

ARF-RLHF: Adaptive Reward-Following for RLHF through Emotion-Driven Self-Supervision and Trace-Biased Dynamic Optimization

Anonymous ACL submission

Abstract

With the rapid advancement of Reinforcement Learning from Human Feedback (RLHF) and autoregressive transformers, state-of-the-art models such as GPT-4.0, DeepSeek R1, and Llama 3.3 increasingly emphasize answer depth and personalization. However, most existing RLHF approaches (e.g., PPO, DPO) still rely on a binary-preference (BT) paradigm, which, while reducing annotation costs, still requires substantial human effort and captures only group-level tendencies rather than individual preferences. To overcome these limitations, we propose Adaptive Reward-Following (ARF), a self-assessment framework that leverages a high-precision emotion analyzer—achieving over 70% accuracy on GoEmotions, Sentiment140, and DailyDialog—to convert free-form user feedback into continuous preference scores. We further enrich and debias these signals through lightweight data augmentations, including synonym replacement, random trace truncation, and score bias annotation algorithm. A Dynamic Adapter Preference Tracker continuously models evolving user tastes in real time, enabling our novel Trace Bias (TB) fine-tuning algorithm to optimize directly on these tracked rewards instead of coarse binary labels. Experiments on Qwen-2/2.5, Gemma-2, and Llama-3.2 across four preference domains demonstrate that ARF achieves an improvement of 3.3% over PPO and 7.6% over DPO. Moreover, TB preserves theoretical alignment with PPO and DPO objectives. Overall, ARF presents a scalable, personalized, and cost-effective approach to RLHF LLMs through autonomous reward modeling.

1 Introduction

The rapid development of Large Language Models (LLMs) has led to remarkable improvements in comprehensive task performance. As robustness and general capability increase, the focus of LLM

development has shifted from knowledge coverage and factual accuracy toward better fulfilling user’s demands. To this end, Reinforcement Learning with Human Feedback (RLHF) has become a widely adopted fine-tuning technique, exemplified by models such as OpenAI’s GPT-4 (OpenAI et al., 2024), DeepSeek-AI’s DeepSeek-R1 (DeepSeek-AI et al., 2025), and Meta’s Llama-3 (Grattafiori et al., 2024).

Early RLHF efforts often relied on continuous human scoring. However, this approach was costly in terms of time and annotation resources, with few large-scale open datasets available for public research. To reduce the burden, the Bradley-Terry (BT) model (BRADLEY, 1955) was first applied to RLHF in (Christiano et al., 2023), replacing continuous scoring with binary preference comparisons (Good/Bad). This significantly lowered the annotation threshold and enabled broader participation.

Despite these advantages, BT-based RLHF methods still suffer from critical limitations. They still require substantial human evaluation efforts, and due to annotator bias and understanding inconsistencies, collected datasets often introduce positive feedback artifacts and noise (del Arco et al., 2024). Moreover, because human evaluation lags behind user preference shifts, BT-based datasets face update hysteresis. Although recent techniques such as RLAIIF (Lee et al., 2024a) attempt to reduce human intervention, they still require human supervision during prompt engineering and feedback distillation.

To address these issues, we propose **Adaptive Reward-Following RLHF (ARF-RLHF)**, a framework that dynamically tracks user preferences without human annotation. We observe that user follow-up queries and conversational responses implicitly contain rich satisfaction signals, a phenomenon also supported by emotion analysis research (Chen and Chen, 2016; Shanahan et al., 2006; Henry et al., 2021; Prabhumoye et al., 2017).

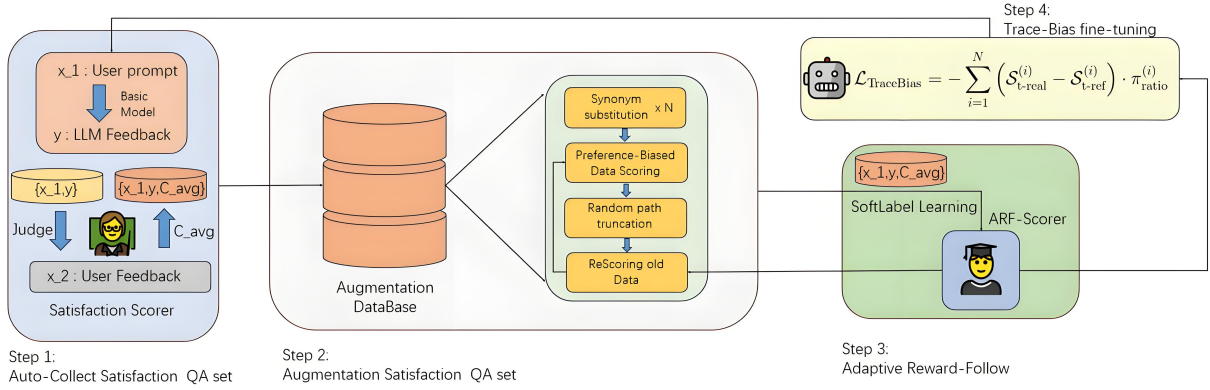


Figure 1: Illustrates the overall workflow of our framework. We begin by automatically collecting satisfaction QA data based on user feedback (Step 1). These samples are then stored and augmented through synonym substitution, truncation, and reweighting to form a diversified reward corpus (Step 2). The ARF scorer is trained with soft labels to predict satisfaction scores and is continuously updated (Step 3). Finally, the TraceBias algorithm leverages ARF-generated rewards to fine-tune the LLM (Step 4), completing a fully self-supervised RLHF pipeline.

Building on this, we design the following innovations:

- **Data Augmentation ER Base:** We annotate previous question-answer pairs based on user replies, and enhance data diversity through synonym substitution, random path truncation, and Preference-Biased Data Scoring annotation algorithm.
- **Dynamic Preference Tracking Scorer:** Using soft label learning and an experience replay (ER) mechanism, we periodically update the scorer to dynamically adapt to user preference changes and avoid overfitting.
- **TraceBias Fine-tuning Algorithm:** Without relying on BT-pair data, we propose a novel optimization strategy based on dynamic scorer feedback, random path truncation, and path deviation correction to enable stable and theoretically grounded fine-tuning.

2 Related Work

2.1 The Core Pipeline of RLHF for LLMs

Despite design variations, The BT model and policy gradient optimization form the foundation of RLHF training. While implementations vary (e.g., PPO (Schulman et al., 2017b) and DPO (Rafailov et al., 2024)), all methods share these core components.

Supervised Fine-Tuning (SFT): The pipeline begins with SFT on large-scale corpora to instill general knowledge in LLMs. For downstream applications, domain-specific datasets are used for further fine-tuning. While this results in high task-specific accuracy, such models often lack adapt-

ability and personalization in real-world interactive settings.

Preference Data Collection: To incorporate human feedback, a preference dataset is constructed using paired outputs (e.g., (prompt, answer1, answer2)). A Bradley-Terry (BT) model (BRADLEY, 1955) is commonly used to estimate the preference probability between responses $y_w \succ y_l$ as:

$$\mathcal{P}(y_w \succ y_l | x) = \frac{\exp(\mathcal{R}(x, y_w))}{\exp(\mathcal{R}(x, y_w)) + \exp(\mathcal{R}(x, y_l))}, \quad (1)$$

where $\mathcal{R}(x, y)$ denotes a learned reward function.

Reinforcement Learning with Human Feedback: RLHF methods rely primarily on: (1) reward modeling from preferences, and (2) policy gradient(PG) optimization. The policy is trained to maximize expected return:

$$\nabla_{\theta} J(\theta) = \mathbb{E}_{\tau \sim \pi_{\theta}} \left[\sum_{t=0}^T \nabla_{\theta} \log \pi_{\theta}(a_t | s_t) \cdot R_t \right], \quad (2)$$

where $R_t = \sum_{k=t}^T \gamma^{k-t} r_k$ is the discounted return. Advantage-based methods refine this further:

$$\nabla_{\theta} J(\theta) = \mathbb{E}_t [\nabla_{\theta} \log \pi_{\theta}(a_t | s_t) \cdot A^{\pi}(s_t, a_t)], \quad (3)$$

with the advantage function defined as:

$$A^{\pi}(s_t, a_t) = Q^{\pi}(s_t, a_t) - V^{\pi}(s_t). \quad (4)$$

The multi preference-based dataset and PG optimization constitutes the foundation of RLHF training, often enhanced by optimization techniques such as Trust Region Policy Optimization

(TRPO) (Schulman et al., 2017a), Rank Responses to Align Language Models with Human Feedback (RRHF) (Yuan et al., 2023), and Reinforcement Learning from Human Feedback with AI Feedback (RLAIF) (Lee et al., 2024a).

2.2 Preference-Based Optimization has a Constant Foundation

While RLHF methods continue to evolve, most remain grounded in the BT preference modeling and policy gradient framework defined in Section 2.1. To demonstrate this, we analyze the two dominant approaches: PPO and DPO.

