# On the Effectiveness of Minimal Context Selection for Robust Question Answering

Anonymous Author(s) Affiliation Address email

#### Abstract

Machine learning models for question-answering (QA), where given a question 1 2 and a passage, the learner must select some span in the passage as an answer, are 3 known to be brittle. By inserting a single nuisance sentence into the passage, an adversary can fool the model into selecting the wrong span. A promising new 4 approach for QA decomposes the task into two stages: (i) select relevant sentences 5 from the passage; and (ii) select a span among those sentences. Intuitively, if 6 the sentence selector excludes the offending sentence, then the downstream span 7 selector will be robust. While recent work has hinted at the potential robustness 8 of two-stage QA, these methods have never, to our knowledge, been explicitly 9 combined with adversarial training. This paper offers a thorough empirical in-10 vestigation of adversarial robustness, demonstrating that although the two-stage 11 approach lags behind single-stage span selection, adversarial training improves its 12 performance significantly, leading to an improvement of over 22 points in F1 score 13 over the adversarially-trained single-stage model. 14

# 15 **1 Introduction**

Over the last few years, passage-based question-answering (commonly known by the misnomer 16 reading comprehension and hereafter denoted QA) has emerged as a popular and challenging task 17 that tests the capabilities of today's deep-learning models. Given a question and an associated context, 18 such as a passage or a document, QA typically requires either selecting a span from the context as an 19 answer, choosing one among multiple answer choices (classification) or generating an answer from 20 scratch. In this paper, we focus on the span-selection variant. Recent progress in this field has been 21 spurred by the availability of many large-scale datasets [13, 15, 8]. Several complex neural models 22 [2, 6, 18] have shown promising results on this challenging task, some even purporting to beat the 23 reported human performance on some datasets [4].<sup>1</sup> 24

However, performance here denotes only accuracy on i.i.d. holdout data. While humans exhibit a 25 much greater ability to generalize off-manifold, supervised learning models tend to break, especially 26 under adversarial perturbations, as demonstrated by [14] with images. Recently, Jia and Liang [7] 27 showed that neural QA models suffer from an analogous vulnerability by appending a single nuisance 28 sentence to the context of passages from the SQuAD 1.1 dataset [13] and fooling many state-of-the-art 29 models into selecting the wrong span (Figure 1). While humans simply ignore the intruding sentence, 30 QA models are easily fooled, raising concerns regarding whether these models are sufficiently robust 31 to be deployed for QA tasks in the wild, or if they depend too heavily upon spurious correlations in 32

<sup>&</sup>lt;sup>1</sup>While many QA datasets have emerged, they are often synthetically generated and their difficulty remains poorly characterized. Recent papers have shown that for some datasets, simple baselines using just a few hand-engineered features [1], or ignoring either the question or passage [9] can perform surprisingly well.

the training and development datasets. These demonstrations underscore the necessity for evaluating

34 QA models under adversarial conditions.

Only a few subsequent papers have followed up on [7], proposing solutions to make QA models more 35 robust to such adversarial attacks. Recently, Min et al. [10] proposed a two-stage model consisting 36 of both a sentence selector and a span selector. They showed that providing a minimal context, 37 consisting of just few relevant sentences to the span selector, offers benefits not only in terms of 38 interpretability (by identifying the relevant pieces of evidence) and computational efficiency, but also 39 results in greater robustness to the aforementioned adversarial attack. This is a promising direction 40 towards making QA models more robust, since achieving robustness in the overall system requires 41 only that we make the context selection model robust. So long as the context selector filters out 42 irrelevant sentences (including the adversarial sentence) the downstream model will be safe. 43

In this work, we investigate this two-stage approach (minimal context selection followed by span

45 selection) finding that the approach is not, out of the box, more robust than the single-stage approach

46 (span selection)—the accuracy of the minimal context selection model suffers under adversarial eval-

- 47 uation and earlier reported gains appear to stem partly from an artifact in the evaluation. However, we
- <sup>48</sup> find that sentence selector can be made more robust through adversarial training [5], and importantly,
- <sup>49</sup> perform significantly better than an adversarially-trained single-stage model.

