
End-to-End Autonomous Driving without Costly Modularization and 3D Manual Annotation

Anonymous Author(s)

Affiliation

Address

email

Abstract

1 We propose UAD, a method for vision-based end-to-end autonomous driving
2 (E2EAD), achieving the best open-loop evaluation performance in nuScenes, mean-
3 while showing robust closed-loop driving quality in CARLA. Our motivation stems
4 from the observation that current E2EAD models still mimic the modular archi-
5 tecture in typical driving stacks, with carefully designed **supervised** perception
6 and prediction subtasks to provide environment information for oriented planning.
7 Although achieving groundbreaking progress, such design has certain drawbacks:
8 1) preceding subtasks require massive high-quality 3D annotations as supervision,
9 posing a significant impediment to scaling the training data; 2) each submodule
10 entails substantial computation overhead in both training and inference. To this end,
11 we propose UAD, an E2EAD framework with an **unsupervised**¹ proxy to address
12 all these issues. Firstly, we design a novel Angular Perception Pretext to eliminate
13 the annotation requirement. The pretext models the driving scene by predicting the
14 angular-wise spatial objectness and temporal dynamics, without manual annota-
15 tion. Secondly, a self-supervised training strategy, which learns the consistency of
16 the predicted trajectories under different augment views, is proposed to enhance
17 the planning robustness in steering scenarios. Our UAD achieves 38.7% relative
18 improvements over UniAD on the average collision rate in nuScenes and surpasses
19 VAD for 6.40 points on the driving score in CARLA’s Town05 Long benchmark.
20 Moreover, the proposed method only consumes 44.3% training resources of UniAD
21 and runs 3.4× faster in inference. Our innovative design not only for the first time
22 demonstrates unarguable performance advantages over supervised counterparts,
23 but also enjoys unprecedented efficiency in data, training, and inference.

24 1 Introduction

25 Recent decades have witnessed breakthrough achievements in autonomous driving. The end-to-
26 end paradigm, which seeks to integrate perception, prediction, and planning tasks into a unified
27 framework, stands as a representative branch [33, 1, 39, 3, 35, 21, 22]. The latest advances in end-to-
28 end autonomous driving significantly piqued researchers’ interest [21, 22]. However, handcrafted and
29 resource-intensive supervised sub-tasks for perception and prediction, which have previously proved
30 their utility in environment modeling [35, 3, 20], continue to be indispensable, as shown in Fig. 1a.

31 Then what insights have we gained from the recent advances? It has come to our attention that one of
32 the most enlightening innovations lies in the Transformer-based pipeline, in which the queries act
33 as a connective thread, seamlessly bridging various tasks. Besides, the capability for environment
34 modeling has also seen a significant boost, primarily due to complicated interactions of supervised

¹Following [30, 4], here we consider the methods as “unsupervised” ones as long as no manual annotation is used and required in the target task or domain.

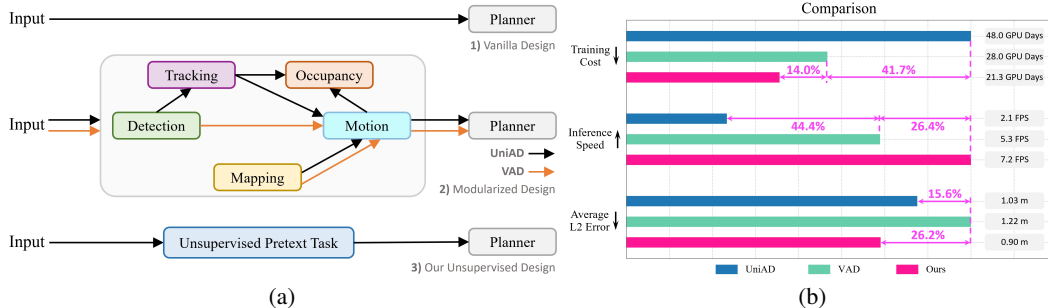


Figure 1: **(a)** End-to-end autonomous driving paradigms. 1) The vanilla architecture that directly predicts control command. 2) The modularized design that combines various preceding tasks. 3) Our proposed framework with unsupervised pretext task. **(b)** Comparison of training cost, inference speed and average L2 error between our method and [21, 22] on 8 NVIDIA Tesla A100 GPUs.

35 sub-tasks. However, every coin has two sides. In comparison to the vanilla design [33] (see Fig. 1a),
 36 modularized methods incur unavoidable computation and annotation overhead. As illustrated in
 37 Fig. 1b, the training of the recent method UniAD [21] takes 48 GPU days while running at only 2.1
 38 frames per second (FPS). Moreover, modules in existing perception and prediction design require large
 39 quantities of high-quality annotated data. The financial overhead for human annotation significantly
 40 impedes the scalability of such modularized methods with supervised subtasks to leverage massive
 41 data. As proved by large foundation models [24, 31], scaling up the data volume is the key to bringing
 42 the model capabilities to the next level. Thus we ask ourselves the question: *Is it viable to devise an*
 43 *efficient and robust E2EAD framework while alleviating the reliance on 3D annotation?*

44 In this work, we show the answer is affirmative by proposing an innovative **Unsupervised pretext task**
 45 for end-to-end **Autonomous Driving (UAD)**, which seeks to efficiently model the environment. The
 46 pretext task consists of an angular-wise perception module to learn *spatial* information by predicting
 47 the objectness of each sector region in BEV space, and an angular-wise dreaming decoder to absorb
 48 *temporal* knowledge by predicting inaccessible future states. The introduced angular queries link
 49 the two modules as a whole pretext task to perceive the driving scene. Notably, our method shines
 50 by completely eliminating the annotation requirement for perception and prediction. Such data
 51 efficiency is not attainable for current methods with complex supervised modularization [21, 22]. The
 52 supervision for learning spatial objectness is obtained by projecting the 2D region of interests (ROIs)
 53 from an off-the-shelf open-set detector [28] to BEV space. While utilizing the publicly available open-
 54 set 2D detector pre-trained with manual annotation from other domains (*e.g.* COCO [27]), we avoid
 55 the need for any additional 3D labels within our paradigm and target domains (*e.g.* nuScenes [2] and
 56 CARLA [11]), thereby creating a pragmatically unsupervised setting [30]. Furthermore, we introduce
 57 a self-supervised direction-aware learning strategy to train the planning model. Specifically, the
 58 visual observations are augmented with different rotation angles, and the consistency loss is applied
 59 to the predictions for robust planning. Without bells and whistles, the proposed UAD outperforms
 60 UniAD for 0.13m in nuScenes Avg. L2 error, and surpasses VAD [22] for 9.92 points in CARLA
 61 route completion score. Such unprecedented performance gain is achieved with a $3.4\times$ inference
 62 speed, a mere 44.3% training budget of UniAD, and zero annotations, as illustrated in Fig. 1b.

63 In summary, our contributions are as follows: **1)** We propose an unsupervised pretext task to discard
 64 the requirement of 3D manual annotation in end-to-end autonomous driving, potentially making
 65 it more feasible to scale the training data to billions level without any labeling overload; **2)** We
 66 introduce a novel self-supervised direction-aware learning strategy to maximize the consistency of the
 67 predicted trajectories under different augment views, which enhances planning robustness in steering
 68 scenarios; **3)** Our method shows superiority in both open- and closed-loop evaluation compared with
 69 other vision-based E2EAD methods, with much lower computation and annotation cost.

70 2 Related Work

71 2.1 End-to-End Autonomous Driving

72 End-to-end autonomous driving can be dated back to 1988, when the ALVINN [33] proposed by
 73 Carnegie Mellon University could successfully navigate a vehicle over 400 meters. After that, to

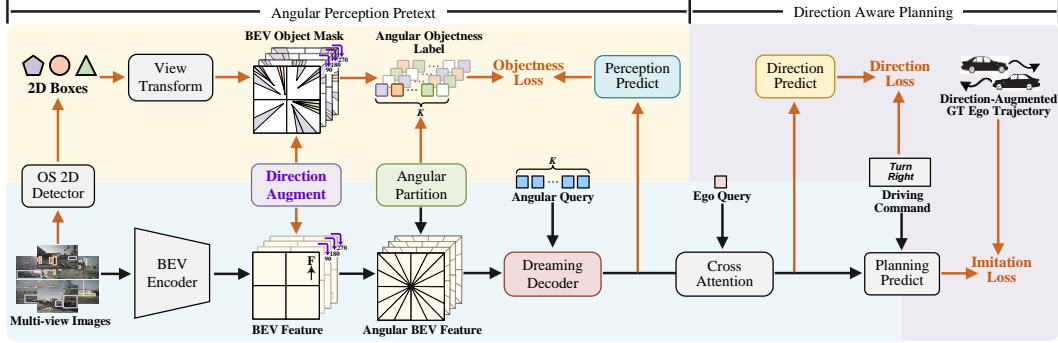


Figure 2: The architecture of our UAD. The inference pipeline is marked by black arrows with blue background, which plans ego trajectory based on the input multi-view images. The training pipeline consists of Angular Perception Pretext (orange arrows with khaki background) and Direction-Aware Planning (orange arrows with purple background). “F” in BEV feature indicates the driving direction.

74 improve the robustness of E2EAD, a series of modern approaches such as NEAT [6], P3 [35],
 75 MP3 [3], ST-P3 [20] introduce the design of more dedicated modularization, which integrate auxiliary
 76 information such as HD maps, and additional tasks like bird’s-eye view (BEV) segmentation. Most re-
 77 cently, embracing advanced architectures like Transformer [37] and visual occupancy prediction [29],
 78 UniAD [21] and VAD [22] demonstrate impressive performance in open-loop evaluation. In this work,
 79 instead of integrating complex supervised modular sub-tasks, we innovatively propose another path
 80 proving that an efficient unsupervised pretext task without any human annotation like 3D bounding
 81 boxes and point cloud categories, can achieve even superior performance than recent state-of-the-arts.

82 2.2 World Model

83 In pursuit of understanding the dynamic changes in environments, researchers in the fields of gaming
 84 and robotics have proposed various world models [13, 14, 15, 16]. Recently, the autonomous driving
 85 community introduces world models for safer maneuvering [32, 18, 12, 38]. MILE [18] considers
 86 the environment as a high-level embedding and tends to predict its future state with historical
 87 observations. Drive-WM [38] proposes a framework to integrate world models with existing E2E
 88 methods to improve planning robustness. In this work, we propose an auto-regressive mechanism,
 89 tailored to our unsupervised pretext, to capture angular-wise temporal dynamics within each sector.

90 3 Method

91 3.1 Overview

92 As illustrated in Fig. 2, our UAD framework consists of two essential components: 1) the Angular
 93 Perception Pretext, aims to liberate E2EAD from costly modularized tasks in an unsupervised fashion;
 94 2) the Direction-Aware Planning, learns self-supervised consistency of the augmented trajectories.

95 Specifically, UAD first models the driving environment with the pretext. The *spatial* knowledge
 96 is acquired by estimating the objectness of each sector region within the BEV space. The angular
 97 queries, each responsible for a sector, are introduced to extract features and predict the objectness.
 98 The supervision label is generated by projecting the 2D regions of interests (ROIs) to the BEV space,
 99 which are predicted with an available open-set detector GroundingDINO [28]. This way not only
 100 eliminates the 3D annotation requirement, but also greatly reduces the training budget. Moreover, as
 101 driving is inherently a dynamic and continuous process, we thus propose an angular-wise dreaming
 102 decoder to encode the *temporal* knowledge. The dreaming decoder can be viewed as an augmented
 103 world model [13] capable of auto-regressively predicting the future states.

104 Subsequently, direction-aware planning is introduced to train the planning module. The raw BEV
 105 feature is augmented with different rotation angles, yielding rotated BEV representations and ego
 106 trajectories. We apply self-supervised consistency loss to the predicted trajectories of each augmented
 107 view, which is expected to improve the robustness for directional change and input noises. The
 108 learning strategy can also be regarded as a novel data augmentation technique customized for end-to-
 109 end autonomous driving, which enhances the diversity of trajectory distribution.