PPO-Based Optimization: Building on Equation 2.1, PPO first trains a reward model via Bradley-Terry ranking loss:

$$\mathcal{L}_R = -\mathbb{E}_{(x, y_w, y_l)} \log \sigma(\mathcal{R}(x, y_w) - \mathcal{R}(x, y_l)), \quad (5)$$

then applies clipped policy gradients to optimize π_θ :

$$\mathcal{L}_{\text{PPO}}(\theta) = \mathbb{E}_t \left[\min \left(r_t(\theta) \hat{A}_t, \text{clip}(r_t(\theta), \epsilon) \hat{A}_t \right) \right]. \quad (6)$$

DPO as a Reward-Free Alternative: DPO bypasses explicit reward modeling by directly optimizing function’s preference margin:

$$\mathcal{L}_{\text{DPO}}(\theta) = -\mathbb{E}_{(x, y_w, y_l)} \log \sigma \left(\beta \log \frac{\pi_\theta(y_w|x)}{\pi_{\text{ref}}(y_w|x)} - \beta \log \frac{\pi_\theta(y_l|x)}{\pi_{\text{ref}}(y_l|x)} \right) \quad (7)$$

where β is a temperature parameter and π_{ref} is a reference policy.

In essence, PPO and DPO are structurally similar—both optimize over preference pairs to align models with human intent. Their main difference lies in whether an explicit reward model is used. However, both remain reliant on human-generated comparisons and operate within the constrained policy optimization framework, which fundamentally limits their autonomy and scalability. We have conducted a more in-depth theoretical analysis in the appendix B.

3 Methodology

Our autonomous RLHF-LLM framework innovates through three core components:

- **ARF Scorer:** Automates preference scoring through dynamic interaction analysis of QA pairs
- **Augmented DB:** Enhances data diversity via synonym conversion and random truncation, with ER mechanism preventing model drift
- **TraceBias:** Actor-critic based algorithm with novel normalization, achieving PPO/DPO-level performance without pairwise comparisons. (pseudo code shows in appendix C)

The overall flowchart of the ARF framework is shown in Figure 1

3.1 Adaptive Reward-Following(ARF) Scorer

Recent studies suggest that human communication not only conveys explicit semantic content but also implicitly reflects user satisfaction and willingness to continue the interaction (Chen and Chen, 2016; Shanahan et al., 2006; Henry et al., 2021; Prabh-moye et al., 2017). Building upon this observation, we propose a method that enables lightweight models to infer user satisfaction from prior interactions, producing explicit scalar feedback scores to replace traditional binary comparison scores used in BT-based RLHF methods.

3.1.1 Static Satisfaction Cornerstone

Both the static satisfaction scorer and the ARF scorer are built upon the lightweight RoBERTa-mini (Liu et al., 2019) architecture, balancing low latency with strong semantic understanding.

To enable self-supervised reward modeling, the static scorer predicts the quality of a given (prompt, response) pair based on the user’s subsequent reply. Specifically, it takes the user’s follow-up message as input and outputs a satisfaction score reflecting the user’s sentiment toward the previous system response.

We project the final hidden states of RoBERTa-mini to three sentiment classes: *bad*, *neutral*, and *good*, and aggregate token-level logits to obtain sequence-level satisfaction distribution:

$$\mathcal{C}_3 = \text{Linear}(\mathcal{H}_{\text{Last}}) \quad (8)$$

$$\mathcal{C}_{\text{avg}} = \text{Softmax} \left(\frac{1}{n} \sum_{i=1}^n \mathcal{C}_3^{(i)} \right) \quad (9)$$

Here, $\mathcal{H}_{\text{Last}}$ denotes the final hidden states from RoBERTa-mini, and $\mathcal{C}_3 \in \mathbb{R}^{\text{Batch} \times \text{Seq_Len} \times 3}$ represents token-level satisfaction logits. The final



Figure 2: We compare the gradient norm statistics between PPO (with clip range $\epsilon = 0.2$) and TraceBias with DAM. DAM exhibits lower variance and more stable gradient magnitudes, suggesting improved training stability and potential for enhanced performance.(V is shown in appendix I)

prediction $\mathcal{C}_{\text{avg}} \in \mathbb{R}^{\text{Batch} \times 3}$ summarizes sequence-level satisfaction via mean pooling and normalization.

These static predictions are collected as soft-labels to train the ARF scorer, which learns to assign reward scores to collected or new (prompt, response) pairs in an offline fashion. The ARF scorer then serves as the reward function in TraceBias, guiding LLM fine-tuning without requiring manual preference annotations.

3.1.2 ARF Scorer

During user interaction, the ARF scorer is fine-tuned online. It is initialized from the static satisfaction scorer to retain basic satisfaction estimation capabilities and accelerate convergence. To preserve richer label information, we treat the averaged satisfaction vector \mathcal{C}_{avg} as a soft label, and employ the standard cross-entropy loss for supervision:

$$\mathcal{L}_{\text{supervised}} = \text{CE}(\hat{\mathcal{C}}, \mathcal{C}_{\text{avg}}) \quad (10)$$

To mitigate overfitting and catastrophic forgetting due to limited data, we incorporate an Experience Replay (ER) mechanism. A sampling ratio ER_{ratio} determines whether the model trains on past experiences or current user feedback:

$$\mathcal{L}_{\text{total}} = \begin{cases} \mathcal{L}_{\text{ER}} = \text{CE}(\hat{\mathcal{C}}, \mathcal{C}_{\text{static}}), & \text{if } p < \text{ER}_{\text{ratio}} \\ \mathcal{L}_{\text{supervised}} = \text{CE}(\hat{\mathcal{C}}, \mathcal{C}_{\text{avg}}), & \text{otherwise} \end{cases}$$

where $\hat{\mathcal{C}}$ is the predicted satisfaction distribution, \mathcal{C}_{avg} is the soft label from user feedback, and $\mathcal{C}_{\text{static}}$ denotes labels from the static satisfaction dataset.

3.2 Augmentation Database

To further leverage the limited amount of real user feedback, we design an augmentation database that incorporates synonym substitution, controlled truncation, and a preference-biased data scoring algorithm. This database increases data diversity and volume, enabling the ARF-scorer to generalize better under limited real feedback supervision.

3.2.1 Preference-Biased Data Scoring Algorithm

Directly applying the ARF-scorer to evaluate synonym-augmented samples is suboptimal, especially in the early stages when the scorer has not yet adapted to the user’s evolving preferences. To address this, we propose a preference-biased data scoring algorithm that considers both the static satisfaction scorer’s output $\mathcal{C}_{\text{basic_avg}}$ and the ARF-scorer’s output $\mathcal{C}_{\text{ARF_avg}}$.

We compute a dynamic weighting coefficient S_{cos} based on the cosine similarity between the two score vectors, adjusted via a sigmoid function:

$$S_{\text{cos}} = \sigma\left((\text{CosSim}(\mathcal{C}_{\text{ARF_avg}}, \mathcal{C}_{\text{basic_avg}}) - 0.5) \cdot S_{\text{sig}}\right) \quad (11)$$

Here, σ denotes the sigmoid function, and S_{sig} is a scaling hyperparameter (see Appendix D for selection strategy). Since the outputs $\mathcal{C}_{\text{adapter_avg}}$ and $\mathcal{C}_{\text{basic_avg}}$ are normalized as in Eq. 8, their cosine similarity falls within $[0, 1]$, which we center around 0 by subtracting 0.5 to achieve a symmetric input range for the sigmoid.

The final score for augmented data is computed as a convex combination of both scores:

$$\mathcal{C}_{\text{Aug}} = \mathcal{C}_{\text{adapter_avg}} \cdot S_{\text{cos}} + \mathcal{C}_{\text{basic_avg}} \cdot (1 - S_{\text{cos}}) \quad (12)$$

3.2.2 Regular Re-Evaluation of Historical Scores

As user preferences naturally evolve over time, previously collected feedback and augmented data may become misaligned with current expectations. However, since the ARF-scorer is continuously updated, using it alone to re-score historical data could result in loss of alignment with past preferences.

To maintain continuity across preference shifts, we propose to regularly update old scores using the same preference-biased data scoring mechanism. Let $\mathcal{C}_{\text{old_avg}}$ denote the original score and $\mathcal{C}_{\text{new_avg}}$ the updated ARF-scorer’s output. The updated weight is computed as:

$$\mathcal{S}_{\text{cos}} = \sigma((\text{CosSim}(\mathcal{C}_{\text{new_avg}}, \mathcal{C}_{\text{old_avg}}) - 0.5) \cdot \mathcal{S}_{\text{sig}}) \quad (13)$$

$$\mathcal{C}_{\text{New}} = \mathcal{C}_{\text{new_avg}} \cdot \mathcal{S}_{\text{cos}} + \mathcal{C}_{\text{old_avg}} \cdot (1 - \mathcal{S}_{\text{cos}}) \quad (14)$$

This mechanism ensures that new scoring reflects both the current user preference and the historical decision boundary, enabling the ARF-scorer to retain knowledge of previous patterns rather than overfitting to recent feedback alone.

3.3 TraceBias Algorithm

To enable direct score-based optimization, we propose a novel actor-critic-style algorithm named **TraceBias**. A theoretical analysis provided in the appendix B.3 demonstrates its equivalence to PPO and DPO in terms of optimization objectives. TraceBias integrates random-length trajectory reward bias, discounted step-wise preferences for advantage estimation, and a newly introduced **Double Average Method (DAM)** a smooth surrogate strategy. These components collectively ensure stable updates and enable TraceBias to match or even surpass PPO and DPO in certain scenarios. The overall objective can be expressed in a concise actor-critic form:

$$\mathcal{L}_{\text{final}} = - \sum_{i=1}^N (\mathcal{S}_{\text{t-label}}^{(i)} - \mathcal{S}_{\text{t-real}}^{(i)}) \cdot \pi_{\text{ratio}}^{(i)} \quad (15)$$

Where:

- $\mathcal{S}_{\text{real}}^{(j)}$ and $\mathcal{S}_{\text{label}}^{(j)}$ denote the j -th step scores from the fine-tuned model and the reference (base) model, respectively.
- $\pi_{\text{ratio}}^{(i)}$ represents the DAM normalized token-level policy ratio for the i -th sample (see Section 3.3.3).