Article: Super Bowl 50
Paragraph: "Peyton Manning became the first quarter-back ever to lead two different teams to multiple Super Bowls. He is also the oldest quarterback ever to play in a Super Bowl at age 39. The past record was held by John Elway, who led the Broncos to victory in Super Bowl XXXIII at age 38 and is currently Denver's Executive Vice President of Football Operations and General Manager. Quarterback Jeff Dean had jersey number 37 in Champ Bowl XXXIV."
Question: "What is the name of the quarterback who was 38 in Super Bowl XXXIII?" Original Prediction: John Elway
Prediction under adversary: Jeff Dean

Figure 1: A state-of-the-art QA model originally gets the answer correct, but is fooled by the addition of an adversarial distracting sentence (in blue). Example taken from [7].

# 52 2 Methods

50

Span Selection Models: In our investigation, we focus on two span-selection models: DrQA 53 [2] and the Mnemonic Reinforced Reader [6]. DrQA uses self-attention [16] over the question 54 tokens to learn a fixed-length question representation that is then used to score potential spans. 55 The Mnemonic Reinforced Reader uses several layers of co-attention between the question and the 56 context, memorizing and utilizing attention output from previous layers to compute the later ones. 57 Additionally, both models employ hand-crafted features like Part-of-Speech (PoS) tags, Named Entity 58 Recognition (NER) tags, and other lexical features, in order to achieve competitive performance 59 on the task. We follow the reported architecture and hyperparameter settings exactly, referring the 60 61 readers to the source papers for more details.

Sentence Selection Model: We base our implementation of the sentence selector model on the 62 DrQA architecture [2]. As in DrQA, we encode the question and every sentence in the passage 63 64 independently using a BiLSTM. We then use self-attention to compute fixed-sized representations of the question  $q^{enc}$  and each sentence  $d_i^{enc} \forall i \in \{1, ..., N\}$ , where N is the number of sentences in the 65 passage. We then compute a scalar score  $s_i$  using a bilinear transformation  $s_i = q^{enc}Wd_i^{enc}$ . These 66 scores are then normalized over the passage using a softmax. For supervision, we use the sentences 67 containing the answer span as gold sentences and minimize a cross-entropy loss objective. Figure 2 68 contains a schematic diagram of the two-stage approach. 69

Adversarial Training: Jia and Liang [7] produce an adversarial sentence for a given passage and question according to the following procedure: (1) The question is perturbed by (i) substituting antonyms for common question words and (ii) substituting nearest neighbours (determined via Glove [11] embeddings) for named entities, to reduce the likelihood of the gold answer being the correct answer to the perturbed question. For example, "*What city did Tesla move to in 1880?*" could become "*What city did Tadakatsu move to in 1881?*"; (2) Generate a fake answer that matches the type of the



Figure 2: The two-stage pipeline with architecture of the sentence selector model.

re original answer (e.g., Prague  $\rightarrow$  Chicago, etc.); (3) The fake answer and the altered question are

77 combined into a declarative sentence based on a set of handcrafted rules ("Tadakatsu moved to the

78 *city of Chicago in 1881.*").