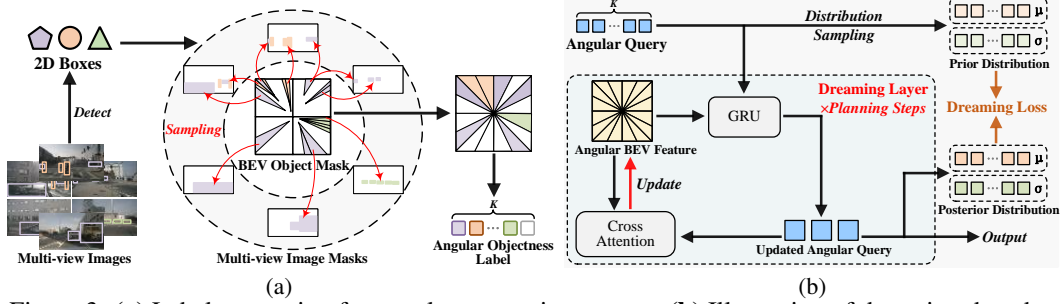


Figure 3: (a) Label generation for angular perception pretext. (b) Illustration of dreaming decoder.

110 3.2 Angular Perception Pretext

111 **Spatial Representation Learning.** Our model attempts to acquire spatial knowledge of the driving
 112 scene by predicting the objectness of each sector region within the BEV space. Specifically, taking
 113 multi-view images $\{\mathbf{I}_i \in \mathbb{R}^{H_i \times W_i \times 3}\}$ as input, the BEV encoder [25] first extracts visual information
 114 into the BEV feature $\mathbf{F}_b \in \mathbb{R}^{H_b \times W_b \times C}$. Then, \mathbf{F}_b is partitioned into K sectors with a uniform angle θ
 115 centered around ego car. Each sector contains several feature points in BEV space. Denoting feature
 116 of a sector as $\mathbf{f} \in \mathbb{R}^{N \times C}$, where N is the maximum number of feature points in all sectors, we derive
 117 angular BEV feature $\mathbf{F}_a \in \mathbb{R}^{K \times N \times C}$. Zero-padding is applied on sectors with fewer than N points.

118 Then why do we partition the rectangular BEV feature to angular-wise formatting? The underlying
 119 reason is that, in the absence of depth information, the region in BEV space corresponding to an ROI
 120 in 2D image is a sector. As illustrated in Fig. 3a, by projecting 3D sampling points to images and
 121 verifying their presence in 2D ROIs, a BEV object mask $\mathbf{M} \in \mathbb{R}^{H_b \times W_b \times 1}$ is generated, representing
 122 the objectness in BEV space. Specifically, the sampling points falling within 2D ROIs are set to 1,
 123 while the others are 0. It is noticed that the positive sectors are irregularly and sparsely distributed in
 124 BEV space. To make the objectness label more compact, similar to the BEV feature partition, we
 125 uniformly divide \mathbf{M} into K equal parts. The segments overlapped with positive sectors are assigned
 126 with 1, constituting the angular objectness label $\mathbf{Y}_{\text{obj}} \in \mathbb{R}^{K \times 1}$. Thanks to the rapid development
 127 of open-set detection, it's now convenient to obtain 2D ROIs for the input multi-view images by
 128 feeding the pre-defined prompts (e.g., vehicle, pedestrian, and barrier) to a 2D open-set detector like
 129 GroundingDINO [28]. Such design is the key in reducing annotation cost and scaling up the dataset.

130 To predict the objectness score of each sector, we define angular queries $\mathbf{Q}_a \in \mathbb{R}^{K \times C}$ to summarize
 131 \mathbf{F}_a . Each angular query $\mathbf{q}_a \in \mathbb{R}^{1 \times C}$ in \mathbf{Q}_a will interact with corresponding \mathbf{f} by cross attention [37],

$$\mathbf{q}_a = \text{CrossAttention}(\mathbf{q}_a, \mathbf{f}), \quad (1)$$

132 Finally, we map \mathbf{Q}_a to the objectness scores $\mathbf{P}_a \in \mathbb{R}^{K \times 1}$ with a linear layer, which is supervised by
 133 \mathbf{Y}_{obj} with binary cross-entropy loss (denoted as $\mathcal{L}_{\text{spat}}$).

134 **Temporal Representation Learning.** We propose to capture the temporal information of driving
 135 scenarios with the angular-wise dreaming decoder. As shown in Fig. 3b, the decoder auto-regressively
 136 learns transition dynamics of each sector in a similar way of world model [14]. Assuming the planning
 137 module predicts the trajectories of future T steps, the dreaming decoder accordingly comprises T
 138 layers, where each updates the input angular queries \mathbf{Q}_a and angular BEV feature \mathbf{F}_a based on the
 139 learned temporal dynamics. At step t , the queries \mathbf{Q}_a^{t-1} first grasp environmental dynamics from the
 140 observation feature \mathbf{F}_a^t with a gated recurrent unit (GRU) [7], which generates \mathbf{Q}_a^t (hidden state),

$$\mathbf{Q}_a^t = \text{GRU}(\mathbf{Q}_a^{t-1}, \mathbf{F}_a^t), \quad (2)$$

141 In previous world models, the hidden state \mathbf{Q} is solely used for perceiving observed scenes. The
 142 GRU iteration thus ends at t with the final observation \mathbf{F}_a^t . In our framework, \mathbf{Q} is also used for
 143 predicting ego trajectories in the future. Yet, the future observation, e.g., \mathbf{F}_a^{t+1} , is unavailable, as
 144 the world model [14] is designed for forecasting the future with only current observation. To obtain
 145 \mathbf{Q}_a^{t+1} , we first propose to update \mathbf{F}_a^t to provide pseudo observations $\hat{\mathbf{F}}_a^{t+1}$,

$$\hat{\mathbf{F}}_a^{t+1} = \text{CrossAttention}(\mathbf{F}_a^t, \mathbf{Q}_a^t). \quad (3)$$

146 Then \mathbf{Q}_a^{t+1} can be generated with Eq. 2 and inputs of $\hat{\mathbf{F}}_a^{t+1}$ and \mathbf{Q}_a^t .

147 Following the loss design in world models [14, 15, 16], we respectively map \mathbf{Q}_a^{t-1} and \mathbf{Q}_a^t to distri-
 148 butions of $\{\mu_a^{t-1}, \sigma_a^{t-1} \in \mathbb{R}^{K \times C}\}$ and $\{\mu_a^t, \sigma_a^t \in \mathbb{R}^{K \times C}\}$, and then minimize their KL divergence.

149 For the prior distribution from \mathbf{Q}_a^{t-1} , it's regarded as a prediction of the future dynamics without
 150 observation. In contrast, the posterior distribution from \mathbf{Q}_a^t represents the future dynamics with the
 151 observation \mathbf{F}_a^t . The KL divergence between the two distributions measures the gap between the
 152 imagined future (prior) and the true future (posterior). We expect to enhance the capability of future
 153 prediction for long-term driving safety, which is realized by optimizing the dreaming loss \mathcal{L}_{drm} ,

$$\mathcal{L}_{\text{drm}} = \text{KL}(\{\mu_a^t, \sigma_a^t\} || \{\mu_a^{t-1}, \sigma_a^{t-1}\}), \quad (4)$$

154 3.3 Direction-Aware Planning

155 **Planning Head.** The outputs of angular perception pretext contain a group of angular queries
 156 $\{\mathbf{Q}_a^t (t = 1, \dots, T)\}$. For planning, we correspondingly initialize T ego queries $\{\mathbf{Q}_{\text{ego}}^t \in \mathbb{R}^{1 \times C} (t =$
 157 $1, \dots, T)\}$ to extract planning-relevant information and predict the ego trajectory of each future time
 158 step. The interaction between ego queries and angular queries is performed with cross attention,

$$\mathbf{Q}_{\text{ego}}^t = \text{CrossAttention}(\mathbf{Q}_{\text{ego}}^t, \mathbf{Q}_a^t). \quad (5)$$

159 The output ego queries $\{\mathbf{Q}_{\text{ego}}^t\}$ are then used to predict the ego trajectories of future T steps.
 160 Following previous works [21, 22], a high-level driving signal c (*turn left, turn right or go straight*) is
 161 provided as prior knowledge. The planning head takes the concatenated ego feature $\mathbf{F}_{\text{ego}} \in \mathbb{R}^{T \times C}$
 162 from $\{\mathbf{Q}_{\text{ego}}^t\}$ and the driving command c as inputs, and outputs the planning trajectory $\mathbf{P}_{\text{traj}} \in \mathbb{R}^{T \times 2}$,

$$\mathbf{P}_{\text{traj}} = \text{PlanHead}(\mathbf{F}_{\text{ego}}, c), \quad (6)$$

163 where the PlanHead is the same as UniAD [21]. We apply \mathcal{L}_1 loss to minimize the distance between
 164 the predicted ego trajectory \mathbf{P}_{traj} and the ground truth \mathbf{G}_{traj} , denoted as \mathcal{L}_{imi} . Notably, \mathbf{G}_{traj} is easy
 165 to obtain, and manual annotation is not required in practical scenarios.

166 **Directional Augmentation.** Observed that the training data is predominated by the *go straight*
 167 scenarios, we propose a directional augmentation strategy to balance the distribution. As shown
 168 in Fig. 4, the BEV feature \mathbf{F}_b is rotated with different angles $r \in R = \{90^\circ, 180^\circ, 270^\circ\}$, yielding
 169 the rotated representations $\{\mathbf{F}_b^r\}$. The augmented features will also be used for
 170 the pretext and planning task, and supervised by the aforementioned loss functions
 171 (*e.g.*, $\mathcal{L}_{\text{spat}}$). Notably, the BEV object mask \mathbf{M} and the ground truth ego
 172 trajectory \mathbf{G}_{traj} are also rotated to provide corresponding supervision labels.

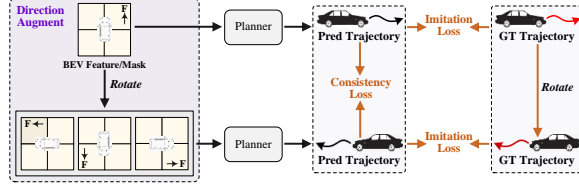


Figure 4: Illustration of direction-aware learning strategy.

177 Furthermore, we propose an auxiliary task to enhance the steering capability. In specific, we predict
 178 the planning direction that the ego car intends to maneuver (*i.e.*, *left, straight or right*) based on the
 179 ego query $\mathbf{Q}_{\text{ego}}^t$, which is mapped to the probabilities of three directions $\mathbf{P}_{\text{dir}}^t \in \mathbb{R}^{1 \times 3}$. The direction
 180 label $\mathbf{Y}_{\text{dir}}^t$ is generated by comparing the x-axis value of ground truth $\mathbf{G}_{\text{traj}}^t(x)$ with the threshold
 181 δ . Specifically, $\mathbf{Y}_{\text{dir}}^t$ is assigned to *straight* if $-\delta < \mathbf{G}_{\text{traj}}^t(x) < \delta$, otherwise $\mathbf{Y}_{\text{dir}}^t = \text{left/right}$
 182 for $\mathbf{G}_{\text{traj}}^t(x) \leq -\delta / \mathbf{G}_{\text{traj}}^t(x) \geq \delta$, respectively. We use the cross-entropy loss to minimize the gap
 183 between the direction prediction $\mathbf{P}_{\text{dir}}^t$ and the direction label $\mathbf{Y}_{\text{dir}}^t$, denoted as \mathcal{L}_{dir} .

