000 SCIPIP: AN LLM-BASED SCIENTIFIC PAPER IDEA 001 002 PROPOSER 003

Anonymous authors

Paper under double-blind review

ABSTRACT

The exponential growth of knowledge and the increasing complexity of interdisciplinary research pose significant challenges for researchers, including information overload and difficulties in exploring novel ideas. The advancements in large language models (LLMs), such as GPT-4, have shown great potential in enhancing idea proposals, but how to effectively utilize large models for reasonable idea proposal has not been thoroughly explored. This paper proposes a scientific paper idea proposer (SciPIP). Based on a user-provided research background, SciPIP retrieves helpful papers from a literature database while leveraging the capabilities of LLMs to generate more novel and feasible ideas. To this end, 1) we construct a literature retrieval database, extracting lots of papers' multi-dimension information for fast access. Then, a literature retrieval method based on semantics, entity, and citation co-occurrences is proposed to search relevant literature from multiple aspects based on the user-provided background. 2) After literature retrieval, we introduce dual-path idea proposal strategies, where one path infers solutions from the retrieved literature and the other path generates original ideas through model brainstorming. We then combine the two to achieve a good balance between feasibility and originality. Through extensive experiments on the natural language processing (NLP) field, we demonstrate that SciPIP can retrieve citations similar to those of existing top conference papers and generate many ideas consistent with them. Additionally, we evaluate the originality of other ideas generated by SciPIP using large language models, further validating the effectiveness of our proposed method¹.

031 032

004

010 011

012

013

014

015

016

017

018

019

021

024

025

026

027

028

029

033 1

INTRODUCTION 034 035

With the exponential growth of knowledge and the increasing complexity of interdisciplinary research, machine learning researchers face significant challenges, including information overload 037 and difficulties in exploring novel ideas. Against this backdrop, generating new ideas and innovative concepts efficiently has become a pressing need. Recent advancements in large language models (e.g., GPT-4 (Ouyang et al., 2022), LLaMA (Touvron et al., 2023a;b), Qwen (Bai et al., 040 2023; Yang et al., 2024), GLM-4 (Zeng et al., 2024), and etc), have demonstrated immense potential 041 in enhancing innovation generation. These models are not only capable of understanding and gen-042 erating complex academic content but also excel in aligning multimodal information, constructing 043 implicit chains of thought, and uncovering non-obvious connections. Leveraging LLMs to assist 044 researchers in generating new ideas holds significant implications for improving research productivity and offers a theoretical foundation and practical guidance for the design of future intelligent research assistants. 046

047 Large language model (LLM)-based idea proposers should have the ability to understand the user-048 provided research background, autonomously retrieve relevant literature, and generate novel and feasible ideas aimed at addressing problems within the given background. Some previous works 050 have proposed their methods (Wang et al., 2024; Baek et al., 2024; Lu et al., 2024). However, existing LLM-based idea proposers still face two challenges: 1) Similar to human researchers, literature 051 retrieval is essential to inspire new ideas and avoid repetitive ideas. Nevertheless, online literature 052

¹The code and the database will be available soon.

searches are limited to simple keyword matching and cannot fully leverage the user-provided infor mation or the existing literature, leading to incomplete and inaccurate retrieval results. 2) Scientific
 paper ideas require both novelty and feasibility. However, it is still under-explored about how to
 enable LLMs to generate entirely new ideas while ensuring their feasibility.

058 To address the above challenges, we propose our Scientific Paper Idea Proposer (SciPIP). In terms of challenge 1), SciPIP first constructs a literature retrieval database. Specifically, we collect a 060 large body of literature from the natural language processing (NLP) field and extract multiple dimen-061 sions of information for each paper using techniques such as entity extraction, semantic encoding, 062 summarization, and citation analysis. The information is stored in the database, enabling rapid ac-063 cess to various aspects of the literature during retrieval. Building on this database, we propose a 064 literature retrieval method based on semantics, entities, and citation co-occurrence (SEC-based retrieval). In this framework, "semantics" captures the global information of a paper, "entities" 065 focus on local details, and "citation co-occurrence" reflects the hidden relationships uncovered by 066 previous researchers. By matching at these three different levels of granularity, SciPIP offers more 067 comprehensive literature retrieval. 068

To address the challenge 2), SciPIP **introduces a new method for idea proposal**. It first organizes the retrieved literature and generates ideas inspired by the retrieved works. Subsequently, SciPIP uses a brainstorming approach to generate new ideas without reference to the literature. Depending on the combination of literature-based and brainstorming-based idea generation, we derive three variants of SciPIP. The ideas generated by our method are further filtered and refined to enhance both their novelty and feasibility.

Extensive experiments are conducted to evaluate both idea proposal and literature retrieval on the NLP field. In the retrospective experiments, we use the backgrounds of ACL 2024 papers as inputs to test whether the models could generate the same ideas as those in the published papers, or whether SciPIP could retrieve the same references as the actual citations. Additionally, we conduct innovation experiments, in which the models are prompted to freely propose ideas based on a given background, and the quality of the proposed ideas are assessed by an LLM in terms of novelty, feasibility, and *etc*. The experimental results demonstrate that, compared to existing methods, SciPIP can match more existing ideas and generate ideas with significantly greater novelty and potential.

082 083

084

085

2 RELATED WORKS

Around 60 years ago, scientists began exploring scientific discoveries based on literature retrieval,
 known as Literature-Based Discovery (LBD) (Swanson, 1986). This approach concentrated on a
 specific, narrow type of hypothesis: the connections between pairs of concepts, often involving
 drugs and diseases. LBD introduced the "ABC" model, positing that two concepts A and C are hypothesized to be linked if they appear in conjunction with an intermediate concept B in the literature.

091 The advent of large language models (LLMs) has revolutionized various fields, and one of the most 092 intriguing applications is their ability to generate scientific hypotheses (Wang et al., 2024; Baek 093 et al., 2024; Lu et al., 2024). LLMs, trained on extensive datasets encompassing a vast array of scientific literature, possess an impressive capacity to recognize patterns and synthesize information 094 across disciplines. By leveraging their advanced natural language processing (NLP) capabilities, 095 these models can propose novel hypotheses that might not be immediately apparent to researchers. 096 The process begins with the model receiving a prompt, typically related to a specific scientific do-097 main, which guides it to generate hypotheses grounded in existing knowledge while also incorporat-098 ing innovative perspectives. For example, SCIMON (Wang et al., 2024) uses retrieval of "inspirations" from past scientific papers to generate ideas. It explicitly optimizes for novelty by iteratively 100 comparing generated ideas with prior papers and updating them until sufficient novelty is achieved. 101 In contrast, Research Agent (Baek et al., 2024) starts with a core paper as the primary focus and 102 expands its knowledge by connecting information over an academic graph and retrieving entities 103 from an entity-centric knowledge store based on their underlying concepts. It also leverages mul-104 tiple Reviewing Agents to provide iterative reviews and feedback for refining the generated ideas. 105 AI Scientist leverages large language models (LLMs) to autonomously generate research ideas, implement and execute experiments, search for related works, and produce comprehensive research 106 papers in machine learning. The AI Scientist is designed to automate the entire scientific process, 107 from ideation to experimentation and iterative refinement.



Figure 1: The pipeline of constructing the literature database.

3 **METHODS**

124 125 126

127 128

129

130

134 135

136

We propose a Scientific Paper Idea Proposer (SciPIP) that takes the user-provided background of a specific research field as input, retrieves relevant literature from the database, and generates novel and feasible ideas. To achieve this, we will first construct a literature database in Section 3.1 for 131 literature retrieval during the idea proposal process. Then, in Section 3.2, we detail how to retrieve 132 literature related to the user-provided background. Finally, in Section 3.3, we outline the process of 133 idea proposal.

3.1 LITERATURE DATABASE CONSTRUCTION

137 Just like human researchers, reading other literature and drawing inspirations from them is an im-138 portant process for LLMs to generate valuable ideas. However, online literature reading is a very 139 time-consuming process, so we collect a literature database in advance for the following literature 140 retrieval and idea proposal process.

