
Covid-19 Image Classification With Image Enhancement and Transfer Learning

Anonymous Author(s)

Abstract

1 Traditional methods and clinical practice of medical image classification have
2 reached their limit regarding performance, making it difficult to improve through
3 normal means. The emergence of deep neural networks such as the convolutional
4 neural network (CNN) have proven to be an effective method on varying image
5 classification tasks. To improve the performance of these methods, we propose to
6 use image enhancement techniques to improve the quality and perception of medical
7 images and to reduce inherent noise. We propose to use two spatial domain image
8 enhancement techniques as a preprocessing step, median filter, a filtering technique,
9 and contrast limited adaptive histogram equalization, a contrast enhancement
10 technique, on Covid-19 CT scans before processing them through pretrained
11 transfer learning algorithms. Through this experiment, we will demonstrate the
12 effect of image enhancement on classifying and predicting Covid-19 images and
13 diagnosis.

14 1 Introduction

15 As we see another variant of the SARS-CoV-2 virus Omicron and its big impact, the new Coronavirus
16 disease (Covid-19) is making its undeniable influence all over the world. However, this unfortunate
17 event has shown to be a good opportunity for research in medical imaging, notably application of
18 deep learning methods for medical diagnosis. Medical imaging is different technologies that are
19 used to visualize the human body with the purpose of monitoring, diagnosing or treating medical
20 conditions [1]. A common one is Computed Tomography (CT) which is a noninvasive medical
21 examination that uses specialized X-ray to produce cross-sectional images of the body [2]. With the
22 pandemic, many were interested in using deep learning models to classify and diagnose Covid-19 CT
23 scans. However, medical diagnosis depends on image acquisition where the quality of the image can
24 get affected by different kinds of noise, hence affecting the diagnosis at the same time [3].

25 The recent growth of deep learning such as convolutional neural networks (CNN) is having an enor-
26 mous impact on image classification/recognition modeling especially in medical imaging. However
27 these models require a big amount of training data, and because of the need of high professional
28 expertise to label medical images, medical image datasets are hard to collect and to create. Also,
29 during the image acquisition phase, medical images can get affected by different noises and hence
30 create difficulties when passed through processing steps [4].

31 In this study, we aim to use spatial domain image enhancement techniques, specifically median filter
32 and contrast limited adaptive histogram equalization (CLAHE) to enhance the quality of Covid 19
33 CT scans as input images and to observe the impact on transfer learning models. These two methods
34 were deemed to be better than other similar methods such as mean filter or histogram equalization [3].
35 Image enhancement is the process of improving digital image quality by bringing out details that are
36 obscured or hidden. Spatial domain enhancement methods are a type of enhancement that perform

37 operations directly on the image pixels. In fact, spatial techniques are used to directly change the gray
38 level values of individual pixels determined by the gray values of the points within a neighborhood
39 around the pixel and thus altering the overall contrast of the image [5].

40 We make the following contributions:

- 41 • We use spatial domain image enhancement techniques to improve the quality of CT scans as
42 a preprocessing step. This includes applying a median filter and applying a contrast limited
43 adaptive histogram equalization on the images.
- 44 • We trained and evaluated transfer learning convolutional networks such as VGG19,
45 ResNet50 and DenseNet121 as baseline models to demonstrate if the difference in model
46 performance is significant.
- 47 • We demonstrate the effectiveness of our method in predicting/diagnosing Covid-19 based
48 on open public datasets.

49 **2 Background**

50 Among filtering techniques, median filter is a non linear filtering technique where the center pixel of
51 a $N \times N$ neighborhood is replaced by the median value of the corresponding window. By doing so, the
52 median filter allows noise reduction. Furthermore, in contrast to other filtering techniques such as
53 the mean filter, median filter also helps to preserve useful details for future image processing. These
54 filters used for reducing noise are also called smoothing filters in image processing [6].