184 **Directional Consistency.** Tailored to the introduced directional augmentation, we propose a direc-
 185 tional consistency loss to improve the augmented plan training in a self-supervised manner. It should
 186 be noticed that the augmented trajectory predictions $\mathbf{P}_{\text{traj}}^{t,r}$ incorporate the same scene information as
 187 the original one $\mathbf{P}_{\text{traj}}^{t,r=0}$, *i.e.*, BEV features with different rotation angles. Therefore, it's reasonable
 188 to consider the consistency among the predictions and regulate the noises caused by the rotation. The
 189 planning head is expected to be more robust to directional change and input distractors. Specifically,
 190 $\mathbf{P}_{\text{traj}}^{t,r}$ are first rotated back to the original scene direction, then \mathcal{L}_1 loss is applied with $\mathbf{P}_{\text{traj}}^{t,r=0}$,

$$\mathcal{L}_{\text{cons}} = \frac{1}{T \cdot |R|} \sum_{t=1}^T \sum_r^R \|\text{Rot}(\mathbf{P}_{\text{traj}}^{t,r}) - \mathbf{P}_{\text{traj}}^{t,r=0}\|_1, \quad (7)$$

191 where Rot is the inverse rotation.

192 To summarize, the overall objective for our UAD contains spatial objectness loss, dreaming loss from
 193 the pretext, and imitation learning loss, direction loss, consistency loss from the planning task,

$$\mathcal{L} = \omega_1 \mathcal{L}_{\text{spat}} + \omega_2 \mathcal{L}_{\text{drm}} + \omega_3 \mathcal{L}_{\text{imi}} + \omega_4 \mathcal{L}_{\text{dir}} + \omega_5 \mathcal{L}_{\text{cons}}, \quad (8)$$

194 where $\omega_1, \omega_2, \omega_3, \omega_4, \omega_5$ are the weight coefficients.

Table 1: Open-loop planning performance in nuScenes [2]. \dagger indicates LiDAR-based method and \ddagger denotes TemAvg evaluation protocol used in VAD and ST-P3 (see Eq. 9 for details). \diamond means using ego status in the planning module and calculating collision rates following BEV-Planner [26].

Method	Tasks with 3D annotation	L2 (m) \downarrow				Collision (%) \downarrow				Intersection (%) \downarrow				FPS
		1s	2s	3s	Avg.	1s	2s	3s	Avg.	1s	2s	3s	Avg.	
NMP \dagger [39]	Det & Motion	-	-	2.31	-	-	-	1.92	-	-	-	-	-	-
SA-NMP \dagger [39]	Det & Motion	-	-	2.05	-	-	-	1.59	-	-	-	-	-	-
FF \dagger [19]	Occ	0.55	1.20	2.54	1.43	0.06	0.17	1.07	0.43	-	-	-	-	-
EO \dagger [23]	Occ	0.67	1.36	2.78	1.60	0.04	0.09	0.88	0.33	-	-	-	-	-
ST-P3 [20]	Det & Map	1.72	3.26	4.86	3.28	0.44	1.08	3.01	1.51	2.53	8.17	14.4	8.37	1.8
UniAD [21]	Det&Track&Map&Motion&Occ	0.48	0.96	1.65	1.03	0.05	0.17	0.71	0.31	0.21	1.32	3.63	1.72	2.1
VAD-Tiny [22]	Det & Map & Motion	0.60	1.23	2.06	1.30	0.33	1.33	2.21	1.29	0.94	3.22	7.65	3.94	17.6
VAD-Base [22]	Det & Map & Motion	0.54	1.15	1.98	1.22	0.10	0.24	0.96	0.43	0.60	2.38	5.18	2.72	5.3
OccNet [36]	Det & Map & Occ	1.29	2.13	2.99	2.14	0.21	0.59	1.37	0.72	-	-	-	-	3.3
UAD-Tiny (Ours)	None	0.47	0.99	1.71	1.06	0.08	0.39	0.90	0.46	0.24	1.15	3.12	1.50	18.9
UAD (Ours)	None	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19	0.13	0.88	2.66	1.22	7.2
ST-P3 \ddagger [20]	Det & Map	1.33	2.11	2.90	2.11	0.23	0.62	1.27	0.71	2.53	8.17	14.4	8.37	1.8
UniAD \ddagger [21]	Det&Track&Map&Motion&Occ	0.44	0.67	0.96	0.69	0.04	0.08	0.23	0.12	0.21	1.32	3.63	1.72	2.1
VAD-Base \ddagger [22]	Det & Map & Motion	0.41	0.70	1.05	0.72	0.07	0.17	0.41	0.22	0.60	2.38	5.18	2.72	5.3
Drive-WM \ddagger [38]	Det & Map	0.43	0.77	1.20	0.80	0.10	0.21	0.48	0.26	-	-	-	-	-
UAD \ddagger (Ours)	None	0.28	0.41	0.65	0.45	0.01	0.03	0.14	0.06	0.13	0.88	2.66	1.22	7.2
UniAD \diamond [21]	Det&Track&Map&Motion&Occ	0.20	0.42	0.75	0.46	0.02	0.25	0.84	0.37	0.20	1.33	3.24	1.59	2.1
VAD-Base \diamond [22]	Det & Map & Motion	0.17	0.34	0.60	0.37	0.04	0.27	0.67	0.33	0.21	2.13	5.06	2.47	5.3
BEV-Planner \diamond [26]	None	0.16	0.32	0.57	0.35	0.00	0.29	0.73	0.34	0.35	2.62	6.51	3.16	-
UAD \diamond (Ours)	None	0.13	0.28	0.48	0.30	0.00	0.12	0.55	0.22	0.10	0.80	2.48	1.13	7.2

195 4 Experiment

196 4.1 Experimental Setup

197 We conduct experiments in nuScenes [2] for open-loop evaluation, that contains 40,157 samples,
 198 of which 6,019 ones are used for evaluation. Following previous works [20, 21, 22], we adopt the
 199 metrics of L2 error (in meters) and collision rate (in percentage). Notably, the intersection rate with
 200 road boundary (in percentage), proposed in BEV-Planner [26], is also included for evaluation. For
 201 the closed-loop setting, we follow previous works [34, 20] to perform evaluation in the Town05 [34]
 202 benchmark of the CARLA simulator [11]. Route completion (in percentage) and driving score (in
 203 percentage) are used as the evaluation metrics. We adopt the query-based view transformer [25] to
 204 learn BEV features from multi-view images. The confidence threshold of the open-set 2D detector
 205 is set to 0.35 to filter unreliable predictions. The angle θ to partition the BEV space is set to 4°
 206 ($K=360^\circ/4^\circ$), and the default threshold δ is $1.2m$ (see Sec. 3.3). The weight coefficients in Eq. 8 are
 207 set to 2.0, 0.1, 1.0, 2.0, 1.0. Our model is trained for 24 epochs on 8 NVIDIA Tesla A100 GPUs with
 208 a batch size of 1 per GPU. Other settings follow UniAD [21] unless otherwise specified.

209 We observed that ST-P3 [20] and VAD [22] adopt different open-loop evaluation protocols (L2 error
 210 and collision rate) from UniAD in their official codes. We denote the setting in ST-P3 and VAD as
 211 TemAvg and the one in UniAD as NoAvg, respectively. In specific, the TemAvg protocol calculates
 212 metrics by averaging the performances from 0.5s to the corresponding timestamp. Taking the L2
 213 error at 2s as an example, the calculation in TemAvg is

$$L2@2s = \text{Avg}(l2_{0.5s}, l2_{1.0s}, l2_{1.5s}, l2_{2.0s}), \quad (9)$$

214 where Avg is the average operation and 0.5s is the time interval between two consecutive annotated
 215 frames in nuScenes [2]. For NoAvg protocol, $L2@2s = l2_{2.0s}$.

216 4.2 Comparison with State-of-the-arts

217 **Open-loop Evaluation.** Tab. 1 presents the performance comparison in terms of L2 error, collision
 218 rate, intersection rate with road boundary, and FPS. Since ST-P3 and VAD adopt different evaluation
 219 protocols from UniAD to compute L2 error and collision rate (see Sec. 4.1), we respectively calculate
 220 the results under different settings, *i.e.*, NoAvg and TemAvg. As shown in Tab. 1, the proposed UAD
 221 achieves superior planning performance over UniAD and VAD on all metrics, while running faster.
 222 Notably, our UAD obtains 39.4% and 55.2% relative improvements on Collision@3s compared
 223 with UniAD and VAD under the NoAvg evaluation protocol (*e.g.*, $39.4\%=(0.71\%-0.43\%)/0.71\%$),
 224 demonstrating the longtime robustness of our method. Moreover, UAD runs at 7.2FPS, which is $3.4\times$
 225 and $1.4\times$ faster than UniAD and VAD-Base, respectively, verifying the efficiency of our framework.
 226 Surprisingly, our tiny version, UAD-Tiny, which aligns the settings of backbone, image size, and BEV

Table 2: Closed-loop evaluation in the CARLA simulator [11]. † denotes the LiDAR-based method.

Method	Town05 Short		Town05 Long	
	Driving Score †	Route Completion †	Driving Score †	Route Completion †
CILRS [8]	7.47	13.40	3.68	7.19
LBC [5]	30.97	55.01	7.05	32.09
Transfuser† [34]	54.52	78.41	33.15	56.36
ST-P3 [20]	55.14	86.74	11.45	83.15
VAD-Base [22]	64.29	87.26	30.31	75.20
UAD (Ours)	67.83	91.05	36.71	85.12

Table 3: Ablation on the loss functions. We evaluate the influence of each designed module by applying corresponding loss.

#	$\mathcal{L}_{\text{spat}}$	\mathcal{L}_{drm}	\mathcal{L}_{dir}	$\mathcal{L}_{\text{cons}}$	\mathcal{L}_{imi}	L2 (m) ↓				Collision (%) ↓			
						1s	2s	3s	Avg.	1s	2s	3s	Avg.
①	-	-	-	-	✓	1.20	3.04	5.30	3.18	0.83	1.33	5.13	2.43
②	✓	-	-	-	✓	0.44	0.93	1.64	1.00	0.30	0.56	1.28	0.71
③	-	✓	-	-	✓	0.51	1.12	1.97	1.20	0.71	1.13	2.71	1.52
④	-	-	✓	-	✓	0.83	1.57	2.40	1.60	0.79	1.29	3.89	1.99
⑤	-	-	-	✓	✓	0.59	1.30	2.34	1.41	0.76	1.25	3.47	1.83
⑥	✓	✓	✓	✓	✓	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19

Table 4: Ablation on the dreaming decoder.

#	Circular Update	Dreaming Loss	L2 (m) ↓				Collision (%) ↓			
			1s	2s	3s	Avg.	1s	2s	3s	Avg.
①	-	-	0.98	1.73	2.74	1.82	0.43	0.85	1.71	1.00
②	✓	-	0.50	0.98	1.87	1.12	0.27	0.60	1.37	0.75
③	-	✓	0.44	0.96	1.73	1.04	0.08	0.35	1.13	0.52
④	✓	✓	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19

Table 5: Ablation on direction-aware learning strategy.

#	Directional Augment	Directional Consistency	L2 (m) ↓				Collision (%) ↓			
			1s	2s	3s	Avg.	1s	2s	3s	Avg.
①	-	-	0.42	0.88	1.61	0.97	0.05	0.18	0.73	0.32
②	✓	-	0.41	0.83	1.53	0.92	0.05	0.23	0.68	0.32
③	✓	✓	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19

227 resolution in VAD-Tiny, runs at the fastest speed of 18.9FPS while clearly outperforming VAD-Tiny
 228 and even achieving comparable performance with VAD-Base. This again proves the superiority of
 229 our design. More detailed runtime comparisons and analyses are presented in the appendix. We adopt
 230 the NoAvg evaluation protocol in the following ablation experiments unless otherwise specified.
 231 Recent works discuss the effect of using ego status in the planning module [22, 26]. Following this
 232 trend, we also fairly compare the ego status equipped version of our model with these works. It shows
 233 that the superiority of our UAD is still preserved, which also achieves the best performance against
 234 the compared methods. Moreover, BEV-Planner [26] introduces a new metric named “interaction”
 235 for better evaluating the performance of E2EAD methods. As shown in Tab. 1, our model obtains
 236 the average interaction rate of 1.13%, obviously outperforming other methods. This again proves
 237 the effectiveness of our UAD. On the other hand, this demonstrates the importance of designing a
 238 suitable pretext for perceiving the environment. Only using ego status is not enough for safe driving.