141 To be specific, we collect papers published in ICLR, NeurIPS, ICML, ACL, NAACL, and EMNLP 142 in past ten years, yielding a database with 48,895 papers. For each paper, we parse the PDF file and 143 extract its title, abstract, introduction, method, and references sections. Then, as shown in Figure 1 144 , given an LLM f, we prompt it to read and summarize the paper as: 145

$$\mathbb{E}^{(p)} = f(\tau_1, T_a^{(p)}),$$

$$(T_b^{(p)}, T_s^{(p)}, T_i^{(p)}) = f(\tau_2, T_t^{(p)}, T_a^{(p)}, T_n^{(p)}),$$

$$T_d^{(p)} = f(\tau_3, T_m^{(p)}, T_i^{(p)}),$$
(1)

where $T_t^{(p)}, T_a^{(p)}, T_n^{(p)}, T_m^{(p)}$ are the paper p's title, abstract, introduction, and method sections. $\mathbb{E}^{(p)}, T_b^{(p)}, T_s^{(p)}, T_i^{(p)}, T_d^{(p)}, T_r^{(p)}$ are extracted entities, background, summary, main ideas, detailed 151 152 ideas, and core references, as shown in Figure 1. $\tau_i, i \in \{1, 2, 3\}$ represent our designed prompt 153 templates, and specific prompts are shown in the Appendix A.1. In practice, we use GLM-4² (Zeng 154 et al., 2024) as f. Besides, "Core References" in Figure 1 means extracting papers referenced in 155 introduction and method sections, because we believe these references have the greatest impact on 156 paper p among all references. 157

Additionally, the background, summary, and main ideas are also encoded with Sentence-158 BERT (Reimers & Gurevych, 2019) for their embeddings $e_h^{(p)}$, $e_s^{(p)}$ and $e_i^{(p)}$, respectively. All ex-159 160 tracted information are recorded into our literature database.

¹⁶¹

²We use the GLM-4 released in May 20th, 2024 (glm4-20240520).



Figure 2: The pipeline of SEC-based literature retrieval and literature clustering. Red words in the user-provided background are entity examples.

To retrieve literature faster, we also construct a paper-entity graph in the database. we also store all occurrence relationships of papers and entities in the database. As shown in Figure 1, if an entity T_{e1} appears in the paper p1, there will be an edge between the two paper nodes.

179 181

173

174

175 176

177

178

3.2 LITERATURE RETRIEVAL AND FILTERING

182 Literature retrieval is an essential process for idea proposal. It should follow the rule of comprehen-183 siveness and low-redundancy. On the one hand, a comprehensive retrieval can provide researchers 184 with instructive inspirations and avoid repetitive idea proposal. On the other hand, more retrieved 185 papers are not necessarily better because redundant papers may also introduce noise and disperse a researcher's attention. To this end, we first propose a SEC-based (Semantics, Entities, and Citation co-occurrence) literature retrieval. Then, we propose a clustering-based literature filtering to pick 187 out the most helpful papers. The process is shown in Figure 2. 188

189 190

191

192

193

195

197

3.2.1 SEC-BASED LITERATURE RETRIEVAL

Semantics-based retrieval. As shown in Figure 2, given a user-provided background $T_h^{(u)}$, we encode it as an embedding with Sentence-BERT (Reimers & Gurevych, 2019), marked as $e_h^{(u)}$. Then, $e_{k}^{(u)}$ is used to search in the literature database \mathbb{D} for its semantic neighbors. Specifically, 194 $e_{h}^{(u)}$ is compared with e_{b} of all papers' backgrounds in the literature database to identify a subset of papers with the minimum cosine similarity as the semantic-based retrieval results. Assume the 196 retrieved papers as \mathbb{N}_1 ,

$$\mathbb{N}_1 = \{ p | e_b^{(p)} \in \operatorname{TopK}(cosine(e_b^{(u)}, e_b^{(i)})) \text{ for } i \in \mathbb{D} \},$$
(2)

199 where p or i represents a paper in the literature database. In practice, we take K = 55 for the TopK 200 operation. 201

202 Entity-based retrieval. As we can see in Figure 2, after semantic literature retrieval, we take the 203 user-provided background $T_b^{(u)}$ as input and prompt GLM-4 to extract all entities in the background. Then, the abstract section of semantics-based retrieved papers (*i.e.*, $p \in \mathbb{N}_1$) are also given to the 204 205 GLM-4 to extract their entities. The exact prompt we use is provided in the Appendix A.1. After 206 entity extraction, we also expand the entity set by giving these entities back to GLM-4 and let it 207 generate some synonyms. The motivation behind entity expansion is that the same concept may 208 express in different ways, and entity expansion can help us retrieve papers that use synonyms in the 209 following process. We notate the entity set after synonym expansion as \mathbb{E}_1 .

210 Additionally, we further expand the entity set through an entity-neighborhood-based approach. In 211 simple terms, for an entity T_e in the current entity set \mathbb{E}_1 , any paper p that includes entity T_e should 212 also have its other entities included in the candidate entity set. However, we find that this will induce 213 many redundant or even noisy entities, and the reasons are twofold:

- 214 215
- 1. Two entities with low relevance may appear together in a paper due to the specific content requirements of that paper.

222

224

225

226

227

228

229

235

236

246

251

258

259

265

267

- 216
 2. High-frequency words do not effectively characterize a paper or its background. For instance, the user-provided background might include the term "Transformer", but this does not imply that all entities co-occurring with "Transformer" in other papers are significant to us. This is because "Transformer" is a high-frequency term that may appear in many recent publications.
 - To this end, we propose two filtering mechanisms for neighborhood-based entity expansion:
 - 1. An entity will only be supplemented if it has appeared together with another entity in at least m papers. In practice, we take m = 2.
 - 2. Inspired by the TF-IDF (Jones, 2004) algorithm, we believe that if an entity appears frequently across the entire paper database, it indicates that the entity is less representative. Therefore, we only select the n entities that appear the least in all literature as the final entity set. In practice, we take n = 5.

The entity set after a second expansion is represented as $\mathbb{E}^{(u)}$. Entities are key words that are most relevant with a paper's topic. A paper is likely to be helpful to us if it contains entities that match those in our entity set $\mathbb{E}^{(u)}$. Thus, for any entity T_e in set $\mathbb{E}^{(u)}$, we search for papers that also contain T_e in our database. Marking all searched papers as a set \mathbb{N}_2 ,

$$\mathbb{N}_2 = \{ p | \exists T_e \in \mathbb{E}^{(u)} \land T_e \in T_h^{(p)}, p \in \mathbb{D} \}.$$
(3)

Co-occurrence-based retrieval. In the above, we retrieve literature relevant to the user-provided 237 background through entities and semantics. Wherein, entities represent specific details of a paper, 238 while semantics represent the broader, overall meaning within the background. However, in actual 239 research, we often encounter two papers, p_1 and p_2 , which are neither similar in details nor in 240 semantics, yet are cited together. This indicates that researchers have discovered a latent relationship 241 between p_1 and p_2 in past studies. To capture and fully utilize these insights, we propose a literature 242 retrieval method based on citation co-occurrence. Specifically, as shown in Figure 2, for any paper 243 p_1 we have already retrieved, if p_2 is frequently cited alongside p_1 in other papers, we will include 244 p_2 in our literature retrieval set: 245

$$\mathbb{N}_3 = \{ p_2 | p_1 \in (\mathbb{N}_1 \cup \mathbb{N}_2) \land \text{co-cite}(p_1, p_2) \}, \tag{4}$$

where co-cite means p_1 and p_2 are often simultaneously cited by other papers. In practice, we select the 2 papers that are most frequently co-cited with each paper.

Finally, the whole retrieved papers can be represented as $\mathbb{N} = \mathbb{N}_1 \cup \mathbb{N}_2 \cup \mathbb{N}_3$.