55 Another spatial domain enhancement technique is the adaptive histogram equalization (AHE) which
56 is used to improve contrast in images through the histogram of the image. An image histogram
57 is a gray-scale value distribution that shows the frequency of occurrence of each gray-level value
58 [7]. Adaptive histogram equalization computes multiple histograms, each corresponding to different
59 sections of the image and tries to distribute the frequency of each histogram to be more even. Adaptive
60 histogram equalization is better than just ordinary histogram equalization because ordinary histogram
61 equalization only considers the global contrast of the image which in many cases may not be the best
62 since it does not preserve the image details. However, for AHE, if noise is present in small areas, it
63 will get amplified. That is why we can apply a contrast limit that will clip any histogram bin that is
64 above a certain contrast limit and distribute those pixels uniformly to the other bins before applying
65 histogram equalization [8].

66 In computer vision, transfer learning has become an undeniable help for image recognition. The
67 idea behind transfer learning is to use pretrained models, which were trained on a large dataset, to
68 then transfer that knowledge. For image classification, transfer learning is used by freezing the early
69 convolutional layers of the network and only training the last few layers. The thought behind is that
70 the convolutional layers extract general features such as edges, patterns, gradients and the later layers
71 extract specific features of the image. Hence, training the new network with pre-trained weights will
72 help reduce the computational power needed to train the network and to speed up the learning process
73 [9].

74 **3 Datasets**

75 We use two datasets for our study. The first is the Covid-CT dataset whose utility had been confirmed
76 by a senior radiologist in Tongji Hospital, Wuhan, China, who had performed a large number of
77 Covid-19 diagnoses. It collected 760 preprints about Covid-19 from medRxiv and bioRxiv for
78 collection of positive Covid-19 CT images and used other databases for non-Covid CT images such
79 as MedPix, LUNA, etc. CT scans were manually selected from the preprints and were judged to be
80 either Covid CT scan or non-Covid CT scan. In the end, the CT scan dataset was composed of 349
81 CT images labeled as being positive for Covid-19 and 397 CT images labeled as being negative for
82 Covid-19 [10].

83 The second dataset is the publicly available SARS-COV-2 dataset whose data have been collected
 84 directly from real patients in hospitals from Sao-Paulo, Brazil. The dataset is composed of 1252 CT
 85 images that were labeled positive for Covid-19 and 1230 CT images that were labeled as negative for
 86 Covid-19. We combined the two datasets for the training of our model with a total of 3228 CT scans
 87 [11].

88 4 Methodology

89 The general methodology used in our study consists of first taking input images of CT scans of shape
 90 224 x 224 in RGB colors and applying normalization. Image enhancement (Median blur or CLAHE)
 91 will then be applied on the normalized images. When training, we used image data augmentation and
 92 transfer learning. At last, we evaluate our model's performance. To compare the baseline models, we
 93 use 3-fold cross validation in order to use statistical testing to observe if there is significant difference
 94 in the performance of our model with the proposed idea. Below is a detailed explanation of our
 95 methodology.

96 4.1 Transfer Learning

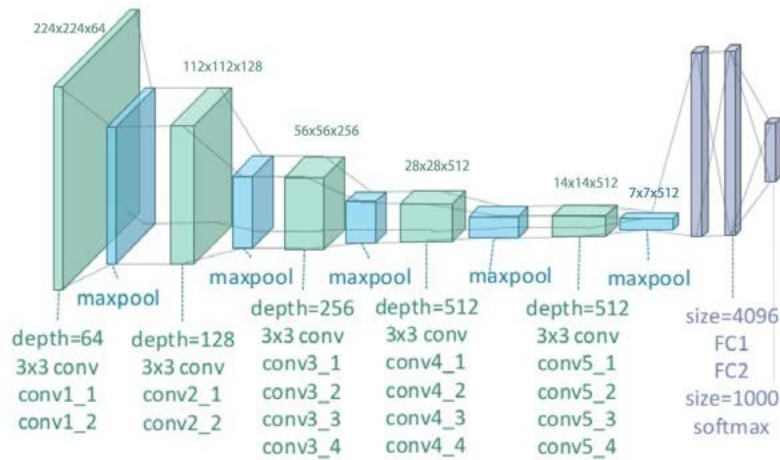


Figure 1: VGG19 architecture (Image source: reaserchgate.net)

97 Figure 1 is the architecture of the VGG19 model, one of the baseline models used in our study
 98 (VGG19, ResNet50, DenseNet121). To implement transfer learning, we loaded the pretrained CNN
 99 model with the weights used to train on ImageNet and we replaced the classifier with our own
 100 classifier where the last layer has only two output neurons, one for Covid and one for non-Covid.
 101 Also, we froze all the convolutional layers of our pretrained model so that we only train on the
 102 parameters of our own classifier on our own dataset.