3.3.1 Trace Scores with Discounted Step-wise Evaluation

To aggregate per-step evaluation signals while reflecting historical performance, TraceBias computes a trajectory-level score using discounted(γ) step-wise preferences:

$$\mathcal{S}_{\text{t-real}} = \sum_{j=1}^T \gamma^{j-1} \cdot \mathcal{S}_{\text{real}}^{(j)}, \quad \mathcal{S}_{\text{t-label}} = \sum_{j=1}^T \gamma^{j-1} \cdot \mathcal{S}_{\text{label}}^{(j)} \quad (16)$$

Here, each step’s score $\mathcal{S}^{(j)}$ is computed based on the relative evaluation of the average scores:

$$\mathcal{S}^{(j)} = \mathcal{C}_{\text{avg}[2]}^{(j)} - \mathcal{C}_{\text{avg}[0]}^{(j)} \quad (17)$$

Where $\mathcal{C}_{\text{avg}[2]}^{(j)}$ represent good and $\mathcal{C}_{\text{avg}[0]}^{(j)}$ represent bad.

3.3.2 Advantage Estimation

With the trajectory scores $\mathcal{S}_{\text{t-real}}$ and $\mathcal{S}_{\text{t-label}}$, we compute the advantage function, representing the reward bias between the fine-tuned and reference models:

$$\mathcal{A}_i = \mathcal{S}_{\text{t-label}}^{(i)} - \mathcal{S}_{\text{t-real}}^{(i)} \quad (18)$$

This advantage guides the optimization direction during policy updates.

3.3.3 Double Average Method (DAM)

To stabilize the optimization without resorting to explicit gradient clipping, which may discard useful information, we propose two mechanisms:

- Normalize \mathcal{C}_{avg} to constrain the score magnitude in eq 8.
- Normalize token-level policy ratios to address instability due to variable sequence lengths.

We observe that summing log-probabilities over sequences of varying lengths leads to unstable gradient updates: longer sequences accumulate larger log-prob norms, resulting in disproportionately large updates, while shorter sequences receive weaker log-prob norms.

To address this issue, we adopt a token-level normalized policy ratio:

$$\pi_{\theta}^{(i)} = \frac{1}{T} \sum_{t=1}^T \log P_{\theta}(y_t^{(i)} | x^{(i)}) \quad (19)$$

$$\pi_{\text{old}}^{(i)} = \frac{1}{T} \sum_{t=1}^T \log P_{\text{old}}(y_t^{(i)} | x^{(i)}) \quad (20)$$

$$\pi_{\text{ratio}}^{(i)} = \exp(\pi_{\theta}^{(i)} - \pi_{\text{old}}^{(i)}) \quad (21)$$

where T is the sequence length, i indexes the sample, $x^{(i)}$ is the input context, and $y_t^{(i)}$ is the t -th output token. P_{θ} and P_{old} denote the current and previous policy probabilities, respectively.

This stabilizes training while preserving gradient information better than direct clipping, validated by gradient norm analysis (Fig. 2).

4 Experiments

4.1 Experimental Setup

Datasets. We employ five QA datasets from the Big-Bench benchmark (BBM) (Srivastava et al., 2023) to evaluate the generalizability of our RLHF-LLM framework across diverse task types: instruction following (Alpaca (Pawlik and Grigoriadis, 2024)), mathematical reasoning (GSM8K (Cobbe et al., 2021)), commonsense QA (StrategyQA (Geva et al., 2021)), open-domain dialogue (TopicalChat (Gopalakrishnan et al., 2023)), and summarization (CNN/DailyMail (See et al., 2017))—used primarily for robustness testing due to noise.

To support preference-based training in DPO and PPO, we construct a preference-labeled dataset via synonym substitution and scoring using pretrained preference models (see Appendix E).

We also introduce a multi-domain satisfaction dataset, **Emotion3**, by aggregating and re-annotating instances from DailyDialog (Li et al., 2017), GoEmotions (Demszky et al., 2020), ISEAR (Scherer and Wallbott, 1997), and Sentiment140 (Go et al., 2009). This dataset comprises 78,630 samples, partially re-scored using LLaMA3-13B and Qwen2.5-7B. Emotion labels are mapped to satisfaction levels and manually verified for quality. (Details in Appendix G.)

LLM Backbones, RLHF Methods, and Evaluation Protocols. To evaluate effectiveness under constrained capacity we conduct experiments using four lightweight large language models (LLMs) as backbones: **Gemma2-2B** (Team et al., 2024), **Qwen2-1.5B** (Yang et al., 2024), **Qwen2.5-1.5B** (Qwen et al., 2025), and **LLaMA3.2-2B** (Grattafiori et al., 2024).

We fine-tune each model using four distinct RLHF methodologies:

- **TraceBias:** Our proposed method, which avoids reliance on binary comparison datasets

by leveraging trajectory-level bias and Double Average Method (DAM) for stable training.

- **DPO (Rafailov et al., 2024):** A baseline RLHF method that eliminates explicit reward models by leveraging pairwise preference comparisons derived from the Bradley-Terry framework.
- **PPO (Schulman et al., 2017b):** A widely adopted policy optimization method in RLHF that operates over scalar rewards and comparison data.
- **RAIHF (Lee et al., 2024b):** A recent paradigm aiming to reduce human supervision by utilizing LLMs to automatically construct comparison datasets. This framework is compatible with multiple underlying RLHF methods (e.g., DPO, PPO).

The experiments adopting the fine-tuning method of LoRA (Hu et al., 2021)(All experiments hyper parameters shows in appendix A).

4.2 Main Results

To fully discover our framework’s performance we adopt a component-wise verification strategy. Specifically, we conduct extensive ablation and analysis experiments to validate the effectiveness of each module.

- **Static Scorer:** Experiment 4.3 evaluates the quality of the static satisfaction scorer.
- **ARF Scorer:** Experiment 4.4 examines the ARF scorer’s ability to trace evolving preferences.
- **TraceBias Effectiveness:** Experiments 4.5 and 4.6 demonstrate that TraceBias consistently provides better feedback signals under both simulated and AI-agent settings.
- **Mechanism Validation:** Experiments 4.7, 4.8, and Discussion 5.2 highlight the importance of key design components such as Experience Replay (ER), Dynamic Advantage Matching (DAM), and Preference-Biased Scoring.

By integrating insights from the above studies, we demonstrate the general effectiveness and adaptability of the proposed ARF framework across diverse scenarios. We also added CaseStudy in appendix K.

4.3 Evaluation of the Static Satisfaction Scorer

The overall performance of the ARF framework strongly depends on the quality of the static satis-

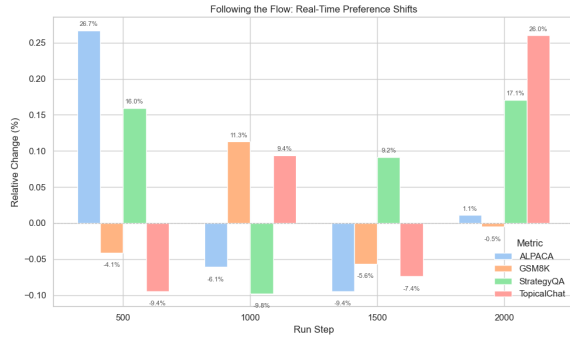


Figure 3: Tracking preference shifts using ARF. A drop in score indicates alignment with newly introduced negative preferences.

faction model used for initial data collection and supervision. While we describe the construction of this model and its large-scale annotation base in Appendix G, its effectiveness must still be validated. We evaluate the scorer on five benchmark emotion classification datasets: DailyDialog, GoEmotions, ISEAR, Sentiment140, and Emotion3 (a merged set). As shown in Table 1, the model consistently achieves over 70% accuracy across all datasets.

4.4 Adaptive Preference Tracking via ARF

Leveraging the static scorer’s accuracy, we test the ARF scorer’s dynamic tracking by injecting bias-specific data every 500 steps in the order ALPACA→GSM8K→StrategyQA→TopicalChat. When more than two biases overlap, we apply negative supervision to the earliest bias. The resulting scoring shifts are shown in Figure 3.

The results demonstrate that ARF adapts effectively to changing preferences. Initially, we observe synchronized gain/loss patterns between ALPACA and StrategyQA, and between GSM8K and TopicalChat, likely due to semantic similarity. Despite this, ARF successfully distinguishes between tasks once negative preferences are introduced (e.g., ALPACA at step 1500), indicating its robustness to subtle semantic correlations.

4.5 RLHF Method Comparison under Unified Evaluation

Due to the instability and prompt sensitivity of AI-judge evaluations, we instead employ a unified reward model for both data filtering and evaluation. This removes variation from prompt design, sampling temperature, and model architecture (More in discussion 5.1). We compare PPO, DPO, and our TraceBias method under identical scoring supervision, across four tasks and four base models:

Dataset	DailyDialog	GoEmotions	ISEAR	Sentiment140	Emotion3
Accuracy (%)	70.05	73.65	76.00	74.10	71.60

Table 1: Test accuracy of the static satisfaction scorer on various sentiment datasets. Hyperparameter details are provided in Appendix 7.