252 3.2.2 LITERATURE CLUSTERING

After SEC-based literature retrieval, we may get over 500 papers, so further filtering is essential to pick out the most significant ones. Since we have observed that the retrieved papers often present similar ideas, we hope to retain only one paper among those with similar content during the generation of new ideas. To achieve this, we propose clustering the papers based on cosine similarity measures. Specifically, we first define the embedding of a retrieved paper as:

$$e^{(p)} = w_s e^{(p)}_s + w_i e^{(p)}_i, \tag{5}$$

where $e_s^{(p)}$ and $e_i^{(p)}$ are embeddings for summary and main ideas of an idea, as illustrated in Figure 1. We choose $w_s = w_i = 0.5$ in practice. Then, we apply clustering to group papers according to their cosine similarity. In practice, since the semantic embeddings of all papers are pre-recorded in a database, we only need to perform the similarity comparison and clustering processes. Finally, we select one paper from each cluster, respectively, and make up the retrieved papers.

266 3.3 IDEA PROPOSAL

268 Upon completion of the literature retrieval, we propose three approaches for generating research 269 paper ideas. In essence, the idea generation process can leverage two types of information: the first is derived from the content of the retrieved papers, which inspires the LLM to generate ideas; the



Figure 3: Three pipelines for idea proposal.

second involves the LLM freely brainstorming to produce new ideas. Based on this principle, we delineate three methods of idea generation that vary in their application of brainstorming.

287 As illustrated in Figure 3(a), the direct proposal method (SciPIP-A), does not use brainstorm. While the first dual-path proposal method (SciPIP-B), as Figure 3(b) shows, utilizes the user-provided 288 background into two branches. The first branch employs this background for literature retrieval, 289 problem summarization, and idea generation based on the retrieved literature, while the second 290 branch engages in brainstorming solutions directly from the user-provided background. Following 291 the independent generation of ideas in both branches, the outputs are merged and subsequently fil-292 tered and refined to yield the final ideas. Similarly, as shown in Figure 3(c), the second dual-path 293 proposal method (SciPIP-C) follows a process analogous to SciPIP-B, with the key distinction being 294 that the content generated through the LLM's brainstorming is utilized not only for idea generation 295 but also integrated with the user-provided background for entity extraction and other literature re-296 trieval processes. We will provide a detailed exposition of these three methods of idea proposal in 297 the following sections. We use GPT- 40^3 by default in this section.

298 299 300

301

281

283 284

285

286

3.3.1 DIRECT IDEA PROPOSAL METHOD

As depicted in Figure 3(a), in the direct proposal method, we first retrieve papers following the pipeline described in Section 3.2. Then, the user-provided background along with the retrieved papers are utilized to prompt the LLM to summarize the core problem we aim to address and provide justifications. The specific prompts can be found in the Appendix A.1.

With the summarized problem and justifications, the LLM is prompted to generate around 10 initial ideas. In the prompt, both the problem, the justification and the retrieved papers are provided. The LLM is encouraged to generate clear, innovative, valid, and comprehensive ideas. The specific prompts for this step can be also found in the Appendix A.1.

Though the prompt has declared, the initially generated ideas may still have shortcomings in terms of novelty or relevance to the problem. To address this, we filter the initial ideas using prompt engineering (prompts are illustrated in the Appendix A.1), with the primary criterion being that the ideas are generated in response to the given problem. Additionally, the ideas must exhibit a high degree of novelty and feasibility. During this process, each generated idea is evaluated independently, and about half of them will be filtered.

Then, the LLM is encouraged to further improve the filtered ideas by considering their interrelationships. That is, the LLM is tasked with considering the compatibility of the ideas, ensuring that it does not generate conflicting or repetitive ideas. Moreover, the LLM is required to generate formulas or algorithms to better elaborate the ideas if needed. The prompt is shown in the Appendix A.1. Finally, about 3 to 4 refined ideas will be proposed.

321 322

^{3117- 11}

³We use the GPT-40 released in May 13th, 2024 (gpt-40-2024-05-13), which has an October 2023 knowledge cutoff.

Table 1: The number of proposed ideas that successfully matched ACL 2024 ideas. More high scoring ideas are better. "#" means "the number of". The results with [†] are averaged over 1968
 input backgrounds.

Droposel Mathada	Varianta	#Backgrounds/	#I	deas of	Simila	rity Sco	re
Proposal Methods	variants	#Proposed Ideas	4	3	2	1	0
AI Scientist	-	100 / 400	0	58	211	123	8
	SciPIP-A	100 / 385	5	115	192	71	2
	SciPIP-B	100 / 379	4	139	157	75	4
SciPIP	SciPIP-C [†]	100 / 388	5	117	177	85	4
	SciPIP-C	1968 / 7638	91	2305	3492	1681	69

334 335

327 328

336 337 338

356

357

359

3.3.2 DUAL-PATH IDEA PROPOSAL METHODS

We find that the directly generated ideas often rely heavily on the retrieved literature, sometimes closely resembling the methods presented in those papers. They frequently involve transferring approaches from other fields or making minor improvements to existing methods within the same field, resulting in relatively ordinary novelty and rarely yielding breakthrough thinking.

Therefore, we further propose idea proposers that incorporates brainstorming, encouraging the LLM to produce more novel thoughts. Specifically, brainstorming can play a role in both processes of idea generation. As shown in Figure 3(b), the SciPIP-B has two paths, where one path follows the direct proposal approach, while the other path uses the LLM to brainstorm possible solutions based on the user-input background, outputting these as ideas. Ultimately, these ideas will be merged with those generated based on the retrieved papers, filtered and refined to produce the final ideas. In this model, the results of brainstorming are independent of the generation based on retrieved papers.

In another approach, as shown in Figure 3(c), brainstorming generates ideas independently while also being utilized in literature retrieval. Specifically, we extract entities from the brainstorming results and incorporate them as part of the entity set in the literature retrieval process. With this method, some keywords arising from the brainstorming will also help enhance the effectiveness of literature retrieval. The ideas generated through brainstorming will also be merged with those produced after literature retrieval.

4 EXPERIMENTS

358 4.1 EVALUATION DATASET

We collect all papers accepted by ACL 2024, including long papers, short papers, findings, and workshop papers. After excluding a few PDFs that could not be correctly parsed, 1,968 papers are remained for analysis. The remaining papers are processed similarly to those in the literature database in Section 3.1, with their entities, backgrounds, summaries, main ideas, detailed ideas, and references extracted in advance.

The experiments in this study are divided into two parts: retrospective experiments and innovation experiments. Retrospective experiments refer to testing whether different algorithms can generate the same ideas and literature retrieval results as the original papers on the evaluation dataset (i.e., ACL 2024 papers) with providing the background of the papers as input. In contrast, innovation experiments allow the models to freely propose new ideas, which are then evaluated from multiple perspectives, including novelty and feasibility.

4.2 RETROSPECTIVE EXPERIMENTS FOR IDEA PROPOSAL.

Compared algorithms. AI Scientist (Lu et al., 2024), when given an existing idea, iteratively refines the idea through multiple rounds of LLM inference. Afterward, the AI Scientist will expand the Idea into a full paper. Since our algorithm only focuses on proposing ideas, we only compare the idea proposal part with AI Scientist. For this purpose, we make slight adjustments to the AI Scientist's process. Specifically, for the user-provided background $T_b^{(u)}$, we first retrieve a paper from the literature database with a similar background. The idea from this paper serves as the initial Table 2: The win rate of proposed ideas in terms of novelty and feasibility. The ideas are classified
in terms of their similarity scores with their most similar existing ideas. The experiments are done
on SciPIP-C proposed 7638 ideas.

Similarity Score	4	3	2	1	0
Novelty	10.2%	13.1%	16.4%	20.1%	40.2%
Feasibility	19.1%	11.5%	16.7%	25.5%	23.2%

Table 3: The novelty scores of proposed ideas. The scores are evaluated by GPT-40 after comparing with similar papers in Semantic Scholar.

Droposal Mathada	#Backgrounds/			#]	deas	of N	ovelt	y Sco	re			
Proposal Methods	#Proposed Ideas	10	9	8	7	6	5	4	3	2	1	0
AI Scientist	100 / 400	0	12	131	98	55	30	44	26	4	0	0
SciPIP-A	100 / 385	0	92	145	73	37	16	14	8	0	0	0
SciPIP-B	100 / 379	0	63	161	55	37	19	26	14	4	0	0
SciPIP-C	100 / 373	0	67	155	64	40	15	20	10	2	0	0

idea for refinement by the AI Scientist. In contrast, our algorithm directly uses the user-provided background $T_b^{(u)}$ as input for idea proposal. We then compare the similarity of generated ideas by two algorithms to the ideas from ACL 2024 papers.