239 **Closed-loop Evaluation.** The simulation results in CARLA [11] are shown in Tab. 2. Our UAD
 240 achieves better performance compared with recent E2E planners ST-P3 [20] and VAD [22] in all
 241 scenarios, proving the effectiveness. Notably, on challenging Town05 Long benchmark, UAD greatly
 242 outperforms recent E2E method VAD by 6.40 points on the driving score and 9.92 points on route
 243 completion, respectively. This proves the reliability of our UAD for long-term autonomous driving.

244 4.3 Component-wise Ablation

245 **Loss Functions.** We first analyze the influence of different loss functions that correspond to the
 246 proposed pretext task and self-supervised trajectory learning strategy. The experiments are conducted
 247 on the validation split of the nuScenes [2], as shown in Tab. 3. The model with single imitation
 248 loss \mathcal{L}_{imi} is considered as the baseline (①). With the enhanced perception capability by the spatial
 249 objectness loss $\mathcal{L}_{\text{spat}}$, the average L2 error and collision rate are clearly improved to 1.00m and 0.71%
 250 from 3.18m and 2.43%, respectively (② v.s. ①). The dreaming loss \mathcal{L}_{drm} , direction loss \mathcal{L}_{dir} and
 251 consistency loss $\mathcal{L}_{\text{cons}}$ also respectively bring considerable gains on the average L2 error for 1.98m,
 252 1.58m, 1.77m over the baseline model (③,④,⑤ v.s. ①). The loss functions are finally combined to
 253 construct our UAD (⑥), which obtains the average L2 error of 0.90m and average collision rate of
 254 0.19%. The results demonstrate the effectiveness of each proposed component.

255 **Temporal Learning with Dreaming Decoder.** The temporal learning with the proposed dreaming
 256 decoder is realized by Circular Update and Dreaming Loss. The circular update is in charge of both
 257 extracting information from observed scenes (Eq. 2) and generating pseudo observations to predict
 258 the ego trajectories of future frames (Eq. 3). We study the influence of each module in Tab. 4. Circular
 259 Update and Dreaming Loss respectively bring performance gains of 0.70m/0.78m on the average L2
 260 error (②,③ v.s. ①), proving the effectiveness of our designs. Applying both two modules (④) achieves
 261 the best performance, showing their complementarity for temporal representation learning.

262 **Direction Aware Learning Strategy.** Directional Augmentation and Directional Consistency are the
 263 two core components of the proposed direction-aware learning strategy. We prove their effectiveness
 264 in Tab. 5. It shows that the Directional Augmentation improves the average L2 error for considerable

Table 7: Performances under different driving scenes. * denotes not using direction-aware learning.

Method	Perf. <i>go straight</i> ↓ (5309 samples)		Perf. <i>turn left</i> ↓ (301 samples)		Perf. <i>turn right</i> ↓ (409 samples)		Perf. <i>Overall</i> ↓ (6019 samples)	
	Avg. L2 (m)	Avg. Col. (%)	Avg. L2 (m)	Avg. Col. (%)	Avg. L2 (m)	Avg. Col. (%)	Avg. L2 (m)	Avg. Col. (%)
UniAD [21]	0.98	0.26	1.48	0.55	1.27	0.73	1.03	0.31
VAD-Base [22]	1.19	0.37	1.47	0.78	1.39	0.81	1.22	0.43
UAD* (Ours)	0.89	0.28	1.55	0.43	1.51	0.65	0.97	0.32
UAD (Ours)	0.84	0.17	1.39	0.22	1.16	0.33	0.90	0.19

0.05m (②v.s.①). One interesting observation is that applying the augmentation brings more gains for long-term planning than short-term ones, *i.e.*, the L2 error of 1s/3s decreases for 0.01m/0.08m compared with ①, which proves the effectiveness of our augmentation on enhancing longer temporal information. The Directional Consistency further reduces the average collision rate for impressive 0.13% (③v.s.②), which enhances the robustness for driving directional change.

Angular Design. We further explore the influence of the proposed angular design by removing the angular partition and angular queries. Specifically, the BEV feature is directly fed into the dreaming decoder to predict pixel-wise objectness, which is supervised by the BEV object mask (see Fig. 2) with binary cross-entropy loss. Besides, the ego query directly interacts with the BEV feature by cross-attention to extract environmental information. The results are presented in Tab. 6. When discarding the angular design, the average L2 error degrades for 0.47m, and the average collision rate consistently degrades for 1.18%. This demonstrates the effectiveness of our angular design in perceiving complex environments and planning robust driving routes.

Table 6: Ablation on the angular design.

#	Angular Design	L2 (m) ↓				Collision (%) ↓			
		1s	2s	3s	Avg.	1s	2s	3s	Avg.
①	-	0.78	1.31	2.01	1.37	0.61	1.39	2.12	1.37
②	✓	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19

4.4 Further Analysis

Planning Performance in Different Driving Scenes. The direction-aware learning strategy is designed to enhance the planning performance in scenarios of vehicle steering. We demonstrate the superiority of our proposed model by evaluating the metrics of different driving scenes in Tab. 7. According to the given driving command (*i.e.*, *go straight*, *turn left* and *turn right*), we divide the 6,019 validation samples in nuScenes [2] into three parts, which contain 5,309, 301 and 409 ones, respectively. Not surprisingly, all methods perform better under *go straight* scenes than the steering scenes, proving the necessity of augmenting the imbalanced training data for robust planning. When applying the proposed direction-aware learning strategy, our UAD achieves considerable gains on the average collision rate of *turn left* and *turn right* scenes (UAD v.s. UAD*). Notably, our model outperforms UniAD and VAD by a large margin in steering scenes, proving its effectiveness.

Visualization of Angular Perception and Planning. The angular perception pretext is designed to perceive the objects in each sector region. We show its capability by visualizing the predicted objectness in nuScenes [2] in Fig. 5a. For a better view, we transform the discrete objectness scores and ground truth to a pseudo-BEV mask. It shows that our model can successfully capture surrounding objects. Fig. 5a also shows the open-loop planning results of recent SOTA UniAD [21], VAD [22] and our UAD, proving the effectiveness of our method to plan a more reasonable ego trajectory. Fig. 5b compares the closed-loop driving routes between Transfuser [34], ST-P3 [20] and our UAD in CARLA [11]. Our method successfully notices the person and drives in a much safer manner, proving the reliability of our UAD in handling safe-critical issues under complex scenarios.

Due to limited space, we present more analyses in the appendix, including **1)** the influence of partition angle θ , **2)** the influence of direction threshold δ , **3)** different backbones and pre-trained weights, **4)** replacing 2D ROIs from GroundingDINO with 2D GT boxes, **5)** different settings of GroundingDINO to generate 2D ROIs, **6)** the influence of pre-training to previous method UniAD and our UAD, **7)** runtime analysis of each module in our UAD and modularized UniAD, **8)** more visualizations, *etc.*

4.5 Discussion

Ego Status and Open-loop Planning Evaluation. As revealed by [26, 40], it’s not a challenge to acquire decent performance of L2 error and collision rate (the original metrics in nuScenes [2]) in the open-loop evaluation of nuScenes by using ego status in the planning module (see Tab. 1). The question is: *is open-loop evaluation meaningless?* Our answer is **NO**. Firstly, the inherent reason for the observation is that the simple cases of *go straight* dominate the nuScenes testing dataset. In these

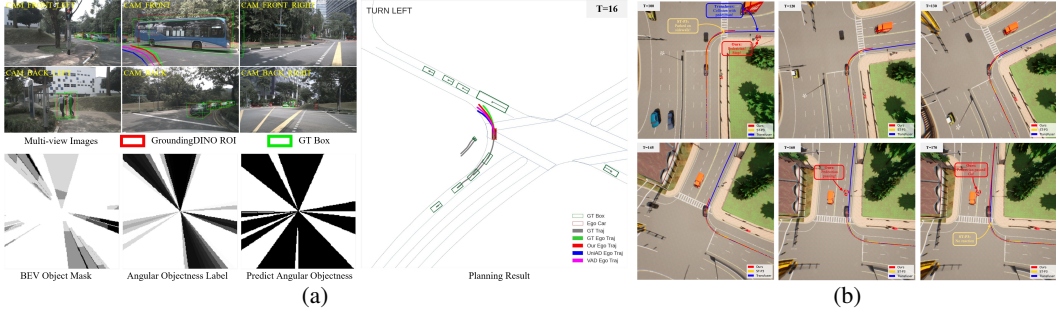


Figure 5: (a) Qualitative results in nuScenes. (b) Qualitative results in CARLA.

311 cases, even a linear extrapolation of motion being sufficient for planning is not surprising. However,
 312 as shown in Tab. 7, in more challenging cases like *turn right* and *turn left*, the open-loop metrics can
 313 still clearly indicate the difficulty of steering scenarios and the differences in methods, which is also
 314 proved in [26]. Therefore, open-loop evaluation is not meaningless, while the crux is the distribution
 315 of the testing data and the metrics. Secondly, the advantage of open-loop evaluation is its efficiency,
 316 which benefits the fast development of algorithms. This view is also revealed by a recent simulator
 317 design study [9], which tries to transform the closed-loop evaluation into an open-loop fashion.

318 In our work, we thoroughly compare our model with other methods, which shows consistent improve-
 319 ments against previous works under various driving scenarios (straight or steering), different usage of
 320 ego status (*w/.* or *w/o.*), diverse evaluation metrics (L2 error, collision rate or intersection rate from
 321 [26]), and different evaluation types (open- or closed-loop). It thus again proves the importance of
 322 designing suitable pretext tasks for end-to-end autonomous driving.

323 **How to Guarantee Safety in Current Auto-Drive System?** Safety is the first requirement of
 324 autonomous driving systems in practical products, especially for L4-level auto-vehicles. To guarantee
 325 safety, offline collision check with predicted 3D boxes is an inevitable post-process under current
 326 technological conditions. Then, a question naturally arises: *how to safely apply our model to*
 327 *current auto-driving systems?* Before answering this question, we reaffirm our claim that we believe
 328 discarding 3D labels is an efficient, attractive, and potential direction for E2EAD, but it doesn't mean
 329 we refuse to use any 3D labels if the relatively cheap ones are available in practical product engineering.
 330 For instance, solely annotating bounding boxes without object identity for tracking is much cheaper
 331 than labeling other elements like HD-map, and point-cloud segmentation labels for occupancy.
 332 Therefore, we provide a degraded version of our method by arranging an additional 3D detection head.
 333 Then our model can seamlessly integrate into auto-
 334 drive products, and offline collision check is achiev-
 335 able. As shown in Tab. 8, integrating the 3D detection
 336 head doesn't bring additional improvements, which
 337 again proves the design of our method has sufficiently
 338 encoded 3D information to the planning module.

Table 8: Ablation on the 3D detection head.

#	Detection Head	L2 (m) ↓				Collision (%) ↓			
		1s	2s	3s	Avg.	1s	2s	3s	Avg.
①	-	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19
②	✓	0.37	0.86	1.57	0.93	0.02	0.17	0.55	0.25

339 In a nutshell, **1)** our work can easily integrate other 3D tasks if they are inevitable under current
 340 technical conditions; **2)** the experiments again prove from the side that our spatial-temporal module
 341 has already encoded important 3D clues for planning; **3)** we hope our frontier work can eliminate
 342 some inessential 3D sub-tasks for both research and engineer usage of E2EAD models. An era of
 343 cheap, laboratory-affordable but robust, practical E2EAD design will eventually come!