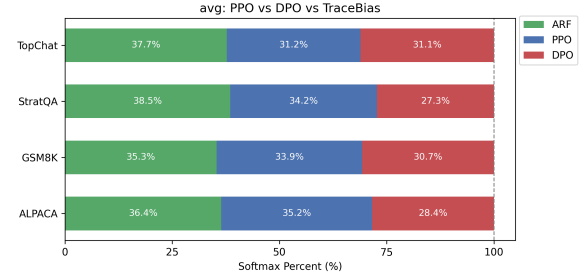


Figure 4: Average performance comparison of RLHF methods (PPO, DPO, TraceBias) under consistent scoring and preference targets. Single models’ performance in appendix J.

Qwen2 1.5B, Qwen2.5 1.5B, LLaMA3.2 3B, and Gemma2 2B. The normalized performance relative ratio compare to SFT is shown in Figure 4.

On average, TraceBias outperforms both PPO and DPO, with an improvement of 3.3% over PPO and 7.6% over DPO. We attribute this to the stability introduced by the DAM mechanism and the expressiveness of the trace-based update design. While there are isolated tasks where PPO or DPO perform better, TraceBias consistently ranks highest in aggregate performance.

4.6 LLM-Based Preference: RAIHF vs. ARF

To test TraceBias under machine-generated preferences, we construct a 1K preference dataset on StrategyQA using DeepSeek-v3 (The detailed AI evaluation output in appendix F). Using this dataset, we train RLHF pipelines using PPO and DPO, denoted RAIHF-PPO and RAIHF-DPO. We compare them against TraceBias using the same reward supervision. As shown in Table 2, TraceBias outperforms both RAIHF variants, indicating its robustness to the quality of preference data.

Interestingly, while DPO slightly outperforms PPO in this setting, its dependence on precise com-

Evaluation method	RAIHF-PPO	RAIHF-DPO	TraceBias
Score Ratio	30.3	32.8	36.9
DeepSeek V3(win rate vs SFT)	43%	49%	52%

Table 2: The evaluation of multiple RAIHF variants against the TraceBias method on the StrategyQA dataset, using DeepSeek-v3 preference annotations.

ER Ratio	GSM8K (Preference)	Emotion3
Basic	53.52	73.84
0	60.59	59.32
0.5	56.40	70.88

Table 3: Ablation of ER ratio in ARF training. ER=0.5 balances adaptation and generalization.

parisons makes it more sensitive to data quality. In contrast, TraceBias and PPO are better suited for noisy or weakly-supervised preference signals.

4.7 Effect of Experience Replay (ER) in ARF

We hypothesize that ER helps mitigate catastrophic forgetting or overfitting in ARF training. To test this, we compare ARF with and without ER under 1000 training steps. As shown in Table 3, disabling ER leads to better accuracy on recent data but a significant drop in generalization, supporting our claim.

4.8 Gradient Stability Analysis-DAM vs. Clip

As shown in Figure 2, we analyze gradient norms across PPO and TraceBias (using traceStep = 1 for fairness). TraceBias consistently exhibits lower gradient magnitude and variance, even compared to PPO with clipping. This supports our claim that DAM promotes smoother and more stable learning dynamics.

5 Discussion

5.1 How to proof our experiments’ accuracy?

As noted in Section 4.5, AI-agent-based evaluation (e.g., using an LLM judge) exhibits high variance from prompt wording, task quirks, model architecture, and random seeds, yielding inconsistent and unreliable results. To mitigate this, we complement AI-agent metrics (Section 4.6) and a unified, scorer-based protocol: for reward-oriented methods (e.g., TraceBias, PPO), we train against a pretrained reward model and evaluate with a shared, immutable scorer; for comparison-based methods (e.g., DPO), we use the same scorer to assess preference alignment. Crucially, every method and run uses the exact same held-out test examples (none seen during training), and the scorer never changes—eliminating any method-specific coupling or information leakage. This ensures a stable, unbiased comparison of each method’s convergence to the target preference.

5.2 On the Necessity of Rescoring in Preference-Biased Scoring

To evaluate our preference-biased rescoring, we add an ablation (Table 6, Appendix H) comparing identical training with and without periodic score updates. Without rescoring, examples originally labeled positive continue to be optimized for positive preference even after flipping to negative, causing stale signals that mislead the reward model and violate dynamic adaptation. This demonstrates that periodic rescoring is essential to keep data annotations aligned with evolving user preferences, and validates the effectiveness of our mechanism in maintaining robust preference alignment.

6 Conclusion

We propose ARF-RLHF, a reinforcement learning framework that autonomously aligns language models with user preferences through dialogue. It features three innovations: 1) an Adaptive Reward-Following (ARF) scorer for dynamic satisfaction modeling; 2) a perturbation-augmented preference generalization database; 3) the TraceBias algorithm unifying actor-critic-style optimization with token-level stabilization. Theoretical analysis shows structural compatibility with PPO/DPO while enabling fully score-driven optimization. Experiments demonstrate its effectiveness in scalable preference optimization under limited supervision.

Limitations

While our method offers significant theoretical and empirical advantages, we acknowledge the following limitations:

- **Lack of Real Human evaluation:** The scorer-based evaluation protocol is designed for fair comparison of RLHF methods, focusing on minimizing noise factors. It does not yet capture generalization performance in real-world scenarios. Future work will include human blind testing or cross-validation with alternative scorers to further validate the results.
- **Model Scale Constraint:** Due to resource constraints, we conduct experiments on 1.5B–3B models. While our method is architecture-agnostic and theoretically scalable, its effectiveness on larger LLMs (e.g., 7B, 13B, 65B) remains to be explored in future work.

References

- RALPH ALLAN BRADLEY. 1955. [Rank analysis of incomplete block designs: Iii. some large-sample results on estimation and power for a method of paired comparisons*](#). *Biometrika*, 42(3-4):450–470.
- Huanpei Chen and Hsin-Hsi Chen. 2016. [Implicit polarity and implicit aspect recognition in opinion mining](#). In *Annual Meeting of the Association for Computational Linguistics*.
- Paul Christiano, Jan Leike, Tom B. Brown, Miljan Martić, Shane Legg, and Dario Amodei. 2023. [Deep reinforcement learning from human preferences](#). *Preprint*, arXiv:1706.03741.
- Karl Cobbe, Vineet Kosaraju, Mohammad Bavarian, Mark Chen, Heewoo Jun, Lukasz Kaiser, Matthias Plappert, Jerry Tworek, Jacob Hilton, Reiichiro Nakano, and 1 others. 2021. Training verifiers to solve math word problems. *arXiv preprint arXiv:2110.14168*.
- DeepSeek-AI, Daya Guo, Dejian Yang, Haowei Zhang, Junxiao Song, Ruoyu Zhang, Runxin Xu, Qihao Zhu, Shiron Ma, Peiyi Wang, Xiao Bi, Xiaokang Zhang, Xingkai Yu, Yu Wu, Z. F. Wu, Zhibin Gou, Zhihong Shao, Zhuoshu Li, Ziyi Gao, and 181 others. 2025. [Deepseek-r1: Incentivizing reasoning capability in llms via reinforcement learning](#). *Preprint*, arXiv:2501.12948.
- Flor Miriam Plaza del Arco, Alba Curry, Amanda Cercas Curry, and Dirk Hovy. 2024. [Emotion analysis in nlp: Trends, gaps and roadmap for future directions](#). *Preprint*, arXiv:2403.01222.
- Dorottya Demszky, Dana Movshovitz-Attias, Jeongwoo Ko, Alan Cowen, Gaurav Nemade, and Sujith Ravi. 2020. [Goemotions: A dataset of fine-grained emotions](#). *Preprint*, arXiv:2005.00547.
- Mor Geva, Daniel Khashabi, Elad Segal, Tushar Khot, Dan Roth, and Jonathan Berant. 2021. [Did aristotle use a laptop? a question answering benchmark with implicit reasoning strategies](#). *Preprint*, arXiv:2101.02235.
- Alec Go, Richa Bhayani, and Lei Huang. 2009. [Twitter sentiment classification using distant supervision](#). Technical Report CS224N Project Report, Stanford University.
- Karthik Gopalakrishnan, Behnam Hedayatnia, Qinfeng Chen, Anna Gottardi, Sanjeev Kwatra, Anu Venkatesh, Raefer Gabriel, and Dilek Hakkani-Tur. 2023. [Topical-chat: Towards knowledge-grounded open-domain conversations](#). *Preprint*, arXiv:2308.11995.
- Aaron Grattafiori, Abhimanyu Dubey, Abhinav Jauhri, Abhinav Pandey, Abhishek Kadian, Ahmad Al-Dahle, Aiesha Letman, Akhil Mathur, Alan Schelten, Alex Vaughan, Amy Yang, Angela Fan, Anirudh Goyal, Anthony Hartshorn, Aobo Yang, Archi Mitra, Archie Sravankumar, Artem Korenev, Arthur Hinsvark, and 542 others. 2024. [The llama 3 herd of models](#). *Preprint*, arXiv:2407.21783.
- Alastair Henry, Cecilia Thorsen, and Peter D. MacIntyre. 2021. [Willingness to communicate in a multilingual context: part two, person-context dynamics](#). *Journal of Multilingual and Multicultural Development*, 42(9):827–841.
- Edward J. Hu, Yelong Shen, Phillip Wallis, Zeyuan Allen-Zhu, Yuanzhi Li, Shean Wang, Lu Wang, and Weizhu Chen. 2021. [Lora: Low-rank adaptation of large language models](#). *Preprint*, arXiv:2106.09685.
- Harrison Lee, Samrat Phatale, Hassan Mansoor, Kellie Ren Lu, Thomas Mesnard, Johan Ferret, Colton Bishop, Ethan Hall, Victor Carbune, and Abhinav Rastogi. 2024a. [RLAIF: Scaling reinforcement learning from human feedback with AI feedback](#).
- Harrison Lee, Samrat Phatale, Hassan Mansoor, Thomas Mesnard, Johan Ferret, Kellie Lu, Colton Bishop, Ethan Hall, Victor Carbune, Abhinav Rastogi, and Sushant Prakash. 2024b. [Rlaif vs. rlhf: Scaling reinforcement learning from human feedback with ai feedback](#). *Preprint*, arXiv:2309.00267.
- Yanran Li, Hui Su, Xiaoyu Shen, Wenjie Li, Ziqiang Cao, and Shuzi Niu. 2017. [DailyDialog: A manually labelled multi-turn dialogue dataset](#). In *Proceedings of the Eighth International Joint Conference on Natural Language Processing (Volume 1: Long Papers)*, pages 986–995, Taipei, Taiwan. Asian Federation of Natural Language Processing.
- Yinhan Liu, Myle Ott, Naman Goyal, Jingfei Du, Mandar Joshi, Danqi Chen, Omer Levy, Mike Lewis, Luke Zettlemoyer, and Veselin Stoyanov. 2019. [Roberta: A robustly optimized bert pretraining approach](#). *Preprint*, arXiv:1907.11692.
- OpenAI, Josh Achiam, Steven Adler, Sandhini Agarwal, Lama Ahmad, Ilge Akkaya, Florencia Leoni Aleman, Diogo Almeida, Janko Altschmidt, Sam Altman, Shyamal Anadkat, Red Avila, Igor Babuschkin, Suchir Balaji, Valerie Balcom, Paul Baltescu, Haiming Bao, Mohammad Bavarian, Jeff Belgum, and 262 others. 2024. [Gpt-4 technical report](#). *Preprint*, arXiv:2303.08774.
- Piotr Pawlik and Kristiana Grigoriadis. 2024. [Alpaca-paper](#). Zenodo dataset.
- Shrimai Prabhumoye, Sushant Choudhary, Eleni Spiliopoulou, Chris Bogart, Carolyn Rose, and Alan W Black. 2017. [Linguistic markers of influence in informal interactions](#). In *Proceedings of the Second Workshop on NLP and Computational Social Science*, pages 53–62, Vancouver, Canada. Association for Computational Linguistics.
- Qwen, :, An Yang, Baosong Yang, Beichen Zhang, Binyuan Hui, Bo Zheng, Bowen Yu, Chengyuan Li, Dayiheng Liu, Fei Huang, Haoran Wei, Huan

