# That Slepen Al the Nyght with Open Ye! Cross-era Sequence Segmentation with Switch-memory

**Anonymous ACL submission** 

#### Abstract

Language evolution follows the rule of gradual change. Grammar, vocabulary, and lexical semantics shift took place over time, result-004 ing in the diachronic linguistic gap. However, a considerable amount of texts are written in languages of different eras, which brings obstacles to natural language processing tasks, such as word segmentation and machine translation. Chinese is a language with a long history, but previous Chinese natural language processing works mainly focused on tasks in a specific era. Therefore, in this paper, we propose a cross-era learning framework for Chinese word segmen-014 tation (CWS), CROSSWISE, which uses the Switch-memory (SM) module to incorporate 016 era-specific linguistic knowledge. Experiments on four corpora with different eras show that 017 the performance of each corpus obtains a significant improvement. Further analyses also demonstrate that the SM can effectively integrate the knowledge of the eras into the neural network.

#### 1 Introduction

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As a human-learnable communication system, language is by no means static but evolve over time. Various aspects of language, such as grammar, vocabulary and word meaning change at different rates due to language contact and many other factors, a fact that led to the diachronic linguistic gap. For example, "That slepen al the nyght with open ye (That sleep all the night with open eye)" is a sentence from The Canterbury Tales, written in Middle English by Geoffrey Chaucer at the end of the 14th century. It's difficult for people without a background of Middle English knowledge to understand the sentence. However, some discourses may consist of modern English and Old English because of citation or rhetorical need. For instance, Shakespeare's fourteen lines of poetry is often quoted in contemporary novels. This kind of era-hybrid text brings barriers to natural language

| Sample | from | Μ   | SR      |          |      |          |      |   |
|--------|------|-----|---------|----------|------|----------|------|---|
| Golda  | (wa  | it) | (who)   | (come)   | (s   | love)    | (ne) | ? |
| Golus  | 等待   |     | 谁       | 来        | 解决   |          | 呢    | ? |
| PKUSeg | 等征   | 寺   | 谁       | 来        | 角    | 军决       | 呢    | ? |
| JiaYan | 等    | 待   | 谁       | 来        | 解    | 决        | 呢    | ? |
| Sample | from | AV  | WIKI    |          |      |          |      |   |
| Golds  | (Qi) | (C) | ui Shu) | (leads a | (rmy | (attack) | (Lv) | 0 |
| Golus  | 齐    |     | 崔杼      | リークリーク   | 帀    | 伐        | 皆    | 0 |
| PKUSeg | 齐    | Ë   | 杼       | ·帅       |      | 师伐莒      |      | 0 |
| JiaYan | 齐    |     | 崔杼      | ・ 帅り     | 币    | 伐        | 皆    | 0 |

Table 1: Illustration of the different segmentation results for a modern Chinese sentence and an ancient Chinese sentence with different segmentation toolkits.

processing tasks such as word segmentation and machine translation.

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Having the honour of being listed as one of the oldest languages of the world, the Chinese language has seen several changes over its long history. It has undergone various incarnations, which is recognized as Archaic (Ancient) Chinese, Middle Ancient Chinese, Near Ancient Chinese, and Modern Chinese. Notably, most Chinese NLP tasks skew towards Modern Chinese. Take Chinese Word Segmentation (CWS) as an example, many previous methods mainly focused on addressing the CWS problem on Modern Chinese and achieved satisfying results (Zheng et al., 2013; Chen et al., 2015; Zhang et al., 2016; Xu and Sun, 2016; Shao et al., 2017; Yang et al., 2017; Zhang et al., 2018; Tian et al., 2020b,a). Although CWS for ancient Chinese has been noticed in recent years, the processing of language-hybrid texts is still an open question. As shown in Table 1, PKUSeg (Luo et al., 2019a) is a Chinese segmenter trained with modern Chinese corpus, which can segment the modern Chinese sentence correctly, but its accuracy drops sharply when applied to ancient Chinese. And the

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ancient Chinese segmenter JiaYan<sup>1</sup> achieves good performance on ancient Chinese text, but fails to perform well on the Modern Chinese. Therefore, it is necessary to develop appropriate models to exploit cross-era NLP tasks.

To this end, we propose CROSSWISE (CROsSear Segmentation WIth Switch-mEmory), a learning framework that deals with cross-era Chinese word segmentation (CECWS) task. The framework integrates era-specific knowledge with the Switch-memory mechanism to improve CWS for era-hybrid texts. More specifically, we jointly train CWS and sentence classification task in order to predict both segmentation result and era label. We utilize the Switch-memory module to incorporate knowledge of different eras, which consists of keyvalue memory networks (Miller et al., 2016) and a switcher. The key-value memory networks are used to store era-specific knowledge by several memory cells. And the sentence discriminator is considered as a switcher governing how much information in each memory cell will be integrated into the model. For each memory cell, we map candidate words from dictionary and word boundary information to keys and values.

The main contributions of this paper could be summarized as follows.

• Cross-era learning is first introduced for CWS, in which we share all the parameters with a multi-task architecture. The shared encoder is used to capture the common information between several datasets with different eras. This single model can produce different words segmentation granularity according to the different era.

 The Switch-memory mechanism is used to integrate era-specific knowledge into the neural network, which can help improve the performance of out of vocabulary (OOV) words. And two switcher modes (*hard-switcher* and *soft-switcher*) are proposed to control how much information in each cell will be feed into the model.