344 5 Conclusion

345 Our work seeks to liberate E2EAD from costly modularization and 3D manual annotation. With this
 346 goal, we propose the unsupervised pretext task to perceive the environment by predicting angular-
 347 wise objectness and future dynamics. To improve the robustness in steering scenarios, we introduce
 348 the direction-aware training strategy for planning. Experiments demonstrate the effectiveness and
 349 efficiency of our method. As discussed, although the ego trajectories are easily obtained, it is almost
 350 impossible to collect billion-level precisely annotated data with perception labels. This impedes the
 351 further development of end-to-end autonomous driving. We believe our work provides a potential
 352 solution to this barrier and may push performance to the next level when massive data are available.

References

- 353
- 354 [1] Mariusz Bojarski, Philip Yeres, Anna Choromanska, Krzysztof Choromanski, Bernhard Firner, Lawrence
355 Jackel, and Urs Muller. Explaining how a deep neural network trained with end-to-end learning steers a
356 car. *arXiv preprint arXiv:1704.07911*, 2017.
- 357 [2] Holger Caesar, Varun Bankiti, Alex H Lang, Sourabh Vora, Venice Erin Liong, Qiang Xu, Anush Krishnan,
358 Yu Pan, Giancarlo Baldan, and Oscar Beijbom. nuscenes: A multimodal dataset for autonomous driving.
359 In *CVPR*, 2020.
- 360 [3] Sergio Casas, Abbas Sadat, and Raquel Urtasun. Mp3: A unified model to map, perceive, predict and plan.
361 In *CVPR*, 2021.
- 362 [4] Jun Cen, Peng Yun, Junhao Cai, Michael Yu Wang, and Ming Liu. Open-set 3d object detection. In *2021*
363 *International Conference on 3D Vision (3DV)*. IEEE, 2021.
- 364 [5] Dian Chen, Brady Zhou, Vladlen Koltun, and Philipp Krähenbühl. Learning by cheating. In *Conference*
365 *on Robot Learning*, 2020.
- 366 [6] Kashyap Chitta, Aditya Prakash, and Andreas Geiger. Neat: Neural attention fields for end-to-end
367 autonomous driving. In *ICCV*, 2021.
- 368 [7] Junyoung Chung, Caglar Gulcehre, KyungHyun Cho, and Yoshua Bengio. Empirical evaluation of gated
369 recurrent neural networks on sequence modeling. *arXiv preprint arXiv:1412.3555*, 2014.
- 370 [8] Felipe Codevilla, Eder Santana, Antonio M López, and Adrien Gaidon. Exploring the limitations of
371 behavior cloning for autonomous driving. In *ICCV*, 2019.
- 372 [9] NAVSIM Contributors. Navsim: Data-driven non-reactive autonomous vehicle simulation. <https://github.com/autonomousvision/navsim>, 2024.
373
- 374 [10] Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li, and Li Fei-Fei. Imagenet: A large-scale hierarchical
375 image database. In *CVPR*. Ieee, 2009.
- 376 [11] Alexey Dosovitskiy, German Ros, Felipe Codevilla, Antonio Lopez, and Vladlen Koltun. Carla: An open
377 urban driving simulator. In *Conference on robot learning*, 2017.
- 378 [12] Zeyu Gao, Yao Mu, Ruoyan Shen, Chen Chen, Yangang Ren, Jianyu Chen, Shengbo Eben Li, Ping Luo,
379 and Yanfeng Lu. Enhance sample efficiency and robustness of end-to-end urban autonomous driving via
380 semantic masked world model. *arXiv preprint arXiv:2210.04017*, 2022.
- 381 [13] David Ha and Jürgen Schmidhuber. Recurrent world models facilitate policy evolution. *NeurIPS*, 2018.
- 382 [14] Danijar Hafner, Timothy Lillicrap, Jimmy Ba, and Mohammad Norouzi. Dream to control: Learning
383 behaviors by latent imagination. *ICLR*, 2020.
- 384 [15] Danijar Hafner, Timothy Lillicrap, Mohammad Norouzi, and Jimmy Ba. Mastering atari with discrete
385 world models. *ICLR*, 2021.
- 386 [16] Danijar Hafner, Jurgis Pasukonis, Jimmy Ba, and Timothy Lillicrap. Mastering diverse domains through
387 world models. *arXiv preprint arXiv:2301.04104*, 2023.
- 388 [17] Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. Deep residual learning for image recognition.
389 In *CVPR*, 2016.
- 390 [18] Anthony Hu, Gianluca Corrado, Nicolas Griffiths, Zachary Murez, Corina Gurau, Hudson Yeo, Alex
391 Kendall, Roberto Cipolla, and Jamie Shotton. Model-based imitation learning for urban driving. *NeurIPS*,
392 2022.
- 393 [19] Peiyun Hu, Aaron Huang, John Dolan, David Held, and Deva Ramanan. Safe local motion planning with
394 self-supervised freespace forecasting. In *CVPR*, 2021.
- 395 [20] Shengchao Hu, Li Chen, Penghao Wu, Hongyang Li, Junchi Yan, and Dacheng Tao. St-p3: End-to-end
396 vision-based autonomous driving via spatial-temporal feature learning. In *ECCV*. Springer, 2022.
- 397 [21] Yihan Hu, Jiazhi Yang, Li Chen, Keyu Li, Chonghao Sima, Xizhou Zhu, Siqi Chai, Senyao Du, Tianwei
398 Lin, Wenhai Wang, et al. Planning-oriented autonomous driving. In *CVPR*, 2023.
- 399 [22] Bo Jiang, Shaoyu Chen, Qing Xu, Bencheng Liao, Jiajie Chen, Helong Zhou, Qian Zhang, Wenyu Liu,
400 Chang Huang, and Xinggang Wang. Vad: Vectorized scene representation for efficient autonomous driving.
401 *arXiv preprint arXiv:2303.12077*, 2023.

- 402 [23] Tarasha Khurana, Peiyun Hu, Achal Dave, Jason Ziglar, David Held, and Deva Ramanan. Differentiable
403 raycasting for self-supervised occupancy forecasting. In *ECCV*, 2022.
- 404 [24] Alexander Kirillov, Eric Mintun, Nikhila Ravi, Hanzi Mao, Chloe Rolland, Laura Gustafson, Tete
405 Xiao, Spencer Whitehead, Alexander C Berg, Wan-Yen Lo, et al. Segment anything. *arXiv preprint*
406 *arXiv:2304.02643*, 2023.
- 407 [25] Zhiqi Li, Wenhai Wang, Hongyang Li, Enze Xie, Chonghao Sima, Tong Lu, Yu Qiao, and Jifeng Dai.
408 Bevformer: Learning bird’s-eye-view representation from multi-camera images via spatiotemporal trans-
409 formers. In *ECCV*. Springer, 2022.
- 410 [26] Zhiqi Li, Zhiding Yu, Shiyi Lan, Jiahao Li, Jan Kautz, Tong Lu, and Jose M Alvarez. Is ego status all you
411 need for open-loop end-to-end autonomous driving? In *CVPR*, 2024.
- 412 [27] Tsung-Yi Lin, Michael Maire, Serge Belongie, James Hays, Pietro Perona, Deva Ramanan, Piotr Dollár,
413 and C Lawrence Zitnick. Microsoft coco: Common objects in context. In *ECCV*. Springer, 2014.
- 414 [28] Shilong Liu, Zhaoyang Zeng, Tianhe Ren, Feng Li, Hao Zhang, Jie Yang, Chunyuan Li, Jianwei Yang,
415 Hang Su, Jun Zhu, et al. Grounding dino: Marrying dino with grounded pre-training for open-set object
416 detection. *arXiv preprint arXiv:2303.05499*, 2023.
- 417 [29] Lars Mescheder, Michael Oechsle, Michael Niemeyer, Sebastian Nowozin, and Andreas Geiger. Occupancy
418 networks: Learning 3d reconstruction in function space. In *CVPR*, 2019.
- 419 [30] Mahyar Najibi, Jingwei Ji, Yin Zhou, Charles R Qi, Xinchun Yan, Scott Ettinger, and Dragomir Anguelov.
420 Unsupervised 3d perception with 2d vision-language distillation for autonomous driving. In *ICCV*, 2023.
- 421 [31] OpenAI. Chatgpt [large language model]. <https://chat.openai.com>, 2023.
- 422 [32] Minting Pan, Xiangming Zhu, Yunbo Wang, and Xiaokang Yang. Iso-dream: Isolating and leveraging
423 noncontrollable visual dynamics in world models. *NeurIPS*, 2022.
- 424 [33] Dean A Pomerleau. Alvin: An autonomous land vehicle in a neural network. *NeurIPS*, 1988.
- 425 [34] Aditya Prakash, Kashyap Chitta, and Andreas Geiger. Multi-modal fusion transformer for end-to-end
426 autonomous driving. In *CVPR*, 2021.
- 427 [35] Abbas Sadat, Sergio Casas, Mengye Ren, Xinyu Wu, Pranaab Dhawan, and Raquel Urtasun. Perceive,
428 predict, and plan: Safe motion planning through interpretable semantic representations. In *ECCV*. Springer,
429 2020.
- 430 [36] Wenwen Tong, Chonghao Sima, Tai Wang, Li Chen, Silei Wu, Hanming Deng, Yi Gu, Lewei Lu, Ping
431 Luo, Dahua Lin, et al. Scene as occupancy. In *ICCV*, 2023.
- 432 [37] Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz
433 Kaiser, and Illia Polosukhin. Attention is all you need. *NeurIPS*, 2017.
- 434 [38] Yuqi Wang, Jiawei He, Lue Fan, Hongxin Li, Yuntao Chen, and Zhaoxiang Zhang. Driving into the future:
435 Multiview visual forecasting and planning with world model for autonomous driving. *CVPR*, 2024.
- 436 [39] Wenyuan Zeng, Wenjie Luo, Simon Suo, Abbas Sadat, Bin Yang, Sergio Casas, and Raquel Urtasun.
437 End-to-end interpretable neural motion planner. In *CVPR*, 2019.
- 438 [40] Jiang-Tian Zhai, Ze Feng, Jinhao Du, Yongqiang Mao, Jiang-Jiang Liu, Zichang Tan, Yifu Zhang, Xiaoqing
439 Ye, and Jingdong Wang. Rethinking the open-loop evaluation of end-to-end autonomous driving in nusenes.
440 *arXiv preprint arXiv:2305.10430*, 2023.

441 **A Appendix**

442 The appendix presents additional designing and explaining details of our Unsupervised pretext task for
 443 end-to-end Autonomous Driving (UAD) in the manuscript.

- 444 • **Different Partition Angles**
 445 We explore the influence of different partition angles in angular pretext to learn better
 446 spatio-temporal knowledge.
- 447 • **Different Direction Thresholds**
 448 We explore the influence of different thresholds in direction prediction to enhance planning
 449 robustness in complex driving scenarios.
- 450 • **Different Backbones and Pre-trained Weights**
 451 We compare the performance of different backbones and pre-trained weights on our method.
- 452 • **Objectness Label Generation with GT Boxes**
 453 We compare the generated objectness label between using the pseudo ROIs from Ground-
 454 ingDINO [28] and ground-truth boxes on different backbones.
- 455 • **Settings for ROI Generation**
 456 We ablate different settings for the open-set 2D detector GroundingDINO, which provides
 457 ROIs for the label generation of angular perception pretext.
- 458 • **Different Image Sizes and BEV Resolution**
 459 We compare the performance with different input sizes of multi-view images and BEV
 460 resolutions.
- 461 • **Runtime Analysis**
 462 We evaluate the runtime of each module of UAD and compare with modularized UniAD [21],
 463 which demonstrates the efficiency of our method.
- 464 • **Classification of Angular Perception**
 465 We evaluate the objectness prediction in the angular perception pretext, which demonstrates
 466 the enhanced perception capability in complex driving scenarios.
- 467 • **Influence of Pre-training**
 468 We evaluate the influence of pre-training by detailing the training losses and planning
 469 performances with different pre-trained weights.
- 470 • **More Visualizations**
 471 We provide more visualizations for the predicted angular-wise objectness and planning re-
 472 sults in the open-loop evaluation of nuScenes [2] and closed-loop simulation of CARLA [11].