Lin, Jian Yang, Jianhong Tu, Jianwei Zhang, Jianxin Yang, Jiayi Yang, Jingren Zhou, and 25 others. 2025. [Qwen2.5 technical report](#). *Preprint*, arXiv:2412.15115.

Rafael Rafailov, Archit Sharma, Eric Mitchell, Stefano Ermon, Christopher D. Manning, and Chelsea Finn. 2024. [Direct preference optimization: Your language model is secretly a reward model](#). *Preprint*, arXiv:2305.18290.

Klaus R. Scherer and Harald G. Wallbott. 1997. ISEAR dataset: International survey on emotion antecedents and reactions. <https://www.unige.ch/cisa/research/materials-and-online-research/research-material/>.

John Schulman, Sergey Levine, Philipp Moritz, Michael I. Jordan, and Pieter Abbeel. 2017a. [Trust region policy optimization](#). *Preprint*, arXiv:1502.05477.

John Schulman, Filip Wolski, Prafulla Dhariwal, Alec Radford, and Oleg Klimov. 2017b. [Proximal policy optimization algorithms](#). *Preprint*, arXiv:1707.06347.

Abigail See, Peter J Liu, and Christopher D Manning. 2017. Get to the point: Summarization with pointer-generator networks. In *Proceedings of the 55th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*. Association for Computational Linguistics.

James Shanahan, Yan Qu, and Janyce Wiebe. 2006. [Computing Attitude and Affect in Text: Theory and Applications](#), volume 20.

Aarohi Srivastava, Abhinav Rastogi, Abhishek Rao, Abu Awal Md Shoeb, Abubakar Abid, Adam Fisch, Adam R Brown, Adam Santoro, Aditya Gupta, Adrià Garriga-Alonso, and 1 others. 2023. Beyond the imitation game: Quantifying and extrapolating the capabilities of language models. *Transactions on Machine Learning Research*.

Gemma Team, Morgane Riviere, Shreya Pathak, Pier Giuseppe Sessa, Cassidy Hardin, Surya Bhupatiraju, Léonard Hussenot, Thomas Mesnard, Bobak Shahriari, Alexandre Ramé, Johan Ferret, Peter Liu, Pouya Tafti, Abe Friesen, Michelle Casbon, Sabela Ramos, Ravin Kumar, Charline Le Lan, Sammy Jerome, and 179 others. 2024. [Gemma 2: Improving open language models at a practical size](#). *Preprint*, arXiv:2408.00118.

An Yang, Baosong Yang, Binyuan Hui, Bo Zheng, Bowen Yu, Chang Zhou, Chengpeng Li, Chengyuan Li, Dayiheng Liu, Fei Huang, Guanting Dong, Haoran Wei, Huan Lin, Jialong Tang, Jialin Wang, Jian Yang, Jianhong Tu, Jianwei Zhang, Jianxin Ma, and 43 others. 2024. [Qwen2 technical report](#). *Preprint*, arXiv:2407.10671.

Zheng Yuan, Hongyi Yuan, Chuanqi Tan, Wei Wang, Songfang Huang, and Fei Huang. 2023. [Rrhf: Rank](#)

[responses to align language models with human feedback without tears](#). *Preprint*, arXiv:2304.05302.

A Hyper Parameters

All experiments’ Hyper parameters shows below:

1. Static Scorer Evaluation: table 7
2. Adaptive Preference Tracking: table 8
3. RLHF Comparison: table 9
4. RAIHF vs. ARF: table 10
5. Effect of Experience Replay (ER) in ARF 11
6. Gradient Stability Analysis for DAM 12

All experiments were conducted on 2 * NVIDIA GTX 2080 Ti GPUs with CUDA unified memory enabled, using multi-GPU parallelism. Training consumed approximately 390 GPU-hours per device.

B The Essential Homology of DPO, PPO, and TraceBias

The Actor-Critic (AC) algorithm can be formulated as:

$$\mathcal{L}^{\text{AC}}(\theta) = - \sum_{t=1}^N \log \pi_{\theta}(a_t | s_t) \cdot A_t \quad (22)$$

In this section, we demonstrate the theoretical connections among PPO, DPO, and our proposed TraceBias. We argue that these methods share a common optimization structure, thereby validating the theoretical soundness of TraceBias.

B.1 PPO as a clip Constrained Actor-Critic Variant

PPO (Schulman et al., 2017b) can be defined as:

$$\mathcal{L}^{\text{PPO}}(\theta) = \mathbb{E}_t [\min (r_t(\theta) A_t, \text{clip}(r_t(\theta), \epsilon) A_t)] \quad (23)$$

where $r_t(\theta)$ is the importance sampling ratio between the current and previous policies:

$$r_t(\theta) = \frac{\pi_{\theta}(a_t | s_t)}{\pi_{\theta_{\text{old}}}(a_t | s_t)} \quad (24)$$

and the clipping function is defined as:

$$\text{clip}(r_t(\theta), \epsilon) = \begin{cases} 1 - \epsilon, & \text{if } r_t(\theta) < 1 - \epsilon \\ r_t(\theta), & \text{if } 1 - \epsilon \leq r_t(\theta) \leq 1 + \epsilon \\ 1 + \epsilon, & \text{if } r_t(\theta) > 1 + \epsilon \end{cases} \quad (25)$$

By expanding the objective, we obtain:

$$\mathcal{L}^{\text{PPO}}(\theta) = \mathbb{E}_t \left[\min \left(\frac{\pi_\theta(a_t | s_t)}{\pi_{\theta_{\text{old}}}(a_t | s_t)} A_t, \text{clip} \left(\frac{\pi_\theta(a_t | s_t)}{\pi_{\theta_{\text{old}}}(a_t | s_t)}, \epsilon \right) A_t \right) \right] \quad (26)$$

If we ignore the clipping operation—which serves as a regularization mechanism to limit the policy update magnitude—the PPO objective reduces to:

$$\mathcal{L}^{\text{PPO}}(\theta) \propto \sum_{t=1}^N r_t(\theta) A_t \quad (27)$$

Here, $r_t(\theta)$ reflects the policy ratio $\frac{\pi_\theta(a_t | s_t)}{\pi_{\theta_{\text{old}}}(a_t | s_t)}$, which encourages increasing the likelihood of actions with high advantage A_t . This shows that PPO essentially shares the same optimization direction as AC, differing only in the incorporation of a trust-region-inspired constraint to stabilize training.