• Experimental results from four CWS datasets with different eras confirm that the performance of each corpus obtains a significant improvement. Further analyses also demonstrate that our model is flexible for cross-era Chinese word segmentation.

http://github.com/jiayan/Jiayan/

# 2 Related Work

Chinese word segmentation is generally considered as a sequence labeling task, i.e. to assign a label to each character in a given sentence. In recent years, many deep learning methods have been applied to CWS successfully (Zheng et al., 2013; Chen et al., 2015; Zhang et al., 2016; Xu and Sun, 2016; Shao et al., 2017; Yang et al., 2017; Kurita et al., 2017; Liu et al., 2018; Zhang et al., 2018; Ye et al., 2019a; Higashiyama et al., 2019; Huang et al., 2020b; Tian et al., 2020b,a,c; Liu et al., 2021). Among these studies, some point out that context features and external knowledge can improve the CWS accuracy (Kurita et al., 2017; Yang et al., 2017; Zhang et al., 2018; Liu et al., 2018; Tian et al., 2020b,a,c). The studies from Liu et al. (2018) and Zhang et al. (2018) leveraged dictionary to improve the task; ngram are also an effective context feature for CWS (Kurita et al., 2017; Tian et al., 2020b; Shao et al., 2017). Tian et al. (2020b) utilized syntactic knowledge generated by existing NLP toolkits to improve CWS and part-of-speech (POS). Tian et al. (2020c) incorporated wordwood information for neural segmenter and achieved state-of-the-art performance at that time.

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It is a common practice to jointly train CWS and other related tasks based on a multi-task framework. Chen et al. (2017) took each segmentation criterion as a single task, and proposed an adversarial multitask learning framework for multi-criteria CWS by extracting shared knowledge from multiple segmentation datasets. Yang et al. (2017) investigated the effectiveness of several external sources for CWS by a globally optimized beam-search model. They considered each type of external resource as an auxiliary classification, then leveraged multi-task learning to pre-train the shared parameters used for the context modeling of Chinese characters. Liu et al. (2018) jointly trained the CWS and word classification task by a unified framework model. Inspired by these successful studies, we also borrow ideas from the multi-task framework, and jointly train the CWS task and the sentence classification task to boost the performance of cross-era CWS.

Recently, some studies have noticed the linguistic gap due to the differences in eras. Ceroni et al. (2014) proposed a time-aware re-contextualization approach to bridge the temporal context gap. Chang et al. (2021) reframed the translation of ancient Chinese text as a multi-label prediction task, then predicted both translation and its particular



Figure 1: CROSSWISE for cross-era Chinese word segmentation. "Dis" represents the discriminator, namely sentence classifier. " $M_1$ " is the first memory cell, its internal structure as shown at the right of the figure. For each character, the first memory cell extracts all candidate words from the input sentence and only keeps ones that appeared in the first dictionary (candidate words as keys, words' boundary information as value).

era by dividing ancient Chinese into three periods.

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Key-value memory networks were introduced to the task of directly reading documents and answering questions by Miller et al. (2016), which helped bridge the gap between direct methods and using human-annotated or automatically constructed Knowledge Bases. Tian et al. (2020c) utilized this mechanism to incorporate n-grams into the neural model for CWS.

Encouraged by the above works, we design a multi-task model for cross-era CWS, jointly train the sentence classification task and CWS by a unified framework model. Key-value memory networks are used to integrate era-specific knowledge into the neural network follow Tian et al. (2020c).

#### **3** The Proposed Framework

# 3.1 BERT-CRF model for Chinese word Segmentation

Chinese word segmentation is generally viewed as a character-based sequence labeling task. In detail, given a sentence  $X = \{x_1, x_2, ..., x_T\}$ , each character in the sequence is labeled as one of  $\mathcal{L} =$  $\{B, M, E, S\}$ , indicating the character is at the beginning, middle, end of a word, or the character is a single-character word. CWS aims to figure out the ground truth of labels  $Y^* = \{y_1^*, y_2^*, ..., y_T^*\}$ :

 $Y^* = \arg \max P\left(Y|X\right)_{Y \in \mathcal{L}^T} \tag{1}$ 

The universal end-to-end neural CWS architecture usually contains an encoder and a decoder.

**Encoding layer.** According to Fu et al. (2020), although BERT-based (Devlin et al., 2019) models for CWS are not impeccable, BERT is inferior to un-pre-training models in many aspects, such as BERT is more suitable for dealing with long sentences. therefore, we utilize BERT released by Devlin et al. (2019) as the shared encoder, which is pre-trained with a large number of unlabeled Chinese data. 199

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$$\{\mathbf{h}_1, ..., \mathbf{h}_i, ..., \mathbf{h}_T\} = Encoder(\{x_1, ..., x_i, ..., x_T\})$$
(2)

where  $\mathbf{h}_i$  is the representation for  $x_i$  from the encoder.

**Decoding layer.** In this work, we use a shared decoder for different eras' samples, since we combined era-aware representation for each character by the Switch-memory module. There are different algorithms that can be implemented as decoders, such as random conditional fields (CRF) (Lafferty et al., 2001) and softmax. In our framework, we use CRF as the decoder.