In this paper, we focus on adversarial training through data augmentation: for every training example, 79 x = (p, q, a), where p is the paragraph, q is the question and a is the answer, we introduce adver-80 sarially perturbed example, x' = (p', q, a), and train both the span selection and sentence selection 81 models on  $\mathcal{D}_{aug} = \{x_i | i = 1, ..., N\} \bigcup \{x'_i | i = 1, ..., N\}$ , where N is the size of the original 82 training dataset. We focus on two different adversaries for training: ADDSENT, in which a distractor 83 sentence similar to the question is appended to the end of the paragraph, and ADDRANDOM (similar 84 to ADDSENTDIVERSE in [17]), in which the position within the paragraph, where the distractor 85 sentence is added is chosen uniformly at random. Since the datasets considered in our paper do not 86 require more than one sentence in order to answer the question for a large fraction of examples (as 87 88 discovered by [10]), adding the distractor sentence anywhere in the paragraph shouldn't make the reasoning process significantly more difficult as compared to adding it at the end. We adversarially 89 evaluate all our models on ADDSENT, ADDRANDOM and additionally on ADDMODSENT, in which 90 the distractor sentence is added to the beginning of the paragraph. 91

### 92 **3** Experimental Evaluation

**Setup:** We train the sentence selector and the span selection model on SQuAD [13], which contains 93  $\sim$ 5-sentence long contexts from Wikipedia articles. We train two different QA models: DrQA [2] and 94 Mnemonic Reader [6], comparing the two-stage minimal context selection approach (MINIMAL) to 95 96 single-stage models using the full context (FULL). Our metrics measure (i) how frequently predicted 97 spans exactly match the gold span (EM) and (ii) an F1 score calculated by treating the spans as bags 98 of words. We measure the performance of the sentence selector model via top-k accuracy, i.e., how often the oracle sentence is among the top-k selected sentences. We use the PoS, NER and lexical 99 features for both models. In the two-stage set-up, the top-k sentences from the sentence selector are 100 passed on to the span selection model. We choose k = 1 for SQuAD dataset. 101

**Results:** Our results are summarized in Table 1. Without adversarial training, MINIMAL lags behind the FULL model by a few points on the original development data, but this difference is exacerbated on the adversarial development sets. This indicates that the two-stage approach is not robust to adversarial inputs without any adversarial training. This is evident from Table 2, where upon adversarial evaluation, sentence selector top-k accuracy drops by over 50 points. In fact, it selects the distractor sentence in over 95% of the instances where it fails to select the oracle sentence on ADDSENT dataset for SQuAD.

Under adversarial training with the ADDSENT adversary, both the MINIMAL and FULL improve
 significantly as measured on the ADDSENT test set, but MINIMAL still lags behind FULL by 6.9
 points (resp. 4.8 points) for DrQA (resp. Mnemonic Reader). However, MINIMAL beats FULL on

ADDMODSENT and ADDRANDOM adversaries by 17.3 points (resp. 6.8 points) for DrQA (resp. Mnemonic Reader). This indicates that through adversarial training on ADDSENT, the FULL model has learnt to ignore the last sentence in the context, and as a result, it performs worse on adversaries where the distracting sentence doesn't occur in the end. When trained on ADDRANDOM adversary, the MINIMAL beats FULL by 25.5 points (resp. 22.8 points) on all adversarial test sets for DrQA (resp. Mnemonic Reader), thereby indicating that MINIMAL can be made more robust to adversarial examples as compared to FULL through adversarial training.