B.2 DPO as a KL-Constrained Actor-Critic Variant

Direct Preference Optimization (DPO) (Rafailov et al., 2024) leverages the Bradley-Terry model to represent pairwise preferences as follows:

$$\mathbb{P}(y^+ \succ y^- | x) = \frac{\exp(r(y^+))}{\exp(r(y^+)) + \exp(r(y^-))} \quad (28)$$

Its associated loss is:

$$\mathcal{L}_R(\phi, D) = -\mathbb{E}_{(x, y_w, y_l)} \left[\log \frac{\exp(r(y_w))}{\exp(r(y_w)) + \exp(r(y_l))} \right] \quad (29)$$

The DPO objective derived from this model is:

$$\mathcal{L}_{\text{DPO}}(\pi_\theta; \pi_{\text{ref}}) = -\mathbb{E}_{(x, y_w, y_l)} \left[\log \sigma \left(\beta \log \frac{\pi_\theta(y_w | x) \pi_{\text{ref}}(y_l | x)}{\pi_\theta(y_l | x) \pi_{\text{ref}}(y_w | x)} \right) \right] \quad (30)$$

Introducing a normalization constant $Z(x)$, the implicit optimal policy $\pi^*(y | x)$ can be defined as:

$$\pi^*(y | x) = \frac{\pi_{\text{ref}}(y | x) \cdot \exp(\frac{1}{\beta} r(y))}{Z(x)} \quad (31)$$

where the partition function $Z(x)$ is:

$$Z(x) = \sum_{y'} \pi_{\text{ref}}(y' | x) \cdot \exp \left(\frac{1}{\beta} r(y') \right) \quad (32)$$

Taking the logarithm of both sides yields:

$$\log \pi^*(y | x) = \log \pi_{\text{ref}}(y | x) + \frac{1}{\beta} r(x, y) - \log Z(x) \quad (33)$$

We can then derive:

$$r(x, y) = \beta \log \frac{\pi^*(y | x)}{\pi_{\text{ref}}(y | x)} + \beta \log Z(x) \quad (34)$$

By applying $r(x, y)$ in the pairwise preference model $\mathbb{P}(y^+ \succ y^- | x)$ and utilizing the Plackett-Luce model (see Appendix A.3 of (Rafailov et al., 2024) for more details), the DPO objective can be equivalently rewritten as:

$$\max_{\pi_\theta} \left\{ \mathbb{E}_{x \sim D, y \sim \pi_\theta(\cdot | x)} [r_\phi(x, y)] - \beta \text{KL}(\pi_\theta(\cdot | x) \parallel \pi_{\text{ref}}(\cdot | x)) \right\} \quad (35)$$

Here, the optimization objective is to maximize the expected reward regularized by a KL divergence term. Assuming $A(x, y) = r(x, y)$, and temporarily ignoring the KL regularization, this reduces to an actor-critic style objective:

$$\mathcal{L}^{\text{DPO}}(\theta) \propto \mathbb{E}_{y \sim \pi_\theta(\cdot | x)} [A(x, y)] \quad (36)$$

This implies that DPO and actor-critic share effectively the same optimization objective when the reward signal is defined as the advantage. In practice, this expectation can be approximated by:

$$\mathcal{L}^{\text{DPO}}(\theta) \approx \sum_{i=1}^N \log \pi_\theta(y_i | x_i) \cdot A(x_i, y_i) \quad (37)$$

Thus, DPO can be interpreted as a KL-regularized actor-critic method, where the reward signal is derived from preference feedback rather than scalar returns.

B.3 TraceBias as a DAM-Constrained Actor-Critic Variant

We have previously outlined the Actor-Critic (AC) interpretation of TraceBias in our methodology. Here, we provide a formal derivation from the expanded formulation to its AC-style representation.

$$\mathcal{L}_{\text{final}} = - \sum_{i=1}^T (\mathcal{S}_{\text{t-label}} - \mathcal{S}_{\text{t-real}}) \cdot \exp(\pi_\theta^{(i)} - \pi_{\text{old}}^{(i)}) \quad (38)$$

Following our methodology, the token-level reward (or *score*) is defined via discounted(γ) step-wise preferences as follows:

$$\mathcal{S}_{\text{t-real}} = \sum_{j=1}^T \gamma^{j-1} \cdot \mathcal{S}_{\text{real}}^{(j)}, \quad \mathcal{S}_{\text{t-label}} = \sum_{j=1}^T \gamma^{j-1} \cdot \mathcal{S}_{\text{label}}^{(j)} \quad (39)$$

Accordingly, we define the advantage function by measuring the difference between the real and reference trajectories:

$$\mathcal{A}_i = \mathcal{S}_{\text{t-label}}^{(i)} - \mathcal{S}_{\text{t-real}}^{(i)} \quad (40)$$

Substituting this into the objective, TraceBias can be rewritten in an actor-critic form:

$$\mathcal{L}_{\text{TraceBias}} = \sum_{i=1}^T \mathcal{A}_i \cdot \exp(\pi_{\theta}^{(i)} - \pi_{\text{old}}^{(i)}) \quad (41)$$

To improve optimization stability, we introduce the DAM smooth surrogate strategy, which pools token-level scores and normalizes the policy ratio across the trajectory. Analogous to the clipping term in PPO and the KL regularization in DPO, DAM serves as a regularization mechanism: We define the token-level ratio as:

$$\pi_{\theta}^{(i)} = \frac{1}{T} \sum_{t=1}^T \log P_{\theta}(y_t^{(i)} | x^{(i)}) \quad (42)$$

$$\pi_{\text{old}}^{(i)} = \frac{1}{T} \sum_{t=1}^T \log P_{\text{old}}(y_t^{(i)} | x^{(i)}) \quad (43)$$

Then, the normalized trajectory-level policy ratio is computed as:

$$\pi_{\text{ratio}}^{(i)} = \exp(\pi_{\theta}^{(i)} - \pi_{\text{old}}^{(i)}) \quad (44)$$

Thus, the final form of TraceBias is:

$$\mathcal{L}_{\text{TraceBias}} = \sum_{i=1}^T \mathcal{A}_i \cdot \pi_{\text{ratio}}^{(i)} \quad (45)$$

This derivation shows that TraceBias can be directly interpreted as an Actor-Critic method without introducing additional approximations, highlighting its theoretically grounded and streamlined formulation.

Scale value	Scale Impact on Sigmoid under 0.2	interval
1(Too small)	0.5498	[0.3775,0.6225]
4	0.6900	[0.1192,0.8808]
6	0.7685	[0.0474,0.9526]
8	0.8320	[0.018,0.9820]
16(Too big)	0.9608	[0.0003,0.9997]

Table 4: The table shows how different scale values map to specific intervals after applying the Sigmoid function.

B.4 Summary

Although PPO, DPO, and TraceBias differ significantly in their final objectives, all can be reformulated as variants of the Actor-Critic (AC) framework. By optimizing the expected reward weighted by advantage, each method introduces distinct regularization strategies—such as PPO’s clipping, DPO’s KL constraint, or TraceBias’s DAM normalization—to improve training stability. This unified perspective highlights that TraceBias is not only theoretically grounded but also competitive with existing policy optimization techniques.

C The pseudo code of TraceBias

The TraceBias pseudo code shows in alg 1.

D The selection of sigmoid Scale

Scale plays a crucial role in determining the sensitivity of the norm function, as it directly affects most parameters. We recommend selecting scales within the range of [4,8], as excessively large values can push most parameters towards the boundaries of the Sigmoid function interval, limiting their effective range. Table 4 illustrates when $(\text{CosSim}(\mathcal{C}_{\text{ARF_avg}}, \mathcal{C}_{\text{basic_avg}}) - 0.5)$ equals values 0.2 is converted together with the corresponding function value range by the Sigmoid function under different proportional settings. It is worth noting that when the scale is set to 1, the relatively large 0.2 level in sigmoid results in a mapping value of only 0.5498. However, when the ratio increases to 16, the same input is mapped to nearly 1, indicating that the range is overly compressed. Based on these observations, we strongly recommend selecting a scale within the range of [4,8] for the numerical deviation annotation algorithm, as it ensures a balanced transformation without pushing values to extremes.

E RLHF Dataset Construction

To support comparison-based fine-tuning methods such as DPO and PPO, we construct a simulated

binary preference dataset. Given the prohibitive cost of large-scale human annotation, and the fact that this dataset is primarily used to compare fine-tuning preferences across methods rather than for real-world deployment, we adopt a surrogate construction strategy that also aligns with the training of our ARF preference model.

Concretely, we employ the `naw.SynonymAug` module from the `nlpaug` library to perform four rounds of synonym substitution using WordNet, generating paraphrased variants that preserve semantic intent while introducing surface-level diversity.

Before constructing the binary comparison dataset, we annotate the augmented **'former'** samples with soft labels using our fine-tuned static satisfaction scorer. To amplify preference signals and avoid potential overfitting, we prepend task-specific prompts that were never included in the scorer's training data. Specifically:

- **Good prompt:** Great! You gave a correct answer. Here is the next question: ...
- **Bad prompt:** Your answer is absolutely wrong! This is the next question. Stop giving such terrible and misleading feedback! ...

The annotated samples are then used to fine-tune the ARF scorer, which serves as a proxy for user-aligned preferences. To ensure fairness, each RLHF method (including PPO and DPO) constructs its training pairs using the same ARF scorer: for each pair, the sample with a higher score is designated as the preferred (positive) response, while the lower-scored one is treated as negative. This guarantees that all methods are aligned in their optimization direction and evaluated under consistent supervision.