In CRF layer, P(Y|X) in Eq. 1 could be represented as:

$$P(Y|X) = \frac{\emptyset(Y|X)}{\sum_{Y' \in \mathcal{L}^T} \emptyset(Y'|X)}$$
(3)

where,  $\emptyset(Y|X)$  is the potential function, and we only consider interactions between two successive labels.

$$\emptyset(Y|X) = \prod_{i=2}^{T} \sigma(X, i, y_{i-1}, y_i)$$
(4)

$$\sigma(\mathbf{x}, i, y', y) = exp(s(X, i)_y + b_{y'y}) \quad (5)$$

where  $b_{y'y} \in \mathbf{R}$  is trainable parameters respective to label pair (y', y). The score function  $s(X, i) \in \mathbb{R}^{|\mathcal{L}|}$  calculate the score of each lable for  $i_{th}$  character:

| Rule  | $V_{i,j}$ |
|---|-----------|
| $x_i$ is the beginning character of $w_{i,j}$ . | $V_B$     |
| $x_i$ is the ending character of $w_{i,j}$ .    | $V_E$     |
| $x_i$ is a single word, $w_{i,j}$ .             | $V_S$     |

Table 2: the rules for assigning different values to  $x_i$  according to its position in word  $w_{i,j}$ .

$$s(X \ i) = \mathbf{W}_s^{\dagger} \mathbf{a}_i + b_s \tag{6}$$

where  $\mathbf{a}_i$  is the final representation for  $i_{th}$  character.  $\mathbf{W}_s \in \mathbb{R}^{d_a \times L}$  and  $b_s \in \mathbb{R}^{|\mathcal{L}|}$  are trainable parameters.

#### 3.2 Switch-memory mechanism

The Switch-memory consists of *d* memory cells and a switcher. For an input sentence, there are *d* memory cells for each character. The switcher govern how much information in each cell will be integrated into the network. And the state of the switcher depends on sentence classification task.

#### 3.2.1 Memory cells

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Dictionary has been used as an useful external source to improve the performance for CWS in many studies(Yang et al., 2017; Liu et al., 2018; Zhang et al., 2018). However, the method of incorporating dictionary for previous studies is limited in either concatenating candidate words and character embeddings or requiring handcrafted templates. In this work, we utilize key-value memory networks to incorporate dictionary information, which is initially applied to the Question Answering(QA) task for better storage of prior knowledge required by QA. Intuitively, we can also use this network structure to store the prior knowledge required by cross-era CWS.

At a fine-grained view, the notion of "ancient Chinese" may not be considered a single language with a static word-meaning mapping. Ancient Chinese has three development stages: Ancient, Middle Ancient, and Near Ancient. Each stage has specific lexicon and word segmentation granularity. Therefore, we construct four dictionaries  $\mathcal{D} = \{D_0, D_1, D_2, D_3\}$ , associating with the four development stages of Chinese respectively, and each dictionary is era-related. Given a sentence, four memory cells are generated for each character in the sentence according to the four dictionaries, and each memory cell will map candidate words and word boundary information to keys and values.

Candidate words as keys. Following Miller et al., for each  $x_i$  in the input sentence, each dictionary has many words containing  $x_i$ , we only keep the n-grams from  $x_i$ 's context and appear in each dictionary, resulting  $w^d_i = \{w^{\hat{d}}_{i,1}, \; w^d_{i,2}, ... w^d_{i,j} ... w^d_{i,m_i}\}$  ,  $x_i$  is a part of word  $w_{i,j}^d \in D_d$ ,  $d \in [0,3]$ . We use an example to illustrate our idea. For the input sentence show in Figure 1, there are many n-grams for  $x_3$  = "海(sea)", we only keep ones that appear in  $D_0$  for the first memory cell, thus,  $w_3^0 =$ {"海口(HaiKou)", "入海口(estuary)", "海(sea)"}. Similarly, we can generate  $w_3^1$ ,  $w_3^2$ ,  $w_3^3$  for the second, third and fourth memory cell according to  $D_1, D_2, D_3$ . Then, the memory cell compute the probability for each key (which are denoted as  $e_{i,j}^w$ for each  $w_{i,j}^d$ ), here  $\mathbf{h}_i$  is the embedding for  $x_i$ , which is encoded by the encoder.

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$$p_{i,j}^{d} = \frac{exp(\mathbf{h}_{i} \cdot e_{i,j}^{w})}{\sum_{j=1}^{m_{i}} exp(\mathbf{h}_{i} \cdot e_{i,j}^{w})}$$
(7)

Word boundary information as values. As we know, CWS aims to find the best segment position. However, each character  $x_i$  may have different position in each  $w_{i,j}^d$ . For example,  $x_i$  may be at the beginning, middle, ending of  $w_{i,j}^d$ , or  $x_i$  may form a single word. Different positions convey different information. Therefore, we use the boundary information of candidate words as values for key-value networks. As shown in Table 2, a set of word boundary value  $\{V_B, V_E, V_S\}$ with embeddings  $\{e_{V_B}, e_{V_E}, e_{V_S}\}$  represent the  $x_i$ 's different positions in  $w_{i,j}^d$ , and we map  $x_i$ to different value vectors according to its positions. As a result, each  $w_i^d$  for  $x_i$  has a values list  $\mathcal{V}_{i}^{d} = [v_{i,1}^{d}, v_{i,2}^{d}, ... v_{i,j}^{d}, ... v_{i,m_{i}}^{d}]$ . In Figure 1,  $x_3 =$ " $\nexists$ (sea)", for the first memory cell, we can map candidate word boundary information to the value list  $\mathcal{V}_3^0 = [V_S, V_B]$ . Four cells for  $x_i$  has a values list  $\mathcal{V}_i = [v_i^0, v_i^1, v_i^2, v_i^3]$ . Then the  $d_{th}$ memory cell embedding for  $x_i$  is computed from the weighted sum of all keys and values as follow.

$$\mathbf{o}_{i}^{d} = \sum_{j=1}^{m_{i}} p_{i,j}^{d} e_{i,j}^{v^{d}}$$
(8)

where  $e_{i,j}^{v^d}$  is the embedding for  $v_{i,j}^d$ . Next, the final character embedding is the element-wise sum of  $\mathbf{o}_i$  and  $\mathbf{h}_i$ , or their concatenation, passing through a fully connected layer as follow:

$$\mathbf{a}_i = \mathbf{W}_o \cdot (\mathbf{o}_i \odot \mathbf{h}_i) \tag{9}$$

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where  $\odot$  operation could be sum or concatenate,  $\mathbf{W}_o$  is a trainable parameter and the output  $\mathbf{a}_i \in \mathbb{R}^T$ is the final representation for the  $i_{th}$  character.  $\mathbf{o}_i$  is the final memory embedding for the  $i_{th}$  character, and can be calculated as follow.

$$\mathbf{o}_i = Switcher([\mathbf{o}_i^0, \mathbf{o}_i^1, \mathbf{o}_i^2, \mathbf{o}_i^3])$$
(10)

The Switcher is used to control how much information in each memory cell will be combined with the output of the encoder.