103 To do so, we used a Flatten layer to convert the output of the convolutional part of our pre-trained
 104 CNN model into a 1D feature vector and used a Dropout layer to help prevent overfitting. The last
 105 layer which consists of our classifier was a Dense layer with the parameter units set to 2 for a binary
 106 classification and a softmax activation function for a probability output for the diagnosis. We then
 107 have our baseline models that will be used to compare with the proposed idea.

108 4.2 Median Filter

109 A digital image can be represented by a two-dimensional function $f(x,y)$, and the x - y coordinates
 110 represent the spatial position information, also called the spatial domain. The process behind spatial
 111 filtering is to move the kernel point-by-point in the image function such that the center of the kernel
 112 corresponds with the point (x,y) . The kernel also has its own predefined relationship, called template,

113 which will serve as base for calculating the kernel’s response at each point [12]. For a kernel of size
 114 $(2a+1, 2b+1)$, the output response can be calculated by the following function:

$$g(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x + s, y + t)$$

115 For median blur, the methodology is simply to replace a pixel value by the median value of its
 116 neighboring pixels. In fact, for every pixel of index (i, j) the result will be computed by sorting all the
 117 other pixels of index $(i-1, j-1)$, $(i-1, j)$, $(i-1, j+1)$, $(i, j-1)$, (i, j) , $(i, j+1)$, $(i+1, j-1)$, $(i+1, j)$ and $(i+1,$
 118 $j+1)$ and the median value will be calculated from these values. The original pixel value will then be
 119 replaced by the newly calculated median [3].

120 In our study, we performed Median Blur with the help of OpenCV (Open Source Computer Vision
 121 Library) and used a kernel of size 5×5 . We trained each baseline model (VGG19, ResNet50 and
 122 DenseNet121) with median blur as a preprocessing step and used a 3-fold cross validation to
 123 statistically test if there was a significant improvement or not.

124 4.3 CLAHE

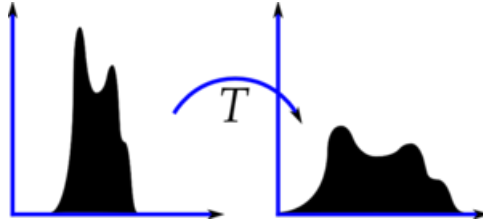


Figure 2: Histogram Equalization Process (Image source: docs.opencv.org)

125 Figure 3 shows 2 histograms of an image before and after equalization. In our study, we decided to
 126 use a contrast limited adaptive histogram equalization which is essentially Histogram Equalization
 127 with contrast limiting, but applied in small “tiles” instead of a global application. This will help
 128 reduce noise and keep image details better than global histogram equalization since global histogram
 129 equalization can lead to some loss of image information for example over-brightness [8].

130 The general histogram equalization formula is the following :

$$h(v) = \text{round}(\text{cdf}(v) - \text{cdf}_{\min}) \div ((M \times N) - \text{cdf}_{\min}) \times (L - 1)$$

131 Where CDF is the cumulative distribution function, L is the maximum intensity value, M is the image
 132 width and N is the image height and $h(v)$ is the equalized value [13].

133 To implement CLAHE in our study, we used OpenCV (Open Source Computer Vision Library).
 134 We first needed to have our input images have a channel of 1 where we changed the image to
 135 grayscale. After we finished applying CLAHE on our input images, we then rechanged the images
 136 channels to 3 to have RGB images for our model’s training. At last, we trained our three baseline
 137 models with the enhanced images and 3-fold cross validation was also used for later statistical testing
 138 with our baseline models.