F DeepSeek agent's Evaluation under RAIHF task

We using below prompt to compare the output of baselines and SFT, the win/loos/evqual tabel shows in tabel 5. The prompt of comparison shows below:

Question: '...' Answer1: '...' Answer2: '...' Please use strict criteria to determine which answer is more in line with human preferences 1 or 2 only answer a number.

Evaluation method	Win	Loss	Equal
RAIHF-PPO	43%	50%	7%
RAIHF-DPO	49%	47%	4%
TraceBias	52%	44%	4%

Table 5: The win, loos, equal rate compare to SFT method

G Satisfaction Dataset Construction

To construct a large-scale, diverse, and high-quality satisfaction classification dataset aligned with our three-level labeling schema (*bad*, *neutral*, *good*), we aggregate a total of 78,630 samples from four widely-used emotion and sentiment datasets:

- **DailyDialog** (Li et al., 2017): A multi-turn dialogue dataset that closely mirrors everyday conversational scenarios.
- **GoEmotions** (Demszky et al., 2020): A fine-grained, high-quality emotion classification dataset spanning a wide range of affective states.
- **ISEAR** (Scherer and Wallbott, 1997): A clean and structured emotion dataset based on psychological self-reports.
- **Sentiment140** (Go et al., 2009): A large-scale Twitter sentiment dataset that reflects informal and noisy online communication.

To unify the labeling across datasets with heterogeneous annotation schemes, we define a common strength-based mapping strategy, converting existing emotion tags into a standardized 7-level satisfaction scale (see Table 13). For relatively clean datasets (DailyDialog, GoEmotions, ISEAR), we directly apply this mapping to assign satisfaction scores.

Given the informal nature of Sentiment140, additional cleaning is necessary. We sample 15,000 instances and perform multi-round evaluation using both Qwen2 7B and LLaMA3 13B. Each sample is scored twice by each model; the maximum and minimum scores are discarded, and the mean of the remaining two is taken as the final label. Samples with high variance across scores are further manually verified to ensure annotation reliability. The result is a cleaned subset of 15,000 samples from Sentiment140 with stable satisfaction labels.

After consolidating all datasets, we create a unified **Emotion7** dataset with 7 satisfaction levels. We then perform a coarse mapping to form the final

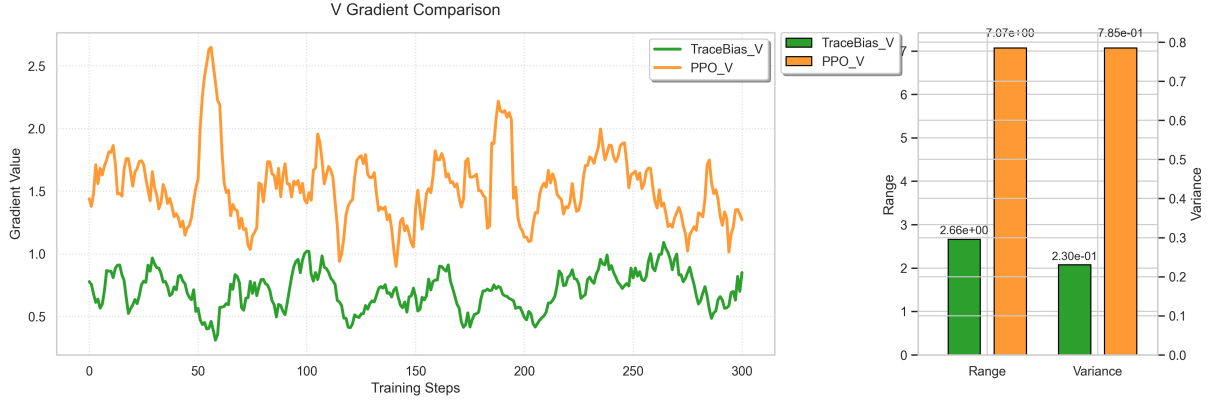


Figure 5: V Gradient norm comparison between PPO (with clip range $\epsilon = 0.2$) and TraceBias with DAM.

Emotion3 dataset: levels $[0, 1]$ as *bad*, 1.5 as *neutral*, and $[2, 3]$ as *good*. This dataset provides broad domain coverage, consistent labels, and stylistic diversity, serving as the basis for training our static satisfaction scorer.

H Ablation analysis of ReScoring

We do an ablation study on using the ReScoring and not using the ReScoring on the Experiment of Adaptive Preference Tracking via ARF, and calculating the value change on ALPACA and GSM8K, while they are turned to negative preference the table 6 shows the importance of re-scoring.

I Gradient Comparison V

Figure 5 V Gradient norm comparison between PPO (clip) and TraceBias (DAM). Lower variance and norm suggest improved stability.

J Models' Performance under different RLHF Baselines

We show all models' RLHF performance below:

- Qwen2-1.5B: table 6
- Qwen2.5-1.5B: table 7
- Gemma2-2B: table 8
- Llama3.2-3B: table 9

We applied softmax with temperature (set to 0.1) purely for visualization purposes.

K CaseStudy under Llama3.2

To preserve the original formatting of model outputs, we retain their format in the paper. For excessively long responses, we replace parts with ellipses ("...") for clarity. Representative examples are provided in Table 14, Table 15, Table 16, and Table 17.

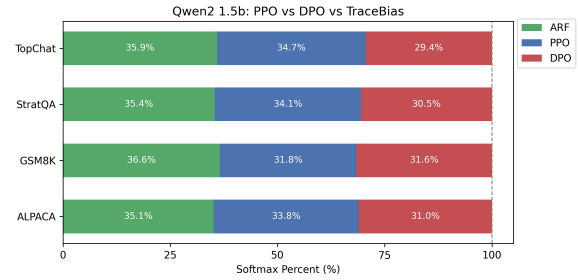


Figure 6: Qwen2's Performance

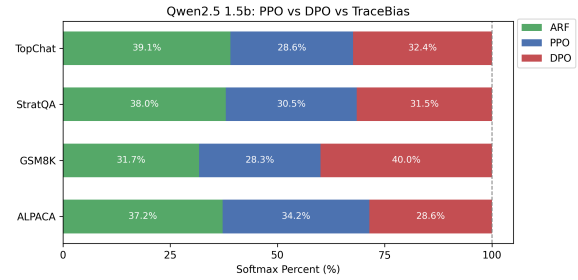


Figure 7: Qwen2.5's Performance

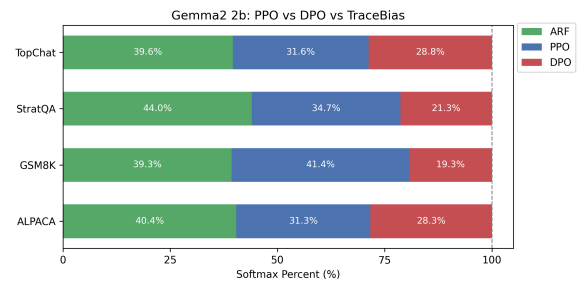


Figure 8: Gemma2's Performance

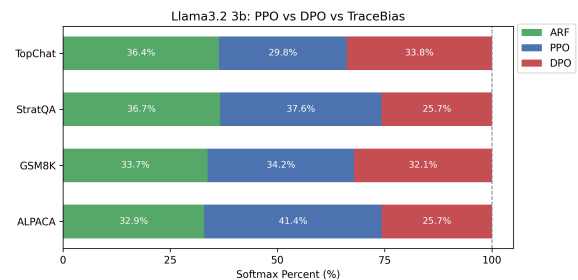


Figure 9: Llama3.2's Performance

Algorithm 1: TraceBias with DAM

Input: Augmented dialogue dataset \mathcal{D}_{aug} , reward model \mathcal{R} , policy model π_θ , reference model π_{ref} , max length L_{max} , discount factor γ , environment \mathcal{E}

Output: Updated parameters θ

```
while training do
  repeat
    Sample a dialogue trajectory
     $\{(x_t, y_t^*, s_t)\}_{t=1}^T \sim \mathcal{D}_{\text{aug}}$ ;
    Initialize context  $\mathcal{C} \leftarrow [\text{system prompt}]$ ,
    total loss  $\mathcal{L} \leftarrow 0$ ;
    Initialize LabelReward  $\leftarrow 0$ ,
    RealReward  $\leftarrow 0$ ;
    for  $t = 1$  to  $T$  do
      Append user input  $x_t$  to context  $\mathcal{C}$ ;
      LabelReward  $+= \gamma^{t-1} \cdot s_t$ ;
      Generate output  $y_t \sim \pi_\theta(\cdot | \mathcal{C})$ ;
      if  $\text{length}(\mathcal{C}) > L_{\text{max}}$  then
        break
      // Compute per-token
        log-probabilities from both
        models
       $\log \pi_\theta = \log_{\text{softmax}}(\pi_\theta(\mathcal{C}))$ ;
       $\log \pi_{\text{ref}} = \log_{\text{softmax}}(\pi_{\text{ref}}(\mathcal{C}))$ ;
      // Compute average token-level
        log-probs (DAM token -level
        average)
       $\log \pi_\theta(y_t) =$ 
         $\frac{1}{|\text{len}(y_t)|} \sum_{i=1}^{|\text{len}(y_t)|} \log \pi_\theta(y_t^{(i)} | \mathcal{C})$ ;
       $\log \pi_{\text{ref}}(y_t) =$ 
         $\frac{1}{|\text{len}(y_t)|} \sum_{i=1}^{|\text{len}(y_t)|} \log \pi_{\text{ref}}(y_t^{(i)} | \mathcal{C})$ ;
      // Compute real reward via
        environment
       $r_t \leftarrow \mathcal{E}(y_t)$ ;
      RealReward  $+= \gamma^{t-1} \cdot r_t$ ;
      // Importance sampling ratio
       $w_t = \exp(\log \pi_\theta(y_t) - \log \pi_{\text{ref}}(y_t))$ ;
      // Add weighted reward mismatch
        to loss
       $\mathcal{L} +=$ 
         $-w_t \cdot (\text{LabelReward} - \text{RealReward})$ ;

      Append assistant response  $y_t$  to context
       $\mathcal{C}$ ;
    until valid sample obtained;
  // Gradient update
  Backpropagate:  $\nabla_\theta \mathcal{L}$ ;
  Update:  $\theta \leftarrow \theta - \eta \cdot \nabla_\theta \mathcal{L}$ ;
```