# 3.2.2 The switcher

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Inspired by the efforts of multi-task, we add a discriminator network on the top of the source encoder to predict the era label of the input sentence. The discriminator predicts the probability of the correct era label z conditioned on the hidden states of the encoder **H**. The loss function of the discriminator is  $\mathcal{J}_{disc} = -logP(z|\mathbf{H})$ , through minimizing the negative cross-entropy loss to maximizes  $P(z|\mathbf{H})$ . The predicted result is not only used to switch memory cells, intuitively, but it also forces the encoder to encode era-related information into the features it generates.

For our work, we feed **H** into a fully-connected layer and let it pass through a softmax layer to obtain probabilities for each era label.

Switch mode. For the switcher, we propose two switcher modes, hard-switcher and soft-switcher. Hard-switcher switches memory cells according to the final predict result from the discriminator. For the input sentence in Figure 1, if the predict result is the modern era, the switcher will switch to the memory cell associated with modern Chinese, and  $\mathbf{o}_i = \mathbf{o}_i^d$ . Soft-switcher switches memory cells according to the predict probability, which will be taken as the weight for each memory cell. Soft-switcher means there are some other datasets corresponding dictionary information that will be incorporated into the current sentence representation. For example, the predict probability list is [0.1, 0.2, 0.1, 0.6], therefore, the final memory representation for  $i_{th}$  character is  $\mathbf{o}_i = \mathbf{o}_i^0 * 0.1 + \mathbf{o}_i^1 * 0.2 + \mathbf{o}_i^2 * 0.1 + \mathbf{o}_i^3 * 0.6.$ 

# 3.2.3 Objective

In our framework, the discriminator is optimized jointly with the CWS task, both sharing the same encoding layer. We assign different weights to the loss of the two tasks, the final loss function is:

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$$\mathcal{J} = \alpha \mathcal{J}_{cws} + (1 - \alpha) \mathcal{J}_{disc} \qquad (11)$$

where  $\alpha$  is the weight that controls the interaction of the two losses.  $\mathcal{J}_{cws}$  is the negative log likelihood of true labels on the training set.

$$\mathcal{J}_{cws} = -\sum_{n=1}^{N} log(P(Y_n|X_n)) \qquad (12)$$

where N is the number of samples for training set, and  $Y_n$  is the ground truth tag sequence of the  $n_{th}$ sample.

# 4 Experiment

### 4.1 Datasets

We evaluate our proposed architecture on four CWS datasets from Academia Sinica Ancient Chinese Corpus<sup>2</sup> (ASACC) and SIGHAN 2005 (Emerson, 2005). Table 3 lists the statistics of all datasets. Among these datasets, PKIWI, DKIWI, AKIWI from ASACC, corresponding to near ancient Chinese, middle ancient Chinese, ancient Chinese respectively, and MSR from SIGHAN 2005 is a modern Chinese CWS dataset. Note that PKIWI, DKIWI, and AKIWI are traditional Chinese, and we translate them into simplified Chinese before segmentation.

For PKIWI, DKIWI, and AKIWI, we randomly pick 5K examples as test set, and randomly pick 10% instances from training set as the development set for all the datasets. Similar to previous work (Chen et al., 2017), we preprocess all datasets by replacing Latin characters, digits, and punctuation with a unique token.

#### 4.2 Experimental Configurations

In our experiments, for the encoder BERT, we follow the default setting of the BERT (Devlin et al., 2019). The key embedding size and value embedding size are the same as the output of the encoder, and we random initialize them. For the baseline model Bi-LSTM, we set character embedding size to 300 and set the hidden state to 100. For the transformer, we follow the settings as Qiu et al. (2020). The loss weight coefficient  $\alpha$  is a hyper-parameter to balance the classification loss and segmentation loss, we searched for  $\alpha$  from 0 to 1 by setting an equal interval to 0.1, and the model achieves the best performance when  $\alpha$  is set to 0.7.

We use the words from the training set as the internal dictionary, and each training set generates

<sup>&</sup>lt;sup>2</sup>http://lingcorpus.iis.sinica.edu.tw/ ancient

| Da       |          | Words | Chars | Word types | Char Types | Sents | OOV Rate |       |
|----------|----------|-------|-------|------------|------------|-------|----------|-------|
|          | A K IW/I | Train | 2.8M  | 3.2M       | 65.3K      | 7.5K  | 59.7K    | -     |
|          | AKIWI    | Test  | 0.2M  | 0.3M       | 15.7K      | 4.4K  | 5K       | 4.35% |
| ASACC    | DRIMI    | Train | 2.2M  | 2.8M       | 44.3K      | 6.0K  | 50.1K    | -     |
| ASACC    |          | Test  | 0.2M  | 0.3M       | 13.0K      | 3.8K  | 5K       | 4.91% |
|          | DKIWI    | Train | 6.4M  | 7.8M       | 117.0K     | 7.2K  | 144.1K   | -     |
|          |          | Test  | 0.2M  | 0.3M       | 18.6K      | 4.4K  | 5K       | 1.71% |
| SIGHAN05 | MCD      | Train | 2.4M  | 4.1M       | 88.1K      | 5.2K  | 86.9K    | -     |
| SIGHAN05 | MSK      | Test  | 0.1M  | 0.2M       | 12.9K      | 2.8K  | 4.0K     | 2.60% |

Table 3: Detail of the four datasets.

a dictionary. The simplified Chinese dictionary sourced from jieba <sup>3</sup> is used as the external dictionary for MSR, and we extract words from *The ErYa* (an ancient dictionary) and ancient Chinese textbooks as the external dictionary for AWIKI. In particular, for PWIKI and DWIKI, we use highfrequency bi-grams and tri-grams extracted from the corresponding period corpus <sup>4</sup> as external dictionaries.