473 **A.1 Different Partition Angles**

474 The proposed angular perception pretext divides the BEV space into multiple sectors. We explore the
 475 influence of partition angle θ in Tab 9. Experimental results show that the L2 error and inference
 476 speed gradually increase with the partition angle. The model with partition angle of 1° (①) achieves
 477 the best average L2 error of 0.85m. And the partition angle of 4° contributes to the best average
 478 collision rate of 0.19% (③). This reveals that a smaller partition angle helps learn more fine-grained
 479 environmental representations, eventually benefiting planning. In contrast, the model with a large
 480 partition angle sparsely perceives the scene. Despite reducing the computation cost, it will also
 481 degrade the safety of the end-to-end autonomous driving system.

Table 9: Ablation on different partition angles in the proposed angular pretext.

#	Partition Angle	L2 (m) ↓				Collision (%) ↓				FPS
		1s	2s	3s	Avg.	1s	2s	3s	Avg.	
①	1°	0.35	0.78	1.42	0.85	0.01	0.28	0.68	0.32	5.0
②	2°	0.34	0.77	1.46	0.86	0.01	0.22	0.48	0.24	6.3
③	4°	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19	7.2
④	8°	0.38	0.85	1.55	0.93	0.01	0.18	0.55	0.25	7.7
⑤	15°	0.47	0.94	1.69	1.03	0.03	0.20	0.60	0.28	8.1
⑥	30°	0.48	1.00	1.75	1.08	0.05	0.28	0.63	0.32	8.4

Table 10: Ablation on different thresholds of direction prediction in the directional augmentation.

#	Threshold (m)	L2 (m) ↓				Collision (%) ↓			
		1s	2s	3s	Avg.	1s	2s	3s	Avg.
①	0.5	0.35	0.79	1.43	0.86	0.03	0.18	0.71	0.31
②	0.8	0.35	0.77	1.46	0.86	0.01	0.12	0.68	0.27
③	1.2	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19
④	1.5	0.40	0.82	1.52	0.91	0.02	0.15	0.42	0.20
⑤	2.0	0.38	0.85	1.55	0.93	0.01	0.08	0.48	0.19

Table 11: Ablation on different backbones and pre-trained weights.

#	Backbone	Pretrained Weight	L2 (m) ↓				Collision (%) ↓				FPS
			1s	2s	3s	Avg.	1s	2s	3s	Avg.	
①	Res50	None	0.43	0.94	1.65	1.01	0.03	0.37	0.86	0.42	9.6
②		ImageNet	0.41	0.90	1.66	0.99	0.03	0.32	0.80	0.38	
③	Res101	None	0.40	0.87	1.59	0.95	0.02	0.23	0.59	0.28	7.2
④		ImageNet	0.37	0.84	1.53	0.91	0.01	0.18	0.50	0.23	
⑤		COCO	0.36	0.83	1.51	0.90	0.01	0.16	0.45	0.21	
⑥		NuImages	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19	

Table 12: Ablation on 2D object boxes in pretext label generation.

#	Backbone	2D Object Box	L2 (m) ↓				Collision (%) ↓				FPS
			1s	2s	3s	Avg.	1s	2s	3s	Avg.	
①	Res50	Pseudo	0.41	0.90	1.66	0.99	0.03	0.32	0.80	0.38	9.6
②		GT	0.41	0.87	1.61	0.96	0.03	0.30	0.71	0.35	
③	Res101	Pseudo	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19	7.2
④		GT	0.37	0.79	1.45	0.84	0.01	0.13	0.39	0.18	

482 A.2 Different Direction Thresholds

483 The direction prediction that the ego car intends to maneuver (*i.e.*, *left*, *straight* and *right*) is proposed
484 to enhance the steering capability for autonomous driving. The label is generated with the threshold δ
485 (see Eq. 7 in the manuscript), which determines the ground-truth direction of each waypoint in the
486 expert trajectory. Here we explore the influence by ablating different thresholds, as shown in Tab. 10.
487 Experimental results show that the L2 error gradually increases with the direction threshold. The
488 model with δ of 0.5m (①) achieves the lowest L2 error of 0.86m. It reveals that a smaller threshold
489 will force the planner to fit the expert navigation, leading to a closer distance between the predicted
490 trajectory and the ground truth. In contrast, the collision rate benefits more from larger thresholds.
491 The model with δ of 2.0m obtains the best collision rate at 2s of 0.08% (⑤), showing the effectiveness
492 for robust planning. Notably, the threshold of 1.2m contributes to a great balance with the average L2
493 error of 0.90m and average collision rate of 0.19%.

494 A.3 Different Backbones and Pre-trained Weights

495 As a common sense, pre-training the backbone network with fundamental tasks like image classi-
496 fication on ImageNet [10] will benefit the sub-tasks. The previous method UniAD [21] uses the
497 pre-trained weights of BEVFormer [25]. What surprised us is that when replacing the pre-trained
498 weights with the one learned on ImageNet, the performance of UniAD dramatically degraded (see
499 “Influence of Pre-training” for more details). This inspires us to explore the influence of backbone
500 settings on our framework. As shown in Tab. 11, interestingly, even without any pre-training, our
501 model still outperforms UniAD with pre-trained ResNet101 and VAD with pre-trained ResNet50.
502 This verifies the effectiveness of our unsupervised pretext task on modeling the driving scenes. We
503 also use publicly available pre-trained weights on detection datasets like COCO [27] and nuImages [2]
504 to train our model, which shows better performance. These experimental results and observations
505 demonstrate that a potentially promising topic is *how to pre-train a model for end-to-end autonomous*
506 *driving*. We leave this to future research.

507 A.4 Objectness Label Generation with GT Boxes

508 As mentioned in the manuscript, the essence of generating the angular objectness label lies in the
509 2D ROIs, which come from the open-set 2D detector GroundingDINO [28]. Here we explore the
510 influence of using the ground-truth 2D boxes as ROIs, which provide more high-quality samples
511 for the representation learning in the angular perception pretext. Tab. 12 shows that training with
512 GT boxes achieves consistent performance gains on both ResNet50 [17] and ResNet101 [17] (②,④
513 *v.s.* ①,③). This reveals that accurate annotation does help to learn better spatio-temporal knowledge
514 and improve ego planning. Considering the cost in real-world deployment, training with accessible

Table 13: Ablation on the settings of ROI generation. The Conf. Thresh denotes the confidence threshold in GroundingDINO [28] to filter unreliable predictions. *vehicle, pedestrian, barrier* represent the used prompt words to obtain ROIs of corresponding classes. Rule Filter indicates filtering the ROIs that are more than half of the length or width of the image.

#	Conf. Thresh	Prompt Words	Rule Filter	L2 (m) ↓				Collision (%) ↓			
				1s	2s	3s	Avg.	1s	2s	3s	Avg.
①	0.35	{ <i>vehicle</i> }	-	0.48	0.98	1.75	1.07	0.08	0.38	0.80	0.42
②	0.35	{ <i>vehicle, pedestrian</i> }	-	0.47	0.94	1.69	1.03	0.04	0.27	0.71	0.34
③	0.35	{ <i>vehicle, pedestrian, barrier</i> }	-	0.43	0.88	1.60	0.97	0.03	0.23	0.60	0.29
④	0.35	{ <i>vehicle, pedestrian, barrier</i> }	✓	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19
⑤	0.30	{ <i>vehicle, pedestrian, barrier</i> }	✓	0.39	0.82	1.45	0.89	0.01	0.21	0.51	0.24
⑥	0.40	{ <i>vehicle, pedestrian, barrier</i> }	✓	0.46	0.90	1.57	0.98	0.01	0.13	0.37	0.17

Table 14: Comparison with different backbones, image sizes and BEV resolutions.

#	Method	Backbone	Image Size	BEV Resolution	L2 (m) ↓				Collision (%) ↓				FPS
					1s	2s	3s	Avg.	1s	2s	3s	Avg.	
①	UniAD [21]	R101	1600×900	200×200	0.48	0.96	1.65	1.03	0.05	0.17	0.71	0.31	2.1
②	VAD-Tiny [22]	R50	640×360	100×100	0.60	1.23	2.06	1.30	0.33	1.33	2.21	1.29	17.6
③	VAD-Base [22]	R50	1280×720	200×200	0.54	1.15	1.98	1.22	0.10	0.24	0.96	0.43	5.3
④	UAD (Ours)	R50	640×360	100×100	0.47	0.99	1.71	1.06	0.08	0.39	0.90	0.46	18.9
⑤	UAD (Ours)	R50	1600×900	200×200	0.41	0.90	1.66	0.99	0.03	0.32	0.80	0.38	9.6
⑥	UAD (Ours)	R101	1600×900	200×200	0.39	0.81	1.50	0.90	0.01	0.12	0.43	0.19	7.2

515 pseudo labels is a more efficient way compared with the manual annotation, which also shows
 516 comparable performance in autonomous driving (① v.s. ② and ③ v.s. ④).

517 A.5 Settings for ROI Generation.

518 The quality of learned spatio-temporal knowledge highly relies on the generated ROIs by the open-set
 519 2D detector GroundingDINO [28], which are then projected as the BEV objectness label for training
 520 the angular perception pretext. We explore the influence of generated ROIs with different settings,
 521 as shown in Tab. 13. We take the setting with the confidence score of 0.35, prompt word of *vehicle*
 522 and without the Rule Filter, as the baseline (①). By appending more prompt words (*e.g., pedestrian,*
 523 *barrier*), the planning performance gradually improves (③, ② v.s. ①), showing the enhanced perception
 524 capability with more diversified objects. Filtering the ROIs with overlage size (*i.e.,* Rule Filter)
 525 brings considerable gains for the average L2 error of 0.07m and average collision rate of 0.10%
 526 (④ v.s. ③). One interesting observation is that decreasing the confidence threshold would slightly
 527 improve the L2 error while causing higher collision rate (⑤ v.s. ④). In contrast, increasing the threshold
 528 obtains lower average collision rate of 0.17% and higher average L2 error of 0.98m. This reveals the
 529 importance of providing diversified ROIs for angular perception learning as well as ensuring high
 530 quality. The model with the confidence score of 0.35, all prompt words and Rule Filter achieves
 531 balanced performance with the average L2 error of 0.90m and average collision rate of 0.19%.

532 A.6 Different Image Sizes and BEV Resolution

533 For safe autonomous driving, increasing the input size of the multi-view images and the resolution
 534 of the built BEV representation is an effective way, which provide more detailed environmental
 535 information. While benefiting perception and planning, it inevitably brings heavy computation cost.
 536 We then ablate the image size and BEV resolution of our UAD to find a balanced version between
 537 performance and efficiency, as shown in Tab. 14. The results show that our UAD with ResNet-
 538 101 [17], image size of 1600×900, BEV resolution of 200×200, achieves the best performance
 539 compared with previous methods UniAD [21] and VAD-Base [22] while running faster with 7.2FPS
 540 (⑥). By replacing the backbone with ResNet-50, our UAD is more efficient with little performance
 541 degradation (⑤ v.s. ⑥). We further align the settings of VAD-Tiny, which has an inference speed
 542 of outstanding 17.6FPS (②), to explore the influence of much smaller input sizes. Tab. 14 shows
 543 that our UAD still achieves excellent performance even compared with VAD-Base of high-resolution
 544 inputs (④ v.s. ③). Notably, our UAD of this version has the fastest inference speed of 18.9FPS. This

Table 15: Module runtime comparison between UniAD [21] and our UAD. The inference is measured on an NVIDIA Tesla A100 GPU.