401 Evaluation Protocol. To evaluate the matching rate between the generated ideas and those from 402 ACL 2024, we first preprocess all ACL papers following the method in Section 3.1 and store them in 403 a database. The generated ideas are then compared based on cosine distance to retrieve the 10 most 404 similar ideas from the database. Next, using prompt engineering, GPT-40 selects the most similar 405 idea and assigns a similarity score between 0 and 5, where a higher score indicates greater similarity. 406 From our observations, a score of 4 indicates that the two ideas are almost identical, differing only in 407 minor details, while a score of 3 or lower suggests more significant differences. Wherein, SciPIP-C 408 is tested on all ACL 2024 papers, while other methods are tested with 100 backgrounds randomly 409 sampled from the whole test set.

However, we believe that low-scoring ideas in the retrospective experiments do not necessarily lack
value. On the contrary, some of these ideas exhibit strong novelty and feasibility, though they do
not ideas published at ACL 2024. To further assess the novelty and feasibility of all ideas generated
by SciPIP, we employ the LLMs for evaluation. For each round of comparison, we sample one idea
from each of 5 similarity scores and ask the LLM to rank them based on their novelty and feasibility.
We then record the win rate (*i.e.*, the probability of ranking first) of ideas across different similarity
scores in all rounds.

417

381 382

384 385 386

387

397

398

399

400

Results and analyses. As we can see in Table 1, our proposed three idea proposal strategies can, on average, generate 4 to 5 ideas that highly match ACL 2024 conference papers out of every 100 input backgrounds. This indicates that SciPIP is capable of generating ideas consistent with human thought, whereas the highest similarity score for all ideas generated by the AI Scientist is only 3. Additionally, the three methods we propose exhibit similar performance.

423 Moreover, the results in Table 2 illustrates that ideas with lower similarities to published ideas even 424 show higher novelty, while the reasons still need more explorations. Further, ideas do not show 425 much difference in terms of their feasibility.

Besides, we also provide two examples of SciPIP proposed ideas in Figure 4. The two examples both get a similarity score of 4 to an existing paper in ACL 2024, and the generated idea is indeed very similar to the matched idea. For example, in the second example (with the yellow background), the background points out the drawback of existing code generation algorithms. Both our generated and the matched idea propose to iteratively refine the generated code, and reinforcement learning based reward model should be used to evaluate the generated code. The reward should be decided by the error resolution, the severity of errors, and so on. More examples can be seen in the Appendix A.2.

432	Peal	d	
433	Baci	iground	
434	 The limitations of existing methods in leveraging nonverbal info The recognition that non-verbal modalities (video and audio) pl 	ormation for c	discerning complex semantics in unsupervised scenarios.
435	provide useful cues for semantics discovery."	if a critical re	ne in performing another rised chastering and can
436	SciPIP generated idea		Matched groundtruth idea
437	Contractive Multimodel Clustering (CMC) The Contractive M	ultimodal	An unsupervised multimodel elustering method
438	Clustering (CMC) model adapts multimodal contrastive learning for	or clustering	constructs augmentation views for multimodal data to
439	by aligning embeddings of video, audio, and text data in a joint em	bedding	perform pre-training by initializing representations for clustering with positive augmentation views retaining
440	different modalities closer together, optimizing cosine similarity for	r positive	text modality as core and masking either video or
441	pairs and minimizing it for negative pairs. This approach captures semantically rich representations without label supervision, effecti	velv	audio for data augmentation, utilizing a multimodal unsupervised contrastive learning loss for learning
442	incorporating nonverbal cues into the clustering task.		implicit similarities in shared modalities.
443	LLM-based s	imilarity sco	re: 4
444	Bacl	kground	
445	1. The summer shall are a flame language models (LLMs) in a de		where the comment of the inner all second and a large second second second second second second second second s
446	single attempt.	generation, w	where the correct solution is not always generated in a
447	The need to move beyond traditional verification properties from code solutions, but are often produced by the same model.	n software en	gineering that are assumed to be superior to generated
448	SciPIP generated idea		Matched groundtruth idea
449		Adoption c	f a reward model that acts as a critic to provide feedback
450	Persistent Error-Guided Code Refinement Loop: Introduce a	for the fine	-tuned language model's actions, using reinforcement
451	undergoes iterative cycles of execution and refinement. Each	learning to	optimize the model's repair policies. The reward model
452	cycle utilizes error messages and runtime exceptions to make corrections. A dynamic reinforcement learning (RL) model is	outputs. It	is trained using pairwise ranking based on the severity of
453	incorporated to reward sequences of effective corrective actions	program er	rors, providing feedback to the language model. This ses reinforcement learning specifically the Proximal
454	that lead to successful code execution. Specifically, a reward function R(s, a) evaluates the efficacy of a correction action a in	Policy Opti	imization (PPO) algorithm, to fine-tune the language
455	a state s, based on error resolution and code performance	model. The feedback fr	e model iteratively refines programs based on the rom the reward model, aiming to maximize the rewards
456	errors and refinement of generated code.	received. T	he process continues until no further improvement is
457		uciccled of	a predemied maximum number of iterations is reacted.
458	LLM-based s	imilarity scol	re: 4

Figure 4: Randomly picked samples of SciPIP proposed ideas. Matched groundtruth idea means ideas proposed in some paper of ACL 2024.

462 463

459

460

461

464

482

4.3 NOVELTY EXPERIMENTS FOR IDEA PROPOSAL

Compared algorithms and evaluation protocol. We also compare with AI Scientist (Lu et al., 2024) for novelty verification. The verification way is drawn from the official source code of AI Scientist with some modifications. To be specific, a proposed idea will give some key words that being used to search similar papers in Semantic Scholar⁴. Through comparison with several similar papers drawn from Semantic ScholarGPT-40 judges the novelty of the generated idea. The novelty score is from 0 to 10, higher score means smaller similarity with existing papers or higher novelty.

Results and analyses. The results are in Table 3. It can be seen that both SciPIP and AI Scientist 471 can generate very novel ideas with score 9. While our proposed ideas with 9 score are much more 472 than AI Scientist (92 vs. 12). Unexpectedly, SciPIP with brainstorm perform worse than the direct 473 proposal. It may be because brainstorm utilizes the knowledge from the GPT-40 itself in essence. 474 Therefore, it is hard for the model to generate brand new ideas that are totally different with existing 475 literature. However, we believe brainstorming will be a significant supplement to retrieval-based 476 generation, so we still preserve the results of SciPIP-B/C, hoping attract the community's attention. 477 At least, all versions of SciPIP generate over 270 high-scoring (score > 7) ideas even though they 478 only match 4 to 5 ideas in ACL 2024. The results indicate that non-matching ideas may be more 479 valuable because SciPIP generate novel ideas that do not appear (or even do not put forward by 480 human). 481

4.4 RETROSPECTIVE EXPERIMENTS FOR PAPER RETRIEVAL

⁴https://www.semanticscholar.org/

Table 4: The literature retrieval results. The groundtruth are the real citations of the tested papers.
Recall₁₀ means the recall rate of the top 10 ranked papers among the retrieved literature compared to the ground truth citations.

Retrieval Methods	Recall ₁₀	Recall ₂₀	Recall ₃₀	Recall ₄₀	Recall ₅₀
AI Scientist		I	Not Applicable	9	
SCIMON-like	0.381	0.481	0.548	0.587	0.616
ResearchAgent-like	0.377	0.484	0.550	0.598	0.622
SciPIP (Ours)	0.419	0.544	0.615	0.657	0.684

Table 5: Ablation studies for literature retrieval. SE means our proposed semantic-entity based retrieval, CC means citation co-occurrence, and CL means clustering.