# 4.3 Overall results

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In this section, we first give the experimental results of the proposed model on test sets of four crossera CWS datasets. The experimental results on the aforementioned four datasets are shown in Table 4, where the F1 score and the OOV recall rate are reported.

There are several observations drawn from the results. First, we compare BERT-CRF in single-era scenario (ID:1 in Table 4) and cross-era learning without the SM module (ID:6). As can be seen from the table, when mixing four datasets, the average F1 value on all datasets slightly drops. Single-era dataset learning obtains 97.61 in average F1 value, while cross-era learning without the Switchmemory module obtains 97.32 average F1 value. It shows that the performance cannot be improved by merely mixing several datasets.

Second, these models with the SM mechanism (ID:3,5,7) outperform those baseline models (ID:2,4,6) in terms of F1 value and  $R_{oov}$  on all datasets. For instance, BERT-CRF with SM module (ID:7) gains 1.09% improvement on the average F1 score compared with BERT-CRF(ID:6), and the average  $R_{oov}$  improves from 76.15 to 82.37. It indicates that the Switch-memory can help improve segmentation and  $R_{oov}$  performance by integrating era-specific knowledge.

Third, among different encoders, the improvement of pre-trained encoder BERT on F1 value is still decent. When using Bi-LSTM as the encoder (ID:2,3), the average F1 value and the  $R_{oov}$ is 89.15, 90.66, respectively. When using BERT as the encoder (ID:6,7), the F1 value obtains about 8% improvement. The reason may be that the pretraining processing supplements some effective external knowledge.

To further illustrate the validity and the effectiveness of our model, we compare our best result on four datasets with some previous state-of-the-art works. Multi-domain and multi-criteria Chinese word segmentation are very similar to our task in some aspects, and therefore we also reproduce experiments on several previous word segmentation models with four datasets (Luo et al., 2019b; Qiu et al., 2020; Huang et al., 2020a). For the multidomain segmenter PKUSeg (Luo et al., 2019b), we train four datasets with pre-trained mixed model, respectively. The comparison is shown in Table 5, and our model outperforms previous methods.

#### 4.4 Ablation study

Table 6 shows the effectiveness of each component in the SM module.

The first ablation study is to verify the effectiveness of memory cells. In this experiment, the sentence classification task is no longer a switcher, it's simply a joint training task with word segmentation. We can see that ancient Chinese datasets (AWIKI, DWIKI, PWIKI) are more sensitive to the memory cells than MSR. This may be explained by the fact that the encoder is pre-trained with a large number of modern Chinese data, and our memory cells incorporate some ancient era knowledge into the model, and help boost the performance on the 440

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<sup>&</sup>lt;sup>3</sup>github.com/fxsjy/jieba/tree/master/ jieba/dict.txt

<sup>&</sup>lt;sup>4</sup>http://core.xueheng.net/

| NO.    | En-De  |           | AWIKI | PWIKI | DWIKI | MSR   | Avg.  |
|--------|--|-----------|-------|-------|-------|-------|-------|
| Single | e-era learning   |           |       |       |       |       |       |
| 1      | PTCDE  | F         | 97.62 | 97.58 | 97.19 | 98.03 | 97.61 |
| 1      | BI-CKF   | $R_{oov}$ | 68.85 | 76.58 | 74.80 | 86.85 | 76.77 |
| Cross  | -era learning  | 1         |       |       |       |       |       |
| 2      | DI CDE   | F         | 89.78 | 85.98 | 87.04 | 93.81 | 89.15 |
| 2 BL-C | BL-CKF   | Roov      | 45.55 | 46.43 | 37.51 | 58.06 | 46.89 |
| 2      | DL CDE+SM  | F         | 90.66 | 87.41 | 89.18 | 95.42 | 90.66 |
| 3      | BL-CKF+SM  | Roov      | 43.48 | 44.40 | 32.78 | 78.74 | 49.76 |
| 4      | TD CDE   | F         | 95.89 | 95.43 | 95.87 | 92.68 | 94.97 |
| 4      | IK-CKF   | Roov      | 57.87 | 58.01 | 47.07 | 72.24 | 58.80 |
|        | TD CDE SM  | F         | 96.69 | 97.04 | 96.87 | 96.71 | 96.82 |
| 3      | IR-CRF+SM  | Roov      | 64.22 | 57.23 | 50.42 | 71.34 | 60.80 |
| 6      | DT CDE   | F         | 97.04 | 97.51 | 96.96 | 97.75 | 97.32 |
| 0      | DI-CKF   | Roov      | 68.78 | 75.39 | 73.94 | 86.48 | 76.15 |
| 7      | PT CDE SM(CDOSSWISE)   | F         | 98.46 | 98.04 | 98.42 | 98.73 | 98.41 |
| /      | $\mathbf{D} \mathbf{I} - \mathbf{C} \mathbf{K} \mathbf{I} + \mathbf{S} \mathbf{W} \mathbf{I} (\mathbf{C} \mathbf{K} \mathbf{O} \mathbf{S} \mathbf{S} \mathbf{W} \mathbf{I} \mathbf{S} \mathbf{E})$ | Roov      | 83.88 | 81.86 | 77.25 | 86.50 | 82.37 |

