALYSIS

1214 For completeness, we analyze the computational overhead introduced by the Fourier modulation  
1215 components in FourierRoFormer. Let  $n$  denote the input sequence length,  $d$  the feature dimension,  
1216 and  $\kappa$  the number of Fourier components. The computation of the Fourier modulation function  
1217 requires evaluating  $\kappa$  cosine terms for each token pair, computing the modulation, and applying  
1218 non-linear scaling. Since there are  $\mathcal{O}(n^2)$  token pairs in the attention mechanism Vaswani et al.  
1219 (2017), this results in an overall computational cost of  $\mathcal{O}(\kappa n^2)$  operations Rahimi & Recht (2008);  
1220 Tancik et al. (2020).

1221 **Comprehensive Efficiency Comparison with Spectral Methods.** We provide detailed efficiency  
1222 analysis comparing FourierRoFormer with spectral transformer baselines:

### 1223 Efficiency Metrics Defined:

- 1224 • **Efficiency Score** =  $\frac{\text{Top-1 Accuracy}}{\log(\text{Params}) \times \sqrt{\text{Training Time}}}$  (higher is better)
- 1225 • **Parameter Efficiency** =  $\frac{\text{Top-1 Accuracy}}{\text{Params (M)}}$  (accuracy per million parameters)
- 1226 • **Computational Efficiency** =  $\frac{\text{Top-1 Accuracy}}{\text{FLOPs (G)}}$  (accuracy per GFLOP)

1227 **Key Findings:** The approach introduces minimal overhead with only 0.04% parameter increase and  
1228 0.7% FLOPs increase over RoFormer. It achieves a superior tradeoff with 23% better efficiency  
1229 score than the best spectral baseline while using 25% fewer parameters. The method provides practical  
1230 advantage by maintaining standard transformer architecture compatibility unlike spectral methods  
1231 requiring architectural overhaul.

1232 The additional computational cost of FourierRoFormer compared to standard ViT or RoFormer is  
1233 minimal, with only 0.01M additional parameters (0.04%) from the learnable Fourier components.  
1234 During inference, FourierRoFormer processes approximately 215 images/second on our medium  
1235 model configuration for CIFAR-100, compared to 220 images/second for RoFormer and 218 images/second  
1236 for standard ViT on identical hardware, demonstrating negligible runtime overhead for  
1237 improved accuracy gains.

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1246 Table 18: Comprehensive ablation study on CIFAR-100 showing complementary benefits of com-  
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ponents.  
Configuration Accuracy (%)  $\Delta$  vs RoFormer Params (M) GFLOPs  
RoFormer (baseline) 78.42 - 24.75 4.60  
+ Fourier only 82.85 +4.43 24.75 4.61  
+ Damping only 80.51 +2.09 24.75 4.60  
+ Both (Full model) 84.26 +5.84 24.76 4.63  
*Fourier Component Variations*  
K=2 components 82.54 +4.12 24.75 4.61  
K=4 components 84.26 +5.84 24.76 4.63  
K=8 components 84.95 +6.53 24.76 4.63  
K=16 components 84.72 +6.30 24.77 4.64  
*Damping Coefficient Analysis*  
 $\gamma = 0.001$  83.45 +5.03 24.76 4.63  
 $\gamma = 0.01$  84.26 +5.84 24.76 4.63  
 $\gamma = 0.05$  83.87 +5.45 24.76 4.63  
 $\gamma = 0.1$  82.93 +4.51 24.76 4.63  
Table 19: Comparison of frequency initialization strategies on CIFAR-100.  
Strategy Accuracy (%) Description  
Linear spacing 84.26 Frequencies evenly spaced 0.1-2.0  
Logarithmic spacing **84.62** Log-spaced frequencies  
Random initialization 83.91 Random frequencies 0.1-2.0  
Low-frequency bias 84.08 Emphasis on low frequencies  
Table 20: Comprehensive efficiency analysis showing FourierRoFormer achieves optimal accuracy-efficiency tradeoff compared to spectral transformer methods.  
Method Params (M) Memory (GB) Throughput (img/s) Training Time (h) FLOPs (G) Top-1 (%) Efficiency Score Parameter Efficiency  
RoFormer-M 24.75 18.0 220 12.0 4.60 81.9 3.33 3.31  
GFNet-H-B 54.0 21.5 185 16.8 8.6 82.9 2.41 1.54  
WaveViT-B 33.5 19.8 195 15.2 6.8 84.8 2.98 2.53  
SpectFormer-H-B 33.1 19.2 195 14.5 6.3 85.1 3.21 2.57  
SVT-H-B 32.8 19.5 190 15.8 6.5 85.2 3.18 2.60  
FourierRoFormer-M 24.76 18.1 215 12.3 4.63 84.1 **3.91** **3.40**  
*Efficiency Advantage vs Best Spectral Baseline (SVT-H-B)*  
Relative Advantage **-24.5%** **-7.2%** **+13.2%** **-22.2%** **-28.8%** **-1.1pp** **+23%** **+31%**