Semantics	s Entity	SE	CC	CL	Recall ₁₀	Recall ₂₀	Recall ₃₀	Recall ₄₀	Recall ₅₀
\checkmark					0.377	0.484	0.550	0.598	0.622
	\checkmark				0.316	0.383	0.421	0.462	0.487
	\checkmark		\checkmark		0.348	0.428	0.468	0.506	0.529
		\checkmark			0.383	0.475	0.548	0.602	0.633
		\checkmark	\checkmark		0.391	0.497	0.576	0.624	0.668
		\checkmark		\checkmark	0.395	0.506	0.574	0.616	0.643
		\checkmark	\checkmark	\checkmark	0.419	0.544	0.615	0.657	0.684

2024). However, the experimental setups and literature database of SCIMON and ResearchAgent for generating scientific paper ideas differ from those in this study. Additionally, ResearchAgent is not open source, making it challenging to fully replicate the exact algorithm. Therefore, based on the descriptions in the original papers, we implement similar literature search algorithms, namely SCIMON-like and ResearchAgent-like in Table 4.

Evaluation protocol. Only a few reference papers are crucial for generating a paper's idea; using all citations as ground truth may introduce significant noise. Among contemporaneous papers, there may be similar ideas, and researchers might only cite one of them. To address this, we propose two strategies: We believe that the most important citations for a paper typically appear in the introduction and method sections; thus, we extract only these sections' citations as ground truth during PDF parsing. Additionally, as mentioned earlier, our method clusters the retrieved literature after searching, treating all papers in the same cluster as similar. In the retrospective experiment, we evaluate the distance between ground truth citations and cluster centers. If a ground truth citation falls within a cluster retrieved by SciPIP, we consider the retrieval result correct.

Results and analyses. The results are shown in Table 4, where Recall_{10} represents the proportion of correctly retrieved papers when the algorithm is restricted to returning only 10 papers. For example, if the ground truth for a paper's literature search includes 20 references, a recall rate of 0.684 indicates that approximately 13 relevant papers were correctly retrieved. From the data in the table, it can be observed that our algorithm successfully retrieves more relevant papers compared to SCIMON and ResearchAgent. We also provide some ablation studies about literature retrieval in Table 5. As we can see, SE performs better than using only semantics or entities for retrieval. Moreover, citation co-occurrence and clustering also help improve the retrieval results.

5 CONCLUSIONS AND LIMITATIONS

In this paper, we propose a method for generating scientific paper ideas and demonstrate its effectiveness on natural language processing datasets. The experimental results show that SciPIP is capable of proposing numerous novel ideas through the capabilities of LLMs. These ideas not only match papers published at recent academic conferences but also exhibit significant potential in terms of novelty, feasibility, and other key aspects. Despite these positive results, we gain more questions than conclusions in this work. For example, why do the ideas with lower similarity score looks more novel (refereed as to Table 2). We need more explorations to answer these questions.

540 REFERENCES

- Jinheon Baek, Sujay Kumar Jauhar, Silviu Cucerzan, and Sung Ju Hwang. Researchagent: Iterative research idea generation over scientific literature with large language models. *CoRR*,
 abs/2404.07738, 2024. doi: 10.48550/ARXIV.2404.07738. URL https://doi.org/10.
 48550/arXiv.2404.07738.
- 546 Jinze Bai, Shuai Bai, Yunfei Chu, Zeyu Cui, Kai Dang, Xiaodong Deng, Yang Fan, Wenbin Ge, 547 Yu Han, Fei Huang, Binyuan Hui, Luo Ji, Mei Li, Junyang Lin, Runji Lin, Dayiheng Liu, Gao Liu, 548 Chengqiang Lu, Keming Lu, Jianxin Ma, Rui Men, Xingzhang Ren, Xuancheng Ren, Chuanqi 549 Tan, Sinan Tan, Jianhong Tu, Peng Wang, Shijie Wang, Wei Wang, Shengguang Wu, Benfeng 550 Xu, Jin Xu, An Yang, Hao Yang, Jian Yang, Shusheng Yang, Yang Yao, Bowen Yu, Hongyi Yuan, 551 Zheng Yuan, Jianwei Zhang, Xingxuan Zhang, Yichang Zhang, Zhenru Zhang, Chang Zhou, 552 Jingren Zhou, Xiaohuan Zhou, and Tianhang Zhu. Qwen technical report. CoRR, abs/2309.16609, 553 2023.
- Karen Spärck Jones. A statistical interpretation of term specificity and its application in retrieval. J.
 Documentation, 60(5):493–502, 2004.
- Chris Lu, Cong Lu, Robert Tjarko Lange, Jakob Foerster, Jeff Clune, and David Ha. The AI scientist: Towards fully automated open-ended scientific discovery. *CoRR*, abs/2408.06292, 2024. doi: 10. 48550/ARXIV.2408.06292. URL https://doi.org/10.48550/arXiv.2408.06292.
- Long Ouyang, Jeffrey Wu, Xu Jiang, Diogo Almeida, Carroll L. Wainwright, Pamela Mishkin, Chong Zhang, Sandhini Agarwal, Katarina Slama, Alex Ray, John Schulman, Jacob Hilton, Fraser Kelton, Luke Miller, Maddie Simens, Amanda Askell, Peter Welinder, Paul F. Christiano, Jan Leike, and Ryan Lowe. Training language models to follow instructions with human feedback. In Sanmi Koyejo, S. Mohamed, A. Agarwal, Danielle Belgrave, K. Cho, and A. Oh (eds.), *Advances in Neural Information Processing Systems 35: Annual Conference on Neural Information Processing Systems 2022, NeurIPS 2022, New Orleans, LA, USA, November 28 - December 9,* 2022, 2022.
- Nils Reimers and Iryna Gurevych. Sentence-bert: Sentence embeddings using siamese bertnetworks. In Kentaro Inui, Jing Jiang, Vincent Ng, and Xiaojun Wan (eds.), Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing and the 9th International Joint Conference on Natural Language Processing, EMNLP-IJCNLP 2019, Hong Kong, China, November 3-7, 2019, pp. 3980–3990. Association for Computational Linguistics, 2019. doi: 10.18653/V1/D19-1410. URL https://doi.org/10.18653/v1/D19-1410.
- 576 Don R Swanson. Undiscovered public knowledge. *The Library Quarterly*, 56(2):103–118, 1986.
- Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée
 Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, Aurélien Rodriguez, Armand Joulin, Edouard Grave, and Guillaume Lample. Llama: Open and efficient foundation
 language models. *CoRR*, abs/2302.13971, 2023a.
- 582

569

554

Hugo Touvron, Louis Martin, Kevin Stone, Peter Albert, Amjad Almahairi, Yasmine Babaei, Niko-583 lay Bashlykov, Soumya Batra, Prajjwal Bhargava, Shruti Bhosale, Dan Bikel, Lukas Blecher, 584 Cristian Canton-Ferrer, Moya Chen, Guillem Cucurull, David Esiobu, Jude Fernandes, Jeremy 585 Fu, Wenyin Fu, Brian Fuller, Cynthia Gao, Vedanuj Goswami, Naman Goyal, Anthony Hartshorn, 586 Saghar Hosseini, Rui Hou, Hakan Inan, Marcin Kardas, Viktor Kerkez, Madian Khabsa, Isabel Kloumann, Artem Korenev, Punit Singh Koura, Marie-Anne Lachaux, Thibaut Lavril, Jenya Lee, 588 Diana Liskovich, Yinghai Lu, Yuning Mao, Xavier Martinet, Todor Mihaylov, Pushkar Mishra, Igor Molybog, Yixin Nie, Andrew Poulton, Jeremy Reizenstein, Rashi Rungta, Kalyan Saladi, 590 Alan Schelten, Ruan Silva, Eric Michael Smith, Ranjan Subramanian, Xiaoqing Ellen Tan, Binh Tang, Ross Taylor, Adina Williams, Jian Xiang Kuan, Puxin Xu, Zheng Yan, Iliyan Zarov, Yuchen Zhang, Angela Fan, Melanie Kambadur, Sharan Narang, Aurélien Rodriguez, Robert Stojnic, 592 Sergey Edunov, and Thomas Scialom. Llama 2: Open foundation and fine-tuned chat models. CoRR, abs/2307.09288, 2023b.