Model Partition	Module	UniAD		UAD (Ours)		
		Latency (ms)	Proportion (%)	Latency (ms)	Proportion (%)	
Feature Extraction	Backbone	38.1 ± 0.5	8.2%	36.0 ± 0.3	26.0%	
	BEV Encoder	83.4 ± 0.5	17.9%	81.5 ± 0.4	58.9%	
Sub-Task	Det&Track	145.3 ± 1.3	31.2%	Angular Partition	1.1 ± 0.1	0.8%
	Map	92.1 ± 0.7	19.8%	Dreaming Decoder	18.2 ± 0.2	13.2%
	Motion	50.6 ± 0.6	10.9%			
	Occupancy	45.9 ± 0.4	9.9%			
Prediction	Planning Head	9.7 ± 0.3	2.1%	Planning Head	1.5 ± 0.1	1.1%
	Total	-	465.1 ± 4.3	100%	-	138.3 ± 1.1

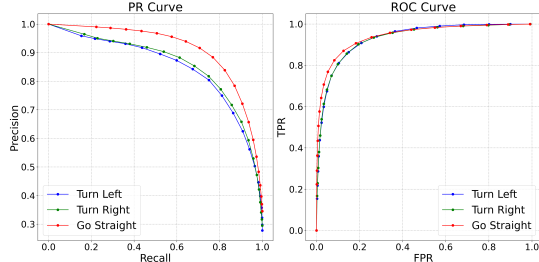


Figure 6: Visualization of the PR and ROC curves for the angular-wise objectness prediction in different driving scenes.

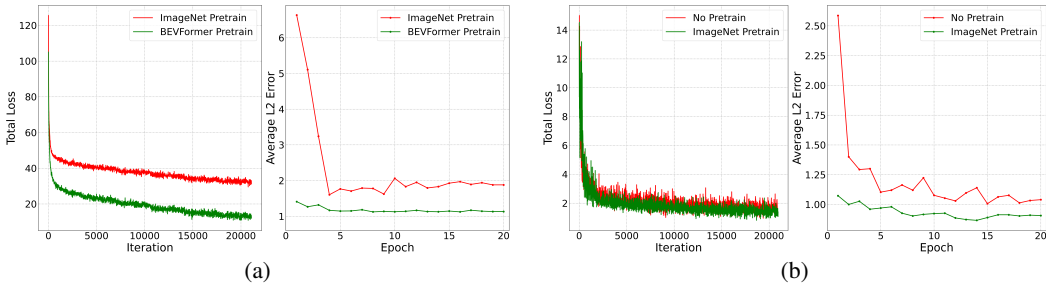


Figure 7: Optimization of UniAD (a) and our UAD (b) with different pre-trained backbone weights.

545 again proves the effectiveness of our method in performing fine-grained perception, as well as the
 546 robustness to fit the inputs of different sizes.

547 A.7 Runtime Analysis

548 Tab. 15 compares the runtime of each module between the modularized method UniAD [21] and
 549 our UAD. As we adopt the Backbone and BEV Encoder from BEVFormer [25] that are the same in
 550 UniAD, the latency of feature extraction is similar with little difference due to different pre-processing.
 551 The modular sub-tasks in UniAD consume most of the runtime, *i.e.*, significant 71.8% for Det&Track
 552 (31.2%), Map (19.8%), Motion (10.9%) and Occupancy (9.9%), respectively. In contrast, our UAD
 553 performs simple Angular Partition and Dreaming Decoder, which take only 14.0% (19.3ms) to model
 554 the complex environment. This demonstrates our insight that it’s a necessity to liberate end-to-end
 555 autonomous driving from costly modularization. The downstream Planning Head takes negligible
 556 1.5ms to plan the ego trajectory, compared with 9.7ms in UniAD. Finally, our UAD finishes the
 557 inference with a total runtime of 138.3ms, $3.4\times$ faster than the 465.1ms of UniAD, showing the
 558 efficiency of our design.

559 A.8 Classification of Angular Perception

560 The proposed angular perception pretext learns spatio-temporal knowledge of the driving scene
 561 by predicting the objectness of each sector region, which is supervised by the generated binary
 562 angular-wise label. We show the perception ability by evaluating the classification metrics based on
 563 the validation split of the nuScenes [2] dataset. Fig. 6 draws the Precision-Recall (PR) curve and
 564 Receiver-Operating-Characteristic (ROC) curve in different driving scenes (*i.e.*, *turn left*, *go straight*
 565 and *turn right*). In the PR curve, our UAD achieves balanced precision and recall scores in different
 566 driving scenes, showing the effectiveness of our pretext task to perceive the surrounding objects.
 567 Notably, the performance of *go straight* scenes is slightly better than the steering ones under all
 568 thresholds. This proves our insight to design tailored direction-aware learning strategy for improving
 569 the safety-critical *turn left* and *turn right* scenes. The ROC curve shows the robustness of our angular
 570 perception pretext to classify the objects from complex environmental observations.

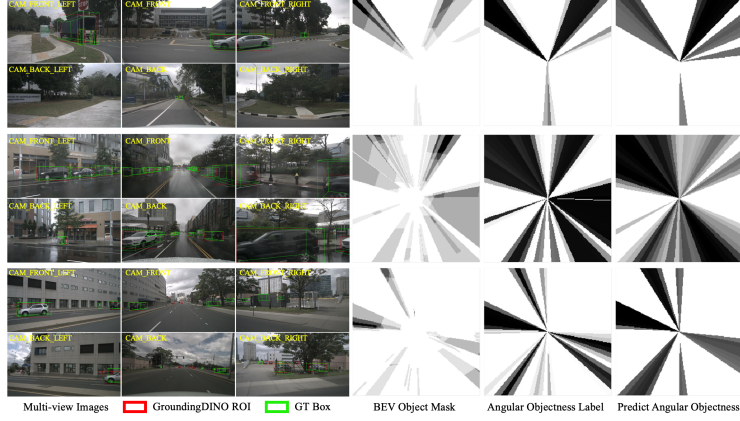


Figure 8: Visualization of the angular perception.

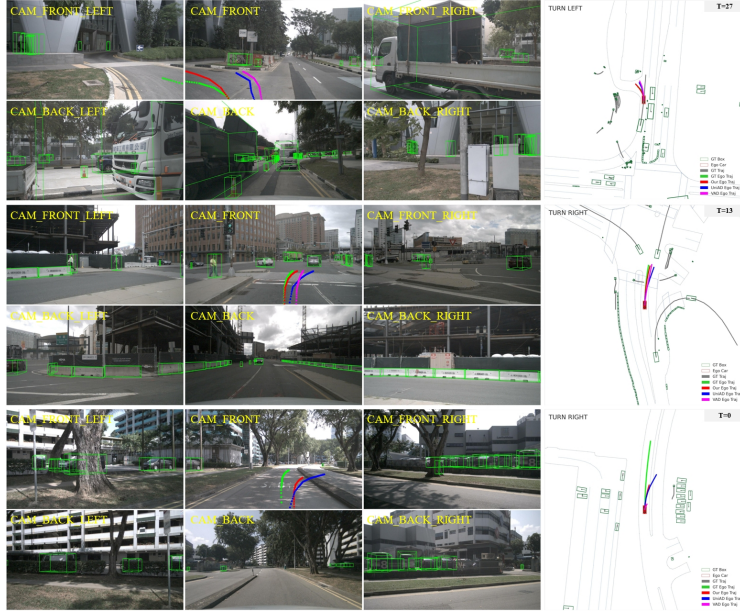


Figure 9: Visualization of the planning results. The first two rows show the success of our method in safe planning in complex scenarios, while the third row exhibits a failure case of our planner when no temporal information could be acquired when $t=0$.

571 A.9 Influence of Pre-training

572 Pre-training the backbone network with fundamental tasks is a commonly used metric to benefit
 573 representation learning. As mentioned in “Different Backbones and Pre-trained Weights” of Sec. 4.4
 574 in the manuscript, the performance of the previous SOTA method UniAD [21] dramatically degrades
 575 without the pre-trained weights from BEVFormer [25]. Here we further detail the influence by
 576 comparing the training losses and planning performances with different pre-trained weights in Fig. 7.
 577 Fig. 7a shows that the training losses increase by about 20 on average when replaced with the
 578 pre-trained weights from ImageNet [10]. Correspondingly, the average L2 error is significantly higher
 579 than the one with the pre-trained weights from BEVFormer. This reveals that UniAD heavily relies
 580 on the perceptive pre-training in BEVFormer to optimize modularized sub-tasks. In contrast, our
 581 UAD performs comparably even without any pre-training (see Fig. 7b), proving the effectiveness of
 582 our designs for robust optimization.

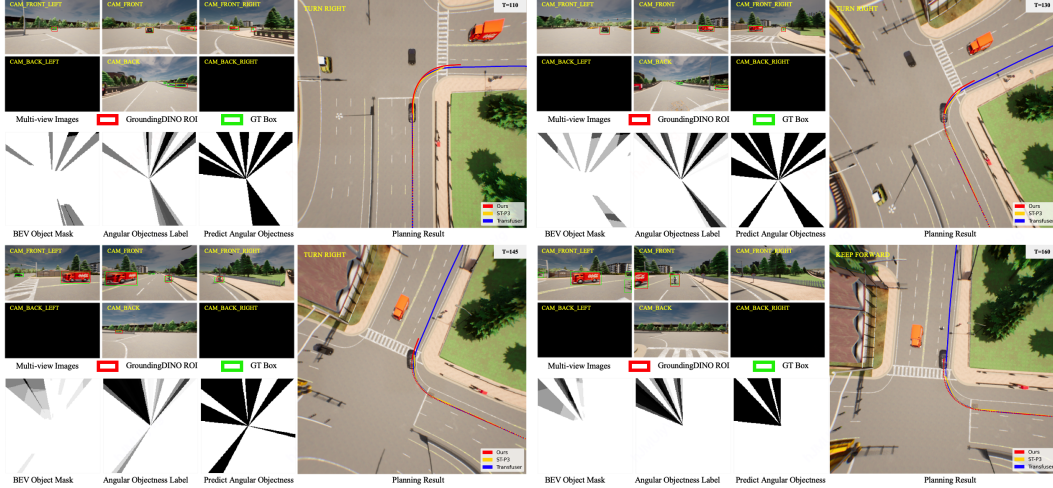


Figure 10: Visualization of angular perception and planning in Carla.

583 A.10 More Visualizations

584 **Open-loop Planning** We provide more visualizations about the predicted angular-wise objectness
 585 and planning results on nuScenes [2]. Fig. 8 compares the discrete objectness scores and ground
 586 truth, proving the effectiveness of our angular perception pretext to perceive the objects in each sector
 587 region. The planning results of previous SOTA methods (*i.e.*, UniAD [21] and VAD [22]) and our
 588 UAD are shown in Fig. 9. With the designed pretext and tailored training strategy, our method could
 589 plan a more reasonable ego trajectory under different driving scenarios, proving the effectiveness
 590 of our work. The third row shows the failure case of our planner. In this case, the ego car is given
 591 the “Turn Right” command when $t = 0$ (*i.e.*, the first frame of the driving scenario), leading to
 592 ineffectiveness of our planner in learning helpful temporal information. A possible solution to deal
 593 with this is to apply an auxiliary trajectory prior for the first several frames, and we leave this to
 594 future work.

595 **Closed-loop Simulation** Fig. 10 visualizes the predicted objectness and planning results in the
 596 Town05 Long benchmark of CARLA [11]. Following the setting of ST-P3 [20] in closed-loop evalua-
 597 tion, we collect visual observations from the cameras of “CAM_FRONT”, “CAM_FRONT_LEFT”,
 598 “CAM_FRONT_RIGHT” and “CAM_BACK”. It shows that the sector regions in which the surround-
 599 ing objects exist are successfully captured by our UAD, proving the effectiveness and robustness of
 600 our design. Notably, the missed objects by GroundingDINO [28], *e.g.*, the black car in the camera of
 601 “CAM_FRONT_LEFT” at $t = 145$, are surprisingly perceived and marked in the corresponding sector.
 602 This demonstrates our method has the capability of learning perceptive knowledge in a data-driven
 603 manner, even with coarse supervision by the generated 2D pseudo boxes from GroundingDINO.