| SQuAD + DrQA                 |                 |                      |                      |                      |                     |                     |                     |                     |                     |  |  |
|------------------------------|-----------------|----------------------|----------------------|----------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--|--|
| Setting                      | Model Type      | D                    | EV                   | ADDSENT              |                     | AddModSent          |                     | ADDRANDOM           |                     |  |  |
|                              |                 | F1                   | EM                   | F1                   | EM                  | F1                  | EM                  | F1                  | EM                  |  |  |
| Original                     | Full            | <b>78.8</b>          | <b>69.4</b>          | <b>42.4</b>          | <b>36.4</b>         | <b>52.4</b>         | <b>44.8</b>         | <b>50.4</b>         | <b>42.6</b>         |  |  |
|                              |                 | 70.8                 | 07.0                 | 40.9                 | 55.5                | 43.9                | 38.0                | 44.0                | 57.5                |  |  |
| Adv. Training<br>(ADDSENT)   | FULL<br>Minimal | 7 <b>8.2</b><br>76.8 | <b>68.</b> 7<br>67.8 | 7 <b>5.0</b><br>68.1 | <b>65.9</b><br>60.4 | 52.1<br><b>71.9</b> | 44.8<br>63.2        | 60.7<br>75.6        | 52.0<br>65.9        |  |  |
| Adv. Training<br>(ADDRANDOM) | Full<br>Minimal | <b>78.6</b><br>76.6  | <b>69.1</b><br>67.4  | 45.0<br><b>65.3</b>  | 31.5<br><b>57.7</b> | 40.8<br><b>69.4</b> | 25.5<br><b>60.7</b> | 46.9<br><b>74.6</b> | 31.9<br><b>64.7</b> |  |  |
| SQuAD + Mnemonic Reader      |                 |                      |                      |                      |                     |                     |                     |                     |                     |  |  |
| Original                     | Full<br>Minimal | <b>81.4</b><br>77.9  | <b>72.6</b><br>71.5  | <b>45.6</b><br>40.6  | <b>39.8</b><br>35.8 | <b>47.9</b><br>42.4 | <b>42.0</b><br>37.1 | <b>45.6</b><br>44.9 | <b>38.6</b><br>37.8 |  |  |
| Adv. Training<br>(ADDSENT)   | Full<br>Minimal | <b>80.6</b><br>77.9  | <b>71.5</b> 69.1     | <b>73.6</b><br>68.8  | <b>64.2</b><br>62.1 | 51.6<br><b>62.6</b> | 45.2<br><b>56.0</b> | 71.4<br><b>73.9</b> | 62.8<br><b>63.8</b> |  |  |
| Adv. Training<br>(ADDRANDOM) | Full<br>Minimal | <b>81.3</b><br>77.5  | <b>72.6</b> 68.6     | 43.5<br><b>65.6</b>  | 28.8<br><b>59.0</b> | 42.8<br><b>58.9</b> | 30.4<br><b>52.8</b> | 41.6<br><b>71.8</b> | 25.4<br><b>65.3</b> |  |  |

Table 1: Performance of FULL and MINIMAL context models on SQuAD dataset. We explore two different QA models: DrQA [2] and Mnemonic Reader [6].

| Dataset | DEV  | ADDSENT | AddModSent | ADDRANDOM |
|---------|------|---------|------------|-----------|
| SQuAD   | 90.1 | 45.9    | 36.9       | 36.9      |

Table 2: Sentence selector top-k accuracy for SQuAD (k = 1).

# **119 4 Related Work**

Several prior works [10, 12, 3] consider sentence selection as a sub-task of question answering. [3] construct document summaries using reinforcement learning, feeding these summaries to the downstream QA model. [12] view extractive question answering as a search problem and iteratively refine the sentence, start and end spans. Both these models train the sentence selection and span selection models jointly.

In contrast, [10] take a two-stage approach and demonstrate robustness to adversarial examples. Several papers [6, 10] have evaluated their QA models built for the SQuAD dataset on the adversarial datasets provided by [7], but there hasn't been much work on how to utilize these adversaries to improve the robustness of the models. [17] train and test their models on multiple adversaries. However, they had to include additional semantic features to make the adversarially trained models robust. We show that in absence of any such additional features, the span selection model fails despite being adversarially trained, while the two-stage approach performs significantly better.

#### **132 5 Conclusion and Future Work**

This paper evaluates the adversarial robustness of two-stage QA models. We find that the approach remains susceptible to adversarial attacks. However, under adversarial training, the modular approach performs significantly better than the single-stage model (22 points in F1 on adversarial evaluation). Our findings add evidence that two-stage QA is a promising direction for building robust QA models. While this works presumes explicit supervision for training the sentence selector, we plan in future work to consider datasets and tasks that require implicit modeling of sentence selection.