- Qingyun Wang, Doug Downey, Heng Ji, and Tom Hope. Scimon: Scientific inspiration machines optimized for novelty. In Lun-Wei Ku, Andre Martins, and Vivek Srikumar (eds.), Proceedings of the 62nd Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers), ACL 2024, Bangkok, Thailand, August 11-16, 2024, pp. 279–299. Association for Computational Linguistics, 2024. doi: 10.18653/V1/2024.ACL-LONG.18. URL https://doi.org/10.18653/v1/2024.acl-long.18.
- 600 An Yang, Baosong Yang, Binyuan Hui, Bo Zheng, Bowen Yu, Chang Zhou, Chengpeng Li, 601 Chengyuan Li, Dayiheng Liu, Fei Huang, Guanting Dong, Haoran Wei, Huan Lin, Jialong Tang, 602 Jialin Wang, Jian Yang, Jianhong Tu, Jianwei Zhang, Jianxin Ma, Jianxin Yang, Jin Xu, Jingren 603 Zhou, Jinze Bai, Jinzheng He, Junyang Lin, Kai Dang, Keming Lu, Keqin Chen, Kexin Yang, 604 Mei Li, Mingfeng Xue, Na Ni, Pei Zhang, Peng Wang, Ru Peng, Rui Men, Ruize Gao, Runji Lin, 605 Shijie Wang, Shuai Bai, Sinan Tan, Tianhang Zhu, Tianhao Li, Tianyu Liu, Wenbin Ge, Xiaodong 606 Deng, Xiaohuan Zhou, Xingzhang Ren, Xinyu Zhang, Xipin Wei, Xuancheng Ren, Xuejing Liu, Yang Fan, Yang Yao, Yichang Zhang, Yu Wan, Yunfei Chu, Yuqiong Liu, Zeyu Cui, Zhenru 607 Zhang, Zhifang Guo, and Zhihao Fan. Qwen2 technical report. CoRR, abs/2407.10671, 2024. 608
- 609 Aohan Zeng, Bin Xu, Bowen Wang, Chenhui Zhang, Da Yin, Diego Rojas, Guanyu Feng, Hanlin 610 Zhao, Hanyu Lai, Hao Yu, Hongning Wang, Jiadai Sun, Jiajie Zhang, Jiale Cheng, Jiayi Gui, 611 Jie Tang, Jing Zhang, Juanzi Li, Lei Zhao, Lindong Wu, Lucen Zhong, Mingdao Liu, Minlie 612 Huang, Peng Zhang, Qinkai Zheng, Rui Lu, Shuaiqi Duan, Shudan Zhang, Shulin Cao, Shuxun 613 Yang, Weng Lam Tam, Wenyi Zhao, Xiao Liu, Xiao Xia, Xiaohan Zhang, Xiaotao Gu, Xin Lv, 614 Xinghan Liu, Xinyi Liu, Xinyue Yang, Xixuan Song, Xunkai Zhang, Yifan An, Yifan Xu, Yilin Niu, Yuantao Yang, Yueyan Li, Yushi Bai, Yuxiao Dong, Zehan Qi, Zhaoyu Wang, Zhen Yang, 615 Zhengxiao Du, Zhenyu Hou, and Zihan Wang. Chatglm: A family of large language models from 616 GLM-130B to GLM-4 all tools. CoRR, abs/2406.12793, 2024. 617
- 618 619

622 623

624

625 626

627 628

635

- A APPENDIX
- A.1 PROMPTS USED IN THIS PAPER

We employ prompt engineering accomplishing our task in this paper, and the used prompts are summarized in Table 6.

A.2 EXAMPLES OF OUR GENERATED IDEAS

More examples of SciPIP proposed ideas are given in Figure 5.

Table 6: Summarization of our used prompts.

636	Prompts	Place
637	The prompt for entity extraction, namely τ_1 .	Table 7
638	The prompt for summary, background, and main ideas extraction, namely τ_2 .	Table 8
639	The prompt for detailed ideas extraction, namely τ_3 .	Table 9
640	The prompt for problem/rational generation.	Table 10
641	The prompt for initial idea generation.	Table 11
0.40	The prompt for idea filtering.	Table 12
642	The prompt for idea improvement.	Table 13
643	The prompt for brainstorming.	Table 14
644	The prompt for picking out the most similar idea from several ideas.	Table 15
645	The prompt for evaluating the similarity score between two ideas.	Table 16
646	The prompt for scoring the novelty of an idea.	Table 17
040	The prompt for comparing two ideas for their clarity, novelty, feasibility, and generalizability.	Table 18
647	The prompt for comparing five ideas for their clarity, novelty, feasibility, and generalizability.	Table 19

	Table 7: The prompt for entity extraction, namely τ_1 .
System Message	Now you are an expert in extracting key entities from research contents. You are good at identifying the most important keywords or phrases that summarize the main topics or concepts discussed in the content.
	Task Description:
	1 I
	I will provide you with a content from a research paper. Your task
	is to extract the key entities from this content. These entities are the most
	important keywords or phrases that summarize the main topics or concepts
	Instruction.
	Content: The content is your key focus, and the extracted entities
	should be based on the content. In other words, the entities you extract
	should be concrete manifestations of the main themes and topics discussed
	in the content.
	V
	Your approach should be systematic:
	topics
User Message	- Identify and list the key entities that are central to the content.
	- Ensure that the entities are relevant, meaningful, and representative of the
	content.
	- Each entity in entities should be no longer than 5 words.
	- Each entity in entities should contain at least 2 words.
	- The number of entities should be rouge or noun phrases
	- Lach energy in energes should be noulls of noull philases.
	examples:
	{examples}
	Your turn:
	Given the following content:
	{content}
	Your answer should follow this format:
	entity1, entity2, entity3,

703 704 705 706 708 709 Table 8: The prompt for summary, background, and main ideas extraction, namely τ_2 . 710 711 Now you are an expert in extracting key entities from research contents. 712 System Message You are good at identifying the most important keywords or phrases that 713 summarize the main topics or concepts discussed in the content. 714 Task Description: 715 716 You are provided with the title, abstract, and introduction of a re-717 search paper. Your task is to generate a concise summary of what kind 718 of problem does this paper aim to solve and what methods are proposed 719 to address it. The summary should follow this format: The problem of 720 [problem] can be addressed by [main idea/approach]. 721 Instructions: 722 723 Title: Read the title to understand the general topic of the paper. 724 Abstract: Read the abstract to get a concise summary of the research, 725 User Message For including the problem addressed, the methods used, and the main findings. 726 **Summary** Introduction: Read the introduction to gain a deeper understanding of 727 the background, significance, and specific problem the paper addresses, 728 as well as the proposed approach or solution. Based on the provided 729 information, generate a single sentence that captures the essence of the 730 paper, following the format specified above. 731 732 Your Turn: 733 Given the following paper information: Title: title Abstract: abstract 734 Introduction: introduction 735 736 Output: The problem of [problem] can be addressed by [main 737 idea/approach]. 738 Please read the title, abstract, and introduction of the paper again, as well 739 as the summary you provided. Complete the following two tasks: 740 1.Briefly provide the two most critical motivations behind proposing these 741 methods to address the problems. 742 **User Message For** 2.Briefly provide the three most critical or innovative details of the paper 743 **Background** And that were not mentioned in your summary (It's best if these details are the 744 Main Ideas new methods or techniques adopted in this paper). 745 746 Output: 747 Motivations:1.[motivation1]. 2.[motivation2]. Details:1.[detail1]. 2.[de-748 tail2]. 3.[detail3]. 749 750 751 752 753 754