139 4.4 Data Augmentation

140 Image data augmentation is a simple way to artificially increase the amount of training images with
 141 random predefined transformations such as rotation, shifts, horizontal flip or many other processings
 142 at the input stage of our model’s training. By doing so, our model learns how to differentiate images
 143 regardless of orientation or other transformation. This technique helps a lot for convolutional neural
 144 networks as it needs a vast amount of data.

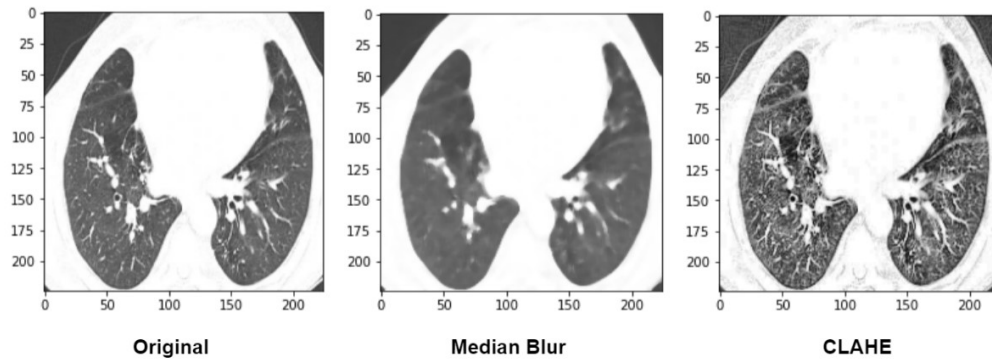
145 To do so, we used the ImageDataGenerator API in Keras which generates batches of image data with
146 real-time image augmentation.

147 5 Results

148 To validate our idea of the effect of image enhancement techniques and to perform predictions on
149 Covid-19 CT scans, we performed a series of experiences. All training was done in Google Colab
150 Pro.

151 5.1 Transfer Learning CNN Predictions

152 For each of our baseline models (VGG19, ResNet50, DenseNet121) and the ones with image
153 enhancement techniques (Median Blur and CLAHE), we trained the model for 300 epochs and with
154 batch size of 32 and ran predictions. Below are examples of different inputs of CT scans to our
155 models:



156 The model takes input of images of size 224 x 224. Loss is calculated using categorical cross entropy
157 and the model uses an Adam optimizer. We summarize the performance of our different models
158 below.

Models	Accuracy (%)		
	Original	Median Blur	CLAHE
VGG19	83.4	85.8	85.1
ResNet50	77.6	72.4	78.9
DenseNet121	89.0	85.1	87.6

Table 1 : Accuracy of final models

159 5.2 Models Comparison

160 To determine if the changes to the model's performance after applying image enhancement is
161 statistically significant, we performed 3-fold cross-validation and trained each fold for 100 epochs.
162 We chose only to divide our dataset into 3 fold and to use 100 epochs because of computation limits.
163 The tables below show the results of the experiment:

Accuracy (%)	1	2	3	Mean	St. dev
Original	83.3	84.7	82.7	83.6	0.9
Median Blur	84.8	82.4	84.9	84.1	1.2
CLAHE	84.7	83.9	81.9	83.5	1.2

Table 2 : Accuracy in 3-fold cross-validation for VGG19

Accuracy (%)	1	2	3	Mean	St. dev
Original	71.8	74.5	74.3	73.5	1.2
Median Blur	73.8	71.5	74.8	73.4	1.4
CLAHE	50.3	63.8	65.4	59.8	6.8

Table 3 : Accuracy in 3-fold cross-validation for ResNet50

Accuracy (%)	1	2	3	Mean	St. dev
Original	85.7	85.7	84.5	85.3	0.5
Median Blur	77.1	87.7	82.2	82.4	4.33
CLAHE	85.2	83.1	79.4	82.6	2.4

Table 4 : Accuracy in 3-fold cross-validation for DenseNet121

164 6 Discussion and Future Work

165 With the results from the experiments using 3-fold cross-validation, we are able to perform some
 166 hypothesis testing. In fact, we use the one-sided paired T-test with $\alpha = 0.05$ to observe if a significant
 167 change was brought with the use of image enhancement. If the p-value is lower than 0.05, we reject
 168 the null hypothesis thus meaning that significant difference is present.