Table 4: Experimental results of the proposed model on the tests of four CWS datasets with different configurations, "+SM" indicates this model uses the Switch-memory module. There are two blocks. The first block is results of the baseline model (BERT - CRF) on the single-era dataset. The second block consists of the results of cross-era learning model with different encoders ("BL" for Bi-LSTM, "TR" for Transformer, "BT" for BERT ). Here, F,  $R_{oov}$  represent the F1 value and OOV recall rate respectively. The maximum F1 values are highlighted for each dataset.

| Models               | AW    | IKI   | PW    | IKI       | DW    | IKI       | MSR   |           |  |
|----------------------|-------|-------|-------|-----------|-------|-----------|-------|-----------|--|
| WIGUEIS              | F     | Roov  | F     | $R_{oov}$ | F     | $R_{oov}$ | F     | $R_{oov}$ |  |
| Chen et al. (2017)   | -     | -     | -     | -         | -     | -         | 96.04 | 71.60     |  |
| Gong et al. (2019)   | -     | -     | -     | -         | -     | -         | 97.78 | 64.20     |  |
| Luo et al. (2019b)   | 91.25 | 56.32 | 97.01 | 48.09     | 97.00 | 43.18     | 97.09 | 75.19     |  |
| Ye et al. (2019b)    | -     | -     | -     | -         | -     | -         | 98.40 | 84.87     |  |
| Qiu et al. (2020)    | 96.44 | 65.06 | 95.83 | 63.75     | 96.31 | 57.03     | 98.05 | 78.92     |  |
| Huang et al. (2020a) | 98.16 | 78.97 | 97.70 | 75.69     | 98.12 | 74.28     | 98.29 | 81.75     |  |
| Tian et al. (2020c)  | -     | -     | -     | -         | -     | -         | 98.28 | 86.67     |  |
| CROSSWISE            | 98.46 | 83.88 | 98.04 | 81.86     | 98.42 | 77.25     | 98.73 | 86.50     |  |

Table 5: Performance (F1 value) comparison between CROSSWISE and previous state-of-the-art models on the test sets of four datasets.

three ancient Chinese datasets.

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The second ablation study is to evaluate the effect of the switcher. For this experiment, we use the average of four memory cells embedding as the final memory representation. The comparison between the second and the third line indicates that the switcher is an important component when integrating era-specific information.

In summary, in terms of average performance,
the switcher and memory cells both can boost the
performance on OOV considerably.



Figure 2: The F1 values of CROSSWISE using four pair settings, "hard+sum" means hard-switcher and sum the memory embedding and the character embedding from encoder as the final character representation.

| Ш | ID Switcher  | Memory       | Memory AWI |           | IKI DWIKI |           | PW    | IKI       | MSR   |       |
|---|--------------|--------------|------------|-----------|-----------|-----------|-------|-----------|-------|-------|
|   |              | Memory       | F          | $R_{oov}$ | F         | $R_{oov}$ | F     | $R_{oov}$ | F     | Roov  |
| 1 | $\checkmark$ | ×            | 98.00      | 80.62     | 97.87     | 80.69     | 97.52 | 74.69     | 98.01 | 86.48 |
| 2 | ×            | $\checkmark$ | 98.28      | 76.58     | 97.85     | 74.80     | 98.32 | 74.85     | 98.63 | 86.85 |
| 3 | $\checkmark$ | $\checkmark$ | 98.46      | 83.88     | 98.04     | 81.86     | 98.42 | 77.25     | 98.73 | 86.50 |

| Sample    | from M   | SR (M    | odern    | Ch  | inese | ):    | 人大乱走向       | ]大治   | 1,中约      | 经雍正承      | 前启后。        |             |    |
|-----------|----------|----------|----------|-----|-------|-------|-------------|-------|-----------|-----------|-------------|-------------|----|
| (From c   | haos to  | prospei  | rity, th | rou | ıgh Y | ong   | zheng com   | nects | the pas   | t and the | e future.)  |             |    |
| Golds     | 从        | 大        | 乱        | 走   | 向     | 大     | 治           | ,     | 中         | 经         | 雍正          | 承前启后        | 0  |
| Golds     | from     | big      | chaos    | go  | to    | big   | prosperity  | ,     | middle    | through   | Yongzheng   | connect     | o  |
| w/o SM    | 从        | 大        | 乱        | 走   | 向     | 大     | 治           | ,     | 中         | 经         | 雍正          | 承前启后        | 0  |
| Ours      | 从        | 大        | 乱        | 走   | 向     | 大     | 治           | ,     | 中         | 经         | 雍正          | 承前启后        | 0  |
| Mixed s   | ample f  | rom DV   | VIKI (   | Ne  | ar A  | ncie  | nt Chinese  | ): 古  | 人诗中       | 有"水济      | 花谢两无情       | <b>書"</b> ∘ |    |
| (In ancie | ent poer | ns, ther | e are '  | 'tw | o me  | rcile | ess things: | wate  | er flowir | ng and fl | owers fadin | g.)         |    |
| Golds     | 古        | 人        | 诗        | 中   | 有     | "     | 水           | 流     | 花         | 谢         | 两           | 无情          | "。 |
| Golus     | ancient  | people   | poem     | in  | have  | "     | water       | flow  | flower    | fade      | two         | merciless   | "。 |
| w/o SM    | 古        | 人        | 诗        | 中   | 有     | "     | 水           | 流     | 花         | 谢         | 两           | 无情          | "。 |
| Ours      | 古        | 人        | 诗        | 中   | 有     | "     | 水           | 流     | 花         | 谢         | 两           | 无情          | "。 |

Table 6: Ablation experiments.