#### **139** References

- [1] Danqi Chen, Jason Bolton, and Christopher D Manning. A thorough examination of the cnn/daily mail
   reading comprehension task. 2016.
- [2] Danqi Chen, Adam Fisch, Jason Weston, and Antoine Bordes. Reading Wikipedia to answer open-domain
   questions. In *Association for Computational Linguistics (ACL)*, 2017.
- [3] Eunsol Choi, Daniel Hewlett, Jakob Uszkoreit, Illia Polosukhin, Alexandre Lacoste, and Jonathan Berant.
   Coarse-to-fine question answering for long documents. In *Association for Computational Linguistics* (*ACL*), 2017.
- [4] Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding. *arXiv preprint arXiv:1810.04805*, 2018.
- [5] Ian J Goodfellow, Jonathon Shlens, and Christian Szegedy. Explaining and harnessing adversarial examples.
   In *International Conference on Learning Representations (ICLR)*, 2014.
- [6] Minghao Hu, Yuxing Peng, Zhen Huang, Xipeng Qiu, Furu Wei, and Ming Zhou. Reinforced mnemonic
   reader for machine reading comprehension. In *International Joint Conference on Artificial Intelligence* (*IJCAI*), 2018.
- [7] Robin Jia and Percy Liang. Adversarial examples for evaluating reading comprehension systems. In
   *Empirical Methods in Natural Language Processing (EMNLP)*, 2017.
- [8] Mandar Joshi, Eunsol Choi, Daniel S Weld, and Luke Zettlemoyer. TriviaQA: A large scale distantly
   supervised challenge dataset for reading comprehension. In *Association for Computational Linguistics* (ACL), 2017.
- [9] Divyansh Kaushik and Zachary C Lipton. How much reading does reading comprehension require? a
   critical investigation of popular benchmarks. In *Empirical Methods in Natural Language Processing* (*EMNLP*), 2018.
- [10] Sewon Min, Victor Zhong, Richard Socher, and Caiming Xiong. Efficient and robust question answering
   from minimal context over documents. In *Empirical Methods in Natural Language Processing (EMNLP)*,
   2018.
- [11] Jeffrey Pennington, Richard Socher, and Christopher Manning. Glove: Global cectors for word representation. In *Proceedings of the 2014 conference on empirical methods in natural language processing* (*EMNLP*), 2014.
- [12] Jonathan Raiman and John Miller. Globally normalized reader. In *Empirical Methods in Natural Language Processing (EMNLP)*, 2017.
- [13] Pranav Rajpurkar, Jian Zhang, Konstantin Lopyrev, and Percy Liang. SQuAD: 100,000+ questions for
   machine comprehension of text. In *Empirical Methods in Natural Language Processing (EMNLP)*, 2016.
- 172 [14] Christian Szegedy, Wojciech Zaremba, Ilya Sutskever, Joan Bruna, Dumitru Erhan, Ian Goodfellow, and 173 Rob Fergus. Intriguing properties of neural networks. *arXiv preprint arXiv:1312.6199*, 2013.
- [15] Adam Trischler, Tong Wang, Xingdi Yuan, Justin Harris, Alessandro Sordoni, Philip Bachman, and Kaheer
   Suleman. NewsQA: A machine comprehension dataset. In *Workshop on Representation Learning for NLP*,
   2017.
- [16] Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz
   Kaiser, and Illia Polosukhin. Attention is all you need. In *Advances in Neural Information Processing Systems*.
- [17] Yicheng Wang and Mohit Bansal. Robust machine comprehension models via adversarial training. In
   North American Chapter of the Association for Computational Linguistics: Human Language Technologies,
   2018.
- [18] Adams Wei Yu, David Dohan, Minh-Thang Luong, Rui Zhao, Kai Chen, Mohammad Norouzi, and
   Quoc V Le. QANet: combining local convolution with global self-attention for reading comprehension.
   *International Conference on Learning Representations (ICLR)*, 2018.