Hyper Parameters	ALPACA	GSM8K
With ReScore	-9.4	-0.5
Without ReScore	7.2	3.7

Table 6: ReScore analysis

Hyper Parameters	TrainStep	Test Step	Learning Rate	Batch	MLP Hidden Size
Static Scorer	20000	500	1e-6	20	328

Table 7: hyper-parameters of Static Scorer Evaluation

Hyper Parameters	TrainStep	Test Step	Learning Rate	ERRatio	MLP Hidden Size
ARF Scorer	2000	500	1e-6	0.5	328

Table 8: hyper-parameters of Adaptive Preference Tracking via ARF

Hyper Parameters	LoRA Rank	Epoch	Test Step/Epoch	Train Step/Epoch	Learning Rate	TraceBias gamma	clip epsilon	PPO [c1,c2]	DPO beta
PPO	8	4	100	500	1e-6	-	0.2	[0.01,0.01]	-
DPO	8	4	100	500	1e-6	-	-	-	0.1
TraceBias	8	4	100	500	1e-6	0.99	-	-	-

Table 9: hyper-parameters of Adaptive Preference Tracking via ARF

Hyper Parameters	LoRA Rank	Epoch	Test Step/Epoch	Train Step/Epoch	Learning Rate	TraceBias gamma	clip epsilon	PPO [c1,c2]	DPO beta
PPO	8	2	100	250	1e-5	-	0.2	[0.01,0.01]	-
DPO	8	2	100	250	1e-5	-	-	-	0.1
TraceBias	8	2	100	250	1e-5	0.99	-	-	-

Table 10: hyper-parameters of RAIHF vs. ARF

ERRatio	TrainStep	Test Step	Learning Rate	MLP Hidden Size
0.5	1000	500	1e-5	328
0	1000	500	1e-5	328

Table 11: hyper-parameters of Experience Replay (ER) in ARF

Hyper Parameters	LoRA Rank	Train Step	Learning Rate	TraceBias gamma	clip epsilon	PPO [c1,c2]
PPO	8	300	1e-6	-	0.2	[0.01,0.01]
TraceBias	8	300	1e-6	0.99	-	-

Table 12: hyper-parameters of Gradient DAM test

Strength	Label	DailyDialog	ISEAR	GoEmotion
0.0	extremely neg	disgust	disgust	disappointment embarrassment grief sadness
0.5	neg	anger, sadness	sadness	anger disgust remorse
1.0	neg nero	fear	fear	annoyance confusion disapproval nervousness
1.5	nero	no emotion		caring curiosity neutral
2.0	pos nero			approval desire realization relief surprise
2.5	pos	surprise	joy	admiration amusement excitement joy love optimism pride
3.0	extreme pos	happiness		admiration gratitude

Table 13: Emotion categories mapped to continuous strength scores across datasets.

Table 14: GSM8K

Field	Content
Prompt	Q: Reggie and his brother are having a basketball shooting contest. They each get to take 10 shots. Layups are worth 1 point, free throws are worth 2 points, and anything further away is worth 3 points. Reggie makes 3 layups, two free throws, and one long shot. His brother only shoots long shots and makes 4 of them. How many points does Reggie lose by? A:
label	Reggie makes 3 points off layups because $3 \times 1 = 3$ Reggie makes 4 points off free-throws because $2 \times 2 = 4$ Reggie makes 3 points off long shots because $1 \times 3 = 3$ Reggie scores 10 points because $3 + 4 + 3 = 10$ His brother scores 12 points because $4 \times 3 = 12$ Reggie loses by 2 because $12 - 10 = 2$
SFT	To find out how many points Reggie lost by, we calculate both totals explicitly: Reggie’s points: layups $3 \times 1 = 3$; free throws $2 \times 2 = 4$; long shot $1 \times 3 = 3$; sum = 10. Brother’s points: $4 \times 3 = 12$. Since $10 < 12$, Reggie loses by 2 points.
TraceBias	To determine the points Reggie scored, we need to calculate the points from each type of shot. Reggie made 3 layups ($3 \times 1 = 3$ points), 2 free throws ($2 \times 2 = 4$ points), and 1 long shot ($1 \times 3 = 3$ points). Total: $3 + 4 + 3 = 10$ points. His brother made 4 long shots ($4 \times 3 = 12$ points). Difference: $12 - 10 = 2$ points.
Comment	The TraceBias response employ formal mathematical notation and rigorous step-by-step reasoning to enhance precision and clarity.

Table 15: ALPACA

Field	Content
Prompt	Q: Describe the character of Romeo from <i>Romeo and Juliet</i> . A:
label	"Romeo is a passionate, impulsive, and hopelessly romantic young man, who falls deeply in love with Juliet at first sight. He is a member of House Montague in the play <i>Romeo and Juliet</i> , and is depicted as sensitive, idealistic, and poetic, idolizing love and its power. Although he's brave and skilled in combat, Romeo is also shown as prone to intense emotions, leading him to act impulsively and recklessly. His strong feelings often cloud his judgement, which eventually leads to the tragic end of the play. Despite his flaws, Romeo is a loyal friend, devoted son and nephew, and an unwavering lover, willing to do anything for the woman he loves."
SFT	<p>Romeo Montague is the protagonist of William Shakespeare's tragic play <i>Romeo and Juliet</i>. He is a young, passionate, and impulsive nobleman from the rival Montague family.</p> <ol style="list-style-type: none"> 1. Romantic and passionate: falls in love at first sight and sacrifices everything for Juliet. 2. Impulsive and impetuous: acts on emotion without forethought. 3. Loyal and devoted: fiercely protects family and Juliet. 4. Youthful and inexperienced: mistakes due to naiveté. 5. Emotionally expressive: conveys feelings through poetry. 6. Self-destructive: reckless decisions contribute to tragedy. <p>His tragic fate underscores the destructive power of hate and the redemptive power of love.</p>
TraceBias	Romeo, the protagonist of Shakespeare's tragic love story, is a complex, passionate, and impulsive nobleman from the House of Montague. Key Characteristics: (1) <i>Impulsive and passionate</i> ; (2) <i>Romantic and idealistic</i> ; (3) <i>Impetuous</i> ; (4) <i>Loyal and devoted</i> . Motivations: love for Juliet, family loyalty, desire for vengeance. Flaws: impulsivity, lack of prudence, emotional instability. His character symbolizes love's power and the human spirit.
Comment	The TracceBias response is thorough with the format more close to label.

Table 16: StrategyQA

Field	Content
Prompt	Q: Did compact discs make computer gaming more popular? A:
label	Yes. Compact discs contained significantly more storage space than the previously popular floppy disc format. Gaming studios were therefore able to significantly improve the graphics, sounds, and features of their games to make them more immersive. The better games led to a massive increase in popularity for computer gaming.
SFT	<p>Compact discs (CDs) did play a role in making computer gaming more popular, but it was not the sole factor.</p> <ul style="list-style-type: none"> • CD-ROM technology: enabled large-game distribution on a single disc. • Improved graphics and sound: enhanced audio-visual experience (e.g. <i>Doom</i>, <i>Myst</i>). • Wider availability: expanded platform reach. • Industry growth: attracted investment and talent. <p>Other influences included hardware advances, online gaming, and marketing strategies.</p>
TraceBias	Yes. CDs significantly popularized PC gaming in the 1990s. The CD-ROM format’s increased capacity and audio quality drove a surge in game sales, fueling industry growth.
Comment	The SFT response is verbose and includes peripheral historical details; TraceBias more concise focus on the primary mechanisms by which CD technology impacted gaming would enhance clarity and relevance.

Table 17: TopicalChat

Field	Content
Prompt	agent_B: "Did you know Steve Spurrier coach the Redskins?" ... agent_B:
label	"he has 12 victories in 32 games so maybe thats why he got fired , I think the team had high hopes on him."
SFT	<p>It appears that agent_A and agent_B are discussing Steve Spurrier’s career:</p> <ol style="list-style-type: none"> 1. Confirmed that Spurrier coached the Redskins. 2. Recounted anecdote about his duck-lips TV moment. 3. Noted his 1966 Heisman Trophy at Florida. 4. Identified misattribution of Tampa Bay Bandits. 5. Speculated on team embarrassment and website omission.
TraceBias	agent_B: "I know, its hard to find characters as known and famous as Steve lol..." agent_A: "I think you’re right. I mean, Steve Spurrier ... need to give him the recognition he deserves."
Comment	The SFT submission fails to recognize the continuation task and instead provides a summary, whereas the TraceBias submission demonstrates proper dialogue continuation and contextual sensitivity.