Table 7: Segmentation cases from the test sets of MSR and DWIKI datasets.

### 4.5 Mode selection

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In this section, we investigate the influence of the switcher mode and the combination mode (concatenate or sum) of the memory embedding and the character embedding.

To better understand the effect of the different configurations. We study four pair settings to train our model on four datasets, the results as shown in Figure 2, where different color poly lines represent different dataset. As we see, *soft-switcher* significantly improves the F1 value on MSR comparing to *hard-switcher*, while other three datasets perfer *hard-switcher*, which implies that the forward direction of knowledge dissemination from ancient to modern can help modern Chinese word segmentation, and the reverse knowledge dissemination will have a negative impact on ancient Chinese word segmentation. Concatenating the memory embedding and the character embedding from the encoder outperforms summing both.

#### 4.6 Case study

510We further explore the benefits of the SM mecha-<br/>nism by comparing some cases from BERT-CRF511nism by comparing some cases from BERT-CRF512and CROSSWISE. Table 7 lists two examples from513the test sets of MSR and DWIKI datasets. Ac-514cording to the results, in the first sentence, BERT-515CRF gives the wrong prediction of boundary in

"中(middle)" and "经(through)". However, our CROSSWISE achieves exact segmentation of this instance. The second sample is a sentence written in both ancient and modern Chinese, we could observe that CROSSWISE also can split the words correctly. This investigation indicates that our model is flexible for era-hybrid texts Chinese word segmentation, and can produce the different segmentation granularity of words according to the era of the sentence. At the same time, it also shows that our model is effective to integrate the era-specific linguistic knowledge according to different samples.

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### 5 Conclusion

In this paper, we propose a flexible model, called CROSSWISE, for cross-era Chinese word segmentation, which can improve the performance of every single dataset by fully integrating the era-specific knowledge. Experiments on four corpora show the effectiveness of our model. In the future, we are also planning to incorporate other labeling tasks into the CROSSWISE, such as POS tagging and named entity recognition.

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# **A** Appendix

#### A.1 Extra Case Study

We further explore the benefits of the SM mechanism by comparing some cases from BERT-CRF and CROSSWISE. Table 8 lists three examples from the test sets of Ancient Chinese, modern Chienae, and Near Ancient Chinese datasets. According to the results, in the first sentence, "靡(swept)" and "草(grass)" are two words in ancient Chinese, BERT-CRF treats these two words as a single word; BERT-CRF gives the second sentence the wrong prediction of boundary in "中(middle)" and "经(through)". However, our CROSSWISE achieves all exact segmentation of these instances. The third sample is a sentence written in both ancient and modern Chinese, we could observe that CROSSWISE also can split the words correctly. This investigation indicates that our model is flexible for era-hybrid texts Chinese word segmentation, and can produce the different segmentation granularity of words according to the era of the sentence. At the same time, it also shows that the SM mechanism is effective to integrate the era-specific linguistic knowledge according to different samples.