169 For VGG19, by comparing the accuracy between original images and the images enhanced by median
 170 blur and enhanced by CLAHE, we have the respective p-values : 0.38 and 0.49. Since both of the
 171 values are not smaller than 0.05, we cannot reject the null hypothesis for VGG19. In fact, even though
 172 we see a slight difference, we cannot assume that it is significant.

173 For ResNet50, we have the following p-values after comparing the accuracy between the original
 174 images and the ones enhanced by median blur and CLAHE : 0.46 and 0.04. For this model, since
 175 0.46 is bigger than 0.05 we do not reject the null hypothesis for median blur, but 0.04 is smaller
 176 than 0.05, thus meaning that we do reject the null hypothesis for CLAHE. In this case, we observe
 177 that there is a significant negative change where the model with images preprocessed with CLAHE
 178 performed less well than with the original images and that no significant change is present in the
 179 performance of the model with the use of median blur.

180 For DenseNet121, the following p-values were calculated after performing the paired T-test on the
 181 original images and the ones enhanced by median blur and CLAHE : 0.22 and 0.09. Since both of the
 182 values are bigger than the significance level of 0.05, we conclude that neither of the enhancement
 183 techniques had a significant impact on the model's performance.

184 In brief, we can observe that applying image enhancement techniques does not bring significant
 185 change or improvement in the performance in comparison to our baseline models.. A possible reason
 186 and explanation behind it could be that since not all image enhancement techniques are suited for

187 the same task, some may be better for the task that we have chosen. Even though median blur and
188 CLAHE did not bring improvement, there are still many image enhancement techniques that were not
189 tested and some may have better impact. That is why it is difficult to assume that image enhancement
190 has absolutely no impact. For future work, other image enhancement techniques can be tested, such
191 as frequency domain enhancement techniques by using Fourier Transform. Combination of methods
192 could also be tried as a preprocessing step or even as a data augmentation step.

193 **7 Conclusion**

194 In this paper, experiments were performed to evaluate the influence of image enhancement techniques
195 as a preprocessing step on pre-trained models serving as baseline models and to perform diagnosis on
196 Covid-19 CT scans. Although we have been able to perform diagnosis with our final models with
197 an acceptable accuracy for each, our results through 3-fold cross-validation have shown that using
198 median blur and CLAHE as an additional preprocessing step to the images did not bring significant
199 change in regards to the accuracy of our models. In conclusion, our initial hypothesis on the impact of
200 image enhancement technique was not verified, but future work can be done for further improvement
201 on the models' general performance and on the analysis of the influence of image enhancement.