755

Та	ble 9: The prompt for detailed ideas extraction, namely τ_3 .
System Message	Now you are an expert in extracting key entities from research co You are good at identifying the most important keywords or phras summarize the main topics or concepts discussed in the content.
User Message	<pre>### Task Description: You will be provided with the abstract and a text extracted from a and three contributions of the paper. Your task is to filter, refine, and the content of the contributions through the text provided to you. ### Information Provided: 1. **Abstract**: It's the abstract directly extracted from the paper. 2. **Contributions**: These are the contributions (methods) we hav marized based on the abstract and introduction of the paper. 3. **Text**: It's the text directly extracted from the paper, contain methodology of the paper. ### Approach: Your approach should be systematic: - **Step 1**: Start by reading the abstract and contributions, to unde the main work of this paper. - **Step 2**: Then, read the text, to find information related to the butions and ignore other information. If you think there is missing c in the contributions section, you can add one. On the contrary, if you there is content duplication, merge or delete one. Please ensure th final contributions have 2 to 4 entries. - **Step 3**: Finally, provide specific details for each contribut detailed and comprehensive as possible based on the content in the ta applicable, you may include formulas or algorithms to support the id ### Specific Information: I will provide you with specific information now, please use them acc to the instructions above: 1. **Abstract**: {abstract} 2. **Contribution*: {contribution} 3. **Text**: {text} ### Format for Your Response: Your output should follow the format, and please note that your s should not be 'the paper' bu' this method' or the specific method na **Idea 1**: [The first method idea] - **Details**: [Details of the first idea] **Idea 2**: [The second method idea] - **Details**: [Details of the second idea] </pre>

811 812 813 814 815 816 817 818 819 820 Table 10: The prompt for problem/rational generation. 821 822 Now you are a researcher in the field of AI with innovative and pioneering 823 System Message abilities. You are good at proposing novel and valuable questions based on 824 research background. 825 ### Task Description: 827 You will receive a research background along with summaries, backgrounds, and contributions (methods) of several related papers. Your task 828 is to carefully analyze this information and propose a research problem that 829 is original, clear, feasible, relevant, and significant to its field. Additionally, 830 provide the rationales behind the proposed problem. 831 ### Information Provided: 832 1. **Research Background**: This is your primary focus. The research 833 problem you propose should be a direct reflection of this background. 834 2. **Related Papers**: These papers offer studies directly related to the 835 primary research topic, providing additional insights and knowledge that 836 will inform your proposed problem. 837 ### Approach: 838 Your approach should be systematic: - **Step 1**: Begin by thoroughly understanding the core focus of the 839 User Message research background. 840 - **Step 2**: Review the summaries, backgrounds, and contributions 841 (methods) of the related papers to gain broader insights into the primary 842 research topic. 843 - **Step 3**: Based on the provided information, propose a research prob-844 lem that meets the criteria of being original, clear, feasible, relevant, and 845 significant. Support your problem statement with clear rationales. 846 ### Specific information: 847 I will provide you with specific information now, please use them according 848 to the instructions above: 1. **Research Background**: {background} 849 2. **Related Papers**: {related_papers_information} 850 ### Format for Your Response: 851 **Research Problem**: [your problem] 852 - **Rationales**: [the rationale behind your problem] 853 854 855 856 857 858 859 861 862 863

	Table 11: The prompt for initial idea generation.
System Message	Now you are a researcher in the field of AI with innovative and pioneering abilities. You are good at using innovative and original methods to solve cutting-edge problems in the field of AI.
User Message	### Task Description: You will be provided with a research problem along with its rationales Your task is to brainstorm some ideas that are clear, innovative, valid and comprehensive to address the problem. Additionally, some cue word along with summaries, backgrounds, and contributions (methods) of re lated papers will be provided as sources of inspiration for generating nove ideas. ### Information Provided: 1. **Research Problem & Rationales**: The key issues or aspects of th problem that need to be addressed. These will form the foundation for generating your ideas. 2. **Related Papers*: Draw inspiration from the abstracts, backgrounds and methods of these papers. Delve deeply into these methods, understand the motivations behind them, and think critically about how they migh inform your approach. Avoid merely stacking existing methods; instead integrate relevant aspects with your own insights to create original solut tions. ### Approach: Your approach should be systematic: - **Step 1**: Thoroughly read the research problem to understand you primary focus. - **Step 1**: Thoroughly read the research problem to understand you primary focus. - **Step 2**: Based on the provided information, propose some ideas tha are clear, innovative, valid, and comprehensive. ### Specific Information: I will provide you with specific information now, please use them according to the instructions above: 1. **Research Problem & Rationales**: {problem} 2. **Related Papers*: {related papers in formation} ### Format for Your Response: Please ensure that your final ideas include about 10 entries, presented in th following format: **Idea 3**: [The third method idea] **Idea 3**: [The third method idea]

Table 12: The prompt for idea filtering. Now you are a researcher in the field of AI. You are good at selecting the System Message ideas that meet the requirements. ### Task Description: You will be provided with some ideas you previously generated, and a re-search background. Your task is to select 5-6 ideas that best address the problems described in the research background (priority) and ideas that are relatively novel and feasible (secondary). ### Information Provided: 1. **Ideas**: These are the ideas you previously generated based on the research background and several related papers. 2. **Research Background**: This document describes specific problems and challenges that need to be addressed. ### Approach: Your approach should be systematic: - **Step 1**: Analyze the research background to understand the specific problems that need solutions. - **Step 2**: Critically review the ideas, selecting 5-6 ideas that are most User Message effective in solving the problems in the research background (priority) and that are also relatively novel and feasible (secondary). ### Specific Information: I will provide you with specific information now; please use them according to the instructions above: 1. **Ideas**: {idea} 2. **Research Background**: {background} ### Format for Your Response: Please ensure that your final ideas include 5-6 entries, whose content has not been modified. Don't generate any explanation and just present the filtered ideas as well as their content in the following format: **Idea 1**: [The first method idea] **Idea 2**: [The second method idea] **Idea 3**: [The third method idea] ...

973 974 975 976 977 978 979 Table 13: The prompt for idea improvement. 980 981 Now you are a researcher in the field of AI with innovative and pioneering 982 System Message abilities. You are good at using innovative and original methods to solve 983 cutting-edge problems in the field of AI. 984 ### Task Description: 985 You will be provided with the research background and the original ideas 986 you previously generated. Your task is to refine these original ideas by fil-987 tering out those with low feasibility and insufficient novelty while enhanc-988 ing the most critical and relevant ideas to make them more novel, feasible, 989 targeted, and specific. If applicable, you may include formulas or algo-990 rithms to support the ideas. Additionally, please adhere to the following 991 requirements: 992 1. Do not generate ideas that are repetitive or contradictory. 993 2. Ensure that the generated ideas are coherent and form a cohesive whole. 994 ### Information Provided: 1. **Research background**: This is the starting point of the original idea 995 and the basis for analyzing whether the idea should be filtered. 996 2. **Original ideas**: These are the ideas you previously generated based 997 on research background and several related papers. 998 ### Approach: 999 Your approach should be systematic: 1000 - **Step 1**: Thoroughly review the research background to understand 1001 User Message the context and objectives. 1002 - **Step 2**: Analyze the original ideas critically, identifying aspects with 1003 low feasibility or insufficient novelty, and then filter out them. 1004 - **Step 3**: Enhance the most critical and relevant ideas by making them more novel, feasible, targeted, and specific. Incorporate formulas or algorithms if they strengthen the ideas. ### Specific Information: I will provide you with specific information now, please use them according 1008 to the instructions above: 1009 1. **Research background**: {background} 1010 2. ****Original idea****: {idea} 1011 ### Format for Your Response: 1012 Please ensure that your response only includes the final ideas, which in-1013 clude 2 to 4 entries, presented in the following format: 1014 **Idea 1**: [The first method idea] 1015 - **Details**: [Details of the first idea] 1016 **Idea 2**: [The second method idea] - **Details**: [Details of the second idea] 1017 ... 1020 1021 1023 1024 1025