604 **NeurIPS Paper Checklist**

605 **1. Claims**

606 Question: Do the main claims made in the abstract and introduction accurately reflect the
607 paper’s contributions and scope?

608 Answer: [Yes]

609 Justification: The main claims made in the abstract and introduction accurately reflect the
610 paper’s contributions and scope, please see Sec. 4.

611 Guidelines:

- 612 • The answer NA means that the abstract and introduction do not include the claims
613 made in the paper.
- 614 • The abstract and/or introduction should clearly state the claims made, including the
615 contributions made in the paper and important assumptions and limitations. A No or
616 NA answer to this question will not be perceived well by the reviewers.
- 617 • The claims made should match theoretical and experimental results, and reflect how
618 much the results can be expected to generalize to other settings.
- 619 • It is fine to include aspirational goals as motivation as long as it is clear that these goals
620 are not attained by the paper.

621 **2. Limitations**

622 Question: Does the paper discuss the limitations of the work performed by the authors?

623 Answer: [Yes]

624 Justification: The limitations of the work are discussed in this paper, please see Sec. 4.5.

625 Guidelines:

- 626 • The answer NA means that the paper has no limitation while the answer No means that
627 the paper has limitations, but those are not discussed in the paper.
- 628 • The authors are encouraged to create a separate "Limitations" section in their paper.
- 629 • The paper should point out any strong assumptions and how robust the results are to
630 violations of these assumptions (e.g., independence assumptions, noiseless settings,
631 model well-specification, asymptotic approximations only holding locally). The authors
632 should reflect on how these assumptions might be violated in practice and what the
633 implications would be.
- 634 • The authors should reflect on the scope of the claims made, e.g., if the approach was
635 only tested on a few datasets or with a few runs. In general, empirical results often
636 depend on implicit assumptions, which should be articulated.
- 637 • The authors should reflect on the factors that influence the performance of the approach.
638 For example, a facial recognition algorithm may perform poorly when image resolution
639 is low or images are taken in low lighting. Or a speech-to-text system might not be
640 used reliably to provide closed captions for online lectures because it fails to handle
641 technical jargon.
- 642 • The authors should discuss the computational efficiency of the proposed algorithms
643 and how they scale with dataset size.
- 644 • If applicable, the authors should discuss possible limitations of their approach to
645 address problems of privacy and fairness.
- 646 • While the authors might fear that complete honesty about limitations might be used by
647 reviewers as grounds for rejection, a worse outcome might be that reviewers discover
648 limitations that aren’t acknowledged in the paper. The authors should use their best
649 judgment and recognize that individual actions in favor of transparency play an impor-
650 tant role in developing norms that preserve the integrity of the community. Reviewers
651 will be specifically instructed to not penalize honesty concerning limitations.

652 **3. Theory Assumptions and Proofs**

653 Question: For each theoretical result, does the paper provide the full set of assumptions and
654 a complete (and correct) proof?

655 Answer: [NA]

656 Justification: This paper does not include theoretical results.

657 Guidelines:

- 658 • The answer NA means that the paper does not include theoretical results.
- 659 • All the theorems, formulas, and proofs in the paper should be numbered and cross-
660 referenced.
- 661 • All assumptions should be clearly stated or referenced in the statement of any theorems.
- 662 • The proofs can either appear in the main paper or the supplemental material, but if
663 they appear in the supplemental material, the authors are encouraged to provide a short
664 proof sketch to provide intuition.
- 665 • Inversely, any informal proof provided in the core of the paper should be complemented
666 by formal proofs provided in appendix or supplemental material.
- 667 • Theorems and Lemmas that the proof relies upon should be properly referenced.

668 4. Experimental Result Reproducibility

669 Question: Does the paper fully disclose all the information needed to reproduce the main ex-
670 perimental results of the paper to the extent that it affects the main claims and/or conclusions
671 of the paper (regardless of whether the code and data are provided or not)?

672 Answer: [Yes]

673 Justification: All the information needed to reproduce the main experimental results of the
674 paper is disclosed, please see Sec. 4.1.

675 Guidelines:

- 676 • The answer NA means that the paper does not include experiments.
- 677 • If the paper includes experiments, a No answer to this question will not be perceived
678 well by the reviewers: Making the paper reproducible is important, regardless of
679 whether the code and data are provided or not.
- 680 • If the contribution is a dataset and/or model, the authors should describe the steps taken
681 to make their results reproducible or verifiable.
- 682 • Depending on the contribution, reproducibility can be accomplished in various ways.
683 For example, if the contribution is a novel architecture, describing the architecture fully
684 might suffice, or if the contribution is a specific model and empirical evaluation, it may
685 be necessary to either make it possible for others to replicate the model with the same
686 dataset, or provide access to the model. In general, releasing code and data is often
687 one good way to accomplish this, but reproducibility can also be provided via detailed
688 instructions for how to replicate the results, access to a hosted model (e.g., in the case
689 of a large language model), releasing of a model checkpoint, or other means that are
690 appropriate to the research performed.
- 691 • While NeurIPS does not require releasing code, the conference does require all submis-
692 sions to provide some reasonable avenue for reproducibility, which may depend on the
693 nature of the contribution. For example
 - 694 (a) If the contribution is primarily a new algorithm, the paper should make it clear how
695 to reproduce that algorithm.
 - 696 (b) If the contribution is primarily a new model architecture, the paper should describe
697 the architecture clearly and fully.
 - 698 (c) If the contribution is a new model (e.g., a large language model), then there should
699 either be a way to access this model for reproducing the results or a way to reproduce
700 the model (e.g., with an open-source dataset or instructions for how to construct
701 the dataset).
 - 702 (d) We recognize that reproducibility may be tricky in some cases, in which case
703 authors are welcome to describe the particular way they provide for reproducibility.
704 In the case of closed-source models, it may be that access to the model is limited in
705 some way (e.g., to registered users), but it should be possible for other researchers
706 to have some path to reproducing or verifying the results.

707 5. Open access to data and code

708 Question: Does the paper provide open access to the data and code, with sufficient instruc-
709 tions to faithfully reproduce the main experimental results, as described in supplemental
710 material?

711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750
751
752
753
754
755
756
757
758
759
760
761
762

Answer: [Yes]

Justification: This paper provides open access to the data and code to reproduce the main experimental results, please see Sec. 4.1.

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so “No” is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental Setting/Details

Question: Does the paper specify all the training and test details (e.g., data splits, hyper-parameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [Yes]

Justification: All the training and test details are specified in this paper, please see Sec. 4.1.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment Statistical Significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [Yes]

Justification: This paper reports information about the statistical significance of experiments, please see Sec. 4.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).
- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).

- 763
- 764
- 765
- 766
- 767
- 768
- 769
- 770
- 771
- 772
- It should be clear whether the error bar is the standard deviation or the standard error of the mean.
 - It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
 - For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
 - If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

773 8. Experiments Compute Resources

774 Question: For each experiment, does the paper provide sufficient information on the com-
775 puter resources (type of compute workers, memory, time of execution) needed to reproduce
776 the experiments?

777 Answer: [Yes]

778 Justification: This paper provides sufficient information on the computer resources, please
779 see Sec. 4.1.

780 Guidelines:

- 781
- 782
- 783
- 784
- 785
- 786
- 787
- 788
- The answer NA means that the paper does not include experiments.
 - The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
 - The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
 - The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

789 9. Code Of Ethics

790 Question: Does the research conducted in the paper conform, in every respect, with the
791 NeurIPS Code of Ethics <https://neurips.cc/public/EthicsGuidelines?>

792 Answer: [Yes]

793 Justification: The conducted research conforms with the NeurIPS Code of Ethics.

794 Guidelines:

- 795
- 796
- 797
- 798
- 799
- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
 - If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
 - The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

800 10. Broader Impacts

801 Question: Does the paper discuss both potential positive societal impacts and negative
802 societal impacts of the work performed?

803 Answer: [Yes]

804 Justification: This paper discusses both potential positive societal impacts and negative
805 societal impacts of the work, please see Sec. 4.5.

806 Guidelines:

- 807
- 808
- 809
- 810
- 811
- 812
- 813
- The answer NA means that there is no societal impact of the work performed.
 - If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.
 - Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.

- 814
- 815
- 816
- 817
- 818
- 819
- 820
- 821
- 822
- 823
- 824
- 825
- 826
- 827
- 828
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
 - The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
 - If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

829 **11. Safeguards**

830 Question: Does the paper describe safeguards that have been put in place for responsible
831 release of data or models that have a high risk for misuse (e.g., pretrained language models,
832 image generators, or scraped datasets)?

833 Answer: [NA]

834 Justification: This paper poses no such risks.

835 Guidelines:

- 836
- 837
- 838
- 839
- 840
- 841
- 842
- 843
- 844
- 845
- The answer NA means that the paper poses no such risks.
 - Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
 - Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
 - We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

846 **12. Licenses for existing assets**

847 Question: Are the creators or original owners of assets (e.g., code, data, models), used in
848 the paper, properly credited and are the license and terms of use explicitly mentioned and
849 properly respected?

850 Answer: [Yes]

851 Justification: The assets used in this paper are credited and the license is respected.

852 Guidelines:

- 853
- 854
- 855
- 856
- 857
- 858
- 859
- 860
- 861
- 862
- 863
- 864
- 865
- The answer NA means that the paper does not use existing assets.
 - The authors should cite the original paper that produced the code package or dataset.
 - The authors should state which version of the asset is used and, if possible, include a URL.
 - The name of the license (e.g., CC-BY 4.0) should be included for each asset.
 - For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
 - If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.
 - For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.

866 • If this information is not available online, the authors are encouraged to reach out to
867 the asset’s creators.

868 13. **New Assets**

869 Question: Are new assets introduced in the paper well documented and is the documentation
870 provided alongside the assets?

871 Answer: [NA]

872 Justification: This paper does not release new assets.

873 Guidelines:

- 874 • The answer NA means that the paper does not release new assets.
- 875 • Researchers should communicate the details of the dataset/code/model as part of their
876 submissions via structured templates. This includes details about training, license,
877 limitations, etc.
- 878 • The paper should discuss whether and how consent was obtained from people whose
879 asset is used.
- 880 • At submission time, remember to anonymize your assets (if applicable). You can either
881 create an anonymized URL or include an anonymized zip file.

882 14. **Crowdsourcing and Research with Human Subjects**

883 Question: For crowdsourcing experiments and research with human subjects, does the paper
884 include the full text of instructions given to participants and screenshots, if applicable, as
885 well as details about compensation (if any)?

886 Answer: [NA]

887 Justification: This paper does not involve crowdsourcing nor research with human subjects.

888 Guidelines:

- 889 • The answer NA means that the paper does not involve crowdsourcing nor research with
890 human subjects.
- 891 • Including this information in the supplemental material is fine, but if the main contribu-
892 tion of the paper involves human subjects, then as much detail as possible should be
893 included in the main paper.
- 894 • According to the NeurIPS Code of Ethics, workers involved in data collection, curation,
895 or other labor should be paid at least the minimum wage in the country of the data
896 collector.

897 15. **Institutional Review Board (IRB) Approvals or Equivalent for Research with Human 898 Subjects**

899 Question: Does the paper describe potential risks incurred by study participants, whether
900 such risks were disclosed to the subjects, and whether Institutional Review Board (IRB)
901 approvals (or an equivalent approval/review based on the requirements of your country or
902 institution) were obtained?

903 Answer: [NA]

904 Justification: This paper does not involve crowdsourcing nor research with human subjects.

905 Guidelines:

- 906 • The answer NA means that the paper does not involve crowdsourcing nor research with
907 human subjects.
- 908 • Depending on the country in which research is conducted, IRB approval (or equivalent)
909 may be required for any human subjects research. If you obtained IRB approval, you
910 should clearly state this in the paper.
- 911 • We recognize that the procedures for this may vary significantly between institutions
912 and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the
913 guidelines for their institution.
- 914 • For initial submissions, do not include any information that would break anonymity (if
915 applicable), such as the institution conducting the review.