202 References

- 203 [1] FDA. Medical Imaging. August 2018. [https://www.fda.gov/radiation-emitting-products/](https://www.fda.gov/radiation-emitting-products/radiation-emitting-products-and-procedures/medical-imaging)
204 [radiation-emitting-products-and-procedures/medical-imaging](https://www.fda.gov/radiation-emitting-products-and-procedures/medical-imaging)
- 205 [2] FDA, Computed Tomography (CT) . June 2019. [https://www.fda.gov/](https://www.fda.gov/radiation-emitting-products/medical-x-ray-imaging/computed-tomography-ct)
206 [radiation-emitting-products/medical-x-ray-imaging/computed-tomography-ct](https://www.fda.gov/radiation-emitting-products/medical-x-ray-imaging/computed-tomography-ct)
- 207 [3] Showkat Hassan Malik, Tariq Ahmad Lone and S.M.K Quadri. 2015. [https://www.researchgate.net/](https://www.researchgate.net/publication/280003458_Contrast_enhancement_and_smoothing_of_CT_images_for_diagnosis)
208 [publication/280003458_Contrast_enhancement_and_smoothing_of_CT_images_for_diagnosis](https://www.researchgate.net/publication/280003458_Contrast_enhancement_and_smoothing_of_CT_images_for_diagnosis)
- 209 [4] Yadav, S.S., Jadhav, S.M. Deep convolutional neural network based medical image classification for disease
210 diagnosis. J Big Data 6, 113 (2019). <https://doi.org/10.1186/s40537-019-0276-2>.
- 211 [5] Snehal O.Mundhada and Vijaya K. Shandilya. Spatial and Transformation Domain Techniques for Image
212 Enhancement. November 2012. [http://www.ijesit.com/Volume%201/Issue%202/IJESIT201211_32.](http://www.ijesit.com/Volume%201/Issue%202/IJESIT201211_32.pdf)
213 [pdf](http://www.ijesit.com/Volume%201/Issue%202/IJESIT201211_32.pdf)
- 214 [6] İmren Dinç, et al. Emerging Trends in Image Processing, Computer Vision and Pattern Recognition.
215 2015. <https://doi.org/10.1016/B978-0-12-802045-6.00012-0>. [https://www.sciencedirect.com/topics/](https://www.sciencedirect.com/topics/computer-science/median-filter)
216 [computer-science/median-filter](https://www.sciencedirect.com/topics/computer-science/median-filter)
- 217 [7] Ewa Pietka. Handbook of Medical Imaging. 2000. [https://doi.org/10.1016/B978-012077790-7/](https://doi.org/10.1016/B978-012077790-7/50056-4)
218 [50056-4](https://doi.org/10.1016/B978-012077790-7/50056-4). <https://www.sciencedirect.com/topics/engineering/image-histogram>
- 219 [8] Open Source Computer Vision. Histograms - 2: Histogram Equalization. [https://docs.opencv.org/4.](https://docs.opencv.org/4.x/d5/daf/tutorial_py_histogram_equalization.html)
220 [x/d5/daf/tutorial_py_histogram_equalization.html](https://docs.opencv.org/4.x/d5/daf/tutorial_py_histogram_equalization.html)
- 221 [9] Will Koehrsen. Transfer Learning with Convolutional Neural Net-
222 works in PyTorch. November 2018. [https://towardsdatascience.com/](https://towardsdatascience.com/transfer-learning-with-convolutional-neural-networks-in-pytorch-dd09190245ce)
223 [transfer-learning-with-convolutional-neural-networks-in-pytorch-dd09190245ce](https://towardsdatascience.com/transfer-learning-with-convolutional-neural-networks-in-pytorch-dd09190245ce)
- 224 [10] Xingyi Yang et al. COVID-CT-Dataset: A CT Scan Dataset about COVID-19. 2020. arXiv: 2003.13865.
225 URL: <https://arxiv.org/abs/2003.13865>
- 226 [11] Soares, Eduardo, Angelov, Plamen, Biaso, Sarah, Higa Froes, Michele, and Kanda Abe, Daniel. "SARS-
227 CoV-2 CT-scan dataset: A large dataset of real patients CT scans for SARS-CoV-2 identification." medRxiv
228 (2020). doi: <https://doi.org/10.1101/2020.04.24.20078584>. Angelov, P., & Soares, E. (2020). To-
229 wards explainable deep neural networks (xDNN). Neural Networks, 130, 185-194.
- 230 [12] Dynamsoft. Image Processing 101 Chapter 2.3: Spatial Filters (Convolution).
231 August 2019. [https://www.dynamsoft.com/blog/insights/image-processing/](https://www.dynamsoft.com/blog/insights/image-processing/image-processing-101-spatial-filters-convolution/)
232 [image-processing-101-spatial-filters-convolution/](https://www.dynamsoft.com/blog/insights/image-processing/image-processing-101-spatial-filters-convolution/)
- 233 [13] Dynamsoft. Image Processing Chapter 101 Chapter 2.2: Image Enhancement. [https://www.dynamsoft.](https://www.dynamsoft.com/blog/insights/image-processing/image-processing-101-image-enhancement/)
234 [com/blog/insights/image-processing/image-processing-101-image-enhancement/](https://www.dynamsoft.com/blog/insights/image-processing/image-processing-101-image-enhancement/)
- 235 [14] Xiaoran Chen. Image enhancement effect on the performance of convolutional neural networks. June 2019.
236 <http://www.diva-portal.org/smash/get/diva2:1341096/FULLTEXT02.pdf>