	Table 14: The prompt for brainstorming.
System Message	Now you are a researcher in the field of AI with innovative and pioneerin abilities. You are good at generating creative and original ideas.
	### Task Description: You are an AI researcher tasked with brainstorming initial, innovativideas to address a given research problem in AI. Focus on generating diverse and creative approaches rather than finalized methods. The idea can be rough and in their infancy but should cover a range of possibility directions that could be explored further.
	<pre>### Information Provided: - **Research Background**: {background}</pre>
User Message	 ### Approach: Your brainstorming should be systematic: - **Step 1**: Thoroughly understand the research background. - **Step 2**: Generate a list of 4 to 6 high-level ideas or directions the could potentially solve problems in the given background. Be creative think outside the box, and avoid merely rephrasing existing methods.
	<pre>### Format for Your Response: Please present 4 to 6 ideas in the following format: **Idea 1**: [Brief description of the first idea] **Idea 2**: [Brief description of the second idea]</pre>
Table 15:	The prompt for picking out the most similar idea from several ideas.
System Message	-
	### Task Description: You will be provided with an idea you previously generated, and sor reference ideas. Your task is to select the idea that is most similar to t one you generated from the reference ideas.
	 ### Information Provided: 1. **Generated Idea**: This is the idea you previously generated based research background and several related papers. 2. **Reference Ideas**: These are the ideas that you should select from
User Message	 ### Approach: Your approach should be systematic: - **Step 1**: Analyze the generated idea to understand the methods describes.
	- **Step 2**: Critically review the reference ideas, selecting the idea the is most similar to the methods in the generated idea.
	 ### Specific Information: I will provide you with specific information now, please use them accordit to the instructions above: 1. **Idea**: {idea} 2. **Reference Ideas**: {reference_ideas}
	### Format for Your Response: Your answer can only have one number (strating from 1), indicating to number of the most similar idea, and cannot contain any other content.

Table 16	The prompt for evaluating the similarity score between two ideas.
System Message	<u> -</u>
User Message	### Task Description: You will be provided with an idea you previously generated, and a ence idea. Your task is to determine the similarity between the gen idea and the reference idea and give a score from 0 to 5. ### Information Provided: **Generated Idea**: This is the idea you previously generated bar research background and several related papers. **Reference Idea**: This is the idea we provide you with that you to compare with the generated idea. ### Approach: You should follow the following scoring criteria: - **0**: The generated idea and reference idea are completely un with no discernible similarities. **1**: The generated idea and reference idea have a vague comput differ significantly in their main concepts or approach. **2**: The generated idea and reference idea share a general conce differ in most key aspects such as methodology or application. **3[*]: The generated idea and reference idea are similar in severa including general concept and some aspects of methodology, but d details or specific approaches. **4**: The generated idea and reference idea are largely similar cept, methodology, and approach, with only minor differences in sp - **5**: The generated idea and reference idea are nearly identicat key aspects, including concept, methodology, and approach. ### Specific Information: will provide you with specific information now, please use them acc to the instructions above: **Generated Idea**: {idea} **Reference Idea**: {reference_idea}

	Table 17: The prompt for scoring the novelty of an idea.
	You are an ambitious AI PhD student who is looking to publish a pape
	will contribute significantly to the field.
	You have an idea and you want to check if it is novel or not. I.e.
	overlapping significantly with existing literature or already well explo
	Be a harsh critic for novelty, ensure there is a sufficient contribution
	idea for a new conference or workshop paper.
	You will be given access to the Semantic Scholar API, which you
	decision
System Message	The top 10 results for any search query will be presented to you wi
Sjoren niessage	abstracts.
	You will be given $num_r ounds rounds to decide on the paper$.
	At any round, compare the provided idea with the information found
	article and provide a novelty score from 0 to 10.
	the information in the relevant papers
	If there are no relevant papers, give a novelty score based on your
	feelings.
	Round current_round/num_rounds.
	You have this idea:
	"idea"
	The results of the last query are (empty on first round):
	"last_query_results"
	Respond in the following format:
	THOUGHT:
	<thought></thought>
	DECRONAE
	KESPONSE:
User Message	
	In <thought>, first briefly reason over the idea and identify</thought>
	query that could help you suggest a score based on its novelty. Then
	your perceived novelty score.
	In <ison falle<="" format="" in="" ison="" only="" respond="" td="" the="" with=""></ison>
	field.
	- "Ouery": An optional search query to search the literature (e.g. atte
	is all you need). You must make a query if you have not decided this re-
	- "Novelty Score": A novelty score from 0 to 10.
	A query will work best if you are able to recall the exact nan
	The paper you are looking for, or the authors.
	(the ISON MUST contain the "Ouery" and the "Novelty Score")
	In the last round, you should assign a "" value to the "Ouerv" even i
	don't need to generate it

1188	
1189	Table 18: The prompt for comparing two ideas for their clarity, novelty, feasibility, and generaliz-
1190	ability.

	You are an artificial intelligence researcher with extensive knowledge in
System Massage	this field, and now you need to make a comprehensive comparison between
System Message	Vou will obtain a comparison standard, compare every point on the stan
	dard, and make a summary comparison at the end.
	### Comparison Standard:
	Clarity: It evaluates whether the method is articulated in a straightfor-
	ward and coherent manner, facilitating a comprehensive understanding for
	potential adaptation in similar studies
	Novelty: It assesses the degree to which the method presents novel
	ideas or transformative strategies that challenge conventional practices, fos-
	tering advancements in the field and inspiring future research directions.
	Feasibility: It examines the practicality and implementability of the
	method, ensuring that the required resources, time, and expertise are real-
	istically available for its execution within the constraints of the study envi-
	Generalizability: It determines how broadly the method can be ex-
	tended or adapted to various contexts, populations, or situations, evaluating
	its applicability beyond the specific conditions of the study while maintain-
	ing relevance and effectiveness.
	·· ·· ··
	### You should compare these two ideas
	"" "IDFA1
	ideal
	»» »» »»
	" " "IDEA2
User Message	idea2
	### Respond in the following format:
	THOUGHT:
	<thought></thought>
	DESDONSE.
	KESPONSE:
	<pre>JSON</pre>
	In <thought>, You can record your reasoning process to make</thought>
	your comparison more organized
	In <ison> respond in ISON format with ONLY the following</ison>
	field:
	- "Clarity": Choose between 1 and 2 (If idea1 is better, fill in 1; otherwise,
	fill in 2. The same applies below.)
	- "Novelty": Choose between 1 and 2
	- "Feasibility": Choose between 1 and 2
	- "Generalizability": Choose between 1 and 2
	- summary : Choose between 1 and 2 This ISON will be automatically parsed so ensure the format is precise
	I mo soort will be automatically parsed, so ensure the format is precise.

	You are an artificial intelligence researcher with extensive knowledge in
System Message	this field, and now you need to make a comprehensive comparison among
	five ideas.
	You will obtain a comparison standard, compare every point on the stan-
	dard, and make a overan ranking at the end.
	### Comparison Standard:
	Clarity: It evaluates whether the method is articulated in a straightfor-
	ward and coherent manner, facilitating a comprehensive understanding for
	both practitioners and researchers, thus enabling effective application and
	potential adaptation in similar studies.
	Novelty: It assesses the degree to which the method presents novel ideas or transformative strategies that challenge conventional practices for
	tering advancements in the field and inspiring future research directions
	Feasibility: It examines the practicality and implementability of the
	method, ensuring that the required resources, time, and expertise are real-
	istically available for its execution within the constraints of the study envi-
	ronment.
	tended or adapted to various contexts populations or situations evaluating
	its applicability beyond the specific conditions of the study while maintain-
	ing relevance and effectiveness.
	» » » »
	### You should compare these five ideas:
	idea1
	" " " "
	" " "IDEA2
User Message	idea2
8	"""
	### Respond in the following format.
	THOUGHT:
	<thought></thought>
	DEGDONGE
	KESPUNSE:
	<ison></ison>
	In <thought>, You can record your reasoning process to make</thought>
	your comparison more organized
	In <ison> respond in ISON format with ONLY the following</ison>
	field:
	- "Clarity": Provide an array consisting of 1-5, representing each idea sep-
	arately, with the better idea placed at the beginning (e.g. [4, 5, 3, 2, 1])
	- "Novelty": Same as above.
	- "Feasibility": Same as above.
	- "Generalizability": Same as above.
	- Overan Kanking . Same as above.
	This JSON will be automatically parsed, so ensure the format is pre-
	cise

1242 Table 19: The prompt for comparing five ideas for their clarity, novelty, feasibility, and generaliz-1243 ability. 1244

cise.