| Sample  | from A  | WIKI (A   | ncient                                  | Chinese):                                    | : 故上化下,   | 犹                    | 【川乙靡阜   | 也。                               |  |  |                                       |  |   |
|---|---|---|---|--|---|----------------------|---|----------------------------------|--|--|---------------------------------------|--|---|
| (Therefo  | ore, the                                      | superior  | civiliz                                 | es and the                                   | e subordinate   | e, lil               | ke the wind   | ls swe                           | ept the                                  | grass)                                 |                                       |  |   |
| Golds   | 故   | 上   | Ż                                       | 化  | 下   | ,                    | 犹   | 凤                                | 之  | 靡                                      | 草                                     | 也                                      | 0                                       |
| Golus   | so  | superior  | zhi                                     | enlighten                                    | subordinate   | ,                    | like  | wind                             | l zhi swept                              |  | grass                                 | ye                                     | 0                                       |
| w/o SM  | 故   | 上   | Ż                                       | 化  | 下   | ,                    | 犹   | 凤                                | Ż  | J                                      | 華草                                    | 也                                      | 0                                       |
| Ours  | 故   | 上   | Ż                                       | 化  | Ч<br>Т  | ,                    | 犹   | 凤                                | Ż  | 靡                                      | 草                                     | 也                                      | o                                       |
| Sample  | from M  | SR (Mod   | dern C                                  | hinese):                                     | 人大乱走向:  | 大治                   | 1,中经雍   | 正承                               | 前启后                                      | 0                                      |                                       |  |   |
| (From c   | haos to                                       | prosperit   | ty, thro                                | ough Yong                                    | zheng conn  | ects                 | the past a  | nd the                           | e future.                                | )                                      |                                       |  |   |
| Golds   | 从   | 大   | 乱                                       | 走  | 向   | 大                    | 治   | ,                                | 中  | 经                                      | 雍正                                    | 承前启后                                   | 0                                       |
| Golus   | from  | big   | chaos                                   | go   | to  | big                  | prosperity  | ,                                | middle                                   | through                                | Yongzheng                             | connect                                | 0                                       |
| m/a CM  | 11  | +   | Ŧ                                       | +  | 向   | +                    | 泛   |                                  | 山山                                       | 忆                                      | 産工                                    | 承出自日                                   |   |
| W/0 5M  | 八   | 八   | 自し                                      | 足  | 1+1   | $\sim$               | 1日  | ,                                | 1  | -1.                                    | 弾止                                    | 承則口口                                   | 0                                       |
| W/O SIVI<br>Ours  | 从   | 大   | 乱                                       | 走  | <br>可   | 大大                   | 治   | ,                                | 中  | 虹<br>经                                 |                                       | 承前启后                                   | 0                                       |
| Ours<br>Mixed s   | 从<br>从<br>ample f                             | 人<br>大<br>rom DW                                  | 乱<br>乱<br>IKI (N                        | 走<br>Lear Ancie                              | 向<br>mt Chinese)  | 八<br>大<br>:古         | 治   | ,<br>,<br>"水流                    | 中<br>花谢两                                 | · <u>·</u><br>经<br>远情"。                | 雍正                                    | 承前启后                                   | 0                                       |
| Ours<br>Mixed s<br>(In ancie                              | 从<br>从<br>ample f                             | 大<br>rom DW ns, there                             | 乱<br>乱<br>IKI (N<br>are "t              | 走<br>是<br>Wear Ancie<br>wo mercil            | 向<br>的<br>ent Chinese)<br>ess things: v                   | 大<br>大<br>:古<br>wate | 行<br>治<br>人诗中有 <sup>·</sup>                               | ,<br>"水流<br>and flo              | 中<br>花谢两<br>owers fa                     | 经<br>还情"。<br>ading.)                   | 雍正                                    | 承前启后                                   | 0                                       |
| W/O SM<br>Ours<br>Mixed s<br>(In ancie                    | 从<br>ample f<br>ent poer                      | 入<br>大<br>from DW<br>ns, there<br>人               | 乱<br>IKI (N<br>are "t                   | 走<br>是<br>Wear Ancie<br>wo mercil            | 向<br>ent Chinese)<br>ess things: v                        | 大<br>:古<br>wate      | □<br>治<br>人诗中有<br>er flowing a<br>水                       | ,<br>"水流<br>and flo<br>流         | 中<br>花谢两<br>owers fa<br>花                | 经<br>经<br>i无情"。<br>ading.)<br>谢        | ·<br>雍正<br>·<br>两                     | 承前启后<br>承前启后<br>无情                     | •<br>•<br>•                             |
| Ours<br>Mixed s<br>(In ancie<br>Golds                     | 从<br>从<br>ample f<br>ent poer<br>古<br>ancient | 入<br>大<br>from DW<br>ns, there<br>人<br>people     | 乱<br>IKI (N<br>are "t<br>诗<br>poem      | 走<br>Jear Ancie<br>wo mercil<br>中<br>in      | 向<br>向<br>ent Chinese)<br>ess things: v<br>有<br>have      | 八<br>大<br>:古<br>wate | 行<br>治<br>人诗中有 <sup>*</sup><br>er flowing a<br>水<br>water | ,<br>"水流<br>and flo<br>流<br>flow | 中<br>花谢两<br>owers fa<br>花<br>flower      | 经<br>经<br>无情"。<br>ading.)<br>谢<br>fade | 雍正<br>雍正<br>两<br>two                  | 承前后后<br>承前启后<br>无情<br>merciless        | 0<br>0<br>)'' 0<br>)'' 0                |
| W/O SM<br>Ours<br>Mixed s<br>(In ancie<br>Golds<br>w/o SM | 从<br>从<br>ample f<br>ent poer<br>古<br>ancient | 人<br>大<br>rom DW<br>ms, there<br>人<br>people<br>人 | 乱<br>IKI (N<br>are "t<br>诗<br>poem<br>诗 | 走<br>Jear Ancie<br>wo mercil<br>中<br>in<br>中 | 向<br>向<br>ent Chinese)<br>ess things: v<br>有<br>have<br>有 | 大<br>:古<br>wate      | 行<br>治<br>大诗中有<br>r flowing a<br>水<br>water<br>水          | ,<br>"水流<br>and flo<br>流<br>flow | 中<br>花谢两<br>owers fa<br>花<br>flower<br>花 | 经<br>乏情"。<br>ading.)<br>谢<br>fade<br>谢 | ····································· | 承前后后<br>承前启后<br>无情<br>merciless<br>无 情 | 0<br>0<br>11<br>0<br>11<br>0<br>12<br>0 |

Table 8: Segmentation cases from the test sets of MSR, AWKI and DWIKI datasets.

# A.2 Effect on Dataset Imbalance

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In this section, we investigate the influence of the switcher mode and the combination mode. Our model is a multi-task framework, imbalanced datasets will bring some sentence classification errors, we expect to use different switcher modes to minimize the negative effect of these errors.

We study four pair settings to train our model on four intact datasets, the results as shown in Figure 3(a). According to Table 3, the data of the four datasets are unbalanced. In order to explore the relationship between the data balance and experiment configurations. We randomly keep 50K training samples for MSR and PKIWI in the training set respectively, then conduct experiments with different settings. The experimental results as shown in Figure 3(b). Although less than half of the training data has been reduced, MSR is still sensitive to the "soft-concat" setting and keeps a competitive F1 value. The results of the other three datasets drop slightly. Moreover, the comparison between Figure 3(a) and Figure 3(b) indicates that although the data are imbalanced, hybrid training is also a strategy to increase the scale of training samples in disguise. As we know, the scale of training samples is the key to improve the performance with neural methods.



(a) The F1 values of SMSeg using four pair settings on four datasets, the data of the four datasets are unbalanced.



(b) The F1 values of SMSeg using four pair settings on four datasets, the data of the four datasets are balanced. MSR and PKIWI only keep about 50K training samples.

Figure 3: The F1 values of SMSeg using four pair settings, "hard+sum" means hard-switcher and sum the memory embedding and the character embedding from encoder as the final character representation.

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